# Homework 6: Encoder-Decoder Model and Machine Translation Evaluation

INFO 159 / 259, Spring 2023

**Due**: April 12, 2023 (11:59 PM)

This homework is designed to help you:

- 1. understand the concept of cross-attention and implement an encoder-decoder model [deliverable 1]
- 2. understand the evaluation method for machine translation system and implement BLEU [deliverable 2]

This document contains the instructions for this homework, and the accompanying notebook can be found here:

https://github.com/dbamman/nlp23/blob/main/HW6/HW6.ipynb

To use it, hit the "Open in Colab" button open in Colab at the top, and be sure to save a copy in your Google Drive or Github *before* you start to work on the file.

Submit your work on Gradescope. Replace # TO-DO and variable = None with your solutions, and as in HW1, keep your code between the # BEGIN SOLUTION and # END SOLUTION flags. IMPORTANT: For grading purposes, DO NOT change the variable names in the return statement!

# 1 Deliverable 1: Encoder-decoder model with cross-attention

The first deliverable can be seen as a tutorial on the encoder-decoder model, which is one of the core ideas for transformer-based language models. For your reference:

Link to SLP 3 Chapter 10 ("Machine Transsation") and Figure 10.6: https://web.stanford.edu/jurafsky/slp3/13.pdf

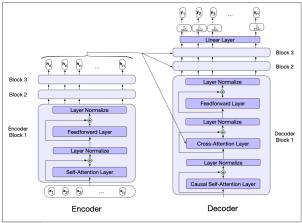


Figure 10.6 The transformer block for the encoder and the decoder. The final output of the encoder  $\mathbf{H}^{enc} = \mathbf{h}_1, ..., \mathbf{h}_r$  is the context used in the decoder. The decoder is a standard transformer except with one extra layer, the **cross-attention** layer, which takes that decoder output  $\mathbf{H}^{enc}$  and uses it to form its  $\mathbf{K}$  and  $\mathbf{V}$  inputs.

### QUESTION 1

[10 pts]

### **Cross-Attention Block**

Implement the CrossAttentionBlock, which allows tokens on the decoding side (e.g., a French translation you are generating) to attend to information on the encoding side (e.g., an original English sentence you're translating).

### QUESTION 2

[10 pts]

### **Encoder-Decoder Model**

Implement the forward function within an Encoder-Decoder model.

# 2 Deliverable 2: Implement BLEU

BLEU is a common method method for evaluation the performance of MT systems. For this deliverable, you will implement BLEU and evaluate a sample translation with respect to several reference translations. Refer to lecture, but here is the equation for BLEU:

$$BLEU = BP \times \exp \frac{1}{N} \sum_{n=1}^{N} \log p_n$$

Where:

$$p_n = \frac{\text{Number of ngram tokens in system and reference translations}}{\text{Number of ngram tokens in system translation}}$$

And the brevity penalty =  $\exp(1 - r/c)$ , where c is the length of the hypothesis translation (in tokens), and r is the length of the \*closest\* reference translation.

Calculate BLEU for N=4. Your code should return six values, in order: bleu,  $p_1$ ,  $p_2$ ,  $p_3$ ,  $p_4$ , the brevity penalty.

#### QUESTION 3

[10 pts]

### ngrams

Implement a function get\_ngrams (text, order) that takes in a text string and an integer order, and returns a Counter object that contains the frequency counts of all ngrams of size order in the input text.

### QUESTION 4

[10 pts]

# **BLEU**

Implement a function calculate\_bleu (hypothesis, references) that takes in a hypothesis and a list of references, and assesses the quality of the machine translation.

## 3 How to submit

Submit your work to Gradescope.

- Submit to: "Gradescope HW6 code (ipynb)"
  - Make sure you have replaced all # TO-DO with your solutions, and that they are placed, as in HW1, between the # BEGIN SOLUTION and # END SOLUTION flags.
  - Download your Colab notebook as an .ipynb file (File  $\rightarrow$  Download .ipynb). DO NOT submit a pdf file!
  - Submit HW6.ipynb. The file must be named in this way for the Gradescope autograder.