## On Certifying Non-uniform Bounds against Adversarial Attacks

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June 11th, 2019

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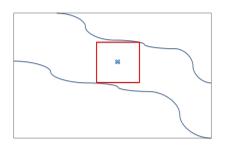
# Background

## Problem (Certification Problem)

Given the label set C, a classification model  $f: \mathbb{R}^n \to C$  and an input data point  $\mathbf{x} \in \mathbb{R}^n$ , we would like to find the largest neighborhood S around  $\mathbf{x}$  such that  $f(\mathbf{x}) = f(\mathbf{x}') \ \forall \mathbf{x}' \in S$ .

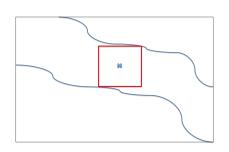
• Set S is called adversarial budget and  $\mathbf{x} \in S$ .

## Motivation

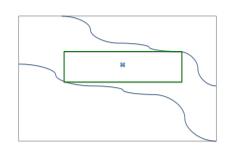


$$\mathcal{S}^{(p)}_{\epsilon}(\mathbf{x}) = \{\mathbf{x}' = \mathbf{x} + \epsilon \mathbf{v} | \|\mathbf{v}\|_p \leq 1\}$$

## Motivation

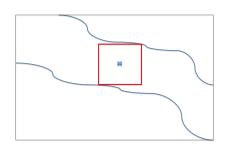


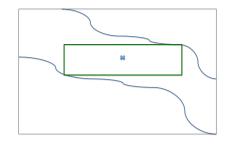
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$$\mathcal{S}^{(p)}_{\epsilon}(\mathbf{x}) = \{\mathbf{x}' = \mathbf{x} + \epsilon \odot \mathbf{v} | \|\mathbf{v}\|_{p} \le 1\}$$
  
 $\epsilon \in \mathbb{R}^{n}$ 

## Motivation





$$\mathcal{S}^{(p)}_{\epsilon}(\mathbf{x}) = \{\mathbf{x}' = \mathbf{x} + \epsilon \mathbf{v} | \|\mathbf{v}\|_{p} \leq 1\}$$
 $\epsilon \in \mathbb{R}$ 

$$\mathcal{S}^{(p)}_{m{\epsilon}}(\mathbf{x}) = \{\mathbf{x}' = \mathbf{x} + m{\epsilon} \odot \mathbf{v} | \|\mathbf{v}\|_{p} \leq 1\}$$
  
 $m{\epsilon} \in \mathbb{R}^{n}$ 

Advantages of non-uniform bounds:

- Larger overall volumes.
- Quantitative metric of feature robustness.



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 $\bullet$  A N-layer fully connected neural network, parameterized by  $\{\mathbf{W}^{(i)},\mathbf{b}^{(i)}\}_{i=1}^{N-1}$ 

$$\mathbf{z}^{(i+1)} = \mathbf{W}^{(i)} \hat{\mathbf{z}}^{(i)} + \mathbf{b}^{(i)} \quad i = 1, 2, ..., N - 1$$
$$\hat{\mathbf{z}}^{(i)} = \sigma(\mathbf{z}^{(i)}) \qquad i = 2, 3, ..., N - 1$$
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ullet Given a model  $\{ \mathbf{W}^{(i)}, \mathbf{b}^{(i)} \}$  and a data point  $\mathbf{x}$  labeled as  $c \in \mathcal{C}$ , we want to

$$\min_{\epsilon} \left\{ -\sum_{j=0}^{n_1-1} \log \epsilon_j \right\}$$

$$\hat{\mathbf{z}}^{(1)} \in \mathcal{S}_{\epsilon}(\mathbf{x})$$

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$$\mathbf{z}^{(N)} - \mathbf{z}^{(N)}_{i} > \delta \qquad j = 0, 1, ..., n_N - 1; j \neq c$$
(2)

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$$z_{\epsilon}^{(N)} - z_{i}^{(N)} > \delta \qquad j = 0, 1, ..., n_N - 1; j \neq c$$
(2)

• Generally intractable (at least NP-complete)! [Weng et al. 18]

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- Relax the output logits!



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$$\min_{\boldsymbol{\epsilon}, \mathbf{y} \ge 0} \left\{ -\sum_{j=0}^{n_1 - 1} \log \epsilon_j \right\} 
s.t. \int_{c}^{(N)} -\mathbf{u}_{j \ne c}^{(N)} - \delta = \mathbf{y}$$
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s.t. \, I_c^{(N)} - \mathbf{u}_{j \ne c}^{(N)} - \delta = \mathbf{y}$$
(3)

The problem can be solved by Augmented Lagrangian Method

$$\max_{\boldsymbol{\lambda}} \min_{\boldsymbol{\epsilon}, \mathbf{y} \geq 0} - \left( \sum_{j=0}^{n_1 - 1} \log \epsilon_j \right) + \langle \boldsymbol{\lambda}, \mathbf{v} - \mathbf{y} \rangle + \frac{\rho}{2} \|\mathbf{v} - \mathbf{y}\|_2^2$$
 (4)

•  $\mathbf{v}$  is defined as  $I_c^{(N)} - \mathbf{u}_{j \neq c}^{(N)} - \delta$ 



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# **Experiments**

#### General Result

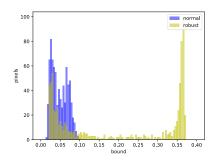
Dataset	Architecture	Training Method	Uniform	Non-uniform	Ratio
MNIST	100-100-100	-	0.0295	0.0349	1.183
		PGD, $\tau = 0.1$	0.0692	0.1678	2.425
	300-300-300	-	0.0309	0.0350	1.133
		PGD, $\tau = 0.1$	0.0507	0.1404	2.769
	500-500-500	-	0.0319	0.0360	1.129
		PGD, $\tau = 0.1$	0.0436	0.1167	2.677
Fashion-MNIST	1024-1024-1024	-	0.0397	0.0518	1.305
		PGD, $\tau = 0.1$	0.0446	0.1134	2.543
SVHN	1024-1024-1024	-	0.0022	0.0072	3.273
		PGD, $\tau = 0.1$	0.0054	0.0281	5.204

Table: Average of uniform and non-uniform bounds in the test sets.

• Larger volumes covered by non-uniform bounds, especially for robust models.

# Experiments

#### Robustness and Feature Selection



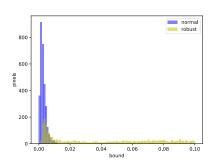


Figure: Examples of distributions of bounds for normal and robust models among all pixels. (Left: MNIST, Right: SVHN)

ullet Features of very large bounds o Features dropped

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# **Experiments**

#### Robustness and Interpretability

- ullet We can visualize bounding map  $\epsilon \in \mathbb{R}^n$  like an input data point.
- The bounding maps demonstrate better interpretability of robust models.



Figure: Left: between digit 1 and 7. Right: between digit 3 and 8. Lighter pixels mean smaller bounds.

## More Details

- Welcome to Poster #63
- Code on GitHub: Certify\_Nonuniform\_Bounds



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GRACIAS 谢谢
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