

# The 3-Dimensional Matching Problem(3-DM)

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## The 3-Dimensional Matching Problem (3-DM)

- ❑ It is a partitioning problem.
  - Partitioning problems: We are searching over ways of dividing a collection of objects into subsets.
- ❑ It is considered as a harder version of the Bipartite Matching Problem.
  - Things get more complicated as we move from ordered pairs to ordered triples.
  - No polynomial algorithm is known for 3-DM.
- ❑ It also forms a special case of Set Cover Problem.

## The 3-Dimensional Matching Problem (3-DM)

**INSTANCE:** Disjoint sets  $B, G, P$ , each of size  $a$ ,  
and a set of ordered triples  $T \subseteq B \times G \times P$ .

**QUESTION (decision version):** Does there exist a subset  $M \subseteq T$  with  $|M| = a$  such that  
for each pair  $(b, g, p), (b', g', p') \in M$  it holds that  
 $b \neq b', g \neq g', p \neq p'$ ?

We are searching for a **perfect** three-dimensional matching.

## 3-DM Is NP-Complete

- ✓ 3-DM is NP
  - Given a collection of triples  $M \subseteq T$ , we could verify that each element in  $B \cup G \cup P$  belongs to exactly one of the triples in  $M$ , in polynomial time.
- ✓ 3-DM is NP-Complete
  - We prove the NP-completeness of 3-DM by a polynomial time reduction from 3-SAT to 3-DM.
  - Strategy of the reduction:  
We must design sets of triples (“gadgets”) that model Boolean variables and clauses.

## 3-SAT $\leq_p$ 3-DM

Instance  $\Phi$  of 3-SAT

- $n$  variables  $v_1, \dots, v_n$
- $m$  clauses  $c_1, \dots, c_m$

Instance  $I$  of 3-DM

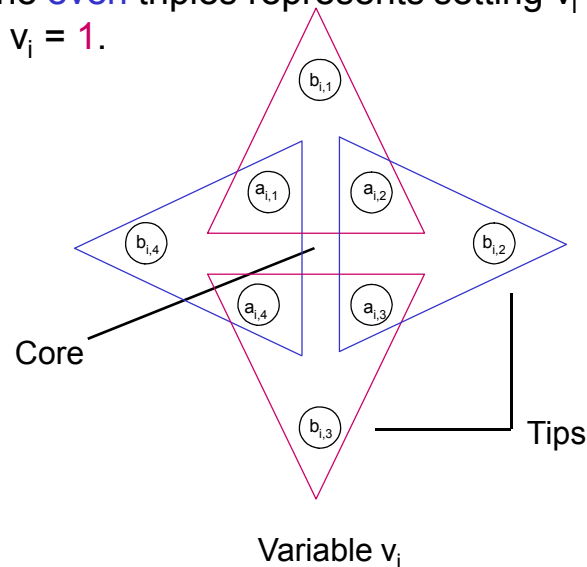
- $n$  variable gadgets
- $m$  clause gadgets
- $(n-1)m$  cleanup gadgets

*Note:* Initially, we will describe all the elements in  $I$  without trying to specify for each one whether it comes from  $B$ ,  $G$ , or  $P$ .

## 3-SAT $\leq_p$ 3-DM

□ Gadget associated with variable  $v_i$

- We define  $A_i = \{a_{i,1}, \dots, a_{i,2m}\}$ : core elements of gadget  $i$ .
- We define  $B_i = \{b_{i,1}, \dots, b_{i,2m}\}$ : elements at the tips of gadget  $i$ .
- We define  $t_{i,j} = (a_{i,j}, a_{i,(j+1) \bmod 2m}, b_{i,j})$ , for each  $j = 1, \dots, 2m$ .
- We call a  $t_{i,j}$  even if  $j$  is even and odd if  $j$  is odd.
- Elements in  $A_i$  are involved **only** in  $\{t_{i,j}\}$ .
- **Perfect matching** in gadget  $i$ : We must use either all the **even** triples and leave the **odd** tips **free**, or all the **odd** triples and leave the **even** tips **free** in gadget  $i$ .
- Encoding: Using the **even** triples represents setting  $v_i = 0$ , and using the **odd** triples represents setting  $v_i = 1$ .



## 3-SAT $\leq_p$ 3-DM

### □ Gadget associated with clause $c_j$

- We define  $P_j = \{p_{j,1}, p_{j,2}\}$ : core elements of gadget  $j$ .
- We involve them in three triples, one for each literal in the clause.
- The  $b_{i,j}$  elements in these triples reflect the three ways whereby the clause can be satisfied.

Suppose  $l$  is a literal of  $c_j$ .

If  $l = v_i$ , we define a triple  $(p_{j,1}, p_{j,2}, b_{i,2j})$ .

( $v_i = 1$ : We **cover** the **odd** tips and **leave** the **even** tips **free**. Hence, we **select** an **even** tip.)

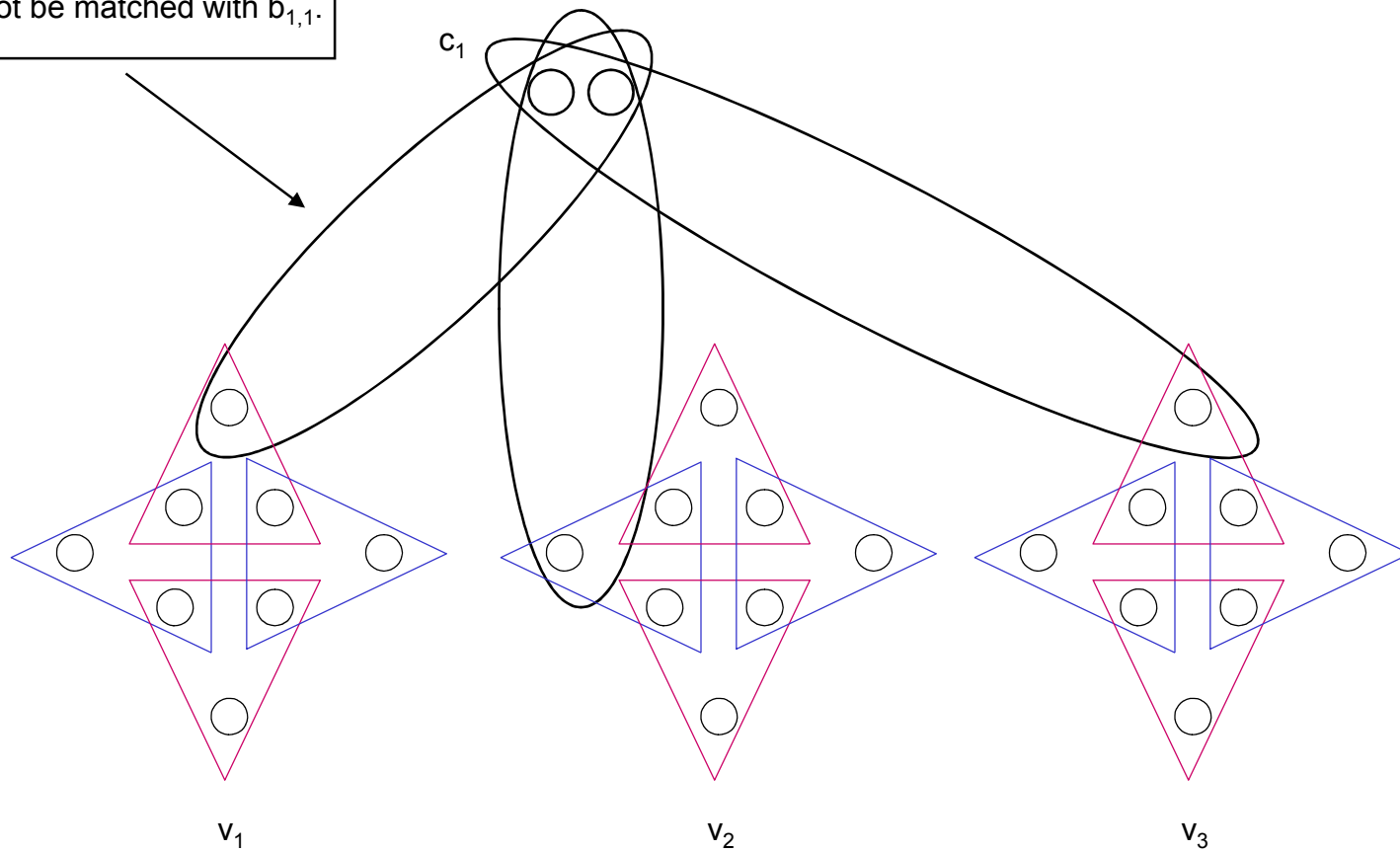
If  $l = \neg v_i$ , we define a triple  $(p_{j,1}, p_{j,2}, b_{i,2j-1})$ .

( $v_i = 0$ : We **cover** the **even** tips and **leave** the **odd** tips **free**. Hence, we **select** an **odd** tip.)

- These are the **only** three triples that cover  $P_j$ . Thus, one of them must be used.
- Elements in  $P_j$  can only be matched if some variable gadget leaves the corresponding tip **free**.

# 3-SAT $\leq_p$ 3-DM

If  $v_1 = 1$  the core elements can not be matched with  $b_{1,1}$ .



$$c_1 = \neg v_1 \vee v_2 \vee \neg v_3$$



## 3-SAT $\leq_p$ 3-DM

- Gadget associated with unmatched tip  $b_i$ 
  - In the matching defined  **$(n - 1)m$  tips** are left **unmatched**.  
 $n \cdot 2m$  (initially)  $- nm$  (covered by  $\{t_{i,j}\}$ )  $- m$  (covered by clause gadgets)  $= (n - 1)m$
  - We define  $Q_i = \{q_{i,1}, q_{i,2}\}$ : core elements of gadget  $i$ .
  - There is a triple  $(q_{i,1}, q_{i,2}, b)$  for every tip  $b$  in every variable gadget.
- Decomposition of elements of 3-DM instance into three disjoint sets  $B, G, P$ 
  - $B = \{a_{i,j} \mid j \text{ is odd}\} \cup \{p_{j,1}\} \cup \{q_{i,1}\}$
  - $G = \{a_{i,j} \mid j \text{ is even}\} \cup \{p_{j,2}\} \cup \{q_{i,2}\}$
  - $P = \{b_{i,j}\}$

## 3-SAT $\leq_p$ 3-DM

Given an instance  $\Phi$  of 3-SAT, we construct an instance  $I$  of 3-DM that has a perfect matching iff  $\Phi$  is satisfiable.

□ If  $\Phi$  has a satisfying truth assignment then  $I$  has a perfect matching.

- We make the corresponding choices of even/odd  $\{t_{i,j}\}$  for each variable gadget  $i$ .
- We match  $P_j$  with  $b_{i,j}$  that corresponds to one of its satisfying literals for each clause gadget  $j$ .
- We use the cleanup gadgets to cover the unmatched tips.
- Thus,  $I$  has a perfect matching.

□ If  $I$  has a perfect matching then  $\Phi$  has a satisfying truth assignment.

- For each variable  $v_i$ :  
We set  $v_i = 0$ , if the even  $\{t_{i,j}\}$  have been chosen in the corresponding variable gadget.  
We set  $v_i = 1$ , if the odd  $\{t_{i,j}\}$  have been chosen in the corresponding variable gadget.
- Any clause  $c_j$  is satisfiable.  
The core elements in  $P_j$  have been covered.  
At least one of the three variable gadgets corresponding to a literal in  $c_j$  chose the correct matching.  
There is a variable assignment that satisfies  $c_j$ .
- Thus,  $\Phi$  has a satisfying truth assignment.