# Photometric Stereo

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### 1 Definitions and Notations

Suppose we have M images that are indexed by i, and each image has N pixels that are indexed by j. The intensity of j-th pixel in i-th image is therefore denoted as  $I_{i,j}$ .

At j-th pixel, denote  $\mathbf{n}_j$  the surface normal,  $\rho_j$  the albedo, and  $\mathbf{b}_j = \rho_j \mathbf{n}_j$  the scaled normal. Note that these quantities are the same across all images and therefore independent of i.

Each image comes from a different lighting environment, which has a different rendering function  $\mathcal{R}_i(\cdot)$ , written as

$$I_{i,j} = \rho_j \mathcal{R}_i \left( \mathbf{n}_j \right), \tag{1}$$

and we consider following rendering models in this note.

1. Directional lighting (distant point light source)

$$\mathcal{R}_i(\mathbf{n}) = \max\{\boldsymbol{\ell}_i^{\top} \mathbf{n}, 0\}. \tag{2}$$

2. Directional lighting plus an ambient component (first order spherical harmonics<sup>1</sup>)

$$\mathcal{R}_i(\mathbf{n}) = \max\{\boldsymbol{\ell}_i^{\top} \mathbf{n} + \alpha_i, 0\}. \tag{3}$$

We also define

$$\lambda_i = \|\boldsymbol{\ell}_i\|, \quad \widehat{\boldsymbol{\ell}}_i = \frac{\boldsymbol{\ell}_i}{\lambda_i},$$
 (4)

where  $\lambda_i$  is the strength of *i*-th lighting, and  $\hat{\ell}_i$  is a unit vector for direction of *i*-th lighting.

The goal of photometric stereo is to recover the scene property  $\{\rho_j, \mathbf{n}_j\}$  from the intensity measurements  $I_{i,j}$ , given (fully or partially) calibrated lighting environment  $\mathcal{R}_i$  (·).

# 2 Fully Calibrated Lighting

#### 2.1 Directional lighting

For notational simplicity, we first only consider pixels that are not in shadow, and drop the  $\max\{\cdot, 0\}$  part of the rendering function

$$I_{i,j} = \rho_j \boldsymbol{\ell}_i^{\mathsf{T}} \mathbf{n}_j = \boldsymbol{\ell}_i^{\mathsf{T}} \mathbf{b}_j. \tag{5}$$

<sup>&</sup>lt;sup>1</sup>The first order spherical harmonics model sometimes does not have the  $\max \{\cdot, 0\}$  part.

Define  $\mathbf{I}_j \in \mathbb{R}^{M \times 1}$  the (vertical) concatenation of all  $I_{i,j}$  for  $1 \leq i \leq M$ , and  $\mathbf{L} \in \mathbb{R}^{3 \times M}$  the (horizontal) concatenation of all  $\ell_i$ . Then we have

$$\mathbf{I}_j = \boldsymbol{L}^{\mathsf{T}} \mathbf{b}_j, \tag{6}$$

where  $I_j$  are measured intensities,  $L^{\top}$  are calibrated lighting parameters, and  $b_j$  is the unknown scene property, which can be easily solved in the least squares manner

$$\mathbf{b}_j = (\mathbf{L}^\top)^\dagger \mathbf{I}_j = (\mathbf{L}\mathbf{L}^\top)^{-1} \mathbf{L} \mathbf{I}_j. \tag{7}$$

And finally, we can recover  $\rho_j$  and  $\mathbf{n}_j$  as

$$\rho_j = \|\mathbf{b}_j\|, \quad \mathbf{n}_j = \frac{\mathbf{b}_j}{\rho_j}. \tag{8}$$

## 2.2 Directional lighting with an ambient component

The rendering equation in this model can be written as

$$I_{i,j} = \rho_j \left( \boldsymbol{\ell}_i^{\top} \mathbf{n}_j + \alpha_i \right) = \boldsymbol{\ell}_i^{\top} \mathbf{b}_j + \alpha_i ||\mathbf{b}_j||.$$
 (9)

Then we can formulate estimation of scene property as the following optimization problem

$$\min_{\mathbf{b}_j} \quad \sum_{i=1}^{M} \left( I_{i,j} - \boldsymbol{\ell}_i^{\top} \mathbf{b}_j - \alpha_i || \mathbf{b}_j || \right)^2.$$
 (10)

Unfortunately, we do not have a fast (non-iterative) algorithm for solving this problem yet.

## 3 Partially Calibrated Lighting

In reality, we can usually calibrate part of the lighting property accurately. For example, using a chrome sphere, we are able to calibrate the lighting directions  $\hat{\ell}_i$ , but not their strengths  $\lambda_i$ . Therefore, we also need to estimate the unknown lighting parameters  $\lambda_i$  from the measurements  $I_{i,j}$ .

Since the number of images M is much smaller than the number of pixels N, the number of unknown lighting parameters is also much smaller than number of scene property parameters. We define a cost function on lighting parameters

$$cost(\{\lambda_i\}) = \min_{\{\rho_j, \mathbf{n}_j\}} \sum_{i=1}^{M} \sum_{j=1}^{N} (I_{i,j} - \rho_j \mathcal{R}_i(\mathbf{n}_j))^2,$$
(11)

where the minimization can be solved as a fully-calibrated problem described in previous section. We can then perform a optimization on this cost to find the optimal lighting parameters  $\{\lambda_i\}$ .

#### 3.1 Directional lighting

We give the specific form of lighting strength estimation under the directional lighting model. The cost function to be minimized is

$$\operatorname{cost}(\{\lambda_{i}\}) = \min_{\{\rho_{j}, \mathbf{n}_{j}\}} \sum_{i=1}^{M} \sum_{j=1}^{N} \left( I - \lambda_{i} \rho_{j} \widehat{\boldsymbol{\ell}}_{i}^{\mathsf{T}} \mathbf{n}_{j} \right)^{2} = \sum_{j=1}^{M} \min_{\mathbf{b}_{j}} \|\mathbf{I}_{j} - \boldsymbol{L}(\boldsymbol{\lambda})^{\mathsf{T}} \mathbf{b}_{j}\|^{2},$$
(12)

where

$$\boldsymbol{L}(\boldsymbol{\lambda}) = \left[ \begin{array}{ccc} \lambda_1 \widehat{\boldsymbol{\ell}}_1 & \cdots & \lambda_M \widehat{\boldsymbol{\ell}}_M \end{array} \right]. \tag{13}$$

The minimization over  $\mathbf{b}_j$  inside the sum can be analytically solved by a linear least squares, resulting in a cost function

$$cost(\{\lambda_i\}) = \sum_{j=1}^{M} \|\mathbf{I}_j - \boldsymbol{L}(\boldsymbol{\lambda})^{\top} (\boldsymbol{L}(\boldsymbol{\lambda})\boldsymbol{L}(\boldsymbol{\lambda})^{\top})^{-1} \boldsymbol{L}(\boldsymbol{\lambda}) \mathbf{I}_j\|^2.$$
(14)

This is a non-linear least squares problem that can be solved with a Levenberg-Marquardt algorithm. For completeness, we provide the gradient (Jacobian) of the cost function.

First, the partial derivative of matrix  $L(\lambda)$  over  $\lambda_i$  is simply

Now we define

$$\mathbf{f}_{j} = \boldsymbol{L}^{\top} \left( \boldsymbol{L} \boldsymbol{L}^{\top} \right)^{-1} \boldsymbol{L} \, \mathbf{I}_{j} - \mathbf{I}_{j}, \tag{16}$$

and take partial derivative of  $\mathbf{f}_i$  with respect to  $\lambda_i$ 

$$\frac{\partial \mathbf{f}_{j}}{\partial \lambda_{i}} = \frac{\partial}{\partial \lambda_{i}} \left( \mathbf{L}^{\top} \left( \mathbf{L} \mathbf{L}^{\top} \right)^{-1} \mathbf{L} \right) \mathbf{I}_{j} \tag{17}$$

$$= \left(\frac{\partial \boldsymbol{L}^{\top}}{\partial \lambda_{i}} \left(\boldsymbol{L} \boldsymbol{L}^{\top}\right)^{-1} \boldsymbol{L} + \boldsymbol{L}^{\top} \frac{\partial \left(\boldsymbol{L} \boldsymbol{L}^{\top}\right)^{-1}}{\partial \lambda_{i}} \boldsymbol{L} + \boldsymbol{L}^{\top} \left(\boldsymbol{L} \boldsymbol{L}^{\top}\right)^{-1} \frac{\partial \boldsymbol{L}}{\partial \lambda_{i}}\right) \boldsymbol{I}_{j}$$
(18)

$$= \left(\frac{\partial \mathbf{L}^{\top}}{\partial \lambda_{i}} \left(\mathbf{L} \mathbf{L}^{\top}\right)^{-1} \mathbf{L} + \mathbf{L}^{\top} \frac{\partial \left(\mathbf{L} \mathbf{L}^{\top}\right)^{-1}}{\partial \lambda_{i}} \mathbf{L} + \mathbf{L}^{\top} \left(\mathbf{L} \mathbf{L}^{\top}\right)^{-1} \frac{\partial \mathbf{L}}{\partial \lambda_{i}}\right) \mathbf{I}_{j}$$
(19)

$$= \left(\frac{\partial \boldsymbol{L}^{\top}}{\partial \lambda_{i}} \left(\boldsymbol{L} \boldsymbol{L}^{\top}\right)^{-1} \boldsymbol{L} - \boldsymbol{L}^{\top} \left(\boldsymbol{L} \boldsymbol{L}^{\top}\right)^{-1} \frac{\partial \left(\boldsymbol{L} \boldsymbol{L}^{\top}\right)}{\partial \lambda_{i}} \left(\boldsymbol{L} \boldsymbol{L}^{\top}\right)^{-1} \boldsymbol{L} + \boldsymbol{L}^{\top} \left(\boldsymbol{L} \boldsymbol{L}^{\top}\right)^{-1} \frac{\partial \boldsymbol{L}}{\partial \lambda_{i}}\right) \boldsymbol{I}_{j}. \tag{20}$$

The last step is based on the fact that for any invertible matrix A that depends on a parameter t, we have [1]

$$\frac{d\mathbf{A}^{-1}}{dt} = -\mathbf{A}^{-1} \frac{d\mathbf{A}}{dt} \mathbf{A}^{-1}.$$
 (21)

## References

[1] Wikipedia. Plagiarism — Wikipedia, the free encyclopedia, 2014. [Online; accessed 08-February-2014].