

Homework 6

Homework 6.1

Problem 1 We can directly calculate

$$\begin{aligned}
\lim_{k \rightarrow \infty} \nabla L_{\text{NCE}}^{(k)}(\theta; h) &= \lim_{k \rightarrow \infty} \left[\sum_w \tilde{p}_{w|h}(w|h) \left(\frac{1}{u^\theta(w, h)} - \frac{1}{u^\theta(w, h) + k q_{\bar{w}}(w)} \right) \cdot \nabla u^\theta(w, h) \right. \\
&\quad \left. + \sum_{1 \leq i \leq k, \bar{w}} q_{\bar{w}}(\bar{w}) \left(-\frac{1}{k q_{\bar{w}}(\bar{w})} \right) \cdot \nabla u^\theta(\bar{w}, h) \right] \\
&= \sum_w \tilde{p}_{w|h}(w|h) \left(\frac{1}{u^\theta(w, h)} \right) \cdot \nabla u^\theta(w, h) - \sum_{\bar{w}} \nabla u^\theta(\bar{w}, h) \\
&\approx \sum_w (\tilde{p}_{w|h}(w|h) - p_{w|h}^\theta(w|h)) \nabla \log u^\theta(w, h),
\end{aligned}$$

where the final step uses the fact that the partition function Z is approximated to 1.

Homework 6.2

Problem 1

1. It is the self-attention and cross-attention parts, since the attention calculation has complexity $O(n^2)$, where n is the input sequence length.

2. The paper proposes to split the sequence into segments. Then, attention is only fully calculated within the segment; however, the hidden states in the previous segment is added in as a context without gradient. The training pseudo-code can be shown as below:

- 1: Split the sequence into segments
- 2: **for** each layer number n **do**
- 3: **for** each segment number t **do**
- 4: Concatenate the hidden states of the previous segment (but without gradient) $\text{NoGrad}(h_{t-1}^{(n-1)})$ with the current segment's hidden state $h_t^{(n-1)}$
- 5: Calculate the attention query based on solely h_t^{n-1} , but calculate the key and value based on the concatenated hidden states.

- 6: Use attention mechanism to calculate the output $h_t^{(n)}$, which is the hidden state² of the current segment at layer n .
- 7: **end for**
- 8: **end for**

Problem 2

For the sentiment analysis task, we should use BERT, since it is a pretrained transformer encoder. BERT can extract features of the text bidirectionally, so it can perform better on the task. For fine-tuning, we should add a MLP projection head on the top of the output hidden states of BERT and try to learn the sentiment from the output.

For the closed-book question answering task, we should use GPT-2. GPT-2 is a pretrained transformer decoder, which can generate text based on the context. For the fine-tuning, we can use the context as the input and the question as the output, and train the model on the corpus just as training a autoregressive language model.