

# *Data Structures*

## *Lec-6 Trees and Heaps, and Reviews*

# Midterm Schedule

## Written-Based

- **Wednesday Mar 6, 2024**, Lecture Time
- 9:10 – 11:10(2 Hrs)
- the content will be up to and include everything in the first 6 weeks;
- **in our normal lecture room LT-6;**
- **Format (total 16 Qs):**
  - Answer whether the following statements are correct or not.
    - *Time complexity of Insertion in XXX is  $O(n)$ .*
  - What is the output of the following program?
  - What is the running time of the following code?
  - Show the result of doing operation xxx.
  - Complete the implementation so that the program can XXX.

# Midterm Schedule

## Programming

- **Wednesday Mar 13, 2024**, Lecture Time
- Using Online Judge system.
- 9:10 – 11:10(2 Hrs), **A total of 4 questions** will be provided
  - 1 correctly-answered Q will get 40pts, 2 correctly-answered Qs will get 70pts,  $\geq 3$  correctly-answered Qs will get 100pts.
  - Submit and try the best you can as partial credits will be considered **when 0 Qs is correctly answered**
- CSC computer rooms in AC2 and CS Lab in MMW
- Content will **be up to and include basic Tree (week 5)**.
- **Do not share/copy code** as we will be running checking-software
- Checking online library documents is allowed
- Feel free to use your own laptop, draft paper, etc, but you **cannot discuss with your classmate(s)**

# Midterm Schedule

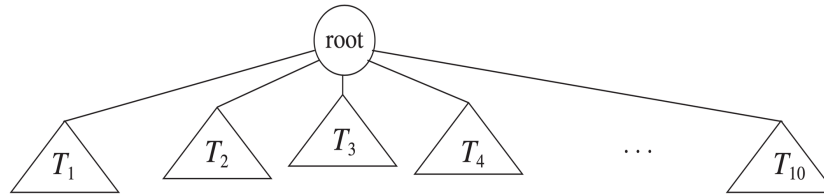
## Programming

- Using Remote Desktop Service (if can't not connect to the OJ system)
  - <https://cslab.cs.cityu.edu.hk/services/cslab-campus-wide-remote-desktop-service>
  - <https://cslab.cs.cityu.edu.hk/services/macros-remote-desktop-service>
- **Do not share/copy code** as we will be running checking-software

# Objective

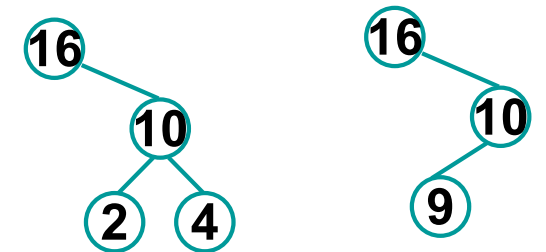
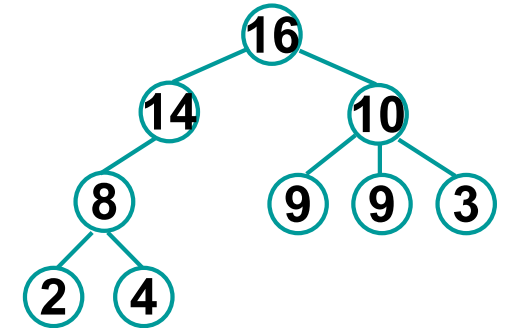
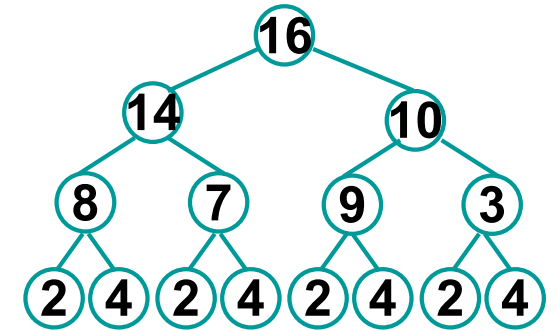
- Definition and Terminology
- Binary Tree
  - Operations
  - Recursive functions
  - Traversal
- Binary Search Tree
  - Insertion, **deletion**
- **Binary Representation of General Tree**

# Definition and Terminology



Tree is defined as a finite set  $T$  of one or more nodes such that:

- a) there is one specially designated node called **the root of the tree**,  $root(T)$  and
- b) the remaining nodes (excluding the root) are partitioned into  $m$  disjoint sets  $\{T_1, T_2, \dots, T_m\}$  and each of these sets in turn is a tree. The trees  $\{T_1, T_2, \dots, T_m\}$  are called **the subtrees of the root**.



4 examples

For subtrees  $T_1, T_2, \dots, T_m$ , each of their roots are connected by a directed edge from the root node.

# Definition and Terminology

## *Terminology:*

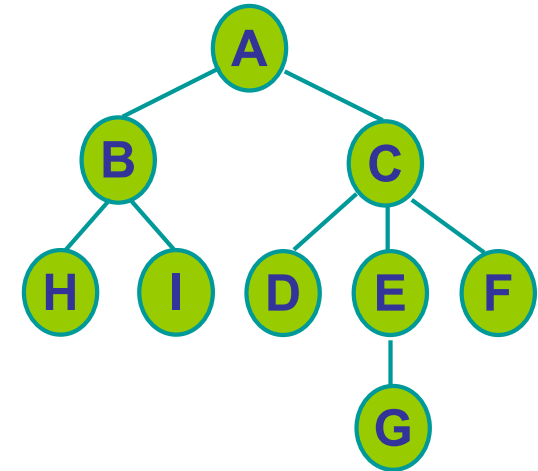
<b>Degree</b> of a node	The number of subtrees of a node
<b>Terminal node</b> or <b>leaf</b>	A node of degree zero
<b>Branch node</b> or <b>internal node</b>	A nonterminal node
<b>Parent</b> and <b>Siblings</b>	Each node is said to be the parent of the roots of its subtrees, and the latter are said to be siblings; they are children of their parent.
<b>A Path from <math>n_1</math> to <math>n_k</math></b>	a sequence of nodes $n_1, n_2, \dots, n_k$ such that $n_i$ is the parent of $n_{i+1}$ for $0 < i < k$ . The length of this path is the number of edges on the path
<b>Ancestor</b> and <b>Descendant</b>	If there is a path from $n_1$ to $n_k$ , we say $n_k$ is the descendant of $n_1$ and $n_1$ is the ancestor of $n_k$ .
<b>Level</b> or <b>Depth</b> of node	The length of the unique path from root to this node.
<b>Height</b> of a tree	The maximum level of any leaf in the tree.

# Definition and Terminology

**Level** of node :

State the levels of all the nodes:

A:\_\_\_\_, B:\_\_\_\_, C:\_\_\_\_,  
D:\_\_\_\_, E:\_\_\_\_, F:\_\_\_\_,  
G:\_\_\_\_, H:\_\_\_\_, I:\_\_\_\_



**Root** of a tree:

Root of the tree is: \_\_\_\_\_

**Height** of a tree:

Height of the tree is: \_\_\_\_\_

**Degree** of a node :

State the degrees of:

A:\_\_\_\_, B:\_\_\_\_, C:\_\_\_\_,  
D:\_\_\_\_, E:\_\_\_\_, F:\_\_\_\_,  
G:\_\_\_\_, H:\_\_\_\_, I:\_\_\_\_

**Terminal node** or **leaf**: State all the leaf nodes: \_\_\_\_\_

**Branch node**: State all the branch nodes: \_\_\_\_\_



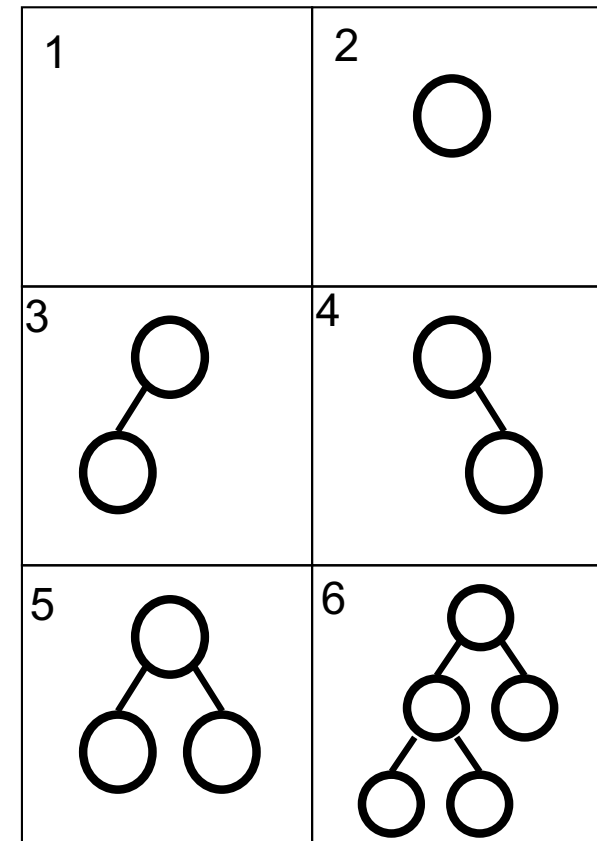
# Binary Tree

## ***Definition:***

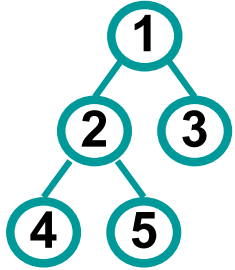
**Binary tree** can be defined as a finite set of nodes that either

- is empty, or
- consists of
  - (1) a **root**, and
  - (2) the elements of 2 disjoint **binary trees** called the left and right subtrees of the root.

## **6 Examples of Binary tree:**



# Properties of Binary Tree

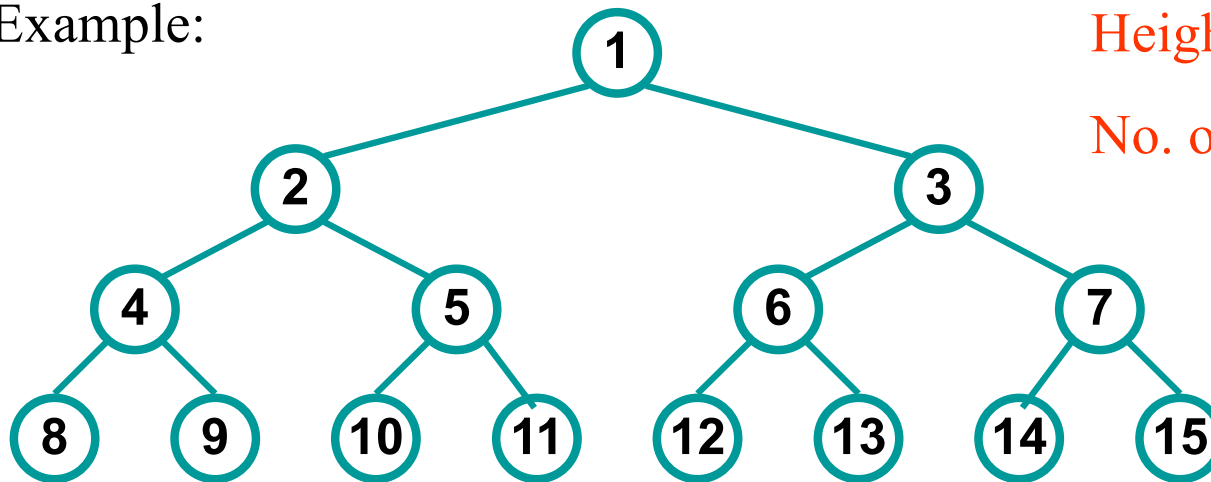


## Maximum number of nodes

- Consider the levels of a binary tree: level 0, level 1, level 2, ..
- Maximum number of nodes on a level is  $2^{level\_id}$ .
- Maximum number of nodes in a binary tree is  $2^{height\_of\_tree+1} - 1$ .

**Full Binary Tree:**  $No. of nodes = 2^{height\_of\_tree+1} - 1$

Example:

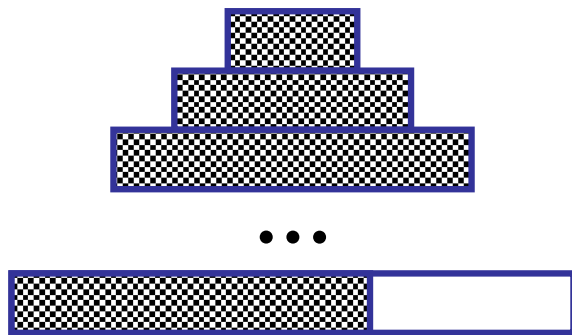


Height of tree = 3

No. of nodes =  $2^{height\_of\_tree+1} - 1$   
= 15

# Properties of Binary Tree

## Complete Binary Tree

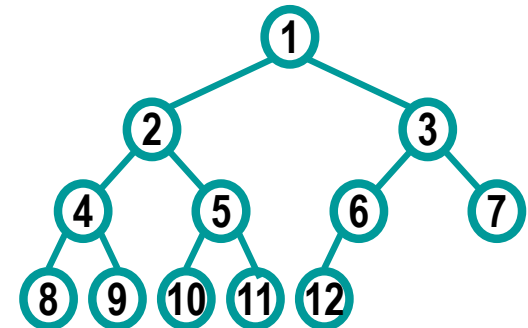


A complete binary tree is like a full binary tree,  
But in a complete binary tree,

- Except the bottom level: all are fully filled.
- The bottom level: The filled slots are at the left of the empty slots (if any).

**Definition:** A binary tree with  $n$  nodes and height  $k$  is **complete** if and only if its nodes correspond to the nodes numbered from 1 to  $n$  in the fully binary tree of height  $k$ .

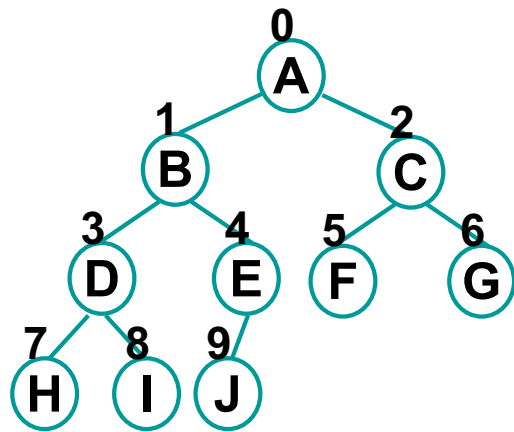
- Each leaf in a tree is either at level  $k$  or level  $k-1$
- Each node has exactly 2 subtrees at level 0 to level  $k-2$



# Array Representation of Binary Tree

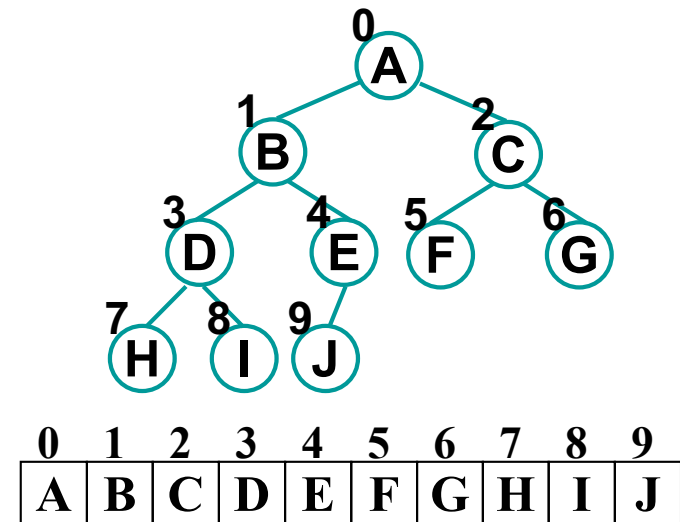
## Array Representation of Binary Tree

A numbering scheme:

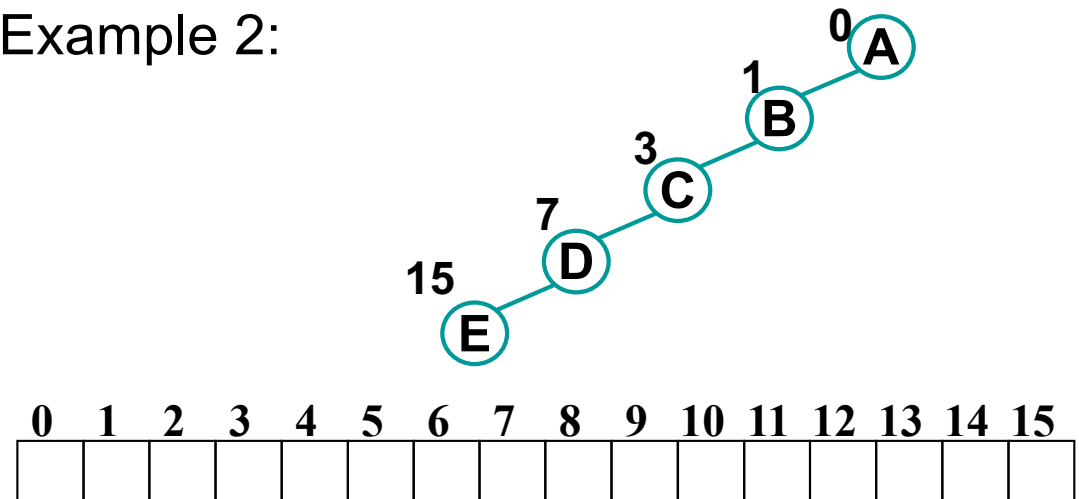


We can **represent binary trees using array** by applying this numbering scheme.

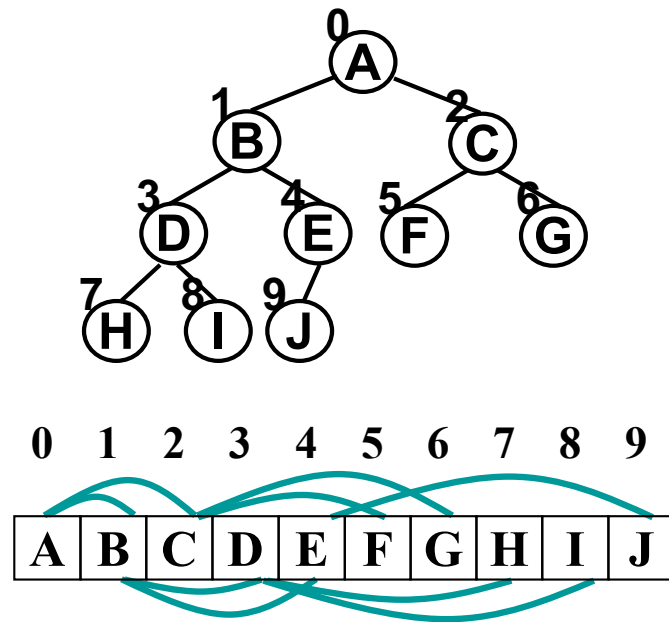
## Example 1:



## Example 2:



# Array Representation of Binary Tree



Children of a node at slot  $i$ :

$$\text{Left}(i) = 2i+1$$

$$\text{Right}(i) = 2i+2$$

Parent of a node at slot  $i$ :

$$\text{Parent}(i) = \lfloor (i-1)/2 \rfloor$$

$\lfloor x \rfloor$ : “Floor” The greatest integer less than  $x$

$\lceil x \rceil$ : “Ceiling” The least integer greater than  $x$

For any slot  $i$ ,

If  $i$  is **odd**: it represents a left son.

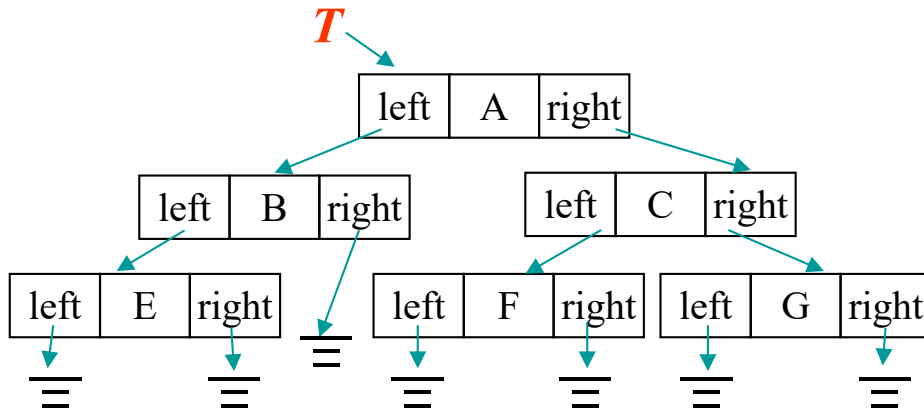
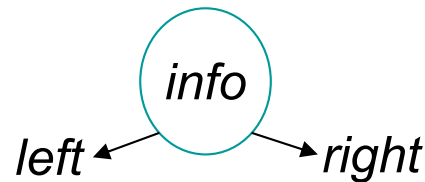
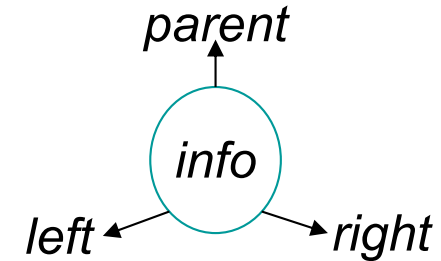
If  $i$  is **even** (but not zero): it represents a right son.

The node **at the right of** the represented node of  $i$  (if any), is at  $i+1$ .

The node **at the left of** the represented node of  $i$  (if any), is at  $i-1$ .

# Linked Representation of Binary Tree

- Each node can contain *info*, *left*, *right*, *parent* fields
- where *left*, *right*, *parent* fields are node pointers pointing to the node's left son, right son, and parent, respectively.
- If the tree is always traversed in downward fashion (from root to leaves), the parent field is unnecessary.



```
class TreeNode
{
private:
    int info;
    TreeNode* left;
    TreeNode* right;
};
class Mytree
{
private:
    TreeNode* root;
}
```

- If the tree is empty, root = NULL; otherwise from root you can find all nodes.
- root->left and root->right point to the left and right subtrees of the root, respectively.

# Link Representation of Binary Tree

```
#include <stdlib.h>
#include <stdio.h>

class TreeNode
{
private:
    int info;
    TreeNode* left;
    TreeNode* right;
public:
    TreeNode();

    //create a new left child of a given node
    void SetLeft(int value) {..}

    //create a new right child of a given node
    void SetRight(int value) {..}

    void Insert(int );
}
```

```
class Mytree
{
private:
    TreeNode* root;
public:
    Tree();
    GetHeight();
    Compare(Mytree*);
    void InsertNode(int );
    void PreorderTraversal();
    void PreorderHelper(TreeNode*);
    void InorderTraversal();
    void InorderHelper(TreeNode*);
    void PostorderTraversal();
    void PostorderHelper(TreeNode*);
}
```

# Array Representation of Binary Tree

□ **Application: Find all duplicates in a list of numbers.**

**Method 1:** Compare each number with those before (or after) it.

e.g., to find all duplicates in <7 4 5 9 5 8 3 3>, we need to compare:

4 with 7

5 with 7,4

9 with 7,4,5

5 with 7,4,**5**,9

8 with 7,4,5,9,5

3 with 7,4,5,9,5,8

3 with 7,4,5,9,5,8,**3**

**Method 2:** Use a special binary tree (Binary Search Tree), T:

- Read number by number.
- Each time compare the number with the contents of T.
- If it is found duplicated, then output, otherwise add it to T.

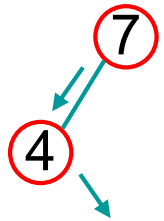


# Array Representation of Binary Tree

## ➤ Using Binary Search Tree to Find All Duplicates in a List of Numbers

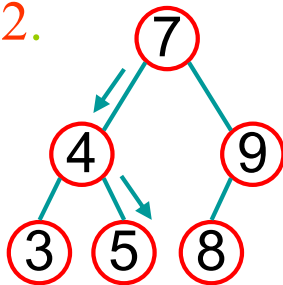
Indeed, searching and insertion are very quick in a binary search tree:

**Example 1.** The steps to insert a '5':



1. Compare '5' with the root. '5' is smaller than the root, so,
2. Go to left subtree, which has root = '4', '5' is larger than '4', so,
3. Go to the right subtree of '4', which is empty. => **insert** here.

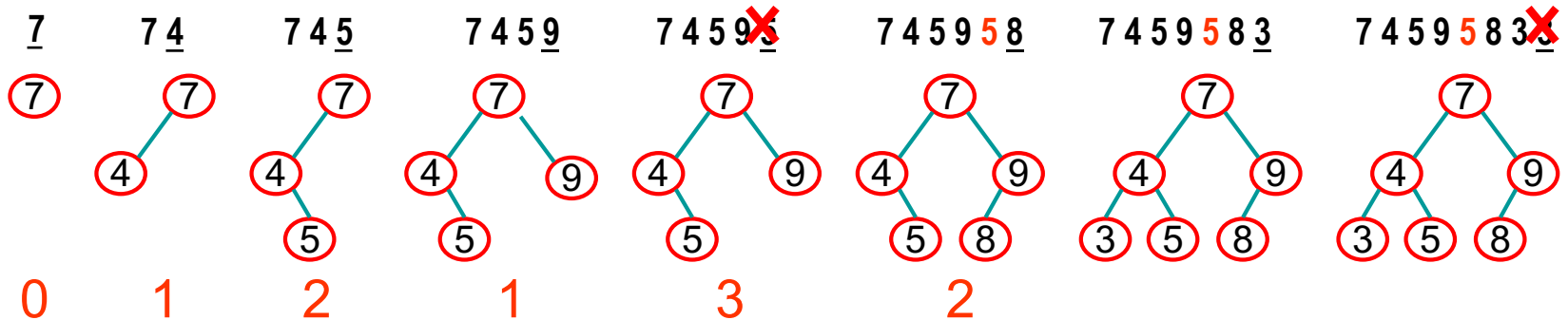
**Example 2.**



The steps to insert a '5':

1. <same as example 1.>
2. <same as example 1.>
3. Go to the right subtree of '4', which has the root = '5'.  
**Found=>no need to insert.**

**No. of  
comparisons  
required:**



# Array Representation of Binary Tree

➤ Using Binary Search Tree to Find All Duplicates in a List of Numbers

**Method 2 - Use a special binary search tree (Binary Search Tree), T:**

- Read number by number.
- Each time compare the number with the contents of **T**.
- If it is found duplicated, then output, otherwise add it to **T**.

## **Exercise:**

Create a binary search tree according to the input sequence:

<3, 5, 0, 2, 7, 9, 6, 8>

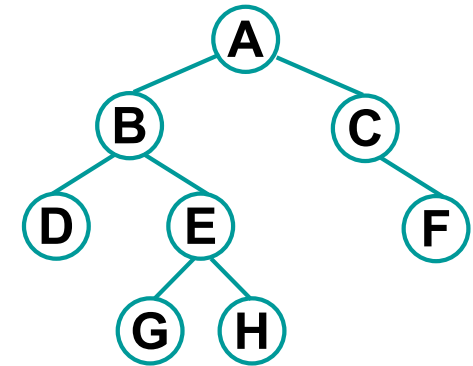
# Binary Tree Operations - height

## Review:



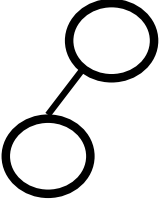
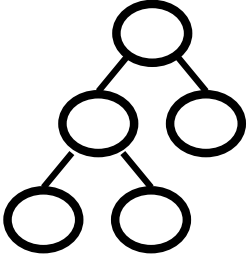
**Depth** of node : The depth of root(T) is zero.

The depth of any other node is **one larger than** his parent's depth.

**Height** of a tree: The maximum depth of any leaf in the tree.



Example:

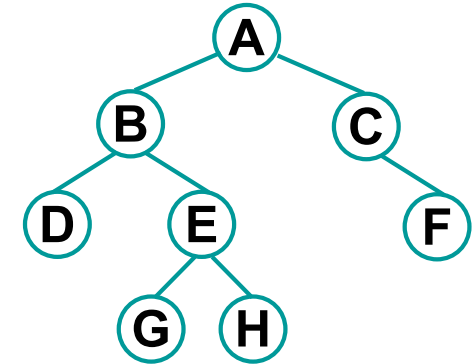
 Height of a NULL binary tree is <b>-1</b> or <b>0</b>	 Height of a tree with 1 node is <b>0</b>	 Height = <b>1</b>	 Height = <b>2</b>
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# Binary Tree Operations - height

//To determine the height of a binary tree


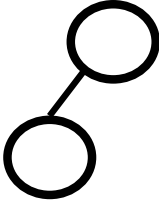
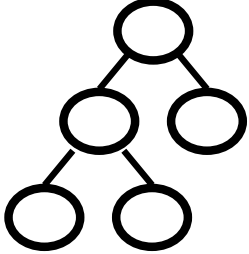
```
int Mytree::height() {  
    return root->height();  
}
```

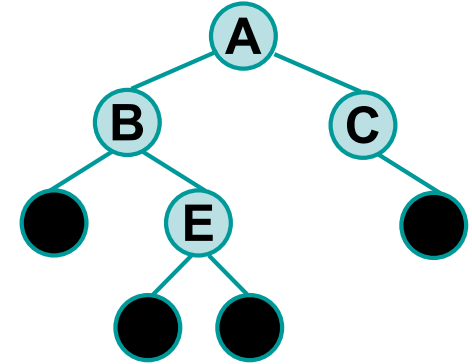
```
int TreeNode::height( )  
{  
    int HeightOfLeftSubTree, HeightOfRightSubTree;  
    if (this == NULL)  
        return(0);  
  
    if ((this->left == NULL) && (this->right == NULL))  
        return(0); // the subroot is at level 0  
  
    HeightOfLeftSubTree = this->left->height();  
    HeightOfRightSubTree = this->right->height();  
  
    if (HeightOfLeftSubTree > HeightOfRightSubTree)  
        return___HeightOfLeftSubTree + 1___;  
    else  
        return___HeightOfRightSubTree + 1___;  
}
```



# Binary Tree Operations - countleaves

Example:

A NULL binary tree has <b>0</b> leaf node	 A tree with 1 node has <b>1</b> leaf node	 No. of leaf nodes = <b>1</b>	 No. of leaf nodes = <b>3</b>
---	--	--	---



//To count the number of leaf nodes

```
int Mytree::count_leaf(TreeNode* p)
{
    if (p == NULL)
        return(0);
    else if ((p->left == NULL) && (p->right == NULL))
        return(1);
    else
        return(count_leaf(p->left) + count_leaf(p->right));
}
```

# Binary Tree Operations - equal

```
// To compare 2 binary trees
bool Mytree::equal(Mytree* T)
{
    return root->equal(T->root);
}
```

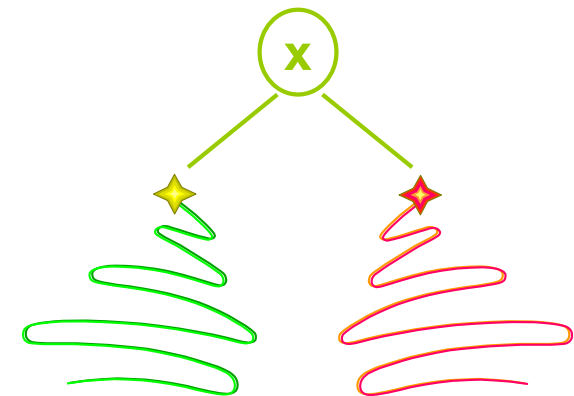
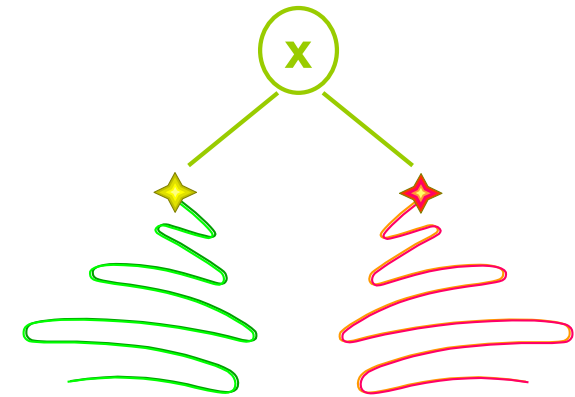
```
bool TreeNode::equal(TreeNode* TN)
{
    if ((this == NULL) && (TN == NULL))
        return(true);

    if ((this != NULL) && (TN == NULL))
        return(false);

    if ((TN != NULL) && (this == NULL))
        return(false);

    if (this->info == TN->info)
        if (this->left->equal(TN->left) &&
            this->right->equal(TN->right))
            return(true);

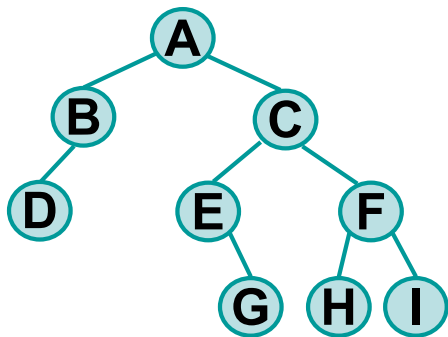
    return(false);
}
```



# Traversing Binary Tree

## Traversing / walking through

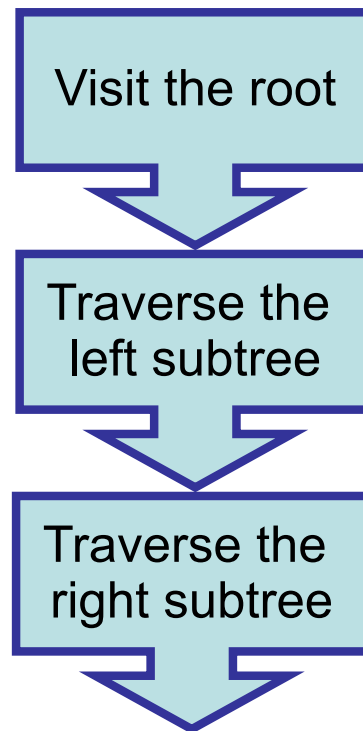
A method of examining the nodes of the tree systematically so that each node is visited exactly once.



## Three principle ways:

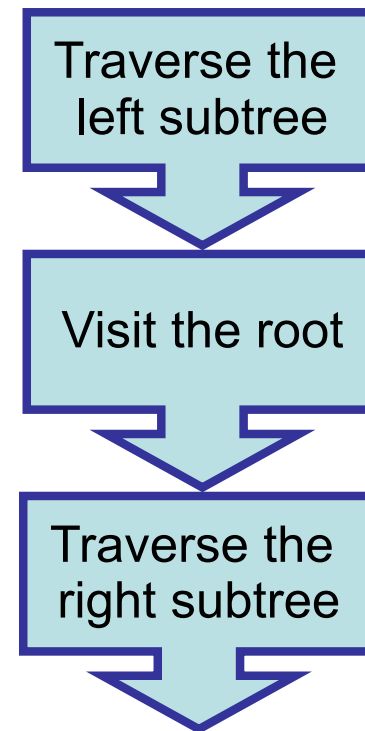
When the binary tree is empty, it is “traversed” by doing nothing, otherwise:

### preorder traversal



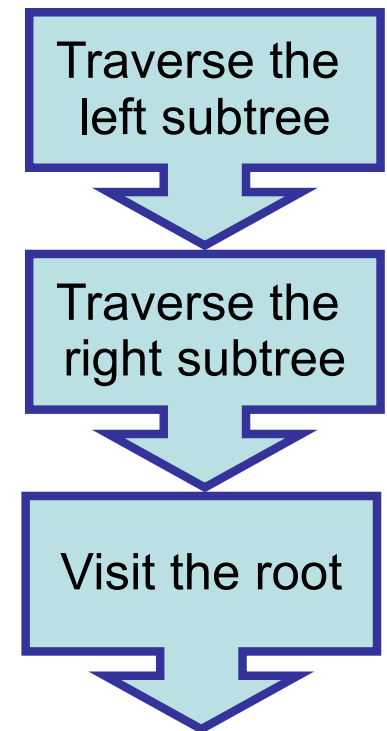
**A B D C E G F H I**

### inorder traversal



**D B A E G C H F I**

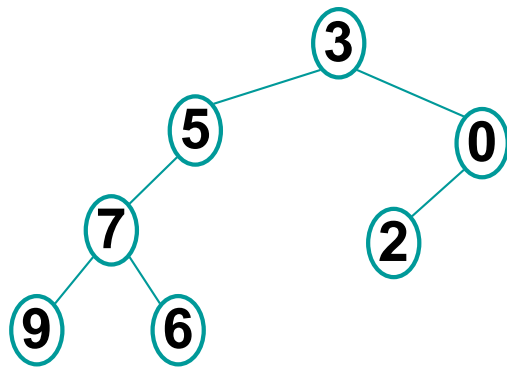
### postorder traversal



**D B G E H I F C A**

# Exercise 1

Showing the preorder, inorder and postorder traversals of the tree:



preorder:

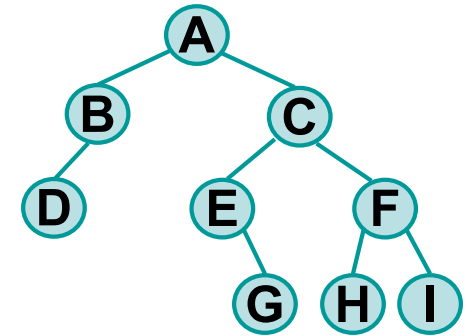
inorder:

postorder:



# Traversing Binary Tree

When the binary tree is empty, it is “traversed” by doing nothing, otherwise:



## preorder traversal

Visit the root

Traverse the  
left subtree

Traverse the  
right subtree

**A B D C E G F H I**

Result:

= A (A's left) (A's right)  
= A B (B's left) (B's right = NULL) (A's right)  
= A B (B's left) (A's right)  
= A B D (D's left=NULL) (D's right = NULL) (A's right)  
= A B D (A's right)  
= A B D C (C's left) (C's right)  
= A B D C E (E's left=NULL) (E's right) (C's right)  
= A B D C E (E's right) (C's right)  
= A B D C E G (G's left=NULL) (G's right = NULL) (C's right)  
= A B D C E G (C's right)  
= A B D C E G F (F's left) (F's right)  
= A B D C E G F H (H's left=NULL) (H's right =NULL) (F's right)  
= A B D C E G F H I (I's left=NULL) (I's right =NULL)  
= A B D C E G F H I

# Traversing Binary Tree

## Reconstruction of Binary Tree from its preorder and Inorder sequences

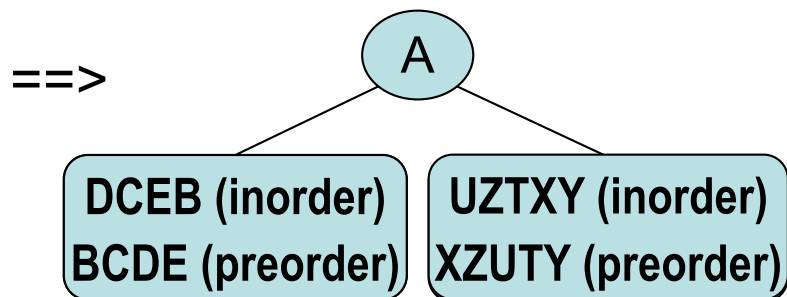
**Example:** Given the following sequences, find the corresponding binary tree:

preorder : ABCDEXZUTY

inorder : DCEBAUZTXY

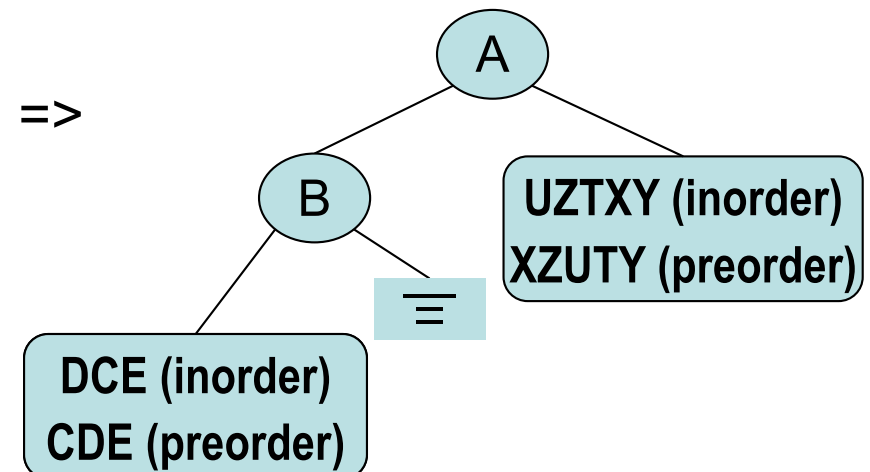
### Looking at the whole tree:

- “preorder : **A**BCDEXZUTY”  
==> A is the root.
- Then, “inorder : DCEBAUZTXY”



### Looking at the left subtree of A:

- “preorder : BCDE”  
==> B is the root
- Then, “inorder: DCEB”



# Traversing Binary Tree

## Reconstruction of Binary Tree from its preorder and Inorder sequences

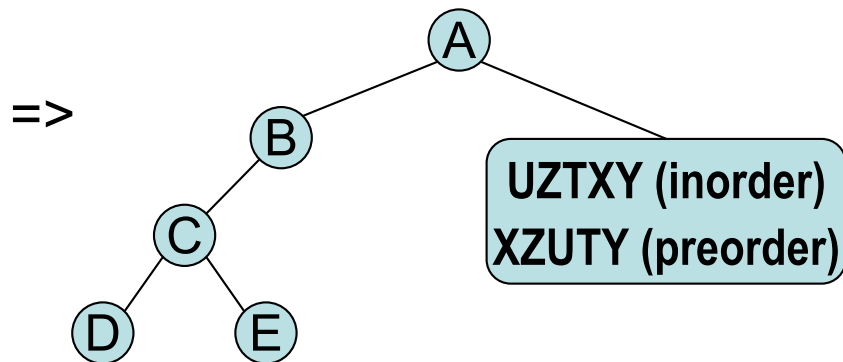
**Example:** Given the following sequences, find the corresponding binary tree:

preorder : ABCDEXZUTY

inorder : DCEBAUZTXY

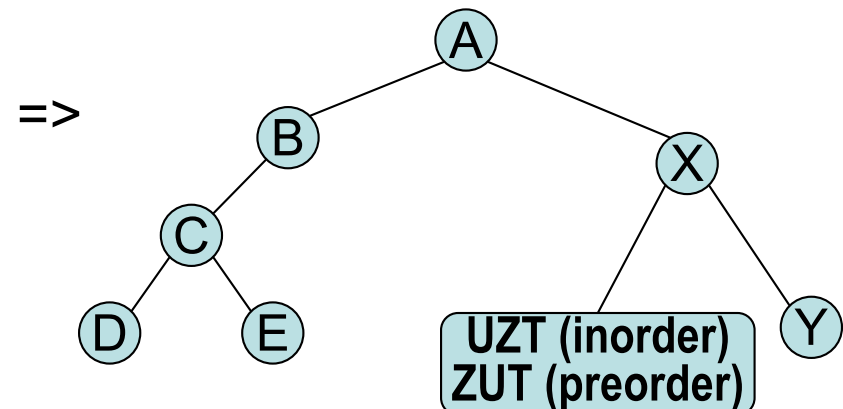
### Looking at the left subtree of B:

- “preorder : CDE”  
==> C is the root
- Then, “inorder: DCE”



### Looking at the right subtree of A:

- “preorder : XZUTY”  
==> X is the root
- Then, “inorder: UZTXY”



# Traversing Binary Tree

## Reconstruction of Binary Tree from its preorder and Inorder sequences

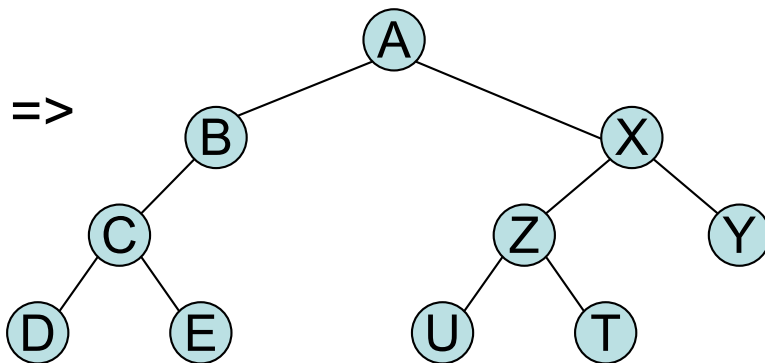
**Example:** Given the following sequences, find the corresponding binary tree:

preorder : ABCDEXZUTY

inorder : DCEBAUZTXY

**Looking at the left subtree of X:**

- “preorder : ZUT”  
==> Z is the root
- Then, “inorder: UZT”



# Traversing Binary Tree

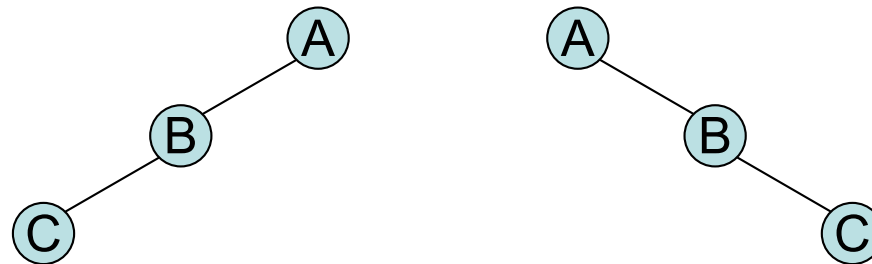
**But** A binary tree may not be uniquely defined by its preorder and postorder sequences.

Example:

**Preorder sequence: ABC**

**Postorder sequence: CBA**

We can construct 2 different binary trees:

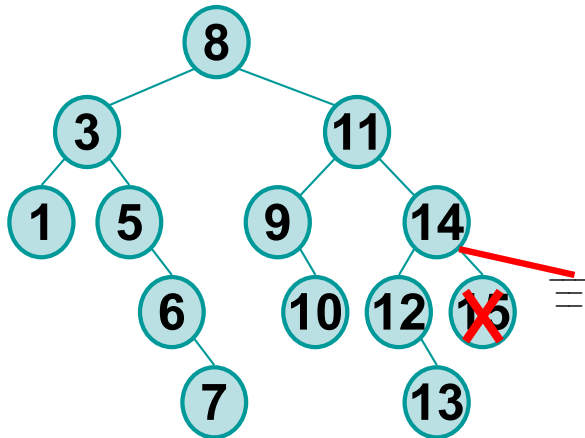


# Binary Search Tree - Deletion

Delete a node in a BST: **void Mytree::delete(TreeNode\* node)**

## Case 1:

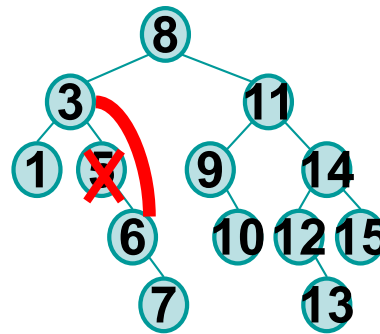
To delete a leaf node



- Set the parent node's child pointer to NULL

## Case 2:

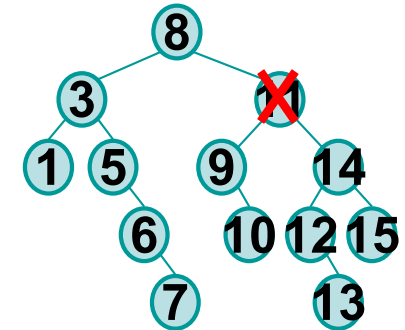
To delete a node that has 1 subtree (left or right)



- Set the parent's child pointer to root of unwanted node's subtree (left or right).

## Case 3:

To delete a node that has 2 subtrees



More complicated

# Binary Search Tree - Deletion

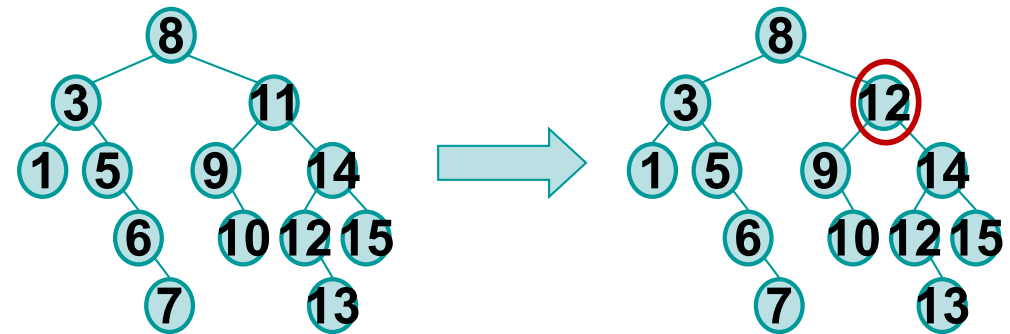
Delete a node in a BST: **void Mytree::delete(TreeNode\* node)**

## Case 3:

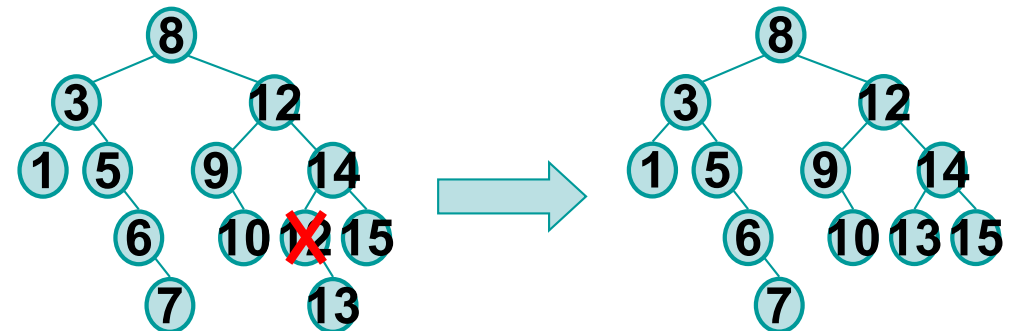
To delete a node that has 2 subtrees

- Replace its value by its inorder successor:

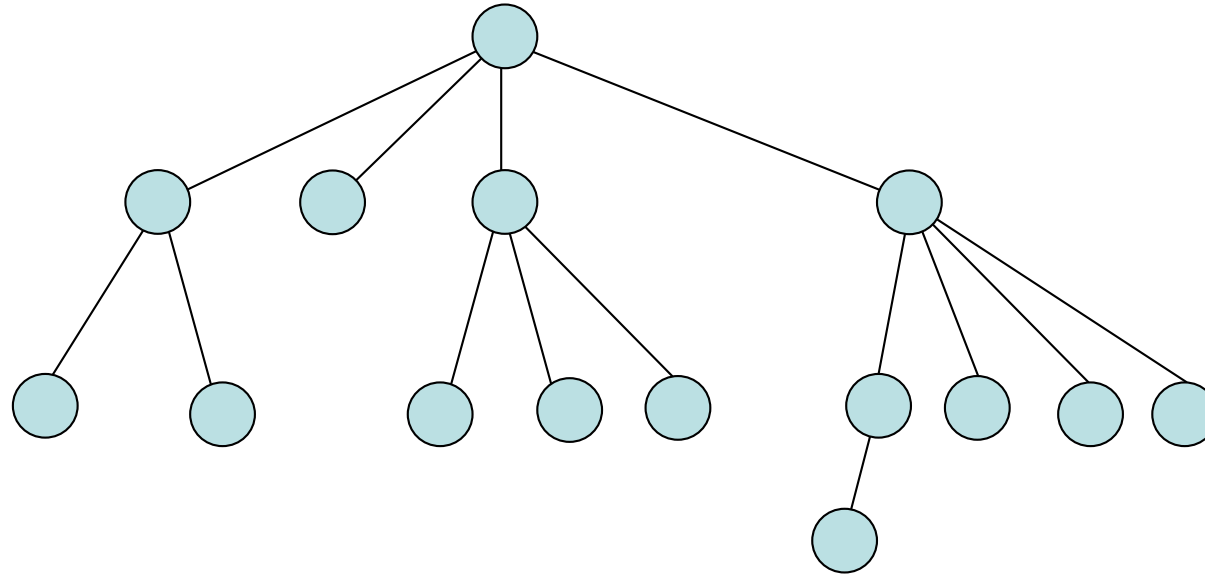
The inorder successor is the leftmost node of right subtree.



- Delete the successor in turn:



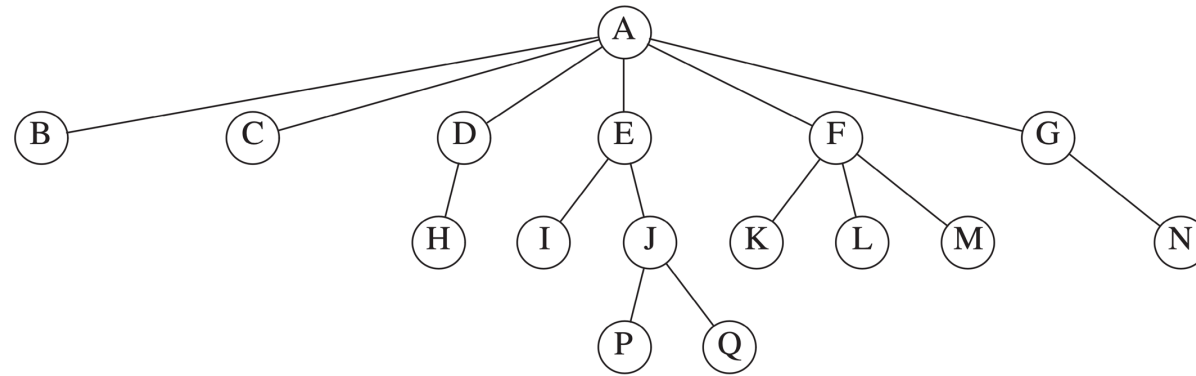
# Binary Representation of General Tree



- One node can have many children nodes
- Impossible to make so many links
- Is there a way that each node uses only two links?
  - Link1:
  - Link2:



# Binary Representation of General Tree

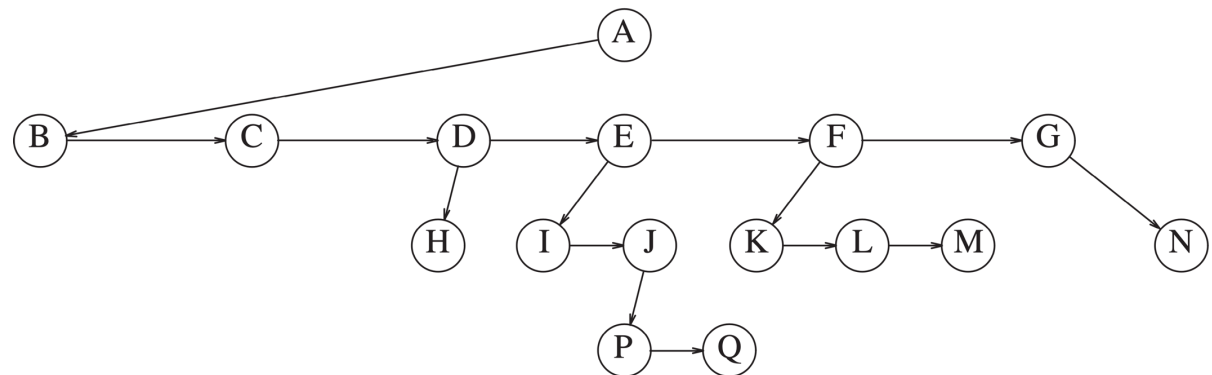


each node uses only two links

Link1: first child

Link2: next sibling

```
struct TreeNode
{
    Object    element;
    TreeNode *firstChild;
    TreeNode *nextSibling;
};
```



# Learning Objectives

1. Explain the concept of Tree
2. Able to insert into and delete from a binary search tree
3. Able to do Tree Traversal; Able to reconstruct a tree given two suitable traversal orders
4. Able to write recursive functions on Tree

D:1;    C:1,2;    B:1,2,3;    A:1,2,3,4

# Objective

- Heap
  - Heap-order
  - Heap operations
  - Applications

# Heap

- Structure Property
    - A **complete** binary tree
  - Heap-order Property (min-Heap)
    - The data in the root is smaller than the data in all the descendants of the root.
- Or**
- The data in a node is smaller than the data in its children

# ADT of Heap

## Value:

A sequence of items that belong to some data type ITEM\_TYPE

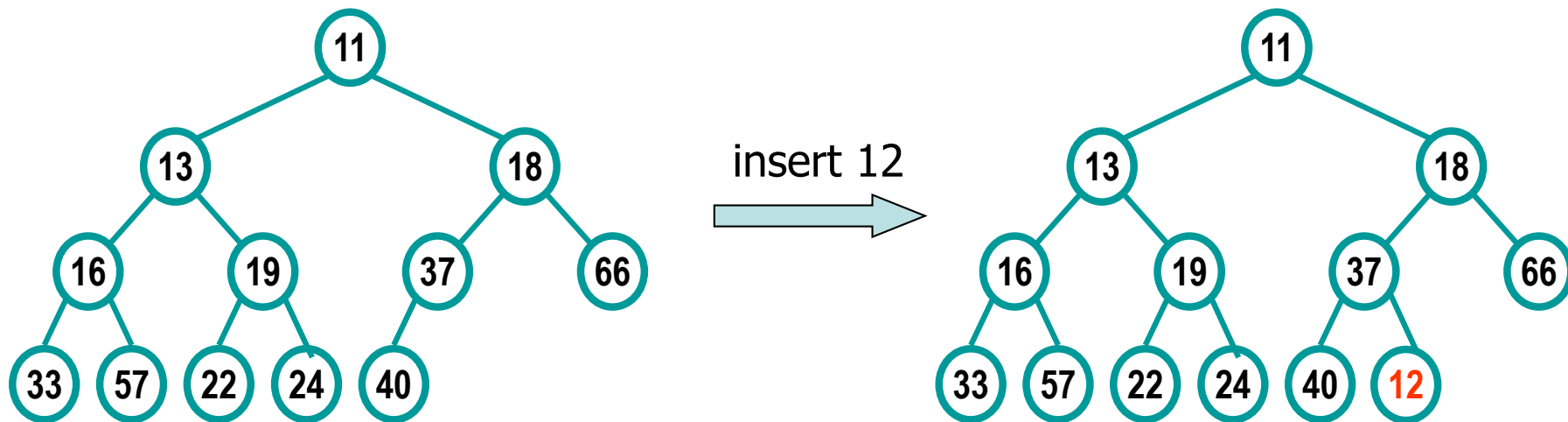
## Operations on heap h:

1. void ***Insert***(ITEM\_TYPE e)
2. /\*return the value stored in the root and then delete the root\*/  
ITEM\_TYPE ***DeleteMin***()

```
#define TOTAL_SLOTS 100
class MyHeap
{
    private:
        int size;
        int items[TOTAL_SLOTS];
    public:
        void Insert(int key);
        int DeleteMin();
};
```

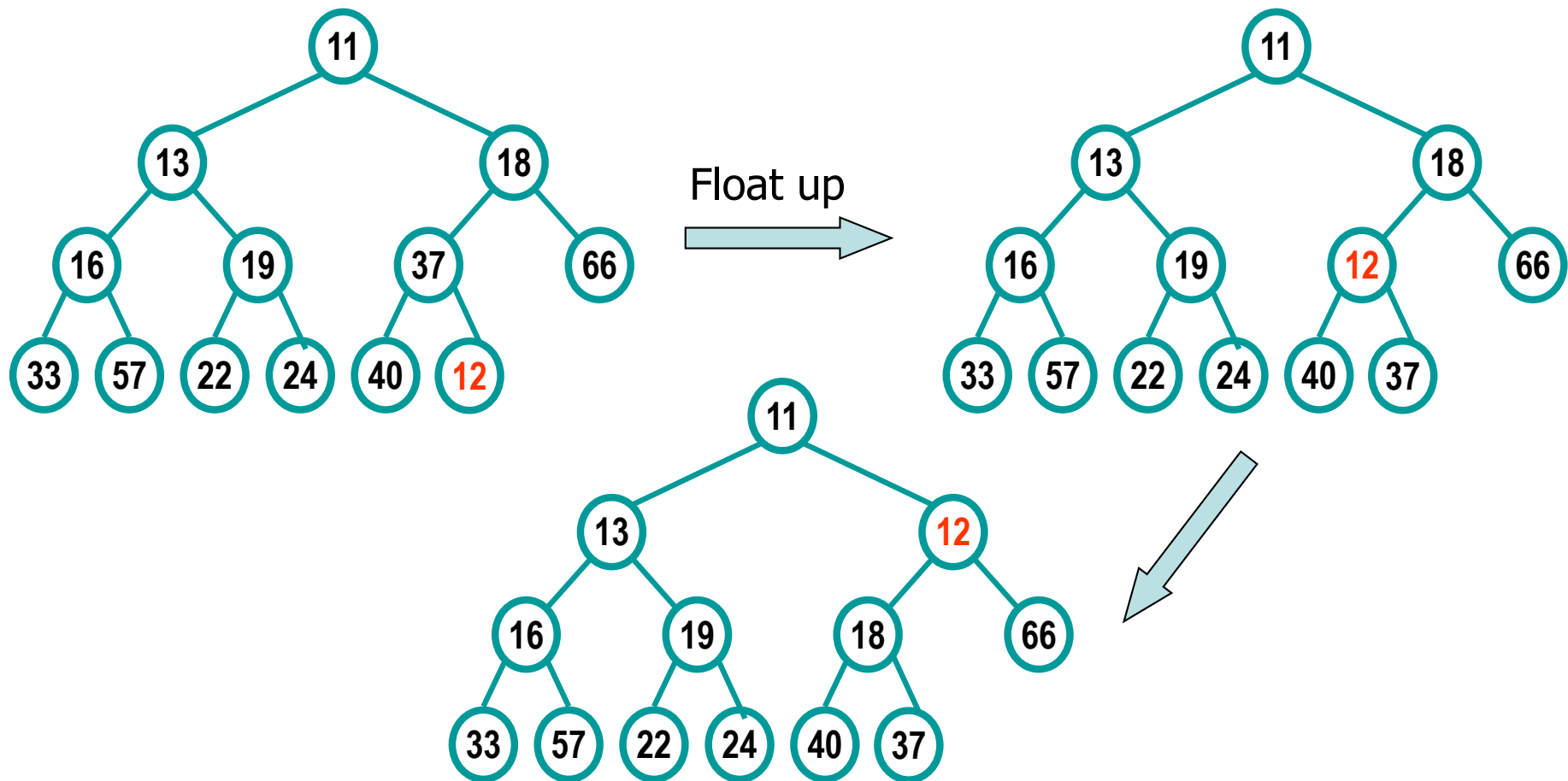
# Heap: Insert

**To insert an item** Step 1: Put the item at the first empty slot in the array  
Step 2: Adjust the heap to satisfy heap-order property (float up)



# Heap: Insert

**To insert an item**    Step 1: Put the item at the first empty slot in the array  
Step 2: Adjust the heap to satisfy heap-order property (float up)



# Heap: Insert

```
void MyHeap::Insert(int key)
{
    int temp;
    int i=size;
    items[i]=key; // the first empty slot
    while (items[i]<items[(i-1)/2] && i!=0)
    {
        temp=items[i];
        items[i]=items[(i-1)/2];
        items[(i-1)/2]=temp;
        i=(i-1)/2;
    }
    size++;
}
```

Children of a node at slot  $i$ :

Left(i) =  $2i+1$

Right(i) =  $2i+2$

Parent of a node at slot  $i$ :

Parent(i) =  $\lfloor (i-1)/2 \rfloor$

$\lfloor x \rfloor$ : “Floor” The greatest integer less than  $x$

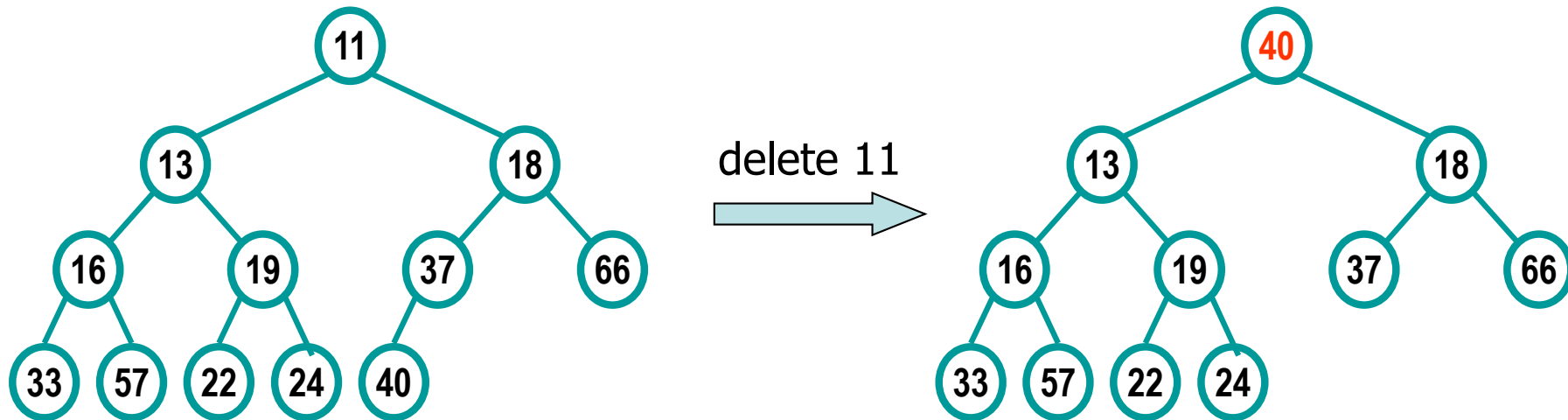
$\lceil x \rceil$ : “Ceiling” The least integer greater than  $x$



# Heap: DeleteMin

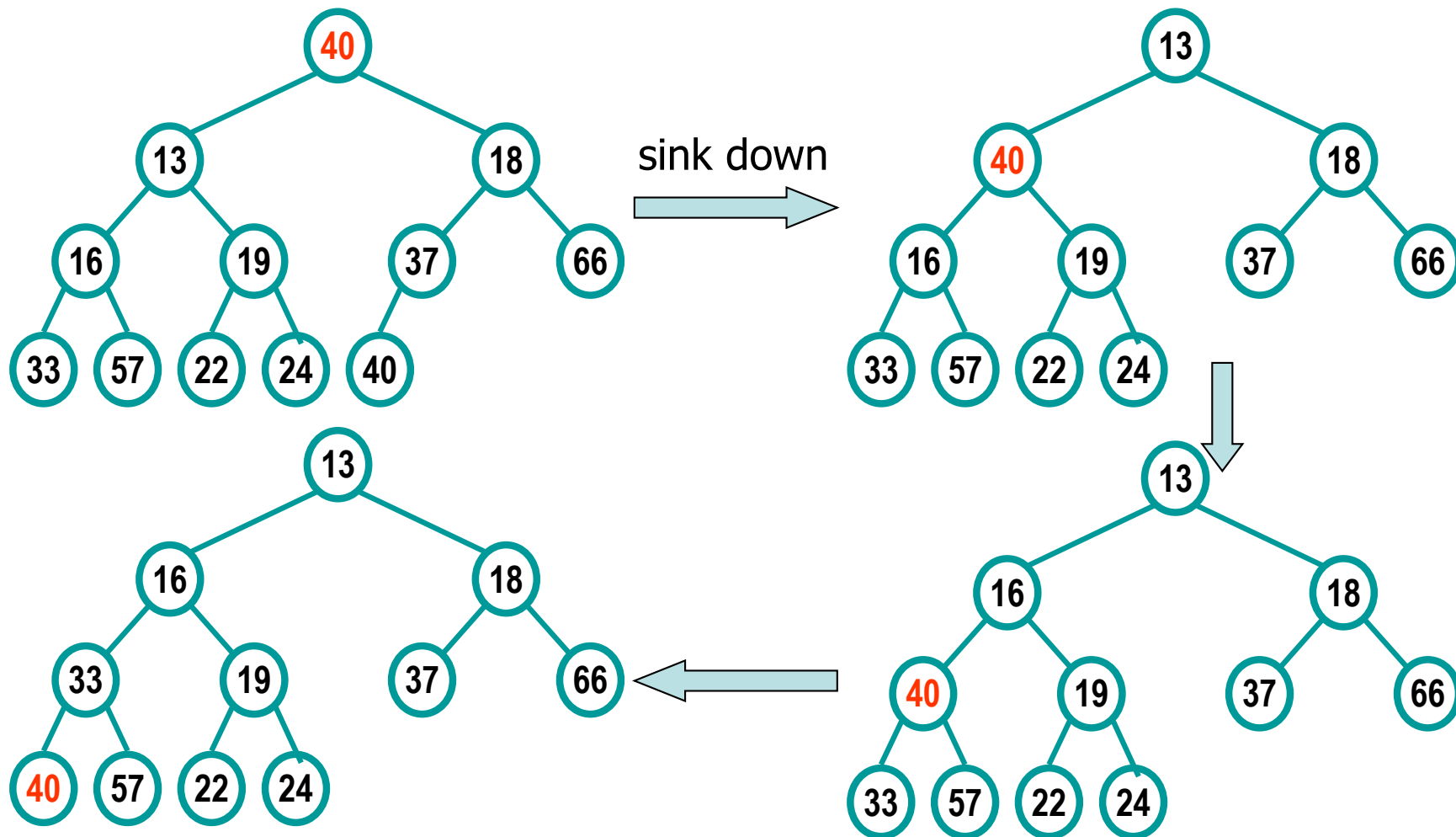
**To delete the Min** Step 1: Copy the last data to the root

Step 2: Adjust the heap to satisfy heap-order property (sink)



# Heap: DeleteMin

**To delete the Min** Step 1: Copy the last data to the root  
Step 2: Adjust the heap to satisfy heap-order property (sink)



# Heap: DeleteMin

Any bugs?

```
int MyHeap::DeleteMin()
{
    int temp, value;
    int i=size;
    value=items[0];
    items[0]=items[i-1];
    int hole=0;
    int temp=items[hole];
    for ( ; hole*2+1<=size; hole=child)
    {
        child=hole*2+1; // left
        if(items[child+1]<items[child])
            child++;
        if(items[child]<temp)
            items[hole]=items[child];
        else
            break;
    }

    items[hole]=temp;
    size--;
    return value;
}
```

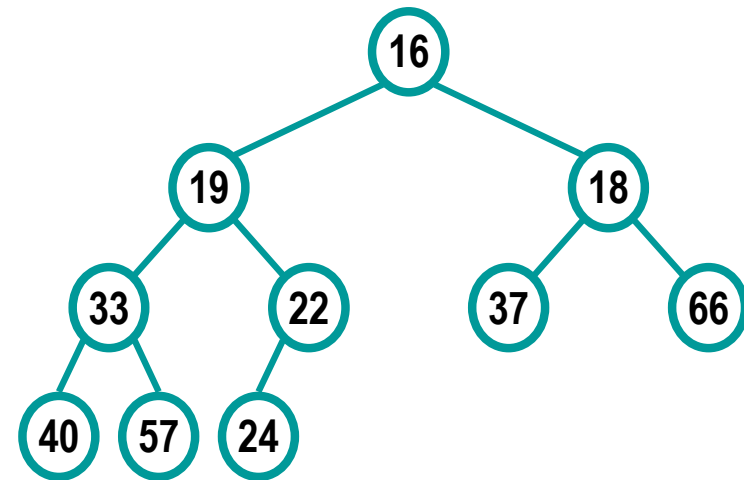
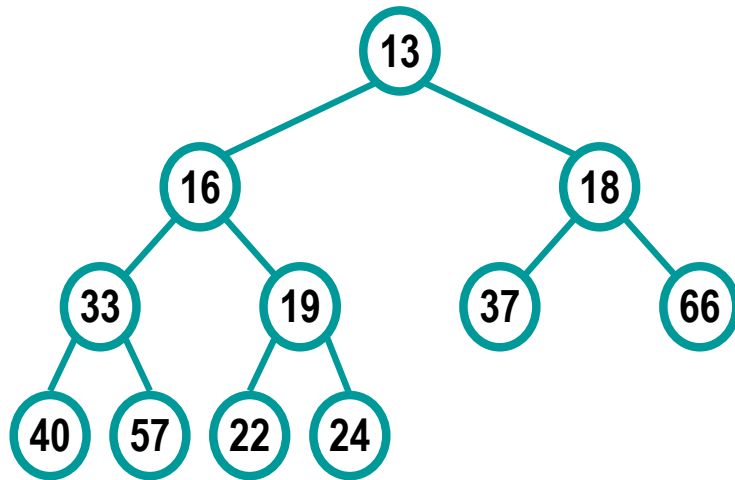
# Other Operations

- IncreaseKey(int p, int amount)
- DecreaseKey(int p, int amount)

## **Exercises:**

1. Given an array of data, how to build a min-heap?  
3,9,7,6,1,4,2,5 (showing each step)
2. What's the possible applications for heap?

# Exercise 3



How does it look like after providing **DeleteMin()**?

# Review about Linked Lists

- Abstract Data Types
- Pointers and References
- Singly Linked List
- Circular Lists
- Doubly Linked Lists
- Applications

# Review: Abstract Data Type

The **set** ADT consists of 2 parts:

## 1. Definition of values

involves

- definition
- condition (optional)

## 2. Definition of operations

each operation involves

- header
- precondition (optional)
- postcondition

**Value:**

**A set of elements**

Condition: elements are distinct.

**Operations for Set \*s:**

**1. void Add(ELEMENT e)**

postcondition: e will be added to \*s

**2. void Remove(ELEMENT e)**

precondition: e exists in \*s

postcondition: e will be removed from \*s

**3. int Size( )**

postcondition: the no. of elements in \*s will be returned.

...

# Review: List

## A Linear List (or a list, for short)

- is a sequence of  $n$  nodes  $\{x_1, x_2, \dots, x_n\}$  whose essential structural properties involve only the relative positions between items as they appear in a line.
- can be implemented as
  - Arrays: statically allocated or dynamically allocated
  - Linked Lists: dynamically allocated
- A list can be sorted or unsorted.

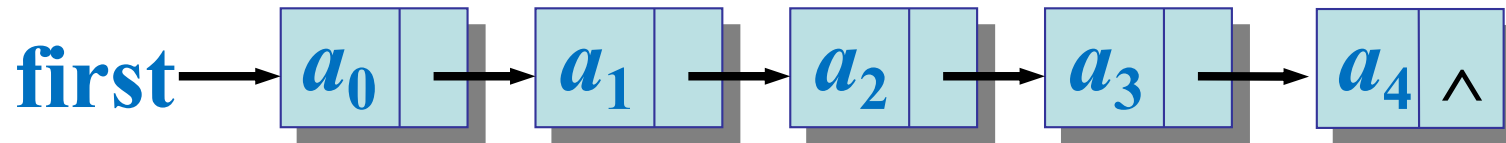


# Review: Singly Linked List

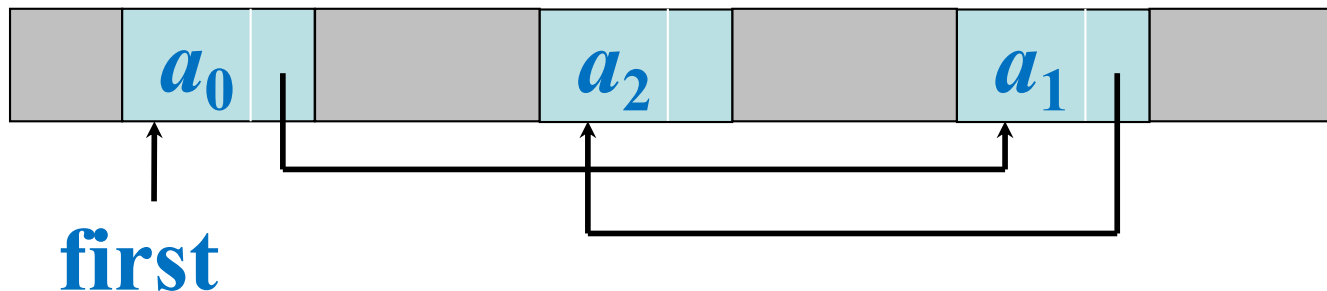
- Each item in the list is a **node**



- Linear Structure



- Node can be stored in memory consecutively /or not (logical order and physical order may be different)



# Review: Singly Linked List

```
// List.h
#include <string>
using namespace std;

class ListNode
{
public:
    ListNode( int );
    ListNode( int, ListNode *);
    ListNode *get_Next()
    {
        return next;
    }
    ...
private:
    int data;
    ListNode *next;
};
```

```
class List
{
public:
    List( String );
    List();
    //various member functions
private:
    ListNode *first;
    string name;
}
```

# Review: Singly Linked List

- Operations:
  - **InsertNode**: insert a new node into a list
  - **RemoveNode**: remove a node from a list
  - **SearchNode**: search a node in a list
  - **CountNodes**: compute the length of a list
  - **PrintContent**: print the content of a list
  - ...
- All the variables are defined to be “int”, how about when we want to use “double”?
  - Write a different class of list for “double”? Or...

# Review: Search for a node

Use a pointer p to traverse the list

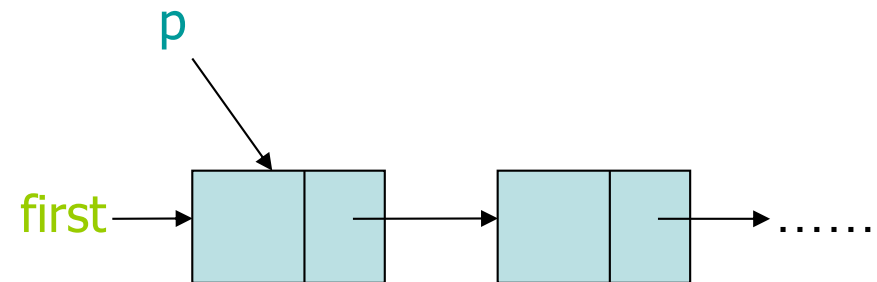
- If found: return the pointer to the node,
- otherwise: return NULL.

```
//List.cpp
ListNode* List::Search(int data)
{
    ListNode *p=first;
    while (p!=NULL)
    {
        if (p->getData()==data)
            return p;
        p=p->getNext();
    }
    return NULL;
}
```

```
// List.h
#include <string>
using namespace std;

class ListNode
{
public:
    ListNode( int );
    ListNode( int, ListNode *);
    ListNode *get_Next()
    {
        return next;
    }
    ...
private:
    int data;
    ListNode *next;
};
```

```
class List
{
public:
    List( String );
    List();
    //various member functions
private:
    ListNode *first;
    string name;
}
```



# Review: Advantages / Disadvantages of Linked List

**Linked allocation:** Stores data as individual units and link them by pointers.

## **Advantages of linked allocation:**

- **Efficient use of memory**
  - Facilitates data sharing
  - No need to pre-allocate a maximum size of required memory
  - No vacant space left
- **Easy manipulation**
  - To delete or insert an item
  - To join 2 lists together
  - To break one list into two lists
- **Variations**
  - Variable number of variable-size lists
  - Multi-dimensional lists  
(array of linked lists, linked list of linked lists, etc.)
- **Simple sequential operations (e.g. searching, updating) are fast**

## **Disadvantages:**

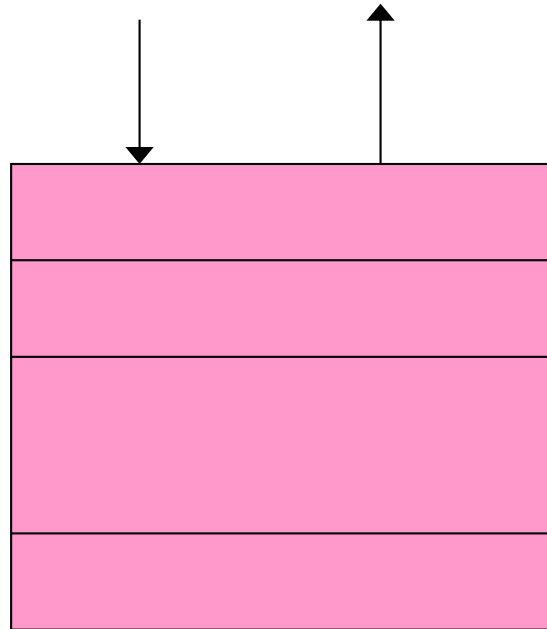
- **Take up additional memory space for the links**
- **Accessing random parts of the list is slow. (need to walk sequentially)**

# Review: Objective of Lec 2

- Stack Abstract Data Type
- Sequential Allocation
- Linked Allocation
- Applications

# Review: Stack

- Stack is a list with the restriction that insertions and deletions (usually all the accesses) can only be performed at **one end** of the list
- Also known as: Last-in-first-out (LIFO) list



# Review: ADT of Stack

Value:

A sequence of items that belong to some data type ITEM\_TYPE

Operations for a stack s:

1. Boolean IsEmpty()

Postcondition: If the stack is empty, return true, otherwise return false

2. Boolean IsFull()

Postcondition: If the stack is full, return true, otherwise return false

3. ITEM\_TYPE Pop() /\*take away the top one and return its value\*/

Precondition: s is not empty

Postcondition: The top item in s is removed from the sequence and returned

4. ITEM\_TYPE top() /\*return the top item's value\*/

Precondition: s is not empty

Postcondition: The value of the top item in s is returned

5. Void Push(ITEM\_TYPE e) /\*add one item on top of the stack\*/

Precondition: s is not full

Postcondition: e is added to the sequence as the top one



# Review: Array Implementation of Stack

```
// MyStack.h
#include "stdlib.h"
{
    public class MyStack
    {
        public:
            MyStack( int );
            bool IsEmpty();
            bool IsFull();
            void push(int );
            int pop();
            int top();

        private:
            int* data;
            int top;
            int MAXSize;

    };
}
```

```
// MyStack.cpp

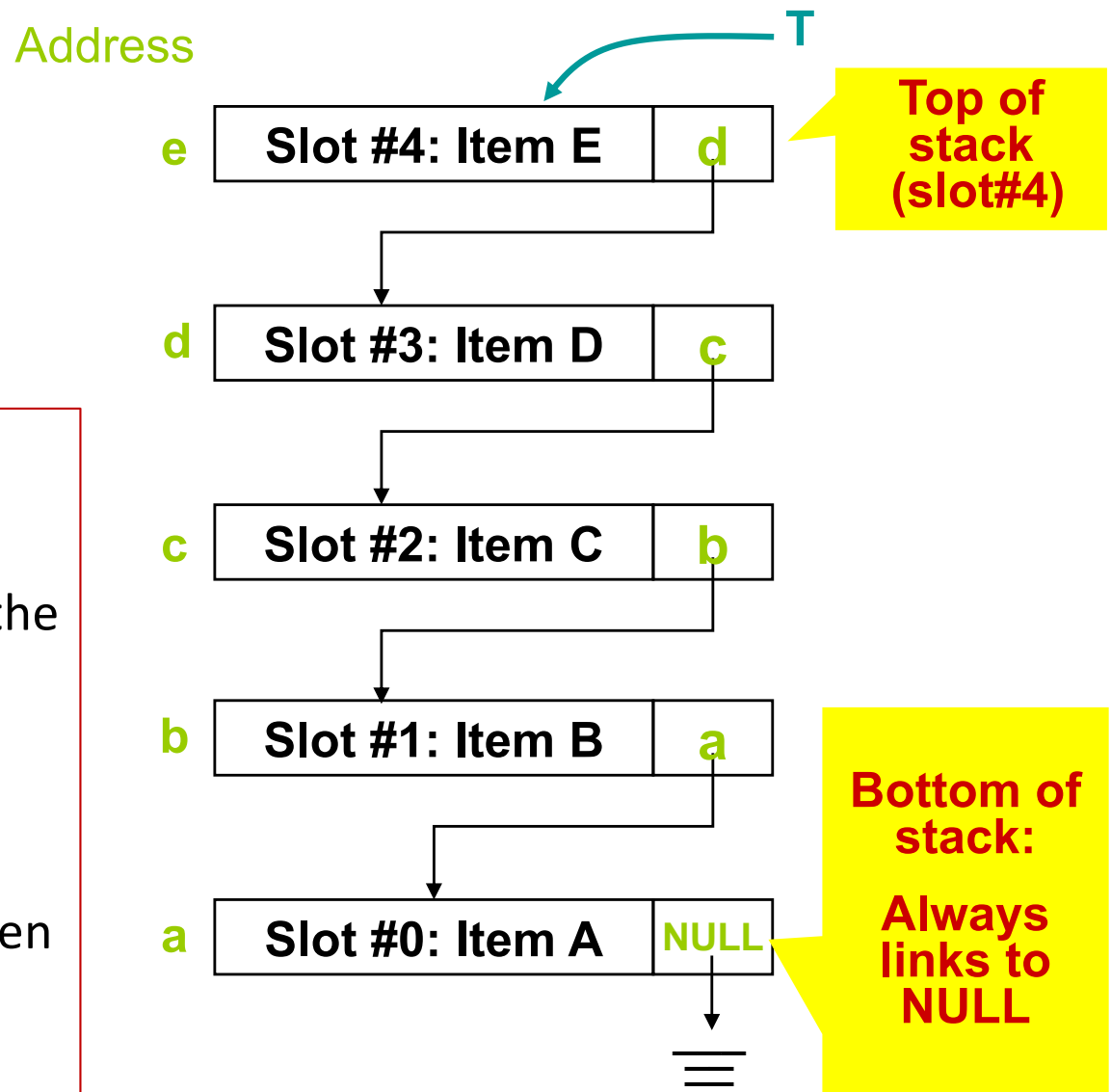
#include "MyStack.h"
MyStack::MyStack(int size)
{
    data=new int[size];
    top=-1;
    MAXSize=size;
}
bool MyStack::IsEmpty()
{
    return (top==-1);
}
bool MyStack::IsFull()
{
    return (top==MAXSize-1);
}
```

# Review: Linked Implementation of Stack



Stack can also be implemented with **linked list**.

- Typically, a pointer points to the top of the stack. (T)
- When the stack is empty, this pointer will be NULL.
- Each slot is allocated only when it is needed to store an item.



# Review: Linked Implementation of Stack

```
// MyStack.h

#include "stdlib.h"
#include "ListNode.h"
{
    class MyStack
    {
    public:
        MyStack( );
        Pop();
        IsEmpty();
        Push(int );
        ...
    private:
        ListNode *Top;
    };
}
```

```
// ListNode.h

#include "stdlib.h"
{
    class ListNode
    {
    public:
        ListNode( int );
        ListNode( int, ListNode *);
        ListNode *get_Next()
        {
            return next;
        }
        ...
    private:
        int data;
        ListNode *next;
    };
}
```

# Review: Application 2: Balancing Symbols

- When writing programs, we use
  - `()` parentheses `[]` brackets `{}` braces
- A lack of one symbol may cause the compiler to emit a hundred lines without identifying the real error
- Using stack to check the balance of symbols
  - `[ ( ) ]` is correct while `[ ( ] )` is incorrect
- Read the code until end of file
  - **If** the character is an opening symbol: `( [ {`, **then** push it onto the stack
  - **If** the character is a closing symbol: `) ] }`, **then** pop one (if the stack is not empty) from the stack to see whether it is the correct correspondence
  - Output error in other cases

# Review: Application 3 Evaluation of Postfix Expression

- Infix Expression      Example:  $(A+B)*((C-D)*E+F)$

We need to add "(" and ")" in many cases.

- Postfix Expression      Example:  $AB+CD-E*F+*$

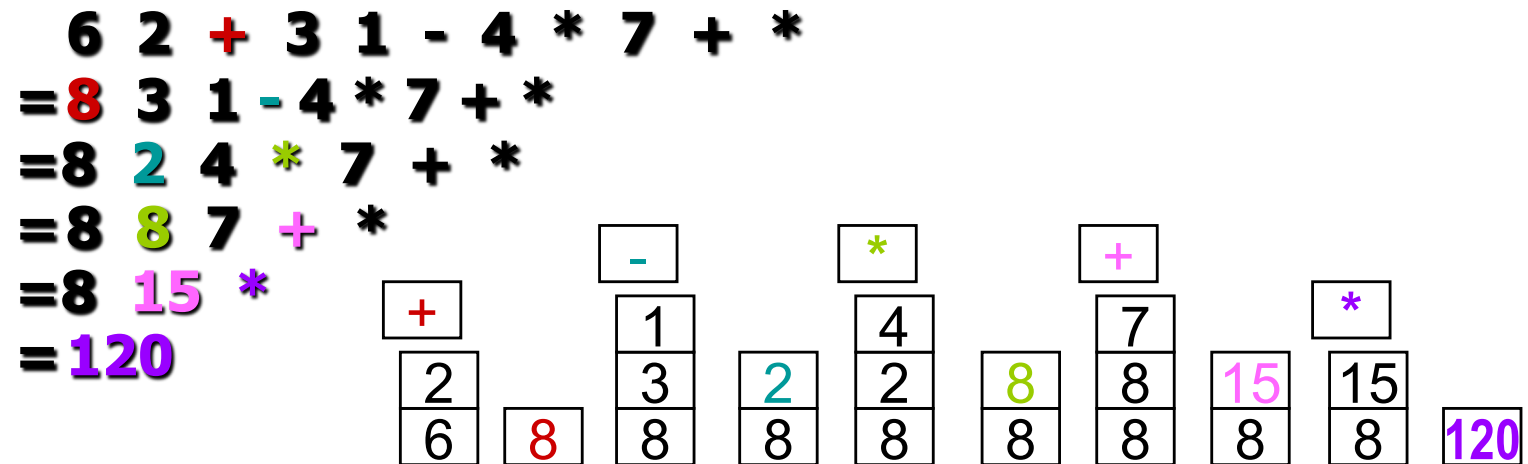
Each operator follows the two operands.

The order of the operators (left to right) determines the actual order of operations in evaluating the expression.

- Prefix expression      Example :  $*+AB+*-CDEF$

Each operator precedes the two operands.

# Review: Application 3 Evaluation of Postfix Expression



## The method:

- Scan the expression from left to right.
  - For each symbol, if it is an operand, we store them for later operation (LIFO) push
  - If the symbol is an operator, take out the latest 2 operands stored and compute with the operator. pop pop
- Treat the operation result as a new operand and store it. push
- Finally, we can obtain the result as the only one operand stored. pop

# Review: Program Complexities

- Algorithms
- Asymptotic Notation
- Asymptotic Performance
- Analyze program complexities

# Review: Asymptotic Notation

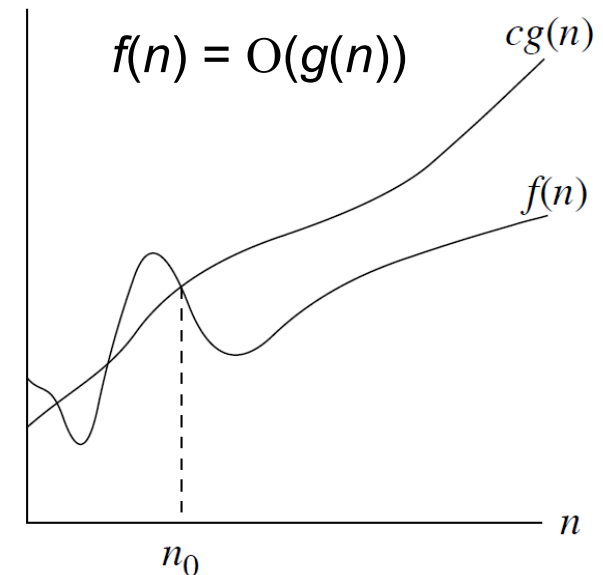
- How can we indicate running times of algorithms?
- Need a notation to express the growth rate of a function
- A way to compare “size” of functions:
  - $O$ -notation (“Big-oh”)  $\approx \leq$  (upper bound)
  - $\Omega$ -notation (“Big-omega”)  $\approx \geq$  (lower bound)
  - $\Theta$ -notation (“theta”)  $\approx =$  (sandwich)



# Review: O -notation (1/2)

- O-notation provides an **asymptotic upper bound** of a function.
- For a given function  $g(n)$ , we denote  $O(g(n))$  (pronounced “big-oh” of  $g$  of  $n$ ) by the set of functions:

$O(g(n)) = \{ f(n): \text{there exist **positive** constants } c \text{ and } n_0 \text{ such that } 0 \leq f(n) \leq cg(n) \text{ for all } n \geq n_0 \}$



# Review: O -notation (2/2)

- We write  $f(n) = O(g(n))$  to
  - Indicate that  $f(n)$  is a member of the set  $O(g(n))$
  - Give that  $g(n)$  is an upper bound for  $f(n)$  to within a constant factor
- Example:  $2n^2 = O(n^3)$ , with  $c = 1$  and  $n_0 = 2$ 
  - When  $n = 1$ :  $2(1)^2 = 2 \leq (1)^3 = 1$  ✗
  - When  $n = 2$ :  $2(2)^2 = 8 \leq (2)^3 = 8$  ✓
  - When  $n = 3$ :  $2(3)^2 = 18 \leq (3)^3 = 27$  ✓

# Review: Asymptotic Notation

- Relationship between typical functions
  - $\log n = o(n)$
  - $n = o(n \log n)$
  - $n^c = o(2^n)$  where  $n^c$  may be  $n^2$ ,  $n^4$ , etc.
  - If  $f(n) = n + \log n$ , we call  $\log n$  lower order terms

$$\log n < \sqrt{n} < n < n \log n < n^2 < n^4 < 2^n < n!$$

# Review: Asymptotic Notation

- When calculating asymptotic running time
  - Drop low-order terms
  - Ignore leading constants
- Example 1:  $T(n) = An^2 + Bn + C$ 
  - $An^2$
  - $T(n) = O(n^2)$
- Example 2:  $T(n) = An \log n + Bn^2 + Cn + D$ 
  - $Bn^2$
  - $T(n) = O(n^2)$

# Review: Asymptotic Performance

## General rules for Big-Oh Analysis:

### Rule 1. FOR LOOPS

The running time of a *for* loop is at most the running time of the statements inside the *for* loop (including tests) times no. of iterations

```
for (i=0;i<N;i++)  
    a++;
```

$O(N)$

### Rule 3. CONSECUTIVE STATEMENTS

Count the maximum one.

```
for (i=0;i<N;i++)  
    a++;  
  
for (i=0;i<N;i++)  
    for (j=0;j<N;j++)  
        k++;
```

$O(N^2)$

### Rule 2. NESTED FOR LOOPS

The total running time of a statement inside a group of nested loops is the running time of the statement multiplied by the product of the sizes of all the loops.

```
for (i=0;i<N;i++)  
    for (j=0;j<N;j++)  
        k++;
```

$O(N^2)$

### Rule 4. IF / ELSE

For the fragment:

```
If (condition)  
    S1  
else  
    S2,
```

take the test +  
the maximum  
for S1 and S2.

# Review: Recursive Relation

- $T(n)=T(n-1)+A$ ;  $T(1)=1$ 
  - $\rightarrow T(n)=O(n)$
- $T(n)=T(n-1)+n$ ;  $T(1)=1$ 
  - $\rightarrow T(n)=O(n^2)$
- $T(n)=2T(n/2) + n$ ;  $T(1)=1$ 
  - $\rightarrow T(n)=O(n \log n)$ , **why???**
- More general form:  $T(n)=aT(n/b)+cn$ 
  - Master's Theorem (You are not required to know)

$$\begin{aligned} T(n) &= 2T(n/2) + n \\ &= 2(2T(n/4) + n/2) + n = 4T(n/4) + 2n + n \\ &= 4(2T(n/8) + n/4) + 2n + n = 8T(n/8) + 4n + 2n + n \\ &= \dots \\ &= \text{???} T(1) + \text{???} + \dots + 4n + 2n + n \end{aligned}$$

# Review about Queues and Hashing

## Queue

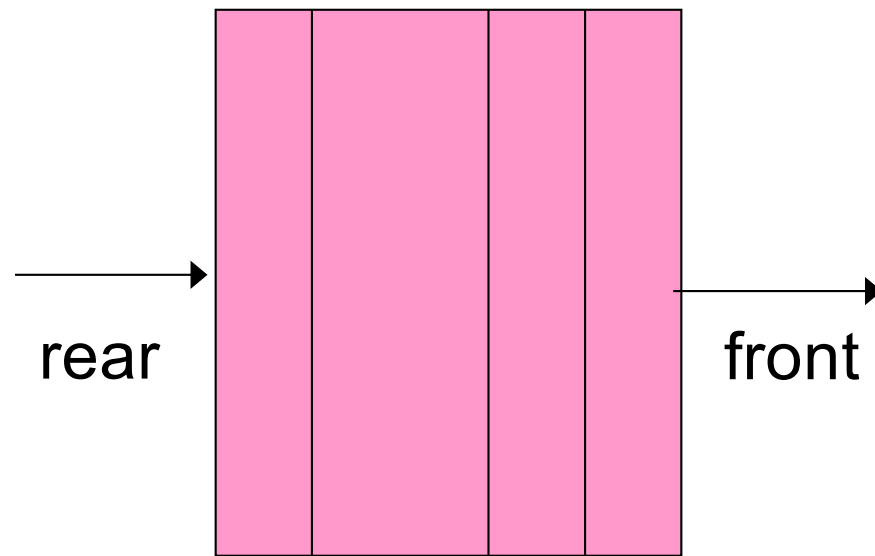
- Queue Abstract Data Type
- Sequential Allocation
- Linked Allocation
- Applications
- Priority Queues

## Hashing

- Sparse Data
- Key Based Data
- Hash Table
- Hash Functions
- Collision Resolution
- Applications

# Review: Queue

- Queue is a list with the restriction that insertions are performed **at one end** and deletions are performed **at the other end** of the list
- Also known as: First-in-first-out (FIFO) list





# Review: ADT of Queue

## Value:

A sequence of items that belong to some data type ITEM\_TYPE

## Operations on q:

### 1. Boolean IsEmpty()

Postcondition: If the queue is empty, return true, otherwise return false

### 2. Boolean IsFull()

Postcondition: If the queue is full, return true, otherwise return false

### 3. ITEM\_TYPE Dequeue() /\*take out the front one and return its value\*/

Precondition: q is not empty

Postcondition: The front item in q is removed from the sequence and returned

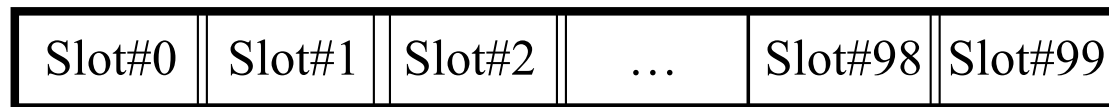
### 4. Void Enqueue(ITEM\_TYPE e) /\*to append one item to the rear of the queue\*/

Precondition: q is not full

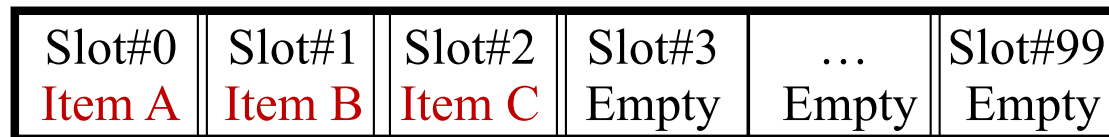
Postcondition: e is added to the sequence as the rear one

# Review: Implementation of Queue Sequential Allocation (Using Array)

```
#define TOTAL_SLOTS 100  
class MyQueue  
{  
    private:  
        int front; //the index of the front slot that contains the front item  
        int rear;  //the index of the first empty slot at the rear of queue  
        int items[TOTAL_SLOTS];  
};
```



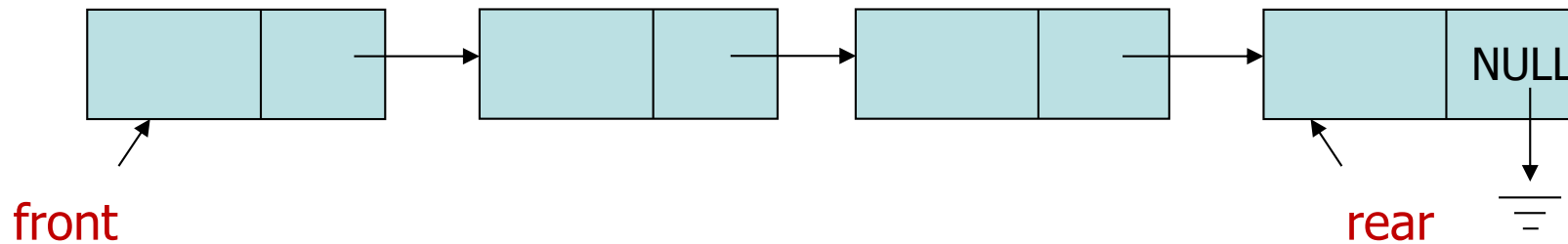
Suppose some items are appended into the queue:



↑  
**front**

↑  
**rear**

# Review: Implementation of Queue Using Linked List



- Queue can also be implemented with linked list.
- A pointer **front** points to the first node of the queue.
- A pointer **rear** points to the last node of the queue.
- If the queue is **empty**, then **front=rear=NULL**.
- When will the queue be full?

# Review: Priority Queue

## Priority Queue

- The elements in a stack or a FIFO queue are ordered based on the sequence in which they have been inserted.
- In a priority queue, the sequence in which elements are removed is based on the priority of the elements.

### Ordered Priority Queue

A Priority=1	B Priority=2	C Priority=3	D Priority=3
-----------------	-----------------	-----------------	-----------------

(highest priority)

(lowest priority)

The first element to be removed.

### Unordered Priority Queue

B Priority=2	C Priority=3	A Priority=1	D Priority=3
-----------------	-----------------	-----------------	-----------------

# Review: Priority Queue

## Priority Queue - List Implementation

- To implement a priority queue as an **ordered** list.

Time complexity of the operations :

(assume the sorting order is from highest priority to lowest)

**Insertion:** Find the location of insertion.  $O(n)$

Link the element at the found location.  $O(1)$

Altogether:  $O(n)$

**Deletion:** The highest priority element is at the front.

i.e., Remove the front element takes  **$O(1)$**  time

# Review: Priority Queue

## Priority Queue - List Implementation

- To implement a priority queue as an **unordered** list.

Time complexity of the operations :

**Insertion:** Simply insert the item at the rear.  $O(1)$

**Deletion:** Traverse the entire list to find the maximum priority element.  $O(n)$ .

Copy the value of the element to return it later.  $O(1)$

Delete the node.  $O(1)$

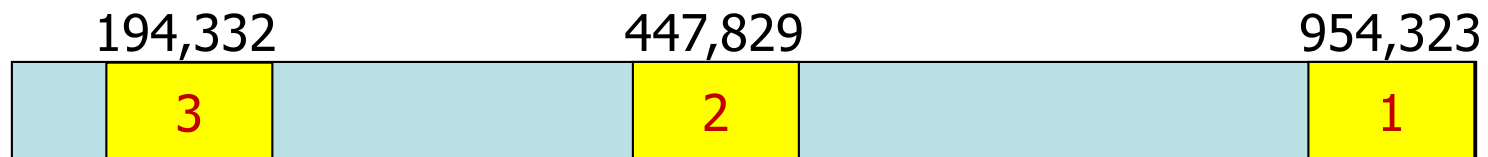
Altogether:  $O(n)$

# Review: Sparse data

- How to store those data in the computer so that we can easily get the player's information by their keys?

- Array:

- A lot of memory space wasted



- Linked List:

Hard to search if we have 10,000 players

- Hash Table

Best solution in this case!

# Review: Hash Functions

## Good hash function:

Fast computation, Minimize collision

## Kinds of hash functions:

- **Division:**  $\text{Slot\_id} = \text{Key} \% \text{table\_size}$ .
- **Others:** eg.,  $\text{Slot\_id} = (\text{Key}^2 + \text{Key} + 41) \% \text{table\_size}$
- **table\_size should better be a prime number.**



# Review: Combination of Hash Functions

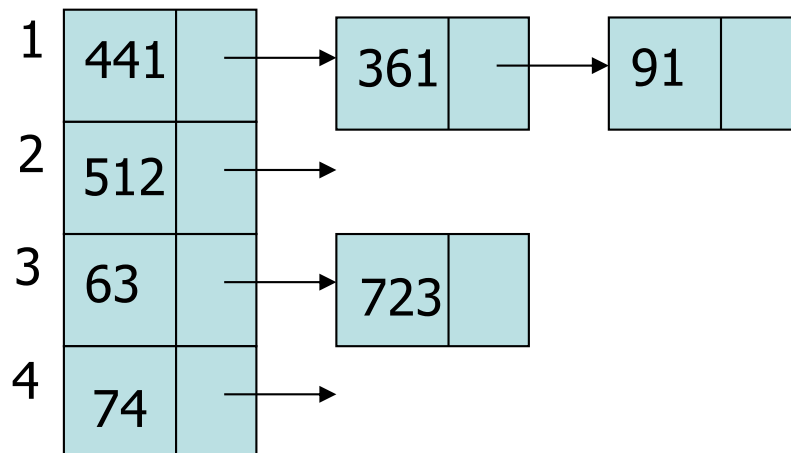
- Collision is easy to happen if we use % function
- Combination:
  - Apply hash function  $h_1$  on key to obtain *mid\_key*
  - Apply hash function  $h_2$  on *mid\_key* to obtain *Slot\_id*
- Example:
  - We apply %101 on 12320324111220 and get 79
  - We apply %10 on the result 79 obtained by %101
    - $79 \% 10 = 9$

# Review: Collision Resolution - Open Addressing

- Linear Probing
  - If collide, try  $\text{Slot\_id}+1$ ,  $\text{Slot\_id}+2$
- Quadratic Probing
  - If collide, try  $\text{Slot\_id}+1$ ,  $\text{Slot\_id}+2^2, \dots$
- Double Hashing
  - If collide, try  $\text{Slot\_id}+h_2(x)$ ,  $\text{Slot\_id}+2h_2(x), \dots$  (**prime size important**)
- General rule: If collide, try other slots in a certain order
- How to find data?
  - If not found, try the next position according to different probing rule
  - Every key has a preference over all the positions
  - When finding them, just search in the order of their preferences

# Review: Collision Resolution - Separate Chaining

- Problems with Open Addressing?
- Using linked list to solve Collision
  - Every slot in the hash table is a linked list
  - Collision → Insert into the corresponding list
  - Find data → Search the corresponding list

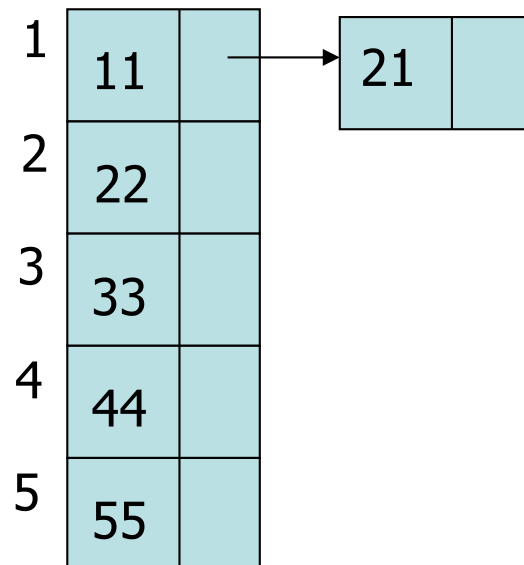


# Review: Collision Resolution

- Example: 11,22,33,44,55,66,77,88,99,21
  - Using linear probing

21	11	22	33	44	55	66	77	88	99
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- Using separate chaining



# Objective

- Definition and Terminology
- Binary Tree
  - Operations
  - Recursive functions
  - Traversal
- Binary Search Tree
  - Insertion, deletion
- Binary Representation of General Tree

# Objective

- Heap
  - Heap-order
  - Heap operations
  - Applications

# Check List

- Implementation of operations in Singly Linked List (count/insert/delete/search/...)?
- The output of a stack when it performs *push* and *pop* operations?
- Evaluation of Postfix Expression with stack?
- Big-O analysis for a given program?
- Big-O notations for an expression?
- Big-O complexity for recursive relations
- The output of a queue when it performs *enqueue* and *dequeue* operations?
- Insertion/deletion in the Priority Queue (with the List Implementation)?
- the hash table (size) or hash function?
- How to use linear probing/Quadratic Probing/double hashing/separate chaining?
- Definition and Terminology of tree?
- The height of complete/full binary tree?
- How to calculate the slot index of child/parent nodes in binary tree?
- Given inorder/postorder traversal, the preorder traversal?
- Given a tree, the inorder/postorder/preorder traversal?
- Implementation of operations in binary tree (count\_leaf/equal/height/...)?
- What is a Heap?
- Insertion/deletion in a heap?