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# Heuristic and Neural Network Based Prediction of Project-Specific API Member Access

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Abstract—Code completion is to predict the rest of a statement a developer is typing. Although advanced code completion approaches have greatly improved the accuracy of code completion in modern IDEs, it remains challenging to predict project-specific API method invocations or field accesses because little knowledge about such elements could be learned in advance. To this end, in this paper we propose an accurate approach called HeeNAMA to suggesting the next project-specific API member access. HeeNAMA focuses on a specific but common case of code completion: suggesting the following member access whenever a project-specific API instance is followed by a dot on the right hand side of an assignment. By focusing on such a specific case, HeeNAMA can take full advantages of the context of the code completion, including the type of the left hand side expression of the assignment, the identifier on the left hand side, the type of the base instance, and similar assignments typed in before. All such information together enables highly accurate code completion. Given an incomplete assignment, HeeNAMA generates the initial candidate set according to the type of the base instance, and excludes those candidates that are not type compatible with the left hand side of the assignment. If the enclosing project contains assignments highly similar to the incomplete assignment, it makes suggestions based on such assignments. Otherwise, it selects the one from the initial candidate set that has the greatest lexical similarity with the left hand side of the assignment. Finally, it employs a neural network to filter out risky predictions, which quarantees high precision. Evaluation results on open-source applications suggest that compared to the state-of-the-art approaches and the state-of-the-practice tools HeeNAMA improves precision and recall by 70.68 and 25.23 percent, relatively.

Index Terms—Code completion, non-API, deep learning, heuristic, LSTM

#### INTRODUCTION

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THE purpose of code completion is to predict the rest (or a **I** part of the rest) of a statement a developer is typing. Code completion feature provided by modern Integrated Development Environments (IDEs) plays an important role in software development process [1], [2]. The usage data collected from 41 Java software developers suggests that code completion is one of the most commonly used commands [3]. It is executed as frequently as the common editing commands, e.g., delete, save, paste and copy.

Code completion is widely and frequently employed for several reasons. First, code completion lightens the amount of memory work required of developers [4]. Second, powerful and accurate code completion tools encourage developers to choose longer and more descriptive identifier names because with code completion tools developers do not have to type in all characters of such names [5]. Third, it helps to reduce the number of characters that should be typed in manually [6]. The benefit of the reduction in manually typed characters is twofold. On one side, it speeds up coding. On

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the other side, it reduces misspelling. When developers type 40 in source code, especially long identifiers, it is likely that 41 typos are introduced. Because code completion tools greatly 42 reduce the number of characters that should be typed in 43 manually, the likelihood of introducing typos is reduced sig- 44 nificantly as well.

In this paper, we focus on a common type of code com- 46 pletion: method invocation and field access completion 47 (other forms of code completion include word comple- 48 tion [7], expression completion [8], method argument com- 49 pletion [9] and statement completion [10]). To improve the 50 accuracy of code completion, a number of powerful code 51 completion approaches have been proposed. The first cate- 52 gory of such approaches is based on usage pattern mining 53 algorithms [11], e.g., frequent item mining [2], [12], [13], fre-54 quent subsequence mining [14] and frequent subgraph min- 55 ing [15]. These approaches discover code patterns from 56 source code repositories and make code suggestions by 57 matching the given source code against such patterns.

The second category of code completion approaches is 59 based on statistical language models [16]. Such approaches 60 take the assumption that programming languages are some- 61 what similar to natural languages, and thus the widely used 62 natural language models could be applied to programming 63 languages as well [7]. The most commonly employed lan- 64 guage models include N-gram models [17], [18] and deep 65 neural network based language models [19], [20]. An advan- 66 tage of such language-model based approaches is that 67 they are generic, and thus they can predict all kinds of 68 tokens (e.g., the next character, identifier, member access 69 or statement).

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TABLE 1 Subject Applications

Applications	Domain	Version	LOC
Ant	Software Build	1.10.1	270,028
Batik	SVG Toolkit	1.9	361,429
Cassandra	Database Management	3.11.1	592,595
Log4J	Log Management	2.10.0	236,825
Lucene-solr	Search Engine	7.2.0	1,591,582
Maven2	Software Build	2.2.1	91,760
Maven3	Software Build	3.5.2	169,988
Xalan-J	XSLT Processing	2.7.2	352,787
Xerces	XML parser	2.11.0	216,907

Although such advanced code completion approaches have greatly improved the accuracy of code completion in modern IDEs [12], [21], it remains challenging to predict project-specific API method invocations and project-specific API field accesses [22]. In this paper, we call methods and fields defined within the project under development as project-specific API methods and project-specific API fields, respectively. We also call method invocations and field accesses as member accesses for short in the rest of this paper. According to our empirical study on nine well-known open-source Java applications (as introduced in Table 1), public API member accesses account for less than half (39%=339,866/861,618) of the member accesses in source code, and the majority (more than 60 percent) is projectspecific API member accesses. An empirical study conducted recently [22], however, suggests that existing approaches are often significantly less accurate in predicting project-specific API method accesses (what they call intra-project API completions) than public API member accesses. Our evaluation in Section 4 also confirms their conclusion: the accuracy of such approaches in predicting project-specific API member accesses deserves significant improvement.

To this end, in this paper we propose HeeNAMA, a heuristic and neural network based approach to predict projectspecific API member accesses. It is challenging to predict project-specific API member accesses in general because little knowledge about such elements could be learned in advance. Consequently, in this paper we focus on a specific but common case of code completion: suggesting the following method call or field access whenever a project-specific API instance is followed by a dot (.) on the right hand side of an assignment (we call them member access on RHS for short). For example, once the developer types in "String name = person.", HeeNAMA would suggest "getName()" as the next token. We reuse nine open-source Java applications from previous code completion research [7], [18], [23], [24] to conduct our empirical study. They cover various domains such as software build, database management, and search engine. The size (LOC) of subject applications varies from 91,760 to 1,591,582. According to the empirical study, such cases of code completion (i.e., project-specific API member access on RHS) are common, and on average a single project contains 11,522 such cases. By focusing on such a specific case, HeeNAMA can take full advantages of the context of the code completion, including the type of the left hand side expression of the assignment, the identifier on the left hand side, the type of the base instance, and similar assignments typed in before. All such information together enables highly 118 accurate code completion. 119

Given an incomplete assignment, HeeNAMA works as 120 follows to predict the next member access. First, it generates 121 the initial candidate set according to the type of the base 122 instance. Mandelin et al. [10] and Gvero et al. [8] have proved 123 that such type information is helpful in code completion. 124 Second, it looks for highly similar assignments within the 125 project under development. If successful, it would make sug- 126 gestions based on the retrieved samples. Third, it filters out 127 candidates that are type incompatible with the left hand side 128 expression of the assignment. After that, it ranks candidates 129 in descending order according to their lexical similarity with 130 the identifier on the left hand side of the assignment. Finally, 131 it leverages a deep neural network to decide whether the top 132 one on the candidate list should be recommended. The evaluation results on nine well-known open-source Java applica- 134 tions suggest that HeeNAMA is more accurate than the 135 state-of-the-art approach as well as the state-of-the-practice 136

The paper makes the following contributions:

- First, we propose an approach called HeeNAMA to 139 recommending project-specific API member accesses 140 on RHS. HeeNAMA takes full advantages of the con- 141 text, i.e., the type of the left hand side expression of 142 the assignment, the identifier on the left hand side, 143 the type of the base instance, and similar assignments 144 typed in before. It also leverages a neural network 145 based filter to exclude risky predictions, which significantly improves the precision of HeeNAMA. The 147 combination of heuristics and neural network makes 148 for a neat way of learning to avoid precisely the kinds 149 of mistakes that heuristics make. To the best of our 150 knowledge, HeeNAMA is the first one that is specially designed to predict project-specific API mem- 152 ber accesses on RHS.
- Second, we implement HeeNAMA, and evaluate it 154 on nine open-source Java applications. The evalua- 155 tion results show that HeeNAMA is accurate.

The remainder of this paper is structured as follows. 157 Section 2 presents a short overview of related research. Section 3 proposes our code completion approach. Section 4 159 presents an evaluation of the proposed approach on nine 160 open-source applications. Section 5 provides conclusions 161 and potential future work.

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# 2 RELATED WORK

#### 2.1 Language Model Based Code Completion

N-gram models are well known in the natural language 165 processing community. They were applied to source code 166 for the first time by Hindle *et al.* [7] when they find the repetitiveness and predictability of source code. Based on N-gram, 168 they estimate the occurrence probabilities for code sequences 169 (at the granularity of token) in code corpus, and predict the 170 next token according to the corresponding occurrence probabilities. Allamanis *et al.* [17] build a giga-token corpus of Java 172 source code from a wide variety of domains to train a n-gram 173 model. The resulting model can successfully deal with token 174 prediction across different project domains. They also find 175

that employing a large corpus in model training can increase the predictive capability of models. SLAMC [18] strengths n-grams with semantic information to present token sequences. Such semantic information includes the token roles, data types, scopes, and structural and data dependencies. It also combines the local context with the global technical concerns/functionality into a n-gram based topic model.

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Tu *et al.* [23] find that source code has high repetitiveness not only in the global scope but also in the local scope. Based on this finding, they propose a cache language model by enhancing the conventional n-gram model with an efficient caching mechanism that captures the local repetitiveness of source code. They compute the probability of a sequence of tokens based on a global n-gram model (trained with public corpus) and a local n-gram model (trained with source files in the enclosing folder). Based on this cache language model [23], Franks *et al.* develop an Eclipse plug-in CACHECA [21]. It combines the native suggestions made by Eclipse IDE with suggestions made by the cache language model. The evaluation results suggest that the combination leads to higher accuracy.

The latest advance in N-gram based code completion was achieved by Hellendoorn *et al.* [20]. Based on cached language models, they proposed a nested and cached N-gram model to capture the local repetition within a given scope, and to apply it to the nested sub-scopes. The evaluation results suggest that their approach significantly outperforms existing approaches (both statistical language model based approaches and deep learning based approaches).

Advanced neural networks, e.g., RNN [25] and LSTM [26], have been successfully employed to model source code as well. Raychev *et al.* [19] employ RNN for code completion. They first extract sequences of method calls from large code bases, and learn their probabilities with statistical language models, i.e., RNN, N-gram, or a combination of them. Once given a program with holes, they leverage learned probabilities to synthesize sequences of calls for holes. White *et al.* [27] also apply the RNN language model to source code and show its high effectiveness in predicting sequential software tokens.

To address the enormous vocabulary problem in modeling source code with deep neural networks, Karampatsis and Sutton [28] present a new open-vocabulary neural language model for code that is not limited to a fixed vocabulary of identifier names. They employ a segmentation into subword units, i.e., subsequences of tokens chosen based on a compression criterion. Including all single characters as subword units will allow the model to predict all possible tokens, so there is no need for special out-of-vocabulary handling.

Graph-based statistical language models are successfully employed in code completion as well. Nguyen *et al.* [29] introduce GraLan, a graph-based statistical language model to statistically learn API usage (sub)graphs [30] from a source code corpus. Given an observed (sub)graphs that representing the context of code completion, GraLan recommends the next API by computing the appearance probabilities of new usage graphs. SALAD [30], [31] also employs the graph-based model to represent API usage patterns. Given bytecode and source code, SALAD generates a graph-based model for extracting API sequences from such

model. Such API sequences are in turn employed to train a 237 Hidden Markov Model [32] (called HAPI). According to 238 their evaluation, the resulting HAPI is accurate in predict- 239 ing the next method call.

## 2.2 Pattern Mining Based Code Completion

It is quite often that a group of related API methods are 242 invoked in some order to accomplish a specific task. By min-243 ing code repositories, we may discover such patterns, i.e., 244 the API methods in order [14], [33]. Such patterns, in turn, 245 are employed to recommend the next API method invoca-246 tion whenever the preceding API method invocations are 247 typed in.

Bruch et al. [2] propose three similar intelligent code com- 249 pletion systems that learn API patterns from existing code 250 repositories in different ways. The first system, called 251 FreqCCS, counts API method invocations in code reposito- 252 ries, and recommends the most commonly invoked method 253 as the next API method invocation. The second one, called 254 ArCCS, mines association rules among API method invoca- 255 tions. An example of association rule is "If a new instance of 256 Text is created, recommend setText()". ArCCS makes code 257 completions based on such association rules. The last and 258 most advanced system, called BMNCCS, adapts the K- 259 Nearest-Neighbor (KNN) [34] machine learning algorithm to 260 manage API patterns. First, it extracts and encodes the context 261 information (including methods invoked on the same base 262 instance) for each API method invocation in the repository as 263 a binary feature vector. With these feature vectors, it computes 264 the distances between the current context and the API exam- 265 ple contexts based on Hamming distance. For API methods 266 associated with the resulting nearest contexts, the approach 267 sorts them according to their frequency in repositories, and 268 the most frequently used one is recommended.

CSCC [12], [35] is another powerful pattern mining based 270 code completion system. The major difference between 271 BMNCCS and CSCC is that the latter takes more context 272 information into usage patterns, i.e., all method calls, Java 273 keywords and type names that appear within the four lines 274 prior to the completion location. To speed up the search for 275 patterns, CSCC employs two distance measures to compute 276 the similarities between the current context and the usage 277 contexts mined from repositories. PBN [13] further extends 278 BMNCCS to tackle the issue of significantly increased 279 model sizes. Unlike BMNCCS that uses a table of binary val- 280 ues to represent usages of different framework types, PBN 281 encodes the same information as a Bayesian network. A key 282 consequence is that PBN allows to merge different patterns 283 and to denote probabilities (instead of boolean existence) 284 for all context information.

MAPO [14] combines frequent sequence mining with clustering to summarize API usage patterns from source files. It 287 mines API usage patterns from open source repositories automatically, and recommends the mined patterns and their 289 associated samples on programmer's requests. MAPO also 290 provides a recommender that integrates with Eclipse IDE. 291

GraPacc [15] extends the mining of API usage patterns 292 successfully to higher-order patterns. It represents each pattern as a graph-based model [30] that captures the usage of 294 multiple variables, method calls, control structures, and 295 their data/control dependencies. The context features of 296

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API methods from code repositories are extracted and used to search for the best-matched pattern concerning the current context.

As a conclusion, such pattern mining based approaches are highly accurate in recommending public API member accesses. However, they rely heavily on the rich invocation histories of the method to be recommended. Consequently, such approaches are often confined to popular public APIs only because there are rich invocation examples of such public API methods in open-source applications whereas it is challenging to collect large numbers of invocation examples of project-specific API methods.

# 2.3 Type Based Code Completion

Except for pattern mining and statistical language model based approaches, there are also type based approaches that complete code by searching for valid expressions of given data types.

Mandelin et al. [10] propose PROSPECTOR to synthesize jungloid code fragments automatically in response to user queries. Jungloids are the chain of method calls that receives a given input object and returns a desired output object. They first construct a jungloid graph from method signatures with every expression corresponding to a path in the graph. Examples of downcasts are then extracted from program corpus as jungloids, converted to paths and added to the graph. Finally, PROSPECTOR searches for the shortest path from the given type to the desired type in the graph and synthesize a complete code fragment with the path. HeeNAMA differs from PROSPECTOR in that PROSPECTOR constructs a code fragment that might consist of multiple statements whereas HeeNAMA recommends a member access only. Another difference is that they leverage different information for code completion: PROSPECTOR leverages type information and examples of downcasts to synthesize code fragments whereas HeeNAMA leverages type information, examples of member accesses, and lexical similarity.

Gvero et al. [8] presents a general code completion approach inspired by complete implementation of type inhabitation for typed lambda calculus. Their approach constructs an expression and inserts it at the given location so that the whole program type checks. They introduce a succinct representation for type judgments that merges types into equivalence classes to reduce the search space. They rank potential solutions by preferring closer declarations to the program point and more frequently occurring declarations from a corpus of code. The approach is complete completion [8] because each synthesized expression is complete in that method calls have all of their arguments synthesized. HeeNAMA differes from their approach in that their approach makes the program type check by inserting a complete expression whereas HeeNAMA recommends a single member access.

# 2.4 Lexical Similarity Between Identifiers

Identifier names chosen by developers convey rich information, and thus they play an important role in program comprehension and source code analysis [36], [37], [38]. As suggested by Lawrie *et al.* [39], there are two main sources of domain information: identifier names and comments.

However, many developers do not write comments, so 355 identifier names are critical for program comprehension. 356

A number of approaches have been proposed to exploit 357 lexical similarity between semantically similar software enti-358 ties. Liu *et al.* [9] present an empirical study of the lexical similarity between arguments and parameters of methods, and 360 find that many arguments are more similar to the corresponding parameter than any alternative argument. Pradel 362 and Gross [40], [41] exploit the lexical similarity between 363 arguments and parameters to identify incorrect arguments. 364 HeeNAMA also exploits the lexical similarity between 365 semantically similar software entities. It differs from existing 366 approaches in that it exploits the lexical similarity in code 367 completion whereas exiting approaches [40], [41] exploits it 368 in bug detection.

Cohen *et al.* [42] compare different string metrics, i.e., edit 370 distance (also called Levenshtein distance) and cosine simi- 371 larity, for matching names and records. The edit distance is 372 used in our approach because it is simple and efficient. 373

# 3 APPROACH

#### 3.1 Overview

In this section, we propose a heuristic and neural network 376 based approach (HeeNAMA) for code completion. As 377 stated in Section 1, HeeNAMA is confined to project-specific 378 API member accesses that are defined as follows.

Definition 1 (Project-Specific API Member Access). A projectspecific API member access is a method call or a field access via a
base instance whose class type is declared and implemented within
the project where the member access appears.
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The base instance for a member access is the instance  $^{384}$  (object) whose member is accessed. For example, in the  $^{385}$  member access a.b.c.d, the base instance is c. For member  $^{386}$  access a.b.c, however, the base instance is b. For an incomplete assignment like "x=a.b.", HeeNAMA makes prediction only if the type of the base instance (b for the example)  $^{389}$  is declared and implemented within the project where the  $^{390}$  incomplete assignment is typed in. In the rest of this paper,  $^{391}$  member access, if not especially specified, refers specifically  $^{392}$  to  $^{393}$ 

An overview of HeeNAMA is presented in Fig. 1. Hee-  $^{394}$  NAMA is composed of two parts: a sequence of heuristics  $^{395}$  (notated as  $H_1$ ,  $H_2$ ,  $H_3$ , respectively) and a neural network  $^{396}$  based filter. The first part predicts the next member access  $^{397}$  based on a sequence of heuristics. Whereas the second part  $^{398}$  decides whether the prediction is accurate enough to be presented to developers.

Given an incomplete assignment (e.g., "String name = 401 person."), HeeNAMA works as follows to predict the next 402 member access:

1) First, it parses the incomplete assignment, and decides 404 whether the base instance (person for the illustrating 405 example) is a project-specific API instance. If yes (i.e., 406 the declaration and implementation of the data type of 407 the based instance are found within the enclosing proj-408 ect), it goes to the next step for code completion. Otherwise, HeeNAMA suggests invoking API specific code 410 completion algorithms.

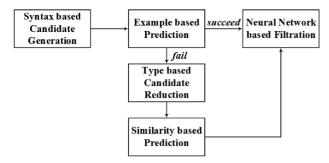


Fig. 1. Overview of HeeNAMA

- 2) Second, it generates the initial candidates according to the type of the base instance as well as the location of the incomplete assignment. For the example "String name = person.", the initial candidates include all members of the base instance person that are accessible on the location where the assignment is typed in.
- 3) Third, it looks for highly similar assignments within the project under development. If successful, it would predict the next member access based on the retrieved examples and the initial candidates. The prediction is forward to the neural network based filtering (Step 6). If failed, however, it would go to the next step to make prediction with other heuristics.
- 4) It removes the candidates that are type incompatible with the left hand side expression of the assignment according to our *type compatibility assumption*, i.e., the next member access should be type compatible with the left-hand side expression. For the given example of "String name = person.", candidates that are not type compatible with String are removed from the candidate set.
- 5) It ranks the resulting candidates in descending order according to their lexical similarity with the identifier on the left hand side of the assignment ("name" for the illustrating example). The one on the top is taken as the most-likely member access.
- 6) Finally, it leverages a neural network to decide whether the most-likely member access should be recommended. Notably, the most-likely member access is potentially type incompatible with the left-hand side expression if it is predicted by Step 3.

According to the base instance on the right hand side of the incomplete assignment, HeeNAMA decides whether the member completion request is a project-specific API member access. Intuitively, HeeNAMA can make also such decisions according to the type of the left hand side expression as well: If the data type of the left hand side is defined within the project, the right hand side member completion request is a project-specific API member access. However, the decisions made in such a way could be inaccurate. Take "String name = person.getName()" as an illustrating example. The type of the right hand side base instance (i.e., Person) is project-specific, and thus the member completion request for "String name = person." is project-specific and thus falls in the scope of HeeNAMA. However, the type of the left hand side expression (i.e., String) is not project-specific.

Details of the key steps are presented in the following sections.

# 3.2 Syntax Based Candidate Generation

First of all, HeeNAMA generates initial candidates based 462 on Java syntax. Given an incomplete assignment, we extract 463 its sketch that presents the key information our approach 464 exploits for code completion 465

$$sketch = \langle lType, lName, baseIns, lct \rangle,$$

where lType is the type of left hand side expression, lName 468 is the identifier name of left hand side, baseIns is the base 469 instance, and lct is the location of the assignment.

For the incomplete assignment "String name = person.", 471 we have

If the assignment is outside the package where the type of 475 person (i.e., Person) is defined, the sketch of the assignment is 476

$$sketch = \langle String, name, person, outside \rangle$$
.

lct indicates the relative location of the incomplete 480 assignment with respect to the type of baseIns, i.e., nested 481 (nested in the type of baseIns), inherited (inherited from the 482 type of baseIns), inside (inside the package of the type of 483 baseIns) or outside (outside the package of the type of 484 baseIns). Consequently, lct can decide what kind of mem-485 bers of the base instance are available at the location. Based 486 on the sketch, we generate the initial candidates cdtSet in 487 two steps. First, we collect all members of the base instance 488 baseIns. Second, we remove those members that are not 489 accessible at the location (lct) of the assignment.

For the given example, if "String name = person." is out- 491 side the package of class Person, the initial candidates are 492 the public members of Person. However, if the assignment is 493 within class Person, private and protected members of Per- 494 son are taken as initial candidates as well.

#### 3.3 Heuristic 1: Example Based Prediction

Repetitiveness is an important property of source code [7], 497 [43]. Consequently, it is likely that we can predict the next 498 member access based on highly similar member accesses. 499 Algorithm 1 illustrates how HeeNAMA predicts the next 500 member access based on sample assignments within the 501 enclosing project. 502

First, given an incomplete assignment, HeeNAMA 503 extracts its sketch (noted as *sketch*) that includes the type of 504 its left hand side expression, the identifier name of its left 505 hand side, the base instance, and its location (Line 2). Sec- 506 ond, HeeNAMA retrieves sample assignments from the 507 project under development (Line 4). The retrieving process 508 is presented in Algorithm 2. We employ the Java parser pro- 509 vided by Java Development Tools (JDT) to parse source 510 code of the project into Abstract Syntax Trees (ASTs). From 511 such ASTs, we retrieve all AST nodes that represent assignments (Line 3 in Algorithm 2). For an assignment in the 513 application, there may exist multiple scenarios where Hee- 514 NAMA can make predictions. For example, for assignment 515 "String name = this.person.getName()", HeeNAMA may make 516

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24: end if

25: **return** *member* 

9: return smpSet

prediction when incomplete assignment "String name = this." or "String name = this.person." is typed in. Each of such incomplete assignments and its following member access are presented as a sample:

```
smp = \langle icpAsqn, memb \rangle,
```

where icpAsgn is the incomplete assignment and memb is the member access that follows the incomplete assignment icpAsgn. Line 6 in Algorithm 2 extracts all such samples from a given assignment asgn.

# **Algorithm 1.** Example Based Member Access Prediction

```
Input: icpAsqn //incomplete assignment to be completed
528
             cdtSet //initial candidate set
529
                     //project under development
530
     Output:member
531
      1: //construct a sketch of the incomplete assignment
532
      2: sketch \leftarrow constructSketch(icpAsgn)
533
      3: //extract sample assignments from the project
      4: smpSet \leftarrow extractSampleAssignments(proj)
535
      5: for each smp in smpSet do
536
           //construct a sketch of the incomplete assignment in
537
          sample
      7:
           skch \leftarrow constructSketch(smp.icpAsqn)
539
           if skch.lType = sketch.lType and
540
             skch.lName = sketch.lName and
      9:
541
             skch.baseIns = sketch.baseIns then
542
     10:
             for each cdt in cdtSet do
     11:
543
              if cdt = smp.memb then
     12:
544
     13:
                cdt.frequency++
545
     14:
              end if
546
     15:
             end for
547
           end if
     16:
548
549
     17: end for
     18: //sort candidates by frequency in descending order
550
     19: sort(cdtSet)
551
     20: if cdtSet[0].frequency > 0 then
552
           member \leftarrow cdtSet[0]
554
     23:
           member \leftarrow null
```

# Algorithm 2. Extraction of Sample Assignments

```
Input:proj //project under development
559
     Output:smpSet //the set of samples
560
     1: smpSet \leftarrow \emptyset
     2: //retrieve all assignments from the project
562
     3: asgns \leftarrow retrieveAsgns(proj)
563
     4: for each asgn in asgns do
564
          //extract all samples from the assignment
565
          smps \leftarrow \text{extractSamples}(asgn)
566
          smpSet.add(smps)
567
     8: end for
568
```

Third, HeeNAMA enumerates samples in the set *smpSet*, and extracts their sketches (Line 7). Lines 8-10 select samples that are highly similar to the incomplete assignment (icpAsgn, the first input of the algorithm) by comparing

their sketches. A sample is regarded as highly similar to the 574 incomplete assignment when the types of their left hand 575 side expressions, the identifier names of their left hand side 576 expressions and their base instances are the same, respec- 577 tively. Lines 11-13 count the frequency of the candidate 578 members in the resulting highly similar samples. Based on 579 the frequency, HeeNAMA sorts the candidate set (cdtSet) in 580 descending order (Line 19). If the top one in cdtSet has a fre- 581 quency greater than zero, it is regarded as the most-likely 582 member to be accessed (Lines 20-25).

Taking the incomplete assignment (icpAsgn) "String 584 name = person." as an illustrating example, HeeNAMA first 585 extracts its sketch

```
sketch = \langle String, name, person, outside \rangle,
```

where outside means the assignment is typed in outside the 589 package of class Person, and then it retrieves all sample 590 assignments from the project under development. Suppose 591 that it retrieves four sample assignments

```
asgn_1: Stringname = this.person.getName()
       Stringname = student.name
asgn_2:
asgn_3: Stringname = person.getName()
asgn_4: Stringname = this.person.name.
```

From these sample assignments, the approach extracts six 595 samples

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```
smp_{11} = \langle skch_{11}, person \rangle
          skch_{11} = \langle String, name, this, outside \rangle
smp_{12} = \langle skch_{12}, getName() \rangle
          skch_{12} = \langle String, name, person, outside \rangle
smp_2 = \langle skch_2, name \rangle
          skch_2 = \langle String, name, student, outside \rangle
smp_3 = \langle skch_3, getName() \rangle
          skch_3 = \langle String, name, person, outside \rangle
smp_{41} = \langle skch_{41}, person \rangle
          skch_{41} = \langle String, name, this, outside \rangle
smp_{42} = \langle skch_{42}, name \rangle
          skch_{42} = \langle String, name, person, outside \rangle.
```

Among these samples,  $smp_{12}$ ,  $smp_3$  and  $smp_{42}$  share the same 599 lType, lName, and baseIns in their sketches with the given 600 incomplete assignment icpAsgn, and thus they are taken as 601 highly similar samples. HeeNAMA counts the occurrence fre- 602 quency of members in the resulting highly similar samples. 603 Because getName() has the highest frequency, HeeNAMA sug- 604 gests to complete the incomplete assignment icpAsgn with 605 getName().

## **Heuristic 2: Type Based Reduction** of Candidate Set

If the first heuristic  $H_1$  fails, HeeNAMA would generate recommendations with the other heuristics, i.e., the second heu- 610 ristic  $H_2$  and the third heuristic  $H_3$ . To make an assignment 611 syntactically correct, the right-hand side expression of the 612 assignment should be type compatible with the left-hand 613 side expression. Consequently, the predicted member access 614 (for a given incomplete assignment) should be type compatible with the left-hand side expression of the assignment if the member access is the final token of the assignment. However, if the member access is not the final token of the assignment, it is not necessarily type compatible with the left-hand side. When an incomplete assignment is typed in, code completion tools do not know whether the next token is the final token or not. Consequently, in theory code completion tools (including the proposed one) could not assume that the next member access is type compatible with the left-hand side of the assignment.

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However, to simplify the prediction, HeeNAMA makes the assumption that the next member access is type compatible with the left-hand side expression (called type compatibility assumption). Although the type of the left-hand side expression is not by definition compatible with the next member access, we find that this is the case in more than 80 percent of member accesses (see Section 4.3.2 for details).

Based on the type compatibility assumption, HeeNAMA reduces the size of the initial candidate set by removing those elements that are not type compatible with the left-hand side expression of the enclosing assignment. If the resulting candidate set is empty, i.e., no candidate is type compatible with the left-hand side, HeeNAMA refuses to make any prediction.

# 3.5 Heuristic 3: Similarity Based Prediction

Identifiers chosen by developers convey rich information about the semantics of the software entities [39]. An empirical study also suggests that semantically related software entities, e.g., arguments and their corresponding parameters, often have lexically similar identifiers (entity names) [9]. The right-hand side of an assignment is semantically related to its left-hand side, and thus it is likely that they are lexically similar. Consequently, in this section we propose the third heuristic  $(H_3)$  to predict the next member access based on the similarity between the candidates and the left hand side variable of the assignment. Given an incomplete assignment (whose sketch is  $sketch = \langle lType, lName, baseIns, lct \rangle$ ) and its candidate set (cdtSet) generated by Heuristic 2, Hee-NAMA works as follows to predict the next member access:

- First, for each candidate *cdt* in *cdtSet*, HeeNAMA calculates the Levenshtein distance (notated as Lev(cdt, lName)) between the identifier of *cdt* and that of *lName*.
- Second, based on the resulting Levenshtein distance, HeeNAMA calculates the lexical similarity between cdt and lName as follows:

$$sim \ = \ 1 - \frac{Lev(cdt, lName)}{\max(len(cdt), len(lName))},$$

where len(cdt) is the length of cdt (in characters), len(lName) is the length of lName, and Lev(cdt, lName) is the Levenshtein distance.

 Third, HeeNAMA sorts candidates in cdtSet in descending order according to their similarities, and suggests to use the the top one as the next member access.

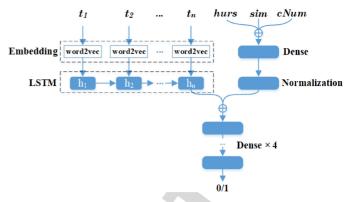


Fig. 2. Structure of the neural network based filter.

For the given example "String name = person.", suppose 671 that the candidate set cdtSet contains four candidates: name, 672 getName(), age and getAge(). HeeNAMA calculates their lexi- 673 cal similarity with the left-hand side variable "name". The 674 resulting similarities are 1.00, 0.57, 0.50, and 0.33, respec- 675 tively. Since the first candidate name has the greatest simi- 676 larity, HeeNAMA recommends name as the next token.

# 3.6 Neural Network Based Filtering

In the preceding sections, we present a sequence of heuris- 679 tics to predict the next member access according to a given 680 incomplete assignment. In this section, we present a neural 681 network based filtering to filter out risky predictions that 682 are likely incorrect. 683

The overall structure of the filter is presented in Fig. 2. On 684 the left side is a LSTM [26] layer. Its input is a sequence of 685 identifiers  $\langle lType, lName, baseIns, memb \rangle$  where lType is 686 the type of left hand side expression, lName is the identifier 687 name of left hand side, baseIns is the base instance on which 688 the member is accessed, and memb is the member predicted 689 by heuristics  $(H_1, H_2, \text{ or } H_3)$ . To feed such identifiers into the 690 neural network, we take the following measures. First, we 691 tokenize such identifiers (i.e., lType, lName, baseIns and 692 memb) into sequences of tokens according to the camel 693 case naming convention, notated as st(lType), st(lName), 694 st(baseIns), and st(memb), respectively. For the example of 695 < String, name, person, getName >, we tokenize them into 696 four sequences of  $\langle String \rangle$ ,  $\langle name \rangle$ ,  $\langle person \rangle$  and 697 < get, Name >. Second, we lowercase all the tokens and 698 concatenate such sequences as well as separators (notated as 699 sep) into one sequence, noted as cst

$$cst = \langle st(lType), sep, st(lName), sep, st(baseIns),$$
  
 $sep, st(memb) >$   
 $= \langle t_1, t_2, \dots, t_n \rangle,$ 

where n is the total number of tokens in lType, lName, 703 baseIns, memb and separators. Consequently, the resulted 704 sequence for the given example is < string, sep, name, sep, 705 person, sep, get, name > .

Given the sequence  $cst = \langle t_1, t_2, \ldots, t_n \rangle$ , we map the 707 ith token  $t_i$  into a D-dimensional vector  $e_i = W \cdot o(t_i)$ , 708 where  $W \in \mathbb{R}^{D \times V}$  is an embedding matrix pre-trained with 709 word2vec model [44] on identifiers that could be collected 710 from sample assignments, and  $o(t_i)$  is a one-hot encoder 711 converting  $t_i$  into a vector of V dimensions. We embed such 712

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tokens into numeric vectors with the well-known *word2vec* because of the following reasons. First, each of the identifiers are finally tokenized into a sequence of words that is essentially a short English phrase, and *word2vec* has been proved effective in vectoring short English phrases. Second, *word2vec* has been successfully employed by Nguyen *et al.* [45] to vectorize identifiers in source code. We pass such vectors into a recurrent neural network with long short-term memory units (LSTM) and compute the hidden vector at the *i*th time step as

$$h_i = f_{LSTM}(h_{i-1}, e_i), \quad i = 1, \dots, n,$$
 (1)

where  $h_i \in \mathbb{R}^D$  and  $f_{LSTM}$  is the LSTM function. Then we take the final hidden state  $h_n$  as the output of LSTM layer. We employ LSTM because of two reasons. First, LSTM has been proved effective and efficient in natural language processing. In our case, all of the involved identifiers (i.e., IType, lName, baseIns and memb) are essentially natural language descriptions. Second, LSTM accepts variable-length input. In our case, the length of the input depends on how many words the given identifiers contain, and it may change dramatically from assignment to assignment. Consequently, LSTM fits well for our case.

# **Algorithm 3.** Training Process of the Filter

```
Input: projs //sample projects
736
            filter //filter (neural network) to be trained
737
      Output: filter //updated filter
738
       1: smpSet \leftarrow \emptyset
739
       2: for each proj in projs do
740
            //extract sample assignments from the project
741
            set \leftarrow extractSampleAssignments(proj)
742
743
            smpSet \leftarrow smpSet + set
       6: end for
744
745
       7: //generate a training set with sample assignments
       8: trainSet \leftarrow generateTrainingSet(smpSet)
       9: //train filter with the training set
747
      10: filter \leftarrow filter.train(trainingSet)
748
     11: return filter
749
     12:
750
      13: function generateTrainingSet(smpSet)
751
            trainingSet \leftarrow \emptyset
     14:
752
            for each smp in smpSet do
753
     15:
              //make prediction by heuristics
     16:
     17:
              memb', hurs, sim, cNum \leftarrow
755
     18:
                 predict(smp.icpAsgn)
756
757
     19:
              skch \leftarrow constructSketch(smp.icpAsgn)
     20:
              input \leftarrow \langle skch.lType, skch.lName,
                 skch.baseIns, memb', hurs, sim, cNum >
759
              if memb' = smp.memb then
     22:
760
                output \leftarrow 1
     23:
761
     24:
762
              else
                output \leftarrow 0
     25:
763
     26:
              end if
764
```

 $item \leftarrow < input, output >$ 

trainingSet.add(item)

 ${\bf return}\ trainingSet$ 

31: end function

On the right side is a normalization layer that normalizes hurs, sim, and cNum. hurs indicates which heuristic ( $H_1$  or

 $H_3$ ) makes the prediction. sim is the lexical similarity 772 between memb and lName. cNum is the number of candidates in the initial candidate set generated according to Java 774 syntax (as introduced in Section 3.2). The three numerical 775 values are concatenated into a three-dimensional vector and 776 fed into the Dense layer which converts them into a 777 D-dimensional vector. The normalization layer used here is 778 a learned layer-normalization. We normalize these data 779 because some of them (e.g., cNum) are usually much larger 780 than others (e.g., sim). The output of the LSTM layer and 781 the normalization layer is merged by concatenation and fed 782 into dense layers whose output is either one (suggesting 783 that the prediction is safe) or zero (suggesting that the prediction is risky).

The neural network based filter could be trained in advance 786 with examples from open-source applications. Algorithm 3 787 presents the training process. The training process consists of 788 three steps, i.e., extracting sample assignments from sample 789 projects (Lines 1-5), generating the training set (Line 8), and 790 training filter with the training set (Line 10).

On the first step, we extract sample assignments from corpus (Line 4) in the same way as we did in Section 3.3. Based 793 on the resulting samples (noted as smpSet), we generate a 794 training set (noted as traingSet) on Line 8. The generation process is explained as follows. For each sample smp, we employ 796 the heuristics ( $H_1$ ,  $H_2$  and  $H_3$ ) to make prediction for the 797 incomplete assignment (smp.icpAsgn) on Lines 17-18. The 798 output of the prediction includes the predicted member access 799 (notated as memb'), the number of initial candidates (noted as 800 cNum), the heuristic that makes the prediction (noted as 801 hurs), and the lexical similarity between memb' and 802 smp.icpAsgn.lName (noted as sim). With the output and the 803 constructed sketch skch (Line 19), we construct an input 804 (Lines 20-21) for the filter as

$$input = \langle lType, lName, baseIns, memb', hurs, sim, cNum \rangle$$
.

If the predicted member access (memb') is exactly the same as 808 that in sample (smp.memb), i.e., memb' = smp.memb, the 809 expected output of the network (notated as output) is one 810 (Lines 22-23). Otherwise, output is zero (Lines 24-25). The 811 resulting training item item = < input, output > is added to 812 the training set that is in turn used to train the neural net-813 work (Lines 27-28). If the prediction fails, i.e., no member 814 access is recommended, we ignore the sample smp. No training items are generated based on this sample.

#### 4 EVALUATION

In this section, we evaluate HeeNAMA on open-source 818 applications.

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# 4.1 Research Questions

The evaluation investigates the following research questions: 821

- RQ1: How often do project-specific API member 822 accesses appear in the right hand side of assign- 823 ments? How often are they stacked or unstacked?
- RQ2: How often are project-specific API members in 825 the right hand side of assignments type compatible 826 with the left hand side?

• RQ3: Does HeeNAMA outperform the state-ofthe-art approach or the state-of-the-practice tool? If yes, to what extent?

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- *RQ4*: How do the heuristics and the LSTM based filter influence the performance of HeeNAMA?
- RQ5: Can HeeNAMA be extended to recommend project-specific API member accesses nested in method invocations?
- RQ6: How well do API-specific approaches work in suggesting project-specific API member accesses if they are trained on within-project code?
- RQ7: How well does HeeNAMA work if trained with all API member accesses (considering both project-specific and public ones)?
- RQ8: How well does HeeNAMA perform on recently created applications?

HeeNAMA is based on the assumption that there are a large number of project-specific API member accesses on the right hand side of assignments (called member accesses on RHS for short). If the assumption does not hold, HeeNAMA will not be employed frequently, and thus it may be useless. Answering the research question RQ1 helps to validate the assumption. Notably member accesses are further divided into stacked and unstacked. For example, member access c in assignment x=a.b.c is unstacked whereas b is stacked because b is followed immediately by another member access. Although HeeNAMA makes predictions for both stacked and unstacked member accesses, it is more challenging to predict stacked ones because the type based reduction of candidate sets (as introduced in Section 3.4) may not work for stacked ones. For example, while predicting b in assignment x=a.b.c, HeeNAMA filters candidates based on the assumption that the member access to be predicted (b in the example) is type compatible with the left hand side of the assignment (x in the example). However, it is not necessarily true for stacked member accesses: assignment x=a.b.crequires c (instead of b) to be type compatible with x. Investigating how often member accesses are stacked or unstacked may help to reveal how often the assumption taken by HeeNAMA holds.

 $H_2$  in Section 3.4 is based on the assumption that the next project-specific API member access in the right hand side of assignment is often type compatible with the left-hand side expression (type compatibility assumption). Answering the research question RQ2 helps to validate the assumption.

RQ3 concerns the performance of HeeNAMA against the state-of-the-art approach and the state-of-the-practice tool. To answer RQ3, we compare HeeNAMA against SLP-Core and Eclipse. SLP-Core is the implementation of the state-ofthe-art approach proposed by Hellendoorn et al. [20]. Eclipse is a well-known and widely used IDE. SLP-Core and Eclipse are selected for comparison because of the following reasons. First, SLP-Core is the state-of-the-art approach whereas Eclipse is the state-of-the-practice IDE. Second, both SLP-Core and Eclipse can predict project-specific API member accesses. Third, both SLP-Core and Eclipse are publicly available online, which facilitates readers to repeat the evaluation. Although some advanced approaches are reported highly accurate [18], [19], [46], [47], they are not selected for comparison because we fail to get their replication packages or the packages could not be easily adapted to Java. Both SLP-Core and Eclipse are generic, and they can predict all 889 kinds of tokens or elements whereas HeeNAMA is confined 890 to project-specific API member accesses on RHS. The purpose of the comparison is to investigate whether HeeNAMA 892 can improve the performance of code completion by focusing on special cases and by taking specific and fine grained 894 context of such cases.

As specified in Section 3, HeeNAMA is composed of a 896 sequence of heuristics and a neural network based filter. 897 Answering research question RQ4 helps to reveal how such 898 heuristics and filter influence the performance (e.g., precision and recall) of HeeNAMA. We also conducted an experiment to explore how different learning algorithms influence 901 the performance of the filter and HeeNAMA. The results can 902 be found in the online appendix. Answering the research 903 question RQ4 also helps to explain why HeeNAMA works 904 (or not works).

As specified in Section 1, HeeNAMA focuses on a specific 906 but common case of code completion: suggesting the follow-907 ing member access whenever a project-specific API instance 908 is followed by a dot on the right hand side of an assignment. 909 Notably, there is a similar case where HeeNAMA could be 910 applied: suggesting the following member access when a 911 project-specific API instance is nested in a method invocation, e.g., suggesting the member b in the example of m(a.b). 913 We call such project-specific API member accesses nested in 914 method invocations as nested member accesses for short. 915 RQ5 investigates the possibility of extending HeeNAMA 916 to recommend nested member accesses. Answering the 917 research question RQ5 helps to validate the practical usefulness of HeeNAMA.

Research question RQ6 concerns the performance of Hee- 920 NAMA against API-specific approaches that are trained on 921 within-project code. API-specific approaches are often 922 highly accurate in recommending API member accesses 923 because they can discover frequent patterns in training cor- 924 pus. If we simply train API-specific prediction models on 925 within-project code, however, they might discover project- 926 specific patterns as well, and thus the resulting models might 927 suggest project-specific member accesses as HeeNAMA 928 does. Assuming that a model is requested to recommend a 929 member of class C in method M, if the model is continually 930 updated with the code in the project, it would have the 931 knowledge of all the code in the project with the exception of 932 method M. Thus, if there are usage patterns of class C in the 933 project, the model would be able to make a good recommen- 934 dation. That is the rationale for the investigation of RQ6. To 935 answer RQ6, we compare HeeNAMA against an API- 936 specific approach CSCC [35] (trained on within-project code) in 937 suggesting project-specific API member accesses. CSCC [35] is 938 the latest pattern mining based API-specific approach. In the 939 evaluation, CSCC is incrementally trained with the code in the 940 test project.

Research question RQ7 concerns the performance of Hee- 942 NAMA when trained with all project-specific and public 943 API member accesses. Although HeeNAMA is proposed 944 for prediction of project-specific API member access, it can 945 be applied to train on public API member access as well. To 946

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answer RQ7, we train HeeNAMA with all API member accesses on RHS in subject applications and then evaluate its performance on project-specific and public API member accesses on RHS separately.

Research question RQ8 concerns the performance of Hee-NAMA on recently created applications. To answer RQ8, we collect a new dataset of nine open-source Java applications which are created in recent years (i.e., since January 1, 2015). With the new dataset, we evaluate the performance of HeeNAMA against SLP-Core, Eclipse and CSCC again. The comparison helps to reveal the impact of replacing evaluation applications on the performance of HeeNAMA.

# 4.2 Setup

### 4.2.1 Subject Applications

We conduct the evaluation on nine open-source applications as shown in Table 1. We select such applications because they have been employed to evaluate code completion approaches successfully [7], [18], [23], [24]. An overview of the subject applications is presented in Table 1. They cover various domains such as software build, database management, and search engine. The size (LOC) of subject applications varies from 91,760 to 1,591,582. In all nine applications, there are 861,618 API member accesses in total, and 521,752 of them are project-specific API member accesses. In 521,752 project-specific API member accesses, 103,695 members are accessed on the right hand side of assignments. Notably, our evaluation is only conducted on project-specific API member accesses on the right hand side of assignments, i.e., evaluated approaches are requested for code completion on the 103,695 member accesses on RHS in subject applications.

# 4.2.2 Process

On the nine open-source applications presented in Table 1, we carry out a k-fold (k = 9) cross-validation. On each fold, a single application is used as testing data set (noted as testSet) whereas the others (eight applications) are used as training data (noted as trainingSet). Each of the subject applications is used as testing data set for once.

Each fold of the evaluation follows the following process:

- 1) SLP-Core and the filter in HeeNAMA are trained with *trainingSet* independently.
- 2) For each project-specific API member access on RHS in the *testSet*, we remove source code after the dot of member access (including the member) in the enclosing file. The resulting incomplete assignment is used as a query to HeeNAMA, SLP-Core and Eclipse. This step simulates the scenarios where source code in each file is typed in from the top to the bottom.
- 3) For each query, each code completion system is asked to return a prediction of the missing member access. A prediction is correct if and only if the predicted member access is exactly the same as that in the original source code. After prediction, the original member access is used to train SLP-Core and the first heuristic in HeeNAMA in a incremental way.
- 4) Based on such predictions, we calculate the performance (precision and recall) for these code completion approaches.

### 4.2.3 Configuration

We empirically set the embedding dimension (100) for 1005 word2vec and the dimension (10) for the intermediate Dense 1006 layers. We also empirically set the activation function 1007 (ELU [48]) for dense layers, and their optimizer (Nadam [49]). 1008 Other settings of the evaluation could be found in the implementation of HeeNAMA that is publicly available at 1010 https://github.com/CC-CG/HeeNAMA [50]. For compari- 1011 son with SLP-Core, we employ the nested cache n-gram 1012 model in the dynamic setting which is reported best-in- 1013 class [20]. During evaluation, SLP-Core is incrementally 1014 trained with each member access in the test project once 1015 the member access has been recommended, i.e., we employ 1016 the dynamic setting as in [20]. For a given completion, those 1017 member accesses that have been recommended before are 1018 left in their usual place and thus can be learned by 1019 the nested cache n-gram model of SLP-Core. Concerning 1020 Eclipse, for each member access in the test project, we 1021 programmatically invoke the default code recommender in 1022 Eclipse (version 4.5).

# 4.2.4 Metrics

To answer research questions RQ3 to RQ8, we calculate the  $^{1025}$  precision of top k recommendation for various approaches  $^{1026}$  in recommending member accesses as follows:  $^{1027}$ 

$$Precision@k = \frac{N_{accepted}@k}{N_{recommended}},$$
(2)

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where  $N_{accepted}@k$  is the number of the cases where one of 1030 items within the top k recommendation list is accepted, and 1031  $N_{recommended}$  is the number of cases the evaluated approach 1032 tries. The recall of top k recommendation is calculated as 1033 follows:

$$Recall@k = \frac{N_{accepted}@k}{N_{tested}},$$
(3)

where  $N_{tested}$  is the number of tested member accesses. To 1037 answer RQ3 and RQ6, the value of k is set to 1, 3 and 5. 1038 While for the other research questions, we only present the 1039 precision and recall of top 1 recommendation. We also compute the F-measure to summarize the precision and recall 1041 values of top 1 recommendation as follows: 1042

$$F_{\beta} = (\beta^2 + 1) \cdot \frac{Precision@1 \cdot Recall@1}{\beta^2 \cdot Precision@1 + Recall@1}, \tag{4}$$

where  $\beta \in \mathbb{R}$  is a harmonic coefficient.In this paper, we set  $\beta$  to 1045 0.5, 1 and 2 to get evaluating metrics  $F_{0.5}$ -measure,  $F_1$ -measure and  $F_2$ -measure, respectively [51].  $F_1$ -measure integrates 1047 precision and recall values by the same weight.  $F_2$ -measure 1048 assigns a larger weight to the precision value whereas 1049  $F_{0.5}$ -measure assigns a larger weight to the recall value. That 1050 is to say,  $F_2$ -measure focuses more on the improvement of 1051 the recall value whereas  $F_{0.5}$ -measure focuses more on the 1052 improvement of the precision value. The three metrics evaluate the integrated performance of the approach from different 1054 aspects.

TABLE 2
Popularity of Project-Specific API Member Accesses on RHS

Applications	All Accesses ( $N_{all}$ )	Accesses ( $N_{all}$ ) Accesses on RHS ( $N_{RHS}$ )		Unstacked Accesses on RHS ( $N_{unstacked}$ )	$\frac{N_{unstacked}}{N_{RHS}}$	$\frac{N_{unstacked}}{N_{all}}$
Ant	23,702	3,400	14.34%	3,064	90.12%	12.93%
Batik	24,031	5,158	21.46%	4,773	92.54%	19.86%
Cassandra	100,575	17,724	17.62%	13,786	77.78%	13.71%
Log4J	31,927	7,137	22.35%	4,963	69.54%	15.54%
Lucene-solr	266,040	56,623	21.28%	47,373	83.66%	17.81%
Maven2	10,070	1,411	14.01%	1,209	85.68%	12.01%
Maven3	18,067	2,351	13.01%	2,037	86.64%	11.27%
Xalan-J	22,621	4,154	18.36%	3,946	94.99%	17.44%
Xerces	24,719	5,737	23.21%	5,484	95.59%	22.19%
Total	521,752	103,695	19.87%	86,635	83.55%	16.60%

### 4.3 Results and Analysis

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### 4.3.1 RQ1: Project-Specific API Member Access on RHS

To address RQ1, we count the number of member accesses in subject applications as well as those on the right-hand side of assignments (RHS). We also count the number of unstacked member accesses on the right-hand sides of assignments. The results are presented in Table 2. The first column presents the names of subject applications. The second column presents the number of member accesses in subject applications. The third column and the forth column present the number of member accesses on RHS and the ratio of member accesses on RHS to all member accesses, respectively. The number of unstacked member accesses on RHS is presented in the fifth column. The ratio of them to member accesses are presented in the last two columns.

From this table we make the following observations:

- First, the number of member accesses on RHS is quite large. For the nine subject applications, the total number is as much as 103,695. Consequently, highly accurate prediction approaches (if there is any) for such member accesses would be employed frequently, and thus could be beneficial for developers.
- Second, member accesses on RHS account for a significant proportion of member accesses in the source code. On average, they account for 19.87%=103,695/521,752 of the member accesses.
- Third, most (83.55 percent) of member accesses on RHS are unstacked, i.e., where HeeNAMA actually works. The total number of unstacked member accesses is as much as 86,635 for nine subject applications. They account for 16.60%=86,635/521,752 of all member accesses.

From the analysis in the preceding paragraphs, we conclude that there is a large number of member accesses on the right-hand side of assignments. Consequently, code completion approaches/tools confined to such member accesses could be useful as long as they are accurate.

# 4.3.2 RQ2: Type Compatibility of Project-Specific API Member Accesses

To address RQ2, we count the number of member accesses on RHS and the number of those which are type compatible with the left hand side expression. We present the results in Table 3. The first column shows the names of subject applications. The

second column presents the number of member accesses on 1100 RHS. The third column presents the number of member 1101 accesses on RHS which are type compatible with the left 1102 hand side expression. The ratio of type compatible member 1103 accesses to those on RHS is presented in the last column.

From Table 3, we observe that the ratio is high. As shown 1105 in the table, the ratio varies from 70.42 to 92.27 percent. On 1106 average, 81.82%=84,842/103,695 of member accesses on 1107 RHS are type compatible with the left hand side.

From the analysis in the preceding paragraph, we con- 1109 clude that in most cases the type compatibility assumption 1110 is correct.

# 4.3.3 RQ3: Comparison Against Existing Approaches

To address RQ3, we compare HeeNAMA against SLP-Core 1113 [20] and Eclipse IDE on nine open-source applications. The 1114 evaluation results are presented in Table 4 and Fig. 3. In the 1115 table, the first column presents the names of subject applications. The second to seventh columns present the precision 1117 and recall of HeeNAMA in the top k recommendation list, 1118 respectively. The precision of SLP-Core and Eclipse at top k 1119 is presented in the last six columns, respectively. Different 1120 from HeeNAMA, both SLP-Core and Eclipse always make 1121 recommendation whenever they are requested, i.e., the number of cases they try is equal to the number of tested member 1123 accesses. Consequently, for SLP-Core and Eclipse, recall is 1124 always equal to precision, and thus it is omitted from the 1125 table. Fig. 3 presents evaluation results at the top 1 recommendation with bean-plot. Each bean in Fig. 3 presents the 1127 resulting precision (sub-graph on the left) or recall (sub- 1128 graph on the right) of an evaluated approach on subjection 1129

TABLE 3
Type Compatibility of Project-Specific API Member Accesses

Applications	Accesses on RHS ( $N_{RHS}$ )	Type Compatible Accesses ( $N_{TC}$ )	$\frac{N_{TC}}{N_{RHS}}$
Ant	3,400	3,042	89.47%
Batik	5,158	4,567	88.54%
Cassandra	17,724	13,357	75.36%
Log4J	7,137	5,026	70.42%
Lucene-solr	56,623	46,554	82.22%
Maven2	1,411	1,204	85.33%
Maven3	2,351	2,045	86.98%
Xalan-J	4,154	3,833	92.27%
Xerces	5,737	5,214	90.88%
Total	103,695	84,842	81.82%

79.09%

Average

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			HeeN	IAMA				SLP-Core		Eclipse			
Applications	Precision				Recall			Precision			Precision		
	Top 1	Top 3	Top 5	Top 1	Top 3	Top 5	Top 1	Top 3	Top 5	Top 1	Top 3	Top 5	
Ant	80.82%	85.17%	85.92%	63.59%	69.91%	71.44%	42.38%	51.12%	54.65%	48.44%	67.85%	78.15%	
Batik	75.46%	83.53%	85.90%	60.86%	68.94%	71.56%	42.83%	51.01%	53.06%	42.48%	67.78%	76.93%	
Cassandra	81.00%	84.96%	84.63%	59.00%	62.97%	63.48%	41.32%	48.10%	51.30%	44.81%	68.60%	79.87%	
Log4J	84.00%	87.32%	88.02%	67.31%	79.04%	79.99%	49.15%	54.32%	56.79%	37.12%	59.41%	65.28%	
Lucene-solr	86.00%	88.05%	87.94%	62.60%	67.05%	67.94%	52.38%	60.63%	63.61%	53.64%	75.64%	83.23%	
Maven2	87.03%	91.92%	92.51%	67.04%	74.20%	75.27%	58.11%	65.27%	67.90%	45.57%	72.43%	82.99%	
Maven3	73.89%	85.12%	85.46%	64.53%	72.27%	73.50%	45.98%	53.00%	57.38%	27.35%	64.99%	73.63%	
Xalan-J	79.77%	79.57%	80.02%	53.06%	66.18%	67.96%	46.44%	53.71%	56.69%	42.18%	67.21%	75.83%	
Xerces	78.47%	80.72%	80.69%	47.90%	59.32%	60.31%	46.59%	59.28%	62.40%	29.07%	47.25%	59.12%	

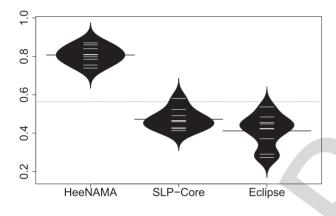
68.11%

48.84%

56.80%

67.12%

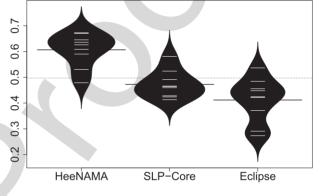
TABLE 4
Comparison Against Existing Approaches



(a) Precision at Top 1

86.51%

61.16%



59.79%

47.74%

70.48%

(b) Recall at Top 1

Fig. 3. Comparison against existing approaches.

83.36%

86.39%

applications. The white small lines represent the precision or recall on a single subject application, and the shape of the beans represents the distribution of the performance. The black lines crossing beans represent the average precision (or recall) of the evaluated approaches.

From Table 4 and Fig. 3, we make the following observations:

- First, HeeNAMA is precise. The precision at top 1 recommendation varies from 73.89 to 87.03 percent, and the average precision is as much as 83.36 percent. In other words, in most cases (more than 83 percent) the approach suggests the member access exactly the same as developers want.
- Second, HeeNAMA is significantly more precise than SLP-Core and Eclipse. On each of the subject applications, the precision of HeeNAMA at top k is always greater than that of SLP-Core and Eclipse. We also compare their precision at top 1 in Fig. 3a where the distance between different approaches is obvious. On average, HeeNAMA improves precision at top 1 significantly by 70.68%=(83.36%-48.84%)/48.84%.
- Third, HeeNAMA improves recall at top 1 recommendation significantly. Although its recall varies dramatically from 47.90 to 67.31 percent, it is always greater than that of SLP-Core and Eclipse. The bean plot in Fig. 3b visually illustrates the distance among

such approaches. On average, it improves recall at 1156 top 1 by 25.23%=(61.16%-48.84%)/48.84%.

Notably, SLP-Core and Eclipse work well on challenging project-specific API member accesses, achieving a precision/recall of 48.84 and 47.74 percent, respectively. One possible reason for the success of SLP-Core is that it leverages project code because its nested cache n-gram model is continually updated while recommendation [20]. Eclipse succeeds frequently because it recommends member accesses according to type information of the left hand side which is also leveraged by HeeNAMA and thus it makes some correct recommendations.

HeeNAMA refuses to make recommendations when it 1169 lacks of confidence. We present the frequency of HeeNAMA 1170 refusing to make recommendations in Table 5. From this 1171 table, we observe that on more than a quarter (26.62 percent) 1172 cases HeeNAMA refuses to make recommendations. Comparing Table 4 against Table 5, we observe that the recall is 1174 influenced by the frequency of refusal. The results are reasonable in that if HeeNAMA makes fewer recommendations, it 1176 has smaller chance to make correct recommendations (and 1177 thus lower recall).

As suggested by Table 2, project-specific API member 1179 accesses could be further divided into stacked and unstacked 1180 ones. To this end, we further investigate how well Hee- 1181 NAMA works at top 1 recommendation on such subsets. On 1182

TABLE 5
Frequency of HeeNAMA Refusing to Make Recommendations

Applications	Accesses on RHS $(N_{RHS})$	Refused Accesses $(N_{Ref})$	$\frac{N_{Ref}}{N_{RHS}}$
Ant	3,400	725	21.32%
Batik	5,158	998	19.35%
Cassandra	17,724	4,814	27.16%
Log4J	7,137	1,418	19.87%
Lucene-solr	56,623	15,405	27.21%
Maven2	1,411	324	22.96%
Maven3	2,351	298	12.68%
Xalan-J	4,154	1,391	33.49%
Xerces	5,737	2,235	38.96%
Total	103,695	27,608	26.62%

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the stacked ones, HeeNAMA achieves a precision of 74.86 percent and a recall of 45.84 percent whereas the precision (and recall) of SLP-Core and Eclipse IDE is 48.12 and 7.11 percent, respectively. On the unstacked ones, Hee-NAMA achieves a precision of 84.71 percent and a recall of 64.18 percent whereas the precision (and recall) of SLP-Core and Eclipse IDE is 48.98 and 55.75 percent, respectively. The results suggest that HeeNAMA works much better on unstacked ones than on stacked ones. Stacking does not affect SLP-core because SLP-core is completely based on token sequences captured by n-gram models. However, stacking does affect Eclipse negatively because Eclipse leverages the type information of the left hand side to make recommendations and stacking makes such type information useless (or even misleading). However, the results also suggest that even on the stacked ones, HeeNAMA outperforms SLP-Core and Eclipse IDE as well.

From the analysis in the preceding paragraphs, we conclude that HeeNAMA is precise, and it significantly outperforms both the state-of-the-art approach and the state-of-the-practice tool in suggesting the next member access for assignments.

#### 4.3.4 RQ4: Impacts of Heuristics and Filter

As introduced in Section 3, HeeNAMA is composed of three heuristics and neural network based filter. The evaluation in the preceding sections suggests that HeeNAMA as a whole is accurate. To investigate how the heuristics and filter influence the performance of HeeNAMA, we repeat the evaluation (including both the training and testing phase) for four times. On the first three times, we disable the heuristics (i.e.,  $H_1$ ,  $H_2$ ,  $H_3$ ), respectively. Finally, we disable the neural network based filter, and repeat the evaluation for the last time. For example, when disabling  $H_1$ , the filter is presented with only type-compatible member accesses that are ranked first by  $H_3$  according to lexical similarity.

The evaluation results are presented in Fig. 4 and Table 6. From Fig. 4 and Table 6, we make the following observations:

• First, disabling any of the three heuristics leads to significant reduction in recall. The reduction is as much as 14.60%=(61.16%-52.23%)/61.16%, 36.35%=(61.16%-38.93%)/61.16%, and 27.11%=(61.16%-44.58%)/61.16%, respectively. The evaluation results suggest that all of the heuristics are critical for Hee-NAMA to achieve high recall.

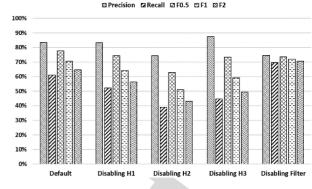


Fig. 4. Impacts of heuristics and filter.

- Second, disabling neural network based filter improves 1227 recall at the cost of reduced precision. Precision is 1228 reduced by 10.64%=(83.36%-74.49%)/83.36% whereas 1229 recall is improved by 13.73%=(69.56%-61.16%)/ 1230 61.16%. Although disabling the filter results in more 1231 balanced precision and recall, the filter is beneficial 1232 because of the following reasons. First, although the 1233 filter reduces  $F_2$  (that biases for recall) from 70 to 65 1234 percent,  $F_1$  keeps stable and  $F_{0.5}$  (that biases for precision) increases from 73 to 78 percent. Second, for code 1236 completion, high precision (and thus few uncorrect 1237 recommendations) is critical because incorrect rec- 1238 ommendations are often misleading and even worse 1239 than no recommendation at all. Code complete tools 1240 that frequently make incorrect recommendations 1241 would lose trust of developers, and will be finally dis- 1242 carded by developers. To this end, we introduce the 1243 filter to improve precision (at the cost of moderate 1244 reduction in recall) by removing risky recommenda- 1245 tions. The evaluation results suggest that the filter 1246 works as expected.
- Third, disabling  $H_1$  and  $H_3$  has little influence on the 1248 precision of HeeNAMA. One possible reason is that 1249 the neural network based filter (working at the final 1250 phase of HeeNAMA) can filter out most of the risky 1251 predictions, and thus guarantees the final precision. 1252

We also present in Table 7 the frequency of HeeNAMA 1253 refusing to make recommendations when one of the heuristics or the filter is disabled. From the table, we observe that 1255 disabling any of the three heuristics improves the frequency 1256 of refusal while disabling the filter reduces the frequency, 1257 which is consistent with the observation from Table 6. 1258 When disabling heuristics, HeeNAMA refuses to make recommendations more frequently and thus it achieves lower 1260 recall. However, when disabling the filter, the frequency of 1261 HeeNAMA refusing to make recommendations reduces 1262 greatly so its recall improves.

We investigate the impact of the features leveraged by 1264 the filter and present the evaluation results in Table 8. From 1265 the table, we conclude that each of the features is useful. We 1266 also investigate the impact of reducing one or two hidden 1267 layers used by the filter. The results suggest that reducing 1268 one or two hidden layers would result in reduction (1.56 1269 and 2.99 percent, respectively) in  $F_1$ . 1270

To further investigate the contribution of heuristics, we 1271 evaluate the performance of pair-wise disabling (i.e., activat- 1272 ing each heuristic on subject applications). The evaluation 1273

Applications	Defa	Default		Disabling $H_1$		Disabling $H_2$		ng $H_3$	Disablir	ng Filter
11	Precision	Recall	Precision	Recall	Precision	Recall	Precision	Recall	Precision	Recall
Ant	80.82%	63.59%	82.75%	48.12%	77.53%	44.15%	89.00%	35.21%	71.27%	67.79%
Batik	75.46%	60.86%	77.34%	48.10%	61.04%	35.21%	85.27%	38.95%	68.59%	64.99%
Cassandra	81.00%	59.00%	80.28%	51.84%	81.05%	39.33%	85.61%	39.35%	73.07%	66.82%
Log4J	84.00%	67.31%	84.64%	60.08%	69.57%	42.19%	87.82%	53.13%	78.97%	73.28%
Lucene-solr	86.00%	62.60%	85.63%	53.46%	73.84%	38.81%	89.12%	48.65%	77.22%	71.79%
Maven2	87.03%	67.04%	87.49%	53.01%	90.09%	60.60%	91.02%	33.03%	77.87%	72.08%
Maven3	73.89%	64.53%	76.13%	50.32%	85.66%	58.70%	78.77%	31.09%	71.58%	67.59%
Xalan-J	79.77%	53.06%	80.98%	44.37%	65.52%	32.62%	86.21%	36.11%	63.89%	62.52%
Xerces	78.47%	47.90%	73.91%	44.00%	72.04%	26.18%	77.21%	35.14%	62.82%	61.74%
Average	83.36%	61.16%	83.14%	52.23%	74.32%	38.93%	87.45%	44.58%	74.49%	69.56%

TABLE 7 Frequency of HeeNAMA Refusing to Make Recommendations

Applications	Accesses on RHS	Default	Disabling $H_1$	Disabling $H_2$	Disabling $H_3$	Disabling Filter
Ant	3,400	21.32%	41.85%	43.05%	60.44%	4.88%
Batik	5,158	19.35%	37.81%	42.32%	54.32%	5.25%
Cassandra	17,724	27.16%	35.43%	51.47%	54.04%	8.55%
Log4J	7,137	19.87%	29.02%	39.36%	39.50%	7.21%
Lucene-solr	56,623	27.21%	37.57%	47.44%	45.41%	7.03%
Maven2	1,411	22.96%	39.41%	32.73%	63.71%	7.44%
Maven3	2,351	12.68%	33.90%	31.47%	60.53%	5.57%
Xalan-J	4,154	33.49%	45.21%	50.21%	58.11%	2.14%
Xerces	5,737	38.96%	40.47%	63.66%	54.49%	1.72%
Total	103,695	26.62%	37.18%	47.62%	49.02%	6.62%

results are presented in Table 9. The table does not present the option of activating the filter alone because the filter cannot work without the candidate items generated by heuristics. From the table, we observe that single activation results in significant reduction in performance. For example, activating  $H_1$  only increases precision slightly by 1.36%= (84.51%-83.36%)/83.36% but reduces recall significantly by 23.09% = (61.16% - 47.04%)/61.16%. Single activation for  $H_2$  or  $H_3$  significantly reduces both precision and recall.

We conclude from the preceding analysis that the proposed heuristics and the filter are useful.

#### RQ5: Performance on Nested Member Accesses 4.3.5

To address RO5, we evaluate HeeNAMA on nested member accesses from nine open-source applications. We also compare the performance of HeeNAMA against SLP-Core and Eclipse IDE. The evaluation results are presented in Table 10. In the table, the first column presents the names of

TABLE 8 Impact of the Features Leveraged by the Filter

Settings	Performance of HeeNAMA				
O	Precision	Recall			
Default	83.36%	61.16%			
Disabling hurs	75.16%	59.06%			
Disabling sim	73.34%	56.05%			
Disabling cNum	80.95%	60.86%			
Disabling all	69.97%	51.28%			

subject applications. The second column presents the number of member accesses in subject applications. The third 1292 column and the forth column present the number of nested 1293 member accesses and the ratio of nested member accesses 1294 to all member accesses, respectively. The fifth column and 1295 the sixth column present the precision and recall of Hee- 1296 NAMA, respectively. The precision of SLP-Core and Eclipse 1297 is presented in the last two columns. For SLP-Core and 1298 Eclipse, recall is always equal to precision, and thus it is 1299 omitted from the table.

From Table 10, we make the following observations:

First, nested member accesses are popular. On aver- 1302 age, nested member accesses account for a significant 1303 proportion (25.89 percent) of all member accesses. 1304 Consequently, applying HeeNAMA to such member 1305 accesses would make it more general and therefore 1306 also much stronger.

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- Second, HeeNAMA is precise in suggesting 1308 nested member accesses. It achieves a precision of 1309 71.64 percent, which is much higher than SLP-Core 1310 and Eclipse.
- Third, the recall of HeeNAMA is higher than that of 1312 Eclipse but lower than that of SLP-Core. One possi- 1313 ble reason is that the filter of HeeNAMA improves 1314 precision at the cost of reduced recall.

From the analysis in the preceding paragraph, we conclude that HeeNAMA can be extended to recommend nested 1317 member accesses, which improves the practical usefulness of 1318 HeeNAMA. 1319

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TABLE 9 Impacts of Heuristics

Applications	Defa	ult	Activati	$\operatorname{ing} H_1$	Activati	$\operatorname{ing} H_2$	Activating $H_3$		
T 1	Precision	Recall	Precision	Recall	Precision	Recall	Precision	Recall	
Ant	80.82%	63.59%	87.29%	35.97%	42.96%	41.18%	28.48%	28.35%	
Batik	75.46%	60.86%	82.48%	39.90%	40.38%	38.52%	25.97%	25.71%	
Cassandra	81.00%	59.00%	83.79%	42.60%	45.93%	41.38%	29.25%	29.14%	
Log4J	84.00%	67.31%	85.76%	55.11%	51.48%	46.11%	27.76%	27.73%	
Lucene-solr	86.00%	62.60%	87.52%	50.67%	52.83%	47.49%	29.56%	29.39%	
Maven2	87.03%	67.04%	76.63%	35.08%	53.02%	46.70%	39.65%	38.98%	
Maven3	73.89%	64.53%	69.01%	33.35%	56.64%	52.40%	40.82%	40.49%	
Xalan-J	79.77%	53.06%	79.68%	38.81%	35.56%	34.95%	26.33%	26.29%	
Xerces	78.47%	47.90%	66.86%	42.41%	26.48%	25.83%	32.32%	32.30%	
Average	83.36%	61.16%	84.51%	47.04%	48.37%	44.10%	29.58%	29.44%	

TABLE 10
Performance on Nested Member Accesses

Applications	All Accesses	Nested Accesses	$N_{nested}$	HeeNA	AMA	SLP-Core	Eclipse
Applications	$(N_{all})$	$(N_{nested})$	$N_{all}$	Precision	Recall	Precision	Precision
Ant	23,702	5,329	22.48%	75.89%	32.01%	48.13%	19.23%
Batik	24,031	3,997	16.63%	70.15%	17.99%	28.72%	11.88%
Cassandra	100,575	29,143	28.98%	75.93%	36.37%	43.50%	33.17%
Log4J	31,927	9,626	30.15%	70.59%	33.01%	46.88%	17.52%
Lucene-solr	266,040	69,924	26.28%	69.38%	43.26%	51.47%	29.96%
Maven2	10,070	3,045	30.24%	75.05%	40.69%	51.17%	23.84%
Maven3	18,067	5,912	32.72%	76.13%	46.33%	49.81%	14.09%
Xalan-J	22,621	3,685	16.29%	75.22%	21.17%	40.87%	15.25%
Xerces	24,719	4,440	17.96%	76.17%	36.64%	44.21%	29.73%
Total	521,752	135,101	25.89%	71.64%	39.11%	48.01%	27.57%

# 4.3.6 RQ6: Comparison Against API-Specific Approaches Trained on Within-Project Code

To address RQ6, we compare HeeNAMA against CSCC on subject applications. Notably, we incrementally train CSCC with within-project member accesses in the evaluation, i.e., trained with member accesses that have been recommended before the current one in the test project. The evaluation results are presented in Table 11. In the table, the first column presents the names of subject applications. The second to seventh columns present the precision and recall of HeeNAMA at the top k recommendation, respectively. The last six columns present the precision and recall of CSCC at the top k recommendation, respectively.

From the table, we make the following observations:

- First, CSCC works well on project-specific API member accesses. It achieves a high precision of 64.40 percent at top 1, 77.01 percent at top 3 and 79.48 percent at top 5 recommendation.
- Second, HeeNAMA is significantly more precise than CSCC in predicting project-specific API member accesses. On each application, its precision at top k is always higher than the precision of CSCC. For example, at top 1 recommendation, it improves precision by 29.44%=(83.36%-64.40%)/64.40%.

Third, HeeNAMA achieves higher recall than 1345 CSCC. The recall at top 1, 3 and 5 recommendation 1346 is improved by 20.46%=(61.16%-50.77%)/50.77%, 1347 10.58%=(67.12%-60.70%)/60.70% and 8.72%=(68.11%-1348 62.65%)/62.65%, respectively.

From the analysis in the preceding paragraph, we con- 1350 clude that HeeNAMA significantly outperforms API- 1351 specific approaches in suggesting project-specific API mem- 1352 ber accesses even if they are trained on within-project code. 1353

# 4.3.7 RQ7: Performance of HeeNAMA When Trained With All API Member Accesses

To answer RQ7, we train HeeNAMA with all API member accesses and evaluate it on project-specific and non-project-specific (i.e., public API) member accesses separately. On 1358 project-specific member accesses, the precision and recall 1359 of HeeNAMA are 81.28 and 64.85 percent, respectively. On 1360 non-project-specific member accesses, the precision and 1361 recall of HeeNAMA are 86.00 and 57.67 percent, respectively. The performance of HeeNAMA on non-project-specific member accesses is comparable to that on project-specific accesses because HeeNAMA takes non-project-specific member accesses as project-specific ones in fact. The 1366 performance on non-project-specific member accesses is not 1367 significantly higher than that on project-specific accesses 1368

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TABLE 11
Comparison Against API-Specific Approach

			HeeN	AMA			CSCC					
Applications	Precision				Recall		Precision			Recall		
	Top 1	Top 3	Top 5	Top 1	Тор 3	Top 5	Top 1	Тор 3	Top 5	Top 1	Тор 3	Top 5
Ant	80.82%	85.17%	85.92%	63.59%	69.91%	71.44%	57.20%	67.20%	70.58%	39.85%	46.82%	49.18%
Batik	75.46%	83.53%	85.90%	60.86%	68.94%	71.56%	61.44%	71.76%	73.59%	42.26%	49.36%	50.62%
Cassandra	81.00%	84.96%	84.63%	59.00%	62.97%	63.48%	58.06%	70.09%	72.08%	42.19%	50.93%	52.38%
Log4J	84.00%	87.32%	88.02%	67.31%	79.04%	79.99%	69.27%	81.38%	84.32%	55.51%	65.22%	67.58%
Lucene-solr	86.00%	88.05%	87.94%	62.60%	67.05%	67.94%	68.13%	81.47%	84.04%	57.40%	68.63%	70.80%
Maven2	87.03%	91.92%	92.51%	67.04%	74.20%	75.27%	56.29%	64.33%	66.29%	38.70%	44.22%	45.57%
Maven3	73.89%	85.12%	85.46%	64.53%	72.27%	73.50%	51.29%	58.98%	60.81%	34.62%	39.81%	41.05%
Xalan-J	79.77%	79.57%	80.02%	53.06%	66.18%	67.96%	51.62%	65.34%	68.17%	39.50%	50.00%	52.17%
Xerces	78.47%	80.72%	80.69%	47.90%	59.32%	60.31%	57.24%	69.49%	71.63%	37.74%	45.81%	47.22%
Average	83.36%	86.39%	86.51%	61.16%	67.12%	68.11%	64.40%	77.01%	79.48%	50.77%	60.70%	62.65%

TABLE 12
Performance on Project-Specific Member Accesses in the New Dataset

Applications	All Accesses	Accesses on RHS	$N_{RHS}$	HeeNA	AMA	SLP-Core	Eclipse	CSC	CC
Applications	$(N_{all})$	$(N_{RHS})$	$N_{all}$	Precision	Recall	Precision	Precision	Precision	Recall
Atlas	11,466	2,062	17.98%	81.11%	65.81%	44.76%	26.72%	53.12%	35.94%
Carbondata	30,718	6,416	20.89%	81.31%	65.96%	40.10%	44.08%	58.41%	45.46%
Fluo	7,263	1,234	16.99%	86.72%	62.97%	49.92%	52.84%	70.01%	52.59%
Giraph	13,028	2,200	16.89%	82.95%	49.09%	46.68%	39.91%	61.10%	32.27%
Ignite	284,169	53,702	18.90%	83.85%	54.34%	45.83%	30.71%	57.66%	47.70%
Johnzon	4,025	765	19.01%	79.20%	46.80%	53.20%	34.90%	61.12%	51.37%
Nifi	107,159	22,751	21.23%	79.43%	60.04%	41.84%	33.42%	68.26%	44.18%
Plc4x	9,189	1,614	17.56%	84.40%	65.37%	57.43%	48.39%	65.87%	37.67%
Streams	16,370	3,268	19.96%	38.76%	36.66%	33.51%	25.46%	40.79%	33.14%
Total	483,387	94,012	19.45%	80.31%	56.27%	44.36%	32.85%	59.52%	45.49%

because HeeNAMA does not leverage any unique properties of non-project-specific APIs, e.g., patterns of API usage.

#### 4.3.8 RQ8: Performance on the New Dataset

To answer RQ8, we evaluate HeeNAMA against SLP-Core, Eclipse and CSCC on nine open-source Java applications that are recently created. The evaluation results are presented in Table 12. In the table, the first column presents the names of subject applications. The second column presents the number of all project-specific member accesses in subject applications. The third column and the forth column present the number of member accesses on RHS and the ratio of them to all project-specific member accesses, respectively. The fifth column and the sixth column present the precision and recall of HeeNAMA, respectively. The precision of SLP-Core and Eclipse is presented in the seventh and eighth columns, respectively. The last two columns present the precision and recall of CSCC. For SLP-Core and Eclipse, recall is always equal to precision, and thus it is omitted from the table.

From Table 12, we make the following observations:

- First, the ratio of project-specific member accesses on 1390 RHS in the new dataset is close to that of the original 1391 dataset (19.45 versus 19.87 percent). 1392
- Second, the performance of HeeNAMA on the new 1393 dataset is comparable to its default performance on 1394 the original dataset. The precision and recall of Hee- 1395 NAMA on the new dataset are 80.31 and 56.27 per- 1396 cent, respectively.
- Third, HeeNAMA significantly outperforms SLP- 1398 Core, Eclipse and CSCC on the new dataset. It 1399 improves the precision by 34.93%=(80.31%-59.52%)/ 1400 59.52% and the recall by 23.70%=(56.27%-45.49%)/ 1401 45.49%, respectively.

# 4.4 Threats to Validity

A threat to the external validity is that only nine applications 1404 are involved in the evaluation and such applications may be 1405 unrepresentative. Consequently, the evaluation results may 1406 not hold if other subject applications are involved. To reduce 1407 the threat, we reuse the subject applications that have been 1408

successfully employed in related work, and such applications contain more than one hundred thousand training items that have been used to evaluate HeeNAMA. Another threat to the external validity is that HeeNAMA is only evaluated on Java applications. Conclusions on Java applications may not hold for applications written in other languages, e.g., C++.

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A threat to construct validity is that the evaluation is based on the assumption: the involved assignments in the subject applications are correct. We evaluate the suggested member access against that chosen by the original developers (i.e., the member access in the downloaded source code). If they are identical, we say the prediction is correct. Otherwise, it is declared incorrect. However, it is likely that the original developers may have chosen incorrect member access (and thus caused a bug), which makes the evaluation potentially incorrect. To reduce the threat, we select mature and well-known applications for evaluation because such applications are likely to contain fewer bugs.

A threat to internal validity is that the simulated code completion scenarios may be different from real scenarios. In the evaluation, we simulate the scenarios where source code in each document is typed in from the top to the bottom. In other words, context for code completion is the source code before the current cursor (where the suggested token will be inserted). However, in real world, especially in software maintenance and bug fixing phases, there may exist source code after the current cursor, and such code could be exploited for code completion as well. Another threat to internal validity is that in the simulated scenarios Java source code files (\*.java) are created in the alphabetical order of the file names which may not be the real case. The creation order may influence the performance of code completion because it exploits existing code within the enclosing project. We take such an order because we fail to find their creation time from the web where the source code is downloaded.

#### 5 CONCLUSIONS AND FUTURE WORK

In this paper we highlight the necessity of code completion for project-specific API member access on the right hand side of assignment. We also propose an automatic and accurate approach to suggesting the next member access whenever a project-specific API instance is followed by a dot on the right hand side of an assignment. The approach is accurate because it takes full advantages of the context of the code completion, including the type of the left hand side expression of the assignment, the identifier on the left hand side, the type of the base instance, and similar assignments typed in before. It also employs a neural network to filter out risky prediction, which guarantees high precision of code completion. HeeNAMA has been evaluated on nine open-source applications. Our evaluation results suggest that compared to the state-of-the-art approach and the state-of-the-practice tool HeeNAMA improves both precision and recall significantly.

Findings presented in the paper are valuable to the research community in the following aspects:

 First, we empirically reveal that public API member accesses are less popular than project-specific API member accesses (39 versus 61 percent), which is 1467 consistent with the conclusion of a recent empiri- 1468 cal study conducted on C# repositories [22]. 1469 Considering that most of the related work (as 1470 introduced in Section 2) focuses on APIs, this find- 1471 ing may help researchers identify better target sce- 1472 narios for their research: focusing on project- 1473 specific API member accesses could be more fruit- 1474 ful and more useful.

- Second, we empirically reveal that applying API specific approaches to suggest project-specific API member accesses without significant adaption often 1478
  results in inaccuracy. This finding may serve as an 1479
  open call for automatic code completion approaches 1480
  on project-specific APIs.
- Third, by focusing on a special case of code completion 1482 (project-specific API member accesses on the right 1483 hand side of assignments), the proposed approach significantly outperforms existing generic ones on this 1485 special case. The results may inspire future research 1486 on highly accurate code completion by focusing on 1487 special cases, like suggestion of parameters, recommendation of declarations, and completion of conditional statements.

A limitation of HeeNAMA is that it applies only to a 1491 specific but common case (accounting for 19.87 percent of 1492 project-specific API member accesses): suggesting the fol- 1493 lowing member access whenever a project-specific API 1494 instance is followed by a dot on the right hand side of an 1495 assignment. Although we extend HeeNAMA to recommend 1496 project-specific API member accesses nested in method 1497 invocations (accounting for 25.89 percent of project-specific 1498 API member accesses) in Section 4.3.5, there are still about 1499 52 percent project-specific API member accesses that Hee- 1500 NAMA cannot recommend. Recommendation for such 1501 cases can be more challenging since little context informa- 1502 tion could be leveraged to make predictions. For example, 1503 when the developer types a dot after a base instance at the 1504 first line of a method, we can hardly extract any useful 1505 information within the method body. However, in the 1506 future, considering all kinds of project-specific API member 1507 accesses would make HeeNAMA much stronger.

Future work is needed to evaluate HeeNAMA further. 1509 More subject applications should be involved in further 1510 evaluation. Evaluation of HeeNAMA on other program-1511 ming languages such as C++ and C# should also be 1512 involved in the future work. HeeNAMA should also be 1513 evaluated in real scenarios, and get feedback from developers. The final target of code completion is to facilitate coding. Consequently, it is critical for the success of HeeNAMA 1516 that developers are willing to use it in practice. In the future, 1517 HeeNAMA could also be combined with API-specific techniques (e.g., CSCC) to support both public API and projectspecific API member access recommendations.

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