

# 作业2

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## ▼ Problem 1

- by hand
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- 1. Bilinear transform & Routh-Hurwitz criteria
- 2. Jury criteria
- 3. Root locus

## Problem 1

A discrete time system under step input was found to have a closed-loop response:

$$\frac{0.4z}{z^3 - 2.2z^2 + 1.9z - 0.7}$$

Task: Find the time  $k = 1, 2, \dots$  when the time response reaches its first peak by hand, and verify using MATLAB.

## by hand

$$Y(z) = \frac{0.4z}{z^3 - 2.2z^2 + 1.9z - 0.7} \Rightarrow \frac{Y(z)}{z} = \frac{0.4}{(z-1)(z^2 - 1.2z + 0.7)}$$

$$z = \frac{-(-1.2) \pm \sqrt{(-1.2)^2 - 4 \times 0.7}}{2} \approx 0.6 \pm j\sqrt{0.34}$$

得到三个极点  $p_1 = 1, p_2 = 0.6 + j\sqrt{0.34}, p_3 = 0.6 - j\sqrt{0.34}$

且

$$\frac{Y(z)}{z} = \frac{0.4}{(z-1)(z^2-1.2z+0.7)} = \mathbf{A} \cdot \frac{1}{z-1} + \mathbf{B} \cdot \frac{1}{z-(0.6+j\sqrt{0.34})} + \mathbf{C} \cdot \frac{1}{z-(0.6-j\sqrt{0.34})}$$

其中

$$\begin{aligned}\mathbf{A} &= 0.8 \\ \mathbf{B} &= \frac{0.4}{-0.68-0.8j\sqrt{0.34}} \approx -0.4 + j0.2744 \\ \mathbf{C} &\approx -0.4 - j0.2744\end{aligned}$$

根据Z变换对（ $Y(z)$ 为因果信号） $\mathcal{Z}\{a^n u[n]\} = \frac{z}{z-a}$

Z反变换后，得到

$$y[k] = \left[ 0.8 + 0.9702 (0.8367)^k \cos(0.7716k + 2.543) \right] u[k]$$

计算得到

$$\begin{aligned}y[0] &\approx 0 \\ y[1] &\approx 0.0102 \\ y[2] &\approx 0.3829 \\ y[3] &\approx 0.8818 \\ y[4] &\approx 1.1780 \\ y[5] &\approx 1.1948 \\ y[6] &\approx 1.0096\end{aligned}$$

可以看出首次峰值出现在  $k = 5$

## by MATLAB

```
num_T = [0.4, -0.4];
den_T = [1, -2.2, 1.9, -0.7];
Ts_sim = -1; % 离散 k 的未指定采样时间。
sys_T = tf(num_T, den_T, Ts_sim);
[y_matlab, k_matlab] = step(sys_T);
% 绘制响应
figure;
plot(k_matlab, y_matlab, 'o-');
xlabel('离散时间 k');
ylabel('输出 y(k)');
```

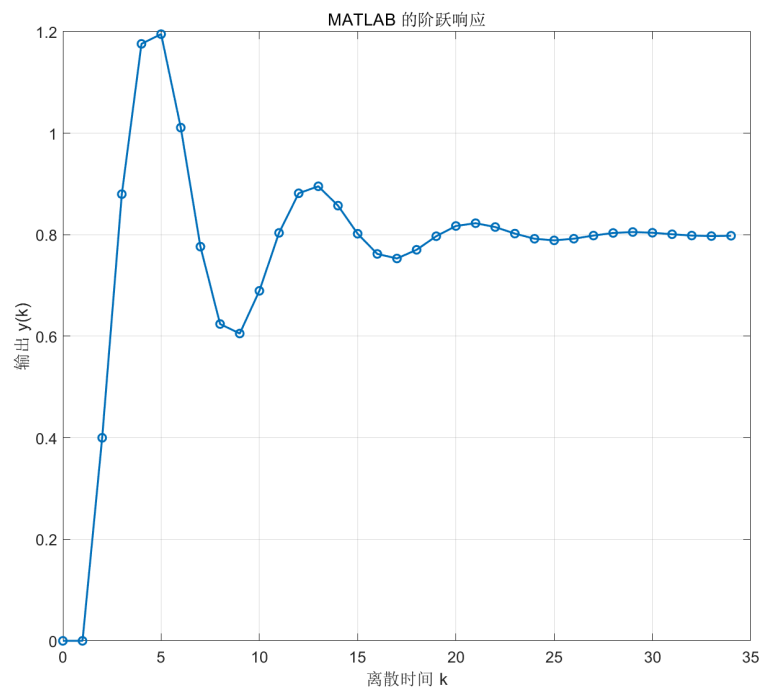
```

title('MATLAB 的阶跃响应');
grid on;

% 使用 findpeaks 识别首次峰值
[pks, locs] = findpeaks(y_matlab);
if ~isempty(pks)
    first_peak_value_matlab = pks(1);
    % locs 给出 y_matlab 中的索引。k_matlab(locs(1)) 给出实际的 k 值。
    first_peak_time_k_matlab = k_matlab(locs(1));
    fprintf('MATLAB: 首次峰值 %f 出现在 k = %d\n', first_peak_value_matlab, first_peak_time_k_matlab);
else
    fprintf('MATLAB: 未找到峰值。\\n');
end

```

并得到输出:



MATLAB: 首次峰值 1.195200 出现在 k = 5

## Problem 2

Consider a unity feedback system with open-loop pulse transfer function  $G(z)$ :

$$G(z) = \frac{K}{z(z - 0.2)(z - 0.4)}$$

Where  $K > 0$ .

Task: Determine the values of  $K$  for which the closed-loop system is stable using:

1. Bilinear transform & Routh-Hurwitz criteria
2. Jury criteria
3. Root locus (manually or using MATLAB commands `rlocus` , `rlocfind` , `zgrid` )

闭环特征方程：

$$1 + G(z) = 0 \implies z^3 - 0.6z^2 + 0.08z + K = 0$$

## 1. Bilinear transform & Routh-Hurwitz criteria

令

$$z = \frac{1+w}{1-w}$$

则，

$$\left(\frac{1+w}{1-w}\right)^3 - 0.6\left(\frac{1+w}{1-w}\right)^2 + 0.08\left(\frac{1+w}{1-w}\right) + K = 0$$

化简后得到，

$$(1 + 3w + 3w^2 + w^3) - 0.6(1 + w - w^2 - w^3) + 0.08(1 - w - w^2 + w^3) + K(1 - 3w + 3w^2 - w^3) = 0$$

$$\implies (1.68 + K) + (3.52 + 3K)w + (2.32 - 3K)w^2 + (0.48 + K)w^3 = 0$$

令  $A_3 = 1.68 + K$ ,  $A_2 = 3.52 + 3K$ ,  $A_1 = 2.32 - 3K$ ,  $A_0 = 0.48 + K$

根据劳斯判据，构造劳斯表如下：

幂次	系数1	系数2
$w^3$	$A_3 = 1.68 + K$	$A_1 = 2.32 - 3K$
$w^2$	$A_2 = 3.52 + 3K$	$A_0 = 0.48 + K$
$w^1$	$\frac{A_2 A_1 - A_3 A_0}{A_2}$	0

幂次	系数1	系数2
$w^0$	$A_0 = 0.48 + K$	0

系统稳定需满足：

1.  $A_3 = 1.68 + K > 0 \implies K > -1.68$
2.  $A_2 = 3.52 + 3K > 0 \implies K > -1.173$
3.  $A_1 = 2.32 - 3K > 0 \implies K < 0.773$
4.  $A_0 = 0.48 + K > 0 \implies K > -0.48$
5.  $\frac{A_2 A_1 - A_3 A_0}{A_2} > 0$

将  $A_2 A_1 - A_3 A_0$  展开并化简：

$$A_2 A_1 - A_3 A_0 = (3.52 + 3K)(2.32 - 3K) - (1.68 + K)(0.48 + K)$$

$$\frac{A_2 A_1 - A_3 A_0}{A_2} \text{化简后得到:}$$

$$\frac{7.36 - 5.76K - 10K^2}{3.52 + 3K} > 0$$

结合  $K > 0$ ，解得  $0 < K < 0.705$ 。

综上，闭环系统稳定的  $K$  取值范围为：

$$0 < K < 0.705$$

## 2. Jury criteria

$z$  域中的特征方程为：

$$P(z) = z^3 - 0.6z^2 + 0.08z + K = 0$$

对于 Jury 判据，按  $a_n z^n + a_{n-1} z^{n-1} + \cdots + a_0 = 0$ ，有  $n = 3$ ，系数如下：

- $a_3 = 1$
- $a_2 = -0.6$
- $a_1 = 0.08$
- $a_0 = K$

Jury 判据的稳定性条件如下：

$$1. |a_0| < a_n$$

$$|K| < 1 \implies 0 < K < 1$$

$$2. P(1) > 0$$

$$P(1) = 1 - 0.6 + 0.08 + K = 0.48 + K > 0 \implies K > -0.48$$

由于  $K > 0$ ，此条件总成立。

$$3. (-1)^n P(-1) > 0$$

$$P(-1) = -1 - 0.6 - 0.08 + K = -1.68 + K$$

$$(-1)^3 P(-1) = -P(-1) > 0 \implies P(-1) < 0 \implies K < 1.68$$

#### 4. Jury 表条件

构造 Jury 表：

行/系数	$z^0$ (a <sub>0</sub> , b <sub>0</sub> )	$z^1$ (a <sub>1</sub> , b <sub>1</sub> )	$z^2$ (a <sub>2</sub> , b <sub>2</sub> )	$z^3$ (a <sub>3</sub> )
第 1 行	$K$	0.08	-0.6	1
第 2 行	1	-0.6	0.08	$K$
第 3 行	$b_0 = K^2 - 1$	$b_1 = 0.08K + 0.6$	$b_2 = -0.6K - 0.08$	/

需满足  $|b_0| > |b_2|$ ，即

$$|K^2 - 1| > |-0.6K - 0.08|$$

结合  $0 < K < 1$ ，有  $K^2 - 1 < 0$ ，即  $1 - K^2 > 0.6K + 0.08$ ，化简得

$$K^2 + 0.6K + 0.08 < 1 \implies K^2 + 0.6K - 0.92 < 0$$

解得  $-1.305 < K < 0.705$ ，结合  $K > 0$ ，最终有  $0 < K < 0.705$ 。

由 Jury 判据，闭环系统稳定的  $K$  取值范围为：

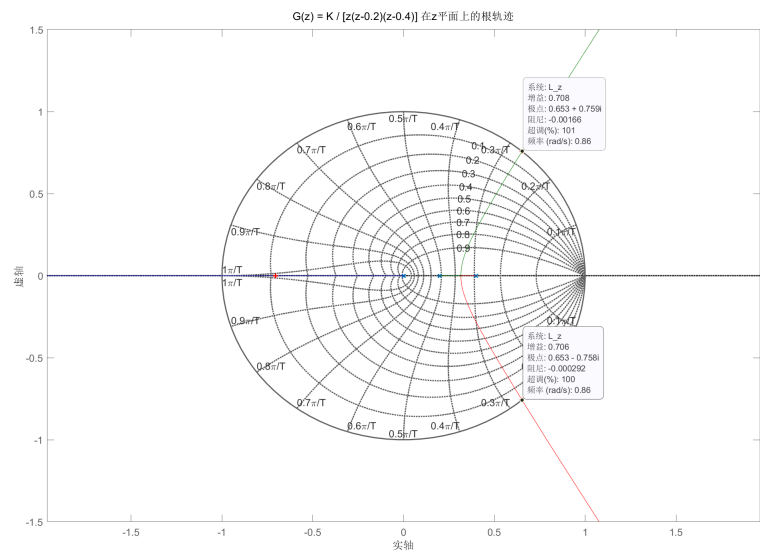
$$0 < K < 0.705$$

### 3. Root locus

```
L_z = tf([1], conv([1 0], conv([1 -0.2], [1 -0.4]))), -1);
figure;
rlocus(L_z);
title('G(z) = K / [z(z-0.2)(z-0.4)] 在z平面上的根轨迹');
```

```
axis equal; % 确保单位圆是圆形的
zgrid;
[k_selected, poles_selected] = rlocfind(L_z)
```

并得到输出:



Select a point in the graphics window

```
selected_point =

    0.6504 + 0.7585i

k_selected =

    0.7040

poles_selected =

    0.6523 + 0.7574i
    0.6523 - 0.7574i
   -0.7046 + 0.0000i
```

得到K的取值范围为:

$0 < K < 0.7040$