

CS631 - Advanced Programming in the UNIX Environment

File I/O, File Sharing

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File Descriptors

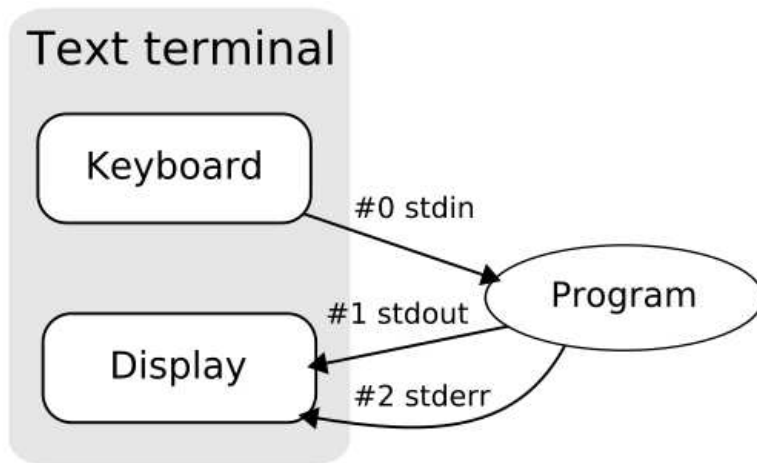
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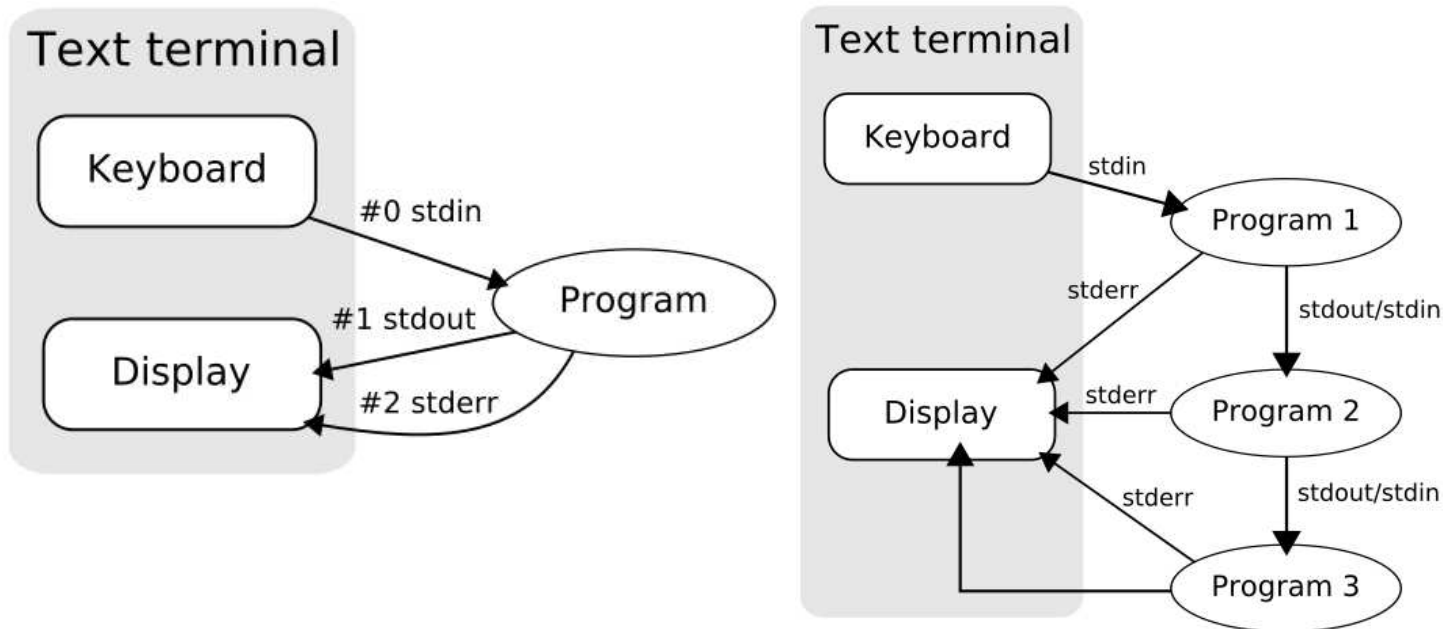
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`openmax.c`

See also: https://en.wikipedia.org/wiki/File_descriptor

Standard I/O

Basic File I/O: almost all UNIX file I/O can be performed using these five functions:

- `open(2)`
- `close(2)`
- `lseek(2)`
- `read(2)`
- `write(2)`

Processes may want to share resources. This requires us to look at:

- atomicity of these operations
- file sharing
- manipulation of file descriptors

creat(2)

```
#include <fcntl.h>
```

```
int creat(const char *pathname, mode_t mode);
```

Returns: file descriptor if OK, -1 on error



<http://is.gd/x4KPa2>

creat(2)

```
#include <fcntl.h>
```

```
int creat(const char *pathname, mode_t mode);
```

Returns: file descriptor if OK, -1 on error

This interface is made obsolete by open(2).

open(2)

```
#include <fcntl.h>
```

```
int open(const char *pathname, int oflag, ... /* mode_t mode */ );
```

Returns: file descriptor if OK, -1 on error

oflag must be one (and only one) of:

- O_RDONLY – Open for reading only
- O_WRONLY – Open for writing only
- O_RDWR – Open for reading and writing

and may be OR'd with any of these:

- O_APPEND – Append to end of file for each write
- O_CREAT – Create the file if it doesn't exist. Requires *mode* argument
- O_EXCL – Generate error if O_CREAT and file already exists. (atomic)
- O_TRUNC – If file exists and successfully open in O_WRONLY or O_RDWR, make length = 0
- O_NOCTTY – If pathname refers to a terminal device, do not allocate the device as a controlling terminal
- O_NONBLOCK – If pathname refers to a FIFO, block special, or char special, set nonblocking mode (open and I/O)
- O_SYNC – Each write waits for physical I/O to complete

open(2) variants

```
#include <fcntl.h>
```

```
int open(const char *pathname, int oflag, ... /* mode_t mode */ );
```

```
int openat(int dirfd, const char *pathname, int oflag, ... /* mode_t mode */ );
```

Returns: file descriptor if OK, -1 on error

openat(2) is used to handle relative pathnames from different working directories in an atomic fashion.

On some platforms additional *oflags* may be supported:

- O_EXEC – Open for execute only
- O_SEARCH – Open for search only (applies to directories)
- O_DIRECTORY – If path resolves to a non-directory file, fail and set errno to ENOTDIR.
- O_DSYNC – Wait for physical I/O for data, except file attributes
- O_RSYNC – Block read operations on any pending writes.
- O_PATH – Obtain a file descriptor purely for fd-level operations. (Linux >2.6.36 only)

close(2)

```
#include <unistd.h>
```

```
int close(int fd);
```

Returns: 0 if OK, -1 on error

- closing a filedescriptor releases any record locks on that file (more on that in future lectures)
- file descriptors not explicitly closed are closed by the kernel when the process terminates.
- to avoid leaking file descriptors, always `close(2)` them within the same scope

open(2) and close(2)

```
$ make code-clean
```

```
$ make openex
```

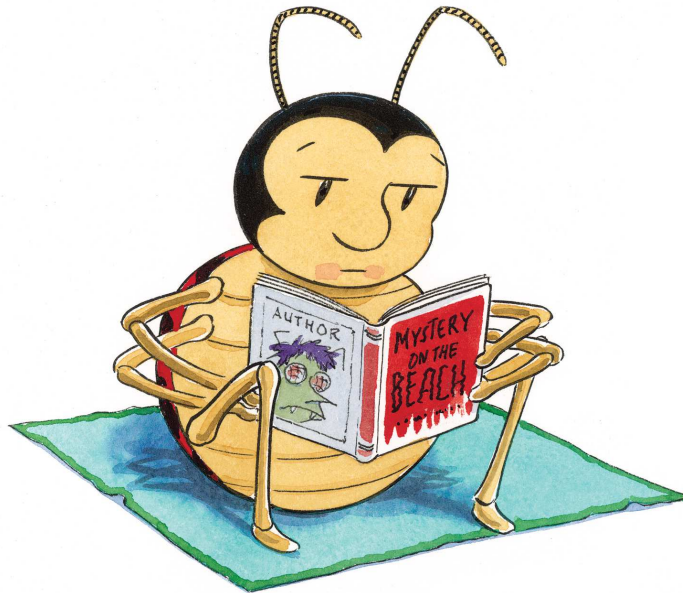
```
$ ./openex
```

read(2)

```
#include <unistd.h>
```

```
ssize_t read(int filedes, void *buff, size_t nbytes );
```

Returns: number of bytes read, 0 if end of file, -1 on error



<http://is.gd/qI5r8E>

read(2)

```
#include <unistd.h>
```

```
ssize_t read(int filedes, void *buff, size_t nbytes );
```

Returns: number of bytes read, 0 if end of file, -1 on error

There can be several cases where `read` returns less than the number of bytes requested:

- EOF reached before requested number of bytes have been read
- Reading from a terminal device, one "line" read at a time
- Reading from a network, buffering can cause delays in arrival of data
- Record-oriented devices (magtape) may return data one record at a time
- Interruption by a signal

`read` begins reading at the current offset, and increments the offset by the number of bytes actually read.

write(2)

```
#include <unistd.h>
```

```
ssize_t write(int filedes, void *buff, size_t nbytes );
```

Returns: number of bytes written if OK, -1 on error

- `write` returns `nbytes` or an error has occurred
- for regular files, `write` begins writing at the current offset (unless `O_APPEND` has been specified, in which case the offset is first set to the end of the file)
- after the write, the offset is adjusted by the number of bytes actually written

read(2) and write(2)

```
$ make code-clean  
$ make rwex  
$ ./rwex  
$ tail rwex.c
```

lseek(2)

```
#include <sys/types.h>
#include <fcntl.h>

off_t lseek(int filedes, off_t offset, int whence );
```

Returns: new file offset if OK, -1 on error



<http://is.gd/3fp5Vx>

lseek(2)

```
#include <sys/types.h>
#include <fcntl.h>

off_t lseek(int filedes, off_t offset, int whence );
```

Returns: new file offset if OK, -1 on error

The value of *whence* determines how offset is used:

- SEEK_SET bytes from the beginning of the file
- SEEK_CUR bytes from the current file position
- SEEK_END bytes from the end of the file

“Weird” things you can do using `lseek(2)`:

- seek to a negative offset
- seek 0 bytes from the current position
- seek past the end of the file

lseek(2)

```
$ cc -Wall lseek.c
$ ./a.out < lseek.c
seek OK
$ cat lseek.c | ./a.out
cannot seek
$ mkfifo fifo
$ ./a.out <fifo
```

lseek(2)

```
$ cc -Wall hole.c
$ ./a.out
$ ls -l file.hole
-rw----- 1 jschauma wheel 10240020 Sep 18 17:20 file.hole
$ hexdump -c file.hole
00000000  a  b  c  d  e  f  g  h  i  j  \0  \0  \0  \0  \0  \0
00000010  \0  \0  \0  \0  \0  \0  \0  \0  \0  \0  \0  \0  \0  \0  \0
*
09c40000  \0  \0  \0  \0  \0  \0  \0  \0  \0  \0  A  B  C  D  E  F
09c40010  G  H  I  J
09c40014
$ cat file.hole > file.nohole
$ ls -ls file.*
    96 -rw----- 1 jschauma wheel 10240020 Sep 18 17:20 file.hole
20064 -rw-r--r-- 1 jschauma wheel 10240020 Sep 18 17:21 file.nohole
```

https://en.wikipedia.org/wiki/Sparse_file (not on HFS+ / NFS)

I/O Efficiency

Caveats with the program `simple-cat.c` from the last class:

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Caveats with the program `simple-cat.c` from the last class:

- assumes that *stdin* and *stdout* have been set up appropriately
- works for “text” and “binary” files since there is no such distinction in the UNIX kernel
- how do we know the optimal `BUFFSIZE`?

I/O Efficiency

```
$ make tmpfiles
```

```
$ for n in $(seq 10); do  
    dd if=/dev/urandom of=tmp/file$n count=204800  
done
```

```
$ i=1; for n in 1048576 32768 16384 4096 512 256 128 64 1 ; do  
    cc -Wall -DBUFFSIZE=$n simple-cat.c;  
    i=$(( $i + 1 ));  
    time ./a.out <tmp/file$i >tmp/file$i.copy;  
done
```

```
$ make catio
```

```
$ stat -f "%k" tmp/file1 # stat -c "%o" tmp/file1
```

Note: results vary depending on OS/filesystem.

Hooray!

5 Minute Break

File Sharing

Since UNIX is a multi-user/multi-tasking system, it is conceivable (and useful) if more than one process can act on a single file simultaneously. In order to understand how this is accomplished, we need to examine some kernel data structures which relate to files. (See: Stevens, pp 75 ff)

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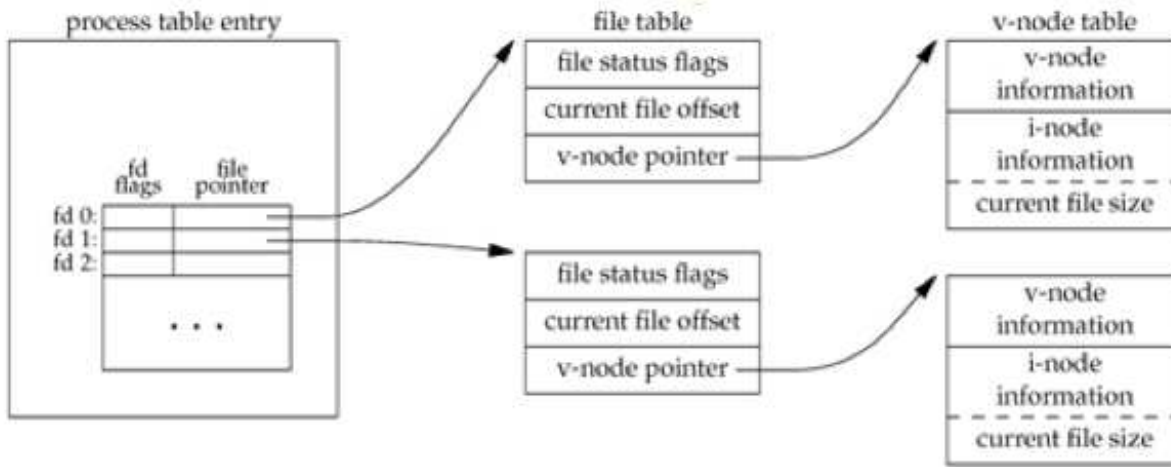
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 - the file descriptor flags (ie `FD_CLOEXEC`, see `fcntl(2)`)
 - a pointer to a file table entry
- the kernel maintains a file table; each entry contains
 - file status flags (`O_APPEND`, `O_SYNC`, `O_RDONLY`, etc.)
 - current offset
 - pointer to a vnode table entry

File Sharing

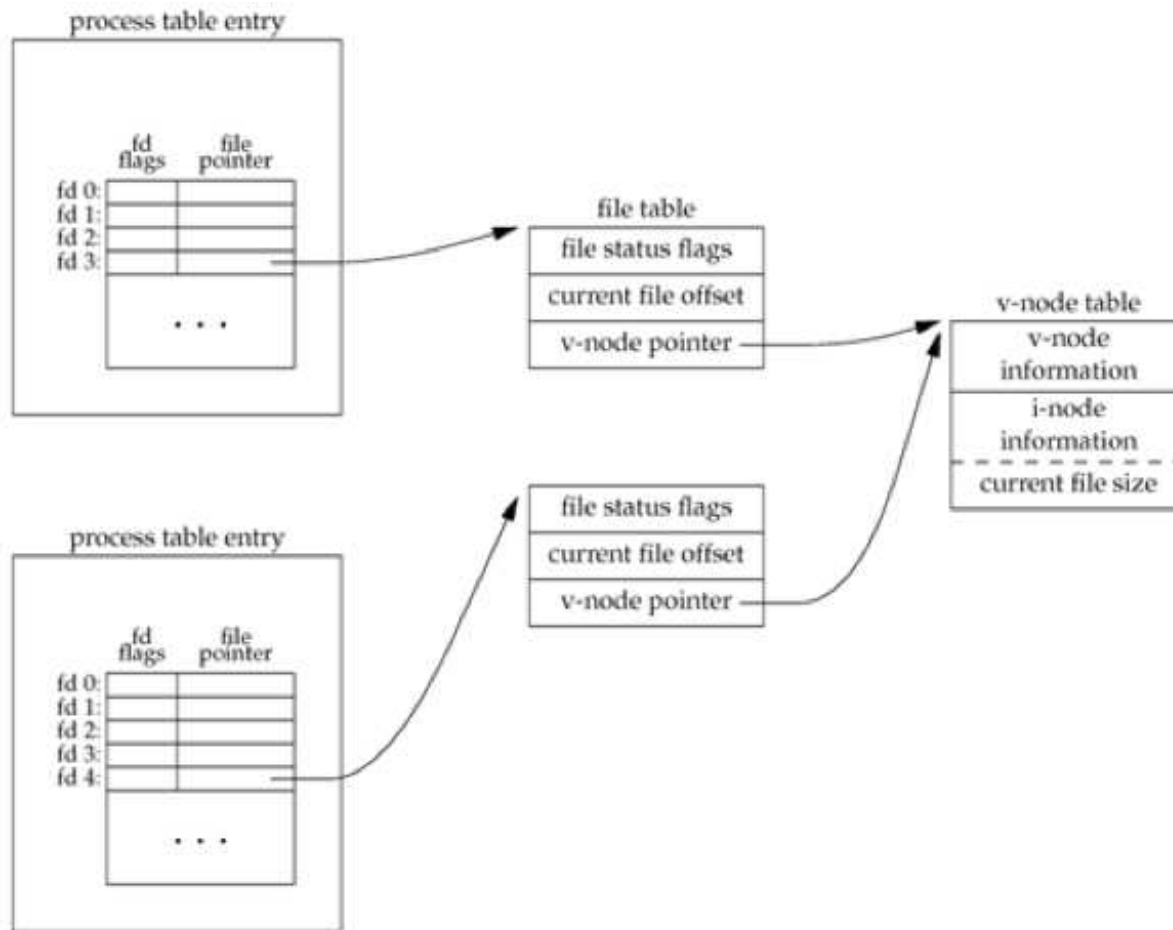
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 - the file descriptor flags (ie `FD_CLOEXEC`, see `fcntl(2)`)
 - a pointer to a file table entry
- the kernel maintains a file table; each entry contains
 - file status flags (`O_APPEND`, `O_SYNC`, `O_RDONLY`, etc.)
 - current offset
 - pointer to a vnode table entry
- a vnode structure contains
 - vnode information
 - inode information (such as current file size)

File Sharing



File Sharing



File Sharing

Knowing this, here's what happens with each of the calls we discussed earlier:

- after each `write` completes, the current file offset in the file table entry is incremented. (If `current_file_offset > current_file_size`, change current file size in i-node table entry.)
- If file was opened `O_APPEND` set corresponding flag in file status flags in file table. For each `write`, current file offset is first set to current file size from the i-node entry.
- `lseek` simply adjusts current file offset in file table entry
- to `lseek` to the end of a file, just copy current file size into current file offset.

Atomic Operations

In order to ensure consistency across multiple writes, we require *atomicity* in some operations.

An operation is atomic if either *all* of the steps are performed or *none* of the steps are performed.

Suppose UNIX didn't have `O_APPEND` (early versions didn't). To append, you'd have to do this:

```
if (lseek(fd, 0L, 2) < 0) {           /* position to EOF */
    fprintf(stderr, "lseek error\n");
    exit(1);
}

if (write(fd, buff, 100) != 100) { /* ...and write */
    fprintf(stderr, "write error\n");
    exit(1);
}
```

What if another process was doing the same thing to the same file?

pread(2) and pwrite(2)

```
#include <unistd.h>

ssize_t pread(int fd, void *buf, size_t count, off_t offset);
ssize_t pwrite(int fd, void *buf, size_t count, off_t offset);
```

Both return number of bytes read/written, -1 on error

Atomic read/write at offset without invoking `lseek(2)`.
Current offset is *not* updated.

dup(2) and dup2(2)

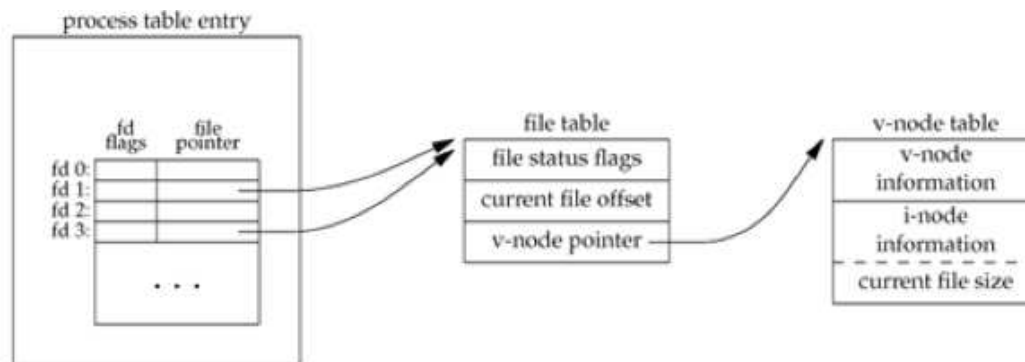
```
#include <unistd.h>

int dup(int oldd);
int dup2(int oldd, int newd);
```

Both return new file descriptor if OK, -1 on error

An existing file descriptor can be duplicated with `dup(2)` or duplicated to a particular file descriptor value with `dup2(2)`. As with `open(2)`, `dup(2)` returns the lowest numbered unused file descriptor.

Note the difference in scope of the file *descriptor* flags and the file *status* flags compared to distinct processes.



fcntl(2)

```
#include <sys/types.h>
#include <unistd.h>
#include <fcntl.h>

int fcntl(int filedes, int cmd, ... /* int arg */);
```

Returns: depend on *cmd* if OK, -1 on error

`fcntl(2)` is one of those "catch-all" functions with a myriad of purposes. Here, they all relate to changing properties of an already open file. It can:

cmd	effect	return value
F_DUPFD	duplicate <i>filedes</i> (FD_CLOEXEC file descriptor flag is cleared)	new <i>filedes</i>
F_GETFD	get the file descriptor flags for <i>filedes</i>	descriptor flags
F_SETFD	set the file descriptor flags to the value of the third argument	not -1
F_GETFL	get the file status flags	status flags
F_SETFL	set the file status flags	not -1

...as well as several other functions.

fcntl(2)

```
$ cc -Wall sync-cat.c -o scat
$ sed -e 's/\(.*O_SYNC.*\)/\ /\ 1/' sync-cat.c > async-cat.c
$ cc -Wall async-cat.c -o ascat
$ time ./scat <file >out

$ time ./ascat <file >out

$ make sync async
```

Note: results will differ depending on the filesystem (-options).

ioctl(2)

```
#include <unistd.h> /* SVR4 */
#include <sys/ioctl.h> /* 4.3+BSD */

int ioctl(int filedes, int request, ...);
```

Returns: -1 on error, something else if OK

Another catch-all function, this one is designed to handle device specifics that can't be specified via any of the previous function calls. For example, terminal I/O, magtape access, socket I/O, etc. Mentioned here mostly for completeness's sake.

/dev/fd

```
$ ls -l /dev/stdin /dev/stdout /dev/stderr
lr-xr-xr-x  1 root  wheel  0 Sep  7 13:56 /dev/stderr -> fd/2
lr-xr-xr-x  1 root  wheel  0 Sep  7 13:56 /dev/stdin -> fd/0
lr-xr-xr-x  1 root  wheel  0 Sep  7 13:56 /dev/stdout -> fd/1
$ ls -l /dev/fd/
total 0
crw--w----  1 jschaumann  tty      16,   4 Sep  8 21:48 0
crw--w----  1 jschaumann  tty      16,   4 Sep  8 21:48 1
crw--w----  1 jschaumann  tty      16,   4 Sep  8 21:48 2
drw-r--r-- 93 jschaumann  staff    3162 Sep  8 21:40 3
dr--r--r--  1 root       wheel      0 Sep  7 13:56 4
$ echo first >file1
$ echo third >file2
$ echo second | cat file1 /dev/fd/0 file2
first
second
third
```

Homework

- Reading:
 - manual pages for the functions covered
 - Stevens Chap. 3, 4
- Thinking:
 - Stevens # 3.4
 - Stevens # 3.5 (bourne shell syntax “> &”)
- Coding:
 - required: `tcp(1)` (see website)
 - extra credit: `tcpm(1)` (see website)