第九章

# 第二爷

## 二重积分的计算法

- 一、利用直角坐标计算二重积分
- 二、利用极坐标计算二重积分
- 三、二重积分的换元法

## 一、利用直角坐标计算二重积分

由曲顶柱体体积的计算可知, 当被积函数  $f(x,y) \ge 0$ 

且在D上连续时,若D为X — 型区域

$$D: \begin{cases} \varphi_1(x) \le y \le \varphi_2(x) \\ a \le x \le b \end{cases} \qquad \boxed{\begin{array}{c} x \\ o \ a \\ y = \varphi \end{array}}$$

$$y = \varphi_2(x)$$

$$D$$

$$y = \varphi_1(x)b x$$

则  $\iint_D f(x,y) dx dy = \int_a^b dx \int_{\varphi_1(x)}^{\varphi_2(x)} f(x,y) dy$ 

若
$$D$$
为 $Y$ —型区域 $D$ : 
$$\begin{cases} \psi_1(y) \le x \le \psi_2(y) & d \\ c \le y \le d & y \end{cases}$$

$$\iiint_D f(x,y) dxdy = \int_c^d dy \int_{\psi_1(y)}^{\psi_2(y)} f(x,y) dx \qquad \frac{c}{o}$$

$$x = \psi_{1}(y)$$

当被积函数 f(x,y)在D上变号时, 由于

$$f(x,y) = \frac{f(x,y) + |f(x,y)|}{2} - \frac{|f(x,y)| - f(x,y)}{2}$$
$$f_1(x,y) \qquad f_2(x,y)$$
均非负

$$\therefore \iint_D f(x, y) dx dy = \iint_D f_1(x, y) dx dy$$
$$-\iint_D f_2(x, y) dx dy$$

因此上面讨论的累次积分法仍然有效.

说明: (1) 若积分区域既是X-型区域又是Y-型区域,

則有 
$$\iint_{D} f(x, y) dx dy$$

$$= \int_{a}^{b} dx \int_{\varphi_{1}(x)}^{\varphi_{2}(x)} f(x, y) dy$$

$$= \int_{c}^{d} dy \int_{\psi_{1}(y)}^{\psi_{2}(y)} f(x, y) dx$$

$$d = \psi_1(y) \qquad x = \psi_2(y)$$

$$x = \psi_1(x)$$

$$y = \varphi_1(x)$$

$$y = \varphi_1(x)$$

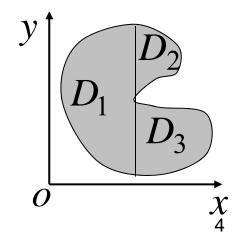
$$y = \varphi_1(x)$$

$$y = \varphi_1(x)$$

为计算方便,可选择积分序,必要时还可以交换积分序.

(2) 若积分域较复杂,可将它分成若干X-型域或Y-型域,则

$$\iint_{D} = \iint_{D_1} + \iint_{D_2} + \iint_{D_3}$$



例1. 计算 $I = \iint_D xy d\sigma$ , 其中D 是直线 y=1, x=2, 及 y=x 所围的闭区域.

解法1. 将D看作X-型区域,则D: 
$$\begin{cases} 1 \le y \le x \\ 1 \le x \le 2 \end{cases}$$
$$I = \int_{1}^{2} dx \int_{1}^{x} xy dy = \int_{1}^{2} \left[ \frac{1}{2} x y^{2} \right]_{1}^{x} dx$$
$$= \int_{1}^{2} \left[ \frac{1}{2} x^{3} - \frac{1}{2} x \right] dx = \frac{9}{8}$$

解法2. 将D看作Y—型区域,则D:  $\begin{cases} y \le x \le 2 \\ 1 \le v \le 2 \end{cases}$ 

$$I = \int_{1}^{2} dy \int_{y}^{2} xy dx = \int_{1}^{2} \left[ \frac{1}{2} x^{2} y \right]_{y}^{2} dy = \int_{1}^{2} \left[ 2y - \frac{1}{2} y^{3} \right] dy = \frac{9}{8}$$

例2. 计算  $\iint_D xyd\sigma$ , 其中D 是抛物线  $y^2 = x$  及直线

y=x-2 所围成的闭区域.

解:为计算简便,先对x后对y积分,

则

$$D: \begin{cases} y^2 \le x \le y+2 \\ -1 \le y \le 2 \end{cases}$$

$$\therefore \iint_D xy d\sigma = \int_{-1}^2 dy \int_{y^2}^{y+2} xy dx$$

$$= \int_{-1}^{2} \left[ \frac{1}{2} x^{2} y \right]_{y^{2}}^{y+2} dy = \frac{1}{2} \int_{-1}^{2} \left[ y(y+2)^{2} - y^{5} \right] dy$$
$$= \frac{1}{2} \left[ \frac{y^{4}}{4} + \frac{4}{3} y^{3} + 2y^{2} - \frac{1}{6} y^{6} \right]_{-1}^{2} = \frac{45}{8}$$

$$y = x$$

$$y = x$$

$$y = x$$

$$y = x - 2$$

**例3.** 计算  $\iint_D \frac{\sin x}{x} dx dy$ , 其中 D 是直线 y = x, y = 0,

 $x = \pi$  所围成的闭区域.

因此取D为X-型域:

$$\therefore \iint_D \frac{\sin x}{x} dx dy = \int_0^{\pi} \frac{\sin x}{x} dx \int_0^{x} dy$$
$$= \int_0^{\pi} \sin x dx = \left[ -\cos x \right]_0^{\pi} = 2$$

说明:有些二次积分为了积分方便,还需交换积分顺序.

#### 例4. 交换下列积分顺序

$$I = \int_0^2 dx \int_0^{\frac{x^2}{2}} f(x, y) dy + \int_2^{2\sqrt{2}} dx \int_0^{\sqrt{8-x^2}} f(x, y) dy$$

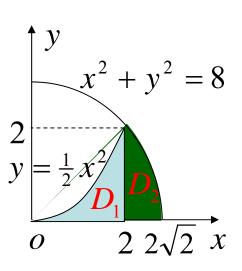
解: 积分域由两部分组成:

解: 积分现出网部分组成: 
$$D_1: \begin{cases} 0 \le y \le \frac{1}{2}x^2 \\ 0 \le x \le 2 \end{cases}, D_2: \begin{cases} 0 \le y \le \sqrt{8-x^2} \\ 2 \le x \le 2\sqrt{2} \end{cases}$$
 将  $D = D_1 + D_2$  视为  $Y$ —型区域,则

将 $D = D_1 + D_2$  视为Y—型区域,则

$$D: \begin{cases} \sqrt{2y} \le x \le \sqrt{8 - y^2} \\ 0 \le y \le 2 \end{cases}$$

$$I = \iint_D f(x, y) \, dx \, dy = \int_0^2 dy \int_{\sqrt{2y}}^{\sqrt{8-y^2}} f(x, y) \, dx$$



例5. 计算
$$I = \iint_D x \ln(y + \sqrt{1 + y^2}) dx dy$$
,其中 $D$  由

$$y = 4 - x^2$$
,  $y = -3x$ ,  $x = 1$  所围成.

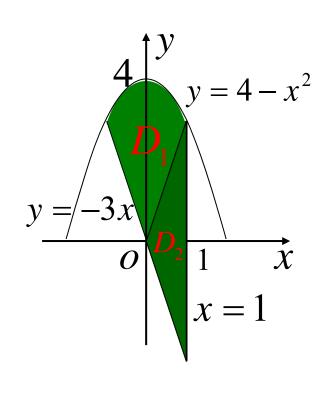
**解:** 令 
$$f(x, y) = x \ln(y + \sqrt{1 + y^2})$$

$$D = D_1 + D_2$$
 (如图所示)

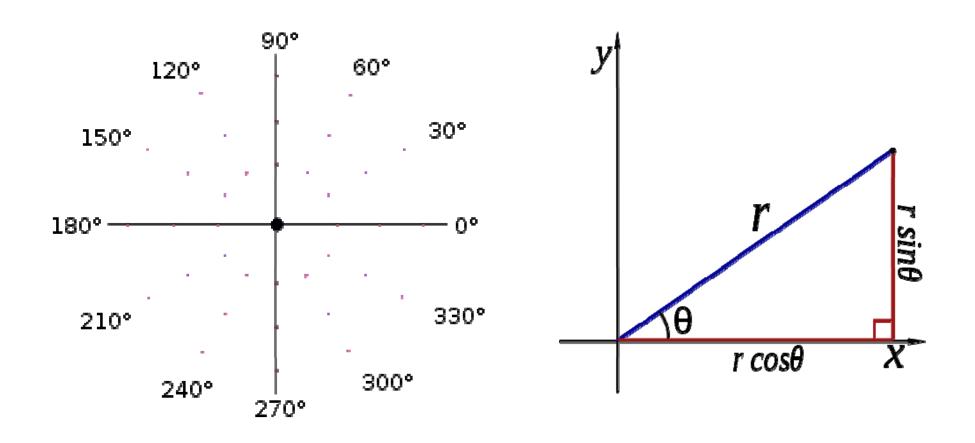
显然, 在
$$D_1$$
上,  $f(-x, y) = -f(x, y)$   
在 $D_2$ 上,  $f(x, -y) = -f(x, y)$ 

$$\therefore I = \iint_{D_1} x \ln(y + \sqrt{1 + y^2}) dxdy$$

$$+ \iint_{D_2} x \ln(y + \sqrt{1 + y^2}) dx dy = 0$$



#### 极坐标系与直角坐标系



http://zh.wikipedia.org/wiki/%E6%9E%81%E5%9D%90%E6%A0%87%E7%B3%BB

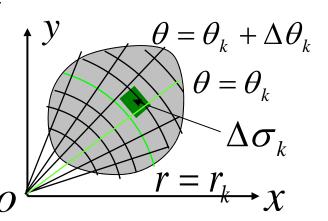
#### 坐标转化公式

$$\begin{cases} x = r\cos\theta \\ y = r\sin\theta \end{cases}$$

$$\theta = \begin{cases} \arctan(\frac{y}{x}) & \text{if } x > 0\\ \arctan(\frac{y}{x}) + \pi & \text{if } x < 0 \text{ and } y \ge 0\\ \arctan(\frac{y}{x}) - \pi & \text{if } x < 0 \text{ and } y < 0\\ \frac{\pi}{2} & \text{if } x = 0 \text{ and } y > 0\\ -\frac{\pi}{2} & \text{if } x = 0 \text{ and } y < 0\\ 0 & \text{if } x = 0 \text{ and } y = 0 \end{cases}$$

## 二、利用极坐标计算二重积分

在极坐标系下,用同心圆 r=常数及射线  $\theta=$ 常数,分划区域D 为  $\Delta\sigma_{k}$   $(k=1,2,\cdots,n)$ 



则除包含边界点的小区域外,小区域的面积

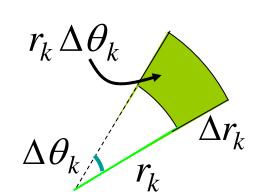
$$\Delta \sigma_k = \frac{1}{2} (r_k + \Delta r_k)^2 \cdot \Delta \theta_k - \frac{1}{2} r_k^2 \cdot \Delta \theta_k$$

$$= \frac{1}{2} [r_k + (r_k + \Delta r_k)] \Delta r_k \cdot \Delta \theta_k$$

$$= \overline{r_k} \Delta r_k \cdot \Delta \theta_k$$

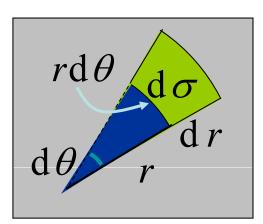
在 $\Delta\sigma_k$ 内取点 $(\overline{r_k},\overline{\theta_k})$ ,对应有

$$\xi_k = \overline{r_k} \cos \overline{\theta_k}, \ \eta_k = \overline{r_k} \sin \overline{\theta_k}$$



$$\lim_{\|\Delta\sigma\|\to 0} \sum_{k=1}^n f(\xi_k, \eta_k) \Delta\sigma_k$$

$$= \lim_{\|\Delta\sigma\|\to 0} \sum_{k=1}^{n} f(\overline{r_k} \cos \overline{\theta_k}, \overline{r_k} \sin \overline{\theta_k}) \overline{r_k} \Delta r_k \Delta \theta_k$$



设
$$D: \begin{cases} \varphi_1(\theta) \le r \le \varphi_2(\theta), \\ \alpha \le \theta \le \beta \end{cases}$$
,则

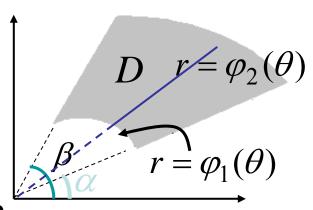
$$\iint_D f(r\cos\theta, r\sin\theta) r \, \mathrm{d}r \, \mathrm{d}\theta$$

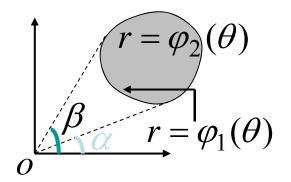
$$= \int_{\alpha}^{\beta} d\theta \int_{\varphi_1(\theta)}^{\varphi_2(\theta)} f(r\cos\theta, r\sin\theta) r dr$$

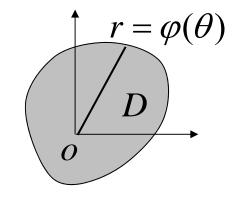
特别, 对 
$$D:$$
 
$$\begin{cases} 0 \le r \le \varphi(\theta) \\ 0 \le \theta \le 2\pi \end{cases}$$

$$\iint_D f(r\cos\theta, r\sin\theta) r \, \mathrm{d}r \, \mathrm{d}\theta$$

$$= \int_0^{2\pi} d\theta \int_0^{\varphi(\theta)} f(r\cos\theta, r\sin\theta) r dr$$



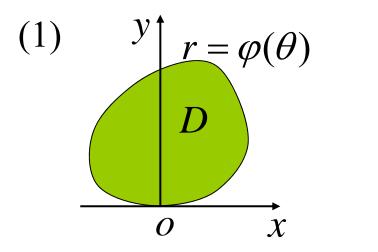


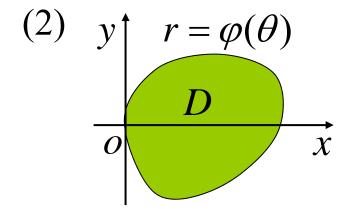


若 f ≡1 则可求得D 的面积

$$\sigma = \iint_D d\sigma = \frac{1}{2} \int_0^{2\pi} \varphi^2(\theta) d\theta$$

思考:下列各图中域D分别与x,y轴相切于原点,试 问 $\theta$ 的变化范围是什么?





答: (1) 
$$0 \le \theta \le \pi$$
;

答: (1) 
$$0 \le \theta \le \pi$$
; (2)  $-\frac{\pi}{2} \le \theta \le \frac{\pi}{2}$ 

例6. 计算
$$\iint_D e^{-x^2-y^2} dxdy$$
, 其中 $D: x^2 + y^2 \le a^2$ .

解: 在极坐标系下
$$D:$$
 
$$\begin{cases} 0 \le r \le a \\ 0 \le \theta \le 2\pi \end{cases}$$
, 故

原式 = 
$$\iint_D e^{-r^2} r \, dr \, d\theta = \int_0^{2\pi} d\theta \int_0^a r e^{-r^2} \, dr$$

$$= 2\pi \left[ \frac{-1}{2} e^{-r^2} \right]_0^a = \pi (1 - e^{-a^2})$$

由于 $e^{-x^2}$ 的原函数不是初等函数,故本题无法用直角坐标计算.

注:利用例6可得到一个在概率论与数理统计及工程上 非常有用的反常积分公式

$$\int_0^{+\infty} e^{-x^2} \, \mathrm{d} \, x = \frac{\sqrt{\pi}}{2}$$
 (1)

事实上, 当D为R2时,

$$\iint_{D} e^{-x^{2} - y^{2}} dxdy = \int_{-\infty}^{+\infty} e^{-x^{2}} dx \int_{-\infty}^{+\infty} e^{-y^{2}} dy$$
$$= 4 \left( \int_{0}^{+\infty} e^{-x^{2}} dx \right)^{2}$$

利用例6的结果,得

$$4\left(\int_0^{+\infty} e^{-x^2} dx\right)^2 = \lim_{a \to +\infty} \pi (1 - e^{-a^2}) = \pi$$

故①式成立.

例7. 求球体  $x^2 + y^2 + z^2 \le 4a^2$  被圆柱面  $x^2 + y^2 = 2ax$  (a > 0) 所截得的(含在柱面内的)立体的体积.

解: 设 $D:0 \le r \le 2a\cos\theta, 0 \le \theta \le \frac{\pi}{2}$  由对称性可知

$$V = 4 \iint_{D} \sqrt{4a^{2} - r^{2}} r \, dr \, d\theta$$

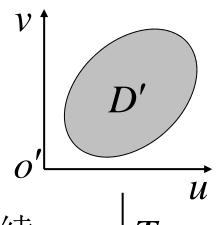
$$= 4 \int_{0}^{\pi/2} d\theta \int_{0}^{2a\cos\theta} \sqrt{4a^{2} - r^{2}} r \, dr \, dr$$

$$= \frac{32}{3} a^{3} \int_{0}^{\pi/2} (1 - \sin^{3}\theta) \, d\theta = \frac{32}{3} a^{3} (\frac{\pi}{2} - \frac{2}{3})$$

## 三、二重积分换元法

定理: 设f(x,y)在闭域D上连续,变换:

$$T: \begin{cases} x = x(u, v) \\ y = y(u, v) \end{cases} (u, v) \in D' \to D$$

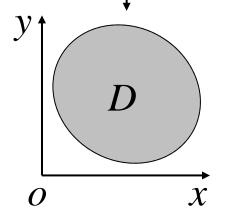


满足(1) x(u,v), y(u,v) 在D'上一阶偏导连续;

(2) 在D'上 雅可比行列式

$$J(u,v) = \frac{\partial(x,y)}{\partial(u,v)} \neq 0;$$

(3) 变换 $T:D'\to D$ 是一一对应的,

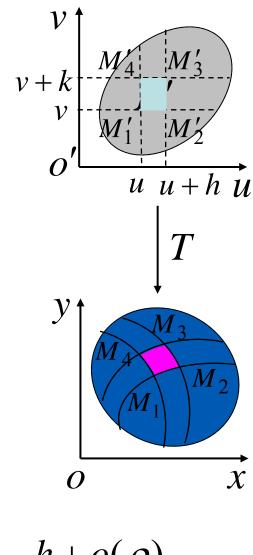


$$\iiint_{D} f(x, y) dx dy = \iiint_{D'} f(x(u, v), y(u, v)) |J(u, v)| du dv$$

证:根据定理条件可知变换 T 可逆. 在uo'v坐标面上,用平行于坐标轴的 直线分割区域D',任取其中一个小矩 形,其顶点为

$$M'_1(u,v),$$
  $M'_2(u+h,v),$   $M'_3(u+h,v+k),$   $M'_4(u,v+k).$ 

通过变换T, 在 xoy 面上得到一个四边形, 其对应项点为 $M_i(x_i, y_i)$  (i = 1, 2, 3, 4)



同理得 
$$y_2 - y_1 = \frac{\partial y}{\partial u} \Big|_{(u,v)} h + o(\rho)$$
  

$$y_4 - y_1 = \frac{\partial y}{\partial v} \Big|_{(u,v)} k + o(\rho)$$

当h, k 充分小时, 曲边四边形  $M_1M_2M_3M_4$  近似于平行四边形, 故其面积近似为

$$\Delta \sigma \approx |\overrightarrow{M_1 M_2} \times \overrightarrow{M_1 M_4}| = \begin{vmatrix} x_2 - x_1 & y_2 - y_1 \\ x_4 - x_1 & y_4 - y_1 \end{vmatrix}$$

$$\approx \begin{vmatrix} \frac{\partial x}{\partial u} h & \frac{\partial y}{\partial u} k \\ \frac{\partial x}{\partial v} h & \frac{\partial y}{\partial v} k \end{vmatrix} = \begin{vmatrix} \frac{\partial x}{\partial u} & \frac{\partial x}{\partial v} \\ \frac{\partial y}{\partial u} & \frac{\partial y}{\partial v} \end{vmatrix} hk = |J(u, v)| hk$$

因此面积元素的关系为  $d\sigma = |J(u,v)| du dv$  从而得二重积分的换元公式:

$$\iint_{D} f(x, y) dx dy$$

$$= \iint_{D'} f(x(u, v), y(u, v)) |J(u, v)| du dv$$

例如, 直角坐标转化为极坐标时,  $x = r\cos\theta$ ,  $y = r\sin\theta$ 

$$J = \frac{\partial(x, y)}{\partial(r, \theta)} = \begin{vmatrix} \cos \theta & -r \sin \theta \\ \sin \theta & r \cos \theta \end{vmatrix} = r$$

$$\therefore \iint_{D} f(x, y) dx dy$$

$$= \iint_{D'} f(r \cos \theta, r \sin \theta) r dr d\theta$$

例8. 计算 $\iint_{\mathbf{n}} e^{\frac{y-x}{y+x}} dx dy$ , 其中D是x轴y轴和直线

$$x+y=2$$
 所围成的闭域.

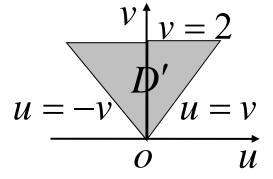
解: 令 
$$u = y - x$$
,  $v = y + x$ ,则

$$x = \frac{v - u}{2}, y = \frac{v + u}{2} \quad (D' \to D)$$

$$J = \frac{\partial(x,y)}{\partial(u,v)} = \begin{vmatrix} -\frac{1}{2} & \frac{1}{2} \\ \frac{1}{2} & \frac{1}{2} \end{vmatrix} = \frac{-1}{2} \qquad u = -v \qquad u = v$$

$$y \uparrow x + y = 2$$

$$O \qquad x$$



$$\iint_{D} e^{\frac{y-x}{y+x}} dx dy = \iint_{D'} e^{\frac{u}{v}} \left| \frac{1}{2} \right| du dv = \frac{1}{2} \int_{0}^{2} dv \int_{-v}^{v} e^{\frac{u}{v}} du$$

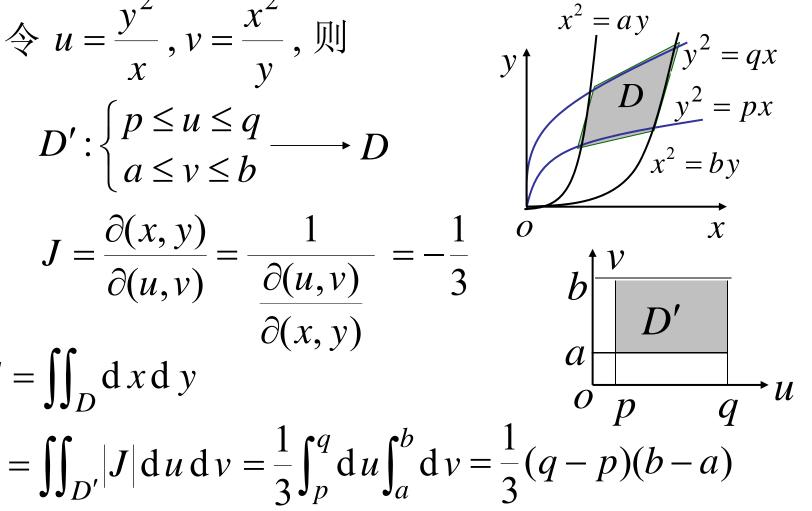
$$= \frac{1}{2} \int_{0}^{2} (e - e^{-1}) v dv = e - e^{-1}$$

例9. 计算由  $y^2 = px$ ,  $y^2 = qx$ ,  $x^2 = ay$ ,  $x^2 = by$ (0 所围成的闭区域 <math>D 的面积 S.

解: 令 
$$u = \frac{y^2}{x}, v = \frac{x^2}{y},$$
则
$$D': \begin{cases} p \le u \le q \\ a \le v \le b \end{cases} \longrightarrow D$$

$$J = \frac{\partial(x, y)}{\partial(u, v)} = \frac{1}{\frac{\partial(u, v)}{\partial(x, y)}} = -\frac{1}{3}$$

$$\therefore S = \iint_D dx dy$$



**例10.** 试计算椭球体
$$\frac{x^2}{a^2} + \frac{y^2}{b^2} + \frac{z^2}{c^2} \le 1$$
 的体积 $V$ .

解: 取 $D: \frac{x^2}{a^2} + \frac{y^2}{b^2} \le 1$ , 由对称性

$$V = 2 \iint_{D} z \, dx \, dy = 2 c \iint_{D} \sqrt{1 - \frac{x^{2}}{a^{2}} - \frac{y^{2}}{b^{2}}} \, dx \, dy$$

令  $x = ar \cos \theta$ ,  $y = br \sin \theta$ , 则D 的原象为

$$D': r \le 1, 0 \le \theta \le 2\pi$$

$$J = \frac{\partial(x, y)}{\partial(r, \theta)} = \begin{vmatrix} a\cos\theta & -ar\sin\theta \\ b\sin\theta & br\cos\theta \end{vmatrix} = abr$$

$$\therefore V = 2c \iint_{D} \sqrt{1 - r^2} abr dr d\theta$$
$$= 2abc \int_{0}^{2\pi} d\theta \int_{0}^{1} \sqrt{1 - r^2} r dr = \frac{4}{3}\pi abc$$

## 内容小结

(1) 二重积分化为累次积分的方法

#### 直角坐标系情形:

• 若积分区域为

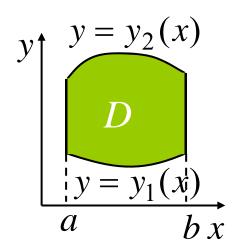
$$D = \{(x, y) | a \le x \le b, y_1(x) \le y \le y_2(x) \}$$

则 
$$\iint_D f(x,y) d\sigma = \int_a^b dx \int_{y_1(x)}^{y_2(x)} f(x,y) dy$$

• 若积分区域为

$$D = \{(x, y) | c \le y \le d, x_1(y) \le x \le x_2(y) \}$$

则 
$$\iint_D f(x, y) d\sigma = \int_c^d dy \int_{x_1(y)}^{x_2(y)} f(x, y) dx$$
  $x = x_1(y)$ 



$$x = x_2(y)$$

$$d$$

$$x = x_1(y)$$

$$x = x_1(y)$$

极坐标系情形: 若积分区域为

$$D = \{ (r,\theta) | \alpha \le \theta \le \beta, \varphi_1(\theta) \le r \le \varphi_2(\theta) \}$$

则 
$$\iint_D f(x, y) d\sigma = \iint_D f(r\cos\theta, r\sin\theta) r dr d\theta$$

$$= \int_{\alpha}^{\beta} d\theta \int_{\varphi_1(\theta)}^{\varphi_2(\theta)} f(r\cos\theta, r\sin\theta) r dr$$

$$D \neq \varphi_2(\theta)$$

在变换 
$$\begin{cases} x = x(u, v) \\ y = y(u, v) \end{cases}$$

$$(x, y) \in D \longleftrightarrow (u, v) \in D', \quad \exists \quad J = \frac{\partial(x, y)}{\partial(u, v)} \neq 0$$

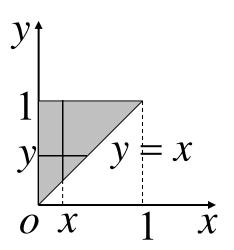
则 
$$\iint_D f(x,y) d\sigma = \iint_{D'} f[x(u,v),y(u,v)] |J| du dv$$

## (3) 计算步骤及注意事项

- 画出积分域
- 确定积分序 积分域分块要少 累次积好算为妙
- 写出积分限 图示法 不等式 (先积一条线,后扫积分域)

## 思考与练习

1. 设 
$$f(x) \in C[0,1]$$
, 且  $\int_0^1 f(x) dx = A$ ,   
 求  $I = \int_0^1 dx \int_x^1 f(x) f(y) dy$ .



提示: 交换积分顺序后, x, y互换

$$I = \int_0^1 dy \int_0^y f(x)f(y) dx = \int_0^1 dx \int_0^x f(x)f(y) dy$$

$$\therefore 2I = \int_0^1 dx \int_x^1 f(x)f(y) dy + \int_0^1 dx \int_0^x f(x)f(y) dy$$
$$= \int_0^1 dx \int_0^1 f(x)f(y) dy = \int_0^1 f(x) dx \int_0^1 f(y) dy = A^2$$

**2.** 交换积分顺序 
$$I = \int_{-\frac{\pi}{2}}^{\frac{\pi}{2}} d\theta \int_{0}^{a\cos\theta} f(r,\theta) dr$$
  $(a>0)$ 

提示: 积分域如图

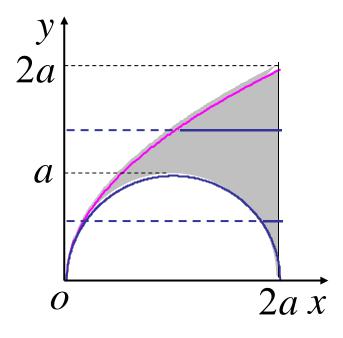
$$o = a \cos \theta$$

$$\theta = \arccos \frac{r}{a}$$

$$I = \int_0^a dr \int_{-\arccos\frac{r}{a}}^{\arccos\frac{r}{a}} f(r, \theta) d\theta$$

备用题 1. 给定  $I = \int_0^{2a} dx \int_{\sqrt{2ax-x^2}}^{\sqrt{2ax}} f(x,y) dy (a > 0)$  改变积分的次序.

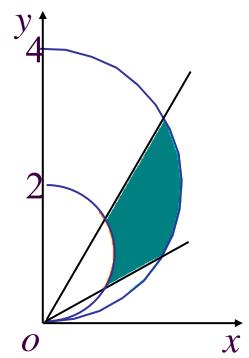
解: 
$$y = \sqrt{2ax} \implies x = \frac{y^2}{2a}$$
  
 $y = \sqrt{2ax - x^2}$   
 $\implies x = a \pm \sqrt{a^2 - y^2}$   
 $= \int_0^a dy \int_{\frac{y^2}{2}}^{a - \sqrt{a^2 - y^2}} f(x, y) dx$ 



$$+ \int_0^a dy \int_{a+\sqrt{a^2-y^2}}^{2a} f(x,y) dx + \int_a^{2a} dy \int_{\frac{y^2}{2a}}^{2a} f(x,y) dx$$

**2.** 计算  $\iint_D (x^2 + y^2) dx dy$ , 其中D 为由圆  $x^2 + y^2 = 2y$ ,  $x^2 + y^2 = 4y$  及直线  $x - \sqrt{3}y = 0$ ,  $y - \sqrt{3}x = 0$  所围成的 平面闭区域.

解:  $x^2 + y^2 = 2y \implies r = 2\sin\theta$   $x^2 + y^2 = 4y \implies r = 4\sin\theta$   $y - \sqrt{3}x = 0 \implies \theta_2 = \frac{\pi}{3}$  $x - \sqrt{3}y = 0 \implies \theta_1 = \frac{\pi}{6}$ 



$$\therefore \iint_{D} (x^{2} + y^{2}) dx dy = \int_{\frac{\pi}{6}}^{\frac{\pi}{3}} d\theta \int_{2\sin\theta}^{4\sin\theta} r^{2} \cdot r dr = 15(\frac{\pi}{2} - \sqrt{3})$$