

## Chapter 7: Recurrence Relations

### Correction of Exercises

#### I. Introduction:

**Exercise 1:** Assume that a person invests \$2000 at 14 percent interest compounded annually. Let  $A_n$  represent the amount at the end of  $n$  years.

- a) Find a recurrence relation for the sequence  $A_0, A_1, \dots$

$$A_n = (1.14)A_{n-1}$$

- b) Find an initial condition for the sequence  $A_0, A_1, \dots$

$$A_0 = 2000$$

- c) Find  $A_1, A_2$ , and  $A_3$ .

$$A_1 = 2280, \quad A_2 = 2599.20, \quad A_3 = 2963.088$$

- d) Find an explicit formula for  $A_n$ .

$$A_n = (1.14)^n \cdot 2000$$

- e) How long will it take for a person to double the initial investment?

We must have  $A_n = 4000$  or  $(1.14)^n \cdot 2000 = 4000$  or  $(1.14)^n = 2$ .

Taking the logarithm of both sides, we must have  $n \log 1.14 = \log 2$ .

$$\text{Thus } n = \frac{\log 2}{\log 1.14} = 5.29$$

**Exercise 2:** Let  $S_n$  denote the number of  $n$ -bit strings that do not contain the pattern 000.

Find the recurrence relation and initial conditions for the sequence  $\{S_n\}$ .

We count the number of  $n$ -bit strings not containing the pattern 000.

- Begin with 1. In this case, if the remaining  $(n-1)$ -bit string does not contain 000, neither will the  $n$ -bit string. There are  $S_{n-1}$  such  $(n-1)$ -bit string.
- Begin with 0, there are two cases to consider

1. Begin with 01. In this case, if the remaining  $(n-2)$ -bit string does not contain 000, neither will the  $n$ -bit string. There are  $S_{n-2}$  such  $(n-2)$ -bit string.
2. Begin with 00. Then the third bit must be a 1 and if the remaining  $(n-3)$ -bit string does not contain 000, neither will the  $n$ -bit string. There are  $S_{n-3}$  such  $(n-3)$ -bit string.

Since the cases are mutually exclusive and cover all  $n$ -bit strings ( $n > 3$ ) not containing 000, we have  $S_n = S_{n-1} + S_{n-2} + S_{n-3}$  for  $n > 3$ .  $S_1 = 2$  (there are two 1-bit strings),  $S_2 = 4$  (there are four 2-bit strings), and  $S_3 = 7$  (there are seven 3-bit strings but one of them is 000).

## II. Solving Recurrence Relations:

**Exercise 1:** Tell whether or not each relation is linear homogeneous recurrence relation with constant coefficients. Give the order of each linear homogeneous recurrence relations with constant coefficients.

- a)  $A_n = -3 A_{n-1}$   
Yes, order 1
- b)  $A_n = A_{n-1} + n$   
No
- c)  $A_n = (\lg 2n) A_{n-1} - [\lg(n-1)] A_{n-2}$   
No
- d)  $A_n = - A_{n-1} + 5 A_{n-2} - 3 A_{n-3}$   
Yes, order 3.

**Exercise 2:** Solve the recurrence relation for the initial condition given.

a)  $A_n = -3 A_{n-1}; \quad A_0 = 2$

$$a_n = 2 (-3)^n$$

b)  $A_n = 6 A_{n-1} - 8 A_{n-2}; \quad A_0 = 1 \text{ and } A_1 = 0$

$$a_n = 2^{n+1} - 4^n$$

c)  $2A_n = 7 A_{n-1} - 3 A_{n-2}; \quad A_0 = A_1 = 1$

$$a_n = (2^{2^n} + 3^n)/5$$

d)  $A_n = -8 A_{n-1} - 16 A_{n-2}; \quad A_0 = 2 \text{ and } A_1 = -20$

$$a_n = 2 (-4)^n + 3n (-4)^n$$

**Exercise 3:** Show that  $f_{n+1} \geq \left(\frac{1+\sqrt{5}}{2}\right)^{n-1}$   $n \geq 1$

Where f denotes the Fibonacci sequence.

We estimate the inequality by using induction n.

The base cases  $n = 1$  and  $n = 2$  are left to the reader.

Now assume that the inequality is true for values less than  $n+1$ , then

$$f_{n+2} = f_{n+1} + f_n \geq$$

$$\left(\frac{1+\sqrt{5}}{2}\right)^{n-1} + \left(\frac{1+\sqrt{5}}{2}\right)^{n-2} = \left(\frac{1+\sqrt{5}}{2}\right)^{n-2} \left(\frac{1+\sqrt{5}}{2} + 1\right) = \left(\frac{1+\sqrt{5}}{2}\right)^{n-2} \left(\frac{1+\sqrt{5}}{2}\right)^2 = \left(\frac{1+\sqrt{5}}{2}\right)^n$$

and the induction step is complete.

$$\frac{1+\sqrt{5}}{2} + 1 = \frac{2(1+\sqrt{5})}{4} + \frac{4}{4} = \frac{2+(2\sqrt{5})+4}{4} = \frac{1+(2\sqrt{5})+5}{4} = \frac{1+(2\sqrt{5})+\sqrt{5}^2}{4} = \left(\frac{1}{2}\right)^2 (1 + \sqrt{5})^2 = \left(\frac{1+\sqrt{5}}{2}\right)^2$$

### III. Applications to the analysis of Algorithms:

**Exercise 1:** Refer to the sequence

$$S_1 = C, \quad S_2 = G, S_3 = J, \quad S_4 = M, \quad S_5 = X$$

1. Show how the algorithm of the binary search (slide 27) executes in case  $\text{key} = G$

At line 2, since  $i > j$ ,  $(1 > 5)$  is false, we proceed to line 4, where we set  $k$  to 3. At line 5, since “key” (G) is not equal to  $S_3$  (J), we proceed to line 7. At line 7,  $\text{key} < S_k$  ( $G < J$ ) is true, so at line 8 we set  $j$  to 2. We then invoke this algorithm with  $i = 1, j = 2$  to search for key in

$$S_1 = C, \quad S_2 = G$$

At line 2, since  $i > j$  ( $1 > 2$ ) is false, we proceed to line 4, where we set  $k$  to 1. At line 5, since key (G) is not equal to  $S_1$  (C), we proceed to line 7. At line 7,  $\text{key} < S_k$  ( $G < C$ ) is false, so at line 10 we set  $i$  to 2. We then invoke this algorithm with  $i = j = 2$  to search for key in

$$S_2 = G$$

At line 2, since  $i > j$  ( $2 > 2$ ) is false, we proceed to line 4, where we set  $k$  to 2. At line 5, since key (G) is equal to  $S_2$  (G), we return 2, the index of key in the sequence S.

2. Show how the algorithm of the Binary search (slide 27) executes in case  $\text{key} = Z$ .

At line 2, since  $i > j$ ,  $(1 > 5)$  is false, we proceed to line 4, where we set  $k$  to 3. At line 5, since “key” (Z) is not equal to  $S_3$  (J), we proceed to line 7. At line 7,  $\text{key} < S_k$  ( $Z < J$ ) is true, so at line 10 we set  $j$  to 4. We then invoke this algorithm with  $i = 4, j = 5$  to search for key in

$$S_4 = M, \quad S_5 = X$$

At line 2, since  $i > j$  ( $4 > 5$ ) is false, we proceed to line 4, where we set  $k$  to 4. At line 5, since key (Z) is not equal to  $S_4$  (M), we proceed to line 7. At line 7,  $\text{key} < S_k$  ( $Z < M$ ) is false, so at line 10 we set  $i$  to 5. We then invoke this algorithm with  $i = j = 5$  to search for key in

$$S_5 = X$$

At line 2, since  $i > j$  ( $5 > 5$ ) is false, we proceed to line 4, where we set  $k$  to 5. At line 5, since key ( $Z < X$ ) is false, so at line 10 we set  $i$  to 6. We then invoke this algorithm with  $i = 6$  and  $j = 5$ .

At line 2, since  $i > j$  ( $6 > 5$ ) is true, we return 0 to indicate that we failed to find key.

**Exercise 2:** Professor Larry proposes the following version of binary search:

```
binary_search3(s, i, j, key){
  while ( i ≤ j) {
    k =  $\lfloor (i+j)/2 \rfloor$ 
    if (key==Sk)
      return k
    if (key < Sk)
      j = k
    else
      i = k
  }
  return 0
}
```

Is professor's version correct (does it find key if it is present and return 0 if it is not present? If the professor's version is correct, what is the worst-case time?

The algorithm is not correct. If  $s$  is a sequence of length 1,  $S_1 = 9$  and  $\text{key} = 8$ , the algorithm does not terminate.