CS342301: Operating System

MP2: Multi-Processing

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	Contribution	
	1.	Trace code in Part I and write the report for it.
劉祐廷	2.	Implement code and write the report for part II.
	3.	Discuss and complete the entire report.
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黄子恩	2.	Implement code and write the report for part II.
	3.	Discuss and complete the entire report.

OS MP2

Trace Code

Kernel::Kernel()

File: threads/thread.cc

path: main() → Kernel::Kernel()

```
Kernel::Kernel(int argc, char **argv) {
    randomSlice = FALSE;
    debugUserProg = FALSE;
    execExit = FALSE;
    consoleIn = NULL; // default is stdin
    consoleOut = NULL; // default is stdout
#ifndef FILESYS STUB
    formatFlag = FALSE;
#endif
    reliability = 1; // network reliability, default is 1.0
    hostName = ∅;
                    // machine id, also UNIX socket name
                      // 0 is the default machine id
    for (int i = 1; i < argc; i++) {
        if (strcmp(argv[i], "-rs") == 0) {
            ASSERT(i + 1 < argc);
            RandomInit(atoi(argv[i + 1])); // initialize pseudo-random
                                            // number generator
            randomSlice = TRUE;
            i++;
        } else if (strcmp(argv[i], "-s") == 0) {
            debugUserProg = TRUE;
        } else if (strcmp(argv[i], "-e") == 0) {
            execfile[++execfileNum] = argv[++i];
            cout << execfile[execfileNum] << "\n";</pre>
        } else if (strcmp(argv[i], "-ee") == 0) {
            // Added by @dasbd72
            // To end the program after all the threads are done
           execExit = TRUE;
        } ...
   }
}
```

在 main.cc 中會呼叫 Kernel 的建構子,使用從 command line 讀取到的 argc argv 當參數創建 kernel。 randomSlice 設定成 FALSE 表示兩次 context switch 之間的時間固定, execExit 設為 TRUE 時,執行完所有 threads 系統會自動停止運作。 for 迴圈內會處理從 command line 拿到的各項參數。

File: threads/kernel.cc

```
path: Kernel::ExecAll()
```

```
void Kernel::ExecAll() {
    for (int i = 1; i <= execfileNum; i++) {
        int a = Exec(execfile[i]);
    }
    currentThread->Finish();
    // Kernel::Exec();
}
```

目前是跑在 main thread 上,這裡會把從指令讀進來的所有 files 都開好 threads 並全部塞進 ready queue · 跑完後使用 Finish() 讓 main thread 找到下一條 thread 執行,然後結束執行 main thread。

int Kernel::Exec()

File: threads/kernel.cc

path: Kernel::ExecAll() → Kernel::Exec()

```
int Kernel::Exec(char *name) {
    t[threadNum] = new Thread(name, threadNum);
    t[threadNum]->setIsExec();
    t[threadNum]->space = new AddrSpace();
    t[threadNum]->Fork((VoidFunctionPtr)&ForkExecute, (void *)t[threadNum]);
    threadNum++;
    return threadNum - 1;
}
```

這裡主要是在做建構 thread 的前置作業並維護 threads table t, 會回傳 thread 的數量。

Thread::Thread()

File: threads/thread.cc

```
path: Kernel::ExecAll() → Kernel::Exec() → Thread::Thread()
```

```
Thread::Thread(char *threadName, int threadID) {
   ID = threadID;
   name = threadName;
   isExec = false;
   stackTop = NULL;
   stack = NULL;
   status = JUST_CREATED;
   for (int i = 0; i < MachineStateSize; i++) {
      machineState[i] = NULL; // not strictly necessary, since</pre>
```

```
// new thread ignores contents
// of machine registers
}
space = NULL;
}
```

這是 Thread 的建構子,其中 is Exec 預設為 false 表示還沒被執行,而 stack 預設為 NULL 表示尚未 allocate stack。隨後的 t[threadNum]->setIs Exec(); 會再將這個 thread 設為正在執行。

AddrSpace::AddrSpace()

File: userprog/addrspace.cc

path: Kernel::ExecAll() → Kernel::Exec() → AddrSpace::AddrSpace()

```
AddrSpace::AddrSpace() {
   pageTable = new TranslationEntry[NumPhysPages];
   for (int i = 0; i < NumPhysPages; i++) {
      pageTable[i].virtualPage = i; // for now, virt page # = phys page #
      pageTable[i].physicalPage = i;
      pageTable[i].valid = TRUE;
      pageTable[i].use = FALSE;
      pageTable[i].dirty = FALSE;
      pageTable[i].readOnly = FALSE;
   }

// zero out the entire address space
   bzero(kernel->machine->mainMemory, MemorySize);
}
```

t[threadNum]->space = new AddrSpace(); 會開一個跟 physical memory size 一樣大的 virtual memory, 並新建一個 page table · 並用 bzero 將空間的值都初始化成 0。

void Thread::Fork()

File: threads/thread.cc

path: Kernel::ExecAll() → Kernel::Exec() → Thread::Fork()

Fork() 會呼叫 StackAllocate() 去建構 stack · 之後會把 interrupt 關掉 · 並呼叫 ReadyToRun() 把這個 thread 放進 ready queue 等待被執行。

void Thread::StackAllocate()

File: threads/thread.cc

```
path: Kernel::ExecAll() → Kernel::Exec() → Thread::Fork() → Thread::StackAllocate()
```

```
void Thread::StackAllocate(VoidFunctionPtr func, void *arg) {
    stack = (int *)AllocBoundedArray(StackSize * sizeof(int));
#ifdef x86
   // the x86 passes the return address on the stack. In order for SWITCH()
   // to go to ThreadRoot when we switch to this thread, the return addres
    // used in SWITCH() must be the starting address of ThreadRoot.
    stackTop = stack + StackSize - 4; // -4 to be on the safe side!
    *(--stackTop) = (int)ThreadRoot;
    *stack = STACK_FENCEPOST;
#endif
    machineState[PCState] = (void *)ThreadRoot;
    machineState[StartupPCState] = (void *)ThreadBegin;
    machineState[InitialPCState] = (void *)func;
    machineState[InitialArgState] = (void *)arg;
    machineState[WhenDonePCState] = (void *)ThreadFinish;
}
```

這裡會呼叫 AllocBoundedArray() 來創建一個stack · 最後將所有參數放進 machineState[] 對應的 register 裡面。(Switch.S 會用到)

char *AllocBoundedArray()

File: threads/thread.cc

```
path: Kernel::ExecAll() \rightarrow Kernel::Exec() \rightarrow Thread::Fork() \rightarrowThread::StackAllocate() \rightarrow AllocBoundedArray()
```

```
char *AllocBoundedArray(int size) {
#ifdef NO_MPROT
    return new char[size];
#else
    int pgSize = getpagesize();
    char *ptr = new char[pgSize * 2 + size];

mprotect(ptr, pgSize, 0);
```

```
mprotect(ptr + pgSize + size, pgSize, 0);
  return ptr + pgSize;
#endif
}
```

若不需要記憶體保護機制的話,AllocBoundedArray() 會直接開一個要求大小的記憶體空間必回傳。若需要記憶體保護的話,AllocBoundedArray() 會再要求的 stack 兩端加上一段距離的空白記憶體,並使用 mprotect() 來確保那兩段空白的記憶體不能被 access。

void Scheduler::ReadyToRun()

File: threads/scheduler.cc

path: Kernel::ExecAll() → Kernel::Exec() → Thread::Fork() → Scheduler::ReadyToRun()

```
void Scheduler::ReadyToRun(Thread *thread) {
    ASSERT(kernel->interrupt->getLevel() == IntOff);
    DEBUG(dbgThread, "Putting thread on ready list: " << thread->getName());
    // cout << "Putting thread on ready list: " << thread->getName() << endl;
    thread->setStatus(READY);
    readyList->Append(thread);
}
```

把該條 thread 狀態設定成 READY 並且 append 到 readyList 的最後面。

void ForkExecute()

File: threads/thread.cc

path: Kernel::ExecAll() → Kernel::Exec() → ForkExecute()

```
void ForkExecute(Thread *t) {
   if (!t->space->Load(t->getName())) {
      return; // executable not found
   }

   t->space->Execute(t->getName());
}
```

Fork() 的第一項參數 ForkExecute 會把 thread 對應到的 files load 進 memory 裡面·並確保有成功 load 之 後再呼叫 t->space->Execute(t->getName()); 執行 thread。

bool AddrSpace::Load()

File: userprog/addrspace.cc

path: Kernel::ExecAll() \rightarrow Kernel::Exec() \rightarrow ForkExecute() \rightarrow AddrSpace::Load()

```
bool AddrSpace::Load(char *fileName) {
    OpenFile *executable = kernel->fileSystem->Open(fileName);
    NoffHeader noffH;
    unsigned int size;

if (executable == NULL) {
    cerr << "Unable to open file " << fileName << "\n";
    return FALSE;
}</pre>
```

一開始會用 kernel->fileSystem->Open() 去開檔案,若無法開啟則回傳 FALSE 表示 load file 失敗。

```
executable->ReadAt((char *)&noffH, sizeof(noffH), 0);
if ((noffH.noffMagic != NOFFMAGIC) &&
      (WordToHost(noffH.noffMagic) == NOFFMAGIC))
    SwapHeader(&noffH);
ASSERT(noffH.noffMagic == NOFFMAGIC);
```

若有成功開啟檔案的話,則呼叫 executable->ReadAt() 去讀取開啟的 file Noff header 的部分。
noffH.noffMagic != NOFFMAGIC 是用來檢查目前與本地的 byte order (little endian, big endian) 是否一致,不一致的話則會用 WordToHost() 去調整成對應的型式,並且再確認一次是否相符,接著使用
SwapHeader() 將 header 的 byte order 換成正確的格式,最後用 ASSERT() 再確保一次增加可靠度。

計算所需空間 size ,需要加上 UserStackSize 留空間給 stack,預設大小是 1024。

```
numPages = divRoundUp(size, PageSize);
size = numPages * PageSize;
ASSERT(numPages <= NumPhysPages);
DEBUG(dbgAddr, "Initializing address space: " << numPages << ", " << size);</pre>
```

numPages = divRoundUp(size, PageSize); 計算 pages 的數量· divRoundUp() 是取上界·算完 pages 的數量後將 size 調整為 PageSize 的整數倍·並且檢查 Pages 數量是否超過 NumPhysPages · 防止 load 到過大的程式。

```
// then, copy in the code and data segments into memory
    // Note: this code assumes that virtual address = physical address
    if (noffH.code.size > 0) {
        DEBUG(dbgAddr, "Initializing code segment.");
        DEBUG(dbgAddr, noffH.code.virtualAddr << ", " << noffH.code.size);</pre>
        executable->ReadAt(
            &(kernel->machine->mainMemory[noffH.code.virtualAddr]),
            noffH.code.size, noffH.code.inFileAddr);
    if (noffH.initData.size > 0) {
        DEBUG(dbgAddr, "Initializing data segment.");
        DEBUG(dbgAddr, noffH.initData.virtualAddr << ", " << noffH.initData.size);</pre>
        executable->ReadAt(
            &(kernel->machine->mainMemory[noffH.initData.virtualAddr]),
            noffH.initData.size, noffH.initData.inFileAddr);
    }
#ifdef RDATA
    if (noffH.readonlyData.size > ∅) {
        DEBUG(dbgAddr, "Initializing read only data segment.");
        DEBUG(dbgAddr, noffH.readonlyData.virtualAddr << ", " <</pre>
noffH.readonlyData.size);
        executable->ReadAt(
            &(kernel->machine->mainMemory[noffH.readonlyData.virtualAddr]),
            noffH.readonlyData.size, noffH.readonlyData.inFileAddr);
    }
#endif
```

再來會用 ReadAt() 將 code 和 data segments load 進 memory。

```
delete executable; // close file
  return TRUE; // success
}
```

最後關閉 files 並且回傳 True 表示成功將程式 load 進 memory。

void AddrSpace::Execute()

File: userprog/addrspace.cc

path: Kernel::ExecAll() \rightarrow Kernel::Exec() \rightarrow ForkExecute() \rightarrow AddrSpace::Execute()

```
void AddrSpace::Execute(char *fileName) {
   kernel->currentThread->space = this;
```

```
this->InitRegisters(); // set the initial register values
  this->RestoreState(); // load page table register
  kernel->machine->Run(); // jump to the user progam
  ASSERTNOTREACHED();
}
```

kernel->currentThread->space = this; 會確保 kernel 有讀取到對的 page table · 理論上其他地方的 code 沒有問題的話 · 這一行可以被省略 · 但是 kernel 必須確保不能有任何錯誤 · 因此會加上這行來增加 kernel 的可靠性 · 接著會將原本放在 state register 的 state restore 回來 · 呼叫 kernel->machine->Run() 開始執行這個 thread。

void Thread::Finish()

File: threads/thread.cc

path: Kernel::ExecAll() → Thread::Finish()

一開始先將 interrupt 關掉‧並且確保 kernel 有跑在目前的 thread 上面。 kernel->execExit 為 true 的話表示所有 threads 執行完後會把該 program 停止。當所有 program 停止時‧則呼叫 kernel->interrupt->Halt() 讓系統停止。但若還有其他 threads 或 program 要跑‧則會呼叫 Sleep() 凍結這個 thread 以讓出 CPU 的資源‧把 TRUE 傳進 Sleep() 表示這個 thread 已經做完了。

void Thread::Sleep()

File: threads/thread.cc

path: Kernel::ExecAll() → Thread::Finish() → Thread::Sleep()

```
void Thread::Sleep(bool finishing) {
   Thread *nextThread;

ASSERT(this == kernel->currentThread);
   ASSERT(kernel->interrupt->getLevel() == IntOff);
```

當 thread 因為某些原因需要等待的話,會執行這個 function 讓出 CPU 資源。一開始的兩個 ASSERT 會確保要睡眠的 thread 是目前這條 thread, 並且 interrupt 是被關閉的。 status = BLOCKED; 會將此 thread 設為不能被調用。接著使用 while 呼叫 FindNextRun() 去尋找有沒有其他 thread 可以被執行,若沒有則會呼叫 kernel->interrupt->Idle() 讓 CPU 進入閒置狀態,若有找到則會呼叫 kernel->scheduler->Run() 去執行下一個 thread。

Thread* Scheduler::FindNextToRun()

File: threads/thread.cc

path: Kernel::ExecAll() → Thread::Finish() → Thread::Sleep() → Scheduler::FindNextToRun()

```
Thread* Scheduler::FindNextToRun() {
    ASSERT(kernel->interrupt->getLevel() == IntOff);

if (readyList->IsEmpty()) {
    return NULL;
} else {
    return readyList->RemoveFront();
}
```

如果 readyList 裡面沒有待執行的 thread,則回傳 NULL,若有的話則會呼叫 readyList->RemoveFront() 回傳下一個要被執行的 thread 並且從 readyList 把他 pop 掉。

void Interrupt::Idle()

File: machine/interrupt.cc

path: Kernel::ExecAll() \rightarrow Thread::Finish() \rightarrow Thread::Sleep() \rightarrow Interrupt::Idle()

```
void Interrupt::Idle() {
   status = IdleMode;
   if (CheckIfDue(TRUE)) { // check for any pending interrupts
      status = SystemMode;
```

```
return; // return in case there's now a runnable thread
}
DEBUG(dbgInt, "Machine idle. No interrupts to do.");
cout << "No threads ready or runnable, and no pending interrupts.\n";
cout << "Assuming the program completed.\n";
Halt();
}</pre>
```

若沒有 interrupt 且沒有 thread 可以被執行,則 Idle() 會假設程式執行完成並呼叫 Halt() 停止運作。

void Scheduler::Run()

File: threads/scheduler.cc

```
path: Kernel::ExecAll() → Thread::Finish() → Thread::Sleep() → Scheduler::Run()
```

這個 function 主要是在做 thread 交換執行的步驟。

```
void Scheduler::Run()Thread *nextThread, bool finishing) {
   Thread *oldThread = kernel->currentThread;

ASSERT(kernel->interrupt->getLevel() == IntOff);

if (finishing) { // mark that we need to delete current thread
   ASSERT(toBeDestroyed == NULL);
   toBeDestroyed = oldThread;
}
```

一開始一樣會先確保 interrupt 被關閉了·若傳進來的 finishing 為 True · 則把舊的 thread 記在 toBeDestroyed。

接著會將當前舊 thread 的 state 存到 thread 自己的 register 以便後續繼續恢復 state 時使用。oldThread->CheckOverflow(); 會檢查舊 thread 有無 overflow (x86 會檢查 stack 是否指到 STACK_FENCEPOST)。

```
SWITCH(oldThread, nextThread);

// interrupts are off when we return from switch!
ASSERT(kernel->interrupt->getLevel() == IntOff);

DEBUG(dbgThread, "Now in thread: " << oldThread->getName());
```

kernel->currentThread = nextThread; nextThread->setStatus(RUNNING); 會將 kernel 自己記錄的 資料更新到執行新的 thread 的狀態,更新後去呼叫 SWITCH() 執行實際的 thread 切換。

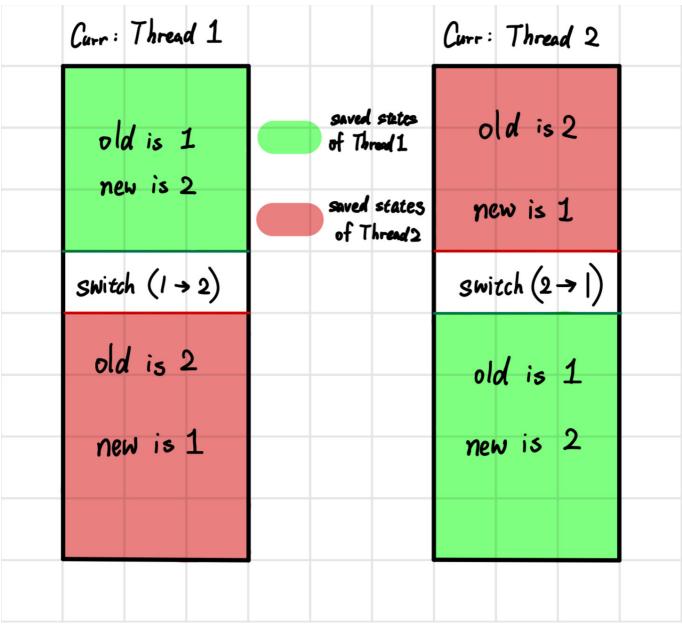
CheckToBeDestroyed() 會檢查 toBeDestroyed (原本的 oldThread) 是否為 NULL · 若不是 NULL 的話則用 delete 釋放記憶體。最後把一開始存在要執行的 thread register 裡面的 state 寫回 machine 的 register。 oldThread->space->RestoreState(); 會把 page table 和 page 數量切換成要執行的 thread 的資料。

SWITCH()

File: threads/switch.S

path: Kernel::ExecAll() → Thread::Finish() → Thread::Sleep() → Scheduler::Run() → SWITCH()

綠色表是正在執行 thread 1,紅色表示正在執行 thread 2



呼叫 SWITCH() 之後,在 switch.S 裡面會根據不同的 ISA 有不同的指令,目的是實際去切換 thread。觀察指令可以發現,他最後是跳到新 thread 的 ra ,意思是會直接跳去新的 thread 跑。流程大致如上圖。由上圖我們也可以看到為什麼 SWITCH() 之後我們需要恢復執行狀態的是 oldThread 而非 nextThread。

Implement page table in NachOS

Kernel

```
class Kernel {
   public:
    ...
   bool phyMemUsage[NumPhysPages]; // physical memory usage
   ...
}
```

```
Kernel::Kernel(int argc, char **argv) {
   for (int i = 0; i < NumPhysPages; i++) {
      phyMemUsage[i] = FALSE;
   }
   ...
}</pre>
```

為了要記錄 physical frames 的使用狀況,所以在 kernel 裡面開一個陣列來記錄, TRUE 代表占用, FALSE 代表沒被使用,在一開始 kernel 建構的時候就會全部初始化成 FALSE。

AddrSpace

```
bool AddrSpace::Load(char *fileName) {
    ...
    numPages = divRoundUp(size, PageSize);
    size = numPages * PageSize;

    int availableNumPhysPages = 0;

    for (int i = 0; i < NumPhysPages; i++) {
        if (!kernel->phyMemUsage[i]) {
            availableNumPhysPages++;
        }
    }

    if (numPages > availableNumPhysPages) {
        DEBUG(dbgAddr, "Not enough memory to load " << fileName << "\n");
        ExceptionHandler(MemoryLimitException);
        return FALSE;
    }
    ...
}</pre>
```

為了要更好的分配 frames 給不同的 threads · 因此將 page table 的建構移至 Load() 裡面實作 · 若還能分配的 frames 數量比新的 thread 需要的 pages 數量少的話 · 那就需要 raise MemoryLimitException · 表示記憶體空間不夠分配給這個 thread。

```
pageTable[i].physicalPage = j;
    kernel->phyMemUsage[j] = TRUE;
    for (int offset = 0; offset < PageSize; offset++) {
        kernel->machine->mainMemory[j * PageSize + offset] = 0;
    }
    break;
}

kernel->machine->pageTable = pageTable;
kernel->machine->pageTableSize = numPages;
...
}
```

按照 size 算出來的 numPages 開一個對應大小的 pageTable · 用 first fit 的方法把 virtual pages 對應到 physical frames · 並且將所有 bytes 的初始值設定成 0 · 接著更新 machine 的 pageTable 和 numPages 。

```
bool AddrSpace::Load(char *fileName) {
    unsigned int physicalAddr;
    if (noffH.code.size > 0) {
        DEBUG(dbgAddr, "Initializing code segment.");
        DEBUG(dbgAddr, noffH.code.virtualAddr << ", " << noffH.code.size);</pre>
        Translate(noffH.code.virtualAddr, &physicalAddr, 1);
        executable->ReadAt(
            &(kernel->machine->mainMemory[physicalAddr]),
            noffH.code.size, noffH.code.inFileAddr);
    if (noffH.initData.size > 0) {
        DEBUG(dbgAddr, "Initializing data segment.");
        DEBUG(dbgAddr, noffH.initData.virtualAddr << ", " << noffH.initData.size);</pre>
        Translate(noffH.initData.virtualAddr, &physicalAddr, 1);
        executable->ReadAt(
            &(kernel->machine->mainMemory[physicalAddr]),
            noffH.initData.size, noffH.initData.inFileAddr);
    }
#ifdef RDATA
    if (noffH.readonlyData.size > 0) {
        DEBUG(dbgAddr, "Initializing read only data segment.");
        DEBUG(dbgAddr, noffH.readonlyData.virtualAddr << ", " <</pre>
noffH.readonlyData.size);
        Translate(noffH.readonlyData.virtualAddr, &physicalAddr, 0);
        executable->ReadAt(
            &(kernel->machine->mainMemory[physicalAddr]),
            noffH.readonlyData.size, noffH.readonlyData.inFileAddr);
#endif
}
```

將要被放進 data 的 virtual address translate 成 physical address 後,再 load 進實體記憶體裡面。

```
AddrSpace::~AddrSpace() {
    if (pageTable) {
        for (int i = 0; i < numPages; i++) {
            kernel->phyMemUsage[pageTable[i].physicalPage] = false;
        }
    }
    delete pageTable;
}
```

在 AddrSpace 的解構子裡面加上還原 kernel->phyMemUsage 的步驟,在 thread 結束被 delete 時會將原本 占用的 frames 釋放。

Thread

```
Thread::~Thread() {
    DEBUG(dbgThread, "Deleting thread: " << name);
    ASSERT(this != kernel->currentThread);
    if (stack != NULL)
        DeallocBoundedArray((char *)stack, StackSize * sizeof(int));
    if (space != NULL) {
        delete space;
    }
}
```

在 Thread 的解構子裡面新增 delete space 的功能,在 Thread 被解構時也要解構 spcae 以釋放空間。

Testcase

```
// consoleIO_large.c
#include "syscall.h"
#define SIZE 2048

int arr[SIZE];

int main() {
    int i;
    for (i = 0; i < SIZE; i++) {
        PrintInt(i);
    }
    return 0;
}</pre>
```

經過測試·SIZE 是 4096 時會 MLE·而 SIZE 是 2048 時不會·為了測試開啟第二個 thread 不夠的狀況·因此把 SIZE 設定成 2048·執行測試時開啟兩份 consolelO_large.c 測試功能是否正常。

Problems

1. How does NachOS allocate the memory space for a new thread (process)?

Address Space Setup: A new AddrSpace object is created for new thread, defining the virtual memory layout.

Memory Segmentation: Memory is divided into segments for code, data, and stack.

Page Table Initialization: A simple page table maps virtual pages to physical frames, setting up translation for the process's memory accesses.

Stack Allocation: Each thread within a process has a fixed-size stack at the top of the address space.

Program Loading: The executable code and data are loaded into memory, mapped according to the page table.

2. How does NachOS initialize the memory content of a thread (process), including loading the user binary code in the memory?

NachOS reads the code and initialized data segments from the executable file and writes them into the allocated physical memory, as specified by the page table mappings.

3. How does NachOS create and manage the page table?

在 Implementation Part 已經說明。

4. How does NachOS translate addresses?

NachOS uses a page table to translate virtual addresses to physical addresses, enabling processes to work within their own address spaces independently.

5. How NachOS initializes the machine status (registers, etc) before running a thread (process)?

- 1. Setting Up the Program Counter
- 2. Initializing the Stack Pointer
- 3. Zeroing Out Other Registers
- 4. Saving and Restoring Register States
- 5. Setting Up the Address Space

6. Which object in NachOS acts the role of process control block?

觀察 Thread 這個 class 可以發現,他存放了 thread 的執行狀態、page table...等資訊,因此在 NachOS 裡面,PCB 這個功能是由 Thread 這個 class 來負責。

7. When and how does a thread get added into the ReadyToRun queue of NachOS CPU scheduler?

- 1. Thread Creation
- 2. Thread Yielding
- 3. Thread Wake UP ReadyToRun() put this thread into ready queue and set the thread's status into "READY", waiting for execution.