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叙利亚哈布尔河流域的哈拉夫环境与人类活动

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来源: 期刊 田野考古 ,1992 年秋季,第 19 卷,第 3 期 (1992 年秋季) ,页 315-333

出版商:Taylor & Francis, Ltd.

稳定网址: <https://www.jstor.org/stable/529920>

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# The Halaf Environment and Human Activities in the Khabur Drainage, Syria

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*Archaeobotanical studies frequently focus on single site economies; as a result, relatively few such studies in the Near East use plant remains to develop regional perspectives. By comparing farming practices and evidence for plant resources in the vicinities of two contemporary Halaf sites in different environments, this paper offers a first attempt to examine how peoples of the 5th millennium B.C., linked by their material culture, differed in their adaptation to local environments.*

## Introduction

In the late 6th millennium B.C., a distinctive painted ceramic ware began to appear in the northern Jezireh (between the Euphrates and Tigris Rivers) of Syria and Iraq (FIG. 1, inset). Named for the site where it was first identified (von Oppenheim and Schmidt 1943), the Halaf ceramic period spans 800–1000 years (Watson and Campbell 1987: 451–453; Hours and Copeland 1987: 421; Davidson 1977: 350–353; Watson 1983: 238), during which this handsome pottery became widely distributed (LeBlanc and Watson 1973: 117). Archaeologists have determined that most Halaf settlements were small (ca. 1 ha) (Watson 1983: 239, after Davidson 1977; Hijara 1980: 252, 272) and typically were occupied only intermittently. By the Late Halaf period (ca. 5000–4500 B.C.) painted Halaf ceramics were extensively exchanged or otherwise regionally distributed (Davidson and McKerrell 1976: 53). At most excavated Halaf sites are “tholoi,” structures that probably were domed, and some of which may have been employed for crop storage (Akkermans 1987: 26, 1989: 59–66; Seeden 1982: 74, 91). Although Halaf sites are usually identified as villages (Hijara 1980: 251–258, 272; Mellaart 1975: 161, 169), we understand little about the respective roles of farmers, pastoralists, seasonality, or full-time occupation during the period, or whether differences in settlement sizes reflect different political or economic functions. In the patterns of exchange of materials such as obsidian (Renfrew, Dixon, and Cann 1966) and ceramics (Davidson and McKerrell 1976), some authors see evidence of ranked societies (Watson 1983: 241), but while the Halaf culture has been characterized as an agricultural society raising dry-farmed crops and domesticated animals (Watson 1983: 238–239), there has been little research directed at

determining how the Halafians lived and why they settled in the upper Jezireh.  
确定哈拉夫人的生活方式以及他们为何定居在上耶西拉。

## Problems in Late Halaf Period Economies

In attempting to redress this situation by reconstructing site economies and their regional integration, specific questions arise: 1) What were local environments like during the Halaf period? 2) How did people adapt to them? 3) Were site occupations seasonal or permanent? 4) Did farmers throughout the Halaf cultural region use the same techniques? 5) What was the role of herding? 6) Were perishable materials such as agricultural products also widely distributed in the manner of Halaf ceramics? 7) What ecological and environmental changes induced by human or natural agents can be detected that were related to Halaf resource uses?

To address these questions, this paper presents an analysis of plant remains from two Late Halaf sites situated in quite different environments in the Syrian Jezireh, and attempts to reconstruct aspects of each site's economy.

为了了解这些问题,本文对叙利亚耶齐拉地区两个环境迥异的晚期哈拉夫遗址的植物遗存进行了分析,并尝试重建每个遗址的经济状况。这些方法大量借鉴了其他植物考古学家的工作(Fasham和Monk 1978; Hillman 1984a, 1984b, 1985; Hubbard 1975, 1976; Jones 1984, 1987; Miller 1984a, 1984b, 1988; Miller and Smart 1984; Popper 1988; Wilcox 1974)。为了建立在他们的工作基础上的工作模型进行比较,必须强调空旷环境变化和抽样设计,应用植物模型进行比较,必须强调空旷环境变化和抽样设计,以确保真实的差异。

The two sites, Tell Aqab and Hamm Oseir (FIG. 1), lie in the Khabur River drainage. Excavations produced closely comparable ceramic assemblages (suggesting contemporaneity) and uncovered tholos foundations buried in ashy debris; despite their similar stratigraphy and cul-

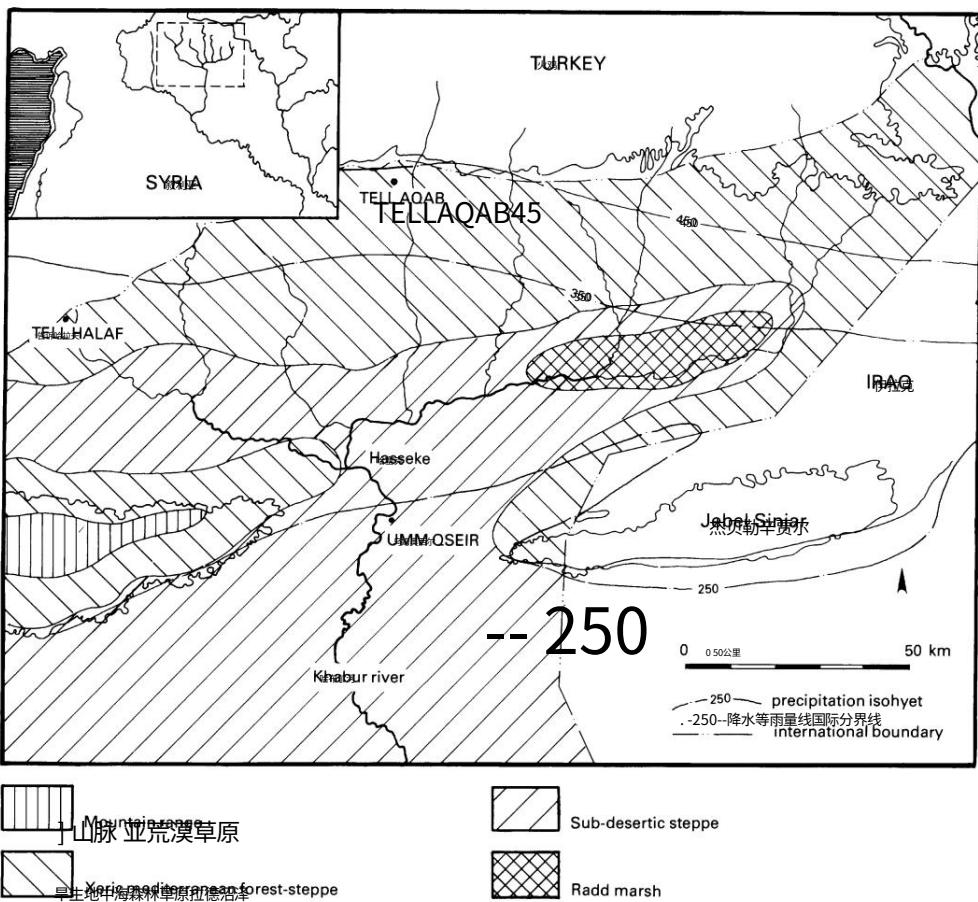


Figure 1. Location of sites discussed in the text and modern precipitation isohyets and vegetation zones in the Khabur drainage, Syria.

tural assemblages, however, Tell Aqab and Umm Qseir occupy what are today two contrasting settings that one might characterize as representing opposite ends of a continuum of resources and environments. This paper explores the nature of local environments during the 5th millennium B.C., and through interpretation of the Late Halaf plant remains, begins to reconstruct economic adaptations and subsequent environmental changes in the Khabur area 7000 years ago.

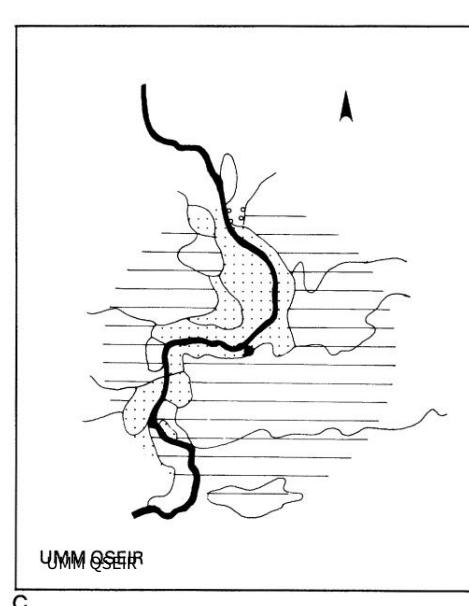
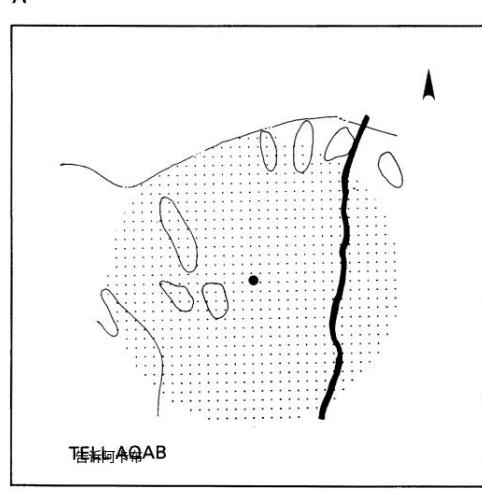
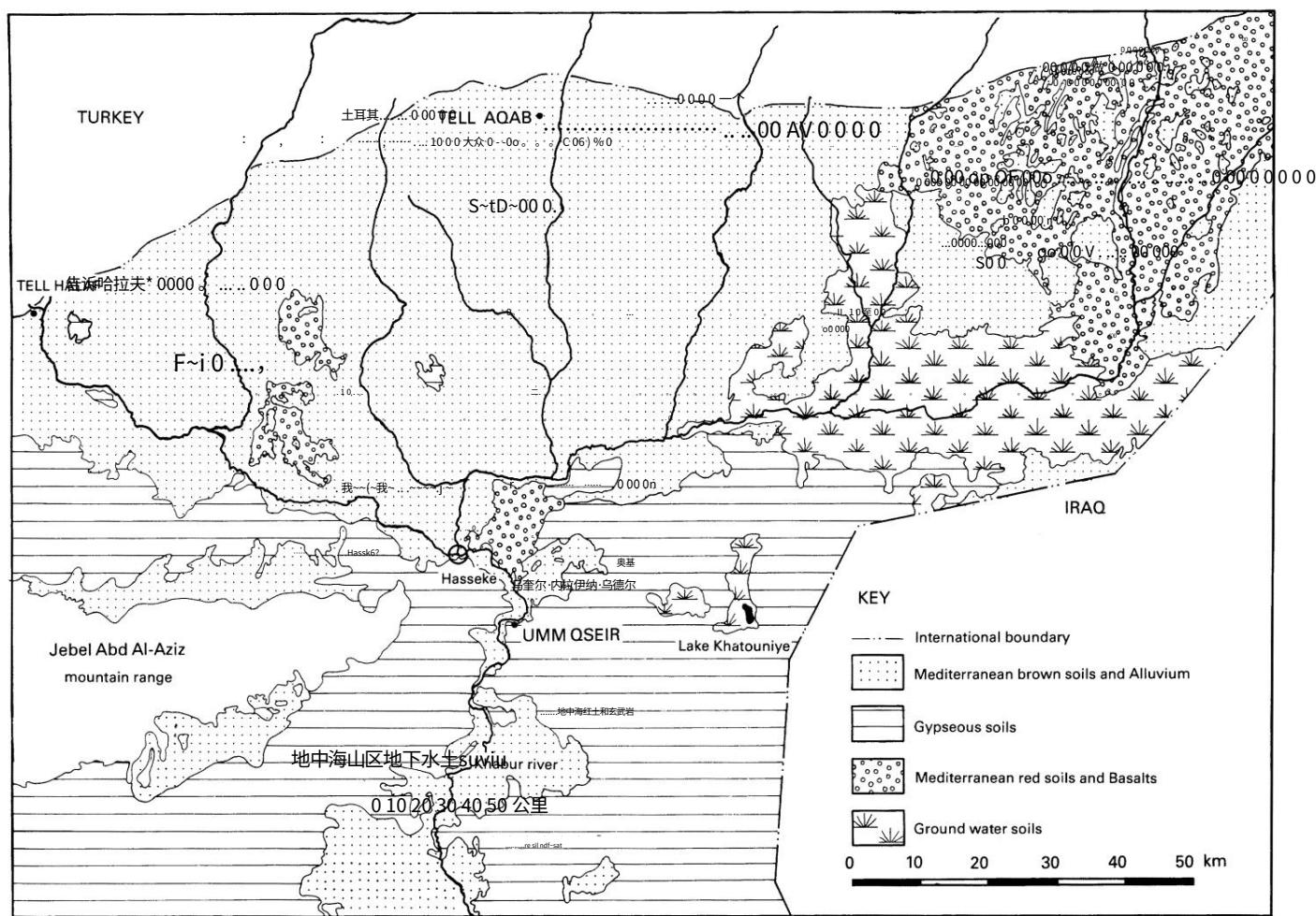
## The Sites

Umm Qseir and Tell Aqab were selected for archaeological study to provide potentially contrasting contemporary pictures of the Halaf culture, on the assumption that there would have been environmental differences between the sites comparable to what we see today. These modern differences are reflected principally in rainfall, vegetation, and soil types (FIGS 1, 2). While all these may have changed somewhat over 7000 years, the two sites lie in

distinctly different bioclimatic zones (UNESCO/FAO 1963) and the environmental differential between the sites is unlikely to have altered substantially over time.

Tell Aqab, excavated in the late 1970s by a team from Edinburgh University, produced only a limited exposure of the Late Halaf phase ( $8 \times 10$  m), which appears at the base of a step trench. The only architectural feature in this exposure was a tholos wall, over which spilled an extensive ashy midden (Davidson and Watkins 1981: 5). Since no other structures were fully excavated, and none of the midden material can be clearly associated with the function of the tholos itself, no architectural evidence exists to suggest how the site and surrounding resources might have been used. Tholoi have been interpreted as storage structures at other Halaf sites (Seeden 1982: 74, 91; Akkermans 1989: 59–66), but this does not answer the question of how often and how long people occupied the site.

The excavated architecture of Umm Qseir greatly resembles that of Tell Aqab. In a  $7 \times 10$  m trench, a single



**Figure 2. Major soil groups in the Khabur drainage (after van Lier 1964). A) Map of Khabur drainage; B) Detail of 5 km radius around Tel Aqab showing soil types within major groups; C) Detail of 5 km radius around Tel Aqab showing soil types within major groups.**



Figure 3. Cultivation on Mediterranean brown soils in the Khabur drainage.

tholos was discovered during a Yale University excavation in 1986. Like the deposit at Tell Aqab, the eroded tholos wall was covered by several meters of ashy midden. Since Umm Qseir also lacked clear evidence of households, reconstructing settlement from the tholos and midden is equally problematic without studying plant remains and animal bones.

Most Late Halaf sites are within the dry periphery of the Mediterranean zone, which here means a climatic belt at the edge of tropical deserts (Raven 1973: 214) that includes characteristic rainfall patterns (winter only), soils, and vegetation. While Tell Aqab, like most Halaf sites (Davidson 1977: 11–13; Hijara 1980: 234–235), lies at this Mediterranean periphery in the Near East, Umm Qseir is in a more southerly subdesertic zone (UNESCO-FAO 1963) that experiences greater aridity and greater winter cold.

Tell Aqab is about 8 km sw of Amouda and lies well within the modern 400 mm isohyet (Syrian Meteorological Department 1977), which ensures ample annual rainfall for agriculture. Dry-farming is reliable and productive on the rich Mediterranean-steppe brown soils adjacent to the site (Muir 1951: 172, 174; Reifenberg 1952: 76) (FIG. 3). These soils are alluvial (Reifenberg 1952) and largely of Quaternary montane origin (van Liere 1960–

1961: 41–45). It is unclear how many of these soils represent recent deposits; there has clearly been some deposition since Tell Aqab was occupied, resulting from ancient deforestation of the foothills of Anatolia (Rowton 1967: 275–277; Miller 1986: 89; Wilkinson 1990: 100).

虽然泰勒阿卡布视线范围内的托罗斯山脉在古代提供了丰富的木材来源,但如今与遗址相邻的土壤也可能支持开心果橡树(*Pistacia lentiscus* L. *Quercus ilex* L. et Sp.)、草原森林(Pabot 1957: 64–80; 联合国教科文组织、粮农组织1969: 64; Zohary 1973: 585–586)。由于气候包括典型的降雨模式(*Pistacia lentiscus* L.)、土壤、有点大陆性气候,冬季寒冷,其他决定因子和植被。虽然泰勒阿卡布与大多数哈拉夫森林(Pabot 1957: 64–80; UNESCO-FAO 天文台(Davidson 1977: 11–13; Hijara 1980: 234–235)一样,位于近东地中海边缘的潮湿地区,但 1969: 64; Zohary 1973: 585–586)。因为气候是大陆性的,冬天寒冷,其他决定因子和植被。虽然泰勒阿卡布与大多数哈拉夫森林(Pabot 1957: 64–80; UNESCO-FAO 天文台(Davidson 1977: 11–13; Hijara 1980: 234–235)一样,位于近东地中海边缘的潮湿地区,但

Quite different from the location of Tell Aqab is that of Umm Qseir, about 10 km south of Hasseke and the montane alignment of Jebel Abd al Aziz and Jebel Sinjar. 这被视为旱作界限的一条经验法则,作物歉收是旱作边界的常见情况(图1)。周围的土壤(图3)也使旱作不高效,因为这些土壤大部分是第四纪山地冲积土(van Buren 1950–1975)。除了旱作狭窄的 Johnson 1986–1987: 172). Umm Qseir is in the valley of the Khabur River but beyond the 250 mm rainfall isohyet, which is today regarded as a rule-of-thumb boundary for the limits of dry farming, where crop failure is a common experience (Davies 1957: 127) (FIG. 1). The soils around the site also make dry farming unproductive since, with the exception of the alluvium in a very narrow river valley,

在半径 10 公里以内及以外的所有土地习为石膏质母材 (Muir 1951: 171; van Liebre 1964) (图 2), 且趋同于高盐度值。毗邻马格墨塞尔的美素不达米亚草原的顶 (1964: FIG. 2) 和 tend toward high salinity values. The 级植被无疑与毗邻泰勒阿卡布的北部干热 (UNESCO-FAO 1969: 78) 地中海森林 climax vegetation of the Mesopotamian steppe adjacent to 顶原截然不同。古基克南部的亚沙漠气候冬季寒冷, 夏季酷热干旱, 禁止大多数树木生长, 最有可能的是以苦艾 (*Artemisia herba-alba* Asso.) 为主的草原, 木本植被 (Pabot 1957: 76, 80; Zohary 1973: 473, 478-480) (图: 78) Mediterranean forest-steppe adjacent to Tell Aqab. With cold winters and searing summer heat and aridity, the subdesert climate south of Hasseke prohibits most tree growth, and a wormwood (*Artemisia herba-alba* Asso.) dominated steppe, with dwarfed woody vegetation, is most likely (Pabot 1957: 76, 80; Zohary 1973: 473, 478-480) (FIG. 4).

In comparing soil maps of the two regions, one notes the patchiness of different soil types around Umm Qseir, contrasting with undifferentiated, rich soils at Agab (van Lierc 1964: FIG. 3). The homogeneity of soil types at Agab (and thus the potential for homogeneous plant cover) is corroborated by studies of clay sources in the adjacent Wadi Dara system. These afford a closer examination of regional soil chemistry (Davidson 1981: 71). The samples from near Tell Aqab clustered closely in trace-element composition (Davidson 1981: 70), a pattern

这可能表明整个研究系统中土壤的同质性，尽管对于植物区系和植被系统的分化而言，土壤的物理性质远比化学性质重要 (Sankary, 1971; 115-121; Zohary, 1981: 39)。这一点在恩格塞尔地区具有一定意义，那里的石膏质土壤上不均匀分布，但并不妨碍丰富的植被 (Zohary, 1981: 39)。这点是值得注意的，因为即使在最佳条件下，它们分化成不同的群落。

泰勒阿卡布和乌姆格塞尔如今的环境差异无疑反映了公元前五十年代初的同样巨大的反差。从遗址的位置来看，人们可以合理地预期泰勒阿卡布的哈拉夫居民已经实践了旱作农业（Davidson 1977:11-12；Davidson and Watkins 1981:1-3），而乌姆格塞尔由于地理位置不佳，无法维持农业经济，可能成为游牧民或牧民利用草原饲料的营地（Hole and Johnson 1986-1987:172-173；Melinda Zeder and Elizabeth Myler, personal communication, 1989）。虽然从发掘出的建筑中几乎没有发现有关遗址经济状况的信息，但对植物遗迹的研究将有助于我们对这些遗址的了解。

**Figure 4.** Modern climax vegetation in the *Artemisia herba-alba* Asso. steppe south of the Jebel Abd al Aziz.



## **Sampling Charred Plant Remains**

烧焦植物遗骸的取样如果要比较泰勒阿卡布遗址和

If a comparison of plant remains from Tell Agab and Umm Osseir is to be used to provide economic and environmental data for the late Halaf period, one of the first concerns is whether differences in sampling or preservation have created spuriously divergent results. In order to study differences due to the environment and economy, one must examine variables affecting the composition of an archaeobotanical sample, such as context and recovery of charred plant remains.

## *Recovery*

# 恢复

The plant remains examined in this article were recovered from Late Halaf levels sampled at the two sites described above. The material from Umm Qseir was recovered and floated in 1986: the excavators used the finest mesh available locally (about 350  $\mu$ ), retaining all recovered charred material relatively small samples (2–4 liters) of ash lenses containing animal bone and diagnostic pottery. As the floats were small (143 cc total), all fragments greater than 500  $\mu$  (0.5 mm) diameter were sorted under low magnification (7 $\times$ –10 $\times$ ) in the laboratory. Fragments smaller than this are usually parts of plant structures (seeds, bracts, glumes, capsules, etc.) represented largely intact in the >500  $\mu$  fraction. As very few intact seeds smaller than 500  $\mu$  in diameter can be identified under low magnification, this float fraction was extensively scanned for identifiable fragments rather than intensively sorted (removal of all identifiable fragments). In low-power scanning, the float fraction was scanned for identifiable fragments, rather than for small fragments.

Wood charcoal fragments were recovered from the fraction from碎裂的灰烬沉积物中回收了木炭碎片, 直径 $\leq 1$ 毫米, 但几乎没有一个达到2毫米, 同样是同源的, 在两个遗址, 挖掘 $\geq 1$ 米深, 但几乎没有人达到2毫米在直径。大多数植物碎片, 其中949块木炭层下腐层和上腐层似乎是与原始生长期无关的贝冢万能 (Note 和 Johnson 1986) 和 very few have the full growth ring necessary for ready identification. From a total of 2211 plant fragments, 949 遗址的其他大型样本) proved identifiable and formed the basis of the analysis.

The plant remains from Tell Aqab were recovered when the site was excavated in the early 1970s. The excavators floated the entire Late Halaf ashy deposits overlying the tholos walls in Trench 2, and although the volume was not recorded it was said to be "large" (Davidson and Watkins 1981: 5; Thomas E. Davidson, personal communication, 1988). (Other "large" samples from the site consisted of five level wheelbarrows of earth. Since a wheelbarrow holds about 30 liters, the samples approached 150 liters in size.) The soil was processed with a Cambridge Mark III froth flotation machine (Thomas E. Davidson, personal communication, 1988), which generated a total of 407 cc of float, a volume much greater than that recovered from the deposits at Umm Qseir. Only 73 cc (18%), however, were sorted in the laboratory using

一种增量采样技术，以确保记录有常见分类单元（Fasham and Monk 1978）。与乌姆格塞尔样本一样，直径小于 500 RL 的烧焦物品被扫描，而更大的部分则被集中分选。虽然从泰勒阿卡布发掘出的烧焦植物遗骸的体积比乌姆格塞尔的多得多，但只有一部分泰勒阿卡布漂浮渣被分选。通过在实验室中使用这种种子采样方法，分离出一个估计与乌姆格塞尔不可比的样本用于进一步研究。因此，乌姆格塞尔沉积物在现场进行了子采样，而泰勒阿卡布的沉积物在实验室进行了子采样，随后将讨论的那样，这种方法的差异并没有引入样本的差异，而是提供了一种检查，即两个地點都已充分采样以记录一些较为稀有的分类单元。

## Context 在语境中

<sup>倍至 10 倍)</sup>  
In selecting such similar archaeological deposits for analysis, I assumed that many similarities later evident in the composition of plant remains were the result of similar taphonomic processes rather than convergent results of very different deposition and preservation processes. The soil samples from which charred plant remains were extracted at Umm Qseir and Tell Aqab were from very similar stratigraphic contexts, suggesting closely comparable taphonomic histories—an interpretation corroborated by internal evidence, namely a) the physical condition and preservation of the charred remains, and b) the composition of taxa and plant parts in the samples.

The stratigraphic contexts of the ashy deposits sampled from walls were varied; they included samples with no discernible tree-ring patterns, and others with thin, dark, laminated layers of ash. In the Tell Halaf area, the ashy deposits were found in association with the remains of trees and shrubs, and in some cases, the remains of animals. The ashy deposits were found in various contexts, such as in the walls of circular structures, around the bases of columns, and in the floors of rooms. The ashy deposits were found in various contexts, such as in the walls of circular structures, around the bases of columns, and in the floors of rooms.

At Tell Aqab a large ashy deposit partially filled a collapsed Halaf tholos and extended over the stump of the tholos wall. Stratigraphically the deposit represents a hidden infill over a disused structure. Like the middens at Umm Qesir, it is at best a secondary cultural context representing the mixing of hearth ashes from many events of food preparation, cooking, and fuel use, and the plant remains must be regarded as an aggregate of the range of activities that exposed plants to hearth fires on the site.

As midden material in secondary, if not even more distantly derived, cultural contexts the plant remains offer the greatest amount of information if interpreted as an

Table 1. Sample sizes and counts from Halaf sites.  
表1. Halaf 站点的样本大小和数量。

	Umm Qseir 乌姆·卡塞尔	Tell Aqab 特拉卡布
Sample size (毫升) 样品量(毫升)	143407 143	407 73
Volume of sorted seeds (毫升) 分类后的体积(毫升)	143 73	
Number of archaeological deposits 考古堆积数量	3	3
Sample size 样本量	3 3	
Raw Counts: 未计数:		
Grains 谷物		
Emmer wheat ( <i>Triticum dicoccum</i> 山羊草)	76 76	22 22
Barley (6行) ( <i>Hordeum vulgare</i> ) 大麦(6行)( <i>Hordeum vulgare</i> )	45 0 30 31	0 31
Barley (2行) ( <i>Hordeum vulgare</i> ) 大麦(2行)( <i>Hordeum vulgare</i> )	0	1
Finger millet ( <i>Eleusine coracana</i> ) 手指米( <i>Eleusine coracana</i> )		
Legumes 豆类		
Lentil ( <i>Lens culinaris</i> Medik.) 扁豆( <i>Lens culinaris</i> Medik.)	87 87	3 3
Bitter vetch ( <i>Vicia ervilia</i> [L.]) 苦豆( <i>Vicia ervilia</i> [L.])	9 9	1 1
Wild rye (野生.) 野生稻(野生.)	2 2	0 0
Chickpea ( <i>Cicer arietinum</i> ) 鹰嘴豆( <i>Cicer arietinum</i> )	1 1	0 0
Pearl barley (sp.) 珍珠谷子(sp.)		
Chaff 谷壳		
Emmer rachis and glume 小麦的穗轴和颖片	535 535	143 143
Barley rachis and glume fragments 大麦穗轴和颖片	8 8	0 0
Cereal straw 谷物稻草	20 20	4 4
Weeds 杂草		
Wild barley ( <i>Hordeum spontaneum</i> 野大麦( <i>Hordeum spontaneum</i> ) C. Koch.) 80	8 8	0 0
Maygrass ( <i>Phalaris</i> sp.) 五月草( <i>Phalaris</i> sp.)	4 4	0 0
Bromegrass ( <i>Bromus</i> sp.) 雀麦( <i>Bromus</i> sp.)	2 2	0 0
Goat grass ( <i>Aegilops</i> sp.) 山羊草( <i>Aegilops</i> sp.)	2 2	3 3
Goat grass chaff (cf. <i>Aegilops</i> 山羊草稻草( <i>Aegilops</i> ) crassa)	69 69	0 0
Polygonum type 0 7 多边形类型 0 7	0 0	7 7
Beloperone guttata 7 0 贝勒波内利亚属 7 0	7 7	0 0
Hypoxis sp. 黄花金合欢属 1 0	1 1	0 0
Vaccaria pyramidata Medik. 王不留行( <i>Vaccaria pyramidata</i> Medik.)	0 0	4 4
Carex (Spike) sp. 苔草( <i>Carex</i> sp.)	0 0	4 4
Astragalus type 1 8 1 黄芪(型 181)	20 20	181 181
Scamandra mucinutus 塞氏氏属 0 1	0 0	1 1
Scorzonera scorzonoides 紫花地丁( <i>Scorzonera scorzonoides</i> [L.] Kuntze) 1 0	1 1	0 4
Tragopogon 胡芦巴属 1 4	1 1	4 4
Molinia 禾本科 1 0	0 0	10 10
Triplaris ( <i>Triplaris</i> sp.) type 0 2 三裂草( <i>Triplaris</i> sp.)类型 0 2	0 0	2 1
Lathyrus 链状豆 1	0 0	1 1
Buglossoides arvensis L. 紫草( <i>Buglossoides arvensis</i> L. Johnston) 9 0	9 9	0 0
Wild plants 野生植物		
Purple poppy-mallow ( <i>Poppyaceae</i> ) 紫花锦葵科	2 2	0 0
Wild blackberry ( <i>Rubus sanctus</i> 野黑莓)	2 2	0 0
Wild pistachio ( <i>Pistacia khinjuk</i> Stokes)	1 1	0 0
Woods and dung fuel 木材和粪便燃料		
White poplar ( <i>Populus</i> sp.) 柳树(白杨属)	3 3	0 0
Almond ( <i>Prunus</i> sp.) 4 0 杏( <i>Prunus</i> sp.) 4 0	4 4	0 0
Ash ( <i>Fraxinus</i> sp.) 0 1 白蜡树( <i>Fraxinus</i> sp.) 0 1	0 0	1 1
Juniper ( <i>Juniperus</i> sp.) 0 2 杜松( <i>Juniperus</i> sp.) 0 2	0 0	2 2
Number of identifiable items 可识别的项目数	949 26	425 19
Number of taxa (indicators) 分类单元数量(指示物种)	26 19	
Total number of seeds sorted 总计种子数	1494 717	605 147
Total number of chaff fragments 总计谷壳碎片总数	717 717	147 147
Total number of items 总计项目数	2211 2211	752 752

averaged set of burning activities on the site. These activities are better and more extensively identified and discussed elsewhere (Hillman 1984a, 1984b, 1985) but it is relevant to repeat here that they reflect a mixture of agricultural processing, collection of wild plants, and fuel use on the site (Miller 1988: 80).

The two samples of plant remains discussed are from 两份植物样本的考古结果非常相似,以至于在每一处都发现了开心果和豌豆草 在该地区其他遗址中已知 closely comparable archaeological deposits and were sampled in such a way that at each site rarer taxa (e.g., flax, pistachio, and catchfly—known to be in relatively low numbers at other sites in the Near East) were recovered (TABLE 1). As mixed deposits consisting of many burned residues, these muddens would be expected to have very similar diversity, for while yielding different taxa, they should reflect similarly mixed residues of burned material.

To compare diversity of the samples statistically would require simulation studies such as proposed by McCartney and Glass (1990: 533). Both richness (number of taxa) and evenness (distribution of items among taxa) are sensitive to sample size but richness less so (McCartney and Glass 1990: 525, 533; Magurran 1988: 72–73). Richness is greater at Umm Qseir with 26 taxa (compared with 19 at Tell Aqab) where small samples precluded using incremental subsampling to be certain that rarer taxa are represented in the counts.

It is most likely that a combination of different regional environments and economic activities can account for most variations in the associations of taxa represented by the samples. That is, if no differences can be observed between the charred plant remains from Umm Qseir and Tell Aqab, then in the Late Halaf the two sites had similar environments and their inhabitants practiced similar plant food procurement strategies.

## Analytical Methods Using Modern Activity and Vegetation Models 利用现代活动和植被模型

Assuming that prehistoric regional environments and economic activities were the critical variables determining composition of charred plant remains recovered from the two sites, analysis must seek to identify 1) differences in sample composition and 2) the contributions of each activity to these differences.

Fortunately, both vegetation types and agricultural activities have been studied in the Near East and described with the use of environmental models and activity models. Based on the modern observed associations of plant taxa with specific environments or human activities, these models identify plant taxa (indicator species) with a) physical properties that suggest they derive from a particular activ-

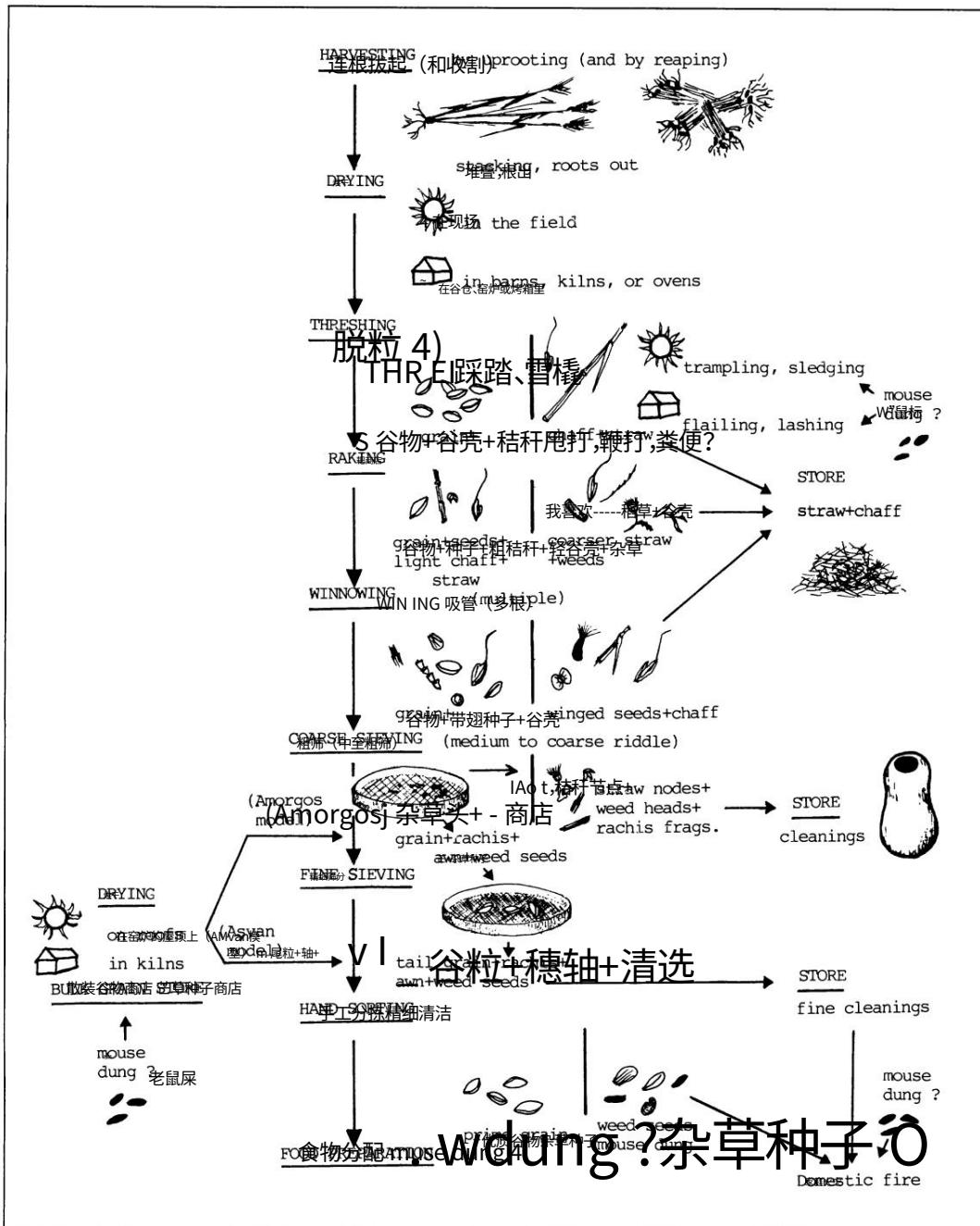


Figure 5. Ethnographic model of the stages of crop processing (after Hillman 1984a, 1984b; Jones 1984).

ity (activity models) or b) narrow ecological requirements (生态模型), or c) a niche found particularly frequently (识别出一个特别频繁出现的利基市场)

1. An early stage of analysis must determine the contributions of different activities to the composition of the different samples (Hillman 1984a). 使用类似作物加工示例的模型,可以推测特定植物标本是如何到达某个地点,以及如何被烧焦并融入贝丘沉积物的。考古植物学家已经为近东不同地区提出了详细的作物模型同上式模型。实地考察的结果(见表2)为分析提供了生工具和布腊模型所需的分析数据。这些模型有助于解释居住在该地区的居民的饮食,在那里采集了大量的野生植物的药用和燃料用途的信息。第一阶段的分析从识别人类活动开始。

in one type of vegetation (environmental models<sup>2</sup>). Indicator species in archaeological contexts then suggest that

<sup>1</sup>植物标本是如何到达某个地点,以及如何被烧焦并融入贝丘沉积物的。考古植物学家已经为近东不同地区提出了详细的作物模型同上式模型。实地考察的结果(见表2)为分析提供了生工具和布腊模型所需的分析数据。这些模型有助于解释居住在该地区的居民的饮食,在那里采集了大量的野生植物的药用和燃料用途的信息。第一阶段的分析从识别人类活动开始。

<sup>2</sup> 2. The second stage of analysis moves from identifying human activ-

these activities or ecological requirements were operative in the past. For example, to apply models of an agricultural activity such as crop processing (e.g., Hillman 1984a; 1984b; 1985; Jones 1984; FIG. 1), one first identifies indicator taxa and plant tissues in the archaeological sample. Next, the modern models are consulted to identify both the specific activity (modern parameters) that contributed to the archaeological deposit and other taxa associated with the same activity, including taxa that may not have been preserved archaeologically. The application of these models in a presence analysis depends on species richness, or number of taxa present, rather than species evenness, or distribution of plant remains among taxa.

In analyzing differences between the two sites, I considered both presence/absence of taxa and ratios of taxa to sample volumes (Miller 1988: 73–76). Application of models relies on presence of indicator taxa or plant tissues (Hillman 1984a: 7–8; 14; Hubbard 1975; 1981–1976; Hubbard 1975; 1981–1976; Jones 1987: 314–315). Presence analysis has proven a useful tool in making comparisons between sites (e.g., Hubbard 1975, 1976; Willcox 1974; Popper 1988: 60–64) and clearly constitutes a first step in developing a method to examine sites in their regional economic and environmental contexts. In certain cases ratios provided a

useful way to reconstruct a local environment for the time in which a site was occupied. Usually other plant remains such as pollen and phytoliths are employed in environmental reconstruction (Dimbleby 1967: 112–120; Pearseal 1989: 245, 294–295, 338; Piperno 1988: 200–201), but the evidence from charred plant remains is often complementary to such studies. In the upper Khabur drainage, pollen analyses have proved unfruitful because there are no appropriate deposits from which to core a long sequence (Gremmen and Bottema, in press; Bottema 1989: 7); moreover, no phytolith analyses have been attempted, perhaps because of the lengthy investment in building a reference slide collection.

Almost all charred plant remains represent plants selected by humans from the ancient environment. By arguing that they are naturally filtered (Godwin and Tansley 1941: 117–118), and cannot provide an unbiased sample of diversity in the surrounding plant community, some botanists have rejected them in environmental reconstruction. But activity models are employed to reconstruct the cultural filters; when we know how plants were selected for use the plant taxa may then be compared with environmental models in the manner employed in phytolith and pollen analysis.

Unfortunately, not all the necessary environmental models can be inferred from existing formal botanical studies (Tabor 1957: 76) and Le Houérou (1981: 497) offer generalized models of degraded communities, but the site-specific vegetation in the Khabur region, Frey and Kurschner (in press) estimate climax and describe actual vegetation in the lower Khabur drainage today, but what archaeobotanists most need are additional studies of ruderal (waste places) and segental (agricultural fields) communities, critical to understanding the effects of agriculture and grazing. To redress this situation, Youssef Barkoudah of Damascus University and the author collected more than 200 species and recorded frequency and density of species in severely degraded areas in 1988. In the absence of published models that identify species most likely to turn up in archaeological sites, the author again relied on her fieldwork in the Upper Khabur.

particularly useful way to illustrate differences between the two sites where the activities are clearly similar.

## Results of Archaeobotanical Analysis at Tell Aqab and Umm Qseir

亚卡布和乌姆·基赛尔

Given that 1) archaeological context and samples are very similar and 2) only the most frequent taxa if Tell Aqab and Umm Qseir were in the same environment in the past and the same activities were carried out on both sites. There are, however, notable differences in the plant remains represented at Tell Aqab and Umm Qseir, suggesting that activities and environments were different at the two sites in the Late Halaf period (TABLE 1).

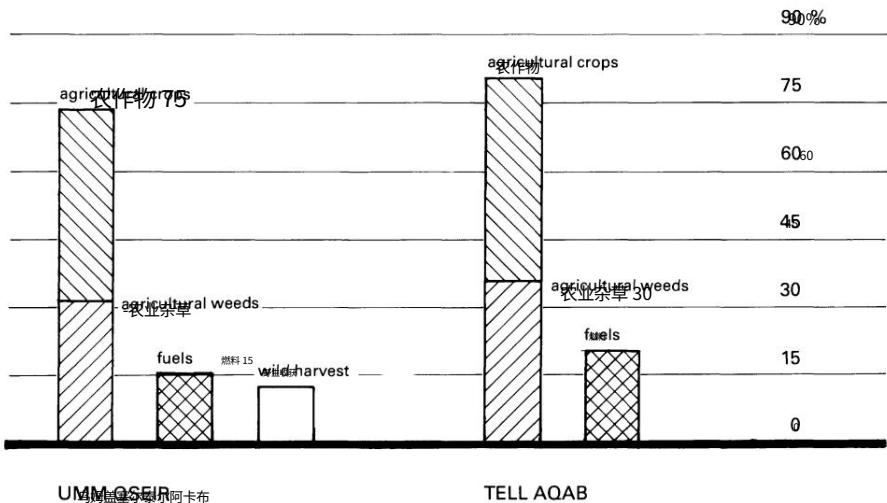
Both sites contained small fragments of chaff and a range of field weeds that suggest the waste fraction from burning grasses and other plants used as fuel, as well as other non-agricultural activities. The charred plant evidence from the hearths documented the use of fuels and (in the case of Umm Qseir) of wild resources, while it preserved only the final stages of fine sieving and the hand-picking of cereal and pulse crops.

From the data listed in Table 1 and the activities shown in Figure 6 it is evident that, while plant remains from the two sites are similar, there are some significant differences in both species and activities represented. Both grain and char from emmer wheat and barley crops, lentils, field beans, and bitter vetch appear at both sites; however, at Umm Qseir the crop inventory is augmented by chickpea and six-row barley, while cultivated flax appears at Tell Aqab. From both sites a range of field weeds associated with cultivation was also identified. Only Umm Qseir, however, produced evidence of collecting wild plants for food. Detailed examination of the plant inventories of the two sites shows the differences in farming regimes.

Tell Aqab is located in a prime dry-farming region at the edge of today's xeric Mediterranean climate zone, and there are few surprises in the prehistoric plant inventory. All the crops are easily dry-farmed, with pulse harvest in the spring and cereals in the early summer. Lentils are harvested in April, bitter vetch in early or mid May, and field pea in late May or June. The cereals, especially barley, may stand after ripening, but are certainly harvested by July. Two-row barley is typical of traditional dry-farming in Mediterranean climates throughout the Fertile Crescent.

Table 2 lists some models I have compiled from which certain weeds can be tentatively associated with farming regimes and soil types.<sup>3</sup> At Tell Aqab the weed flora, while

<sup>3</sup> 尽管自哈拉夫时期以来,植物群落无疑已经发生了变化,但对严重的环境变化和可能的气候变化,



**Figure 6.** Distribution of plant taxa and types assigned to activity models at Tell Aqab and at Umm Qesir. The percentages indicate the proportion of taxa and types belonging to the activity. (Numbers of plant fragments are not considered.)

The presence of linseed (from ripened flax plants) at Tell Aqab, although not well represented from the Halaf level, may partially explain the paucity of weed species: flax grows best in tilled soil, and has very low tolerance for weed competition. Successful flax growers weed their fields (Gill and Vear 1980: 98), and this was done at Tell Aqab (Year 1980: 198). If weeds may have become incorporated in hearth deposits, even if flax was a minor crop, crop rotation might result in cereals grown on land weeded in previous years and on which a weed crop might have been impoverished.

亚麻籽是一种高蛋白(20%)的食物来源和油作物,但必须在食用前加热才能释放有毒的氢氰酸。这一要求可能解释了亚麻籽加入炉渣中:作物可能没有被种植过,因为这种用途需要收获绿色植物(带有未成熟的种子盖),剥去茎,并洗去髓质纤维。相反,植物被允许成熟并生产出

the model associations in Table 2 (checked against observations by Pabot 1957, Moutoué 1960–1983, Guesnet 1960–1983, and Al-Rawi 1966–1985, palynological studies, and conversations with Youssif Barkoudah 1985). 扩大研究, 以及与 Youssif Barkoudah 的对话 [19]。Bottner and Baudouin 1991 首次尝试 provide a first attempt to discriminate among the weeds associated with different farming practices 区分不同耕作方式相关的草本植物 in the Khabur Basin.

duce oil-bearing seeds. The harvest of legumes, cereals, and flax at Tell Aqab indicates an occupation during the spring, and assuming that the crops were grown in the immediate vicinity, the inhabitants probably were on site throughout the winter and early spring to tend their crops.整个冬季和早春都要照料庄稼。

At Umm Qseir the plant assemblage indicates a slightly different farming style, with two strategies operative. From the crops and weeds represented, it is clear that both dry farming and some supplementally-watered crops were featured in the site economy. In this instance crop requirements are important considerations in distinguishing those plants cultivated adjacent to the site from those grown farther afield. When water requirements are taken into consideration along with local soil types, there emerges an interesting pattern of farming and collecting that is quite different from the pattern of dry-farming at Tell Aqab.

By referring to local models of agricultural field weeds (TABLE 2), fruiting date and soil requirements of crops can be used to suggest their association with some of the weeds represented at Umm Qseir. Associated with such spring fruiting weeds as *Bellevalia* sp. and *Buglossoides* sp. is the cultivation of spring crops (lentil, bitter vetch, field pea and chickpea). The harvest of emmer wheat in late spring would also involve reaping weeds that fruit late, including the wild grasses and *Hypocoum* sp. Six-row spring barley was harvested in June in subdesertic *Mediterranean climates*, but its presence at Umm Qseir strongly suggests some kind of irrigation since, in producing three times the seed per tiller than two-row varieties, it is better suited to irrigated fields where water

**Table 2. Vegetation (weedy) associations in the Khabur Basin. These lists of taxa are computed from field studies carried out by the author during late spring, in 1985, 1988 and 1990. (Each list combines observations from multiple locations). The taxa with asterisks are those recorded growing only in the ecological conditions indicated.**

Soil type	土壤类型	Resulting date	Fruiting date
Gypseous Dry-Farmed soils (west and east of the Khabur River south of Hasseke)	钙质干燥土壤(哈塞克南部)		
<i>Gypsophila linearifolia</i> (Fisch et Mey.) Boiss.	白花瓦斯。五月Hypecoum pendulum L.* 五月 - 六月	May	May
<i>Hypecoum pendulum</i> L.*	五月 - 六月Chorispora tenella (Pall.) May-June	May	June
<i>Sinapis alba</i> L.	Astragalus hibloides Del.* 五月 Hymenocarpus circinatus	May	June
<i>Chorispora tenella</i> (Pall.) DC.*	L. (SE) 五月 Bromus scoparius L. 六月Trigonella May	May	June
<i>Astragalus biuncialis</i> Del.*	May	May	May
<i>Hyparrhenia subtiliflora</i> Jord. 四月至五月燕麦。五月至六月Andrachne	May	May	May
<i>Trigonella monantha</i> C.A. Mey.	May	May	telephium
<i>Erodium cicutarium</i> L.	6月至9月Prosopis arborescens (Banks et Sol.) Macbride 8月	May	May
Kraatz 五月至八月来自草丛 夏季毛蕊花 Benth. 5月至10月	May	May	May
<i>Andrachne telephium</i> L.	May	May	Althaea pass
<i>Centaurea bruguieri</i> (L.) R. Br. 春夏草。5月至8月Centaurea bruguieriana (DC.) Maja	June-September	July	July
<i>Malva aegyptia</i> L.	May	May	July
<i>Arnebia decumbens</i> (Vent.) Coss. et Kralik	May-June	May	May
<i>Verbascum alepense</i> Benth.	May-June	May	May
<i>Thymelaea passerina</i> Coss. et Germ.	June-August	May	May
<i>Scabiosa olivieri</i> Coulter	May-June	May	May
<i>Filago pyramidata</i> L.	May-June	May	May
<i>Centaurea bruguieriana</i> (D.C.) Hand.-Mazz.	July	May	May
<i>Picris kotschyana</i> Boiss.	May-June	May	May
<i>Bellevalia glauca</i> (Lindl.) Kunth*	April-June	May	May
<i>Lolium rigidum</i> Gaud.	June-July	May	May
<i>Bromus</i> sp.	spring, summer	May	May
Dry-Farmed Mediterranean soils (north of Hasseke and Jebel Al-Latib, and south of the Tigris)			
<i>Gypsophila pilosa</i> Huds.	May	May	May
满天星 [Gypsophila pilosa] (Huds.) 五月Vaccaria pyramidata Medic.	May	May	May
<i>Vaccaria pyramidata</i> Medik.	May	May	May
<i>Stipa capillaris</i> L.	May	May	May
五月Sesleria alba L. 五月Brassica deflexa Boiss. * 五月至六月	May	May	May
<i>Brassica deflexa</i> Boiss. *	May	May	May
四月至五月Euclidium syriacum (L.) Rb. * 四月至五月	May	May	May
<i>Euclidium syriacum</i> (L.) Rb. *	May	May	May
五月Eruca sativa L. 五月不同地方种植不同的地方种植。六棱大麦Bipartita un	May	May	May
<i>Eruca sativa</i> L.	May	May	May
六月至九月在此, 在乌姆格塞尔 (Umm Qseir) 出现的农作物可能是Eryngium chrysanthemum Hornem. 可能生长在哈布尔河流域的冲积土壤上, 但与当地	May	May	May
<i>Eryngium chrysanthemum</i> Hornem.	May	May	May
Euaster sp. 七月	July	July	July
<i>Erodium cicutarium</i> (L.) R. Br. 七月	July	July	July
六月至八月来自草丛, 八月可能在不同的地方种植。六棱大麦Bipartita un	July	July	July
<i>Chrozophora tinctoria</i> (L.) Rat.	June-September	July	July
水, 可能是来自春季洪水, 但该地的杂草来自旱作环境, 因此必须与早作一起小麦和豆类的最终加工	July	July	July
<i>Eryngium campestre</i> L.	July	July	July
近, 七月小麦和豌豆可能偶尔进行早作。6月至8月Picromon acarna (L.) Cass. 在异常湿润的年份, 可能是早作。	July	July	July
<i>Picromon acarna</i> (L.) Cass. var. heterophyllum Link. Wolff	May	May	May
是早作 (Link.) Wolff May - 七月 (Fisch et Mey.)	May	May	May
<i>Heterocaryum szovitsianum</i> (Fisch et Mey.) A.D.C.*	May	May	May
五月Anchusa aegyptiaca L. 五月至七月	May	May	May
<i>Anchusa aegyptiaca</i> L.	May	May	May
Verbascum alepense Benth. 5月至6月Cephaelaria setosa	May	May	May
Boiss. et Hobert 七月	May	May	May
<i>Cephaelaria setosa</i> Boiss. et Hobert	July	July	July
Hydrolepsis Boiss. 七月车前草 Cf. hidula Banks et Sol. 从八月至七月。	July	July	July
<i>Anthemis</i> sp. 七月	July	July	July
<i>Bruguiera</i> (DC) Hand.-Mazz. 七月	July	July	July
<i>Centaurea hyalolepis</i> Boiss.	July	July	July
<i>Centaurea cf. rigida</i> Banks et Sol.*	June-July	July	July
<i>C. bruguieriana</i> (D.C.) Hand.-Mazz.	July	July	July
<i>Cousinia shaborusina</i> Bornm. et Hand.-Mazz.	June-August	July	July
<i>Picromon acarna</i> (L.) Cass.*	July-August	July	July
<i>Taeniatherum crinitum</i> (Schreb.) Nevski	June-July	July	July
<i>Prosopis farcta</i> (Banks et Sol.) Macbride	August-September	July	July

		Fruiting date
Irrigated and alluvial soils (spring crops including cereals; many of these are modern introductions)		
<i>Polygonum arayacolum</i> Steud.*	五月 Rumex pulcher L.	May
<i>Rumex pulcher</i> L.	五月至六月 <i>Hirschfeldia incana</i> (L.) Lagrèze	April-May
<i>Sisymbrium alba</i> L.	五月 <i>Lepidium latifolium</i> L.	May-June
<i>Hirschfeldia incana</i> (L.) Lagrèze	六月至七月 <i>Ammi visnaga</i> (L.) Lam.	May
<i>Candoliera aralia</i> (L.) Desv.*	六月至七月 <i>Visnaga</i> (L.) Lam.	May
<i>Lepidium apetalum</i> L.	六月至七月 <i>Cephaelaria syriaca</i> (L.) Schrader*	May-June
<i>Moenchium spinosum</i> L.	春秋 <i>Centaurea iberica</i> Trev. ex Sprengel	spring
<i>Ammi visnaga</i> (L.) Lam.*		June-July
Sprengel 等的 <i>C. pallescens</i> Delile	六月至七月	summer, autumn
<i>Galium incanum</i> Sibth. et Sm.*		June-July
<i>Cephaelaria syriaca</i> (L.) Schrader*		June-July
<i>Xanthium spinosum</i> L.		spring-autumn
<i>Centaurea iberica</i> Trev. ex Sprengel		June
<i>C. pallescens</i> Delile		June-July
<i>Carduus australis</i> L.		June
<i>Nicotiana rotundifolia</i> L.	五月至六月 <i>Cicorium</i> L.	May-June
<i>Cicorium pumilum</i> L.	五月至七月	June-July
<i>Plantago lanceolata</i> L.		June
<i>Primula elatior</i> L.		June
5月至8月 黑麦草 <i>Agrostis</i> L.	六月至七月 <i>Chrozophora tinctoria</i> (L.) Raf.	June-July
Alhagi spissula L.	六月至七月 <i>Arnebia decumbens</i> (Vent.) Bess.	June-July
Coss 等的 <i>Arnebia</i> L.	六月至七月 <i>Salsobisia olivieri</i> Coulter	May-June
shinchii Boiss.	5月至6月 <i>Bellervalia glauca</i> (Lindl.) Kunth	June-July
<i>Prosopis farcta</i> (Banks et Sol.) Macbride		August-September
<i>Albago maurorum</i> Medik.		summer
<i>Glycyrrhiza glabra</i> L.		summer
Irrigated and alluvial soils (modern summer crops; many weeds are also modern arrivals in the Khabur)		
<i>Portulaca oleracea</i> L.*	8月至10月 <i>Hibiscus trionum</i> L.	August-October
<i>Helianthus annuus</i> L.		August-September
<i>Malva parviflora</i> L.*	6月至7月	June-July
<i>Convolvulus sepium</i> L.*		summer
<i>Convolvulus arvensis</i> L.	5月至8月 <i>Cuscuta campestris</i> Yuncker	June-August
<i>Cuscuta campestris</i> Yuncker		summer, autumn
<i>Datura stramonium</i> L.*		summer, autumn
<i>Physalis alkekengi</i> L.*		summer, autumn
<i>Xanthium strumarium</i> L.*		summer, autumn
<i>Serapium halepense</i> (L.) Pers.*	七月至十月 <i>Cyperus rotundus</i> L.	July-October
<i>Cyperus rotundus</i> L.		autumn
<i>Echinochloa colona</i> (L.) Link.*		summer, autumn

能够比旱作地更快更长时间地提供所需的养分 (Helbaek 1970: 222-223; Charles 1984: 30)。这种模式在当今的传统农业中仍然很明显，接受采访的植物学家和农民都承认这一点。

Thus the crops represented at Umm Qseir were perhaps farmed in several quite different locations. Six-row barley likely grew on the alluvial soils of the Khabur River Valley with supplemental watering, probably from spring flooding, but the weeds from the site are from dry-farmed contexts, and thus must be associated with the final processing of dry-farmed emmer and pulses. It is difficult to ascertain exactly where this dry-farming took place. If we reconstruct the Jezireh steppe as a climax or near-climax biotope in the Halaf period, it is possible that emmer and chickpeas were occasionally dry farmed adjacent to the site in exceptionally wet years. The soils around Umm Qseir are low in fertility and require long fallowing to replenish nutrients (c.f. Charles 1988: 25; Wilkinson 1990: 89–

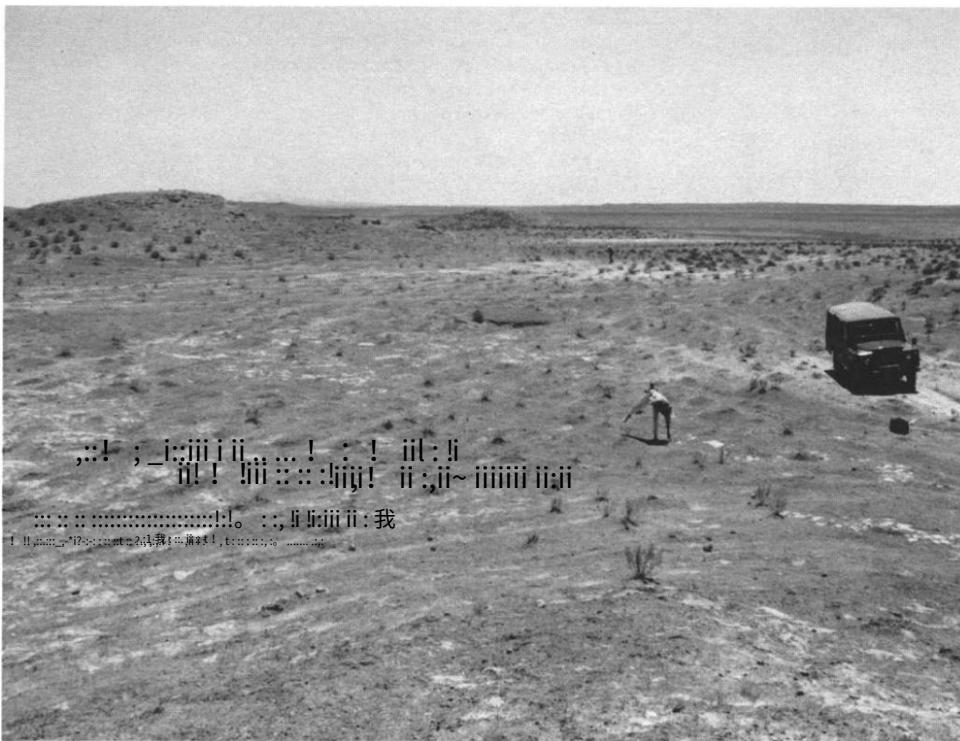


Figure 7. View of steppe today south of Umm Qseir showing the degradation of grazing land and erosion of soils from gypseous bedrock.

Figure 8. View of the northern slopes of the Jebel Abd al Aziz showing the extent of deforestation in modern times. Plantation of pines for reforestation project visible in foreground.





Figure 9. Abandoned terraces once used for dry-farming in the Jebel Abd al Aziz. (Principal crop undetermined.)

91). Dry-farming of emmer, chickpeas, and small lentils like the Halaf type is impossible today, and has been so in living memory, in the heavily eroded and saline gypseous soils adjacent to the site.

Bitter vetch is known today primarily as a fodder crop, but it may also occasionally have served in prehistory as a human food (Helbaek 1961) and as an additive or flavoring to other staple foods. Hillman (1985: 22) cites its use in barley bread, perhaps as a fermented leavening agent; it is equally possible that it might have been added to beer. It is doubtful that it was ever grown near Umm Qseir since in contemporary agricultural regimes of the northern Fertile Crescent it thrives only at altitudes greater than 500–800 m and has considerably lower tolerance to drought and salinity than any of the other crops from the site. It is quite unknown in the Khabur drainage and upper Jezireh today (see also Charles 1985: 56–57), but it could have been cultivated in the nearby low mountain range of the Jebel Abd al Aziz where rainfall is higher (FIG. 1).

**Environmental degradation in the fragile steppe (FIG. 7)**如今脆弱的卡布尔古城的环境恶化,一定是沿着河道发展起来的和附近的山脉(图8),大部分是在哈拉夫时期,当时它的种子被烧焦。自哈拉夫时期以来,这种情况有所减少,形成了乌姆格塞尔新鲜浆果既不耐储存,也不耐运输,因此,它们的存在强烈表明土壤、农业梯田的遗迹,可能相对较低。因此,它们的存在强烈表明或伊斯兰教的日期,是失落的农业的见证。

显著的森林砍伐和干旱在最近几年(FIG. 9)。该地区附近土壤侵蚀严重,土壤贫瘠。

(FIG. 9). The Halaf people of Umm Qseir probably farmed bitter vetch elsewhere during the spring or were importing it, already cleaned of weeds, through an exchange system. 苦苔子如今主要被用作饲料作物(Helbaek 1961)并作为其他生食的添加剂。Hillman (1985: 22)认为,苦苔子在现今的作物中较为常见。The weeds from fine cleanings of the other dry-farmed crops suggest spring farming on the steppe soils adjacent to the site, indicating locally better conditions than those that prevail today.

**Evidence for wild plant resources at Umm Qseir gives us a picture of the site in other seasons when the Halafians were present and helps us test the hypothesis that some people moved elsewhere during parts of the year. Late summer use of the site may account for the presence of seeds from purslane (*Portulaca oleracea*), which begins fruiting in August and continues through October, and for the shells of wild pistachio (*Pistacia khinjuk*), which once probably extended along the wadis at low elevations to within easy walking distance of the site. In the early autumn, occupants of the site could harvest wild blackberry (*Rubus sanctus*) which, although no longer frequent along the Khabur today, must have grown along the river course during the Halaf period when its pips were charred at Umm Qseir. Fresh berries neither store nor travel well, and the nutritional return for drying blackberries would be relatively low. Thus their presence strongly suggests that they were consumed immediately and locally. It is**

possible that the site was occupied throughout the winter, although there is no plant evidence to prove this. Very few plant seed during the winter months, and their absence from archaeological sites cannot be used to infer an absence of people. Winter is a season of hardship on the steppe, and in the recent past Bedouin camped along the Khabur at sites like Umm Qseir where water, food, and fuel were available until the advent of spring rains.

Fuel types also support occupation of both sites in the spring. The plant remains from the ash mounds in each instance suggest that the fires were for the same purpose, namely cooking. Furthermore, *Astragalus* seeds are present at both sites in the Late Halaf. I interpret the presence of these seeds, which livestock would consume while grazing, as evidence of the use of dung fuels (Miller 1984a, 1984b: 73–77). As these seeds would only seasonally become ripe and be consumed by animals, their presence at both sites suggests that both sites were occupied during summer months.

Nevertheless one difference between the two sites is in the fuel sources represented. Watkins and Campbell (1987: 152) comment on the lack of charcoal at Halaf sites in general. This is also true of these sites, where no large chunks of wood charcoal suitable for conventional radiocarbon dating were recovered. The proportions of wood charcoal fragments to overall flot volume vary between the two sites, however; and, in conjunction with different proportions of seeds probably derived from the burning of dung fuels (Miller 1984a; Miller and Smart 1984), these figures may indicate different cooking fuels. Samples from Tell Aqab yielded less than 0.25 cc volume of charcoal fragments (less than 0.3% of sorted, charred plant remains), while in the samples from Umm Qseir the proportion of charcoal to flot volume is 20 times greater. Fragments of wood charcoal comprise an estimated 6% of the Umm Qseir flot volume.

Likewise the density of seeds to flot volume differs at the two sites. At Tell Aqab that of *Astragalus* seeds is much greater (2.5 seeds/cc flot) than at Umm Qseir (0.14 seeds/cc flot). Furthermore, this difference cannot be fully explained by differences in the environment, for at Umm Qseir these seeds occur in abundance from the post-Halaf occupation (not discussed in this paper); clearly the plants from which they derived could grow in the vicinity of both sites. Therefore, it appears possible that the inhabitants of Tell Aqab were heavily reliant on dung fuels during the Halaf period, accounting for both the greater density of *Astragalus* seeds and the lower proportion of wood charcoal at this site. While in theory other weed seeds, cereal chaff, and grains may also have been burned in dung fuels, their ratios differ from that of *Astragalus* at

Tell Aqab (0.30 other items/cc flot), but not at Umm Qseir (0.15 other items/cc flot), suggesting that their presence is influenced by factors other than those accounting for the incorporation of *Astragalus* in the plant remains.

两处遗址的木炭碎片几乎都很细小（直径1毫米），且缺乏完整的年轮，因此无法进行快速鉴定。因此，用树种分类法对所有木炭进行归类，只能提出一些初步的推测。在平原森林中，木物种与乌姆盖尔附近的木本植物属不同。在木炭中鉴定出白蜡树和一种软木树种，可能是生长在底格里斯河—黄连木草原灌丛中的桧柏，类似于Zohary (1973: 583) 所描述的桧柏—黄连木草原灌丛中的桧柏。

The wood charcoal fragments from both sites were almost all minute (1 mm in diameter) and lacked the full growth-ring needed for ready identification. While a full list of genera represented is thus impossible at this stage, several tentative suggestions may be offered. The steppe-forest species at Tell Aqab differed from the woody genera available near Umm Qseir. *Fraxinus* and a softwood, perhaps a juniper like those growing in the low altitude *Juniperus-Pistacia* steppe-scrub described by Zohary (1973: 583), were identified among the wood charcoals. A deciduous species requiring water in the summer, *Fraxinus* may have survived in an intermittent riparian gallery forest near the site. One species, *Eucrypha Rossii*, occurs in the low forest of Kurdistan in Iraq (Guest, Townsend, and al-Rawi 1966–1985) thrives in the bioclimatic region of Turkey just to the north of Tell Aqab, and perhaps has been reported as *E. excelsior* L. along the Syrian-Turkish border (Mouterde, 1966–1983: 21).尽管如此，这种情况下在该地区可能存在。这些遗址的情况也是如此，因为该地区没有消失。在乌姆盖尔发现了适合灌溉放牧在碳测年的木炭，而从那时起，这种情况在该地区可能存在。这些遗址的情况也是如此，因为该地区没有消失。在乌姆盖尔发现了适合灌溉放牧在碳测年的木炭，而从那时起，这种情况在该地区可能存在。这些数据可能表明使用了不同的烹饪燃料。泰勒阿卡布的样品中在乌姆盖尔以西的阿卜杜

立木草原灌丛中的桧柏（不到0.3%的植物残骸），而在乌姆盖尔的样品中，木炭碎片体积的比例则是后者消失从该地区。

At Umm Qseir the wood charcoals represent different genera and a different environment. From the riparian forest adjacent to the site the inhabitants collected and burned Salicaceous species—willow (*Salix*) or poplar (*Populus*). From the steppe they gathered almond (*Amygdalus*), a tree absent near the site today, but one that might previously have survived in association with the nut-bearing wild pistachio in finger wadis extending off the southern slopes of the Jebel Abd al-Aziz to the west of Umm Qseir.

## Interpretations

Throughout the analysis it was assumed that Tell Aqab was in the richer of the two environments during the Late Halaf period, principally because the region appears richer today in the potential biomass it can support. Tell Aqab lies in what archaeologists consider to be the heartland of the Halaf culture (Davidson 1977: 9–10; Watson 1983: 232–238).

同样，两处遗址的种子密度与浮游物体积也不同。在哈拉夫时期，泰勒阿卡布在更富有的两个环境中，特别是在哈布尔流域，相邻的伊拉克吉泽雷赫，该地区似乎更富饶。泰勒阿卡布的样品中在乌姆盖尔以西的阿卜杜立木草原灌丛中的桧柏（不到0.3%的植物残骸），而在乌姆盖尔的样品中，木炭碎片体积的比例则是后者消失从该地区。

较大地理上讲，种子、谷物和谷物也可能被焚烧，在粪便燃料中，它们都是由小型、或许是半永久性植物组成的群落（Davidson 和 Akkermans 1987: 25; Hijara 1980: 252）。如果在理论上讲，种子、谷物和谷物也可能被焚烧，在粪便燃料中，它们都是由小型、或许是半永久性植物组成的群落（Davidson 和 Akkermans 1987: 25; Hijara 1980: 252）。

In the Khabur drainage, the adjacent Balikh drainage, and the Iraqi Jezireh, the distribution of Halaf sites is similar, with clusters of small, perhaps semi-permanent sites near a larger one (Davidson and McKerrell 1976: 48, 53; Akkermans 1987: 25; Hijara 1980: 252). If the greater densities of sites in the heartland of the Halafian region are associated with larger populations than in peripheral areas such as at Umm Qseir, this may imply a larger resource base.

Nevertheless the results from analysis of Tell Aqab plant remains show little evidence of a richer resource base. The lack of wild types from the site and few identifiable wood charcoals makes environmental interpretation very difficult. Greater representation of dung fuel suggests that fewer woody resources may have been locally available. This pattern may reflect a broader trend in the Halaf heartland (Watkins and Campbell 1987: 453). At other Halaf sites low occurrence of wild types has been interpreted as evidence of low prehistoric availability (van Zeist and Waterbolk-van Roonjen 1989: 329–330), but most wild plants arrive on archaeological sites through human selection of useful species from the environment; thus it is difficult to know whether the absence of wild types at Tell Aqab reflects an economic preference or an ecosystem degraded through intensive human use. A combination of these factors is most probable given the higher population densities evident in the northern Khabur drainage during the Halaf period. Lower tree densities might reduce populations of large wild mammals and increase those of smaller lagomorphs and rodents (De Vos 1969: 158–160). This prediction could be addressed through study of the faunal assemblage, which still awaits analysis.

Dry farming of flax, which requires about 400 mm annual rainfall, and other crops suggests that rainfall approximated its modern levels and that agricultural settlement could have continued uninterrupted at Tell Aqab. By contrast, the low rainfall at Umm Qseir would preclude dry farming in all but exceptional years. Agriculture and perhaps human settlements in this environment would only have been sporadic. In spite of possible environmental degradation in the Halaf heartland, charred plant remains at Umm Qseir suggest that local resources were largely undepleted during this period. There was wood to burn, as suggested by proportions of dung fuel remains and charcoal fragments. Some crops, especially bitter vetch, may have been farmed within a distance of 25 km to the north, or west (in the recent past Bedouin migrated about 40 km annually), but others were grown at the site. In wet years uneroded soils adjacent to the site could have supported the lentils, chickpeas, and emmer mentioned archaeologically, while six-row barley may have thrived on the seasonally flooded alluvium. Berries grew wild along the river. The wild fauna (Melinda Zeder and Elizabeth Myler personal communication, 1989; Hole and Johnson 1986–1987: 177–179) attest to a rich vegetation on the adjacent steppe, which probably supported perennial grasses and scattered trees—pistachio (*Pistacia khinjuk*) and wild fig (*Ficus carica* L. var. *rupestris* Hausskn. ex Boiss.)—in the wadis, and thickets of woody shrubs such as the chenopods, wormwood (*Artemisia*), and wild caper

(*Capparis spinosa* L.).野生蔷薇科灌木(野杏、山楂、樱桃)可能生长在阿卜杜勒·阿齐兹山和考布卡布的山麓。从物种多样性、多年生植被、不同土壤的微环境、野生植物以及野生动物来看,乌姆格塞尔在哈拉夫晚期似乎比现在更加富饶,只是在更近的时期,其环境才遭到破坏。

This is an important conclusion because it indicates that differences between prehistoric and modern environments

这是一个重要的结论,因为它表明史前和现代环境之间的差异是人类活动造成的。  
近东地区的气候变化也解释了环境变化,但就耶齐拉晚期哈拉夫时期的情况而言,气候可能是结果。人类活动对两个生物圈都有影响。如今,下哈布尔河迅速变成沙漠,而泰勒阿卡布在近东地区则构成了现代叙利亚的粮仓。然而,如今的局面源于哈拉夫文化的环境。后续发展超出了本文的讨论范围,但乌姆格塞尔和泰勒阿卡布在其后期的居住阶段提供了这一引入入胜的线索。

Today the Lower Khabur is rapidly becoming a desert while the environs of Tell Aqab now constitute the breadbasket of modern Syria. Yet the situation today had its origins in the environment of the Halaf culture. Subsequent developments are beyond the scope of this paper, but Umm Qseir and Tell Aqab offer some tantalizing clues in their later occupational phases.

到了乌姆格塞尔的乌姆格塞尔时期,植物残骸表明人们大量使用粪便燃料,这或许反映了该遗址木质燃料的日益稀缺。如果狩猎确实是草原上的一项主要活动,正如与著名动物群落所表明的那样(Melinda Zeder and Elizabeth Myler,个人通信,1989; Hole and Johnson 1986–1987: 178),那么火可能被用来驱赶牲畜,并作为向物前往避

和Johnston 1986: 177–178),那么火可能被用来驱赶牲畜,并作为向物前往避

后的新生长地。这将对牧场产生深远而持久的影响(Daubenspeck 1968: 249;

Myler, personal communication, 1989; Hole and Johnson 256–257; Naveh 1979: 199–206)。随着多年生植被的逐年减少,土壤侵蚀迅速发

生(Youngh 1943: 830),该遗址附近的浅层土壤比泰勒阿卡布周围的土壤脆弱得多(Muir 1951: 172–174)。随着森林的消失,当地降水量可能减少,蒸散量可能

增加,尤其是在植被覆盖减少导致反照率(地表反射的辐射)升高的情况下(Permanent effect on rangeland, Daubenspeck 1968: 249, 256–257; and Thalen 1979: 258; Sagan, Toon and Pollack 1979: 1367)。如果火灾的使用强度低于泰勒阿卡布,那么在哈拉夫晚期,乌姆格塞尔的环境可能会更加富饶,但它始终更加脆弱。

This would have had a profound and permanent effect on rangeland (Daubenspeck 1968: 249, 256–257; and Thalen 1979: 258; Sagan, Toon and Pollack 1979: 1367)。如果火灾的使用强度低于泰勒阿卡布,那么在哈拉夫晚期,乌姆格塞尔的环境可能会更加富饶,但它始终更加脆弱。随着森林的消失,当地降水量可能减少,蒸散量可能增加,尤其是在植被覆盖减少导致反照率(地表反射的辐射)升高的情况下(Permanent effect on rangeland, Daubenspeck 1968: 249, 256–257; and Thalen 1979: 258; Sagan, Toon and Pollack 1979: 1367)。If fire was less intensively used than at Tell Aqab, the environment of Umm Qseir could have remained a richer one during the Late Halaf period, but it has always been the more fragile.

Nevertheless Umm Qseir's resources attracted Late Halaf inhabitants. We expected to find evidence of semi-sedentary people, perhaps pastoralists, who camped along

尽管如此,乌姆格塞尔的资源还是吸引了晚期哈拉夫居民。我们原以为期望找到半游牧居民的证据,他们或许是游牧民,在河边扎营放牧(Hole and Johnson 1986–1987: 172, 184)。对动物资源的解读无疑为乌姆格塞尔的畜牧活动提供了最佳证据(Melinda Zeder and Elizabeth Myler,个人通信,1989),但环境条件

the river to graze their flocks (Hole and Johnson 1986–1987: 172, 184)。The interpretation of faunal remains doubtless provides the best evidence of pastoral activities at Umm Qseir (Melinda Zeder and Elizabeth Myler, personal communication, 1989), but the environmental set-

ting reconstructed from plant remains suggests that semi-nomadic pastoralists could have gathered along the banks of the Khabur river in summer, autumn, and winter as they did in recent times when winter rainfall on the steppe ensured rich pasture and water only in the spring. The evidence for dry-farming and six-row barley (which required supplemental watering) in the vicinity suggests that at least part of the population occupied the site during the spring while crops ripened. This poses an additional question: Why were the Late Halafians farming at Umm Qseir in a season when the rain-fed steppe offered rich pasturage? Soil infertility and unpredictable rainfall suggest that farming was opportunistic and sporadic; thus the answer to the Halafian presence must in part reflect resources available at Umm Qseir that differed from those at northern sites like Tell Aqab. Good hunting and grazing were probably available; trees provided fuel and construction materials for ephemeral shelters; and the site's occupants probably could have exchanged local products for crops from the north along much the same networks through which ceramics and obsidian flowed (Davidson 1981: 76–77; Davidson and McKerrell 1976: 53, 1980: 163; James Blackman, personal communication, 1989).

## 结论

**Examining plant remains in the framework of ecological models can help us generate hypotheses concerning how humans produced, collected, and processed food. In turn, reconstructions of the environmental conditions and human adaptations to them in the Halaf period will serve as a baseline for future studies of the Khabur.** The 5th millennium B.C. marks an archaeological datum; until recently no prior occupation of the Khabur drainage had been archaeologically investigated, and this study is the first attempt to develop a regional understanding of resource use in different environments. By the 5th millennium agricultural populations appeared for the first time to exploit the steppe in the south. That they were using resources no longer abundant in the north can be tested through further fieldwork in the Khabur drainage.

We now realize how little we have previously understood about the Halaf economies. Some have suggested mechanisms by which the characteristic painted pottery was distributed among sites across a 600 km latitude, on occasion at some distance from the origin of its manufacture, but our understanding of ceramic and obsidian distributions must now also accommodate dispersals of organic commodities—plant foods, animals and animal products, oils, fibers, hides, fodder, even dyes and medicines. Patty Jo Watson suggests a “developed chiefdom”

social organization among the Halafians (1983: 243) but it will be impossible to improve our understanding of their social organization without a much better understanding of the environmental constraints and economic structure in which the social patterns were founded. From this point of view, it is critical that we understand the range of variability in Halafian economic adaptation—in this case, how the Halaf was economically structured both in the dry-farming optimum at Tell Aqab and at its geographical periphery at Umm Qseir.

这些问题的研究仍在继续。对贝家变异性以及两处遗址哈拉夫层与后期人类活动之间的差异进行定量分析,最终将有助于植物遗骸的研究。

The ubiquitous presence of middens on Near Eastern archaeological sites affords a great potential for future work both in the Khabur and other regions, for as the changes in the economy and society of the Khabur over time can be reconstructed through the analysis of the environmental data. We need to strengthen the analysis outlined in this paper with additional studies of the environment today: the interrelationships of plants, fauna, soils, precipitation, consumption, and regeneration. Additional archaeological and environmental data from all periods in the Khabur must be acquired to understand the dynamics of environmental change and concomitant human adaptations and pressures. Above all, we need to understand the cultural history of the Khabur as interactive with its dramatic environmental history.

### Acknowledgments

我还要感谢 Frank Hole 和 Tom Davidson  
for encouraging me to work with the plant remains from  
Umm Osair and Tell Aqab and for generously providing  
理解。我还要感谢 Mindy Zeder 提供她的研究成果，以便利用对乌姆格塞尔小动物遗骸的解释、  
上一步的田野调查。

me with unpublished data from the excavations. I thank also Mindy Zeder for making available her results and interpretations of faunal remains from Umm Qseir, Cindy McWeeney for her help with the wood charcoals, Henk Strik for working on illustrations, and Bonnie Hole and Fraser Neiman for statistical advice. Ted Banning, Youssef Fraser Neiman 提供统计建议。Ted Banning, Youssef Barkoudah, Richard Burger, Richard Burger, Michael Chazan, Frank Holger, Michael Chazan, Frank Holger, Mary Voigt 读读并批评了早期彩绘陶器分布的纬度 600 公里范围内的多个站点。Mary Voigt 读读并批评了早期彩绘陶器分布的纬度 600 公里范围内的多个站点。Kevin Johnston, Mark Lehner, Katerina Liliou, Cindy McWeeney, and Mary Voigt 读 and criticized an earlier 下次我提出了一个“发达的酋长国”，而国家地理学会支持我的研究方向。

work. I am ever grateful to Jerry and Hilda Martin for their gift of a microscope. To Youssef Barkoudah and Frank Hole I extend special appreciation for their support and criticism.

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