

Rethinking Generalization in Vision Models: Architectures, Modalities, and Beyond

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INTELLIGENCE

Why Need Generalization?

- In practice there is often a distribution shift between training and testing

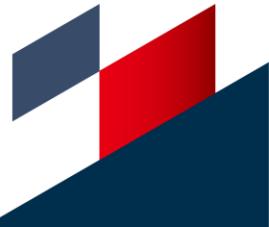


Rethinking Generalization



→ *Corruptions / Perturbations / Domain Shifts*

Covariate Shift



Rethinking Generalization

Semantic Shift

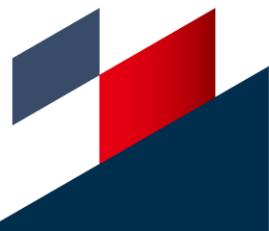
*OOD
Detection*

*Zero-shot /
Few-shot /
Long-tailed
Learning*



Corruptions / Perturbations / Domain Shifts

Covariate Shift

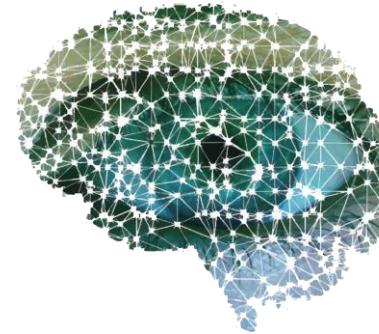


Generalization in Vision Models

Semantic Shift

*OOD
Detection*

*Zero-shot /
Few-shot /
Long-tailed
Learning*

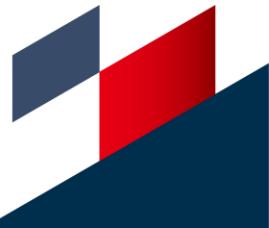


Neural
Architectures



Corruptions / Perturbations / Domain Shifts

Covariate Shift



Generalization in Vision Models

Semantic Shift

*OOD
Detection*

*Zero-shot /
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**Sensory
Modalities**



**Neural
Architectures**



Corruptions / Perturbations / Domain Shifts

Covariate Shift



Generalization in Vision Models

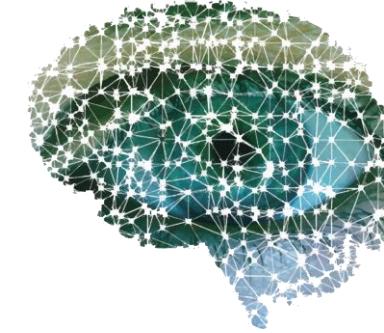
Semantic Shift

*OOD
Detection*

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**Sensory
Modalities**



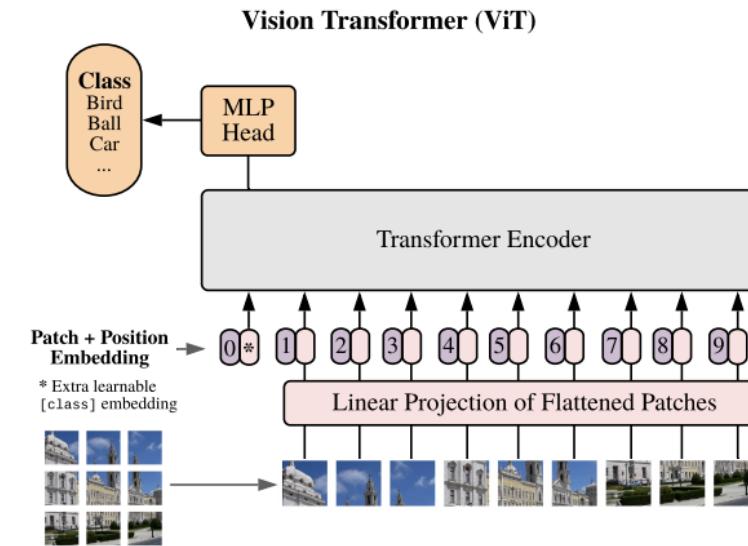
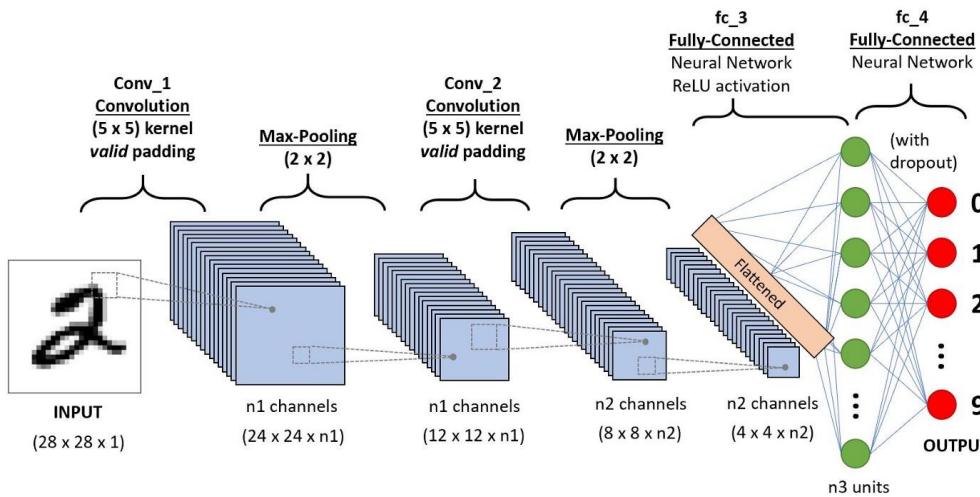
**Neural
Architectures**

Corruptions / Perturbations / Domain Shifts

Covariate Shift



Convolution v.s. Attention (2D Vision)

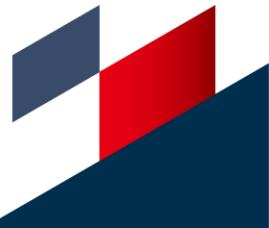


Zhang et al., Delving Deep into the Generalization of Vision Transformers under Distribution Shifts, CVPR 2022

Related Works:

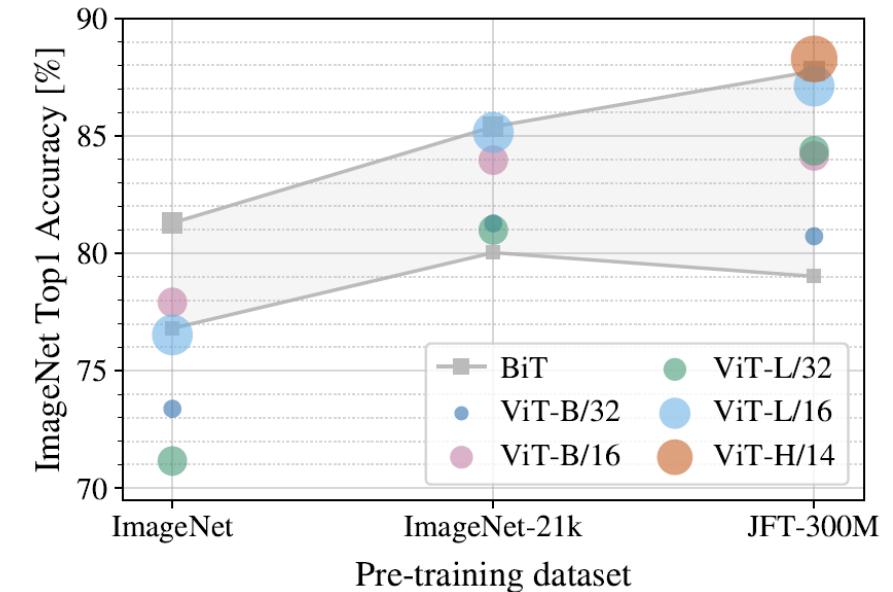
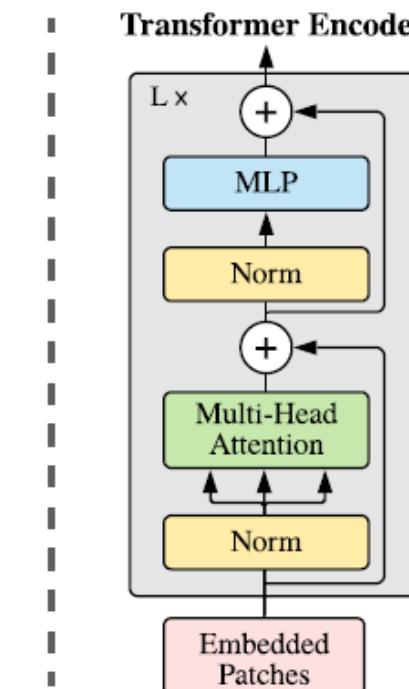
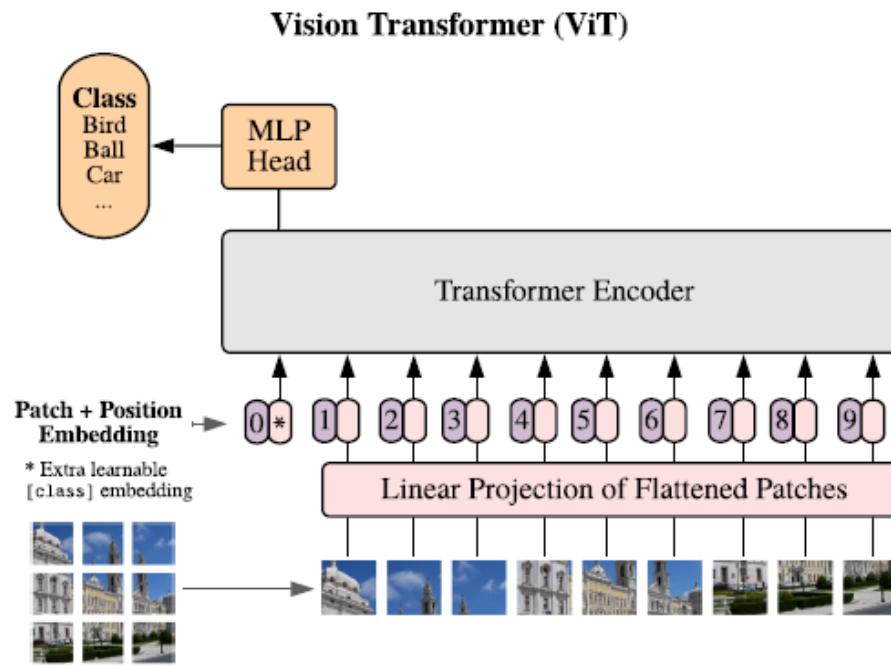
Bai et al., Are Transformers More Robust Than CNNs, NeurIPS 2021

Zhou et al., Understanding the Robustness in Vision Transformers, arXiv 2022



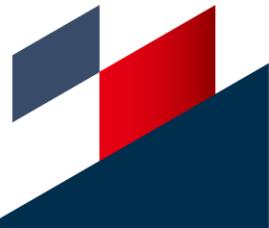
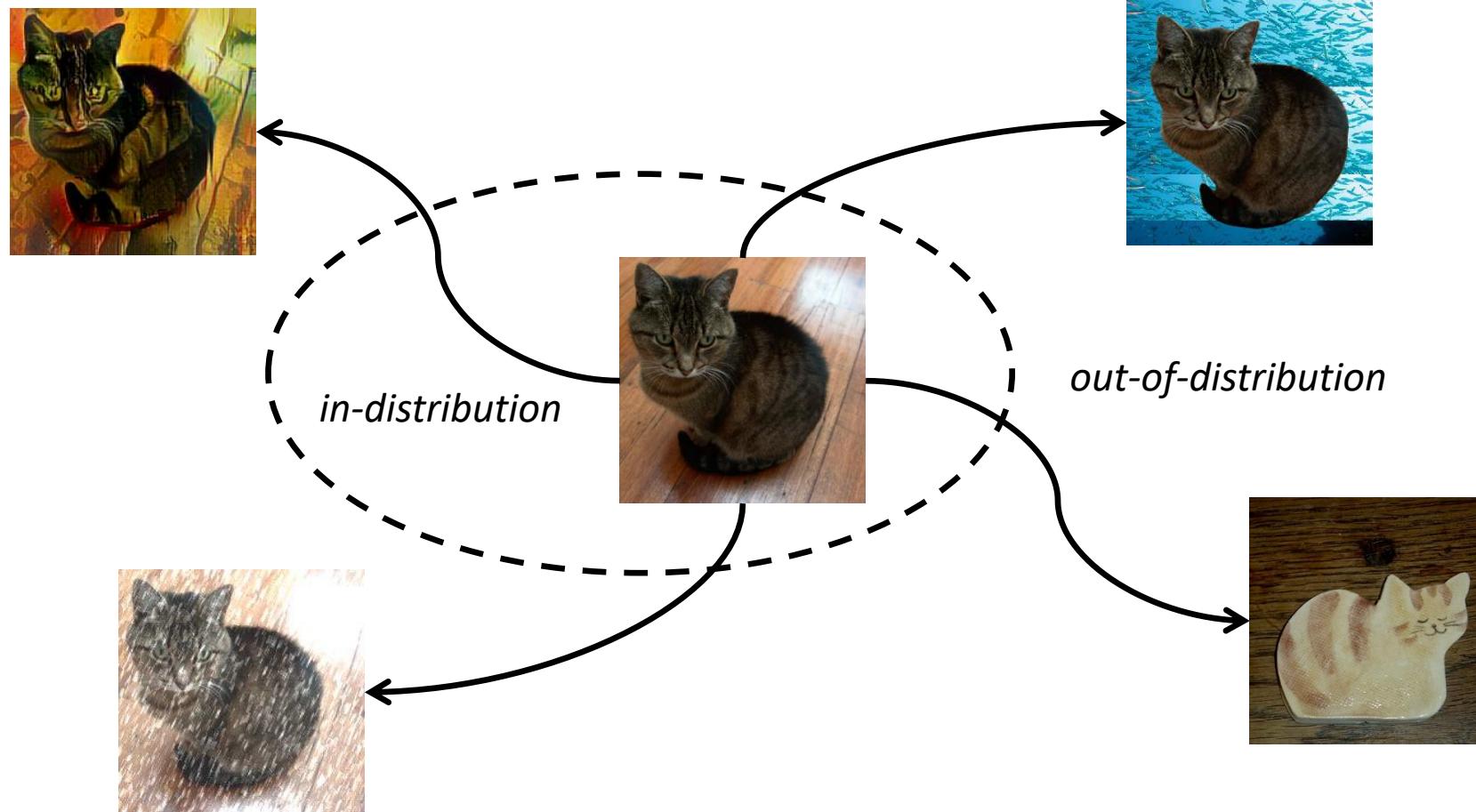
The Rise of Transformers

Success of Vision Transformers



2D Sensory Data with Distribution Shifts

Taxonomy of out-of-distribution shifts in 2D images



Investigation Protocol

Categorization of distribution shifts

| Shift Type | background | foreground | | | |
|------------------|------------|------------|---------|-------|-----------|
| | | pixel | texture | shape | structure |
| Background Shift | | ✓ | ✓ | ✓ | ✓ |
| Corruption Shift | | | ✓ | ✓ | ✓ |
| Texture Shift | | | | ✓ | ✓ |
| Style Shift | | | | | ✓ |

Out-of-distribution (OOD) generalization evaluation protocols

➤ Accuracy on OOD Data

$$Acc(F, C; \mathcal{D}_{ood}) = \frac{1}{|\mathcal{D}_{ood}|} \sum_{(x,y) \in \mathcal{D}_{ood}} \mathbf{1}(C(F(x)) = y).$$

➤ IID/OOD Generalization Gap

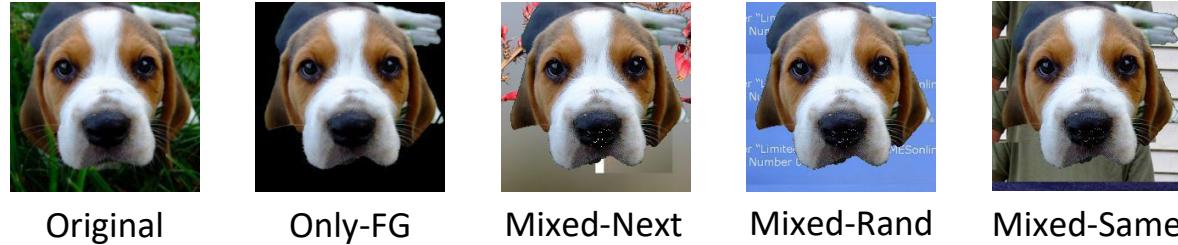
$$Gap(F, C; \mathcal{D}_{iid}, \mathcal{D}_{ood}) = Acc(F, C; \mathcal{D}_{iid}) - Acc(F, C; \mathcal{D}_{ood}).$$



Experimental Results and Analysis

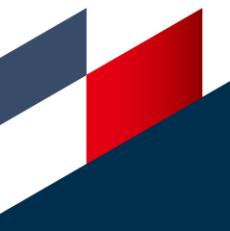
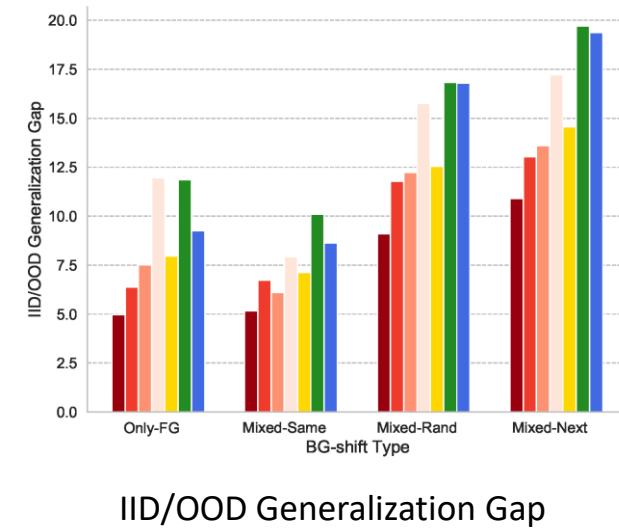
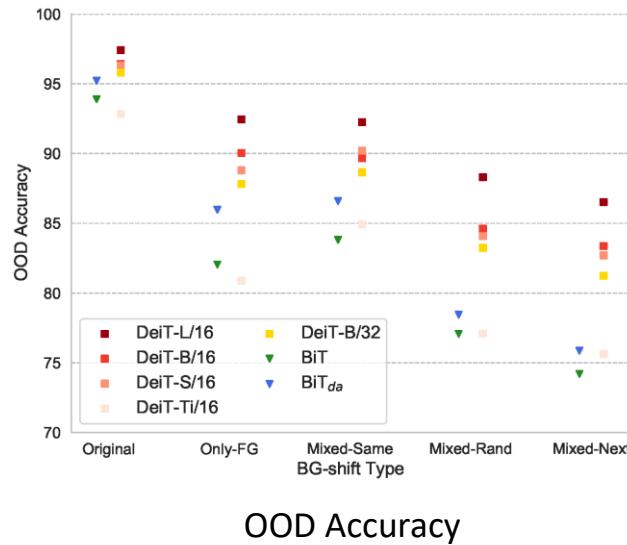
Background shift results

ImageNet-9 Dataset



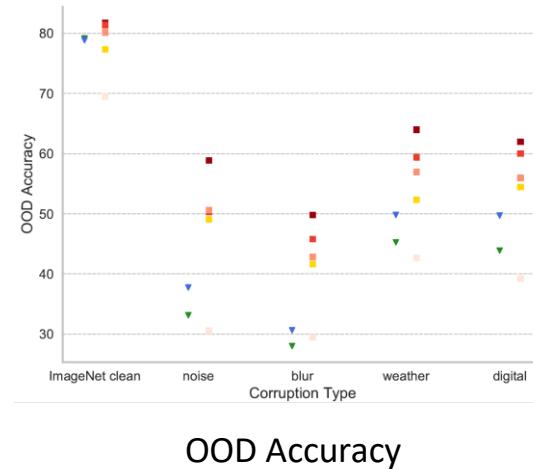
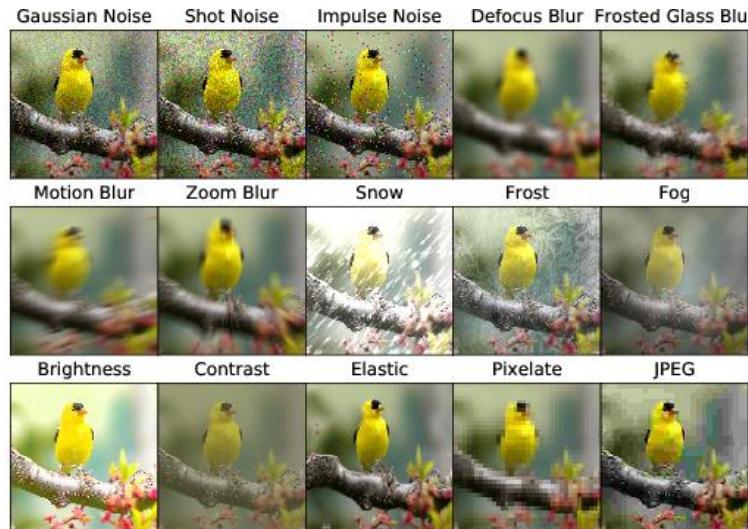
- ViTs perform with a weaker background-bias than CNNs.
- A larger ViT extracts a more background-irrelevant representation.

ImageNet-9 Results



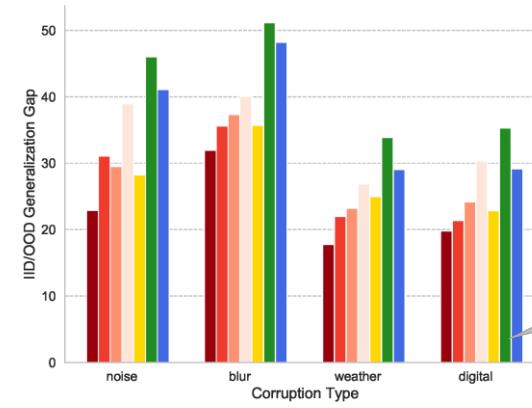
Experimental Results and Analysis

Corruption shift results



ImageNet-C Dataset

ImageNet-C Results



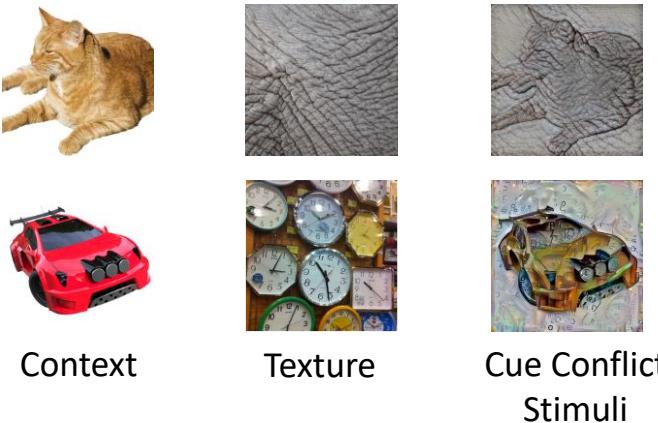
- ViTs deal with corruption shifts better than CNNs and generalize better along with model size scaling up.
- ViTs benefit from diverse augmentation in enhancing generalization towards vicinal impurities, but their architectural advantage cannot be overlooked.

Experimental Results and Analysis

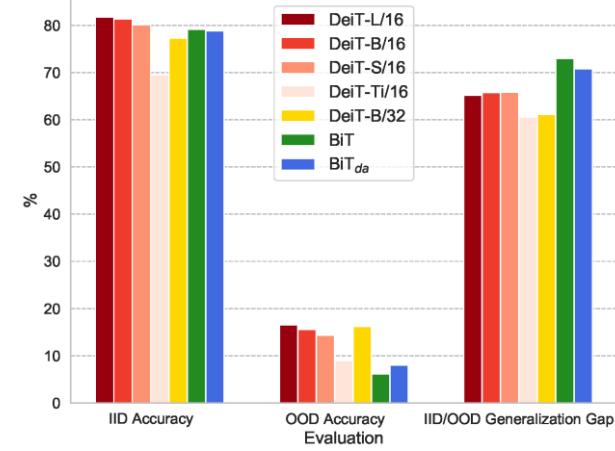
Texture shift results



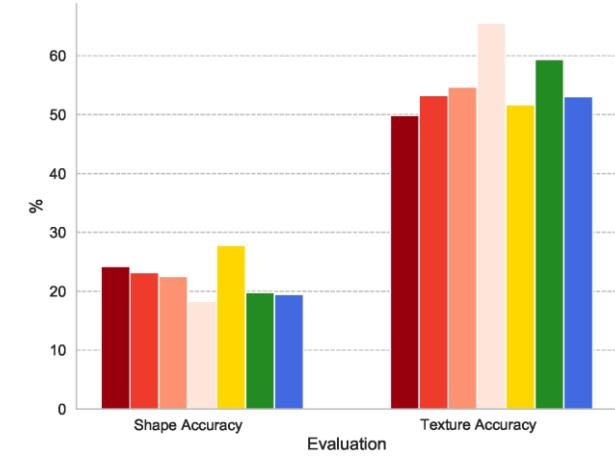
Stylized-ImageNet Dataset



Cue Conflict Stimuli Dataset



Stylized-ImageNet Results

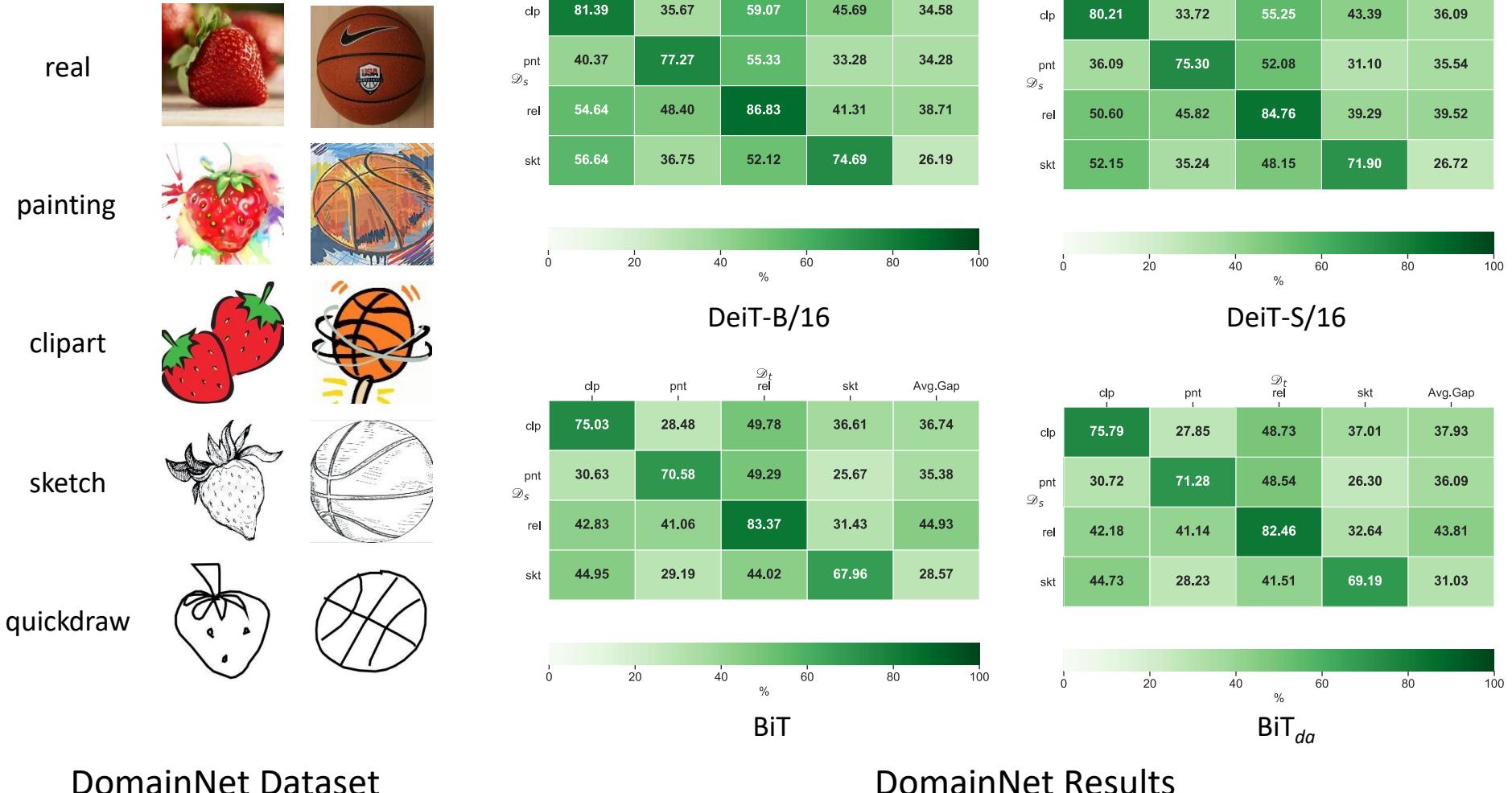


Cue Conflict Stimuli Results

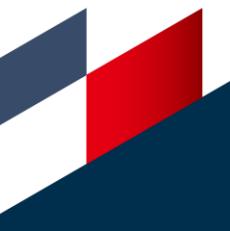
- ViTs' stronger bias towards shape enables them to generalize better under texture shifts and their shape biases have a positive correlation with their sizes.
- ViTs with larger patch size exhibit a stronger bias towards the shape.

Experimental Results and Analysis

Style shift results

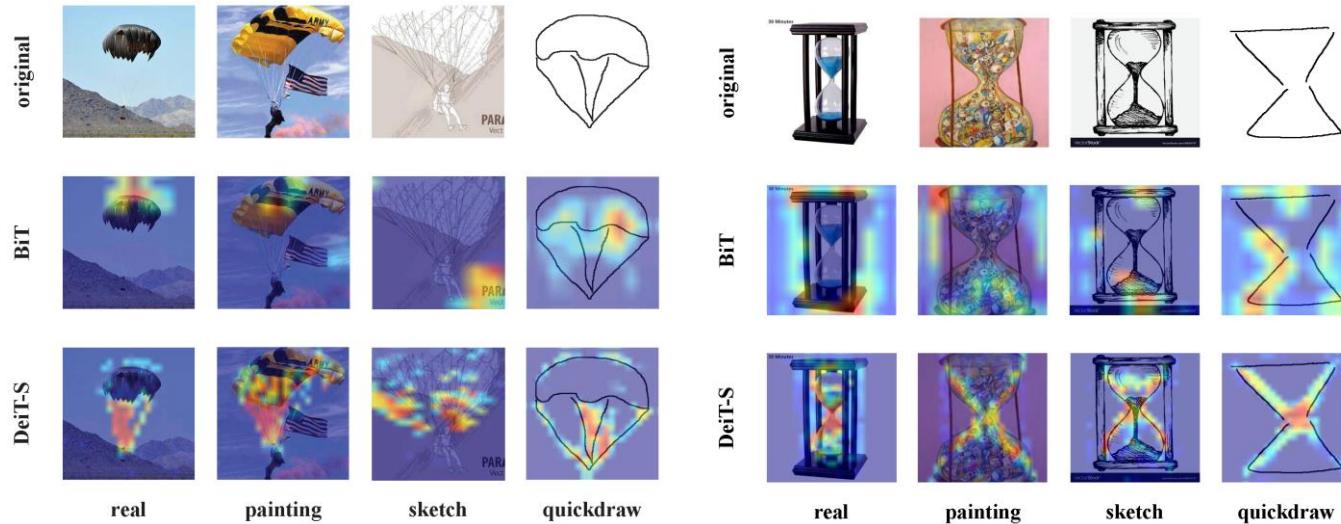


- ViTs have diverse on IID/OOD generalization gap under Style shifts.

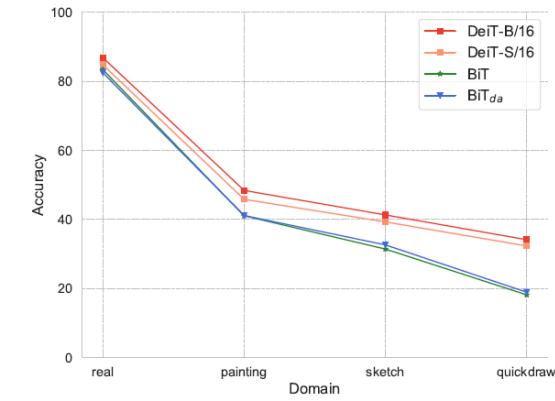


Experimental Results and Analysis

Structure bias investigation



Grad-CAM Heat Maps

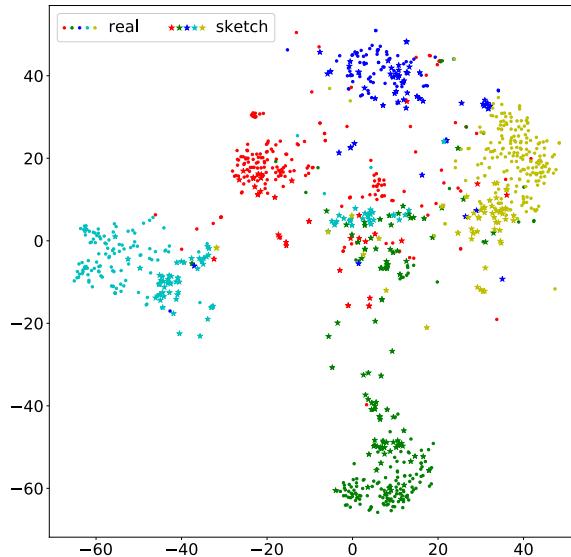


Accuracies of models trained with real on different domains

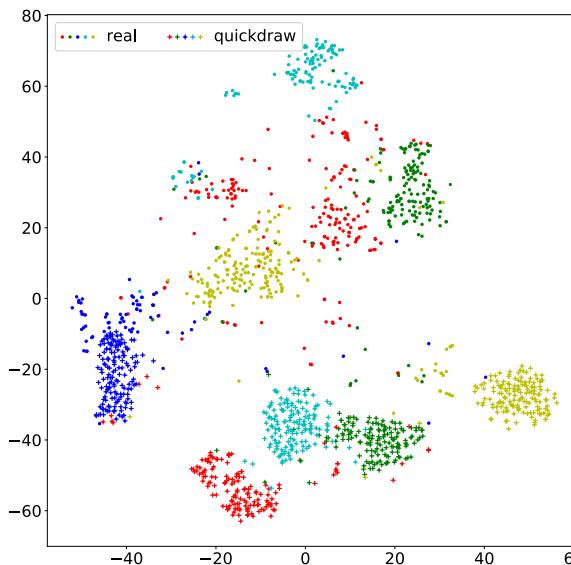
- ViTs shows stronger bias towards object structure.

Experimental Results and Analysis

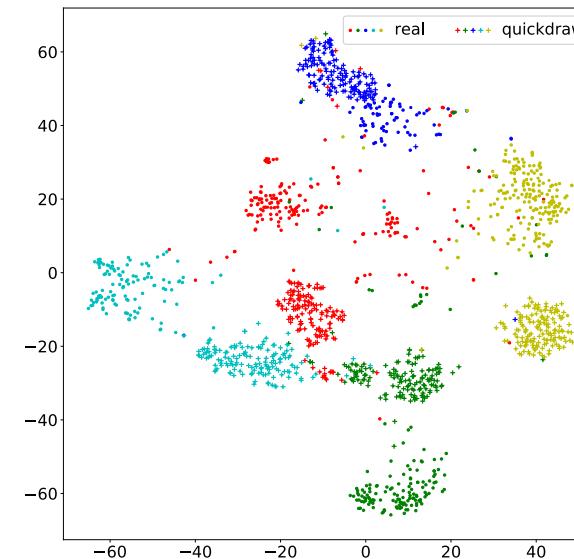
Structure bias investigation



real vs. painting



real vs. sketch



real vs. quickdraw

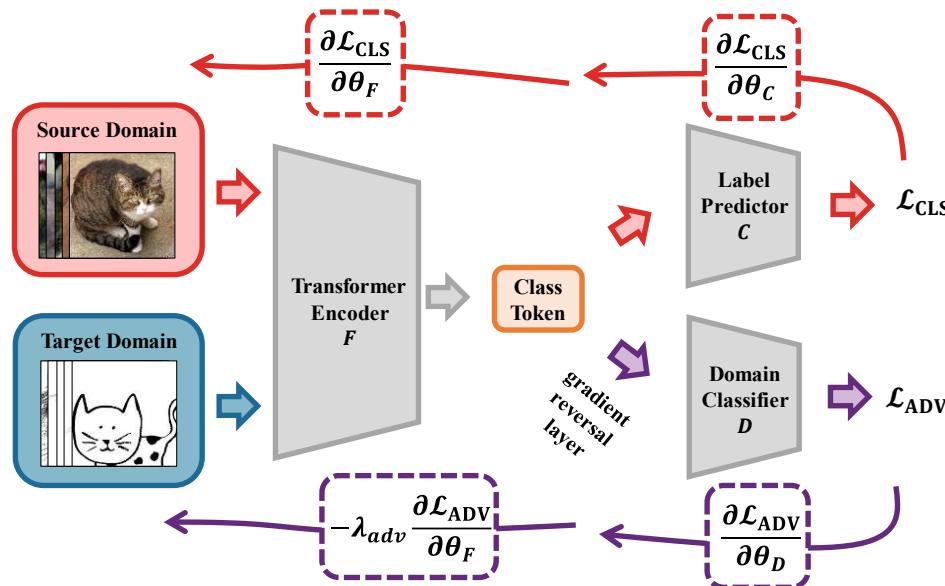
T-SNE Visualization Results in Layer 12

- ViTs will eliminate different levels of DS in different layers.

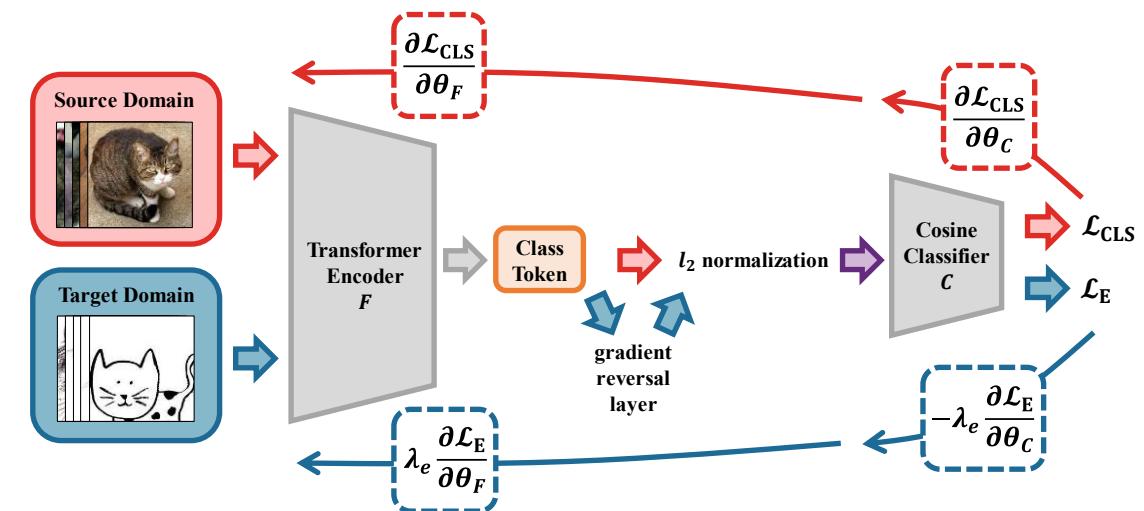
Enhancing Generalization of ViTs

Generalization-Enhanced ViTs

T-ADV (based on adversarial learning)



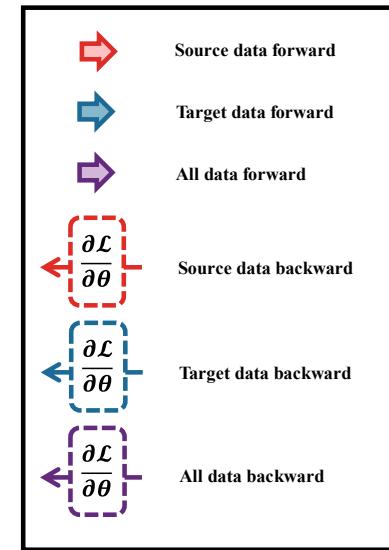
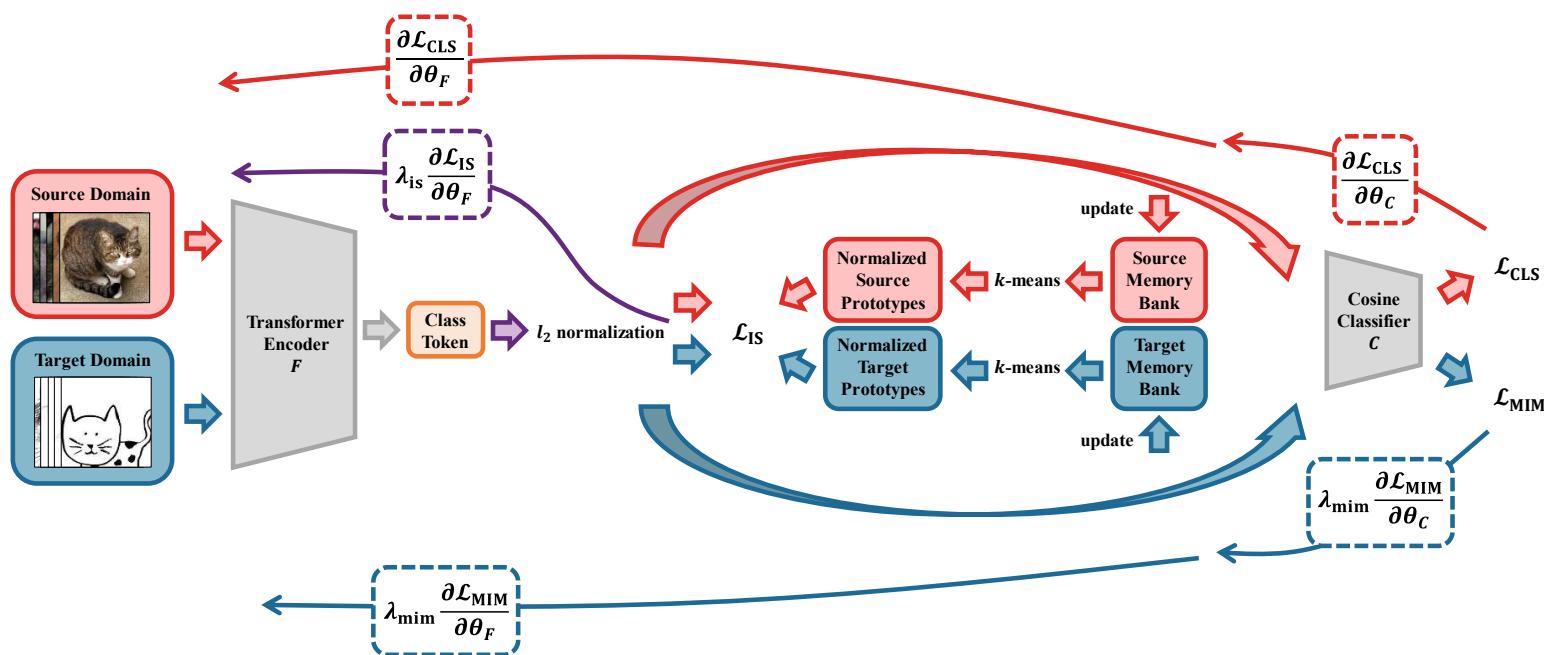
T-MME (based on minimax entropy)



Enhancing Generalization of ViTs

Generalization-Enhanced ViTs

T-SSL (based on self-supervised learning)

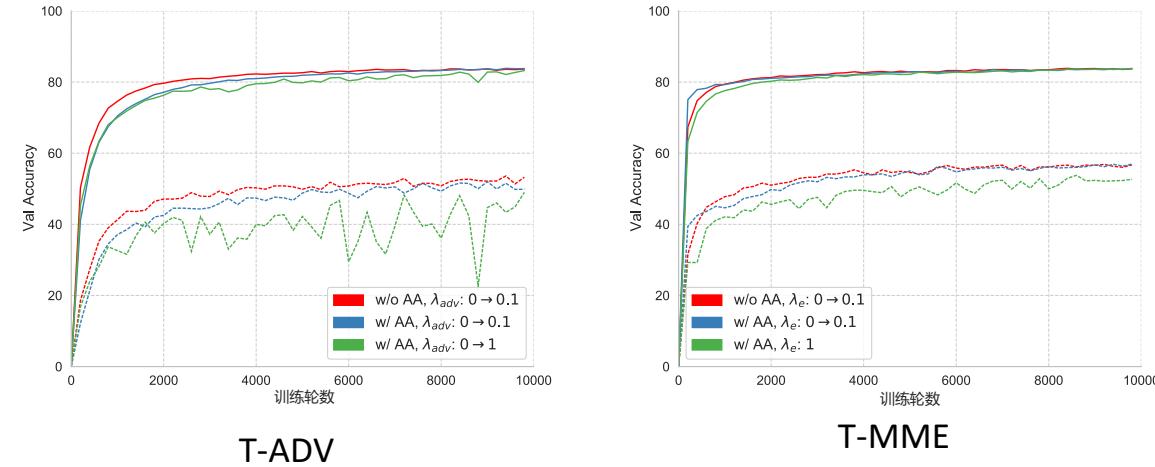


Enhancing Generalization of ViTs

Studies on Generalization-Enhanced ViTs

| Model | Method | R→C | R→P | P→C | C→S | S→P | R→S | P→R | Avg. |
|-----------|--------|------|------|------|------|------|------|------|------|
| DeiT-B/16 | - | 54.6 | 48.4 | 40.4 | 45.7 | 36.8 | 41.3 | 55.3 | 46.1 |
| | T-ADV | 58.2 | 50.9 | 41.9 | 51.2 | 46.1 | 47.5 | 55.7 | 50.2 |
| | T-MME | 60.6 | 52.0 | 42.3 | 50.3 | 45.8 | 48.0 | 54.9 | 50.5 |
| | T-SSL | 56.8 | 49.1 | 46.0 | 51.8 | 47.0 | 46.0 | 61.0 | 51.1 |
| DeiT-S/16 | - | 50.6 | 45.8 | 36.1 | 43.4 | 35.2 | 39.3 | 52.1 | 43.2 |
| | T-ADV | 53.6 | 47.8 | 38.0 | 47.1 | 41.6 | 41.9 | 52.8 | 46.1 |
| | T-MME | 56.9 | 49.2 | 39.0 | 46.5 | 43.0 | 42.1 | 52.5 | 47.0 |
| | T-SSL | 53.9 | 46.7 | 42.8 | 47.3 | 43.0 | 40.9 | 57.1 | 47.4 |
| BiT | - | 42.2 | 41.1 | 30.7 | 37.0 | 28.2 | 32.6 | 48.5 | 36.8 |
| | DANN | 45.2 | 42.9 | 33.0 | 40.4 | 36.6 | 35.3 | 49.3 | 40.4 |
| | MME | 50.2 | 44.6 | 34.8 | 40.3 | 38.4 | 37.8 | 47.6 | 42.0 |
| | SSL | 52.6 | 42.8 | 39.0 | 45.7 | 39.1 | 39.7 | 56.1 | 45.0 |
| VGG-16 | - | 39.4 | 37.3 | 26.4 | 33.0 | 25.6 | 27.8 | 45.7 | 33.6 |
| | DANN | 43.3 | 40.1 | 28.7 | 36.2 | 31.6 | 35.5 | 44.7 | 37.2 |
| | MME | 42.7 | 42.5 | 27.4 | 36.9 | 33.9 | 32.6 | 45.9 | 37.4 |
| | SSL | 43.8 | 41.9 | 32.2 | 35.7 | 37.0 | 31.1 | 55.2 | 39.5 |

Results of Generalization-enhanced methods



T-ADV

T-MME

T-SSL

Effectiveness of different training strategies



Code and models

- Released at https://github.com/Phoenix1153/ViT_OOD_generalization

☰ README.md

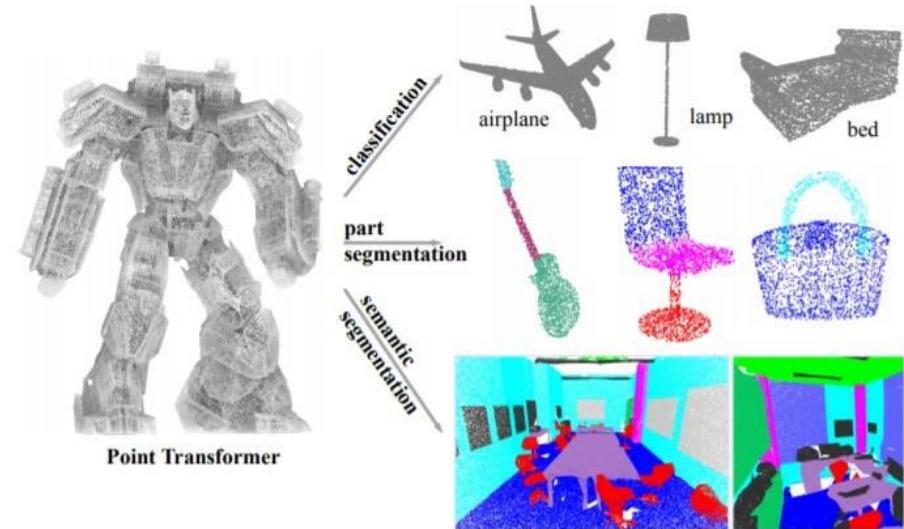
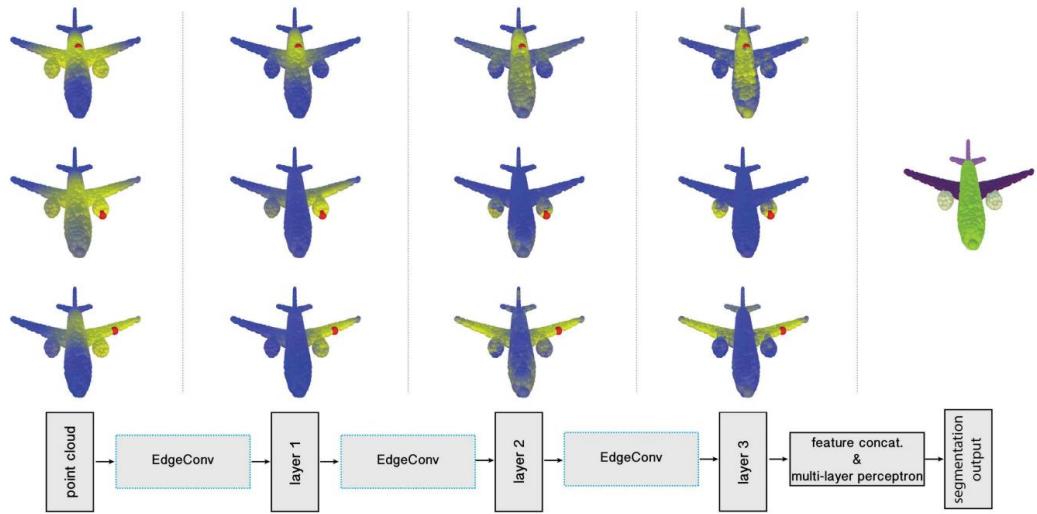
☞ Out-of-distribution Generalization Investigation on Vision Transformers

This repository contains PyTorch evaluation code for CVPR 2022 accepted paper [Delving Deep into the Generalization of Vision Transformers under Distribution Shifts](#).

Taxonomy of Distribution Shifts

| Shift Type | background | foreground | | | |
|------------------|------------|------------|---------|-------|-----------|
| | | pixel | texture | shape | structure |
| Background Shift | | ✓ | ✓ | ✓ | ✓ |
| Corruption Shift | | | ✓ | ✓ | ✓ |
| Texture Shift | | | | ✓ | ✓ |
| Style Shift | | | | | ✓ |

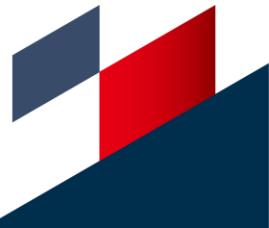
Convolution v.s. Attention (3D Vision)



Ren et al., Benchmarking and Analyzing Point Cloud Classification under Corruptions, ArXiv 2022

Related Works:

Sun et al., Benchmarking Robustness of 3D Point Cloud Recognition Against Common Corruptions, ArXiv 2022

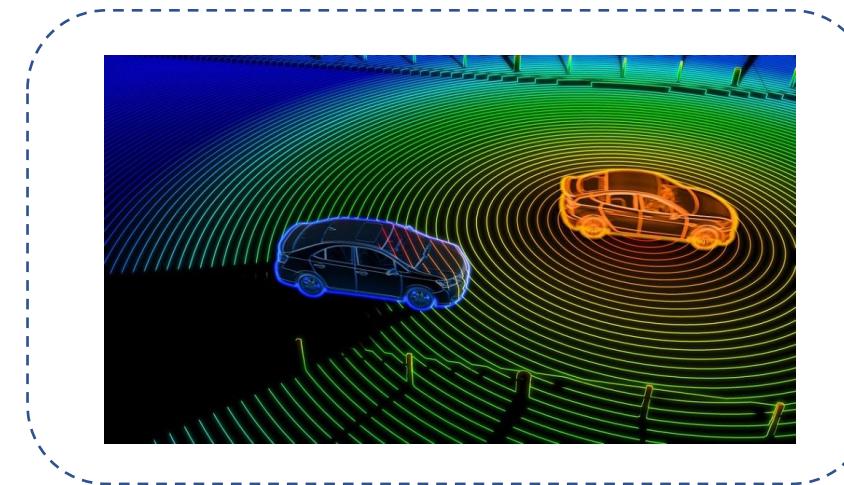


Robustness is Crucial in Point Cloud

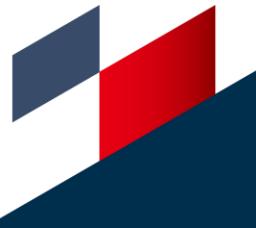
- Point clouds are used in **safety-critical** applications but often suffer from severe **OOD corruptions**.



Corruptions are severe and OOD
e.g., occlusions, sensory noise

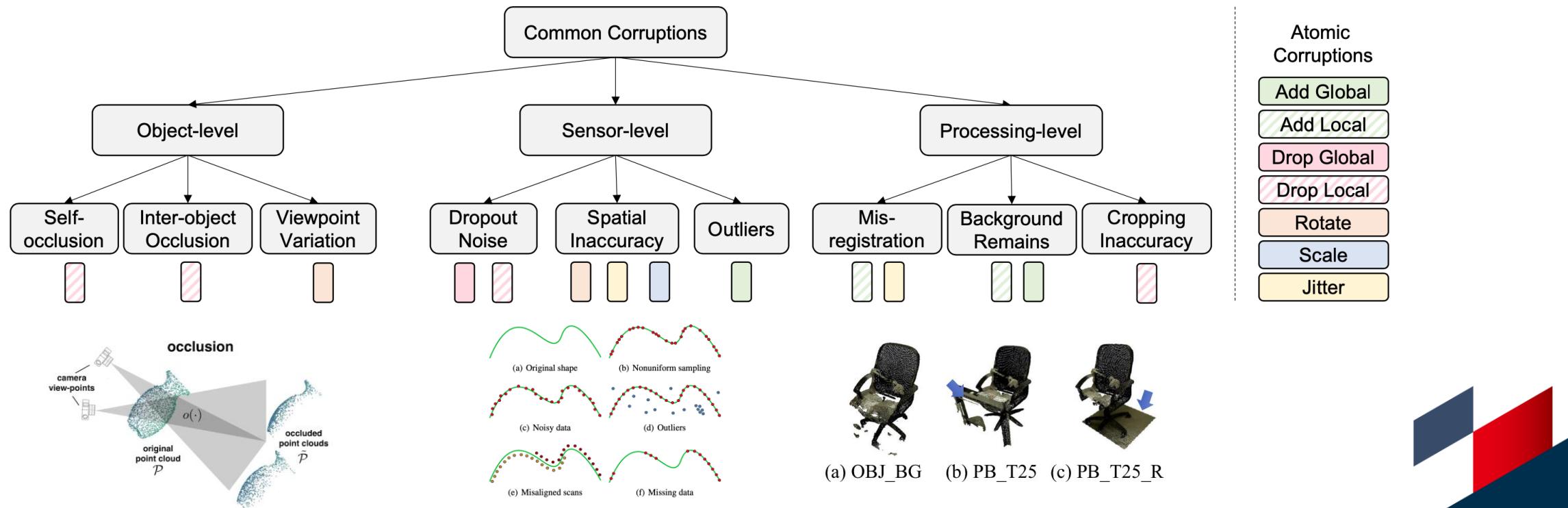


Applications are safety-critical
e.g., autonomous driving



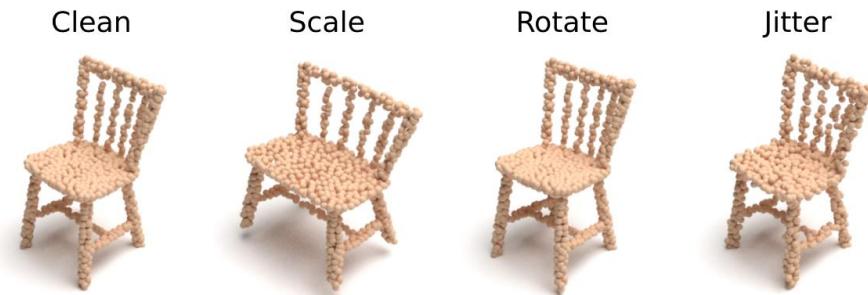
3D Sensory Data with Distribution Shifts

- **Corruptions Taxonomy:** We break down common corruptions into detailed corruption sources, and further simplify them into a combination of atomic corruptions.

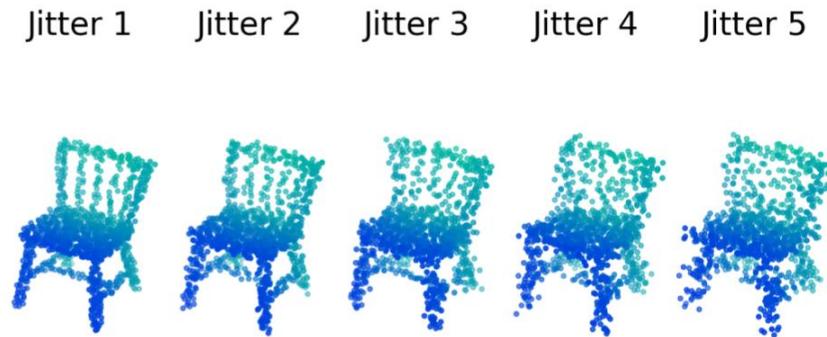


Comprehensive Benchmarking Suite

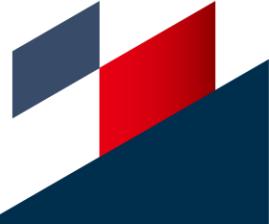
ModelNet-C: ModelNet40 is one of the most used benchmarks. We corrupt the ModelNet40 testset using the atomic corruptions with varying severities.



Atomic Corruptions



Different Severities

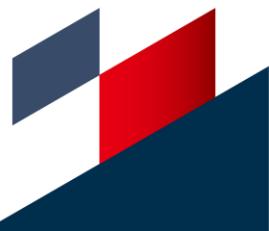
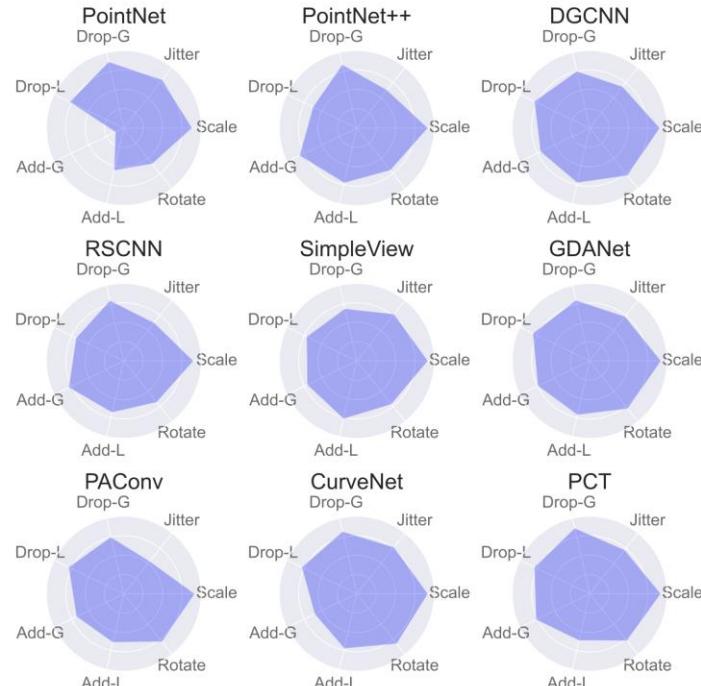


Evaluation Protocol

Evaluation Metrics: Inspired by the ImageNet-C, we use mean CE (mCE), as the primary metric. Compared to the commonly used Overall Accuracy (OA), mCE shows average performance under all types of corruptions.

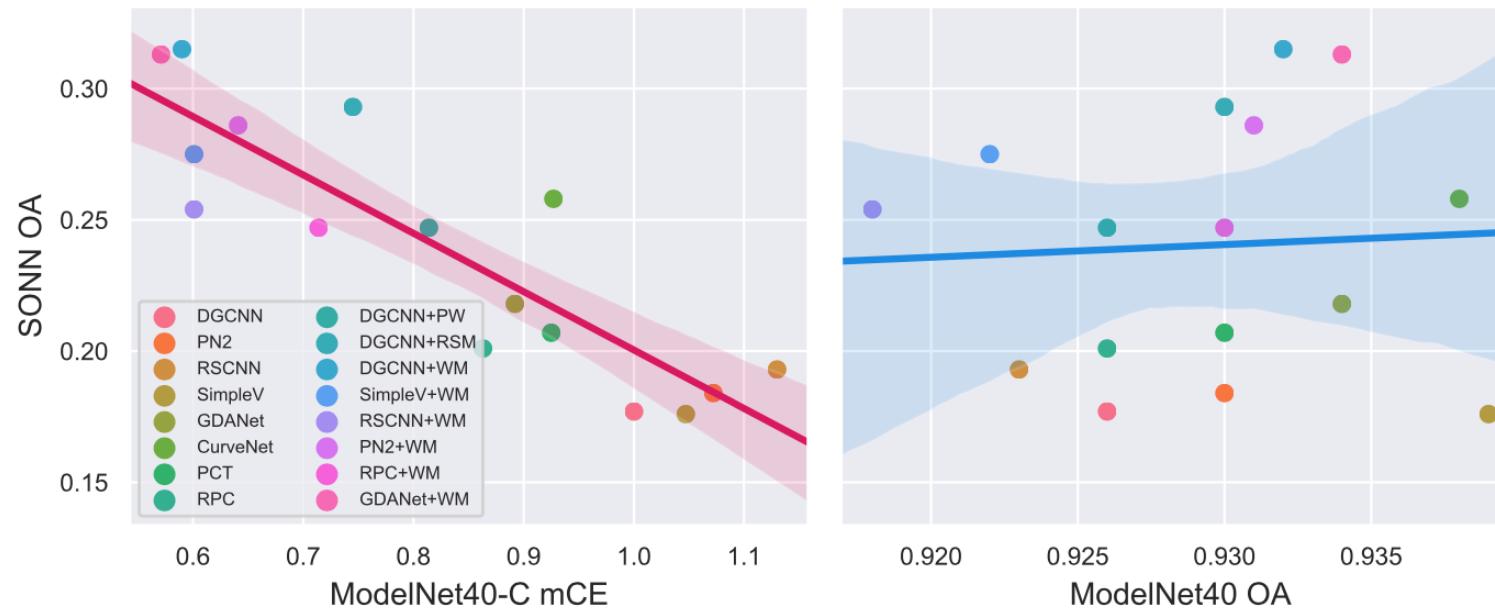
$$\text{CE}_i = \frac{\sum_{l=1}^5 (1 - \text{OA}_{i,l})}{\sum_{l=1}^5 (1 - \text{OA}_{i,l}^{\text{DGCNN}})},$$

$$\text{mCE} = \frac{1}{N} \sum_{i=1}^N \text{CE}_i$$



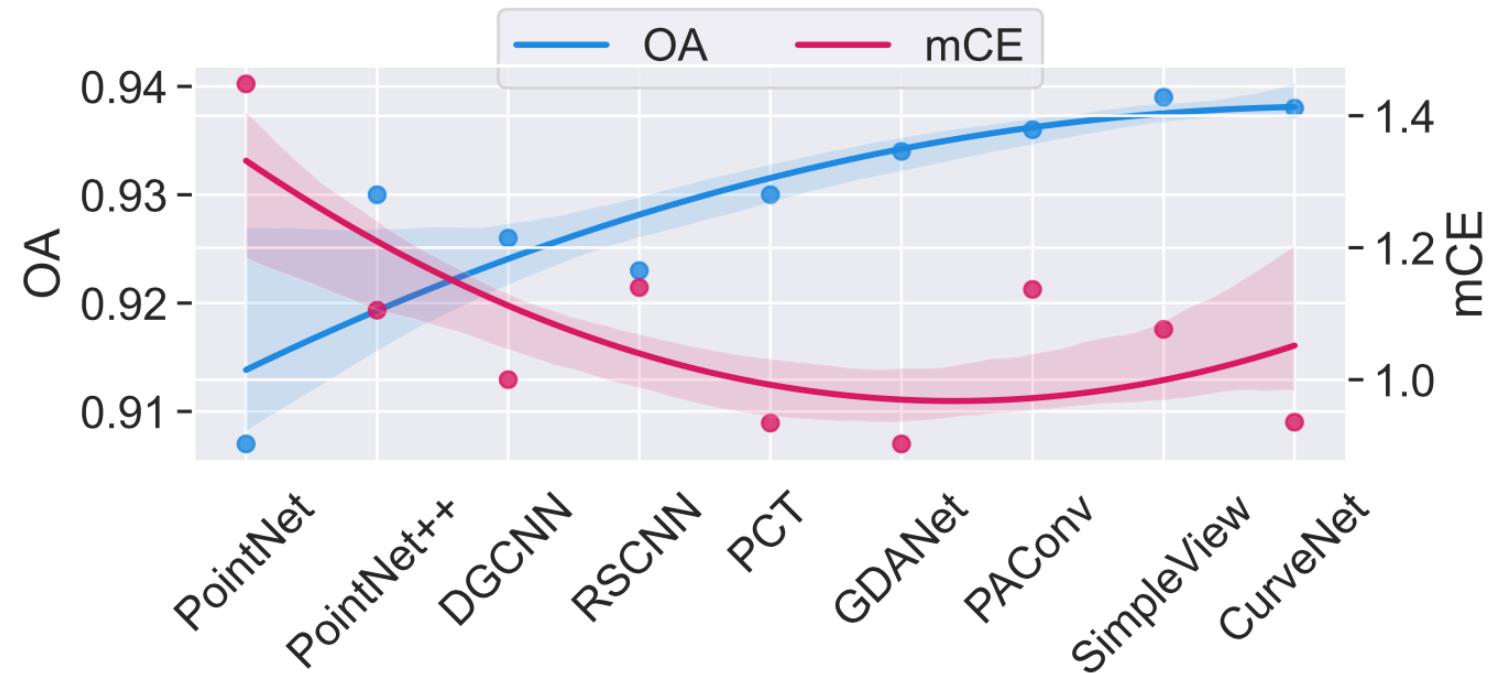
Indicative of real-world robustness?

- Yes. We observe that ModelNet-C mCE strongly correlates to ScanObjectNN (SONN) OA. In comparison, ModelNet40 OA has nearly no correlation to SONN OA.



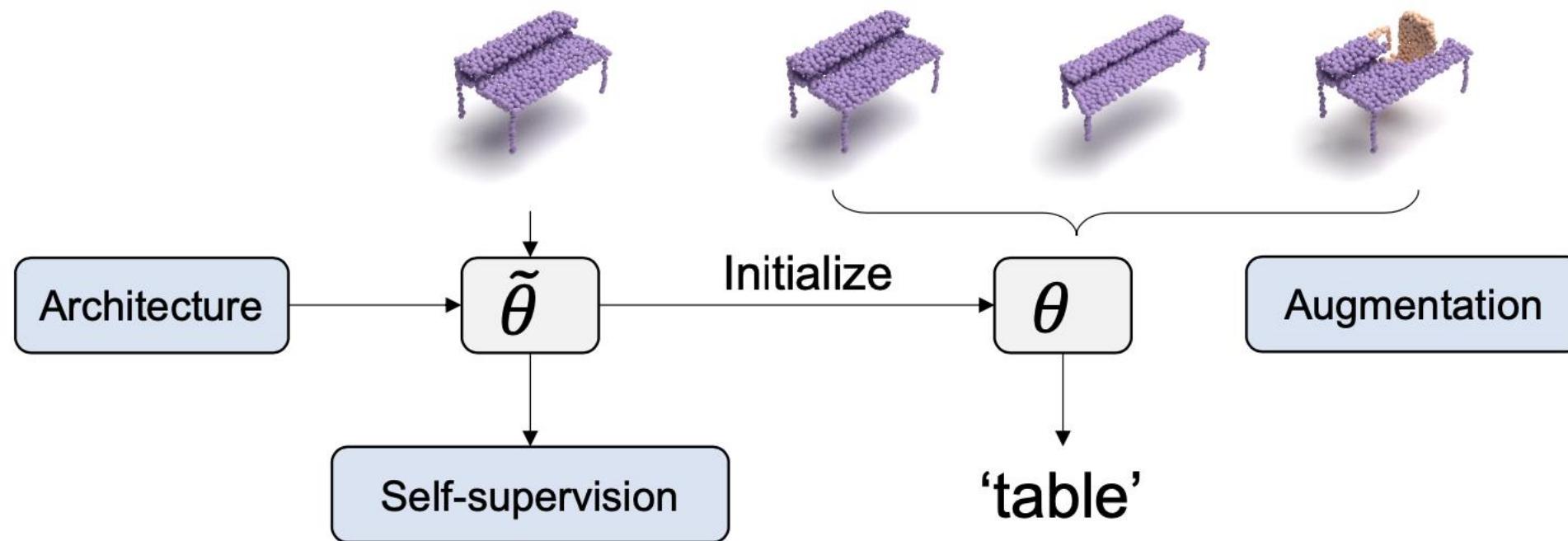
Point cloud classifier getting more robust?

- **No.** Although the accuracy on ModelNet40 gradually saturates, the robustness is at the risk of getting worse, due to the lack of a standard test suite.



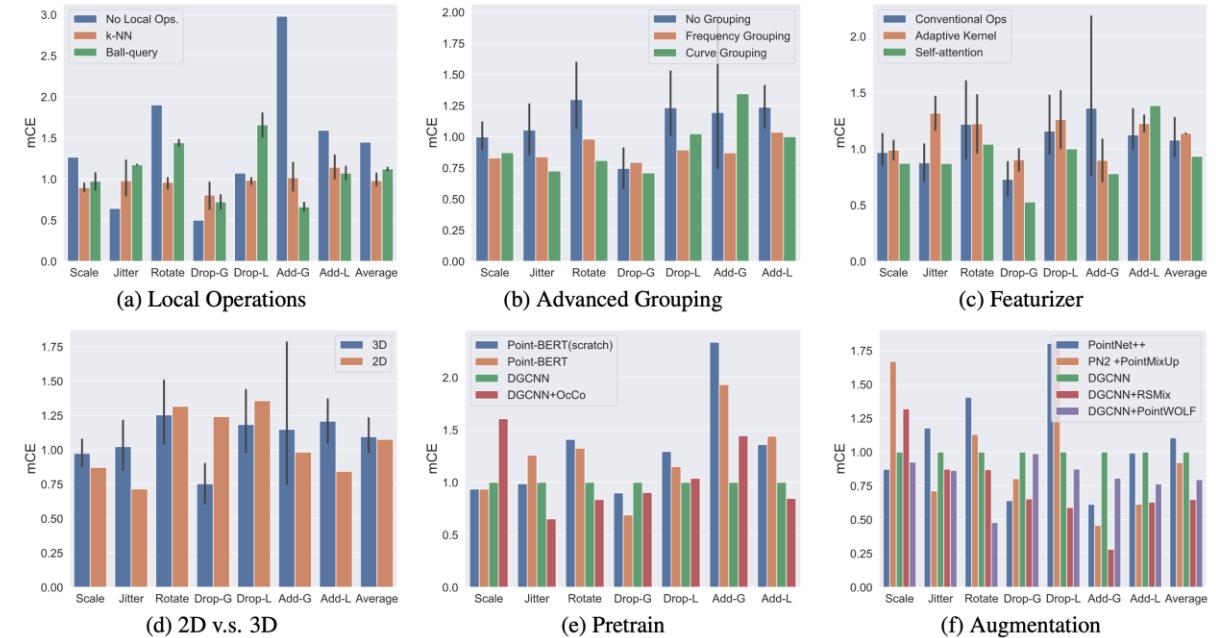
What makes a robust point cloud classifier?

- **Three main components:** 1) architecture design, 2) self-supervised pretraining 3) augmentation methods.



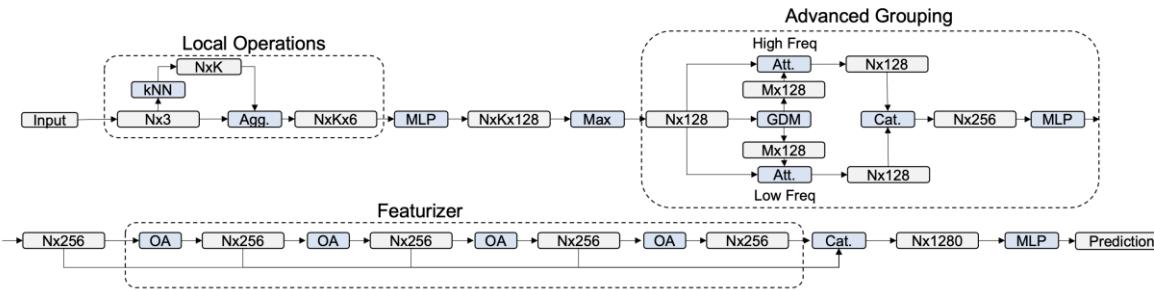
What makes a robust point cloud classifier?

- We conduct a comprehensive analysis and observe:
 - Proper architecture designs can improve robustness, e.g., advanced grouping and self-attention.
 - Pretrain signals can be transferred, benefiting robustness under specific corruptions.
 - Mixing and deformation augmentations can bring significant improvements to model robustness.

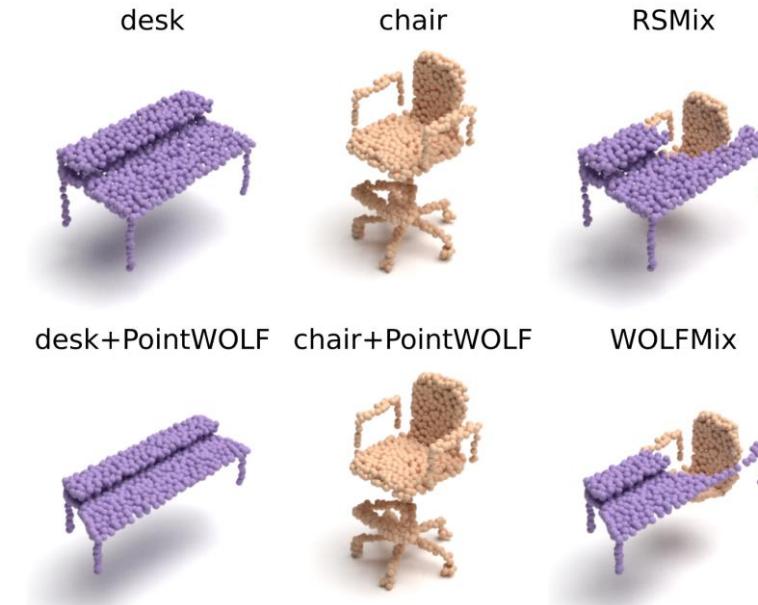


Enhancing Robustness in Point Cloud

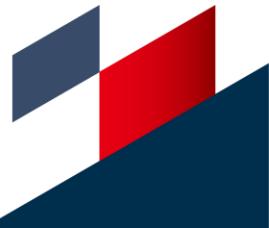
- For verification, we propose a new architecture and a new augmentation technique strictly following our empirical findings.
- They *outperform* existing methods.



Our proposed architecture *RPC*

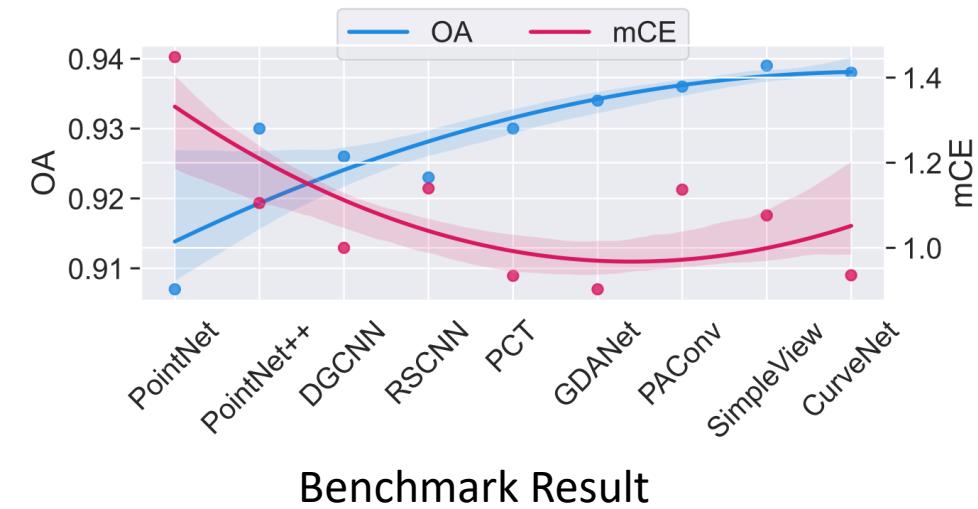
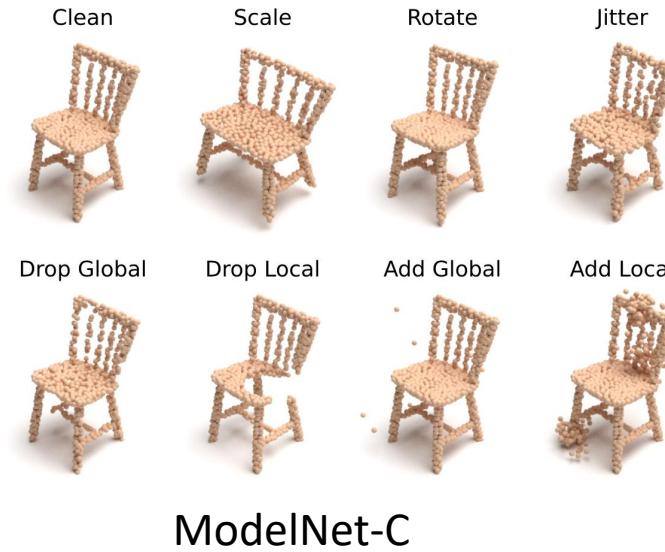


Our proposed augmentation *WolfMix*



Conclusion

- The SoTA methods for point cloud classification on clean data are becoming **less robust** to random real-world corruptions.
- We highly encourage future research to **focus on classification robustness** so as to benefit real applications.



Code, Models & Dataset

Released at <https://github.com/jiawei-ren/ModelNet-C>

☰ README.md

ModelNet-C

Code for the paper "Benchmarking and Analyzing Point Cloud Classification under Corruptions". For the latest updates, see: sites.google.com/view/modelnetc/home

Benchmarking and Analyzing Point Cloud Classification under Corruptions
Jiawei Ren, Liang Pan, Ziwei Liu
arXiv 2022



The image displays eight point cloud visualizations of a wooden chair, arranged in two rows of four. Each visualization illustrates a different type of corruption applied to the original point cloud:

- Clean: The original, uncorrupted point cloud.
- Scale: The point cloud is scaled uniformly along all three axes.
- Rotate: The point cloud is rotated around its vertical axis.
- Jitter: The point cloud is randomly jittered (perturbed) across all dimensions.
- Drop Global: Many points are removed from the entire point cloud, leaving large gaps.
- Drop Local: Points are removed from specific local regions of the point cloud.
- Add Global: New points are added to the entire point cloud, creating a denser but noisy representation.
- Add Local: New points are added to specific local regions of the point cloud.

Generalization in Vision Models

Semantic Shift

*OOD
Detection*

*Zero-shot /
Few-shot /
Long-tailed
Learning*



**Sensory
Modalities**

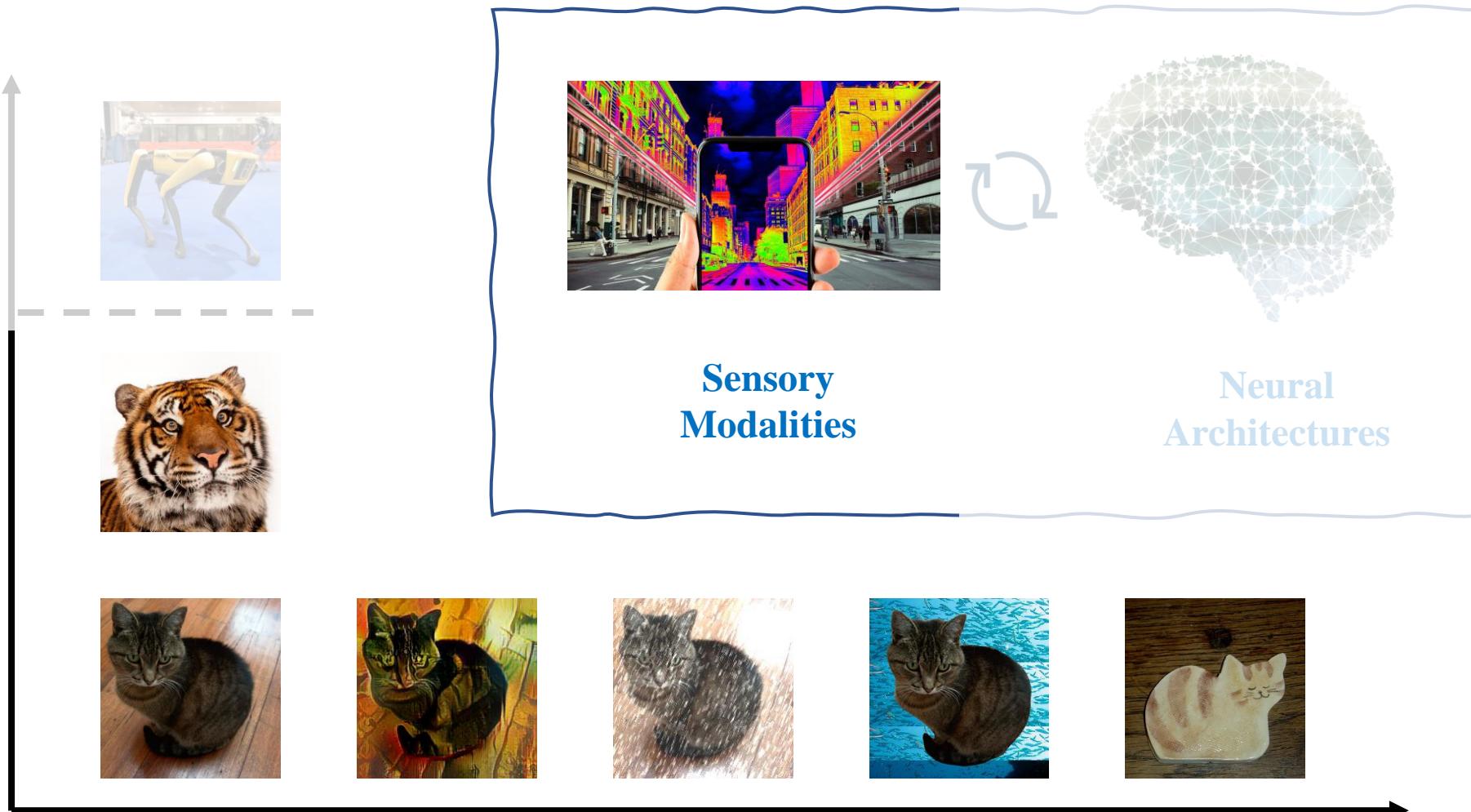


*Neural
Architectures*



Corruptions / Perturbations / Domain Shifts

Covariate Shift

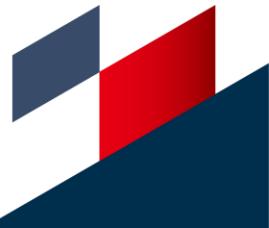


Vision + Language



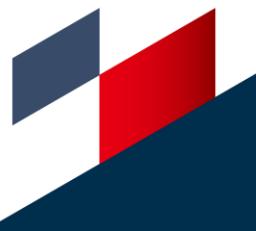
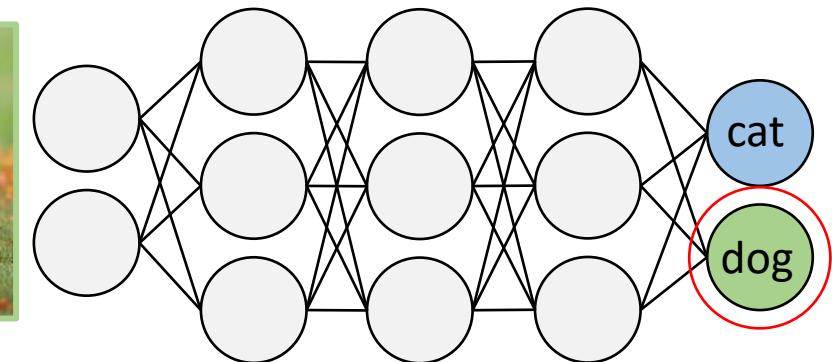
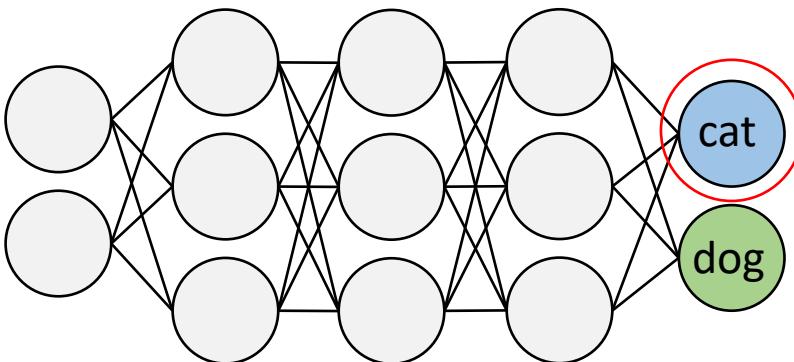
Zhou et al., Learning to Prompt for Vision-Language Models, ArXiv 2021

Zhou et al., Conditional Prompt Learning for Vision-Language Models, CVPR 2022



Learning with discrete labels

- For image recognition we basically learn associations between images and discrete labels (represented by *randomly initialized vectors*)



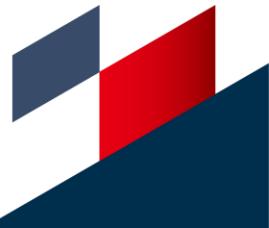
Problems with discrete labels

- Difficult to scale the dataset

We're talking about millions of images

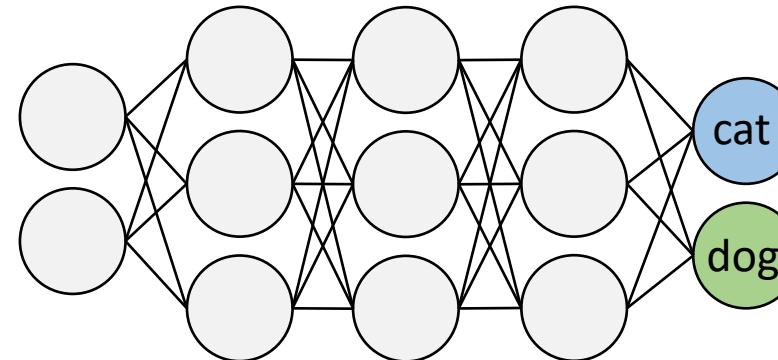


Ambiguity: a baby or a cat?



Problems with discrete labels

- Cannot generalize to new concepts (new data needs to be collected)



Learning with multi-modality signals

- Using natural language as supervision

Caption: a baby holding a kitten



- more accurate description
- can easily scale up the dataset (just search image-text pairs or use image & alt-text)

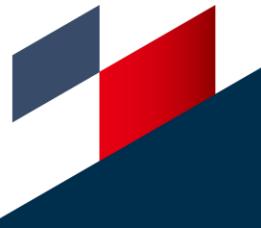
Large vision-language models



OpenAI

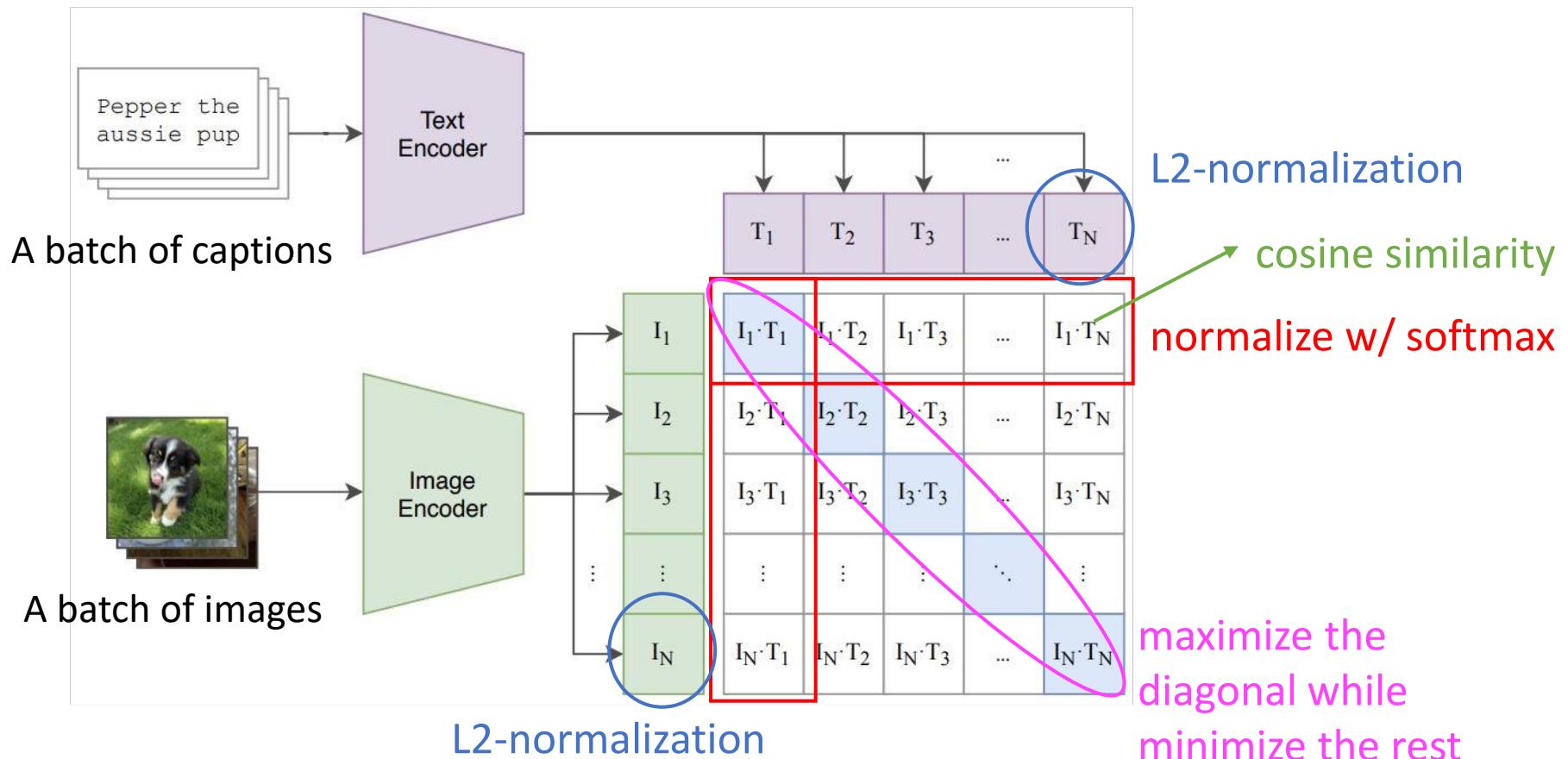
Learning Transferable Visual Models From
Natural Language Supervision
ICML 2021

Alec Radford, Jong Wook Kim, Chris Hallacy, Aditya Ramesh, Gabriel Goh, Sandhini Agarwal,
Girish Sastry, Amanda Askell, Pamela Mishkin, Jack Clark, Gretchen Krueger, Ilya Sutskever
OpenAI



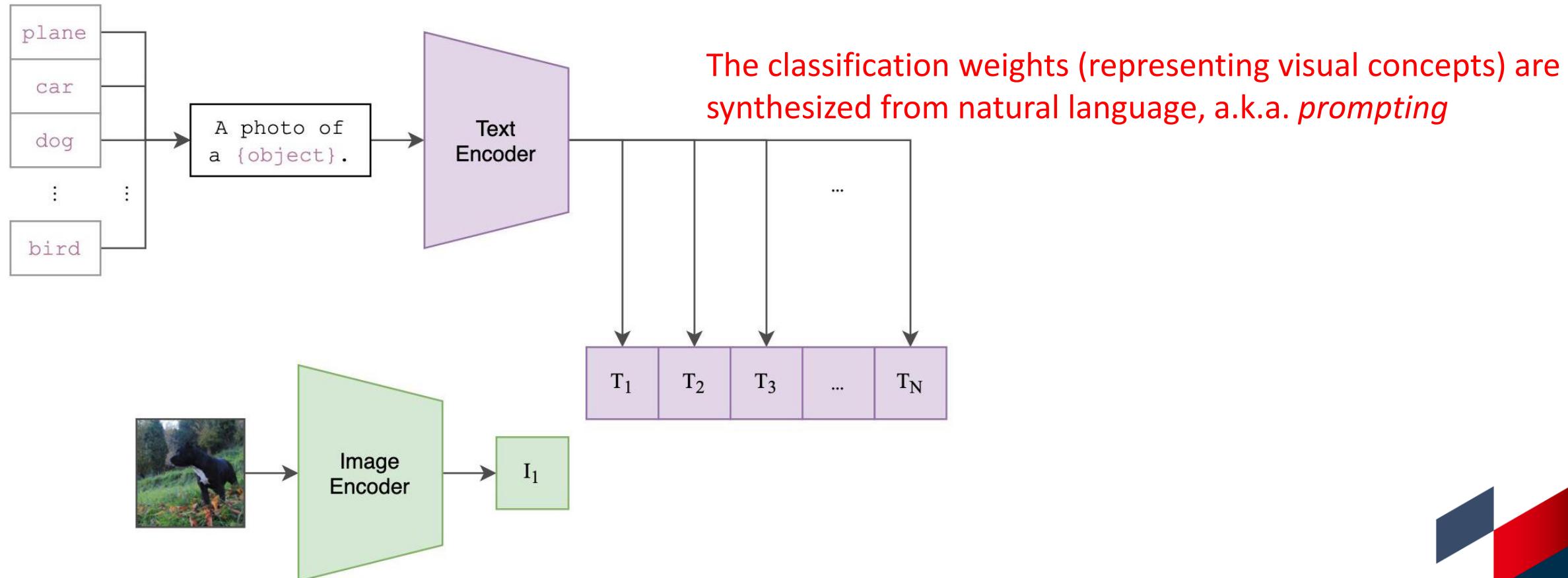
Contrastive language-image pre-training

- Training pipeline



Contrastive language-image pre-training

- Test time: can naturally do zero-shot recognition



Remarkable zero-shot performance & robustness to domain shift

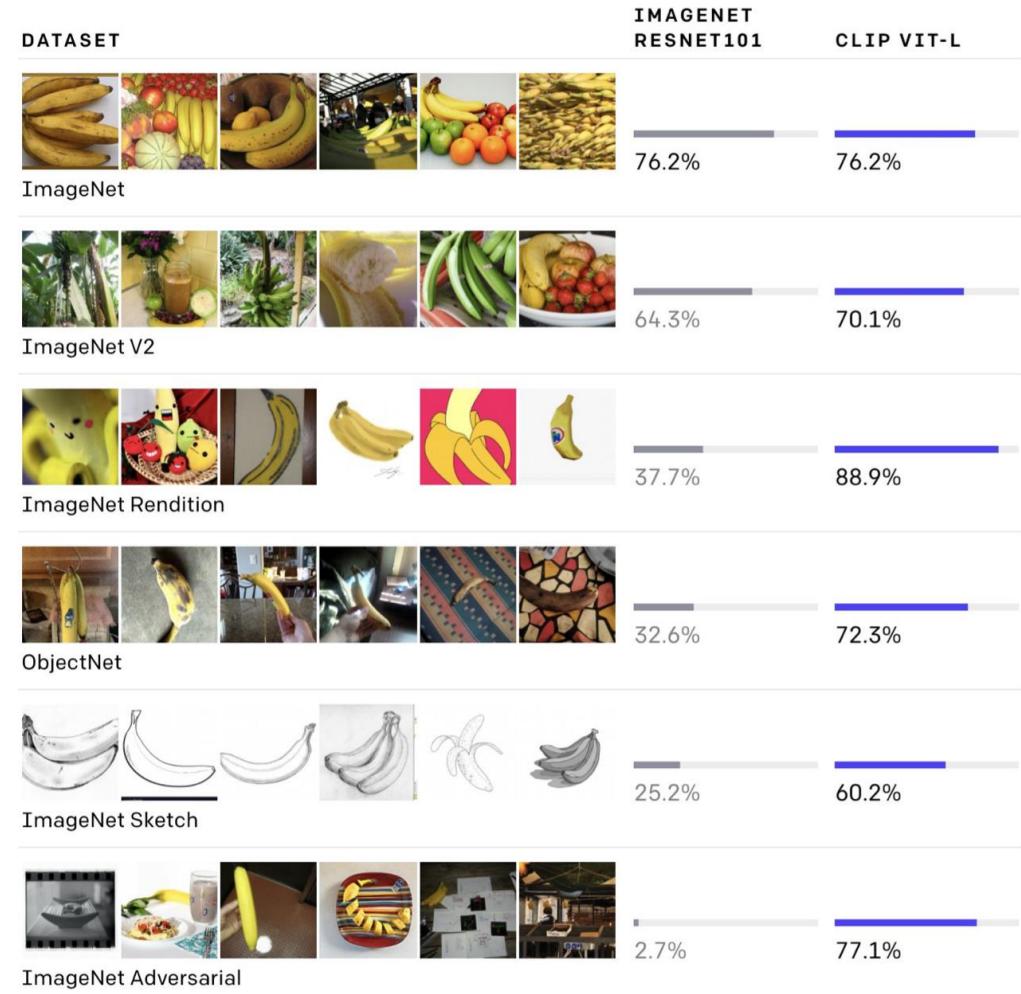
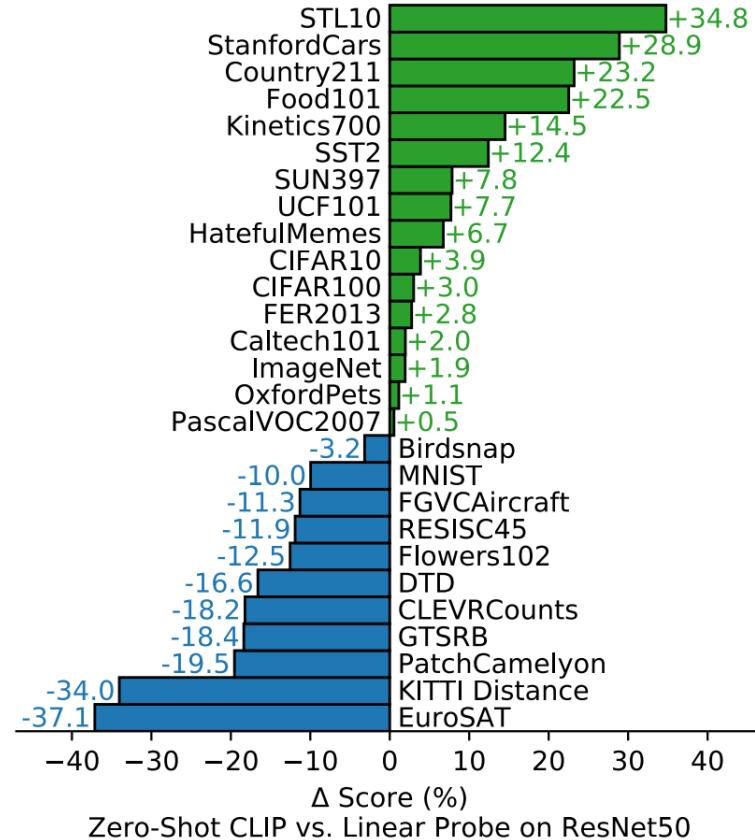


Figure 5. Zero-shot CLIP is competitive with a fully supervised baseline. Across a 27 dataset eval suite, a zero-shot CLIP classifier outperforms a fully supervised linear classifier fitted on ResNet-50 features on 16 datasets, including ImageNet.

Problem with hand-crafted prompt

- Difficult to tune the context words



Caltech101

| Prompt | Accuracy |
|---|--------------|
| a [CLASS]. | 80.77 |
| a photo of [CLASS]. | 78.99 |
| a photo of a [CLASS]. | 84.42 |
| [V] ₁ [V] ₂ ... [V] _M [CLASS]. | 92.00 |

(a)



Flowers102

| Prompt | Accuracy |
|---|--------------|
| a photo of a [CLASS]. | 56.68 |
| a flower photo of a [CLASS]. | 61.23 |
| a photo of a [CLASS], a type of flower. | 62.32 |
| [V] ₁ [V] ₂ ... [V] _M [CLASS]. | 93.22 |

(b)



Describable Textures (DTD)

| Prompt | Accuracy |
|---|--------------|
| a photo of a [CLASS]. | 38.24 |
| a photo of a [CLASS] texture. | 37.71 |
| [CLASS] texture. | 40.72 |
| [V] ₁ [V] ₂ ... [V] _M [CLASS]. | 62.55 |

(c)



EuroSAT

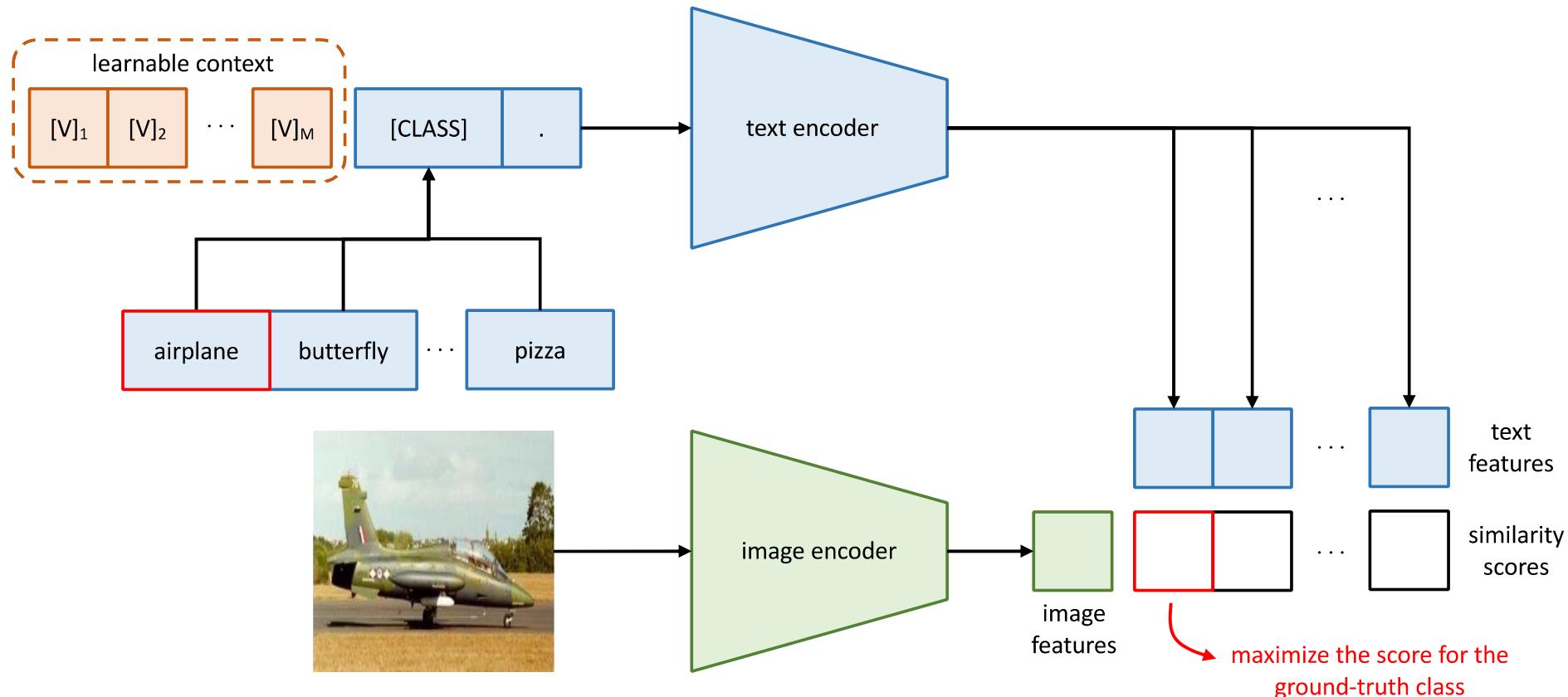
| Prompt | Accuracy |
|---|--------------|
| a photo of a [CLASS]. | 22.30 |
| a satellite photo of [CLASS]. | 31.12 |
| a centered satellite photo of [CLASS]. | 31.53 |
| [V] ₁ [V] ₂ ... [V] _M [CLASS]. | 81.60 |

(d)

Question: Can we instead learn the context? (Yes, use prompt learning!)

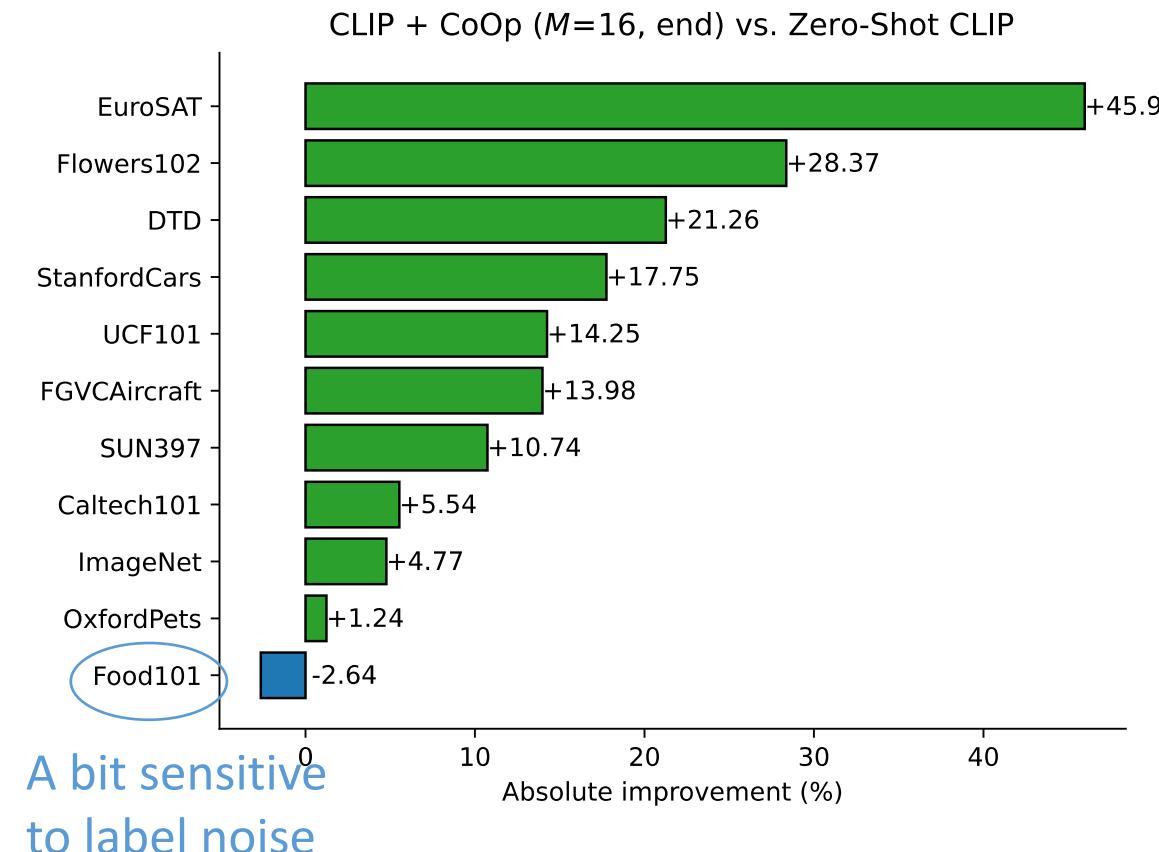
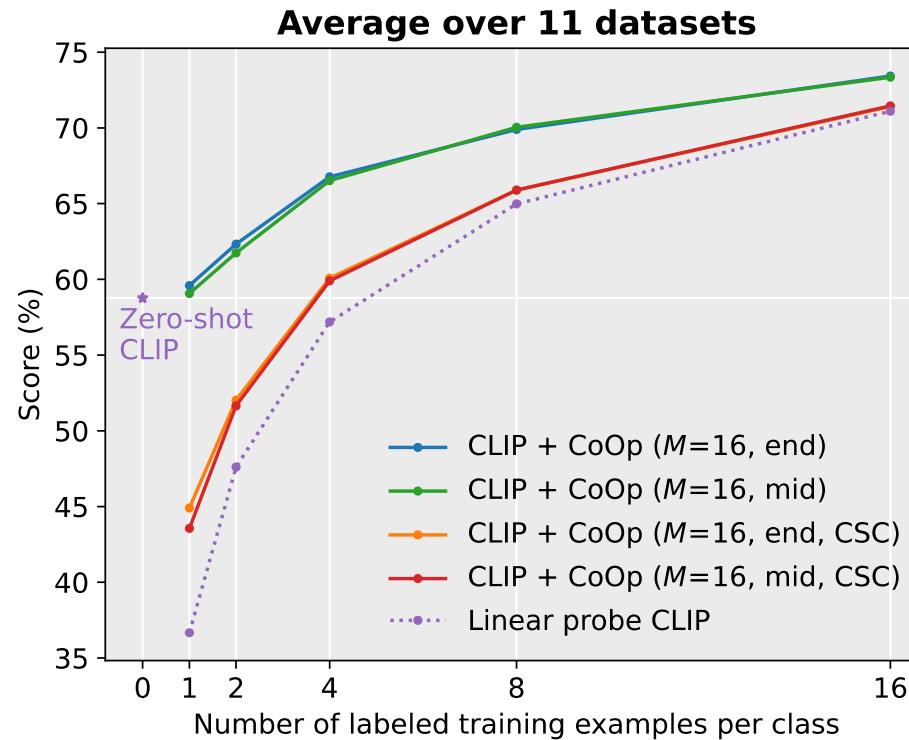
Context optimization (CoOp)

- Main idea: turn the context words into learnable vectors



Pros: CoOp is a few-shot learner

- Evaluation on 11 datasets: ImageNet, Caltech101, OxfordPets, StanfordCars, Flowers102, Food101, FGVC Aircraft, SUN397, DTD, EuroSAT and UCF101



Pros: CoOp is robust to domain shift

Table 1 Comparison with zero-shot CLIP on robustness to distribution shift using different vision backbones. M : CoOp's context length.

| Method | ImageNet | Target | | | |
|------------------------|--------------|--------------|--------------|--------------|--------------|
| | | -V2 | -Sketch | -A | -R |
| ResNet-50 | | | | | |
| Zero-Shot CLIP | 58.18 | 51.34 | 33.32 | 21.65 | 56.00 |
| Linear Probe CLIP | 55.87 | 45.97 | 19.07 | 12.74 | 34.86 |
| CLIP + CoOp ($M=16$) | 62.95 | 55.11 | 32.74 | 22.12 | 54.96 |
| CLIP + CoOp ($M=4$) | 63.33 | 55.40 | 34.67 | 23.06 | 56.60 |
| ResNet-101 | | | | | |
| Zero-Shot CLIP | 61.62 | 54.81 | 38.71 | 28.05 | 64.38 |
| Linear Probe CLIP | 59.75 | 50.05 | 26.80 | 19.44 | 47.19 |
| CLIP + CoOp ($M=16$) | 66.60 | 58.66 | 39.08 | 28.89 | 63.00 |
| CLIP + CoOp ($M=4$) | 65.98 | 58.60 | 40.40 | 29.60 | 64.98 |
| ViT-B/32 | | | | | |
| Zero-Shot CLIP | 62.05 | 54.79 | 40.82 | 29.57 | 65.99 |
| Linear Probe CLIP | 59.58 | 49.73 | 28.06 | 19.67 | 47.20 |
| CLIP + CoOp ($M=16$) | 66.85 | 58.08 | 40.44 | 30.62 | 64.45 |
| CLIP + CoOp ($M=4$) | 66.34 | 58.24 | 41.48 | 31.34 | 65.78 |
| ViT-B/16 | | | | | |
| Zero-Shot CLIP | 66.73 | 60.83 | 46.15 | 47.77 | 73.96 |
| Linear Probe CLIP | 65.85 | 56.26 | 34.77 | 35.68 | 58.43 |
| CLIP + CoOp ($M=16$) | 71.92 | 64.18 | 46.71 | 48.41 | 74.32 |
| CLIP + CoOp ($M=4$) | 71.73 | 64.56 | 47.89 | 49.93 | 75.14 |

Shorter context length,
better robustness

Cons: soft prompt learning is difficult to interpret

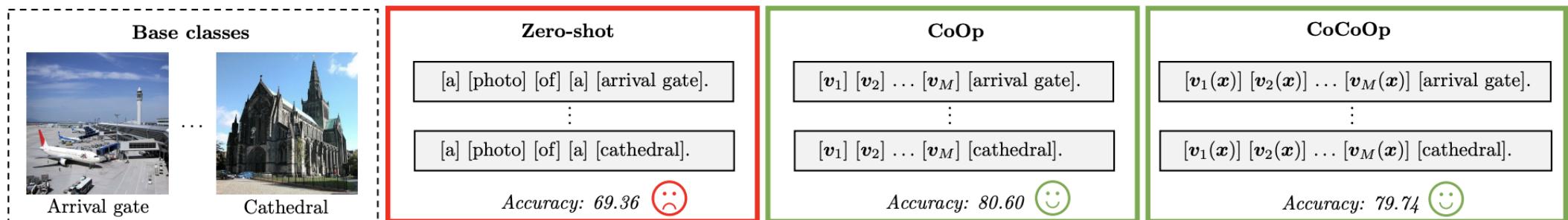
Conclusion: cannot use nearest words for interpretation

Table 4 The nearest words for each of the 16 context vectors learned by CoOp, with their distances shown in parentheses. N/A means non-Latin characters.

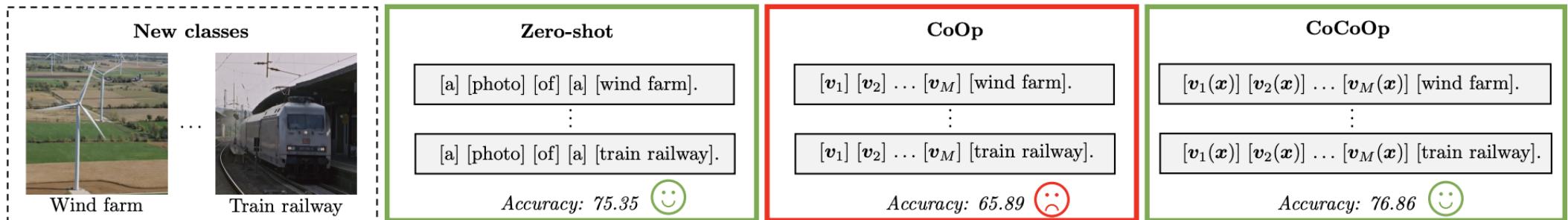
| # | ImageNet | Food101 | OxfordPets | DTD | UCF101 |
|----|-----------------------|-------------------|--------------------|-------------------|------------------------|
| 1 | potd (1.7136) | lc (0.6752) | tosc (2.5952) | boxed (0.9433) | meteorologist (1.5377) |
| 2 | that (1.4015) | enjoyed (0.5305) | judge (1.2635) | seed (1.0498) | exe (0.9807) |
| 3 | filmed (1.2275) | beh (0.5390) | fluffy (1.6099) | anna (0.8127) | parents (1.0654) |
| 4 | fruit (1.4864) | matches (0.5646) | cart (1.3958) | mountain (0.9509) | masterful (0.9528) |
| 5 | ... (1.5863) | nytimes (0.6993) | harlan (2.2948) | eldest (0.7111) | fe (1.3574) |
| 6 | ° (1.7502) | prou (0.5905) | paw (1.3055) | pretty (0.8762) | thof (1.2841) |
| 7 | excluded (1.2355) | lower (0.5390) | incase (1.2215) | faces (0.7872) | where (0.9705) |
| 8 | cold (1.4654) | N/A | bie (1.5454) | honey (1.8414) | kristen (1.1921) |
| 9 | stery (1.6085) | minute (0.5672) | snuggle (1.1578) | series (1.6680) | imam (1.1297) |
| 10 | warri (1.3055) | ~ (0.5529) | along (1.8298) | coca (1.5571) | near (0.8942) |
| 11 | marvelcomics (1.5638) | well (0.5659) | enjoyment (2.3495) | moon (1.2775) | tummy (1.4303) |
| 12 | .: (1.7387) | ends (0.6113) | jt (1.3726) | lh (1.0382) | hel (0.7644) |
| 13 | N/A | mis (0.5826) | improving (1.3198) | won (0.9314) | boop (1.0491) |
| 14 | lation (1.5015) | somethin (0.6041) | srsly (1.6759) | replied (1.1429) | N/A |
| 15 | muh (1.4985) | seminar (0.5274) | asteroid (1.3395) | sent (1.3173) | facial (1.4452) |
| 16 | .# (1.9340) | N/A | N/A | piedmont (1.5198) | during (1.1755) |

Problem with CoOp

- Overfit base classes and fail to generalize to new classes



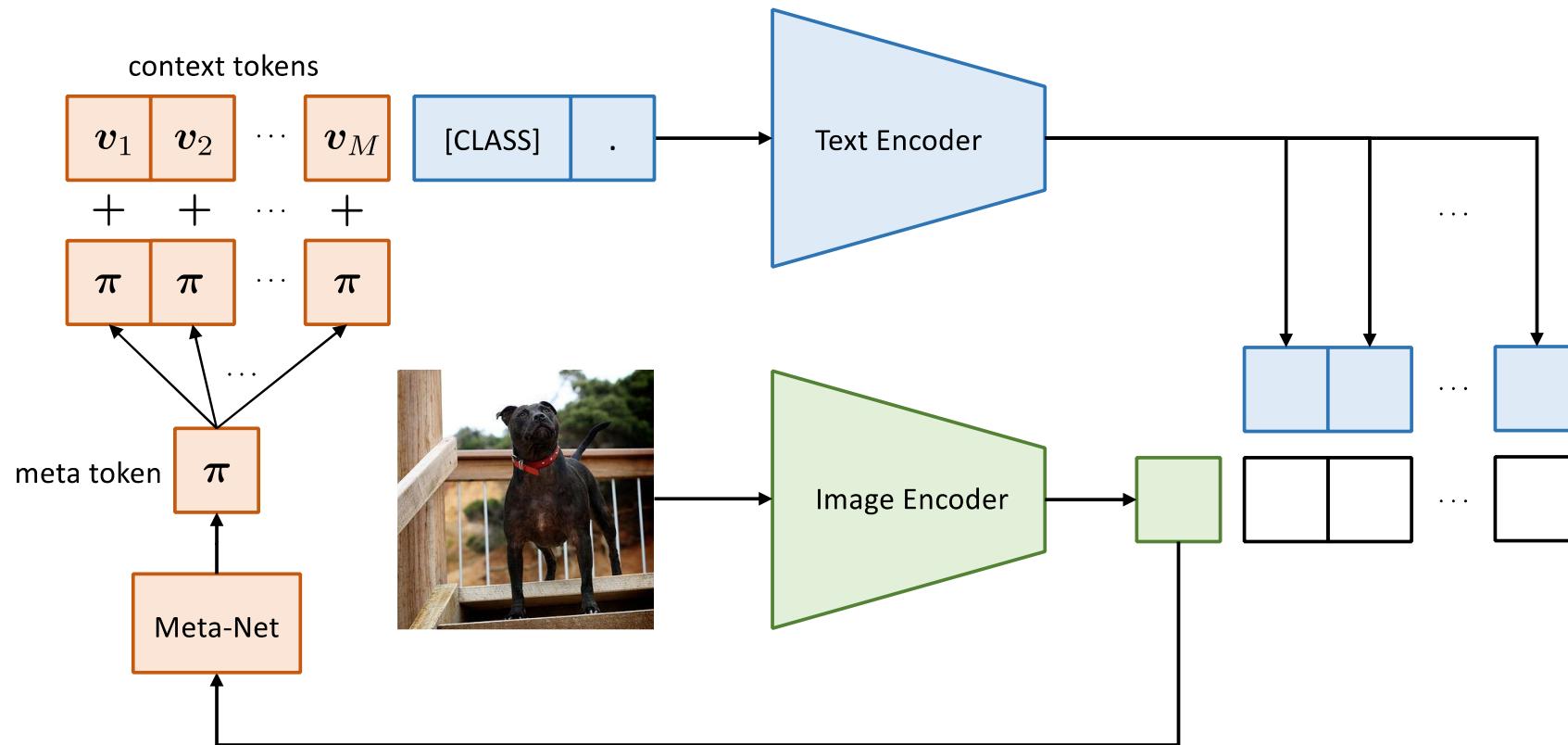
(a) Both CoOp and CoCoOp work well on the base classes observed during training and beat manual prompts by a significant margin.



(b) The instance-conditional prompts learned by CoCoOp are much more generalizable than CoOp to the unseen classes.

Conditional context optimization (CoCoOp)

- Main idea: condition the context on each input image



Findings

- Conditional prompt learning is more generalizable

Table 1. Comparison of CLIP, CoOp and CoCoOp in the base-to-new generalization setting. For learning-based methods (CoOp and CoCoOp), their prompts are learned from the base classes (16 shots). The results strongly justify the strong generalizability of conditional prompt learning. H: Harmonic mean (to highlight the generalization trade-off [54]).

| (a) Average over 11 datasets. | | | |
|-------------------------------|--------------|--------------|--------------|
| | Base | New | H |
| CLIP | 69.34 | 74.22 | 71.70 |
| CoOp | 82.69 | 63.22 | 71.66 |
| CoCoOp | 80.47 | 71.69 | 75.83 |

| (b) ImageNet. | | | |
|---------------|--------------|--------------|--------------|
| | Base | New | H |
| CLIP | 72.43 | 68.14 | 70.22 |
| CoOp | 76.47 | 67.88 | 71.92 |
| CoCoOp | 75.98 | 70.43 | 73.10 |

| (c) Caltech101. | | | |
|-----------------|--------------|--------------|--------------|
| | Base | New | H |
| CLIP | 96.84 | 94.00 | 95.40 |
| CoOp | 98.00 | 89.81 | 93.73 |
| CoCoOp | 97.96 | 93.81 | 95.84 |

| (d) OxfordPets. | | | |
|-----------------|--------------|--------------|--------------|
| | Base | New | H |
| CLIP | 91.17 | 97.26 | 94.12 |
| CoOp | 93.67 | 95.29 | 94.47 |
| CoCoOp | 95.20 | 97.69 | 96.43 |

| (e) StanfordCars. | | | |
|-------------------|--------------|--------------|--------------|
| | Base | New | H |
| CLIP | 63.37 | 74.89 | 68.65 |
| CoOp | 78.12 | 60.40 | 68.13 |
| CoCoOp | 70.49 | 73.59 | 72.01 |

| (f) Flowers102. | | | |
|-----------------|--------------|--------------|--------------|
| | Base | New | H |
| CLIP | 72.08 | 77.80 | 74.83 |
| CoOp | 97.60 | 59.67 | 74.06 |
| CoCoOp | 94.87 | 71.75 | 81.71 |

| (g) Food101. | | | |
|--------------|--------------|--------------|--------------|
| | Base | New | H |
| CLIP | 90.10 | 91.22 | 90.66 |
| CoOp | 88.33 | 82.26 | 85.19 |
| CoCoOp | 90.70 | 91.29 | 90.99 |

| (h) FGVC Aircraft. | | | |
|--------------------|--------------|--------------|--------------|
| | Base | New | H |
| CLIP | 27.19 | 36.29 | 31.09 |
| CoOp | 40.44 | 22.30 | 28.75 |
| CoCoOp | 33.41 | 23.71 | 27.74 |

| (i) SUN397. | | | |
|-------------|--------------|--------------|--------------|
| | Base | New | H |
| CLIP | 69.36 | 75.35 | 72.23 |
| CoOp | 80.60 | 65.89 | 72.51 |
| CoCoOp | 79.74 | 76.86 | 78.27 |

| (j) DTD. | | | |
|----------|--------------|--------------|--------------|
| | Base | New | H |
| CLIP | 53.24 | 59.90 | 56.37 |
| CoOp | 79.44 | 41.18 | 54.24 |
| CoCoOp | 77.01 | 56.00 | 64.85 |

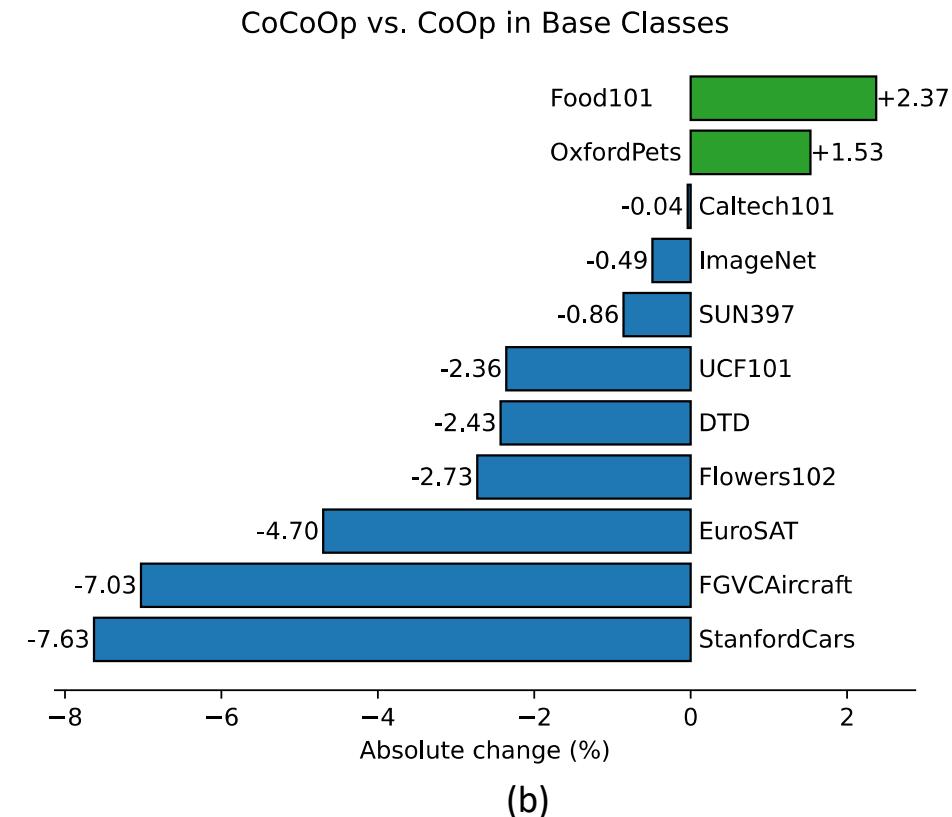
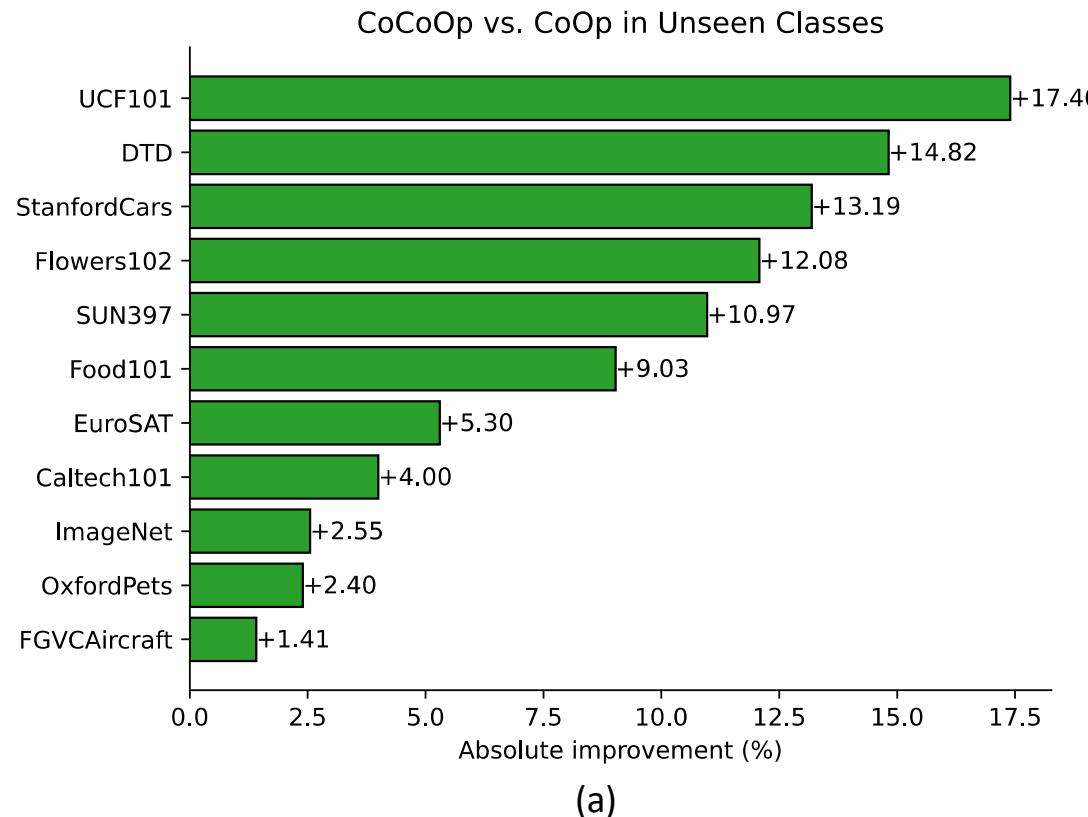
| (k) EuroSAT. | | | |
|--------------|--------------|--------------|--------------|
| | Base | New | H |
| CLIP | 56.48 | 64.05 | 60.03 |
| CoOp | 92.19 | 54.74 | 68.69 |
| CoCoOp | 87.49 | 60.04 | 71.21 |

| (l) UCF101. | | | |
|-------------|--------------|--------------|--------------|
| | Base | New | H |
| CLIP | 70.53 | 77.50 | 73.85 |
| CoOp | 84.69 | 56.05 | 67.46 |
| CoCoOp | 82.33 | 73.45 | 77.64 |



Findings

- Sacrifice accuracy on base classes but the gains on generalization are larger



Findings

- Conditional prompt learning is also more transferable

Table 2. **Comparison of prompt learning methods in the cross-dataset transfer setting.** Prompts applied to the 10 target datasets are learned from ImageNet (16 images per class). Clearly, CoCoOp demonstrates better transferability than CoOp. Δ denotes CoCoOp’s gain over CoOp.

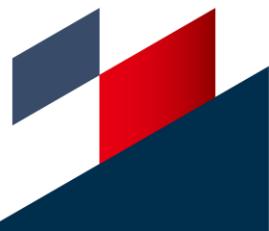
| | Source | | | | Target | | | | | | | |
|-----------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|
| | ImageNet | Caltech101 | OxfordPets | StanfordCars | Flowers102 | Food101 | FGVCAircraft | SUN397 | DTD | EuroSAT | UCF101 | Average |
| CoOp [62] | 71.51 | 93.70 | 89.14 | 64.51 | 68.71 | 85.30 | 18.47 | 64.15 | 41.92 | 46.39 | 66.55 | 63.88 |
| CoCoOp | 71.02 | 94.43 | 90.14 | 65.32 | 71.88 | 86.06 | 22.94 | 67.36 | 45.73 | 45.37 | 68.21 | 65.74 |
| Δ | -0.49 | +0.73 | +1.00 | +0.81 | +3.17 | +0.76 | +4.47 | +3.21 | +3.81 | -1.02 | +1.66 | +1.86 |

Findings

- More robust to domain shift as well

Table 3. **Comparison of manual and learning-based prompts in domain generalization.** CoOp and CoCoOp use as training data 16 images from each of the 1,000 classes on ImageNet. In general, CoCoOp is more domain-generalizable than CoOp.

| Learnable? | Source | | Target | | |
|------------|----------|--------------|-----------------|--------------|--------------|
| | ImageNet | ImageNetV2 | ImageNet-Sketch | ImageNet-A | ImageNet-R |
| CLIP [40] | | 66.73 | 60.83 | 46.15 | 47.77 |
| CoOp [62] | ✓ | 71.51 | 64.20 | 47.99 | 49.71 |
| CoCoOp | ✓ | 71.02 | 64.07 | 48.75 | 50.63 |
| | | | | | 76.18 |



Code and models

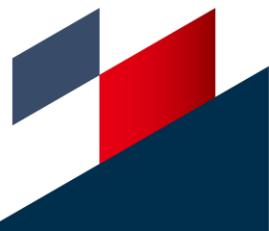
- Released at <https://github.com/KaiyangZhou/CoOp>

☰ README.md

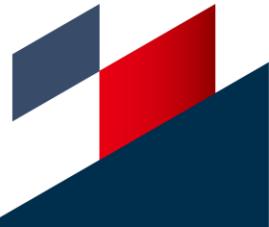
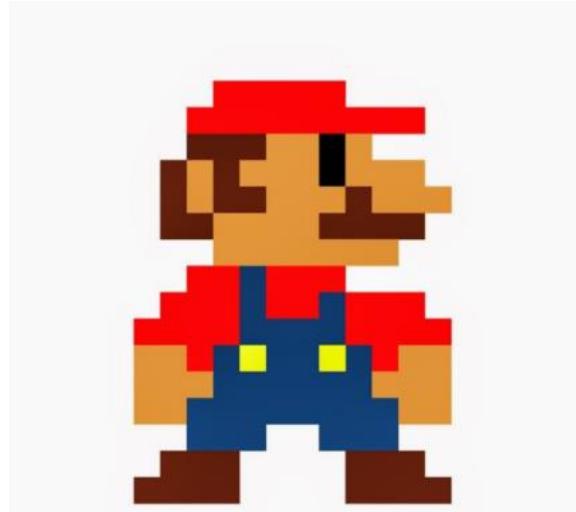
Prompt Learning for Vision-Language Models

This repo contains the codebase of a series of research projects focused on adapting vision-language models like [CLIP](#) to downstream datasets via *prompt learning*:

- [Conditional Prompt Learning for Vision-Language Models](#), in CVPR, 2022.
- [Learning to Prompt for Vision-Language Models](#), arXiv, 2021.

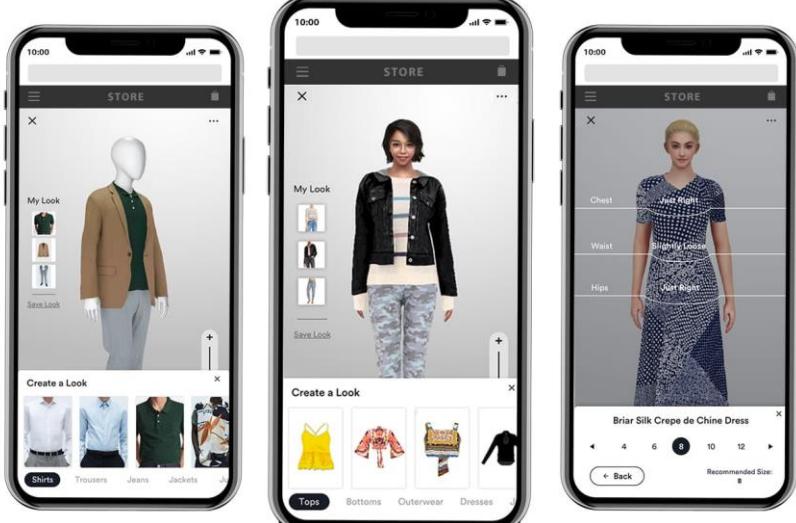


2D + 3D



Why Human-Centric Pre-train?

Vital role in many applications



Expensive and dense annotations



DensePose



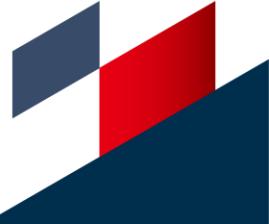
Part Segmentation



Part Segmentation



3D Keypoints



Multi-modal Nature of Human Data

Dense representations

Pros: rich texture/ 3D geometry
Cons: low-level and noisy



RGB



Depth



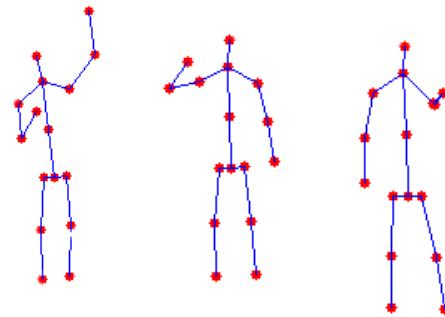
Infrared

How to
combine both
in pre-train?

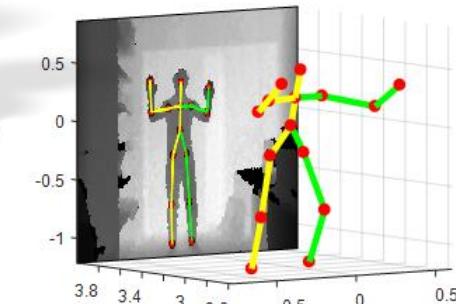


Sparse representations

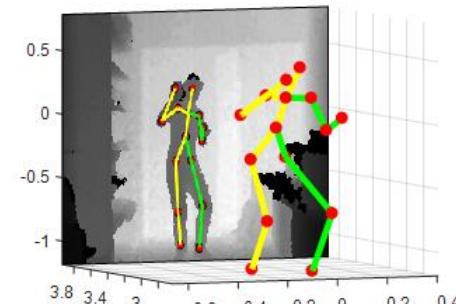
Pros: rich in semantics and structured
Cons: insufficient details



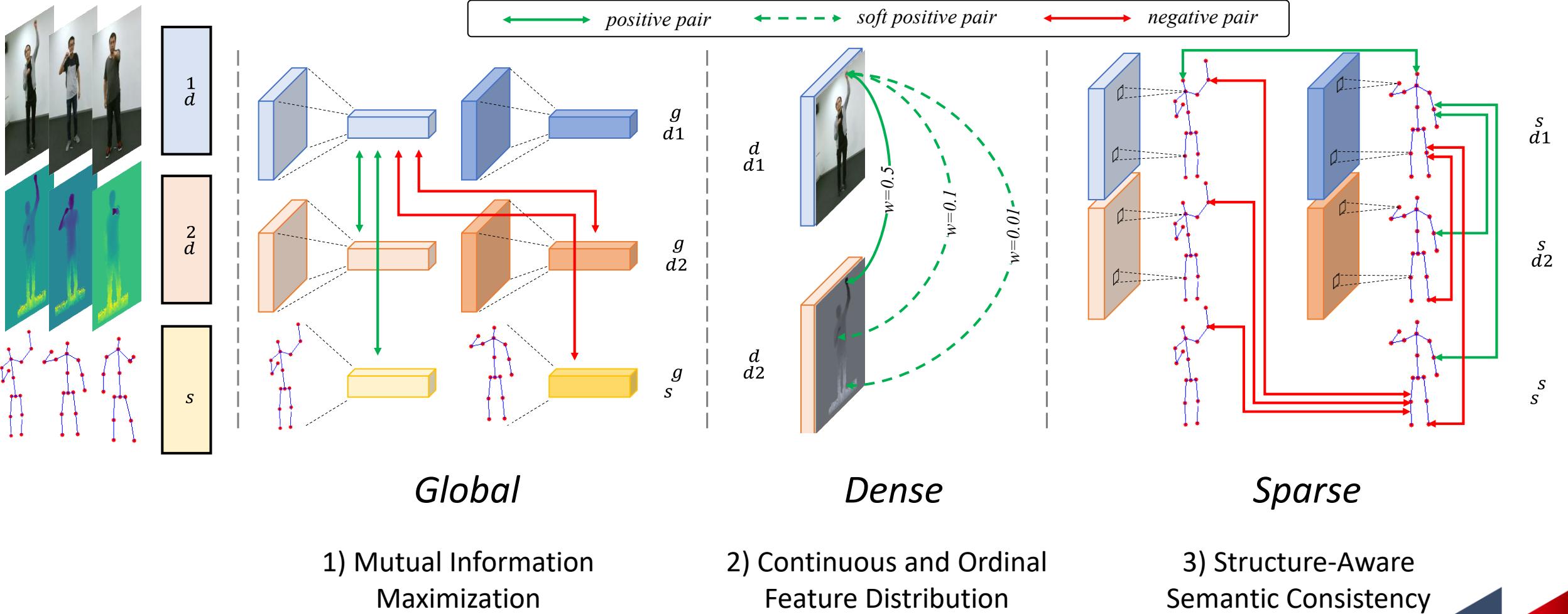
2D Keypoints



3D Keypoints

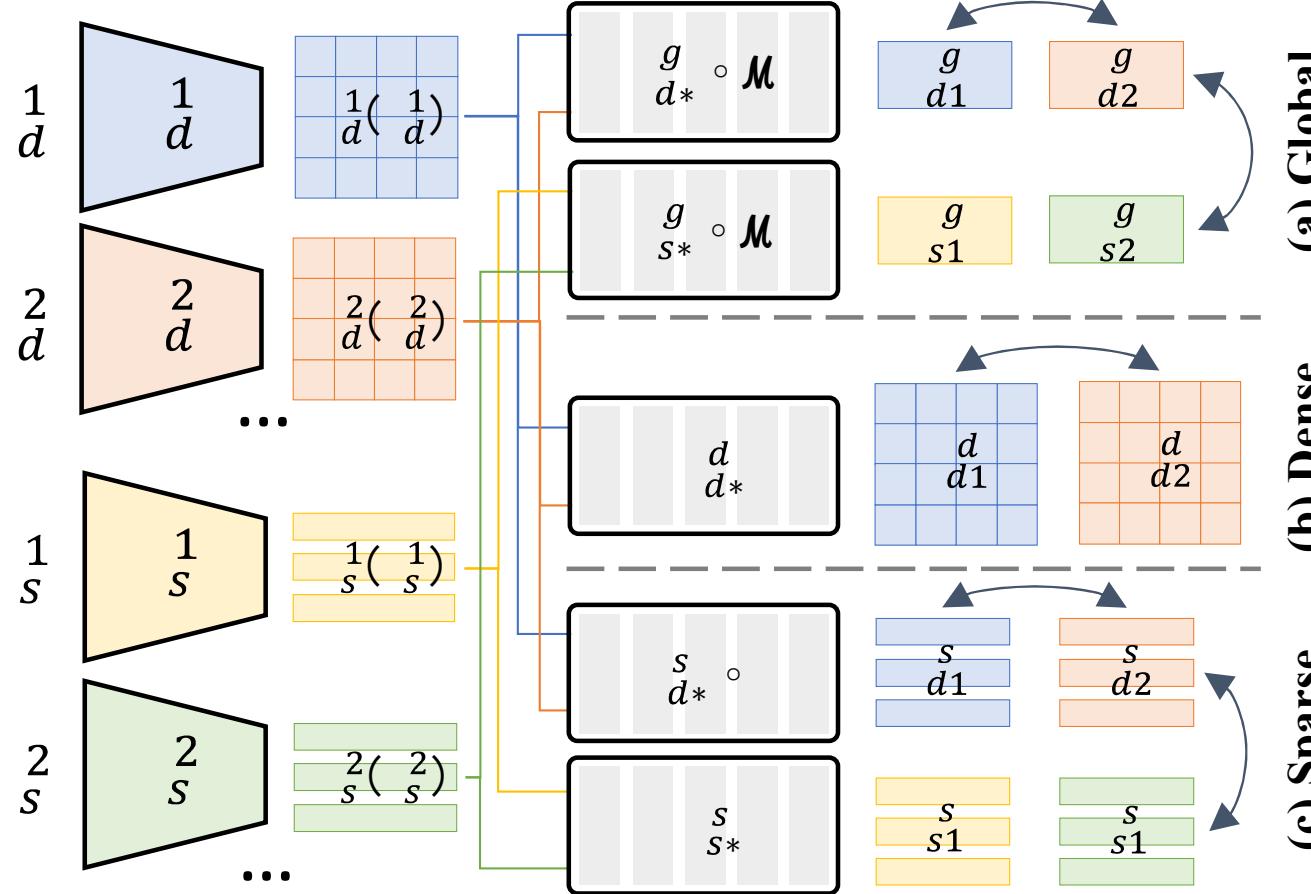


HCMoCo – Principles of Learning Targets



HCMoCo – General Paradigm

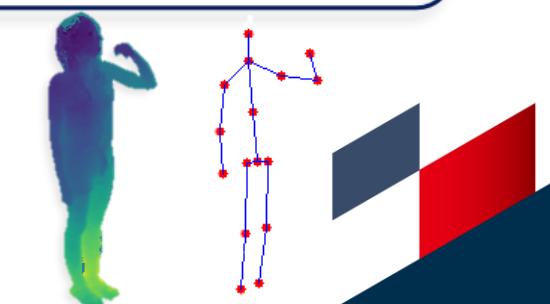
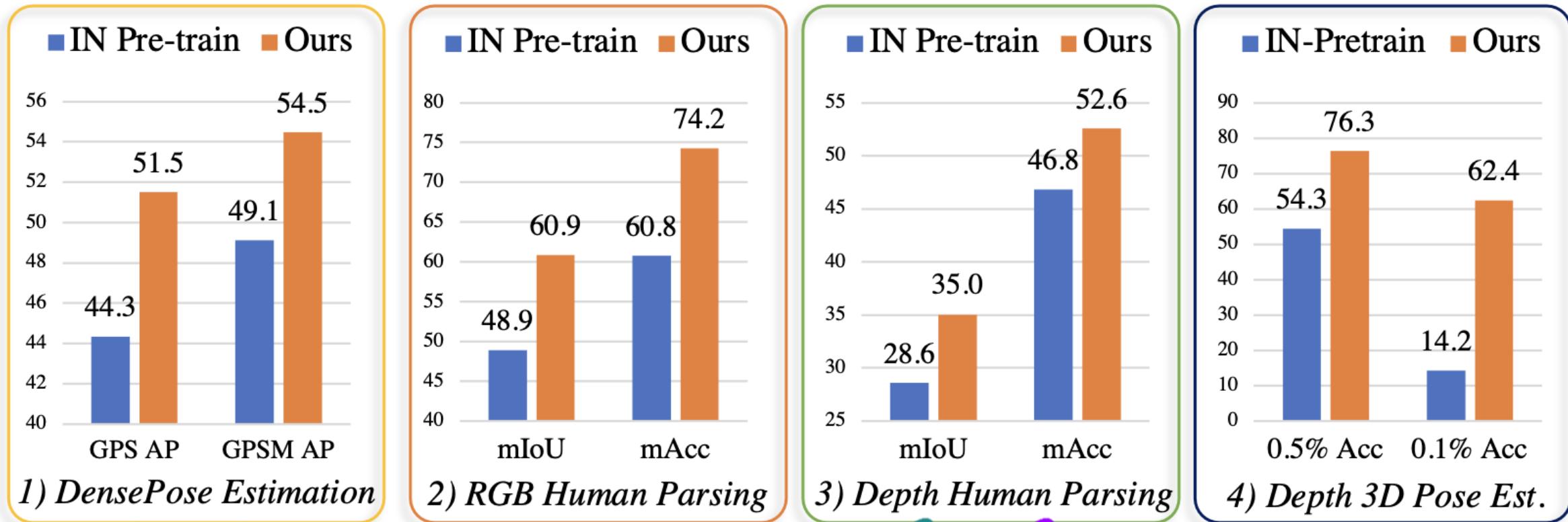
Dense representation 1



*Hierarchical
Contrastive
Learning Targets*

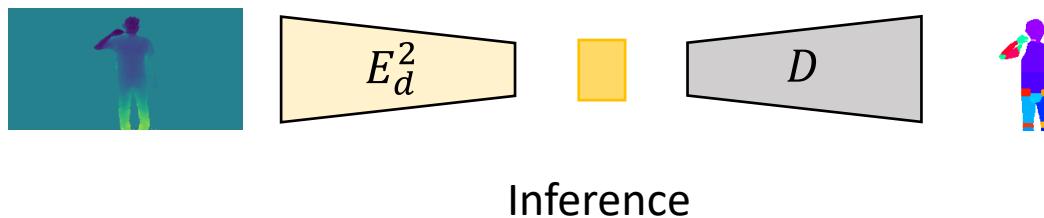
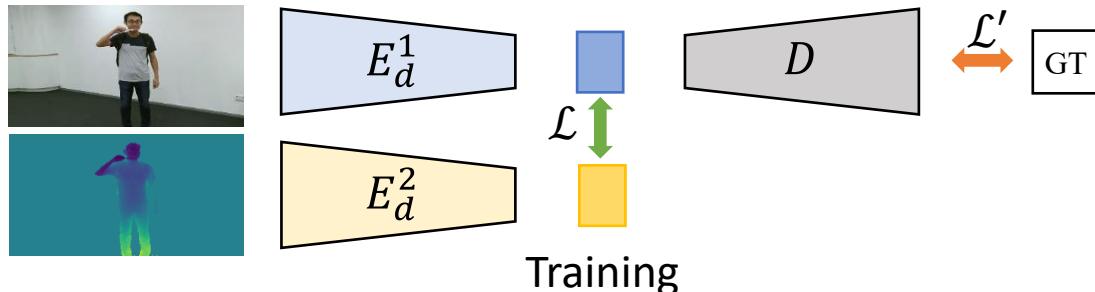
High Performance on Downstream Tasks

One-time pre-training, boost the performance of all the downstream tasks of multiple modalities.

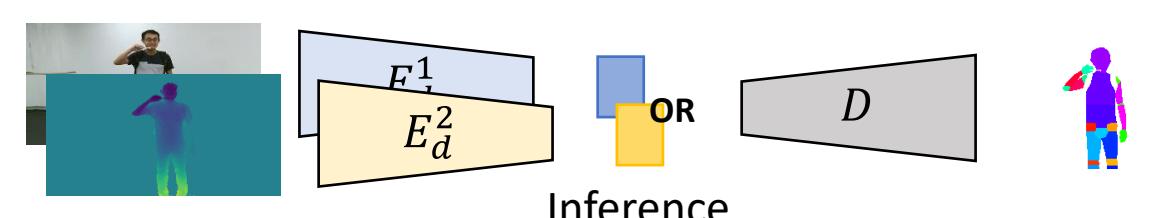
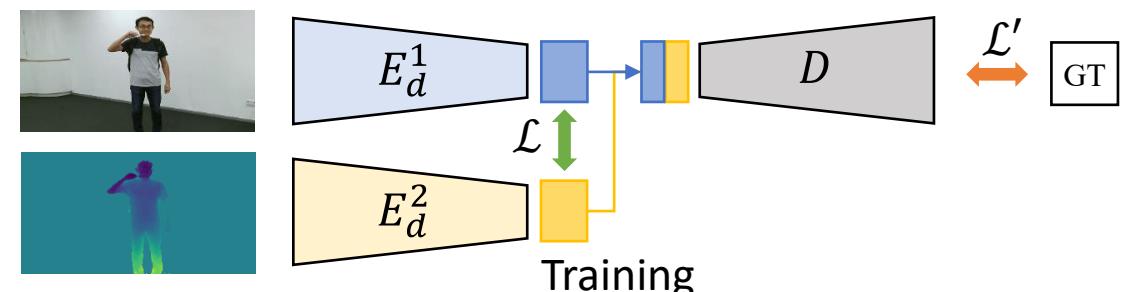


Versatility of HCMoCo

(a) Cross-Modality Supervision



(b) Missing-Modality Inference

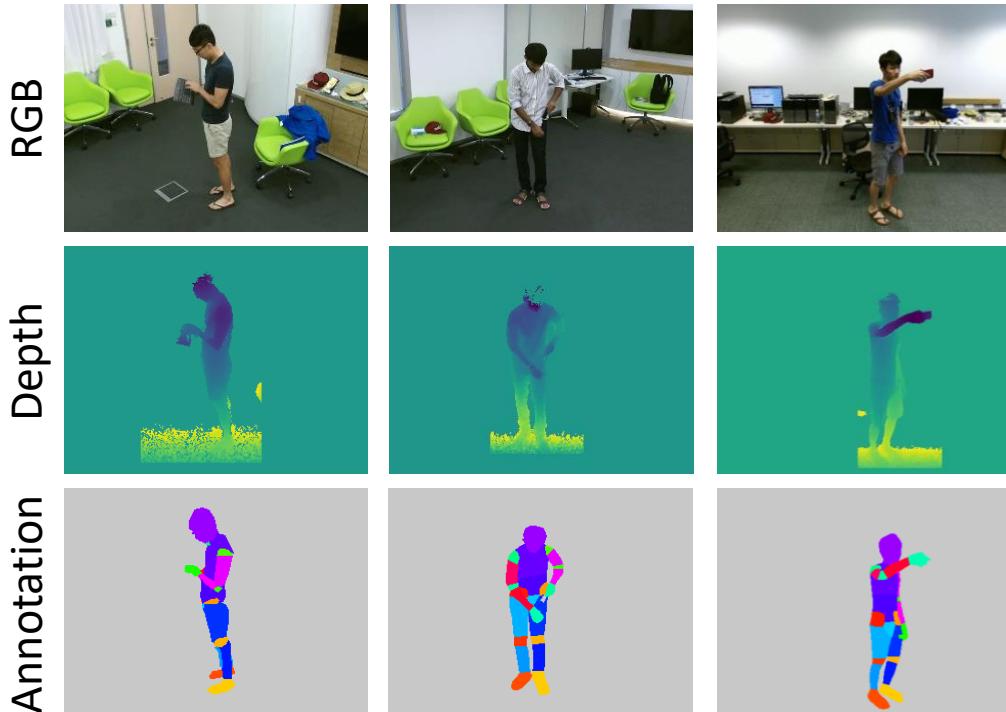


| Method | RGB → Depth | | | Depth → RGB | | |
|----------------|--------------|--------------|--------------|--------------|--------------|--------------|
| | mIoU | mAcc | aAcc | mIoU | mAcc | aAcc |
| No Contrastive | 3.94 | 4.36 | 92.24 | 3.71 | 4.03 | 91.63 |
| CMC [44] | 3.86 | 5.59 | 86.81 | 3.85 | 4.27 | 91.75 |
| Ours | 33.19 | 54.38 | 94.70 | 26.80 | 48.80 | 92.84 |

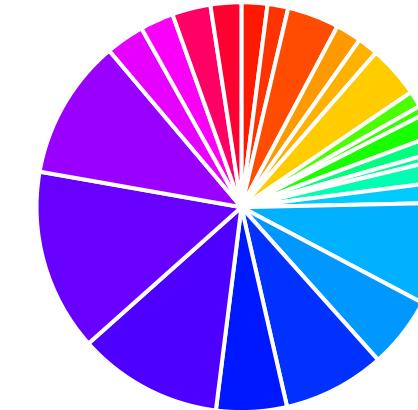
| Method | Only RGB | | | Only Depth | | |
|----------------|--------------|--------------|--------------|--------------|--------------|--------------|
| | mIoU | mAcc | aAcc | mIoU | mAcc | aAcc |
| No Contrastive | 13.45 | 14.77 | 93.35 | 24.41 | 30.49 | 95.27 |
| CMC [44] | 19.62 | 28.19 | 92.94 | 16.58 | 19.83 | 93.94 |
| Ours | 43.88 | 64.27 | 96.15 | 43.98 | 63.66 | 96.34 |

Dataset – NTURGBD-Parsing-4K

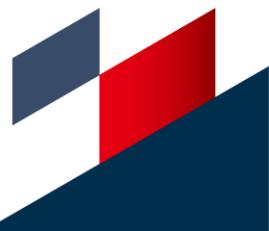
- The first RGB-D human parsing dataset
- Uniformly sampled 3926 samples from NTU RGB+D (60/120)
- Annotate 24 human body parts



Label Distribution



- | | | |
|------------------|---------------|-----------------|
| ■ right hip | ■ right knee | ■ right foot |
| ■ left hip | ■ left knee | ■ left foot |
| ■ left shoulder | ■ left elbow | ■ left hand |
| ■ right shoulder | ■ right elbow | ■ right hand |
| ■ crotch | ■ right thigh | ■ right calf |
| ■ left thigh | ■ left calf | ■ lower spine |
| ■ upper spine | ■ head | ■ left arm |
| ■ left forearm | ■ right arm | ■ right forearm |



Code, Models & Dataset

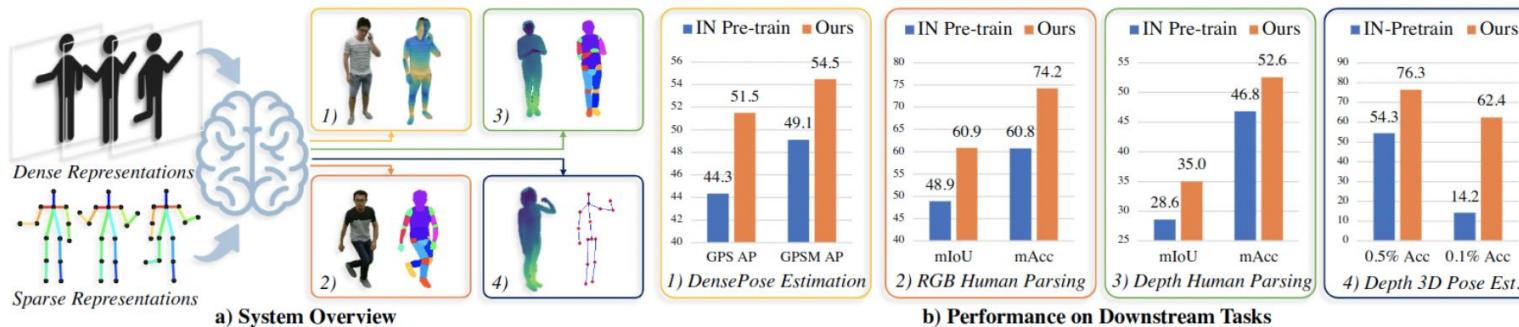
Released at <https://github.com/hongfz16/HCMoCo>

Versatile Multi-Modal Pre-Training for Human-Centric Perception

Fangzhou Hong¹ Liang Pan¹ Zhongang Cai^{1,2,3} Ziwei Liu^{1*}

¹S-Lab, Nanyang Technological University ²SenseTime Research ³Shanghai AI Laboratory

Accepted to CVPR 2022 (Oral)



This repository contains the official implementation of *Versatile Multi-Modal Pre-Training for Human-Centric Perception*. For brevity, we name our method **HCMoCo**.

Generalization in Vision Models

Semantic Shift

*OOD
Detection*

*Zero-shot /
Few-shot /
Long-tailed
Learning*



*Sensory
Modalities*



*Neural
Architectures*

Corruptions / Perturbations / Domain Shifts

Covariate Shift

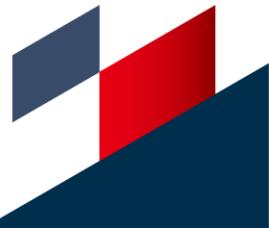


Out-of-Distribution Detection



Yang et al., Generalized Out-of-Distribution Detection: A Survey, ArXiv 2021

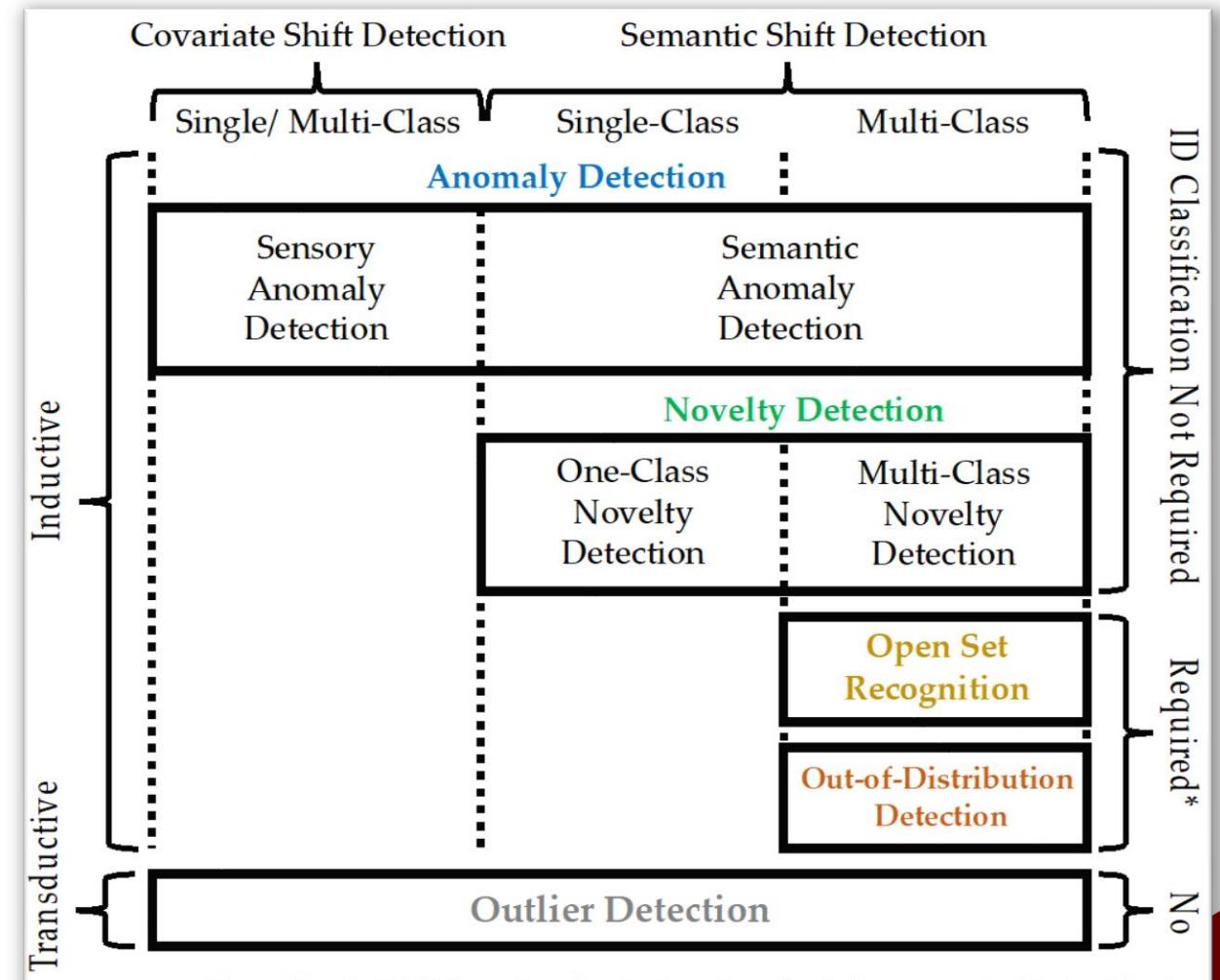
Yang et al., Full-Spectrum Out-of-Distribution Detection, ArXiv 2022



Generalized OOD Detection: A Survey

Why We Write The Survey:

- Several topics share quite similar goals:
 - Anomaly Detection (AD)
 - Novelty Detection (ND)
 - Open Set Recognition (OSR)
 - Out-of-Distribution (OOD) Detection
 - Outlier Detection (OD)
- We discuss the commonality and difference among them to eliminate the confusion for practitioners and newcomers.
- A generic framework **generalized OOD detection** is proposed to encompass all five problems, which can be seen as special cases or sub-tasks and are easier to distinguish.



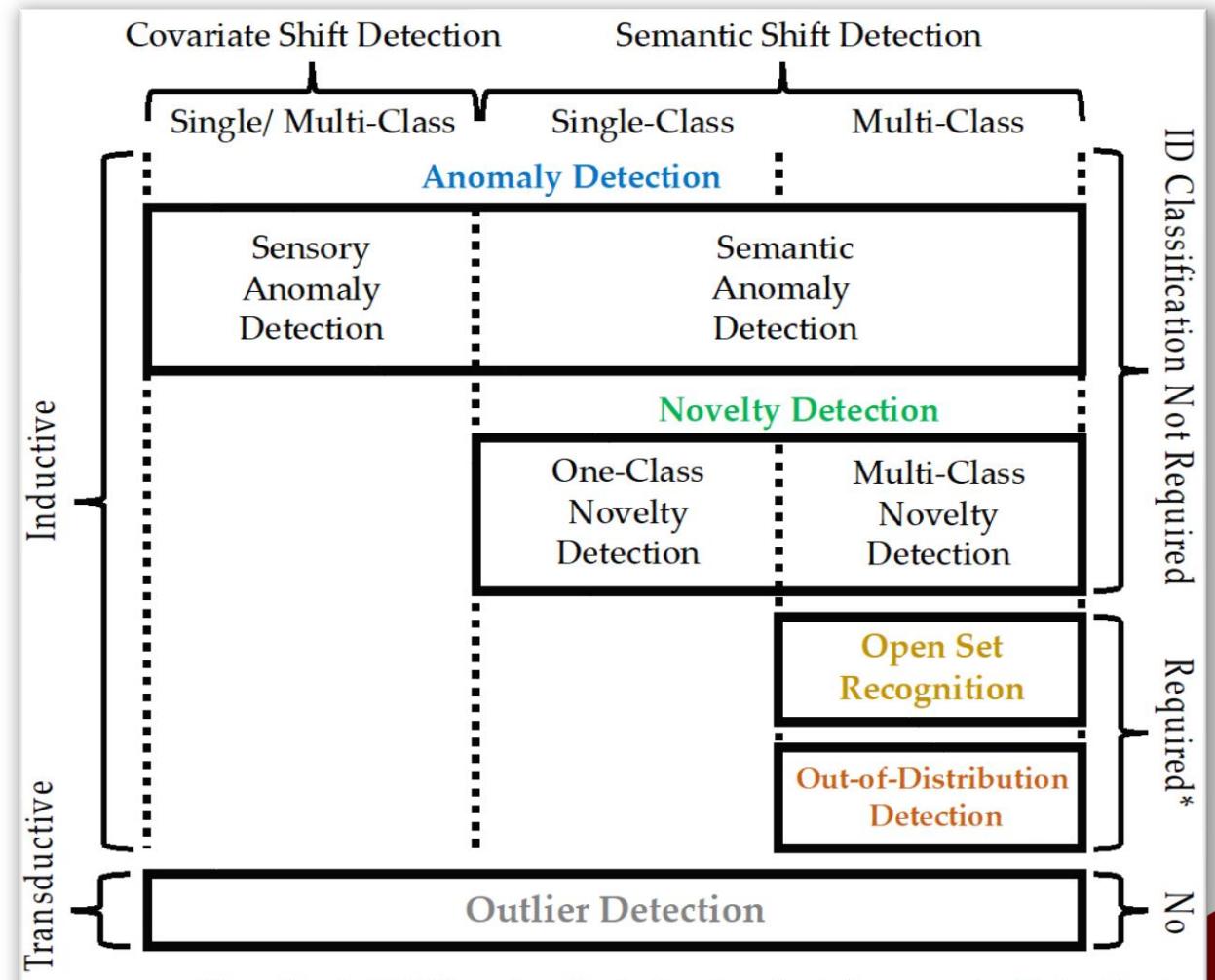
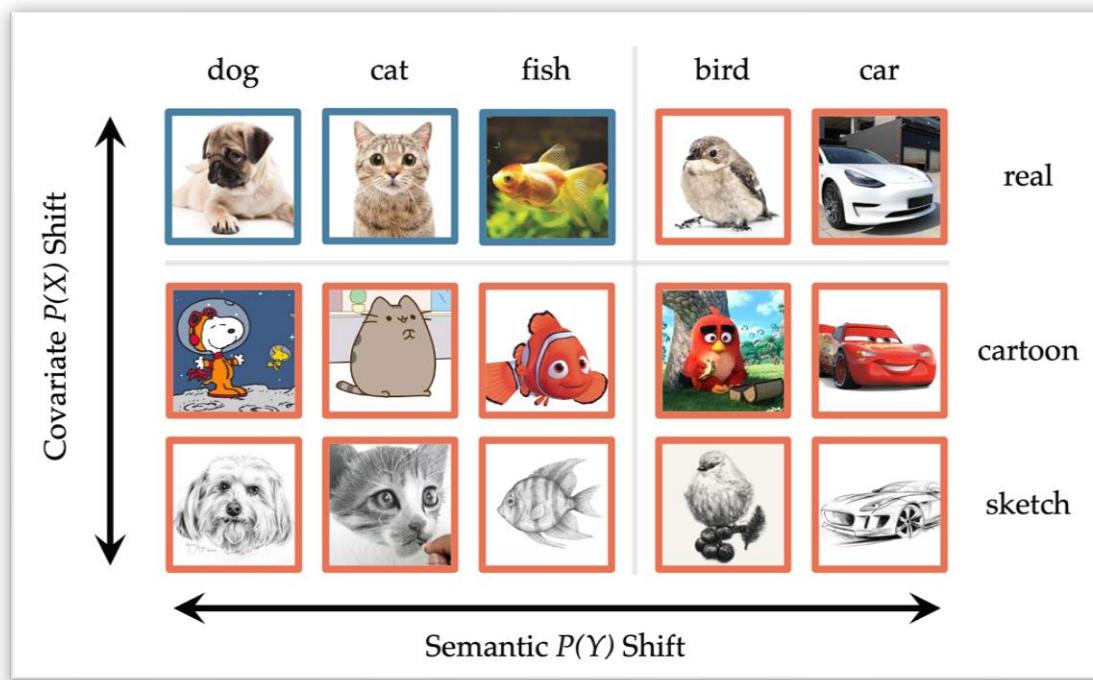
<https://github.com/Jingkang50/OODSurvey>

[Jingkang Yang, Kaiyang Zhou, Yixuan Li, Ziwei Liu. Generalized OOD Detection: A Survey. [arXiv:2110.11334](https://arxiv.org/abs/2110.11334). 2021]

Generalized OOD Detection: A Survey

Generic Framework:

- Generalized OOD Detection



*Exception: In OOD Detection, density-based methods do not require ID classification

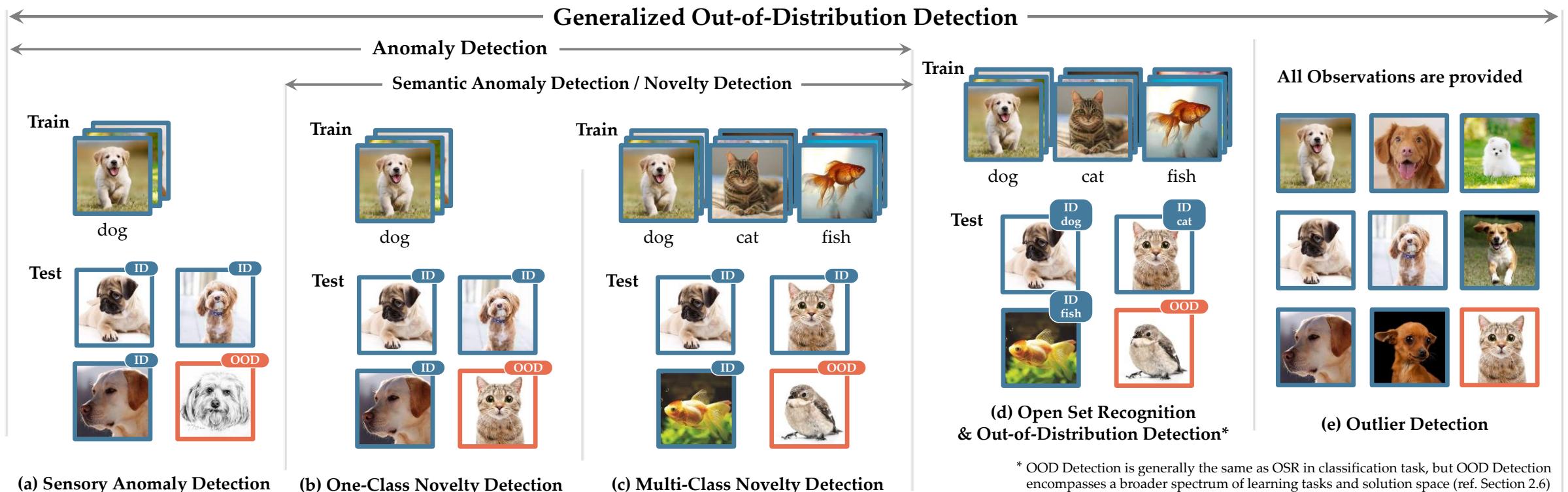
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Generalized OOD Detection: A Survey

Methodology Taxonomy

| | | |
|--|--|-----------------------------------|
| § 3 Anomaly Detection & One-Class Novelty Detection | § 3.1 Density | § 3.1.1: Classic Density Est. |
| | | § 3.1.2: NN-based Density Est. |
| | | § 3.1.3: Energy-based Models |
| | | § 3.1.4: Frequency-based Methods |
| | § 3.2 Reconstruction | § 3.2.1: Sparse Representation |
| | | § 3.2.2: Reconstruction-Error |
| | § 3.3 Classification | § 3.3.1: One-Class Classification |
| | | § 3.3.2: PU Learning |
| | | § 3.3.3: Self-Supervised Learning |
| | | § 3.4: Distance-based Methods |
| | | § 3.5: Gradient-based Methods |
| | § 3.6: Discussion and Theoretical Analysis | |

| | | |
|--|---------------------------------------|------------------------------------|
| § 4 Multi-Class Novelty Detection & Open Set Recognition | § 4.1 Classification | § 4.1.1: EVT-based Calibration |
| | | § 4.1.2: EVT-free Calibration |
| | | § 4.1.3: Unknown Generation |
| | | § 4.1.4: Label Space Redesign |
| | § 4.2 : Distance-based Methods | § 4.2: Distance-based Methods |
| | | § 4.3.1: Sparse Representation |
| | § 4.3 Reconstruction | § 4.3.2: Reconstruction-Error |
| § 5 Out-of-Distribution Detection | § 5.1 Classification | § 5.1.1.a: Post-hoc Detection |
| | | § 5.1.1.b: Conf. Enhancement |
| | | § 5.1.1.c: Outlier Exposure (OE) |
| | | § 5.1.2: OOD Data Generation |
| | | § 5.1.3: Gradient-based Methods |
| | § 5.2 : Density-based Methods | § 5.1.4: Bayesian Models |
| | | § 5.1.5: Large-scale OOD Detection |
| | | § 5.2: Density-based Methods |
| | | § 5.3: Distance-based Methods |

Benchmarking Generalized OOD Detection

OpenOOD: <https://github.com/Jingkang50/OpenOOD>

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About

Benchmarking Generalized Out-of-Distribution Detection

outlier-detection robustness
anomaly-detection novelty-detection
open-set-recognition
out-of-distribution-detection

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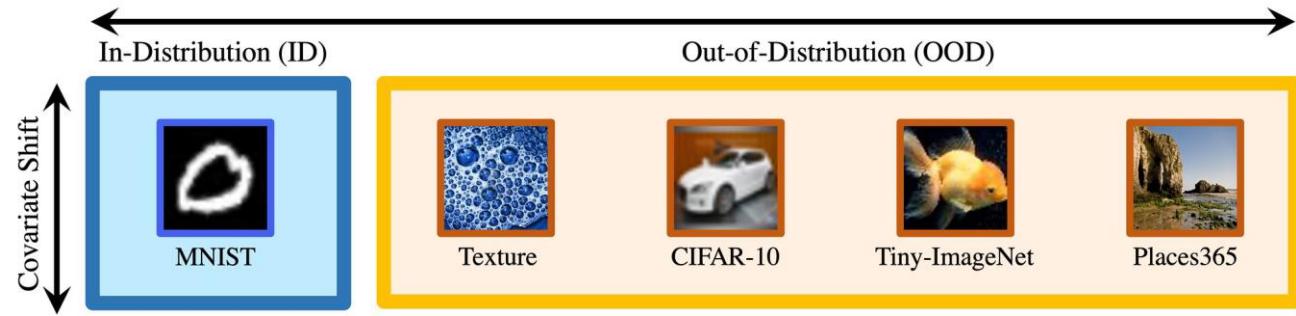
Jingkang50 Jingkang Yang
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Problem with Classic OOD Benchmark

Problem on current OOD Benchmarks

- **Classic OOD Benchmark:**
 - Saturated benchmark
 - Model can only rely on covariate shift detection to performing OOD detection
 - But OOD detection should focus on semantic anomalies



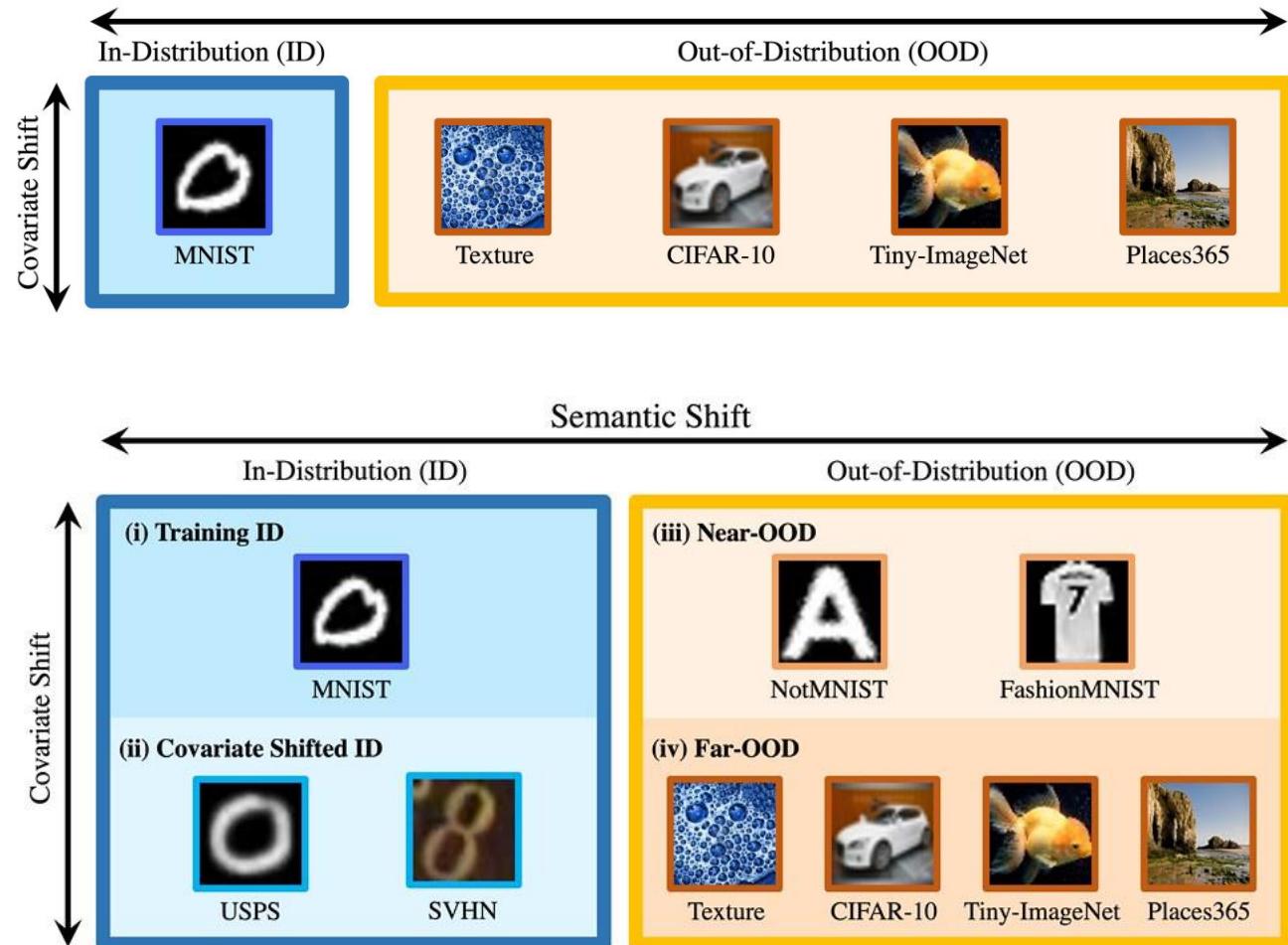
| | MSP | ODIN | MDS | FPR95 ↓ EBO | SEM | $p(x_n)$ | MSP | ODIN | MDS | AUROC ↑ EBO | SEM | $p(x_n)$ | MSP | ODIN | MDS | AUPR ↑ EBO | SEM | $p(x_n)$ |
|-----------------------------|-------|-------|-------|-------------|-------|-------------|-------|-------|-------|-------------|-------|--------------|-------|-------|-------|------------|-------|--------------|
| - DIGITS (ID: MNIST) | | | | | | | | | | | | | | | | | | |
| notMNIST | 43.09 | 37.70 | 44.06 | 1.77 | 2.64 | 0.78 | 88.77 | 89.85 | 88.44 | 99.67 | 99.50 | 99.79 | 75.72 | 77.83 | 75.97 | 99.36 | 99.09 | 99.57 |
| FashionMNIST | 2.54 | 1.08 | 1.05 | 0.27 | 40.09 | 0.00 | 99.44 | 99.70 | 99.72 | 99.90 | 95.02 | 99.94 | 99.64 | 99.77 | 99.76 | 99.94 | 97.63 | 99.97 |
| Mean (Near-OOD) | 20.05 | 13.48 | 20.54 | 2.68 | 27.85 | 0.46 | 96.06 | 96.97 | 95.85 | 99.49 | 93.85 | 99.78 | 94.07 | 94.72 | 92.66 | 99.40 | 93.23 | 99.73 |
| Texture | 2.43 | 0.94 | 0.67 | 0.23 | 90.69 | 0.02 | 99.34 | 99.75 | 99.81 | 99.93 | 77.26 | 99.91 | 99.58 | 99.84 | 99.84 | 99.96 | 87.56 | 99.95 |
| CIFAR-10 | 7.05 | 3.06 | 3.18 | 0.18 | 54.43 | 0.00 | 98.68 | 99.31 | 99.30 | 99.88 | 94.19 | 99.97 | 98.72 | 99.27 | 99.12 | 99.88 | 95.86 | 99.97 |
| Tiny-ImageNet | 6.28 | 2.93 | 3.13 | 0.55 | 59.52 | 0.00 | 98.78 | 99.36 | 99.37 | 99.79 | 93.70 | 99.96 | 98.78 | 99.33 | 99.25 | 99.79 | 95.54 | 99.96 |
| Places365 | 9.92 | 4.59 | 4.12 | 0.45 | 58.07 | 0.00 | 98.19 | 99.06 | 99.17 | 99.81 | 93.82 | 99.96 | 94.87 | 97.01 | 96.84 | 99.42 | 91.32 | 99.88 |
| Mean (Far-OOD) | 6.45 | 2.92 | 2.87 | 0.36 | 53.03 | 0.00 | 98.77 | 99.36 | 99.39 | 99.84 | 94.18 | 99.96 | 98.00 | 98.84 | 98.74 | 99.76 | 95.09 | 99.94 |

Table: Results on Standard OOD Detection Benchmarks

Full-Spectrum OOD Benchmark

Problem on current OOD Benchmarks

- **Classic OOD Benchmark:**
 - Saturated benchmark
 - Model can only rely on covariate shift detection to performing OOD detection
 - But OOD detection should focus on semantic anomalies
- **Full-Spectrum OOD Benchmark:**
 - Introducing Covariate-Shifted In-Distribution Data
 - A better benchmark to evaluate semantic shift detection capability
 - Promoting robustness in OOD detection



Full-Spectrum OOD Benchmark

Full-Spectrum OOD Benchmark:

- Introducing Covariate-Shifted In-Distribution Data
- A better benchmark to evaluate semantic shift detection capability
- Promoting robustness in OOD detection
- Most previous methods completely fail on FS-OOD setting
- In fact, CIFAR-level OOD detection benchmarks are still not saturated and may still need more exploration

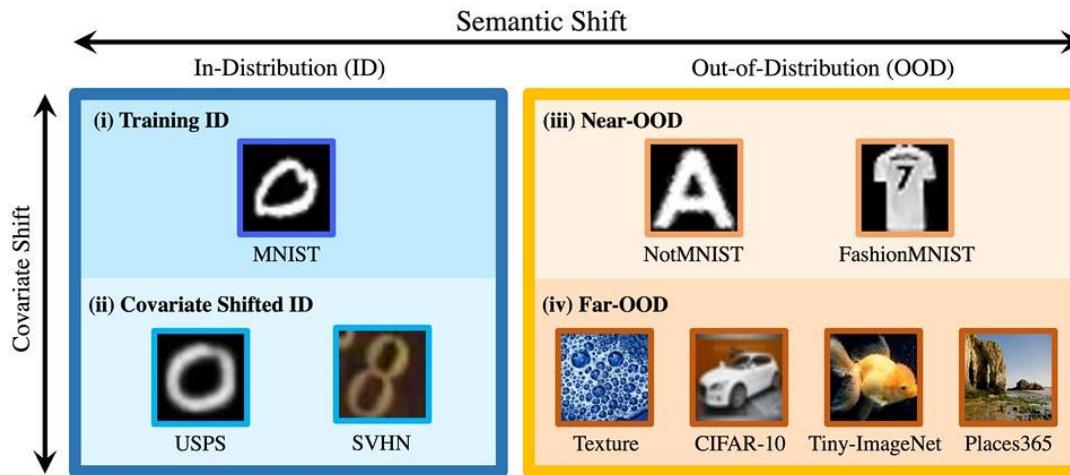
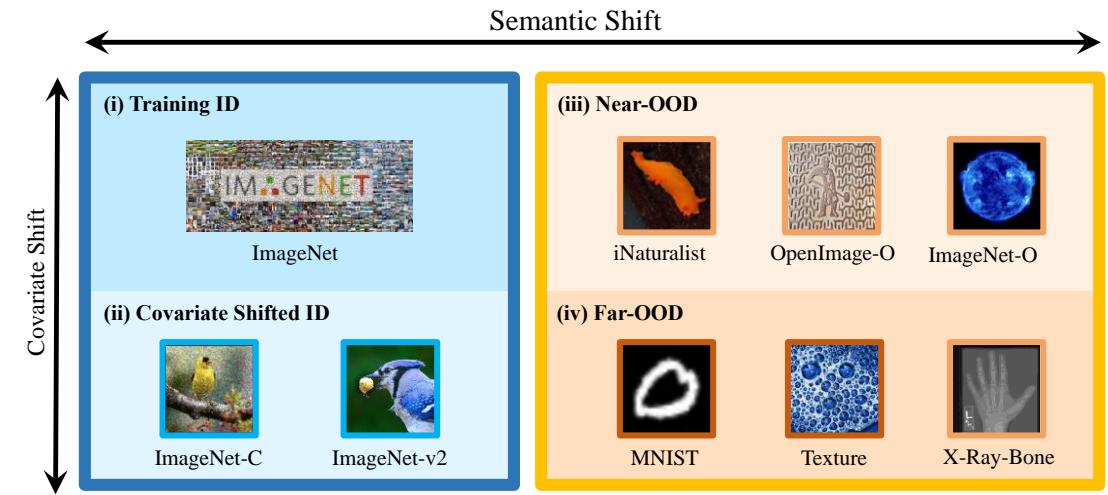


Figure: Large-Scale Full-Spectrum OOD Detection Benchmarks



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Thank you for listening!

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