

## Automated Reverse Engineering of Agent Behaviors

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### **Abstract**

This thesis concerns the automated reverse engineering of agent behaviors. It proposes a metric-free coevolutionary approach—*Turing Learning*, which allows a machine to infer the behaviors of agents (simulated or physical ones), in a fully automated way.

Turing Learning consists of two populations. A population of models competitively coevolves with a population of classifiers. The classifiers observe the models and agents. The fitness of the classifiers depends solely on their ability to distinguish between them. The models, on the other hand, are evolved to mimic the behavior of the agents and mislead the judgment of the classifiers. The fitness of the models depends solely on their ability to 'trick' the classifiers into categorizing them as agents. Unlike other methods for system identification, Turing Learning does not require any predefined metrics to quantitatively measure the difference between the models and agents.

The merits of Turing Learning are demonstrated using three case studies. In the first case study, a machine automatically infers the behavioral rules of a group of homogeneous agents through observation. A replica, which resembles the agents under investigation in terms of behavioral capabilities, is mixed into the group. The models are executed on the replica. This case study is conducted with swarms of both simulated and physical robots. In the second and third case studies, Turing Learning is applied to infer deterministic and stochastic behaviors of a single agent through controlled interaction, respectively. In particular, the machine is able to modify the environmental stimuli that the agent responds to. In the case study of inferring deterministic behavior, the machine can construct static patterns of stimuli that facilitate the learning process. In the case study of inferring stochastic behavior, the machine needs to interact with the agent on the fly through dynamically changing patterns of stimuli. This allows the machine to explore the agent's hidden information and thus reveal its entire behavioral repertoire. This interactive approach proves superior to learning only through observation.

## **Acknowledgments**

I consider the process of pursuing my PhD as a journey to learn not only about science, but also about life. Firstly, I would like to thank my supervisors, Dr. Roderich Groß and Prof. Stephen A. Billings for their support in this journey. The special thanks would be given to Dr. Roderich Groß. He helped me from toddling to walking on the pathway in this research field by motivating, teaching and passing his professional experience to me without any reservation. He is always very patient to discuss with me and give me professional suggestions. His passion for pursuing science and rigorous attitude in research have deeply influenced me.

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# 1 Inferring Individual Behaviors Through Interactive Turing Learning

#### 1.1 Introduction

In the previous chapters, we demonstrated how *Turing Learning (TL)* can be used for inferring swarm behaviors through observation. In fact, this is based on an implicit assumption that the behavioral repertoire of agents in the swarm could be fully revealed through observation. From the perspective of system identification, the target system has high observability. However, when the target system has low observability [189], the agent's behavioral repertoire may not be fully revealed only through observation (e.g., randomly generating the sequences of inputs). The machine needs to interact with the agent to explore its hidden information. Based on this idea, in this chapter we aim to infer agent behaviors that have low observability. In particular, we extend *Turing Learning* in Chapter 3 with interactive capability.

Observation and interaction are widely adapted by ethologists when investigating the behavior of animals [190, 191, 192]. When investigating animals' behavior in their natural habitats, passive observation is preferable as it is difficult to change the environmental stimuli. In this case, inferring the causal relations between the animal's behavior and its environmental stimuli may become challenging, since the stimuli are not under the observer's control. However, when the experiments are carried out in the indoor laboratories, it is possible to change/control the stimuli to interact with the animals under investigation in a meaningful way. In [192], in order to investigate the cause of the dung beetle dance, biologists designed various experiments to interact with it and learn how it adapts to the environmental changes (e.g., appearance of disturbance or obstacles).

In this chapter, we investigate whether a machine could automatically infer the agent behaviors (with low observability) through controlled interactions using the extended *Turing Learning* method. To validate this, two case studies are presented: deterministic agent behavior (Section 5.3.1) and stochastic behavior (Section 5.4.1). In these two case studies, the machine is able to control the agent's environmental conditions, which in this work corresponds to the intensity of the ambient light. At the same time, it is capable of simulating the actions of the agent. The learning result is a model of the agent that captures its behavior in relation to the environmental stimulus.

This chapter is organized as follows. Section 5.2 describes the methodology, illustrating how *Turing Learning* is extended to have interactive capability. The deterministic and stochastic behaviors under investigation are presented as two case studies (Sections 5.3 and 5.4). Section 5.3.1 describes the deterministic behavior. Section 5.3.2 presents the simulation setup. Section 5.3.3 presents the results of inferring the deterministic behavior, including analysis of the evolved models, the coevolutionary fitness dynamics, analysis of the evolved classifiers, a study showing the method's sensitivity to noise, and a comparison of *Turing Learning* with two metric-based methods—a single-population evolutionary approach and an approach based on coevolution of inputs and models. Section 5.4.1 describes the stochastic behavior (using a state machine) for the general case. Section 5.4.2 presents the simulation setup for inferring the stochastic behavior. Section 5.4.3 and 5.4.4 present the obtained results for the case of 2 states and 3 states, respectively. Section 5.5 summaries the chapter.

#### 1.2 Methodology

We extend *Turing Learning* (described in Chapter 3) with interactive capability. In the following, we will describe the implementation that is related to the work in this chapter.

#### **1.2.1** Models

The models are represented by a set of parameters that govern the rules of the agents. The details of these parameters will be described in Section 5.3.1 and Section 5.4.1. As

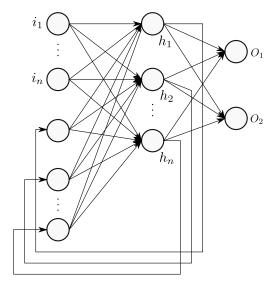


Figure 1.1: This diagram shows the structure of the classifiers used in this chapter. It is a recurrent Elman neural network [176] with i input neurons, h hidden neurons, and two output neurons ( $O_1$  and  $O_2$ ).  $O_1$ , which controls the stimulus, is fed back into the input;  $O_2$  is used for making a judgment. Two bias neurons with a constant input of 1.0 are connected to each neuron of the hidden and output layers. See text for details.

we have argued in the previous chapters, explicit representation (i.e., evolving only the parameters) and knowing the ground truth (i.e., real parameters) makes it feasible for us to objectively gauge the quality of the models obtained.

#### 1.2.2 Classifiers

Figure 5.1 shows the structure of the classifiers. The structure is similar to the one used in Chapter 3 (see Figure 3.1). However, the classifier (neural network) has an additional output that is used to control an environmental stimulus. Moreover, the environmental stimulus is also fed into the classifier as an additional input. In the following, we will explain how the classifier works.

Suppose the agent responds to the level of light intensity in the environment. We assume that the classifier can observe the agent's speed. The classifier (network) has two inputs. One is the light intensity in the environment at time step t,  $I^{(t)} \in [0,1]$ , and the other is the speed  $v^{(t)}$  of the agent. The speed of the individual for the classifier's

input is calculated by subtracting the previous estimated position from the current estimated position, and dividing the resulting number by the time interval between two measurements.

In order to make a judgment between a model and the agent, the classifier observes the behavior (speed) over a period of time. In addition, the classifier is also in control of the light intensity in the individual's environment. At time t = 0, the value of the light intensity is chosen randomly with a uniform distribution in the range [0, 1]. The neural network is then updated, using  $I^{(0)}$  and  $v^{(0)}$ . The value of the light intensity for the next time step is obtained from the classifier's output neuron  $O_1$ , and the process repeats. After having iterated through all the time steps (a single trial), the final value of output neuron  $O_2$  is used to make a judgment: the network decides on a model if  $O_2 < 0.5$ , and on the agent if  $O_2 \ge 0.5$ . The memory (value of hidden neurons) of the classifiers is reset at the end of every trial.

#### 1.2.3 Optimization Algorithm

The algorithm used here is based on a  $(\mu + \lambda)$  evolution strategy with self-adaptive mutation strengths [177, 109]. It is the same as the one used in Chapter 3. For the details of the implementation, see Section 3.1.1.3.

#### 1.2.4 Fitness Calculation

Suppose the population sizes for the model and classifier are M and C, respectively. The fitness of each model is obtained by evaluating it with each of the classifiers in the competing population (C in total). For every classifier that wrongly judges the model as being the agent, the model's fitness increases by  $\frac{1}{C}$ . The final fitness is in [0,1].

The fitness of each classifier is obtained by using it to evaluate (i) each model in the competing population (M in total) once, and (ii) the agent L times with different initial light intensities. For each correct judgment of the model and the agent, the classifier's fitness increases by  $\frac{1}{2\cdot M}$  and  $\frac{1}{2\cdot L}$ , respectively. The final fitness is in [0,1].

#### 1.3 Case Study One

To validate our method, we present two case studies: one with deterministic behaviors and one with stochastic behaviors. The behaviors to be identified in this chapter were chosen to serve as a proof of concept study. While it may loosely correspond to how some animals react to the stimuli in their environment, it is not intended to mimic any specific animal. In these behaviors, non-trivial interaction with the agent is critical for leading the agent to reveal all of its behavioral repertoire.

#### 1.3.1 Deterministic Behavior

We simulate a one-dimensional environment in continuous space. The simulation advances in discrete time steps  $t \in \{0, 1, 2, ...\}$ . The (ambient) light intensity in the environment, I, can be varied continuously between 0 and 1. The agent distinguishes between three levels of light intensity, low  $(0 \le I < I_L)$ , medium  $(I_L \le I \le I_H)$ , and high  $(I_H < I \le 1)$ . The two constants,  $I_L$  and  $I_H$ , represent the threshold of low and high levels of the light intensity, respectively. Hereafter, the three levels will be referred to as L, M, and H.

If the light intensity is at level M at time t, the speed of the agent,  $s^{(t)} \in \mathbb{R}$ , varies linearly with  $I^{(t)}$  as:

$$s^{(t)} = k \left( I^{(t)} - 0.5 \right), \tag{1.1}$$

where k is a constant.

We define two constants:  $c_1 = k (I_H - 0.5)$  and  $c_2 = k (I_L - 0.5)$ . The deterministic behavior under investigation is shown in Figure 5.2. The agent's behaviors for levels L and H depend on the previous levels of light intensity (i.e. the agent has memory). The two behaviors are symmetrical to each other. Here, we will describe the behavior for level L; the behavior for level H is obtained by exchanging L with H and  $I_L$  with  $I_H$  in the following description.

When the light intensity is at level L, the agent's default speed is  $k(I_L - 0.5)$  and remains at that value as long as the light intensity remains at level L. If the light intensity

#### 1 Inferring Individual Behaviors Through Interactive Turing Learning

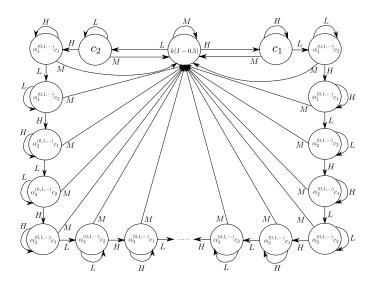


Figure 1.2: The deterministic behavior under investigation. It shows how the agent responses to the level of light intensity (L, M and H) in its environment. Each state represents the agent's speed. See text for details.

Table 1.1: This table shows the change of the agent's speed (shown in Figure 5.2), for an example sequence of light levels.

leve	1	M		Н	L	H	L	L	L	H	H	L	L
spee	$\mathbf{d}$ $k$	k(I-0)	.5)	$c_1$	$c_2$	$c_1$	$c_2$	$\alpha_1^1 c_2$	$\alpha_1^2 c_2$	$c_1$	$\alpha_1^1 c_1$	$c_2$	$\alpha_2^1 c_2$
L	H	H	L	I		H	H	H		M	H	L	L
$\alpha_2^2 c_2$	$c_1$	$\alpha_2^1 c_1$	$c_2$	$\alpha_3^1$	$c_2$	$c_1$	$\alpha_3^1 c_1$	$\alpha_3^2 c_1$	k(I -	-0.5	$c_1$	$c_2$	$\alpha_1^1 c_2$

is at level H (for any number of time steps), and then immediately changes to level L, and remains at that level for at least one more time step, then the agent's speed decays exponentially with a rate of  $\alpha_1$ : that is, in the first time step that the light intensity is at level L, the agent's speed is  $\alpha_1^0 k (I_L - 0.5)$ ; it then changes to  $\alpha_1^1 k (I_L - 0.5)$ ,  $\alpha_1^2 k (I_L - 0.5)$ , and so on as long as the light intensity remains at level L. The agent now registers that  $\alpha_1$  has been activated. If another  $H \to L \to L$  sequence is observed, the agent's speed now decays exponentially with a rate of  $\alpha_2$ . If further  $H \to L \to L$  sequences are observed, the exponential decay rate becomes and remains at  $\alpha_3$ . Note that at any time, if the agent observes a light intensity at level M, its speed is proportional to the light intensity, as shown in Equation 5.1, and it forgets all its past observations

of the light intensity (i.e., the memory of the agent is reset).

The behavior of the agent can thus be represented by five cases; one where the agent's response to the light intensity is proportional (which occurs whenever the light intensity is at level M); one where the agent's response is constant (i.e. the agent's speed reaches the lower and upper saturation values ( $c_1$  or  $c_2$ ) as shown in Figure 5.2); and three where the agent's response decays exponentially with the decay rates  $\alpha_1$ ,  $\alpha_2$  and  $\alpha_3$ , respectively.

Table 5.1 shows an example sequence of light levels, along with the corresponding speed of the agent (i.e., the speed shown in Figure 5.2).

Here,  $I_L$  and  $I_H$  are set to 0.1 and 0.9 respectively. k is set to 1.25; hence, the lower and the upper saturation values of the speed are  $k(I_L - 0.5) = -0.5$  and  $k(I_H - 0.5) = 0.5$ . The exponential decay rates are set to:  $\alpha_1 = 0.8$ ,  $\alpha_2 = 0.4$ ,  $\alpha_3 = 0.2$ . Thus, in each case, the agent's speed decays exponentially towards zero. Note that these values  $(k, \alpha_1, \alpha_2 \text{ and } \alpha_3)$  are chosen arbitrarily and the coevolutionary algorithm is not sensitive to them.

#### 1.3.2 Simulation Setup

We use three setups for the *Turing Learning* method. The setup, in which the classifier is in control of the light intensity in the agent's environment, is hereafter referred to as the "Interactive" setup. In order to validate the advantages of the interactive approach, we compared it against the situation where the classifier only observes the agent in a passive manner; that is, it does not control the light intensity in the environment. We considered two such setups: in the first setup (hereafter, "Passive 1") the light intensity is randomly chosen from the uniform distribution in [0, 1], in every time step. In the

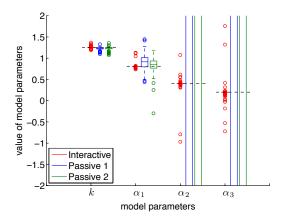


Figure 1.3: This plot shows the distributions of the evolved models with the highest subjective fitness in the 1000<sup>th</sup> generation in the coevolutions. Each box corresponds to 100 coevolution runs. The dotted lines correspond to the values of the four parameters that the system is expected to learn (i.e. those of the agent). From top to bottom, these are 1.25, 0.8, 0.4, 0.2, respectively. Note that in order to zoom in on the relevant range, some boxes and outliers are omitted from the plot.

second setup (hereafter, "Passive 2"), the light intensity is randomly chosen only after certain number of time steps (in this setup the number is chosen to be 10). All other aspects of these two setups are identical to the "Interactive" setup.

The population sizes of the models and classifiers are chosen to be 100, respectively. We performed 100 coevolution runs for each setup. Each coevolution run lasts 1000 generation. In one generation, each classifier conducts 100 trials on the agent. In each trial, the classifier observes the agent for 10s at 0.1s intervals, that is, a total of 100 data points.

#### 1.3.3 Results

#### 1.3.3.1 Analysis of Evolved Models

Figure 5.3 shows a box plot with the distributions of the evolved models with the highest subjective fitness in the 1000<sup>th</sup> generation over 100 coevolution runs of the three setups. The passive coevolutions are able to evolve the parameters k and  $\alpha_1$  with a reasonable

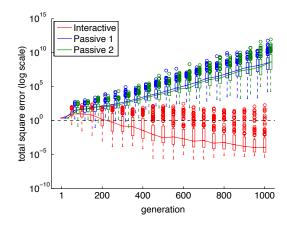


Figure 1.4: This plot shows the total square errors of the evolved model parameters compared to those of the agent over generations. The models with the highest subjective fitness in each generation are selected. Each box corresponds to 100 coevolution runs, and the solid lines correspond to the median error.

accuracy; however, they are not able to evolve  $\alpha_2$  and  $\alpha_3$ . In the Passive 1 coevolution, the relative errors of the medians of the four evolved parameters  $(k, \alpha_1, \alpha_2, \alpha_3)$  with respect to those of the agent are 1.2%, 14.3%, 7.8 × 10<sup>4</sup>%, and 2.3 × 10<sup>5</sup>%, respectively. The Passive 2 coevolution leads to similarly large relative errors in the evolved values of  $\alpha_2$  and  $\alpha_3$ . This phenomenon can be explained as follows. If the light intensity changes randomly (either every time step, or every ten time steps), it is unlikely that the  $H \to L \to L$  and/or  $L \to H \to H$  sequences will occur enough times, without a level of M in between, such that the classifiers can observe the effects of  $\alpha_2$  and  $\alpha_3$ . Therefore, the classifiers do not evolve the ability to distinguish the behavior of models from the behavior of the agent with respect to these two parameters, and in turn, these parameters do not converge to their true value in the model population.

In contrast to the passive coevolutions, the Interactive coevolution is able to evolve all the four parameters with a good accuracy. The relative median errors are 0.024%, 0%, 0.025% and 0.15% for k,  $\alpha_1$ ,  $\alpha_2$  and  $\alpha_3$  respectively. This implies that by the 1000<sup>th</sup> generation, the classifiers have learned how to control the pattern of the light intensity in such a way that they can distinguish models from the agent based on the effect of any of the four parameters. Therefore, in order to compete for being selected in the population, the models are evolved to behave like the agent in every aspect.

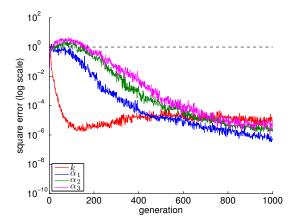
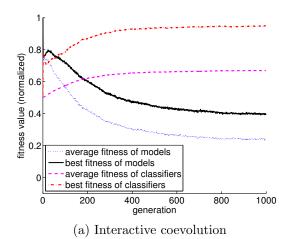


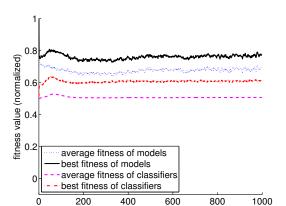
Figure 1.5: This plot shows how the square error in the individual model parameters changes over the generations in the Interactive coevolution. The curves correspond to median values from 100 coevolution runs.

#### 1.3.3.2 Coevolutionary Dynamics

Figure 5.4 shows the dynamics of the coevolutionary algorithms. The horizontal axis shows the generation, whereas the vertical axis shows the total square error of the model parameters, that is, the sum of the square errors in the four parameters (of the model with the highest subjective fitness in each generation) with respect to their true values. In the case of the Interactive coevolution, the median error starts to reduce after around the  $100^{\text{th}}$  generation, and keeps decreasing until the last generation where it reaches a value of  $10^{-4}$ . In contrast, in the case of the passive coevolutions, not only does the median error not decrease, but it increases to a value of  $10^{8}$  by the  $1000^{\text{th}}$  generation.

We now analyze how the four individual parameters evolve during the course of the Interactive coevolution, which is the only fully-successful setup. The plot shown in Figure 5.5 reveals how the learning proceeds in the coevolution. Parameter k is the first to be learnt, followed by  $\alpha_1$ , while parameters  $\alpha_2$  and  $\alpha_3$  take a longer time to approximate the true values. This means that the classifiers first learn to distinguish models from the agent on the basis of k and  $\alpha_1$ . This ability of the classifiers drives the model population to evolve k and  $\alpha_1$ , in order to mislead the classifiers. Eventually, the classifiers also learn to exploit the effects of  $\alpha_2$  and  $\alpha_3$  in order to make the right judgment; thereby driving the model population to evolve these two parameters accurately. After about the 600<sup>th</sup> generation, the learning of the four parameters proceeds with approximately





(b) Passive 1 coevolution

Figure 1.6: This plot shows the subjective fitness (normalized) of the classifiers and the models in (a) the Interactive coevolution, and (b) the Passive 1 coevolution. The curves show the average fitness across 100 coevolution runs.

#### identical rates.

In order to analyze why the Interactive coevolution is successful while the passive ones are not, we can look at the dynamics of the subjective fitnesses of the classifiers and the models (as defined in Section 5.2.4) during the course of the coevolution. As both of the passive coevolutions fail to converge, we present the analysis of fitness dynamics only for Passive 1 coevolution (the other case was found to have similar dynamics). Figure 5.6 shows the fitness dynamics of the Interactive and the Passive 1 coevolutions. In the case of the Interactive coevolution (see Figure 5.6(a)), the average fitness of the classifiers starts off at 0.5, which means that the classifiers make judgments that are no better than random judgments. However, the classifiers quickly improve in fitness, which in

turn causes the fitness of the models to decrease. This increases the selective pressure on the models. After about 600 generations both the fitness of classifiers and models reach a steady state, which according to Figure 5.5 corresponds to the region where the four parameters evolve with virtually identical rates. In the case of the Passive 1 coevolution (see Figure 5.6(b)), the average fitness of the classifiers also starts off at 0.5. In the first few generations, this increases slightly, because the classifiers learn how to distinguish models from the agent on the basis of parameters k and  $\alpha_1$ . However, the models quickly adapt to this new ability of the classifiers. Now, as the classifiers are unlikely to have the opportunity to observe the effects of  $\alpha_2$  and  $\alpha_3$ , their average fitness returns to 0.5. This leads to a disengagement phenomenon, in which there is no more meaningful selection in the model population, therefore leading the parameters  $\alpha_2$  and  $\alpha_3$  to drift, the effect of which can be seen in Figure 5.4.

#### 1.3.3.3 Analysis of Evolved Classifiers

In this section, we analyze the evolved classifiers in the Interactive coevolution. The model described in Section 5.2.1 is defined by four parameters:  $\{k, \alpha_1, \alpha_2, \alpha_3\}$ . In order to evaluate the quality of the evolved classifiers we performed a grid search over the space of the amount of disturbance (i.e. noise) injected into each of the four parameters. We used 11 noise magnitude settings per parameter,  $M \in \{0, 0.1, ..., 1\}$ , with the noise being added to the parameters as follows:

$$p' = p(1 + \mathcal{U}(-M, M)), \tag{1.2}$$

where  $p \in \{k, \alpha_1, \alpha_2, \alpha_3\}$  represents any of the four parameters, and  $\mathcal{U}(-M, M)$  denotes a uniform distribution on the interval (-M, M). Note that M = 0 corresponds to no noise, whereas M = 1 means that the noisy value of the parameter can be between 0 and twice its actual value.

For the sake of simplicity, we only analyzed the classifiers with the highest subjective fitness in the last generation of the 100 coevolutions. For each of the 100 classifiers, and for each combination of noise magnitudes, we conducted 100 trials. In other words, we

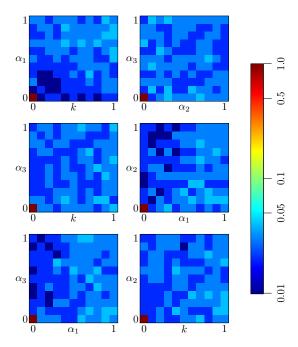


Figure 1.7: This figure shows the landscape of  $U^*$  (log scale) for the overall best classifier over the six sub-spaces with two parameters as degrees of freedom (from 100 coevolution runs). Each axis in each plot ranges between 0 and 1, corresponding to the minimum and maximum magnitude of noise added into each parameter respectively. See text for details.

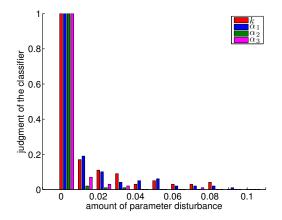


Figure 1.8: This plot shows the average judgment [U, see Equation 5.3] of the best evolved classifier over 100 trials when the magnitude of noise added into each parameter of the agent is within 0.1.

conducted  $100 \cdot 11^4 \cdot 100 = 146,410,000$  trials in total. In each trial, we modulated the agent's parameters according to Equation 5.2. Each trial was run for T = 100 time steps

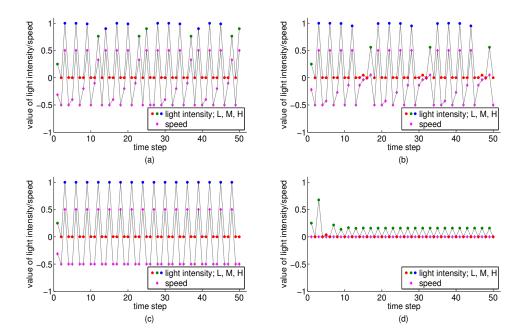


Figure 1.9: This plot shows the light intensity sequences as output by the overall best classifier (circular points), along with the corresponding speeds of the agents (diamond-shaped points) in four trials conducted on different agents: (a) the agent; (b) a model similar to the agent, generated randomly according to Equation 5.2 with M=0.5; (c) a model whose speed is linear to the light intensity; and (d) a static model, whose speed is always 0 (i.e. k=0). The colors of the circular points (red, green, blue) correspond to light intensities at levels L, M and H, respectively.

(the same setting used within the coevolutions). For each combination of magnitudes employed, the overall performance U was computed as the sum of the final judgments of the classifier in each trial, divided by the total number of trials, N:

$$U = \sum_{i=1}^{N} J_i / N, \tag{1.3}$$

where,  $J_i \in \{0, 1\}$  is the final judgment of the classifier in trial i, and N is the total number of trials conducted. Note that  $J_i = 0$  and  $J_i = 1$  imply that the classifier has judged the behavior as being that of a model and the agent, respectively.

In order to find the overall best classifier from the 100 classifiers analyzed, we defined

the following metric:

$$W = [1 - U(0, 0, 0, 0)] + \frac{1}{\Omega} \sum_{\substack{i,j,k,\ell \in \{0,0.1,\dots,1\}\\ i^2 + j^2 + k^2 + \ell^2 \neq 0}} \sum_{\substack{(i+j+k+\ell) \ U(i,j,k,\ell),}} (i+j+k+\ell) U(i,j,k,\ell),$$

$$(1.4)$$

where  $\Omega=29282$  is the maximum value that the quadruple sum can achieve (i.e. if all the U's are equal to 1). The first term in Equation 5.4 penalizes the classifier if U<1 when there is no noise on the parameters; they are thus undisturbed and identical to the ones of the agent. The second term penalizes the classifier if U>0 for any non-zero noise combination, with increasing penalties being applied to higher noise magnitudes. The normalization of the second term by  $\Omega$  serves to make the two terms contribute equally to W, which can take values in [0,2]. Note that the minimum value of W=0 can only be achieved by the perfect classifier, that is, one that outputs 1 if  $i=j=k=\ell=0$  and 0 otherwise. In our case, the best classifier achieved a value of  $W=3.7 \cdot 10^{-3}$ .

The performance landscape of the models is 5-dimensional (4 model parameters plus performance measure), and cannot be visualized directly. Therefore, we considered each combination of two model parameters  $\binom{4}{2} = 6$  combinations) as a sub-space, and for each point in this sub-space, we calculated the performance measure as the maximum value over the sub-space spanned by the remaining two parameters. For instance, on the sub-space  $(k, \alpha_1)$ , the performance measure  $U^*(k, \alpha_1)$  was calculated as:

$$U^* (k, \alpha_1) = \max_{\alpha_2, \alpha_3} U(k, \alpha_1, \alpha_2, \alpha_3).$$

$$(1.5)$$

Note that for all the points except (0,0,0,0), Equation 5.5 corresponds to the worst-case scenario for the classifiers, because the value of U for the ideal classifier at these points is 0. For the point (0,0,0,0), the value of U for the ideal classifier is 1.

Figure 5.7 shows the landscape of  $U^*$  (log scale) for the overall best classifier over the six sub-spaces. When interacting with the agent (i.e.  $i = j = k = \ell = 0$ ), the output of the classifier is always 1. This corresponds to the point  $U^*(0,0)$  in the six sub-spaces of Figure 5.7 (here only the maximum is shown). When interacting with the models

(i.e. when the parameters of the agent are perturbed), for any combination of noise magnitudes, the average output of the classifier is below 0.05 for the six sub-spaces.

In order to further analyze how sensitive the overall best classifier is when the parameters of the agent are only slightly perturbed, we set the maximum magnitude of noise added to each parameter to 0.1, and used a resolution of 0.01. In this evaluation, we only injected noise into one parameter at a time. For each noise magnitude, the classifier was evaluated in 100 trials (with different initial light intensities, and randomly-generated noise), and the average performance measure was computed according to Equation 5.3.

Figure 5.8 shows the average judgment [U], see Equation 5.3] of the best classifier, which was evaluated in 100 trials. With increasing noise magnitudes, the average judgment of the classifier approaches zero, which means that the classifier can identify the agents as models more consistently. The classifier has different sensitivities to the four parameters; the effects of noise on  $\alpha_2$  and  $\alpha_3$  on its judgment are higher than those of k and  $\alpha_1$ . For instance, in the case of  $\alpha_2$ , even with M=0.01, the classifier correctly judges the behavior as being that of a model in 98% of the trials. As we have seen, k and  $\alpha_1$  are the first two parameters to be identified in the coevolution.  $\alpha_2$  and  $\alpha_3$  are addressed in the later generations of the coevolutionary process, and it seems that the classifier is more sensitive to these two parameters.

We now investigate how the overall best classifier interacts with the agents. We conducted four trials with four different agents: (a) the agent; (b) a model similar to the agent, generated randomly according to Equation 5.2 with M=0.5; (c) a model whose speed is linear to the light intensity without exponential decay (i.e. k=1.25,  $\alpha_1=\alpha_2=\alpha_3=1$ ); and (d) a static model, whose speed is always 0 (i.e. k=0). In each trial, the initial light intensity was set to 0.25. The trials lasted for 100 time steps.

Figure 5.9 shows the sequences of light intensity output by the classifier, along with the speed of the agents in the four trials, for the first 50 time steps (we observed that the last 50 time steps constitute a repetition of the first 50). As we can see, the classifier outputs different sequences of light intensity in order to interact with the different agents. The greater the difference between a model and the agent, the more varied is the sequence of light intensities that the classifier outputs when interacting with it as compared to the one it outputs when interacting with the agent. For the agent, the classifier repeatedly outputs a  $H \to L \to L$  sequence, in order to fully reveal the behavior (this is analyzed

in detail in the following paragraph). For the model that is similar to the agent (see Figure 5.9(b)), the sequence of light intensities is similar to the one produced for the agent, but it is not identical. Interestingly, for the model without exponential decay (see Figure 5.9(c)), the classifier produces the  $H \to L \to L$  sequence even more often than it does for the agent, but it does not set the light intensity to level M. For the static model (see Figure 5.9(d)), the classifier never outputs a  $H \to L \to L$  sequence; instead, it outputs a sequence that alternates between M and L.

#### 1.3.3.4 Noise Study

We now consider the situation where, during the coevolutionary process, noise is injected into the agent's behavior, and the agent's positions as measured by the system. This makes the overall setup more realistic as the locomotion of agents and any tracking system will be affected by noise and measurement error. Since the passive coevolutions fail even in the noiseless case, we consider only the Interactive coevolution for the sake of simplicity. We performed 100 coevolutionary runs with the following settings. The light intensity perceived by the agent at time t is obtained by multiplying the actual intensity by a random number generated uniformly in (0.95, 1.05), and capping the perceived intensity to 1 if it exceeds this value. Noise is also applied to the speed of the

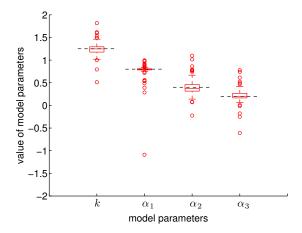


Figure 1.10: This plot shows the distributions of the evolved models with the highest subjective fitness in the 1000<sup>th</sup> generation of the Interactive coevolution with noise (for a comparison to the case without noise, see Figure 5.3). The dotted lines correspond to the values of the four parameters that the system is expected to learn (i.e. those of the agent). From top to bottom, these are 1.25, 0.8, 0.4, 0.2, respectively.

agent by multiplying the original speed with a random number generated uniformly in (0.95, 1.05). Noise on the estimated position on the agent is applied by adding a random number generated from a normal distribution:  $\mathcal{N}(0, 0.005)$ .

Figure 5.10 shows a box plot with the distributions of the evolved models with the highest subjective fitness in the  $1000^{\text{th}}$  generation of the Interactive coevolution with noise. The effect of the noise is to widen the distribution of the evolved parameters across the 100 coevolutionary runs; however, the median values of the evolved parameters are still very close to the true values. Interestingly, the Interactive coevolution does not seem to learn  $\alpha_2$  and  $\alpha_3$  significantly worse than it does learn k and  $\alpha_1$ .

#### 1.3.3.5 Using a Single-Population Evolutionary Algorithm

In order to compare *Turing Learning* against a more traditional approach, we used a simple evolution where a single population of models evolves. We call this method SP-EA. As there are now no classifiers, an interactive approach is not possible, and thus we conducted 100 evolutionary runs for the Passive 1 and Passive 2 methods of changing the

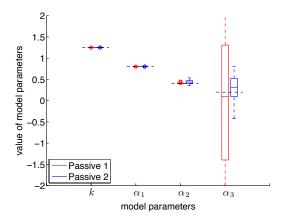


Figure 1.11: This plots shows the distributions of the evolved models with the highest fitness in the 100000<sup>th</sup> generation in the simple evolutions with a single population. Each box corresponds to 100 evolution runs. The dotted lines correspond to the values of the four parameters that the system is expected to learn (i.e. those of the agent). From top to bottom, these are 1.25, 0.8, 0.4, 0.2, respectively. Note that in order to zoom in on the relevant range, some boxes and outliers are omitted from the plot.

Table 1.2: This table shows a comparison of all approaches. The numbers show the relative errors of the evolved parameters (median values over 100 runs) with respect to the parameters of the agent (in absolute percentage).

	k	$\alpha_1$	$\alpha_2$	$\alpha_3$
Interactive (TL)	0.024	0	0.025	0.15
Passive 1 (TL)	1.2	14.3	$7.8 \times 10^{4}$	$2.3 \times 10^{5}$
Passive 2 (TL)	0.7	5.74	$1.3 \times 10^{4}$	$3.3 \times 10^{5}$
Passive 1 (SP-EA)	0	0	1.2	48.7
Passive 2 (SP-EA)	0	0	9.8	48.5
CEA-IM	$4.0\times10^{-5}$	$4.9\times10^{-5}$	$1.1\times10^{-3}$	$2.5\times10^{-4}$

light intensity in the agent's environment. The structure of the evolution is identical to the sub-algorithms used in the coevolution, except for the fitness evaluation step. Now, in each generation, 100 experiments are performed on the agent using 100 randomly generated intensity patterns. The 100 intensity patterns are used to evaluate a model 100 times. The average square error between the model's and the agent's speed sequences is used as the model's fitness. Each evolutionary run lasts 100,000 generations. In other words, the number and duration of experiments on the agent is kept the same as that

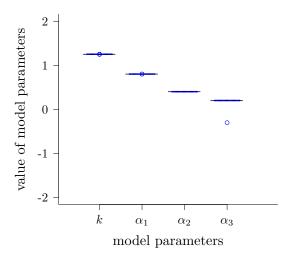


Figure 1.12: This plots shows the distributions of the evolved models with the highest fitness in the 100000<sup>th</sup> generation in the simple evolutions with a single population. Each box corresponds to 100 coevolution runs. The dotted lines correspond to the values of the four parameters that the system is expected to learn (i.e. those of the agent). From top to bottom, these are 1.25, 0.8, 0.4, 0.2, respectively.

in the coevolutionary approach, as outlined in Section 5.3.2.

Figure 5.11 reveals that the evolution is able to identify parameters k,  $\alpha_1$ ,  $\alpha_2$ , but not  $\alpha_3$ . Note that, apart from the single-population evolution not being able to consistently identify the parameter  $\alpha_3$ , they also rely on a pre-defined metric for their operation; in this case, computed as the square error between the model's and the agent's speed sequences.

#### 1.3.3.6 Coevolution of Inputs and Models

In this section, we compare *Turing Learning* with another coevolutionary system identification method, in which inputs and models competitively coevolve. In particular, we use the classifiers to generate sequences of inputs (in this case, light intensity). The models are optimized through minimizing the square error of speed between the agent and models, given the same sequence of inputs generated by the classifiers. The classifiers compete with the models through generating inputs that cause the maximum

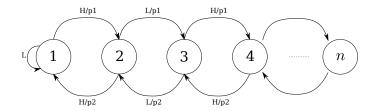


Figure 1.13: A state machine which represents the stochastic behavior of the agent under investigation. The initial state is 1.  $p_1$ ,  $p_2$  are probabilities. The ambient light intensity that the agent responds to has two levels: H and L. See text for details.

disagreement between the model's prediction and the agent's output, which is similar to the concept in [14]. Note that in this case the classifiers are only used for generating the inputs and they do not make judgments. We call this method CEA-IM. Figure 5.12 shows the results of CEA-IM. As we can see, the model parameters are also identified with a high accuracy. In other words, there is no 'true' interaction between the agent and classifiers during the experiments in *Turing Learning* when investigating the deterministic behavior. The classifiers only need to learn how to generate a fixed sequence of inputs to extract all the information from the agent. For a comparison of all approaches, see Table 5.2.

In order to further validate and highlight the benefit of *Turing Learning*, we investigate the stochastic behaviors in the following section.

#### 1.4 Case Study Two

#### 1.4.1 Stochastic Behavior

Stochastic behaviors are widely observed in the animal kingdom. Given the same stimuli, the animal may behave differently. In order to make the animal under investigation reveal all its behavioral repertoire, ethologists sometimes need to interact with the animals in real time and change the stimuli dynamically according to the animal's response. Based on this motivation, in this section we apply *Turing Learning* to infer stochastic behaviors

and investigate whether a machine could interact with the agent through dynamically changing the stimulus rather than applying a fixed sequence.

We will describe the stochastic behavior for the general case. It is represented by a state machine, shown in Figure 5.13. Suppose the agent has n states. The agent's behavior depends on the level of the light intensity in the environment and its current state (i.e., the agent has short-term memory). For the initial state 1, if the light intensity is in low level (L), the agent keeps staying in state 1; if the light intensity is in high level (H), the agent has the probability of  $p_1$  to move forward to state 2. For the middle state with even number, if the level of light intensity is L, the agent has the probability of  $p_1$  to move forward to another state with higher number; otherwise if the light intensity is H, it has the probability of  $p_2$  to move back to a previous state with lower number. The behavior for the middle state with odd number is obtained by exchanging L with H in the above sentence. When the agent is in the final state n, its behavior is similar to that in the middle state. The only difference is that the agent never moves forward. Figure 5.14(a) and Figure 5.14(b) show the agent behavior with 2 and 3 states, respectively. These are the two behaviors to be investigated in the thesis.

In the agent's behavior,  $p_1$  is selected to a low value and  $p_2$  is set to a high value. As a consequence of this choice, the agent has a low chance of moving forward to a state with a higher number and thus the higher state has lower observability. The classifiers need to learn how to interact with the agent to infer all its behavioral repertoire.

For a proof of concept study, we assume that the agent moves in one-dimensional space and moves at a constant speed in each state. Suppose the agent stays static and its initial state is known. The system identification task is to identify the parameters of the other states (i.e.,  $v_1$  and  $v_2$  shown in Figure 5.14) and the two probabilities,  $p_1$  and  $p_2$ . The speed of the agent in each state is chosen arbitrarily.  $p_1$  and  $p_2$  are chosen to have a value of 0.1 and 1.0. This makes the agent's state with higher number harder to be observed.

In order to make the agent stay in the state with higher number as long as possible, the classifiers need to capture the point when the agent starts to move forward from a state with a lower number to a state with a higher number and switches the level of light intensity immediately. This makes it easier for *Turing Learning* to learn the behavior

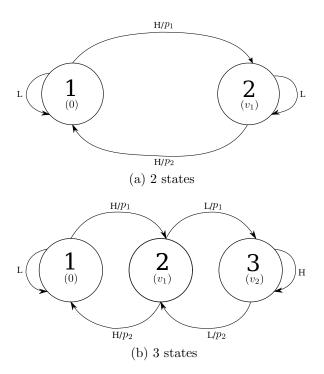


Figure 1.14: The stochastic behaviors with (a) 2 states and (b) 3 states under investigation.  $p_1$  and  $p_2$  are probabilities. For all the other cases (e.g.,  $H/(1-p_1)$ ), the agent stays in the same state.

as the state can be observed for a sufficient time. If the classifiers fail to do that, the agent would immediately move to the previous state with lower number.

For the 2-state machine shown in Figure 5.14(a),  $v_1$  is selected to be 0.5; for the 3-state machine shown in Figure 5.14(b),  $v_1$  and  $v_2$  are selected to be 0.5 and 1.0, respectively. Therefore, the parameters to be identified for the 2-state and 3-state agent behavior are:

$$\mathbf{q}_1 = (v, p_1, p_2) = (0.5, 0.1, 1.0). \tag{1.6}$$

$$\mathbf{q}_2 = (v_1, v_2, p_1, p_2) = (0.5, 1.0, 0.1, 1.0). \tag{1.7}$$

#### 1.4.2 Simulation Setup

For *Turing Learning*, we still used three setups: "Interactive", "Passive 1" and "Passive 2", as discussed in Section 5.3.2. We also compared *Turing Learning* with the two metric-

based methods (SP-EA and CEA-IM) described in Section 5.3.3.5 and Section 5.3.3.6, respectively. For each setup, we added a certain amount of noise into the measurement of speed. This is realized by multiplying the agent's real speed with a random value in [0.95, 1.05] in each time step.

#### 1.4.3 Results: Two States

In this section, we present the results of inferring the 2-state agent behavior shown in Figure 5.14(a), using the setups in Section 5.4.2.

#### 1.4.3.1 Analysis of Evolved Models

Figure 5.15 shows a box plot with the parameters of the evolved models with the highest subjective fitness in the  $1000^{\text{th}}$  generation for (a) Turing Learning, (b) SP-EA, and (c) CEA-IM. Using Turing Learning, the system identified all parameters of the agent with good accuracy. For the other two metric-based methods, all the three parameters are not learned well. Instead, the three evolved parameters converge into three different values:  $v_1 \rightarrow 0.0$ ,  $p_1 \rightarrow 1.0$ ,  $p_2 \rightarrow 0.0$ . The failure of SP-EA and CEA-IM can be explained as follows. As the behavior is stochastic, given the same sequence of inputs the agent would probably exhibit different behaviors. Therefore, quantitatively measuring the difference between the models and agent (e.g., using square error) would not lead the model parameters to converge into their true values. For all methods, the means (standard deviations) of the AEs of each parameter of the evolved model with the highest fitness in the final generation over 30 coevolution runs are shown in Table 5.3. Clearly, Turing Learning infers the stochastic behavior significantly better than the two metric-based methods. There is no significant difference among "Interactive", "passive 1" and "passive 2" setups of Turing Learning in terms of AEs of the evolved parameters.

In order to show the advantage of "Interactive" setup of *Turing Learning*, we investigate the convergence of model parameters during the evolutionary process. Figure 5.16 shows the convergence of the model parameters over generations for the three setups of *Turing Learning*. As we can see, the evolved model parameters in the "Interactive" setup

Table 1.3: This table shows a comparison of all approaches for learning the 2-state stochastic behavior shown in Figure 5.14(a). The values show means of AEs (defined in Equation 3.9) of each evolved model parameter with respect to that of the agent.

	$v_1$	$p_1$	$p_2$
Interactive (TL)	0.003	0.03	$1.6 \times 10^{-5}$
Passive 1 (TL)	0.01	0.03	$1.0\times10^{-5}$
Passive 2 (TL)	0.02	0.02	$1.0\times10^{-5}$
Passive 1 (SP-EA)	0.47	0.9	1.0
Passive 2 (SP-EA)	0.47	0.9	1.0
CEA-IM	0.47	0.9	1.0

converge much faster than those in the two passive setups. For the "Interactive" setup, after about 100 generations, all the three parameters converge into their true values. For the "Passive 1", all the parameters converge after about 200 generations, while for the "Passive 2" it takes longer time. In terms of  $v_1$ , there is a much smaller disturbance in the "Interactive" setup than that in the other two setups.

#### 1.4.3.2 Analysis of Evolved Classifiers

In order to investigate why the "Interactive" setup of *Turing Learning* learns the agent behavior much faster than the two passive setups, we post-evaluated how the classifiers interact with the agent during a trial.

Figure 5.17 shows how the classifier with the highest subjective fitness in different generations (of a particular coevolution run) interact with the agent in a trial. Similar phenomenon could be observed in other coevolution runs. As shown in the top left of Figure 5.17, the classifier keeps the light intensity at the H level at the beginning. Once it observes the agent 'jumps' from state 1 to state 2 (and this process is stochastic), and the classifier immediately switches the light intensity from H to L level in order to make the agent stay in state 2 as long as possible. Therefore, the agent's behavior in state 2 (hidden information) can be observed longer and inferred efficiently. Note that the classifier has learned a good strategy and exhibited such 'intelligent' behavior at the very beginning of the coevolution run (before 50 generations in this case). After 500

Table 1.4: This table shows a comparison of all approaches for inferring the 3-state agent behavior shown in Figure 5.14(b). The values show means of AEs (defined in Equation 3.9) of each evolved model parameter with respect to that of the agent.

	v	$v_2$	$p_1$	$p_2$
Interactive (TL)	0.005	0.03	0.02	$2.0 \times 10^{-6}$
Passive 1 (TL)	0.02	0.23	0.05	0.03
Passive 2 (TL)	0.02	0.47	0.08	0.13
Passive 1 (SP-EA)	0.47	0.97	0.9	1.0
Passive 2 (SP-EA)	0.47	0.98	0.9	1.0
CEA-IM	0.46	0.96	0.67	0.89

generations, the classifier slightly changed the strategy. Instead of always outputting H level, it keeps switching between H and L level until it observes the agent 'jumps' from state 1 to state 2, and after that it immediately switches the light intensity from H to L level. This is unlikely to happen when generating random sequences of inputs.

#### 1.4.4 Results: Three States

In order to further demonstrate the advantage of "Interactive" setup of *Turing Learning*, this section discusses the results of inferring the 3-state stochastic agent behavior shown in Figure 5.14(b).

#### 1.4.4.1 Analysis of Evolved Models

Figure 5.18 shows the distribution of the evolved models in the 1000<sup>th</sup> generation for all setups. The "Interactive" setup of *Turing Learning* is the only one that infers all the parameters of the agent with good accuracy. For the two setups using pre-defined metrics (SP-EA and CEA-IM), all the parameters are not well learned. Table 5.4 compares the accuracy of each parameter of the evolved model with the highest fitness in the final generation over 30 coevolution runs using all approaches.

Figure 5.19 shows the evolutionary process of the models for the three setups of *Turing Learning*. As we can see, all the model parameters in the "Interactive" setup converged

to their true value smoothly within about 200 generations. For the two passive setups,  $v_1$ ,  $p_1$  and  $p_2$  are well learned, but they still take longer time to converge to their true values than those of the "Interactive" setup. There is a dramatic disturbance during the evolutionary process of  $v_2$  for the passive setups, and this parameter is not well learned.

#### 1.4.4.2 Analysis of Evolved Classifiers

Figure 5.20 shows an example of how the classifier with the highest subjective fitness in different generations (of a particular coevolution run) evolved to interact with the agent. In other coevolution runs, we still observed similar phenomenon. As shown in Figure 5.20, the strategy learned by the classifiers shown in 3 of the 4 sub-figures (corresponding to the  $100^{\text{th}}$ ,  $200^{\text{th}}$  and  $1000^{\text{th}}$  generations) is as follows. The classifier outputs H first, and once the agent moves forward from state 1 to state 2, the classifier switches the light intensity into level L and keeps it in that level. As long as the agent moves forward from state 2 to state 3, the classifier switches the light intensity from L to H and keeps the light intensity in that level. Note that the 'best' classifier sometimes lost its ability to interact with the agent in the  $500^{\text{th}}$  generation shown in bottom left of Figure 5.20. However, this does not influence the learning process, as long as there are still some other classifiers in the population obtain this interactive ability.

# 1.5 Summary

In this chapter, we extend *Turing Learning* with interactive ability to autonomously learn the behavior of an agent. We have shown that, by allowing classifiers to control the stimulus in the agent's environment, the system is able to correctly identify the parameters of relatively complex behaviors. The advantage of *Turing Learning* with interaction is validated using two case studies: stochastic and deterministic behaviors of an agent. In both case studies, the results show that learning through interaction can infer the agent behaviors better or faster than only through passive observation.

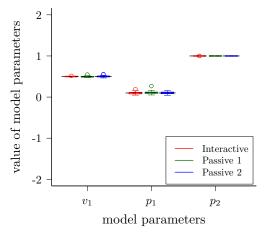
When inferring the deterministic behavior, the classifiers have learned to generate a complex sequence of inputs to extract all the hidden information from the agent, which

#### 1 Inferring Individual Behaviors Through Interactive Turing Learning

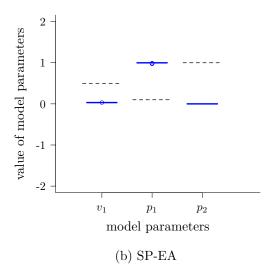
facilitates the learning process. However, generating such sequences in random is unlikely. This makes the SP-EA (in which the inputs are generated randomly) fail to infer all the parameters of the agent. However, by coevolving inputs and models (CEA-IM), the system still obtained very good model accuracy in terms of all parameters.

When inferring the stochastic behavior, the advantage of *Turing Learning* with interaction is highlighted. It performs significantly better than the two metric-based methods (SP-EA and CEA-IM) in inferring stochastic behaviors. In the "Interactive" setup of *Turing Learning*, the classifiers learned to dynamically interact with the agent, which leading to infer all the agent's parameters in a very efficient way. The results suggest that a machine could also exhibit such intelligent behavior as observed in human behavior, and this could pave the way to further development of machine intelligence.

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(a) Turing Learning



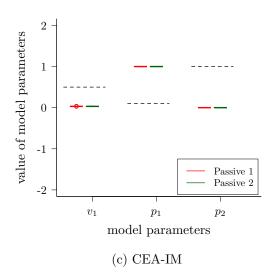
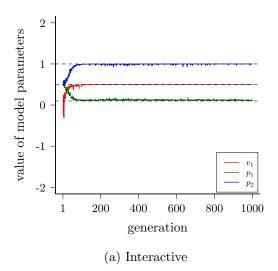
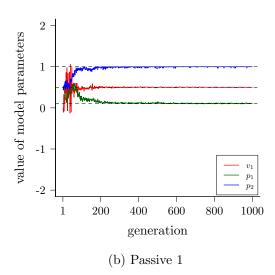


Figure 1.15: This plot shows the distributions of the evolved models with the highest subjective fitness in the 1000<sup>th</sup> generation in the coevolutions. Each box corresponds to 30 coevolution runs. The dotted lines correspond to the values of the three parameters that the system is expected to learn (i.e. those of the agent). See text for details.





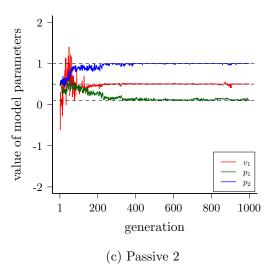


Figure 1.16: Evolutionary process of the evolved model parameters for (a) "Interactive", (b) "Passive 1" and (c) "Passive 2" setups of the metric-free method when learning the 2-state agent behavior. Curves represent mean values across 30 coevolution runs. Dotted black lines indicate true values.

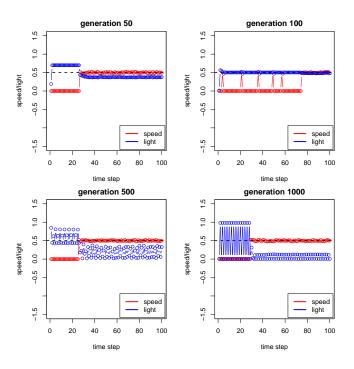
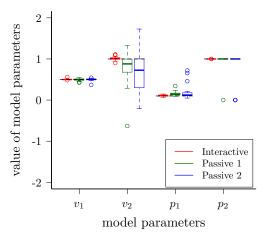
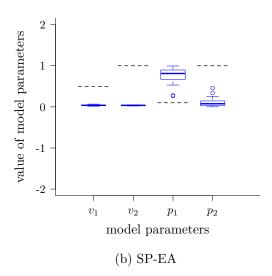


Figure 1.17: This plot shows an example of how the classifier with the highest subjective fitness in different generations (of a particular coevolution run) dynamically change the light intensity to interact with the 2-state agent during a trial.



(a) Turing Learning



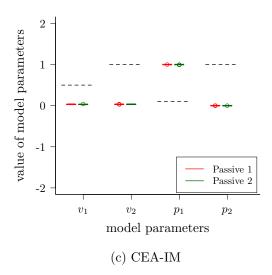
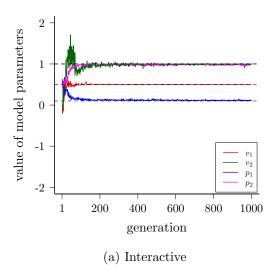
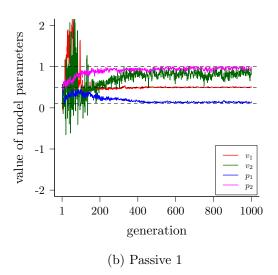


Figure 1.18: This plot shows the distributions of the evolved models with the highest subjective fitness in the 1000<sup>th</sup> generation in the coevolutions. Each box corresponds to 30 coevolution runs. The dotted lines correspond to the values of the four parameters that the system is expected to learn (i.e. those of the agent). See text for details.

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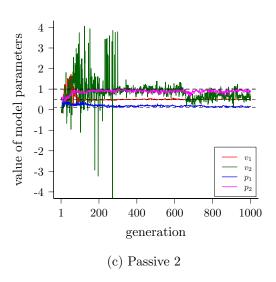


Figure 1.19: Evolutionary process of the evolved model parameters for (a) "Interactive", (b) "Passive 1" and (c) "Passive 2" setups of the metric-free method when learning the 3-state agent behavior. Curves represent mean values across 30 coevolution runs. Dotted black lines indicate true values.

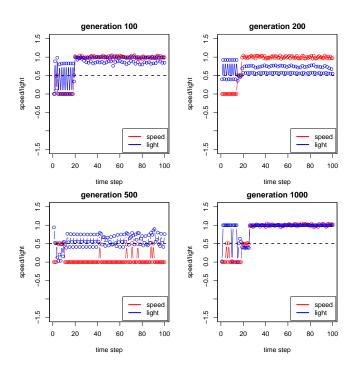


Figure 1.20: This plot shows an example of how the classifier with the highest subjective fitness in different generations (of a particular coevolution run) dynamically change the light intensity to interact with the 3-state agent during a trial.

# 2 Conclusion

# 2.1 Summary of Findings

This thesis presented a novel system identification method—*Turing Learning* for inferring agent behavior. *Turing Learning* does not rely on predefined metrics for measuring the difference between the agents and models. Instead, it uses coevolution to simultaneously generate models and classifiers, which substitute the metrics. The classifiers are rewarded for distinguishing between agents and models. The models are rewarded for making classifiers judge them as agents. In other words, in order to 'trick' the classifiers to judge them as agents, the models need to evolve to mimic the behaviors of agents.

The merits of Turing Learning were demonstrated through successfully inferring various agent behaviors ranging from swarm behaviors to deterministic/stochastic behaviors of single agents. When inferring an unknown swarm behavior, it is challenging to quantitatively measure the difference (e.g., motion) between the models and agents using predefined metrics, due to the numerous interactions among agents and between agents and the environment. The motion of each agent in the swarm is stochastic. We have shown that through observing the swarm behaviors under investigation, it is sufficient to infer the behavioral rules of the swarming agents. The evolved models show good correspondence to the original agents in terms of individual behaviors (parameters) and global behaviors. The evolved classifiers performed collectively well and could be potentially used for detecting abnormal behaviors (e.g., faulty agents) in the swarm. It was also shown that swarm behaviors can be directly inferred from the motion of a single agent in the group, as long as the group size is sufficiently large. This may have significant implications for the study of animal collectives, as in practice it may be difficult to track a large number of animals in a group.

#### 2 Conclusion

We extended the ability of the classifiers in *Turing Learning* for inferring deterministic/stochastic behaviors of single agents, so that the classifiers can interact with the agent through controlling the environmental stimulus that the agent responds to. The interactive learning approach proved to be superior to passive learning (i.e., learning where the agents are only observed) in terms of model convergence rate and model accuracy, especially when the agent behavior under investigation had low observability. In the case study about inferring deterministic behaviors of single agents, the results showed that it is possible to infer the behaviors through coevolving a fixed sequence of inputs (in view of classifiers) and models. In this case, the interaction between the classifiers and agent was not very 'intelligent' as they only needed to output a fixed sequence no matter what the agent's observed behavior is.

In a latter case study, Turing Learning was applied to infer the stochastic behaviors of a single agent. It was shown that through actively interacting with the agent during the experimental process, the classifiers can 'intelligently' change the stimulus in response to the agent's observed behavior, in order to extract the hidden information from the agent. This intelligent behavior was also observed in humans when scientists try to investigate an animal' behavior in response to stimuli [121]. As the agent's behavior under investigation is stochastic, it is not feasible to evolve a fixed sequence of inputs to extract all the agent's behavioral information. Given the same input, the agent would probably behave differently and this makes it hard to optimize the models using predefined metrics. We compared the results obtained by Turing Learning and two other metric-based system identification methods, and showed that Turing Learning learned the agent behavior significantly better than the other two methods. This highlights the benefits of Turing Learning in inferring agent behaviors.

## 2.2 Future work

In spite of the encouraging results obtained when applying *Turing Learning* to infer agent behaviors, we do not claim that this method can be directly used for modeling animal behaviors. There are still questions to be discussed before conducting experiments on animals. Some future work is provided as follows.

- In the thesis, the models were represented by a set of parameters that govern behavioral rules of the agents. As it is argued before, this makes it feasible to objectively gauge the quality of the models through comparing them with the ground truth. In the future, we will try to evolve the structure of the models as well (e.g., using genetic programming or artificial neural networks).
- The swarm behaviors investigated in this thesis were deterministic in terms of the individual's behavioral rules. In the future, we could apply *Turing Learning* to learn swarming behavioral rules that are stochastic. In fact, we have shown that if the original controller of the aggregation behavior investigated in Chapter 3 is stochastic, it is still possible to achieve the same global behavior [21]. For the stochastic aggregation controller, in each state, the agent has a probability of switching to another state (i.e., its binary sensor is flipped with certain probability). In this case, *Turing Learning* could be used for inferring both the controller and the probability.
- Turing Learning could be applied to learn more complex behaviors (for example, when the agents have more states or rules). When the behaviors become more complex, instead of analyzing only the motion of individual agents, more information (such as number of the agent's neighbors or its internal states) may need to be provided to the classifiers.
- In principle, Turing Learning is applicable to infer human behavior. It could evolve models that aim to pass the Turing Test [174], at least with regards to some specific subset of human behavior. In this case, the classifiers could then act as Reverse Turing Tests, which could be applied in situations where a machine needs to distinguish human agents from artificial ones. This is done, for example, by the "Completely Automated Public Turing test to tell Computers and Humans Apart" system (CAPTCHA) [193], which is widely used for internet security purposes. For example, an exciting application of Turing Learning is reverse engineering the hand writing of human beings. Given a collection of signatures from a human being, we could coevolve models which are computer programs that automatically generate signatures and classifiers (e.g., neural networks) that distinguish between signatures from the human and those generated by models.

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