# Link Prediction in Knowledge Graphs: A Hierarchy-Constrained Approach

Manling Li<sup>®</sup>, Yuanzhuo Wang, *Member, IEEE*, Denghui Zhang, Yantao Jia<sup>®</sup>, *Member, IEEE*, and Xueqi Cheng, *Member, IEEE* 

**Abstract**—Link prediction over a knowledge graph aims to predict the missing head entities h or tail entities t and missing relations t for a triple (h,r,t). Recent years have witnessed great advance of knowledge graph embedding based link prediction methods, which represent entities and relations as elements of a continuous vector space. Most methods learn the embedding vectors by optimizing a margin-based loss function, where the margin is used to separate negative and positive triples in the loss function. The loss function utilizes the general structures of knowledge graphs, e.g., the vector of t is the translation of the vector of t and t, and the vector of t should be the nearest neighbor of the vector of t. However, there are many particular structures, and can be employed to promote the performance of link prediction. One typical structure in knowledge graphs is hierarchical structure, which existing methods have much unexplored. We argue that the hierarchical structures also contain rich inference patterns, and can further enhance the link prediction performance. In this paper, we propose a hierarchy-constrained link prediction method, called hTransM, on the basis of the translation-based knowledge graph embedding methods. It can adaptively determine the optimal margin by detecting the single-step and multi-step hierarchical structures. Moreover, we prove the effectiveness of hTransM theoretically, and experiments over three benchmark datasets and two sub-tasks of link prediction demonstrate the superiority of hTransM.

Index Terms—Link prediction, knowledge graph embedding, hierarchy

#### 1 Introduction

10

11 12

13

19

21

23

26

27

28

32

34

35

36

knowledge graph is a graph whose nodes represent **1** entities and edges correspond to relations. As an effective organization of structural and non-structural information, large-scale knowledge graphs are constantly emerging, including Freebase [1], WordNet [2], OpenKN [3], Probase [4], etc. In the past decades, knowledge graphs have played a pivotal role in many areas such as semantic search, question answering systems and so on. However, all of them have a need to improve their coverage of facts, for which link prediction is an effective strategy and has been paid much attention [5], [6], [7], [8]. Link prediction is a task to predict missing edges under the supervision of given part of knowledge graphs. Since knowledge graphs are often represented as triples  $\{(h, r, t)\}$ , where h and t denote the head entities and the tail entities respectively, and r denotes the relation between them, link prediction over a knowledge graph aims to predict the missing triples i.e., to predict t (or h) given (h, r)(or (r, t)), or predict r given (h, t).

There are a quantity of techniques to tackle this problem, which mainly fall into two categories. The first category contains rule-based and path-based methods, namely, the

 The authors are with the CAS Key Laboratory of Network Data Science and Technology, Institute of Computing Technology, Chinese Academy of Science, Beijing 100864, China. E-mail: limanlingcs@gmail.com, {wangyuanzhuo, zhangdenghui, jiayantao, cxq}@ict.ac.cn.

Manuscript received 30 Apr. 2017; revised 17 Jan. 2018; accepted 20 May 2018. Date of publication 0 . 0000; date of current version 0 . 0000. (Corresponding authors: Manling Li and Yuanzhuo Wang.) Recommended for acceptance by P. Luo, Y. Song, and H. Wang. For information on obtaining reprints of this article, please send e-mail to: reprints@ieee.org, and reference the Digital Object Identifier below. Digital Object Identifier no. 10.1109/TBDATA.2018.2867583

relations are predicted by explicitly learning rules and relation paths. Compared to the rule-based predictive methods, 41 the path-based methods have greatly improved predictive 42 performance, which indicates that relation paths are of great 43 use in predicting relations. Nevertheless, path-based methods fail to predict links well when faced with entities having 45 no relations with others before, and their performance 46 plunges definitely for sparsely connected graph.

The second category is knowledge graph embedding 48 based predictive methods, where the relations of entities are 49 learned implicitly in the embedding vectors. Most methods 50 embed a knowledge graph into a low-dimensional vector 51 space according to a margin-based loss function, and then a 52 score for each triple (h, r, t) is calculated based on score 53 function  $f_r(h,t)$ . Finally, a list of candidate triples is 54 returned in the decreasing order of their scores. The typical 55 methods are translation-based methods, for example, 56 TransE [9]. Moreover, TransA [10] employs more structural 57 information of knowledge graphs, i.e., the local distance of 58 entities and the proximity of relations. The success of 59 TransA indicates that the special structures in knowledge 60 graphs can further enhance the link prediction performance. 61 Furthermore, there are other methods that employ the 62 particular structures to achieve better performance. 63 For instance, inspired by PRA, PTransE [11] integrates the 64 relation paths into the learning process, which improves 65 the link prediction performance significantly.

However, there are many particular structures of knowl- 67 edge graphs that are not taken full advantage of. One typical 68 structure is the hierarchical structure, which is a structure 69 where entities are organized in a tree, and their relations 70 are hierarchical relations [12]. We argue that hierarchical 71

Existing link prediction methods mainly fall into two cate-

77

90

92

94

95

97

101

102

103

104

105

106

107

108

109

110

112

114

115

116

117

118

119

120

121

123

124

125

126

127

128

structures can further improve the link prediction performance, since they also contain rich patterns, similar to relation paths. In addition, hierarchical structures are extremely common in knowledge graphs due to the ubiquitousness of hierarchical relations. For instance, WN18, a subset of the knowledge graph WordNet, has about 50 percent hierarchical relations. Furthermore, hierarchical structures will lead to a special distribution of entities in the embedding space, which provides more constraints compared to non-hierarchical structures, so that the performance of link prediction will be promoted.

Motivated by this intuition, we propose a hierarchy-constrained link prediction method based on knowledge graph embedding, called hTransM. Specifically, we seek out a method to detect hierarchical structures and model them from two aspects, i.e., the single-step hierarchical structures and the multi-step hierarchical structures, and the optimal hierarchy-constrained margin is learned with respect to different hierarchical structures. As a result, the embedding vectors of the entities and relations of the knowledge graph can be trained under the supervision of hierarchical structures. Experiments are conducted over three benchmark datasets for two subtasks of link prediction, and the results demonstrate that the proposed method outperforms the state-of-the-art method. Specifically, the contributions of the paper are four-fold.

- We divide the hierarchical structures into two categories, i.e., single-step hierarchical structures and multi-step hierarchical structures. Besides, we provide one way to detect the hierarchical structures in knowledge graphs by employing the properties of hierarchical relations. Moreover, the influence of hierarchical structures on the performance of link prediction is analyzed in an intuitional way.
- We propose a hierarchy-constrained link prediction method based on knowledge graph embedding, called hTransM. According to whether the relations and relation paths that connect the entities are hierarchical or not, it finds the optimal loss function by adaptively determining the margins.
- We further prove the convergence of hTransM by demonstrating its uniform stability and provide the upper bound of the error of the proposed model. What's more, hTransM possesses the same model complexity (i.e., the number of parameters) as other simple methods such as TransE.
- Experiments over three benchmark datasets of two sub-tasks, i.e., entity prediction task and relation prediction task, suggest that the proposed method can achieve better prediction performance. Furthermore, the superiority of hierarchy-constrained margin is validated by experiments through studying the variation of the optimal margin value along with the optimization process, and compare with the methods which do not considering hierarchical information.

#### 2 RELATED WORK

Link prediction in knowledge graphs, i.e., predicting links between entities, has received much attention in recent years. Since knowledge graphs are often represented as triples (h, r, t), the link prediction task can be formulated as

predicting t given (h, r), or predicting h given (r, t), or pre- 131 dicting r given (h, t).

gories according to the ways in modeling the existing rela- 134 tion between entities. The first category is to explicitly model 135 the existing relations, including rule-based and path-based 136 methods. For example, FOIL [13], [14], [15] is a rule-based 137 method by employing the first order inductive logic, and is 138 followed by the models reducing manual work in defining 139 rules, e.g., NELL [15], Sherlock-Holmes [16]. In the mean- 140 while, PRA [17], [18] is a typical path-based method, which 141 models the existing relations by relation paths. The relation 142 path is made up of the relations from head entity to tail 143 entity, such as  $p = \left( \begin{array}{cc} \cdot \xrightarrow{r_1} \cdot \xrightarrow{r_2^{-1}} \cdot \xrightarrow{r_3} \cdots \xrightarrow{r_l} \right)$ , where l is the 144 length of the relation path p. For a given relation r, it first find 145 the triples formed by this relation (h, r, t), and then the paths 146 between all h and t. It regards the relation paths as features, 147 and predicts the relations by ranking candidates in terms of 148 the weighted score of these relation paths. PRA has achieved 149 a great success in link prediction, which demonstrates the 150 effectiveness of relation path information in link prediction 151 task. Then, a bunch of methods [19], [20],[21] emerge to 152 enrich the relation paths for better predictive performance. 153 Besides, the relation paths already have been widely used in 154 a quantity of applications, such as expert path finding [22], 155 relation extraction based on KB structure [17] [23], etc. 156 Although path-based methods greatly improve the link pre- 157 diction performance, the performance of them is hampered 158 for sparsely connected graph and they are not scalable quite 159 well for large scale knowledge graphs.

The second category is to implicitly model the relations 161 of entities, including knowledge graph embedding based 162 predictive methods. According to the structures of the 163 graph, these methods embed a knowledge graph into a low- 164 dimensional latent space, and then a score function  $f_r(h,t)$  165 for each triple (h, r, t) is learned. Finally, a list of candidate 166 entities is returned in the decreasing order in term of their 167 scores. In recent years, knowledge graph embedding based 168 methods have received much attention, which mainly fall 169 into two key branches, i.e., the translation-based methods 170 and others. Translation-based models regard the relation of 171 a triple as the translation from the head entity to the tail 172 entity, including TransE [9], TransH [24], TransR [25], 173 PTransE [11], TransA [10], etc. They usually define a mar- 174 gin-based loss function to separate the negative triples from 175 positive triples in the embedding space.

TransE [9] is a pioneering work of Translation-based 177 knowledge graph embedding methods. It models the embed-178 ding vector of relation  ${\bf r}$  as translation from the head entity 179 embedding vector  ${\bf h}$  to the tail entity embedding vector  ${\bf t}$ , i.e., 180  ${\bf h}+{\bf r}\approx {\bf t}$ , where  ${\bf h},{\bf r},{\bf t}\in\mathbb{R}^d$  are the embedding vectors of h,r 181 and t, and d is the dimension of embedding space. As a result, 182 the score function for each triple (h,r,t) is  $f_r(h,t)=183$   $||{\bf h}+{\bf r}-{\bf t}||$ , and the candidate triples are ranked in the 184 decreasing order of their scores. TransE works well for 1-to-1 185 relations but has issues for N-to-1, 1-to-N and N-to-N 186 relations. For instance, it can be derived that  $h_0=\cdots=187$   $h_i=\cdots=h_m$ , for all  $(h_i,r,t)$  in the knowledge graph when r 188 is a 1-to-N relation, where m is the number of these triples. 189 This is obviously in conflict with the fact.

To address this issue, TransH [24] considers that the distance between h and t is distinct from different relations, 192

and each relation represents a different hyperplane. Consequently, TransH formulates the relation as a projection transformation, namely,  $f_r(h,t) = ||\mathbf{h}_\perp + \mathbf{r} - \mathbf{t}_\perp||$ , where  $\mathbf{h}_\perp = \mathbf{h} - \mathbf{w}_\mathbf{r}^\top \mathbf{h} \mathbf{w}_\mathbf{r}$  and  $\mathbf{t}_\perp = \mathbf{t} - \mathbf{w}_\mathbf{r}^\top \mathbf{t} \mathbf{w}_\mathbf{r}$ , with  $\mathbf{w}_\mathbf{r}$  as the normal vector of the hyperplane related to r. Furthermore, TransR [25] models the relation as a rotation transformation, namely,  $f_r(h,t) = ||\mathbf{h}_\mathbf{r} + \mathbf{r} - \mathbf{t}_\mathbf{r}||$ , where  $\mathbf{h}_\mathbf{r} = \mathbf{M}_\mathbf{r}\mathbf{h}$  and  $\mathbf{t}_\mathbf{r} = \mathbf{M}_\mathbf{r}\mathbf{t}$ . In other words, it utilizes a projection matrix  $M_r \in \mathbb{R}^{k \times d}$ , where k is the dimension of the entity embedding vector space, and d is the dimension of the relation embedding vector space. Similar work also contains TransD [26] and TransM [27].

194

195

196

198

199

200

202

203

204

205

206

207

208

210

211

212

213

214

216

217

218

219

220

221

222

223

224

225

226

227

228

229

230

231

232

233

234

235

236

237

239

240

241

242

243

244

245

247

248

249

250

251

252

253

Besides translation-based embedding methods, there are also other models to learn the embedding vectors of the knowledge graphs. For example, energy-based methods aim to assign low energies to the triples and are optimized by neural networks. Unstructured model [28] is one of the typical energy-based models. It ignores the relation information and the score function is simplified to  $f_r(h,t) = ||\mathbf{h} - \mathbf{t}||$ . The Structured Embedding (SE) model [29] defines two matrix corresponding to the relations to transform the entities, and the score function is formulated as  $f_r(h,t) = ||\mathbf{M_{h,r}h - M_{t,r}t}||$ . The Semantic Matching Energy (SME) model [30] formulates the score function by employing the correlations between entities and relations with two matrix operators, i.e.,  $f_r(h,t) = (\mathbf{M_1h} + \mathbf{M_2h} + \mathbf{b_1})^{\top} (\mathbf{M_1h} + \mathbf{M_2h} + \mathbf{b_2})$  using add operator, and  $f_r(h,t) = (\mathbf{M_1h} \otimes \mathbf{M_2h} + \mathbf{b_1})^{\top} (\mathbf{M_1h} \otimes \mathbf{M_2h} + \mathbf{b_1})^{\top}$ **b**<sub>2</sub>) using Hadamard operator. The LFM model [31], [32] considers a quadratic form to model the second-order correlations between entity embedding vectors, and the score function is  $f_r(h,t) = \mathbf{h}^{\top} \mathbf{M_r} \mathbf{t}$ .

Both TransE, TransH, TransR and other translation-based methods employ a fixed margin based loss function, and the value of the fixed margin is chosen during experiments. However, knowledge graphs exhibit different locality with regard to different types of entities and relations. Namely, when the entities and relations change, the optimal margin between the negative and positive triple scores should vary accordingly. Hence, TransA [10] determines the optimal margin adaptively. It employs the entity-specific margin, which is the local distance between negative and positive entities, and relation-specific margin, which is the proximity of relations. Since the predictive performance of TransA is fairly good, the effectiveness of structural information to promote the predictive performance has been verified.

There are other methods improving the knowledge embedding performance by making use of the rich information of typical structures, such as PTransE [11]. It combines the original triple loss with the loss generated by path  $\sum_{p} \sum_{(h,r',t)} (||\mathbf{p}-\mathbf{r}|| - ||\mathbf{p}-\mathbf{r}'|| + M), \text{ where } \mathbf{p} \text{ is the embedding vector of the multi-step relation path } p. PTransE significantly outperform the methods that do not utilize the special structure of knowledge graphs, which demonstrates the superiority of employing special structures in knowledge graphs. As a result, we consider that one of the typical structures of knowledge graphs, i.e., hierarchical structure, contains rich inference information, and can be employed to promote the performance of link prediction. However, the existing knowledge graph embedding methods fail to utilize the rich information in hierarchical structures.$ 

The hierarchical structure is a structure where entities are organized in a tree, and their relations are hierarchical

relations [9]. Owing to its universality, the hierarchical 255 structure has been explored a lot recently. Existing works 256 most focus on type hierarchy of knowledge graph or class 257 hierarchy of classification task. For example, Taxonomy 258 Embedding represents the class and entities into a latent 259 semantic space that underlies the class hierarchy, and then 260 the classification is done with simple nearest neighbor rule 261 [33]. Label Embedding Trees approach aims to learn a tree- 262 structure by optimizing the overall tree loss for multi-class 263 classification [34]. Besides, TKRL [35] leverages the type 264 hierarchy and the entity type constraints for each relation 265 during knowledge graph embedding. However, they did 266 not use the hierarchical structures formed by entities. Wang 267 et al. [36] propose to employ the hierarchical information by 268 defining the entity similarity as the distance along the tree. 269 They explore the hierarchical structures from the global 270 aspect, which ignores the hierarchical information of a sin- 271 gle-step, i.e., from local aspect. Actually, the hierarchical 272 structures can be analyzed from two aspects, i.e., the single- 273 step aspect and the multi-step aspect, both of which 274 can provide rich information to promote the performance 275 of link prediction. Consequently, we shall propose a 276 hierarchy-constrained link prediction method based on 277 knowledge graph embedding, to integrate both the single- 278 step and multi-step hierarchical information into the 279 predictive method.

## 3 HIERARCHICAL STRUCTURE

## 3.1 Hierarchical Structure Formulation

#### 3.1.1 Hierarchical Structure

A hierarchical structure is a structure where entities are 284 organized into layers by one relation [37]. Different layers 285 imply different vertical orders, and for each entity, the other 286 entities are above, below, or in the same layer as it [38]. The 287 meaning varies from relations, e.g., in the hierarchical structure organized by relation *child*, different layers indicate 289 different generations. More formally, hierarchical structures 290 are defined following [39].

**Definition 1.** A hierarchical structure generated by relation  $r^*$  292 is  $H(r^*) = (\{(h, r, t)\}, l)$ , where the subgraph connected by  $r^*$  293 is a directed acyclic graph, and l is a mapping from nodes to 294 layer indexes  $l(h), l(t) \in \{1, 2, \dots, k\}, k \ge 1$ , with properties 295 that l(t) > l(h) for (h, r, t), and l(t) = l(h) + 1 for  $(h, r^*, t)$ . 296

Taking the knowledge graph Fig. 1a as an example, 297  $Barack\ Obama\ Sr.$ , his child  $Barack\ Obama\$ and his two 298 grandchildren Malia and Sasha compose a three-layer 299 hierarchical structure by relation child, illustrated in Fig. 1b, 300 and the subgraph connected by relation child is a directed 301 acyclic graph, shown in Fig. 1c.  $l(Barack\ Obama\ Sr.) = 1$ , 302  $l(Barack\ Obama\ Sr.)$  Given  $(Barack\ Obama\ Sr.)$  Given  $(Barack\ Obama\ Sr.)$  Given  $(Barack\ Obama\ Sr.)$  Given  $(Barack\ Obama\ Child,\ Malia)$ , l(Malia) = 305  $l(Barack\ Obama) + 1$ .

#### 3.1.2 Hierarchical Relation and Relation Path

Hierarchical relations are relations generating hierarchical 308 structures and distributing entities into different layers, e.g., 309 the relation *child* in Fig. 1.

313

314

315

316

317

318

319

320

321

322

323

324

325

326

327

328

329

330

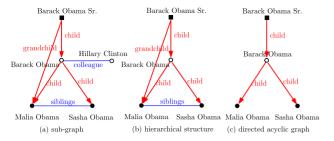


Fig. 1. (a) represents a sub-graph of knowledge graph, and (b) is the three-layered hierarchical structure extracted from it, which is composed by relation child, and (c) is the directed acyclic graph connected by relation child. Red arrows represent hierarchical relations, and the blue lines represent non-hierarchical relations.

**Definition 2.** A hierarchical relation is the relation r that enables entities to be organized into hierarchical structures, i.e.,  $l(h) \neq l(t)$  given (h, r, t).

A *relation path* is made up of the relations from the head entity to the tail entity [17], e.g., the solid line in Fig. 2 illustrates the relation paths extracted from Fig. 1. Besides, it has been proved in [11] that, for a given entity pair (h,t), the relation paths p connecting (h,t) are consistent with the direct relation r between (h,t), since the relationships between (h,t) can be interpreted by both of them. In this case, we call the relation as *consistent relation* of the path.

**Definition 3.** A relation path is a path consisted of relations  $p = \left(\frac{r_1}{r_2} \cdot \frac{r_2}{r_2} \dots \frac{r_l}{r_l}\right)$ . If  $\exists (h, p, t) \land (h, r, t)$ , relation r is the consistent relation of path p.

For instance, the paths (solid line in Fig. 2) are highly correlated to the direct single-step relation (dotted line in Fig. 2). Taking Fig. 2a as an example, the consistent relation of the relation path  $\begin{pmatrix} child \\ \longrightarrow \end{pmatrix}$  is the relation grandchild.

Note that the relation paths in knowledge graphs consist of the inverse relations [17]. For example, the triple (*Barack Obama, child, Malia*) can be inversed to (*Malia*,

 $child^{-1}$ ,  $Barack\,Obama$ ), and the relation path p=332  $\begin{pmatrix} child^{-1} & child \\ \longrightarrow & \cdot & \hookrightarrow \end{pmatrix}$  contains inverse relation, which can be inter-333 preted by relation siblings, so its consistent relation is 334 siblings. Besides, the non-hierarchical relations are con-335 sidered as existing in both directions, that is to say, 336 (Sasha, siblings, Malia) and (Malia, siblings, Sasha) both 337 hold, so the inverse relation of a non-hierarchical relation 338 is itself.

Moreover, the relation path could be a hierarchical path 340 or not. A relation path is a hierarchical relation path, if there 341 is at least one hierarchical relation among the related relations. In this case, the head entities and tail entities are 343 enabled to be on different layers. More formally, 344

**Definition 4.** A hierarchical relation path is a multi-step relation path  $p = \left(\begin{array}{cc} r_1 \\ \end{array}, \begin{array}{cc} r_2 \\ \end{array}, \begin{array}{cc} \end{array}, \begin{array}{cc} r_1 \\ \end{array}\right)$ , which satisfies

$$\exists i \in \{1, 2, \dots, l\}, \quad r_i \in H_r,$$

where l is the length of the relation path, and  $H_r$  is the set of 349 hierarchical relations in the knowledge graph.

Otherwise, the path is *non-hierarchical relation path*. 351 For instance, the relation path  $\begin{pmatrix} child \\ \longrightarrow \end{pmatrix}$  in Fig. 2a is a 352 hierarchical relation path, since it contains two hierarchical 353 and the contains two hier

relations. Similarly, the other ten relation paths in Fig. 2b, 354 2c, 2d, 2e, 2f, 2g, 2h, 2i, 2j are all hierarchical paths, as they 355 all possess at least one hierarchical relation. 356

3.1.3 Single-Step and Multi-Step Hierarchical Structure
Hierarchical structures imply two kinds of inference information that can be used to link prediction, i.e., single-step
and multi-step hierarchical structures. Hierarchical singlestep structures are structures where entities distribute
on two different layers and are connected by single-step
relation, with tail entities sharing the same parent.

357

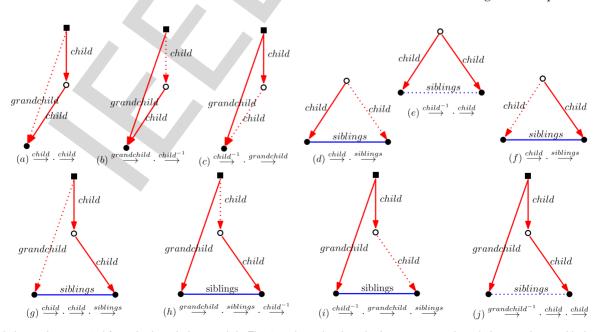


Fig. 2. Relation paths extracted from the knowledge graph in Fig. 1a, where the dotted edges represent the relation consistent with the path. For instance, the relation path (  $child \cdot child$  ) is highly correlated with the relation grandchild.

463

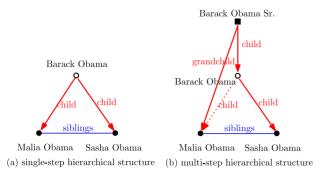


Fig. 3. An example of single-step and multi-step hierarchical structures extracted from Fig. 1b.

**Definition 5.** A hierarchical single-step structure is a subgraph  $H(r^*, (h^*, r^*, t)) = (\{(h, r, t)\}, l)$  of a hierarchical structure by extracting triples  $(h^*, r^*, t)$  and related relations connecting  $h^* \cup \{t\}$ , with the property that  $l(h^*) = 1$ , l(t) = 2 for all  $\{(h^*, r^*, t)\}$ . Here  $\{t\}$  have the same parent  $h^*$ , and  $r^*$  is hierarchical relation.

364

365

366

367

368

369

370

371

372

373

374

375

376

377

378

379

380

381

382

383

384

385

386

387

388

389

390

392

393

394

395

396

397

398

399

400

401

402 403

404

405

For example, Fig. 3a is a hierarchical single-step structure  $H(child, (Barack\ Obama, child, t))$  by extracting triples  $(Barack\ Obama, child, Malia)$  and  $(Barack\ Obama, child, Sasha)$ , and the related relation (Malia, siblings, Sasha). The three entities are on two layers, and  $\{t\} = \{Malia, Sasha\}$  have the same parent  $Barack\ Obama$ , and child is a hierarchical relation. On the contrary, a  $general\ single-step\ structure$  is composed by non-hierarchical relations, e.g., a single triple with non-hierarchical relation. For instance, the relation siblings and the connected entities, i.e., Sasha and Malia, form a single-step structure, but it is a general single-step structure, since siblings is a non-hierarchical relation.

Instead, hierarchical multi-step structures are structures where entities distribute on multiple different layers and are connected by relation paths, with paths sharing same head and tail entities, as well as same consistent relation.

**Definition 6.** A hierarchical multi-step structure is a subgraph  $H(r^*,(h^*,r^*,t^*))=(\{(h,r,t)\},l)$  of a hierarchical structure by extracting a triple  $(h^*,r^*,t^*)$  and paths consistent with  $r^*$  and connecting  $h^*$  and  $t^*$ , with the property that  $l_{\max}(t)-l_{\min}(h)>1$  for all  $\{(h,r,t)\}$ . Here  $r^*$  is hierarchical relation.

For instance, Fig. 3b is a hierarchical multi-step structure  $H(child, (Barack\,Obama, child, Malia))$  by extracting a triple  $(Barack\,Obama, child, Malia)$ , and its consistent paths  $p_1 = \begin{pmatrix} \frac{child^{-1}}{\longrightarrow} & \frac{grandchild}{\longrightarrow} \end{pmatrix}$  and  $p_2 = \begin{pmatrix} \frac{child}{\longrightarrow} & \frac{siblings}{\longrightarrow} \end{pmatrix}$ . The three entities are on three layers, and  $l_{\max}(t) - l_{\min}(h) = 2$ , and child is a hierarchical relation. Otherwise, the multi-step structure is  $general\ multi-step\ structure$ . For example, the multi-step structure in Fig. 2e is a general one. Although the relation path  $p = \begin{pmatrix} \frac{child^{-1}}{\longrightarrow} & \frac{child}{\longrightarrow} \end{pmatrix}$  is a hierarchical path, the consistent relation siblings is non-hierarchical, and the head entity Malia and tail entity Sasha are on the same layer.

Compared to hierarchical single-step structure, the entities in hierarchical multis-step structure can be distributed on more than two layers. Actually, the hierarchical single-step structure aims to capture the *local* hierarchical structure of knowledge graphs, where entities are on neighbour layers.

Namely, it focus on the inter-layer information. On the con- 407 trary, the hierarchical multi-step structure focuses on the 408 *global* hierarchical structure, which looks upon the whole 409 hierarchical structure, and considering the information 410 across layers.

#### 3.2 Hierarchical Structure Effectiveness

Compared with the general relations, the entities connected 413 by hierarchical relations will be distributed distinguishingly 414 in the embedding space, since the hierarchical relations 415 enforce the connected entities to be on different layers. 416 Hence, it is intuitive that these constraints will contribute to 417 the link prediction process. First, in the single-step hierarchi- 418 cal structures, the siblings are close to each other since they 419 have the same parent and are semantic similar [9]. However, 420 the traditional learning method only constrains the head 421 entities and tail entities should be close, and fail to consider 422 the semantic similarity among tail siblings. We argue 423 that this similarity between siblings contains rich inference 424 information for link prediction. which is useful in predict- 425 ing links. For example, given that Sasha is a child of 426 Barack Obama, and Sasha is very close to Malia, the infer- 427 ence of siblings between Sasha and Malia will assist the prediction of the child relation between Barack Obama and 429 Malia. Second, in the multi-step hierarchical structures, the 430 hierarchical paths are more relevant to hierarchical relations, 431 since they both enables entities to distribute in different 432 layers. For example, the hierarchical path  $(\stackrel{child}{\longrightarrow} \cdot \stackrel{child}{\longrightarrow} \cdot \stackrel{c}{\longrightarrow}$ better help to predict the hierarchical relation grandchild 434 than the non-hierarchical path  $(\xrightarrow{alumni} \cdot \xrightarrow{alumni})$ . Moreover, 435 the more hierarchical relations the path contains, the more 436 inference information the path will contribute. Taking 437 Fig. 3b as example, to predict the triple (Barack Obama, 438) child, Malia), the inference confidence of its hierarchical 439

consistent path  $p_1 = \left( \xrightarrow{child^{-1}} \cdot \xrightarrow{grandchild} \right)$  is higher than path 440

Furthermore, hierarchical structures are ubiquitous in 442 knowledge graphs, since hierarchical relations widely exist. 443 For example, FB15K and WN18, the subset from widely 444 used knowledge graph WordNet and Freebase respectively, 445 both have about 50 percent hierarchical relations at least. 446 The knowledge graphs of different domain may have differ- 447 ent hierarchical relation proportions, but hierarchical struc- 448 ture is a natural and typical local structure of knowledge 449 graphs. First, the "superior/subordinate" relation is com- 450 mon in real life. Apart from the example in genealogy graph 451 aforementioned, the "advisor/advisee" widely exist in the 452 academic knowledge graph, as well as "employer/employ- 453 ee" in the business knowledge graph. Second, a large pro- 454 portion of relations have different entity types for head 455 entity and tail entity, such as directed\_by with type MOVIE 456 for head entity and type PERSON for tail entity. These rela- 457 tions can naturally form singe-step hierarchical structures 458 with a PERSON entity as parent, MOVIE entities as chil- 459 dren, and  $directed_by^{-1}$  as hierarchical relation. The semantic similarity brought by the single-step hierarchical 461 structure still works in this case, since the movies of one 462

director is likely to be similar in style.

465 466

467

468

469

470

471

472

473

474

475

476

477

478

479

480

481

482

483

484

486

488

489

490

491

492

493

494

495

496

497

498

499

500

However, there are some domain knowledge graphs that fundamentally non-hierarchical, such as the social network knowledge graph, which is mainly formed by "follower/followee" relation. This relation forms circles and thus the hierarchy-constrained knowledge graph embedding will suffer in such knowledge graphs.

## 3.3 Hierarchical Structure Discovery

It has been proved in [40], a DAG has a unique hierarchical structure, and each node can be labeled with a unique layer index, which can be calculated using a bunch of algorithms [39], [40], [41]. However, one may find the results disappointing when applied to a digraph that is fundamentally non-hierarchical. As a result, the difficulty to detect local hierarchical structures is to find subgraphs that are more fundamentally hierarchical, and the key is the discovery of hierarchical relations. One way to distinguish hierarchical relations in a knowledge graph is to study their properties, and there are many properties to rely on.

First, hierarchical relations can not form circles since hierarchical structures are DAGs. In this paper, we detect circles through topological sorting algorithm, which generates a linear ordering of its vertices such that for every triple, the head entity comes before the tail entity in the ordering. As shown in Algorithm 1, for a relation  $r^*$ , we extract the subgraph containing only  $r^*$ , and conduct topological sorting by deleting the vertices without incoming edges. If there lefts vertices that can not make topological sorting, then the circles exist, and the number of left vertices indicates the number of vertices participating in forming circles. Considering the fault tolerance in knowledge graphs, a hierarchical relation must have a low proportion of left vertices.

## **Algorithm 1.** Detecting Circles Through Topological Sorting

### Require:

19: end for

519

521

Training set  $S = \{(h, r, t)\}$ , entities and relations set E, R; Ensure:

The set of relations that can form circles  $R_c$ ;

```
1: for all r^* \in R do
501
                 Extract a subgraph S_{r^*} = \{(h, r^*, t)\}
502
         2:
503
                 N_{r^*} \leftarrow |S_{r^*}|
                 Q_{root} \leftarrow \text{nodes with no incoming edge}
504
                 while Q_{root} \neq \emptyset do
         5:
505
                    Select h^* \in Q_{root}
         6:
506
                    for all t^* has incoming edge from h^* do
         7:
         8:
                       S_{r^*} \leftarrow S_{r^*} - \{(h^*, r^*, t^*)\}
508
         9:
                       if t^* has no other incoming edges then
509
       10:
                           Q_{root} \leftarrow \{t^*\} \cup Q_{root}
                       end if
511
       12.
                    end for
512
                 end while
       13:
513
       14:
                 N'_{r^*} \leftarrow |S_{r^*}|
514
                 Score(r^*) \leftarrow \frac{N_{r^*}}{N_{r^*}}
515
       15:
                 if Score(r^*) \leq 0.2 then
516
       17:
                    R_c \leftarrow r^* \cup R_c
517
       18:
                 end if
518
```

Second, hierarchical relations are irreflexive, since they are relations between layers, such as *child* between *Barack* 

Obama and Sasha. That is to say, head entity and tail entity are on different layers, so hierarchical relations have directions from the head entity to the tail entity, which means they are irreflexive. In this paper, we detect the irreflexive relations by the proportion of irreflexive triples, where a triple is called irreflexive triple if it does not hold when interchanging its head and tail. Provided that the proportion is bigger than 50 sepercent, the relation is considered as irreflexive relation. For instance, in WN18 [9], a subset of WordNet, the proportion of irreflexive triples related to relation \_similar\_to is 7.5 percent, so that \_similar\_to is non-hierarchical relation.

Third, hierarchical relations are closely in accordance 533 with unbalanced mapping properties. Mapping properties 534 can be defined following [9]: A given relation is 1-to-1 if a 535 head can appear with at most one tail, 1-to-N if a head can 536 appear with many tails, and 1-to-1 and N-to-N analogously. 537 Specially, 1-to-N (e.g., WordNet's \_hyponym) or N-to-1 (e.g., 538 WordNet's \_hypernym) relations are always hierarchical 539 ones. On the contrary, 1-to-1 (e.g., passport id, successor) 540 relations do not have sibling information to help link pre- 541 diction, so they are not considered for hierarchy-constraind 542 link prediction in this paper. As for *N-to-N* relations, when 543 the cardinalities of head and tail entities are almost the same 544 (e.g., siblings, colleagues), the relations are usually reflexive 545 or can form circles. However, when there is a gap between 546 the cardinalities (e.g., parent\_to\_child, advisor\_to\_advisee), i. 547 e, the larger one is more than 1.5 times than the smaller one 548 in this paper, the relations are always can be used to form 549 hierarchical structures if the relations are not reflexive with- 550 out circles. For example, in WN18, relation \_also\_see has 551 1.65 heads per tail and 1.84 tail per head in average, so that 552 it is labeled as N-to-N. With similar cardinalities of head 553 and tail entities, \_also\_see is not a hierarchical relation. 554 On the contrary, \_hypernym has an average of 3.67 heads 555 per tail and of 1.02 tail per head, so it is labeled as N-to-1. 556 Plus the proportion of irreflexive related triples is 100 per- 557 cent, and it can not form circles, the relation \_hypernym is 558 detected as hierarchical relation consequently.

Therefore, hierarchical relations are detected by irreflex- 560 ive, non-circle, and unbalanced mapping properties. Notice 561 that, utilizing these properties of relations is just one way to 562 discover hierarchical relations in the statistical sense, and 563 may not hold for every single hierarchical relation. 564

### 4 THE LINK PREDICTION METHOD

#### 4.1 The Predictive Method hTransM

Since it has been verified in [10] that the appropriate value of  $M_{opt}$  will significantly improve the performance of link prediction, the idea of hTransM is to define a hierarchy-constrained margin  $M_{opt}$  by detecting the hierarchical and 570 general structures, where  $M_{opt}$  is used to separate positive triples from negative triples. Specifically, the positive triples are the golden triples in the knowledge graph, denoted by 573  $(h,r,t)\in\Delta$ , and the negative triples are the corrupted triples 574 constructed from (h,r,t), denoted by  $(h',r',t')\in\Delta'$ . They do 575 not exist in the knowledge graph, and are constructed by 576 substituting one of the entities or the relations following [9].

Then the embedding of entities and relations to a vector 578 space  $\mathbb{R}^d$  for each triple (h, r, t) is learned by minimizing a 579 loss function concerning  $M_{opt}$ , 580

Fig. 4. The illustration of the general single-step specific margin, where circles stand for positive entities and rectangles represent negative ones in the embedding space  $\mathbb{R}^d$ .

$$L = \sum_{(h,r,t)\in\Delta} \sum_{(h',r',t')\in\Delta'} \max(0, f_r(h,t) + M_{opt} - f_r(h',t')), \quad (1)$$

where  $f_r(h,t)$  is the score function for the triple (h,r,t). In this paper, the score function adopts the form defined in [9] without loss of generality,

582

583

585

587

588

589

590

591

592

593

594

595

596

597

598

599

600

601

602

604

605

606

607

608

609

610

611

612

613

614 615

616

617

618

619

620

621

622

623

624

625

$$f_r(h,t) = ||\mathbf{h} + \mathbf{r} - \mathbf{t}||, \tag{2}$$

where the boldface characters denote the embedding vectors of entities and relations in  $\mathbb{R}^d$ , and d is the dimension of the embedding space. For instance,  $\mathbf{h}$  is the embedding vector of the entity h. And  $||\cdot||$  is the  $L_1$ -norm or  $L_2$ -norm of the vector. In order to predict t given (h,r) or predict h given (r,t), candidate entities are ranked in terms of  $f_r(h,t)$  and a list is returned in the decreasing order of  $f_r(h,t)$ .

Since hierarchical structures are fully composed of single-step hierarchical structures and multi-step hierarchical structures, the optimal hierarchy-constrained margin  $M_{opt}$  is modelled from two aspects, i.e., single-step aspect and multi-step aspect. Moreover, it is natural to linearly combine the two specific margins via parameters  $\alpha$ ,  $\beta$  to control the trade-off between them. Therefore, the optimal hierarchy-constrained margin satisfies

$$M_{opt} = \alpha M_{single} + \beta M_{multi}, \tag{3}$$

where  $0 \le \alpha, \beta \le 1$ ,  $M_{single}$  denotes the single-step specific margin and  $M_{multi}$  denotes the multi-step specific margin. For the sake of obtaining optimal hierarchy-constrained margin  $M_{opt}$ , it is sufficient to find the optimal single-step specific margin  $M_{single}$  and the optimal multi-step specific margin  $M_{multi}$ , so we will elaborate the setting of two margins respectively in the following.

## 4.2 Single-Step Specific Margin

For a given triple (h, r, t), the single-step specific margin is generated according to the corresponding single-step specific structure. First, let us denote some notations. For a given entity h and its related relation r, the set of positive entities, denoted by  $P_r$ , contains entities that have relation with h of type r. And the set of negative entities, denoted by  $N_r$ , contains entities that have relations with h of other relation r'.

Provided that the single-step structure is a general one, it has been verified in [42] that, for a given head entity h and relation r, the optimal performance is achieved when the embedding vectors bring positive tail entities  $P_r$  close to each other, and move negative tail entities  $N_r$  away with a margin. Hence, the positive entities should be closer to h than the

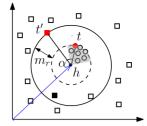


Fig. 5. The illustration of the hierarchical single-step specific margin, where circles stand for positive entities and rectangles represent negative ones in the embedding space  $\mathbb{R}^d$ .

negative ones. Namely, given relation r, the distance from 626positive entities  $t \in P_r$  to h, i.e.,  $||\mathbf{h} - \mathbf{t}||$ , should be shorter 627 than the distance from negative entities  $t' \in N_r$  to h, i.e., 628  $||\mathbf{h} - \mathbf{t}'||$ . Furthermore, when the difference between  $||\mathbf{h} - \mathbf{t}'||$  629 and  $||\mathbf{h} - \mathbf{t}||$  obtains minimum, the difference between them is 630 exactly the margin. As a result,  $\min(||\mathbf{h} - \mathbf{t}'|| - ||\mathbf{h} - \mathbf{t}||), t \in 631$  $P_r, t' \in N_r$  is introduced to model the difference between the 632 distance from  $P_r$  to h and the distance from  $N_r$  to h. Specifi- 633 cally, the minimum difference obtains when it takes the near- 634 est negative entity (red rectangle in Fig. 4) and the farthest 635 positive entity (red circle in Fig. 4). From geometry aspect, the 636 margin of general single-step structure is the distance 637 between two concentric spheres, illustrated in Fig. 4. This definition is kind of similar to the margin defined in Support Vec- 639 tor Machine [43], [44], where the margin of two classes is 640 equal to the minimum absolute distance of any two different- 641 class instances to the classification hyperplane. Notice that the 642 above analysis applies to both the head entity h and the tail 643 entity t, here we simply take head entity h as an example in 644the rest of the paper.

Provided that the single-step structure is a hierarchical 646 one, it has been mentioned in [9] that the siblings are close 647 to each other considering the natural representation of hier- 648 archical structures. Namely, the positive entities of h should 649 lie close to each other in a small area, since they are siblings 650 with h as the common father. In other words, the positive 651 entities can be enclosed in a circular sector (shaded area in 652 Fig. 5). It means that, when separating the negative exam- 653 ples from the positive ones, the negative entities near the 654 circular sector (red rectangle in Fig. 5) plays more important 655 role, rather than the negative entities with small distance to 656 h but actually away from the circular sector (black rectangle  $_{657}$ in Fig. 5). As a result, the nearest negative entity that used 658 to determine margin should not only have small distance 659 with h, but also close to the circular sector. As a result, we 660introduce an angle  $\theta$  to model this hierarchy-constraint, 661 where  $\theta$  is the angle between the vector  $\mathbf{h} - \mathbf{t}_{i}'$  and the vector 662  $\mathbf{h} - \mathbf{t_i}$ . Consequently, we applied a regularization parameter 663 with respect to  $\theta$  to force the nearest negative entity that 664 determining the margin close to the circular sector.

Formally, for a given triple (h, r, t),  $M_{single}$  is defined as 666 the average of  $m_r$  for different relations r related to h (or t), 667 where  $m_r$  is the margin between  $P_r$  and  $N_r$  for the given r 668 and h (or t). More formally, taking h as an example,

$$M_{single} = \frac{\sum_{r \in R_h} m_r}{|R_h|},\tag{4}$$

where  $R_h$  is the set of all relations related to h and  $|R_h|$  is the 672 cardinality of  $R_h$ .

675

676

677

682

683

684

685

686

687

688

689

691

692

693

694

695

696

697

698

699

700

701

702

703

704

705

706

707

708

709

710

711

712

713

714

715

716 717

718

719

720

721

722

723

724

725

727

Moreover,  $m_r$  is defined according to whether r is hierarchical or not. Specifically, let  $H_r$  be the set of hierarchical relations in a knowledge graph. Then for all  $t \in P_r$  and  $t' \in N_r$ , we define

$$m_r = \begin{cases} \min_{t,t'} \sigma(||\mathbf{h} - \mathbf{t}'|| - ||\mathbf{h} - \mathbf{t}||), & r \notin H_r \\ \min_{t,t'} \sigma(||\mathbf{h} - \mathbf{t}'|| - ||\mathbf{h} - \mathbf{t}||) + \lambda_{hr} \phi(\theta), & r \in H_r \end{cases}$$
(5)

where

$$\sigma(x) = \begin{cases} x & \text{when } x \ge 0; \\ -x & \text{otherwise.} \end{cases}$$
 (6)

returns the absolute value of x. Here,  $\theta$  is the angle between the two vectors  $\mathbf{h} - \mathbf{t}$  and  $\mathbf{h} - \mathbf{t}'$  in the vector space  $\mathbb{R}^d$ .  $\phi(\theta) = 1 - \cos\theta$  is penalty function which is monotonically increasing with respect to  $\theta$ , so that the penalty increases when  $\theta$  becomes larger, and approximates zero when  $\theta$  is close to zero.  $\lambda_{hr}$  is a regularization parameter to leverage the penalty, which satisfies  $0 \le \lambda_{hr} \le 1$ . More formally,

$$\lambda_{hr} = \exp\left[-\frac{1}{|E_{h.r}|}\right],\tag{7}$$

where  $E_{h,r}$  is the set of tail entities of the given h and r, and  $|E_{h,r}|$  is the cardinality of  $E_{h,r}$ . Notice that  $\lambda_{hr}$  is monotonically increasing with respect to  $|E_{h,r}|$ , which means the larger  $|E_{h,r}|$  is, the more the siblings t has, leading to increasing penalty. In particular, when  $N_r = \emptyset$ , we set  $m_r = 0$ , which is reasonable since all positive entities are within the internal sphere. And when  $P_r = \emptyset$ , we set  $m_r = \min_{t'} ||\mathbf{h} - \mathbf{t}'||$  to move the negative entities away.

Actually, the margin with penalty of  $\theta$  can be regarded as soft margin, which is similar to the margin defined in Support Vector Machine, to avoid overfitting. Namely, the negative examples with small distance but large  $\theta$  is not fairly close to the positive entities, and the errors caused by them are allowed while fitting the model.

## 4.3 Multi-Step Specific Margin

To define the multi-step specific margin for a given triple (h,r,t), the relation paths connecting h and t are extracted, and are used to generate corresponding multi-step structures with r as consistent relation. Above all, let us give some notations. For a given multi-step relation path p, the set of positive relations, denoted by  $P_p$ , contains the consistent relations r. If p connects h and t, the positive relations r connect them as well, which form the golden triples (h,r,t) in the knowledge graph. On the contrary, the set of negative relations, denoted by  $N_p$ , contains the relations r' that are not consistent with p. If p connects h and t, the negative relations r' is obtained by replacing the relation r of golden triples (h,r,t), such that the corrupted triple (h,r',t) does not exist in the knowledge graph.

Provided that the multi-step structure is a general one, there are three conditions, according to the hierarchical properties of the relation paths and the consistent relations. 1) First, supposing that the path p is non-hierarchical relation path and the consistent relation r is non-hierarchical relation, they should be close to each other in the embedding space [11], i.e.,  $\mathbf{p} \approx \mathbf{r}$ , since they connect the same entities, and both

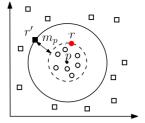


Fig. 6. The illustration of  $m_p$ , where circles stand for positive relations and rectangles represent negative relations in the embedding space  $\mathbb{R}^d$ .

can be regarded as translation from the same head to same 728 tail entities. As a result, for a given p, the positive relations 729  $r \in P_p$  should be closer to p than the negative relations 730  $r' \in N_p$ . Similar to general single-step specific margin, we 731 introduce  $\min(||\mathbf{r}' - \mathbf{p}|| - ||\mathbf{r} - \mathbf{p}||), r \in P_p, r' \in N_p$  to model 732 the margin between positive and negative relations. Hence, 733 the margin is determined by the nearest negative relation 734 (red square in Fig. 6) and furtherest positive relation (red cir-735 cle in Fig. 6). From geometry aspect, the positive relations 736 (circles in Fig. 6) are constrained within the internal sphere, 737 along with the negative relations (squares in Fig. 6) outside 738 the external sphere. Hence, as illustrated in Fig. 6, the general 739 multi-step specific margin should be the distance between 740 two concentric spheres in the embedding space. 2) Second, 741 supposing that the path is hierarchical but the relation is not, 742 owing to the existence of inverse relation, it is possible that 743 the two entities connected by the path are on the same layer, 744 e.g., the multi-step structure in Fig. 2e, 2j. As a result, there is 745 little difference whether the relation path is hierarchical or 746 not. In this case, the margin is the same as above. 3) Third, 747 supposing that the relation is hierarchical but the path is not, 748 the relation forces head and tail entities to be on different 749 layers, which is conflict with the hierarchical property of 750 path. Hence, this case can be regarded as no positive rela- 751 tions. Namely, the margin calculation is the same as above, 752 except clearing the positive relations before calculation.

Provided that the multi-step structure is a hierarchical 754 one, the path and the consistent relation are both hierarchi-755 cal. Since the hierarchical relations force the head and tail 756 entities to be on different layers, the consistent relation 757 paths should be hierarchical, which enables the head and 758 tail entities to be on different layers. That is to say, the hierarchical relation paths are highly correlated to hierarchical 760 relations, and are indispensable for the existence of hierarchical relations. Hence, the hierarchical relation paths 762 should be paid more attention than non-hierarchical ones 763 when detecting the optimal margin. To this end, we introduce  $\mu_p$ , which is the proportion of hierarchical relations to 765 all relations contained in p. More formally,

$$\mu_p = \frac{|\{r : r \in p \land r \in H_r\}|}{|\{r : r \in p\}|},\tag{8}$$

where  $|\cdot|$  is the cardinality of the set. For instance, the relation 769 path in Fig. 2a,  $p = \begin{pmatrix} child \\ \longrightarrow \cdot \end{pmatrix}$ , is hierarchical relation path, 770 and the consistent relation grandchild is hierarchical as well. 771 As there are two hierarchical relations in this path, 772  $\mu_p = \frac{2}{2} = 1$ . Similarly, Fig. 2b, 2c, 2d, 2f, 2g, 2h, 2i are hierarchi- 773 cal relation paths, and have hierarchical consistent relations, 774  $\mu_p$  equals 1, 1, 0.5, 0.5, 0.67, 0.67, 0.67 respectively.

Formally, for a given triple (h, r, t), the multi-step specific margin  $M_{multi}$  is the weighted average of  $m_p$  for different paths p consistent to r, where  $m_p$  denote the margin between  $P_p$  and  $N_p$ . More formally,

$$M_{multi} = \frac{\sum_{p \in Path_{h,t}} a_{pr} m_p}{\sum_{p \in Path_{h,t}} a_{pr}},$$
(9)

where

$$a_{pr} = \begin{cases} R(p|h,t), & p \in H_p \land r \in H_r \\ (1+\mu_p)R(p|h,t), & p \notin H_p \lor r \notin H_r \end{cases} , \tag{10}$$

where  $Path_{h,t}$  is the set of relation paths connecting h and t, and  $H_p$  denotes the set of hierarchical relation paths in the knowledge graph, with  $H_r$  the set of hierarchical relations in knowledge graphs.

Specifically,  $m_p$  is defined as follows. Given r and p, for all  $r \in P_p$  and  $r' \in N_p$ ,

$$m_p = \min_{r,r'} \sigma(||\mathbf{r}' - \mathbf{p}|| - ||\mathbf{r} - \mathbf{p}||), \tag{11}$$

where

$$\sigma(x) = \begin{cases} x & \text{when } x \ge 0; \\ -x & \text{otherwise.} \end{cases}$$
 (12)

returns the absolute value of x. And  $\mathbf{r}, \mathbf{r}', \mathbf{p} \in \mathbb{R}^d$  denote the embedding vectors of r, r', p respectively. Note that the embedding vector of p can be composed of the embedding vectors of entities following [11]. In this paper, the add operator is adopted as it achieved the best performance in [11]. Namely,  $\mathbf{p} = \mathbf{h} + \mathbf{r_1} + \mathbf{r_2} + \cdots + \mathbf{t}$ . In particular, similar to single-step specific margin, we set  $m_p = 0$  if  $N_p = \emptyset$ , and set  $m_p = \min_{r'} ||\mathbf{r}' - \mathbf{p}||$  when  $P_p = \emptyset$ .

Besides, R(p|h,t) represents the reliability of a relation path p for the given entities h and t, and it is determined by the resource amount that eventually flows to the tail entity t from the head entity h along the path p by the path-constraint resource allocation algorithm following [11]. More precisely, for a relation path  $p = \left(e_0 \xrightarrow{r_1} e_1 \xrightarrow{r_2} e_2 \xrightarrow{r_3} \cdots \xrightarrow{r_1} e_1\right)$ , the resource flowing to a entity m, which is connected by the path p, is defined as follows,

$$R_p(m) = \sum_{n \in S_{i-1}(\cdot, m)} \frac{1}{|S_i(n, \cdot)|} R_p(n), \tag{13}$$

where  $S_{i-1}(\cdot,m)$  is the direct predecessors of m along relation  $r_i$ , and  $S_i(n,\cdot)$  represents the direct successors of n along relation  $r_i$ . Note that for the path between h and t,  $e_0=h$  and  $e_l=t$ . Besides, the initial resource of h is set to 1 in general, i.e.,  $R_p(h)=1$ . In the meanwhile, the reliability of a relation path p is measured by resource amount flows to t, i.e.,  $R(p|h,t)=R_p(t)$ .

Furthermore, another application of the reliability score is to filter the unreliable paths, since candidate paths can be numerous, and the unreliable path may even drag down the predictive performance. Similar path selection techniques can be found in [45], [46], [47].

#### 5 ANALYSIS OF HTRANSM

#### 5.1 The Convergence of hTransM

The convergence of hTransM is analyzed by studying the uniform stability instead of directly proving the uniform

convergence following [10], since it has been proved that 830 uniform stability is a sufficient condition for learnability of 831 learning problem [48].

To show the effectiveness of a learning algorithm  $\mathcal{A}$ , the 833 generalization error, i.e., true risk, is used generally, which 834 can not be calculate directly and often approximated by the 835 empirical risk. Let the training data set is denoted by S=836  $\{(h_1,r_1,t_1),\ldots,(h_i,r_i,t_i),\ldots,(h_n,r_n,t_n)\}$ , where n is the 837 size of the training set.  $\mathcal{R}_{emp}(\mathcal{A},S)$  stands for the empirical 838 risk, and  $\mathcal{R}(\mathcal{A},S)$  for the true risk. Provided that the training 839 data S is drawn independent and identically distributed 840 (i.e., i.i.d.) from an unknown distribution  $\mathcal{D}$ , hTransM is 841 said to be convergent if the empirical risk  $\mathcal{R}_{emp}(\mathcal{A},S)$  converges to the true risk  $\mathcal{R}(\mathcal{A},S)$ , where

$$\mathcal{R}(\mathcal{A}, S) = \mathbb{E}_z[\mathcal{L}(\mathcal{A}, z)], \tag{14}$$

$$\mathcal{R}_{emp}(\mathcal{A}, S) = \frac{1}{n} \sum_{k=1}^{n} \mathcal{L}(\mathcal{A}, z_k), \tag{15}$$

where z=(h,r,t) is a triple sampled according to  $\mathcal{D}$ , 849  $z_k=(h_k,r_k,t_k)$  is the k-th element of  $S,k\in\{1,2,\cdots,n\}$ , and 850  $\mathbb{E}_z[\cdot]$  denotes the expectation. To this end, we define the 851 Uniform-Replace-One stability motivated by [48].

**Definition 7.** The learning algorithm  $\mathcal{A}$  has Uniform-Replace- $\mathcal{L}$  one stability  $\gamma$  with respect to the loss function  $\mathcal{L}$  for  $i \in \{1, 2, ..., n\}$ , the following inequality holds

$$\|\mathcal{L}(\mathcal{A}_S, \cdot) - \mathcal{L}(\mathcal{A}_{S^i}, \cdot)\|_{\infty} \le \gamma,$$
 (16)

where

$$S^{i} = \{ S \setminus (h_{i}, r_{i}, t_{i}) \cup (h'_{i}, r_{i}, t'_{i}) \}, \tag{17}$$

Here,  $\mathcal{A}_S$  means that the learning algorithm  $\mathcal{A}$  is trained on the data set S, and  $\|\cdot\|_{\infty}$  is the maximum norm.  $h_i'$  and  $t_i'$  are the corrupted entities. The loss function  $\mathcal{L}$  of hTransM takes the form

$$\mathcal{L}(\mathcal{A}_S, z) = f_r(h, t) + M_{opt} - f_r(h', t'), \tag{18}$$

from which we have the following lemma.

**Lemma 8.** The Uniform-Replace-One stability  $\gamma$  of hTransM 869 with respect to the given loss function  $\mathcal{L}(\mathcal{A}_S, z)$  is equal 870 to  $2\hat{f}_r + 2\hat{R}$ , where  $\hat{f}_r = \max_{h,t} f_r(h,t)$  is the maximum over 871 the triples  $(h,r,t) \in S$ , and  $\hat{R} = 2R_{ent} + 2R_{rel} + 2$  with  $R_{ent}$  872 be the radius of the smallest sphere containing the learning 873 entities, and  $R_{rel}$  be the radius of the smallest sphere containing 874 the learning relations .

**Proof.** By Eqs. (16) and (18) we deduce that

$$\begin{split} &\|\mathcal{L}(\mathcal{A}_{S},\cdot) - \mathcal{L}(\mathcal{A}_{S^{i}},\cdot)\|_{\infty} = \max_{z_{i}} |\mathcal{L}(\mathcal{A}_{S},z_{i}) - \mathcal{L}(\mathcal{A}_{S^{i}},z_{i})| \\ &= |f_{r}(h,t) + M_{opt} - f_{r}(h',t')| \\ &- (f_{r}(h,t) + M'_{opt} - f_{r}(h'',t''))| \\ &\leq |f_{r}(h'',t'') - f_{r}(h',t')| + |M_{opt} - M'_{opt}| \\ &\leq |f_{r}(h'',t'')| + |f_{r}(h',t')| + |M_{opt}| + |M'_{opt}| \\ &\leq 2\max_{h,t} f_{r}(h,t) + |M_{opt}| + |M'_{opt}|, \end{split}$$

880

881

883

884

885

886

889

891

892

893

894

895

896

897

899

902

903

904

905

907

908

909

911

912

914

915

TABLE 1
Numbers of Parameters and Their Values

Method	Model complexity	on FB15K
RESCAL	$\mathcal{O}(dn_e + n_r d^2)$	14.9M
TransE	$\mathcal{O}(dn_e + dn_r)$	1.6M
TransH	$\mathcal{O}(dn_e + 2dn_r)$	1.8 M
TransR	$\mathcal{O}(dn_e + dn_r + n_r d^2)$	15.1M
PTransE	$\mathcal{O}(dn_e + dn_r)$	1.6M
TransA	$\mathcal{O}(dn_e + dn_r)$	1.6M
hTransM	$\mathcal{O}(dn_e + dn_r)$	1.6M

where h', t' are the corrupted entities for  $\mathcal{L}(\mathcal{A}_S,\cdot)$  and h'', t'' for  $\mathcal{L}(\mathcal{A}_{S^i},z_i)$ . By Eq.(5) and definition of  $R_{ent}$ , we can deduce that

$$m_r \le \sigma(||\mathbf{h} - \mathbf{t}'|| - ||\mathbf{h} - \mathbf{t}||) + \lambda_{hr}\phi(\theta) \le 2R_{ent} + 2,$$

since  $0 \le \lambda_{hr} \le 1$  and  $0 \le \phi(\theta) \le 2$ . Namely,  $m_r \le \hat{R}$ . Similarly, by Eq.(11) and definition of  $R_{rel}$ , it can be deduced that

$$m_p \le \sigma(||\mathbf{r}' - \mathbf{p}|| - ||\mathbf{r} - \mathbf{p}||) \le 2R_{rel}.$$

This leads to

$$M_{opt} \leq \alpha 2R_{ent} + \beta 2R_{rel} + 2 \leq \alpha 2R_{ent} + \beta 2R_{rel} + 2$$

i.e.,  $M_{opt} \leq \hat{R}$  by Eq.(3). Setting  $\hat{f}_r = \max_{h,t} f_r(h,t)$  completes the proof.

Then, the difference between two risks can be derived.

**Theorem 9.** For the embedding method A with Uniform-Replace-One stability  $\gamma$  with respect to the given loss function  $\mathcal{L}$ , we have the following inequality with probability at least  $1 - \delta$ ,

$$\mathcal{R}(\mathcal{A}, S) \le \mathcal{R}_{emp}(\mathcal{A}, S) + \sqrt{\frac{(\hat{R} + \hat{f}_r)^2}{2n\delta} + \frac{6\hat{f}_r(\hat{R} + \hat{f}_r)}{\delta}}, \quad (19)$$

Before proving Theorem 9, we first present the following Lemma verified in [48].

**Lemma 10.** For any algorithm A and loss function  $\mathcal{L}(A_S, z)$  such that  $0 \le \mathcal{L}(A_S, z) \le \hat{L}$ , set  $z_i = (h_i, r_i, t_i) \in S$ , we have for any different  $i, j \in \{1, 2, ..., n\}$  that

$$\mathbb{E}_{S}\left[\left(\mathcal{R}(\mathcal{A}, S) - \mathcal{R}_{emp}(\mathcal{A}, S)\right)^{2}\right]$$

$$\leq \frac{\hat{L}^{2}}{2n} + 3\hat{L}\mathbb{E}_{S \cup z_{i}'}[|\mathcal{L}(\mathcal{A}_{S}, z_{i}) - \mathcal{L}(\mathcal{A}_{S^{i}}, z_{i})|].$$

**Proof of Theorem 9.** First, from Eq.(18), we deduce that

$$\mathcal{L}(\mathcal{A}_S, z) \le M_{opt} + f_r(h, t) \le \hat{R} + \hat{f}_r.$$

Then from Definition 7, we find that

$$\mathbb{E}_{S \cup z_i'}[|\mathcal{L}(\mathcal{A}_S, z_i) - \mathcal{L}(\mathcal{A}_{S^i}, z_i)|] \leq \gamma.$$

Hence, by Lemmas 10 and 8, we obtain that

$$\mathbb{E}_{S}\left[\left(\mathcal{R}(\mathcal{A},S) - \mathcal{R}_{emp}(\mathcal{A},S)\right)^{2}\right] \leq \frac{\left(\hat{R} + \hat{f}_{r}\right)^{2}}{2n} + 6(\hat{R} + \hat{f}_{r})\hat{f}_{r}$$

TABLE 2 The Datasets

Datasets	# Relation	# Entitiy	#Train	#Valid	#Test
WN18	18	40,943	141,442	5,000	5,000
FB15K	1,345	14,951	483,142	50,000	59,071
FAMILY	7	721	8,461	2,820	2,821

918

924

926

□ 925

By Chebyshev's inequality, it can be derived that

$$\begin{aligned} & Prob(\left(\mathcal{R}(\mathcal{A}, S) - \mathcal{R}_{emp}(\mathcal{A}, S)\right) \geq \epsilon) \\ & \leq \frac{\mathbb{E}_{S}\left[\left(\mathcal{R}(\mathcal{A}, S) - \mathcal{R}_{emp}(\mathcal{A}, S)\right)^{2}\right]}{\epsilon^{2}} \\ & \leq \left(\frac{\left(\hat{R} + \hat{f}_{r}\right)^{2}}{2n} + 6(\hat{R} + \hat{f}_{r})\hat{f}_{r}\right) \cdot \frac{1}{\epsilon^{2}}. \end{aligned}$$

Let the right hand side of the above inequality be  $\delta$ , then 921 we have with probability at least  $1 - \delta$  that 922

$$\mathcal{R}(\mathcal{A}, S) \leq \mathcal{R}_{emp}(\mathcal{A}, S) + \sqrt{\frac{(\hat{R} + \hat{f}_r)^2}{2n\delta} + \frac{6\hat{f}_r(\hat{R} + \hat{f}_r)}{\delta}}.$$

This completes the proof.

## 5.2 Model Complexity of hTransM

The model complexity of hTransM is studied from the 927 aspect of model parameters, which has been widely used to 928 evaluate the complexity of knowledge graph embedding 929 methods [9], [49], [24]. The number of parameters of 930 hTransM is the same as other simple embedding methods, 931 since the main parameters of hTransM is the embedding 932 vectors for each entity and each relation. As a result, the 933 number of model parameters of hTransM is  $\mathcal{O}(dn_e + dn_r)$ , 934 where  $n_e$  denotes the number of entities in a knowledge 935 graph, and  $n_r$  represents the number of relations in a knowledge 936 edge graph. d stands for the embedding dimension.

The comparison and the values for a typical knowledge 938 graph FB15K (in millions) are illustrated in detail in Table 1, 939 where the embedding dimension is set to 100 for all methods. Besides, the statistics of the FB15K is shown in Table 2. 941

## 6 EXPERIMENTS

The experiments are carried out on three public knowledge 943 graphs, WN18 introduced in [9], FB15K introduced in [9] 944 and FAMILY introduced in [50]. WN18 and FB15K are 945 subsets of the widely used knowledge graph WordNet and 946 Freebase respectively. FAMILY is an artificial hierarchical 947 knowledge graph expressing family relationships among 948 the members of 5 families along 6 generations, where entities are organized in a layered tree. The statistics of the datasets are listed in Table 2.

To detect the hierarchical relations, first the relations are 952 classified into 1-to-1, 1-to-N, N-to-1, N-to-N and the propor-953 tion of the four classes are 25.5, 17.4, 30.9, 26.2 percent on 954 WN18, 31.3, 27.2, 21.5, 20.0 percent on FB15K, and 0.3, 955 32.0, 19.0, 48.7 percent on FAMILY. Note that FAMILY is 956 constructed to capture the hierarchical structures in the 957 knowledge graph, thus the 1-to-1 is little. Second, we find 958

**WN18** FB15K **FAMILY** HITS@10 Mean Rank HITS@10 Mean Rank HITS@10 Metric Mean Rank Raw Filter Raw Filter Raw Filter Raw Filter Raw Filter Raw Filter Unstructured 315 304 35.3 38.2 1.074 979 4.5 6.3 374 357 37.2 52.8 828 683 **RESCAL** 1,180 1,163 28.4 44.1 362 351 SE 1,011 985 273 68.5 80.5 162 28.8 39.8 533 40.8 26 9 SME(linear) 545 74.1 274 154 30.7 65.1 29 SME(bilinear) 526 509 54.7 61.3 284 158 31.3 41.3 12 TransE 263 251 75.4 89.2 243 125 47.1 29 57.3 87.9 34.9 TransH(bern) 401 388 73.0 82.3 212 87 45.7 64.4 TransH(unif) 318 303 75.4 86.7 211 84 42.5 58.5 TransR(bern) 238 225 79.8 92.0 198 77 48.2 68.7 25 60.8 90.2 91.7 25 TransR(unif) 232 219 78.3 226 78 43.8 65.5 58.9 88.7 54 24 67.9 245 237 93.4 200 83.4 94.9 PTransE(2-step) 80.2 51.8 7 PTransE(3-step) 245 238 79.9 92.8 207 58 50.6 82.2 24 66.3 93.8 69.4 TKRL (RHE) 184 68 49 2 TKRL (RHE+STC) 202 89 50.4 73.1 58 23 TransA 165 153 164 6 5 hTransM 139 124 50.5 74.4 19 67.8 95.2 80.7 94.3 161 46

TABLE 3
Evaluation Results on Entity Prediction

the non-circle relations and irreflexive relations, and hierarchical relation set is the intersection of non-circle relations, irreflexive relations and unbalanced *1-to-N*, *N-to-1* and *N-to-N* relations. After that, the hierarchical relation proportion is 77.8 percent on WN18, 53.8 percent on FB15K, and 42.9 percent on FAMILY. Experiments are conducted on two sub-tasks of link prediction, i.e., Entity Prediction and Relation Prediction.

## 6.1 Entity Prediction

959

960

961

962

963

964

965

966

967

968

969 970

971

972

973

974

975

976

977

978

979

980

981

982

983

984

985

986

987

988

989 990

991

992

993

994

995

996

This task aims to predict the missing entities h or t for a triple (h,r,t). Namely, it predicts t given  $(h,r,\cdot)$  or predict h given  $(\cdot,r,t)$ . Similar to the setting in [9],[11], [10], a list of candidate entities is returned in terms of the score function Eq. (2) of hTransM. Mean Rank and HITS@10 are adopted as the evaluation measure. Mean Rank is the average rank of correct entities in original triples, and HITS@10 is the proportion of correct entities ranked in the top 10. It is clear that a good predictor has low Mean Rank and higher HITS@10. This is called "Raw" setting. We also filter out the corrupted triples which are correct ones for evaluation following [9], which called "Filter" setting. Namely, if a corrupted triple exists in the knowledge graph, it is also acceptable to rank it ahead the original triples. To eliminate this case, the "Filter" setting is more preferred [11].

The baseline methods include classical embedding methods as shown in Table 3. Since the datasets WN18 and FB15K are also used by the baseline methods, the experimental results are compared with those reported in their papers. Note that the results of FAMILY of those baselines are obtained by employing the publicly available code, as no results are reported in the papers.

For the parameter tuning process, we determine their values in the same way as the existing methods. The learning rate  $\eta$  during the SGD process is selected among  $\{0.1, 0.01, 0.001\}$ , the embedding dimension d in  $\{50, 100, 200, 300\}$ , the batch size B among  $\{120, 480, 1440, 4800\}$ , and parameters  $\alpha$  and  $\beta$  in [0, 1]. All parameters are determined on the validation set. Specifically, for hTransM, the optimal

settings are:  $\eta = 0.001$ , d = 200, B = 1440,  $\alpha = 0.1$ ,  $\beta = 0.2$  on 997 WN18, and taking  $L_1$  as dissimilarity.  $\eta = 0.001$ , d = 300, 998 B = 1440,  $\alpha = 0.0$ ,  $\beta = 0.3$  on FB15K, as well as taking  $L_1$  as 999 dissimilarity.  $\eta = 0.001$ , d = 50, B = 120,  $\alpha = 0.7$ ,  $\beta = 0.1$  on 1000 FAMILY, as well as taking  $L_1$  as dissimilarity.

It can tell from Table 3 that (1) On Mean Rank, hTransM 1002 obtains the lowest Mean Rank on all datasets, and performs 1003 best among all baselines. (2) On HITS@10, hTransM outperforms all baselines on WN18, and outperforms all baselines 1005 except PTransE on FB15K and FAMILY. It makes sense 1006 since that PTransE uses path information, which exists for 1007 all triples, but hTransM uses hierarchical structures, which 1008 only have about 50 percent hierarchical relation proportion 1009 on these two datasets. (3) The performance on WN18 is better than FB15K and FAMILY. It is unsurprising since the 1011 proportion of hierarchical relations are far larger than 1012 FB15K and FAMILY, which proves that the method will 1013 perform better with more hierarchical information, and produce restrained performance if the dataset is fundamentally 1015 non-hierarchical.

For further analysis, we calculated the predictive results 1017 according to different relation types, i.e., hierarchical 1018 and non-hierarchical relations, respectively. Since TransA 1019 performs best in Table 3, the detailed results on three data- 1020 sets are just compared with TransA, as listed in Table 4. 1021

TABLE 4
Filtered Mean Rank of Different Relation Types

Metric		WN18		FB15K		FAMILY	
		hie	non-hie	hie	non-hie	hie	non-hie
All	TransA	168	445	103	135	5.7	177
	hTransM	136	415	80	111	3.9	122
Head	TransA	133	478	113	129	4.4	177
	hTransM	123	419	87	108	3.1	122
Tail	TransA	203	411	94	141	6.8	177
	hTransM	151	410	73	113	4.8	122

1023

1024 1025

1026

1027

1028

1029

1030

1031

1032

1033

1034

1035

1036

1037

1038

1039

1040

1041

1042

1043

1044

1045

1046

1047

1048

1049

1050

1051

TABLE 5
Evaluation Results on Relation Prediction

Metric	Mear	n Rank	HITS@1	
	Raw	Filter	Raw	Filter
TransE	2.8	2.5	65.1	84.3
TransR	2.5	2.1	70.2	91.6
PTransE(2-step)	1.7	1.2	69.5	93.6
PTransE(3-step)	1.8	1.4	68.5	94.0
TKRL (RHE)	2.12	1.73	71.1	92.8
TKRL (RHE+STC)	2.38	1.97	68.7	90.7
TransA	1.5	1.1	-	-
hTransM	1.5	1.0	71.3	93.1

Note that the filter Mean Rank is adopted to do further analyzing, as the "Filter" setting is more comport with the fact. Besides, "hie" represents hierarchical relations, and "non-hie" represents non-hierarchical relations.

It can be seen from Table 4 that the decreases of Mean Rank on different types of relations are different. hTransM achieves larger decrease on hierarchical relations than nonhierarchical ones, which makes sense since when given a hierarchical relation, it is more possible that the layer of predicted entity is different from the layer of the given entity. Besides, the decrement of Mean Rank is different on predicting head and tail entities, i.e., the decrement is larger on the worse side of TransA, especially on WN18. For instance, TransA perform worse on predicting tail for hierarchical relations, as well as predicting head for non-hierarchical relations, while hTransM decrease far more on these two sides than the other sides. It is caused by the unbalanced mapping properties of relations, and the worse predicting side of TransA is the side with large cardinality, which demonstrates that hTransM can handle relations with unbalanced mapping properties.

#### 6.2 Relation Prediction

This task aims to predict the missing relation r for two given entities  $(h,\cdot,t)$ . Similar to the setting in [11], given entity pair (h,t), relation prediction returns a list of candidate relations, and Mean Rank is adopted as the evaluation measure, which is the average rank of correct relations in original triple. The corrupted triples which are correct ones are filtered out for evaluation following [9] similar to entity prediction sub-task.

The baseline methods for comparison include TransE [9], 1052
TransA [10], TransR [25] and PTransE [11], which it can be 1053
seen that the performance of these methods are fairly better 1054
than the others in entity prediction sub-task, thus are 1055
adopted as baselines in this sub-task. FB15K is adopted as 1056
datasets. Besides, the relations on FAMILY and WN18 are 1057
so little that performance is very good for all methods, thus 1058
is not adopted in this experiment. Since the results of entity 1059
prediction of these baselines are not reported in the paper, 1060
we employ the publicly available code of them to obtain the 1061
results. The parameter setting is the same as the entity 1062
prediction.

The results in Table 5 indicate that hTransM outperforms 1064 all baselines except PTransE in HITS@1(filter), which is better than entity prediction. It makes sense that the hierarchical structures, which hTransM employs, can bring more 1067 room for the improvement of relation prediction, since the 1068 entities on different layers are supposed to connect by hierarchical relations. Furthermore, the result that TransA performs better that other baselines, also demonstrates the 1071 superiority of detecting the optimal margin adaptively.

## 6.3 Discussion about Hierarchy-Contrained Margin

To better understand how the margin affects the predictive 1074 method, the value of the optimal hierarchy-constrained 1075 margin is plotted along with the iterations of SGD. Since 1076 TransA is another method adaptively determine the optimal 1077 margin for each triples, in order to compare the optimal 1078 margin generated by both methods, the experiment is conducted by hTransM and TransA. The dataset adopts WN18, 1080 FB15K and FAMILY without loss of generality. To avoid 1081 randomness, the margin is the average margin of all triples 1082 in a iteration.

The process of margin variation is shown in Fig. 7, where 1084 the *x*-axis represents the number of SGD iterations in the 1085 training process, and the *y*-axis represents the value of the 1086 optimal margin. Note that since a negative sampling proce- 1087 dure is carried out when generating the optimal margin, 1088 there exists randomness in Fig. 7. As the number of triples 1089 in FAMILY is very small, the randomness of negative sam- 1090 pling on FAMILY is much more obvious than the other two 1091 datasets. There are three observations from Fig. 7 as follows.

First, it is verified that the optimal margin becomes larger 1093 with the iterations of SGD increasing, which implies that 1094 adaptively choosing margin is consistent with the intrinsic 1095

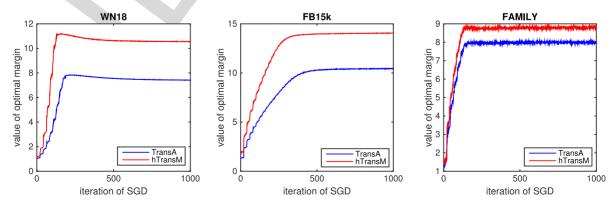


Fig. 7. The impact of the number of SGD iterations on the single-step margin of one entity on FAMILY, where *x*-axis stands for the number of sampling the triple in the training process, as well as the *y*-axis for the value of the margin.

1191

1192

1195

1202

1207

1221

characteristic of the margin-based knowledge graph embedding methods. It makes sense that the positive and negative examples have growing distance along with the optimization processing. Consequently, determining the optimal margin adaptively is of great assistance to the performance of link prediction.

Second, it can tell that the hierarchy-constrained margin of hTransM is larger than general margin of TransA, and increase more rapidly as well. It validates that hierarchyconstrained margin can be regarded as soft margin, and it is effective by integrating the hierarchical information into the predictive method.

Third, the value and the convergence of optimal margin vary from different datasets. The earlier the convergence is, the faster the value of margin increases, and there will be a small decrease if the convergence comes very early, such as WN18.

#### CONCLUSION

1096

1097

1098

1099

1101

1102

1103

1104

1105

1106

1107

1108

1109

1110

1111

1112

1113

1114

1115

1116

1117

1118

1119

1120

1121

1122

1123

1124

1125

1126

1127

1128

1129

1130

1131

1132

1133

1135

1136

1137

1138

**Q1**9

1140

1141

1142

1143

1144

1145

1146

1147

1148

1149

1150

1151

1152

1153

1154

1155

1156

In this paper, we study the link prediction problem on knowledge graphs. To make full use of the hierarchical structures of knowledge graphs, this paper proposes a hierarchy-constrained link prediction method based on knowledge graph embedding, called hTransM. It determines the margin adaptively to achieve optimal predictive performance. The margin is modelled by discovering hierarchical structures automatically, and dividing them into the singlestep hierarchical structures and multi-step hierarchical structures, which contributes to the optimal single-step margin and optimal multi-step margin. In addition, the methods could be scaled to other margin-based translation embedding methods, such as TransH, TransR, etc., on account of the effectiveness of the optimal margin.

#### **ACKNOWLEDGMENTS**

This work is supported by National Grand Fundamental Research 973 Program of China (No. 2013CB329602) 2014CB340401), National Natural Science Foundation of China (No.61572469, 61402442, 61572473, 61303244, 61402022, 61572467), Beijing nova program (No.Z121101002512063), and Beijing Natural Science Foundation (No. 4154086).

## REFERENCES

- K. Bollacker, C. Evans, P. Paritosh, T. Sturge, and J. Taylor, "Freebase: A collaboratively created graph database for structuring human knowledge," in Proc. ACM SIGMOD Int. Conf. Manage. Data, 2008, pp. 1247–1250. G. A. Miller, "Wordnet: A lexical database for English," Commun.
- ACM, vol. 38, no. 11, pp. 39-41, 1995.
- Y. Jia, Y. Wang, X. Cheng, X. Jin, and J. Guo, "Openkn: An open knowledge computational engine for network big data," in Proc. IEEE/ACM Int. Conf. Adv. Soc. Netw. Anal. Mining, 2014, pp. 657-664.
- W. Wu, H. Li, H. Wang, and K. Q. Zhu, "Probase: A probabilistic taxonomy for text understanding," in Proc. ACM SIGMOD Int. Conf. Manage. Data, 2012, pp. 481-492.
- D. Liu, Y. Wang, Y. Jia, J. Li, and Z. Yu, "Lsdh: A hashing approach for large-scale link prediction in microblogs," in Proc. 28th AAAI Conf. Artif. Intell., 2014, pp. 3120-3121.
- Y.-T. Jia, Y.-Z. Wang, and X.-Q. Cheng, "Learning to predict links by integrating structure and interaction information in microblogs," J. Comput. Sci. Technol., vol. 30, no. 4, pp. 829-842, 2015.
- H. Huang, J. Tang, L. Liu, J. Luo, and X. Fu, "Triadic closure pattern analysis and prediction in social networks," IEEE Trans. Knowl. Data Eng., vol. 27, no. 12, pp. 3374-3389, Dec. 2015.

- J. Zhang, Z. Fang, W. Chen, and J. Tang, "Diffusion of following 1157 links in microblogging networks," IEEE Trans. Knowl. Data Eng., vol. 27, no. 8, pp. 2093–2106, Aug. 2015. 1159 A. Bordes, N. Usunier, A. Garcia-Duran, J. Weston, and 1160
- O. Yakhnenko, "Translating embeddings for modeling multi- 1161 relational data," in Proc. 26th Int. Conf. Neural Inf. Process. Syst., 2013, pp. 2787-2795.
- [10] Y. Jia, Y. Wang, H. Lin, X. Jin, and X. Cheng, "Locally adaptive 1164 translation for knowledge graph embedding," in Proc. 30th AAAI 1165 Conf. Artif. Intell., 2016, pp. 992-998.
- [11] Y. Lin, Z. Liu, H. Luan, M. Sun, S. Rao, and S. Liu, "Modeling rela-1167 tion paths for representation learning of knowledge bases,' in Proc. 2015 Conf. Empirical Methods Natural Lang. Process., 2015, pp. 705-714. 1170
- A. Bordes, N. Usunier, A. García-Durán, J. Weston, and 1171 O. Yakhnenko, "Irreflexive and hierarchical relations as trans-1172 lations," ICML 2013 Workshop Struct. Learn.: Inferring Graphs Struct. Unstruct. Inputs, 2013, p. 5.
- J. R. Quinlan and R. M. Cameron-Jones, "Foil: A midterm report," in Proc. Conf. Mach. Learn., 1993, pp. 1-20. 1176
- [14] W. W. Cohen and C. D. Page, "Polynomial learnability and inductive logic programming: Methods and results," New Generation 1178 Comput., vol. 13, no. 3/4, pp. 369-409, 1995.
- [15] T. M. Mitchell, J. Betteridge, A. Carlson, E. Hruschka, and 1180 R. Wang, Populating the Semantic Web by Macro-Reading Internet Text. New York, NY, USA: Springer, 2009. 1182
- [16] S. Schoenmackers, O. Etzioni, D. S. Weld, and J. Davis, "Learning 1183 first-order horn clauses from web text," in Proc. Conf. Empirical 1184 Methods Natural Lang. Process., 2010, pp. 1088-1098.
- N. Lao, T. Mitchell, and W. W. Cohen, "Random walk inference 1186 and learning in a large scale knowledge base," in Proc. Conf. 1187 Empirical Methods Natural Lang. Process., 2011, pp. 529-539. 1188
- [18] N. Lao, A. Subramanya, F. Pereira, and W. W. Cohen, "Reading the web with learned syntactic-semantic inference rules," in *Proc.* Joint Conf. Empirical Methods Natural Lang. Process. Comput. Natural Lang. Learn., 2012, pp. 1017-1026.
- Z. Zhao, Y. Jia, and Y. Wang, "Content-structural relation infer-1193 ence in knowledge base," in Proc. 28th AAAI Conf. Artif. Intell., 1194 2014, pp. 3154–3155.
- [20] M. Li, Y. Jia, Y. Wang, Z. Zhao, and X. Cheng, "Predicting links 1196 and their building time: A path-based approach," in Proc. 13th 1197 AAAI Conf. Artif. Intell., 2016, pp. 4228-4229 1198
- [21] A. Neelakantan, B. Roth, and A. Mccallum, "Compositional vector 1199 space models for knowledge base completion," in *Proc. 53rd Ann.* Meeting Assoc. Comput. Linguistics and 7th Int. Joint Conf. Natural 1201 Lang. Process., 2015, pp. 156-166.
- N. Lao and W. W. Cohen, "Relational retrieval using a combina-1203 tion of path-constrained random walks," Mach. Learn., vol. 81, 1204 no. 1, pp. 53-67, 2010. 1205
- M. Gardner, P. P. Talukdar, B. Kisiel, and T. Mitchell, "Improving learning and inference in a large knowledge-base using latent syntactic cues," Americas, vol. 70, no. 2, pp. 319-320, 2013.
- [24] Z. Wang, J. Zhang, J. Feng, and Z. Chen, "Knowledge graph 1209 embedding by translating on hyperplanes," in Proc. 28th AAAI 1210 Conf. Artif. Intell., 2014, pp. 1112-1119. 1211
- [25] Y. Liu, Z. Liu, M. Sun, Y. Liu, and X. Zhu, "Learning entity and relation embeddings for knowledge graph completion," in Proc. 1213 29th AAAI Conf. Artif. Intell., 2015, pp. 2181–2187
- [26] G. Ji, S. He, L. Xu, K. Liu, and J. Zhao, "Knowledge graph embed-1215 ding via dynamic mapping matrix," in Proc. 53rd Annu. Meeting 1216 Assoc. Comput. Linguistics/7th Int. Joint Conf. Natural Lang. Process. 1217 (Vol. 1: Long Papers), 2015, pp. 687–696.
- M. Fan, Q. Zhou, E. Chang, and T. F. Zheng, "Transition-based 1219 knowledge graph embedding with relational mapping properties," in Proc. 28th Pacific Asia Conf. Lang. Inf. Comput., 2014,
- [28] A. Bordes, X. Glorot, J. Weston, and Y. Bengio, "Joint learning of 1223 words and meaning representations for open-text semantic parsing," in Proc. Int. Conf. Artif. Intell. Statist., 2012, pp. 127–135. 1225
- A. Bordes, J. Weston, R. Collobert, and Y. Bengio, "Learning struc-1226 tured embeddings of knowledge bases," in Proc. Conf. Artif. Intell., 1227 2011, no. EPFL-CONF-192344, pp. 301-306. 1228
- A. Bordes, X. Glorot, J. Weston, and Y. Bengio, "A semantic 1229 matching energy function for learning with multi-relational data,' 1230 Mach. Learn., vol. 94, no. 2, pp. 233-259, 2014. 1231
- R. Jenatton, N. L. Roux, A. Bordes, and G. R. Obozinski, "A latent 1232 factor model for highly multi-relational data," in Proc. 25th Int. 1233 Conf. Neural Inf. Process. Syst., 2012, pp. 3167–3175. 1234

1237 1238

1235

1248

1254 1255

1256

1274

1290

1291 1292

[32] I. Sutskever, J. B. Tenenbaum, and R. R. Salakhutdinov, "Modelling relational data using bayesian clustered tensor factorization," in Proc. Neural Inf. Process. Syst., 2009, pp. 1821-1828.

[33] K. Q. Weinberger and O. Chapelle, "Large margin taxonomy embedding for document categorization," in Proc. Neural Inf. Process. Syst., 2009, pp. 1737-1744.

S. Bengio, J. Weston, and D. Grangier, "Label embedding trees for large multi-class tasks," in Proc. Neural Inf. Process. Syst., 2010, pp. 163-171.

[35] R. Xie, Z. Liu, and M. Sun, "Representation learning of knowledge graphs with hierarchical types," in Proc. 25th Int. Joint Conf. Artif. Intell., 2016, pp. 2965-2971.

[36] C. Wang, J. Han, Y. Jia, J. Tang, D. Zhang, Y. Yu, and J. Guo, "Mining advisor-advisee relationships from research publication networks," in Proc. 16th ACM SIGKDD Int. Conf. Knowl. Discovery Data Mining, 2010, pp. 203-212.

Y.-Y. Liu, J.-J. Slotine, and A.-L. Barabási, "Control centrality and hierarchical structure in complex networks," Plos One, vol. 7, no. 9, 2012, Art. no. e44459

[38] E. Jacobson and S. E. Seashore, "Communication practices in complex organizations," J. Soc. Issues, vol. 7, no. 3, pp. 28–40, 1951.

P. Eades, Q.-W. Feng, and X. Lin, "Straight-line drawing algorithms for hierarchical graphs and clustered graphs," in Proc. Int. Symp. Graph Drawing, 1996, pp. 113-128.

K.-K. Yan, G. Fang, N. Bhardwaj, R. P. Alexander, and M. Gerstein, "Comparing genomes to computer operating systems in terms of the topology and evolution of their regulatory control networks," Proc. Nat. Acad. Sci., vol. 107, no. 20, pp. 9186-9191, 2010.

[41] P. Healy and N. S. Nikolov, "Hierarchical drawing algorithms,"

Handbook of Graph Drawing and Visualization, pp. 409–454, 2013. M. Li, Y. Jia, Y. Wang, J. Li, and X. Cheng, "Hierarchy-based link prediction in knowledge graphs," in *Proc. 25th Int. Conf. Companion World Wide Web*, 2016, pp. 77–78.

[43] V. Vapnik, The Nature of Statistical Learning Theory. New York, NY, USA: Springer, 2013.

[44] B. E. Boser, I. M. Guyon, and V. N. Vapnik, "A training algorithm for optimal margin classifiers," in Proc. 5th Annu. Workshop Com-

put. Learning Theory, 1992, pp. 144–152. [45] K. Toutanova, V. Lin, W. T. Yih, H. Poon, and C. Quirk, "Compositional learning of embeddings for relation paths in knowledge base and text," in Proc. 54th Annu. Meeting Assoc.

Comput. Linguistics, 2016, pp. 1434–1444. [46] F. Wu, J. Song, Y. Yang, X. Li, Z. M. Zhang, and Y. Zhuang, "Structured embedding via pairwise relations and long-range interactions in knowledge base," in Proc. 29th AAAI Conf. Artif. Intell., 2015, pp. 1663–1670.

A. García-Durán, A. Bordes, and N. Usunier, "Composing relationships with translations," in Proc. 2015 Conf. Empirical Methods Natural Lang. Process., 2015, pp. 286–290.

O. Bousquet and A. Elisseeff, "Stability and generalization," J. Mach. Learn. Res., vol. 2, pp. 499-526, 2002.

[49] M. Nickel, L. Rosasco, and T. Poggio, "Holographic embeddings of knowledge graphs," in Proc. 13th AAAI Conf. Artif. Intell., 2016, pp. 1955-1961.

A. Garcia-Durán, A. Bordes, and N. Usunier, "Effective blending of two and threeway interactions for modeling multi-relational data," in Proc. 2014th Eur. Conf. Mach. Learn. Knowl. Discovery Databases, 2014, pp. 434-449.



Manling Li is with the Institute of Computing Technology, Chinese Academy of Sciences. Her main research interests include knowledge graph, data mining, and natural language process. etc.



Yuanzhuo Wang received the PhD in computer 1298 science. He is a professor with the Institute of 1299 Computing Technology, Chinese Academy of 1300 Sciences. His current research interests include 1301 social computing, and open knowledge network, 1302 etc. So far he has published more than 140 1303 papers. He is a senior member of China 1304 Computer Federation and member of the IEEE.



Denghui Zhang is with the Institute of Comput- 1306 ing Technology, Chinese Academy of Sciences. 1307 His main research interests include knowledge 1308 graph, natural language process, and parallel computing, etc. 1310



Yantao Jia received the PhD degree in mathe- 1311 matics. He is an associate professor with the 1312 Institute of Computing Technology, Chinese 1313 Academy of Sciences. His main research inter- 1314 ests include open knowledge network, social 1315 computing, and combinatorial algorithms, etc. 1316 He is a member of the IEEE.



Xueqi Cheng is a professor with the Institute of 1318 Computing Technology, Chinese Academy of 1319 Sciences. His main research interests include 1320 network science, web search and data mining, 1321 big data processing and distributed computing 1322 architecture, and so on. He has published more 1323 than 100 publications in prestigious journals and 1324 conferences, including the *IEEE Transactions on* 1325 *Information Theory*, the *IEEE Transactions on* 1326 Knowledge and Data Engineering, the Journal of 1327 Statistics Mechanics: Theory and Experiment, 1328

the Physical Review E., ACM SIGIR, WWW, ACM CIKM, WSDM, IJCAI, 1329 ICDM, and so on. He has received the Best Paper Award in CIKM 1330 (2011) and the Best Student Paper Award in SIGIR (2012). He is a mem- 1331 ber of the IEEE.

▶ For more information on this or any other computing topic, 1333 please visit our Digital Library at www.computer.org/publications/dlib. 1334