

STOR566: Introduction to Deep Learning

Lecture 8: Convolutional Neural Networks

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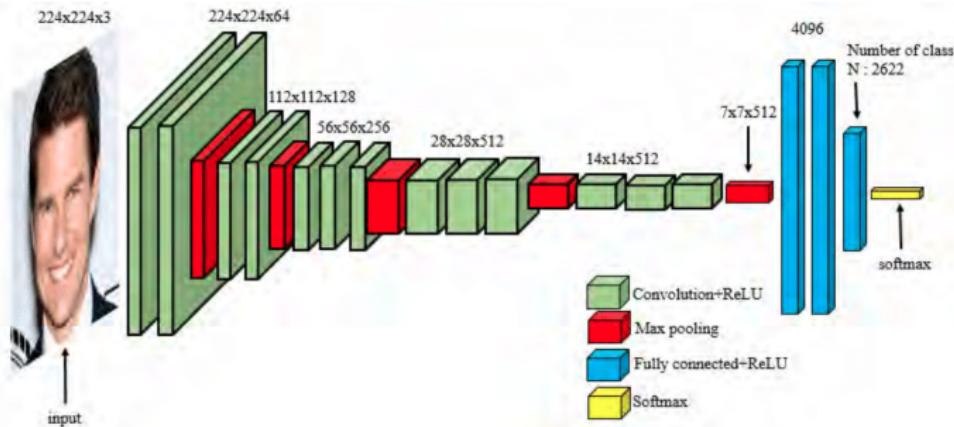
Sep 13, 2022

Materials are from *Learning from data (Caltech)* and *Deep Learning (UCLA)*

Convolutional Neural Network

The structure of CNN

- Structure of VGG

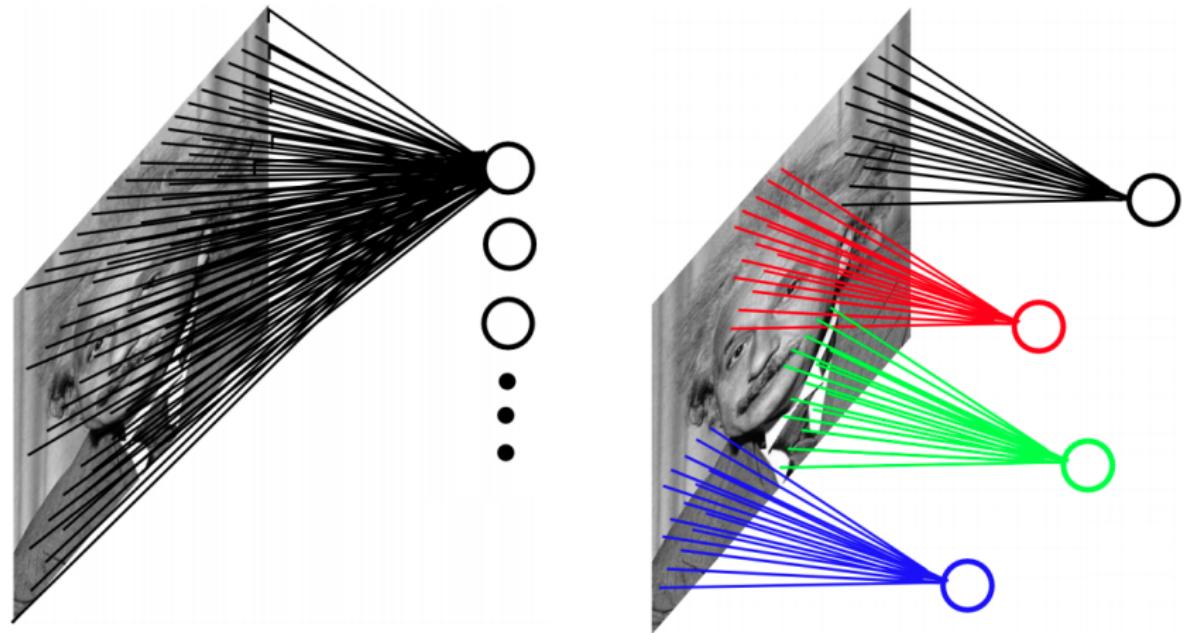


- Two important layers:
 - Convolution
 - Pooling

Convolution Layer

- Fully connected layers have too many parameters
 ⇒ poor performance
- Example: VGG first layer
 - Input: $224 \times 224 \times 3$
 - Output: $224 \times 224 \times 64$
 - Number of parameters if we use fully connected net:
 $(224 \times 224 \times 3) \times (224 \times 224 \times 64) = 483 \text{ billion}$
- Convolution layer leads to:
 - Local connectivity
 - Parameter sharing

Local connectivity



(Figure from Salakhutdinov 2017)

Parameter Sharing

- Making a reasonable assumption:

If one feature is useful to compute at some spatial position (x, y) , then it should also be useful to compute at a different position (x_2, y_2)

- Using the [convolution operator](#)

Convolution

- The convolution of an image x with a kernel k is computed as

$$(x * k)_{ij} = \sum_{pq} x_{i+p,j+q} k_{p,q}$$

1	0.5	20
0.25	0	0
0	0	20

$$\ast$$

1	0.5
0.25	0

$$=$$

Convolution

$$1*1 + 0.5*0.2 + 0.25*0.2 + 0*0 = 1.15$$

The diagram illustrates a convolution operation. On the left, a 3x3 input matrix is shown with values: top row [1, 0.2, 0.5], middle row [0.2, 0, 0], bottom row [0.25, 0, 0]. The bottom-right element of the input is highlighted with a gray box. To its right is a multiplication sign (*). Next is an equals sign (=). To the right of the equals sign is a 2x2 kernel matrix with values: top row [1, 0.2], middle row [0.2, 0]. Above the input matrix, the formula $1*1 + 0.5*0.2 + 0.25*0.2 + 0*0 = 1.15$ is displayed in orange.

1	0.2	0.5
0.2	0	0
0.25	0	0

*

1	0.2
0.2	0

=

1.15	

Convolution

$$0.5*1 + 20*0.2 + 0*0.2 + 0*0 = 4.5$$

The diagram illustrates a convolution operation. On the left, an input matrix of size 3x3 is shown:

1		
0.25	0.5	20
0	0	20

A 2x2 weight matrix is applied to a 2x2 receptive field in the top-left corner of the input:

1	0.2
0	0

The result of the multiplication is 4.5, highlighted in orange.

The input matrix is multiplied by the weight matrix, resulting in the output value 4.5.

Convolution

$$0.25*1 + 0*0.2 + 0*0.2 + 0*0 = 0.25$$

The diagram illustrates a convolution operation. It shows an input matrix (3x3) being multiplied by a kernel matrix (2x2) to produce an output matrix (2x2).

Input Matrix:

1	0.5	20
0.25	0	0
1	0.2	20

Kernel Matrix:

1	0.2
0.2	0

Output Matrix:

1.15	4.5
0.25	

The calculation for the top-left element of the output matrix is shown in orange: $0.25*1 + 0*0.2 + 0*0.2 + 0*0 = 0.25$.

Convolution

$$0*1 + 0*0.2 + 0*0.2 + 20*0 = 0$$

1	0.5	20				
0.25	<table border="1"><tr><td>0</td><td>0</td></tr><tr><td>1</td><td>0.2</td></tr></table>	0	0	1	0.2	
0	0					
1	0.2					
0	<table border="1"><tr><td>0</td><td>20</td></tr><tr><td>0.2</td><td>0</td></tr></table>	0	20	0.2	0	
0	20					
0.2	0					

*

1	0.2
0.2	0

=

1.15	4.5
0.25	0

Multiple Channels

- Multiple input channels:

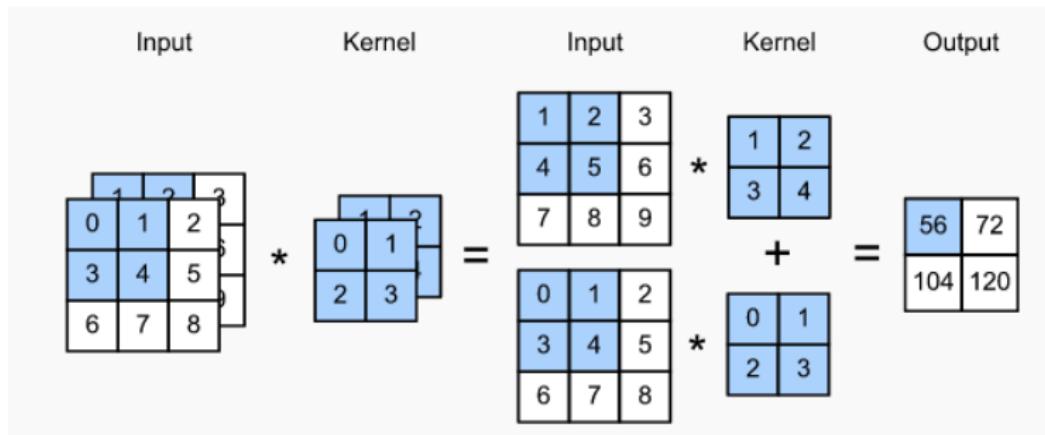
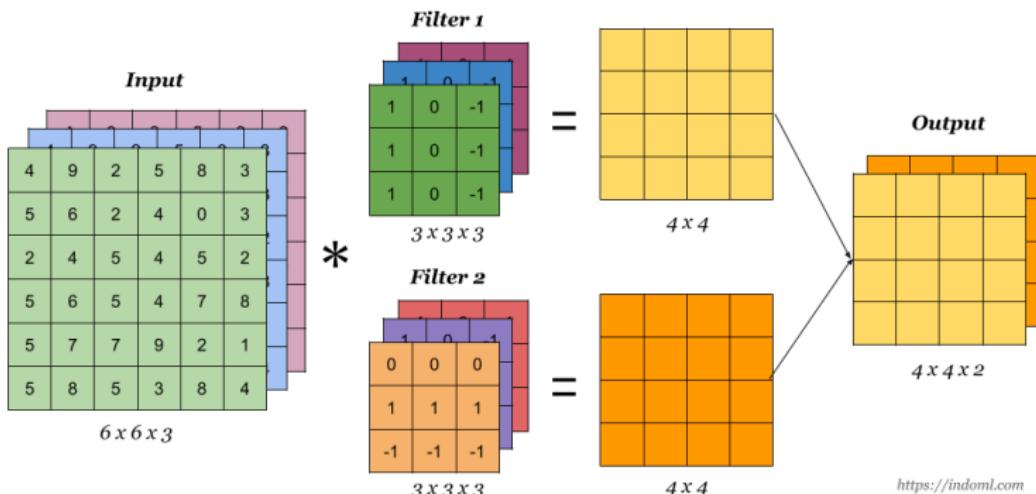


Image from Dive into Deep Learning

- $(1 \times 1 + 2 \times 2 + 4 \times 3 + 5 \times 4) + (0 \times 0 + 1 \times 1 + 3 \times 2 + 4 \times 3) = 56$

Multiple Channels

- Multiple input channels and output channels:

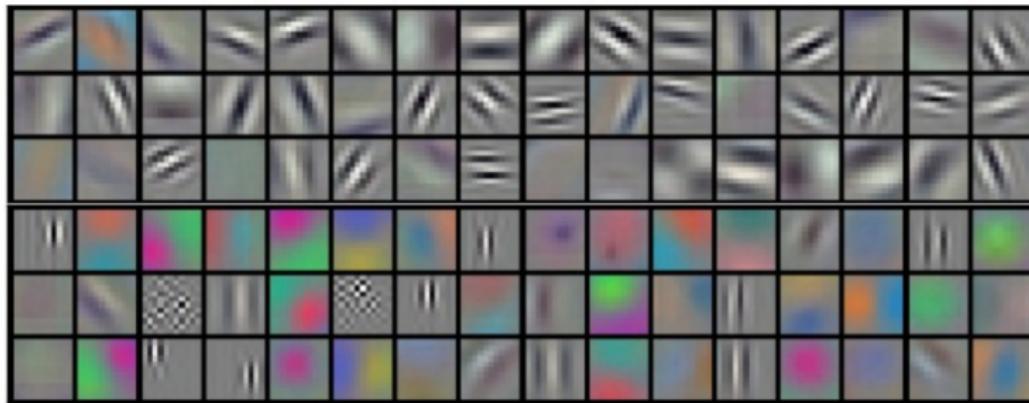


<https://indoml.com>

- Number of parameters: $k_1 \times k_2 \times d_{in} \times d_{out} + d_{out}$

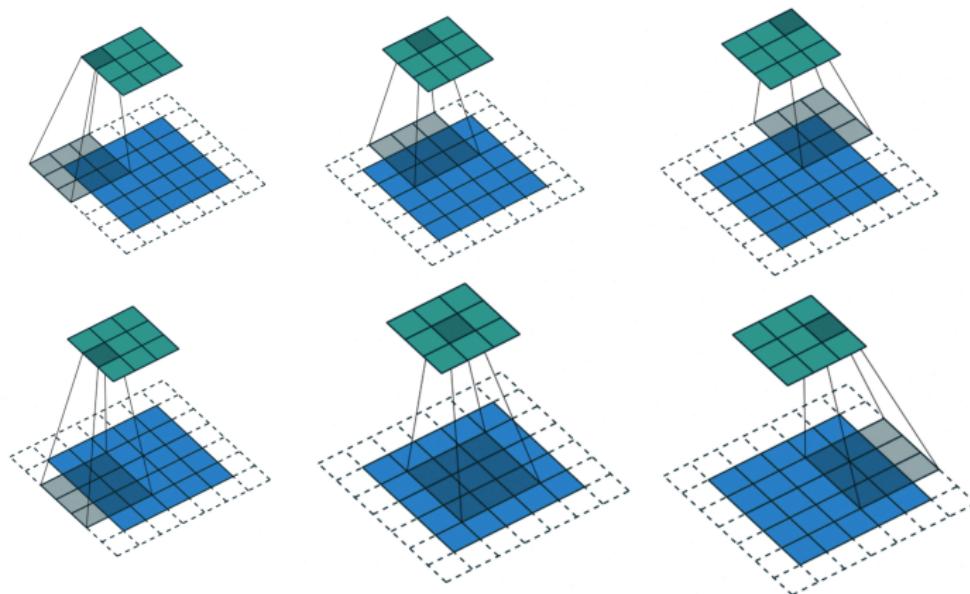
Learned Kernels

- Example kernels learned by AlexNet



Strides

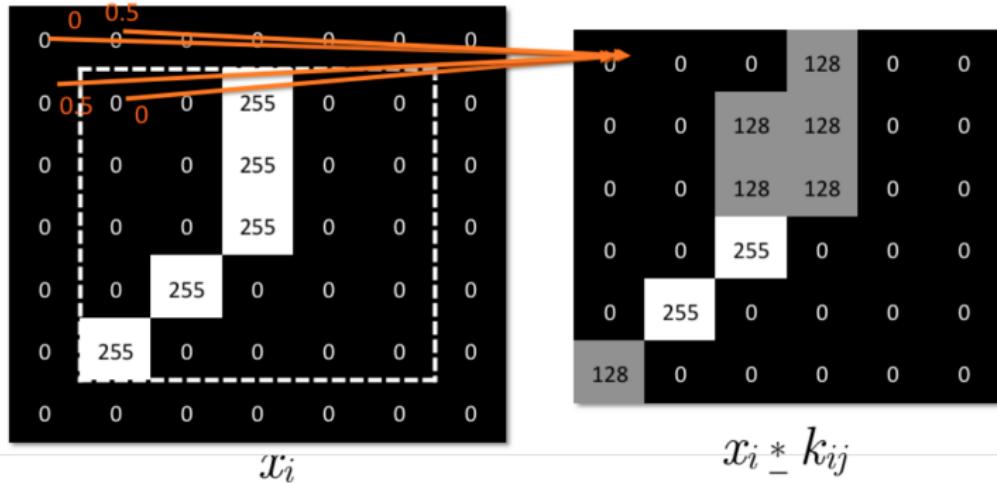
- Stride: The amount of movement between applications of the kernel to the input image
- Stride = (1, 1): no stride



stride = (2,2)

Padding

- Use **zero padding** to allow going over the boundary
 - Easier to control the size of output layer



Output Feature Map Shape

- The shape of a output feature map depends on: shape of the input feature map, kernel size, stride, padding, etc.

Shape:

- Input: $(N, C_{in}, H_{in}, W_{in})$ or (C_{in}, H_{in}, W_{in})
- Output: $(N, C_{out}, H_{out}, W_{out})$ or $(C_{out}, H_{out}, W_{out})$, where

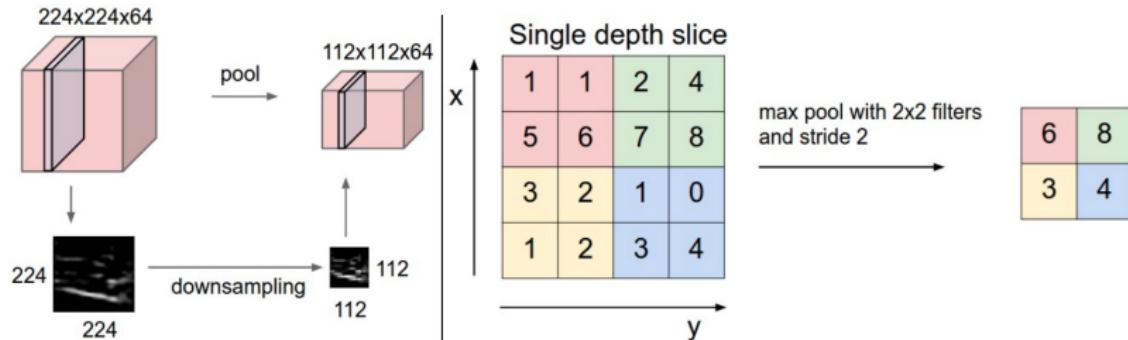
$$H_{out} = \left\lfloor \frac{H_{in} + 2 \times \text{padding}[0] - \text{dilation}[0] \times (\text{kernel_size}[0] - 1) - 1}{\text{stride}[0]} + 1 \right\rfloor$$

$$W_{out} = \left\lfloor \frac{W_{in} + 2 \times \text{padding}[1] - \text{dilation}[1] \times (\text{kernel_size}[1] - 1) - 1}{\text{stride}[1]} + 1 \right\rfloor$$

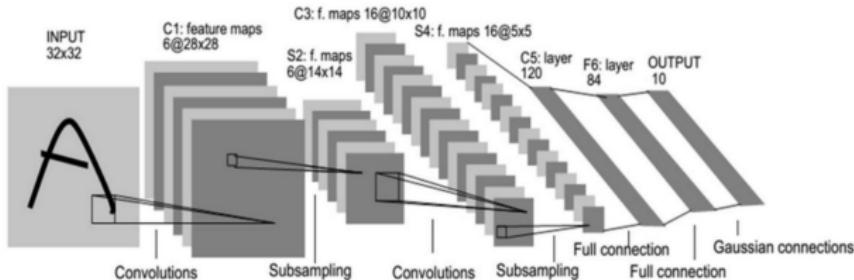
(The formula is taken from [pytorch conv2d document](#))

Pooling

- It's common to insert a **pooling layer** in-between successive convolutional layers
- Reduce the size of representation, down-sampling
- Example: **Max Pooling**



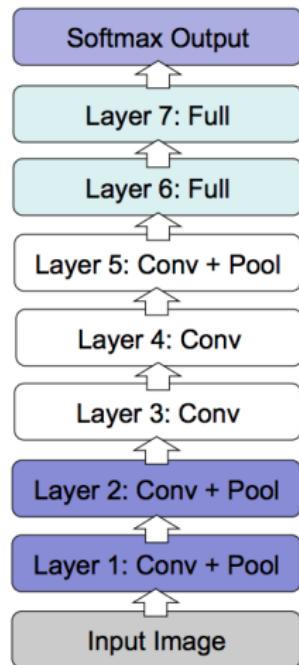
Example: LeNet5



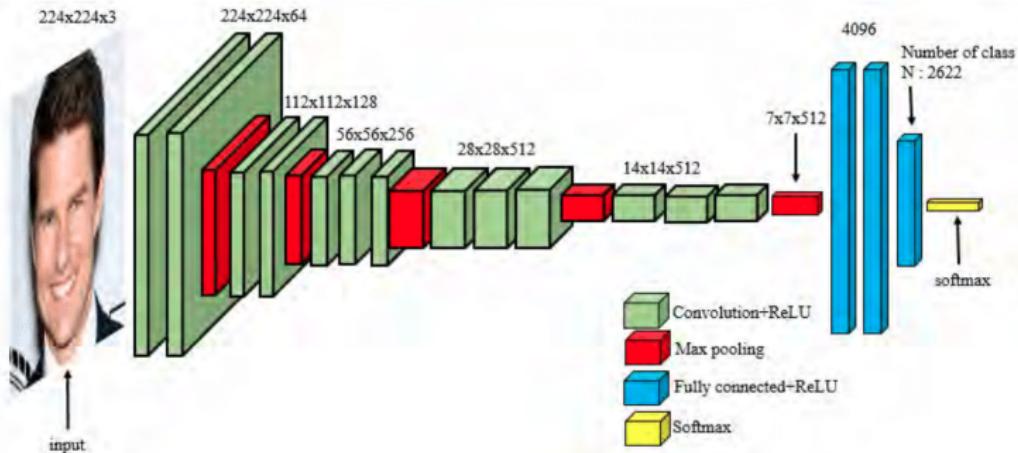
- Input: 32×32 images (MNIST)
- Convolution 1: 6 5×5 filters, stride 1
 - Output: 6 28×28 maps
- Pooling 1: 2×2 max pooling, stride 2
 - Output: 6 14×14 maps
- Convolution 2: 16 5×5 filters, stride 1
 - Output: 16 10×10 maps
- Pooling 2: 2×2 max pooling with stride 2
 - Output: 16 5×5 maps (total 400 values)
- 3 fully connected layers: $120 \Rightarrow 84 \Rightarrow 10$ neurons

AlexNet

- 8 layers in total, about 60 million parameters and 650,000 neurons.
- Trained on ImageNet dataset
“ImageNet Classification with Deep Convolutional Neural Networks”, by Krizhevsky, Sutskever and Hinton, NIPS 2012.

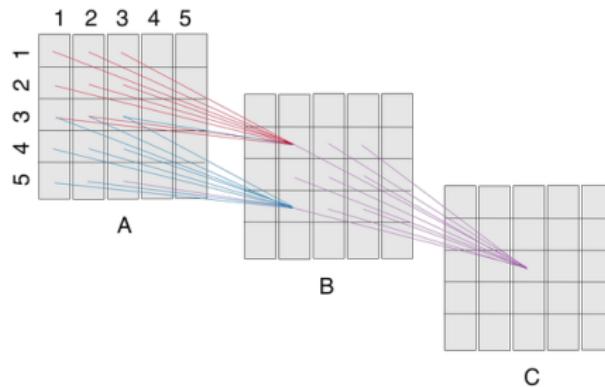


Example: VGG Network



What do the kernels learn?

- The **receptive field** of a neuron is the input region that can affect the neuron's output
- The receptive field for a first layer neuron is its neighbors (depending on kernel size) \Rightarrow capturing very local patterns
- For higher layer neurons, the receptive field can be much larger \Rightarrow capturing global patterns



Data Augmentation

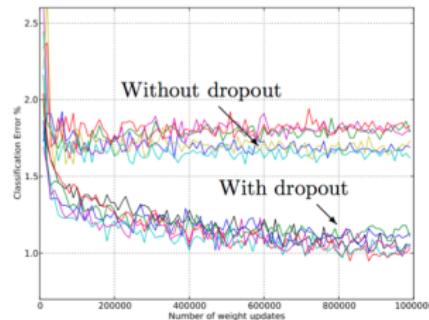
- Increase the size of data by
 - Rotation: random angle between $-\pi$ and π
 - Shift: 4 directions
 - Rescaling: random scaling up/down
 - Flipping
 - Gaussian noise
 - Many others
- Can be combined perfectly with SGD (augmentation when forming each batch)

Dropout: Regularization for neural network training

- One of the most effective regularization for deep neural networks!

Method	CIFAR-10	CIFAR-100
Conv Net + max pooling (hand tuned)	15.60	43.48
Conv Net + stochastic pooling (Zeiler and Fergus, 2013)	15.13	42.51
Conv Net + max pooling (Snoek et al., 2012)	14.98	-
Conv Net + max pooling + dropout fully connected layers	14.32	41.26
Conv Net + max pooling + dropout in all layers	12.61	37.20
Conv Net + maxout (Goodfellow et al., 2013)	11.68	38.57

Table 4: Error rates on CIFAR-10 and CIFAR-100.



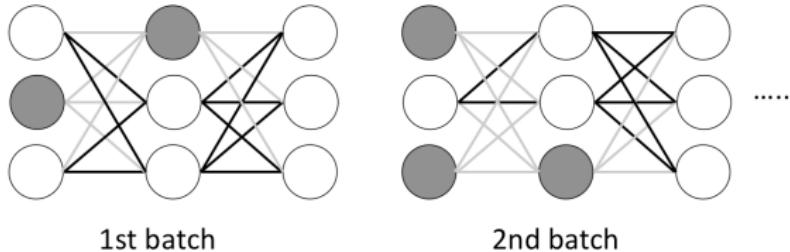
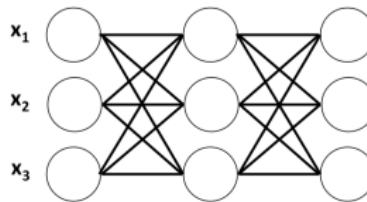
Srivastava et al, "Dropout: A Simple Way to Prevent Neural Networks from Overfitting", 2014.

Dropout (training)

Dropout in the **training** phase:

- For each batch, **turn off** each neuron (including inputs) with a probability $1 - \alpha$
- Zero out the removed nodes/edges and do backpropagation.

Full network



Dropout (test time)

- Training: Each neuron computes

$$x_i^{(l)} = B\sigma\left(\sum_j W_{ij}^{(l)} x_j^{(l-1)} + b_i^{(l)}\right)$$

where B is a Bernoulli variable that takes 1 with probability α

- The expected output of the neuron:

$$E[x_i^{(l)}] = \alpha\sigma\left(\sum_j W_{ij}^l x_j^{l-1} + b_i^l\right)$$

- Use the **expected output** at test time
⇒ multiply all the weights by α

Explanations of dropout

- For a network with n neurons, there are 2^n possible sub-networks
- Dropout: randomly sample over all 2^n possibilities
- Can be viewed as a way to learn Ensemble of 2^n models

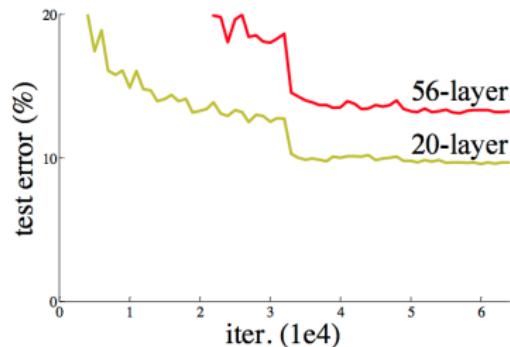
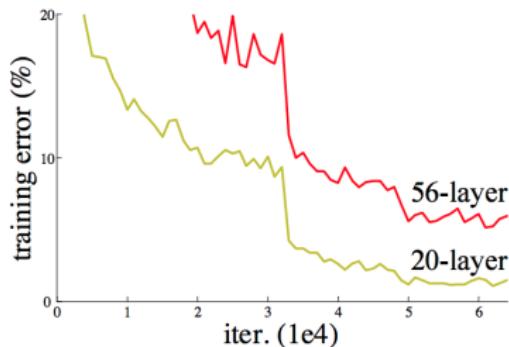
Revisit Alexnet

- Dropout: 0.5 (in FC layers)
- A lot of data augmentation
- Momentum SGD with batch size 128, momentum factor 0.9
- L2 weight decay (L2 regularization)
- Learning rate: 0.01, decreased by 10 every time when reaching a stable validation accuracy

Residual Networks

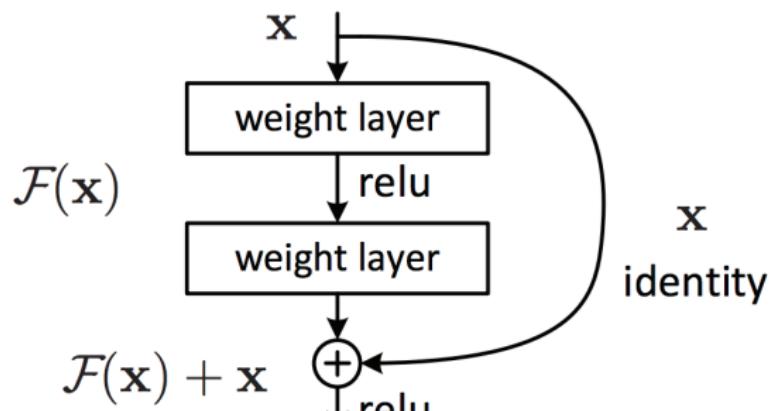
- Very deep convnets do not train well

vanishing gradient problem



Residual Networks

- Key idea: introduce “pass through” into each layer



- Thus, only residual needs to be learned

Residual Networks

method	top-1 err.	top-5 err.
VGG [41] (ILSVRC'14)	-	8.43 [†]
GoogLeNet [44] (ILSVRC'14)	-	7.89
VGG [41] (v5)	24.4	7.1
PReLU-net [13]	21.59	5.71
BN-inception [16]	21.99	5.81
ResNet-34 B	21.84	5.71
ResNet-34 C	21.53	5.60
ResNet-50	20.74	5.25
ResNet-101	19.87	4.60
ResNet-152	19.38	4.49

Table 4. Error rates (%) of **single-model** results on the ImageNet validation set (except \dagger reported on the test set).



Conclusions

- Convolution
- Pooling
- AlexNet

Questions?