

# STOR566: Introduction to Deep Learning

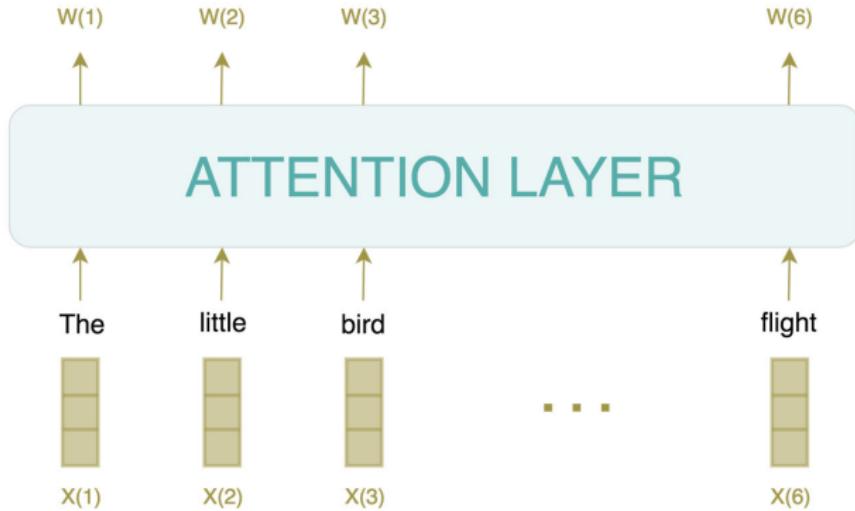
## Lecture 17: Transformers for Vision

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Materials are from *Deep Learning (UCLA)*

# Recap: Transformer for NLP

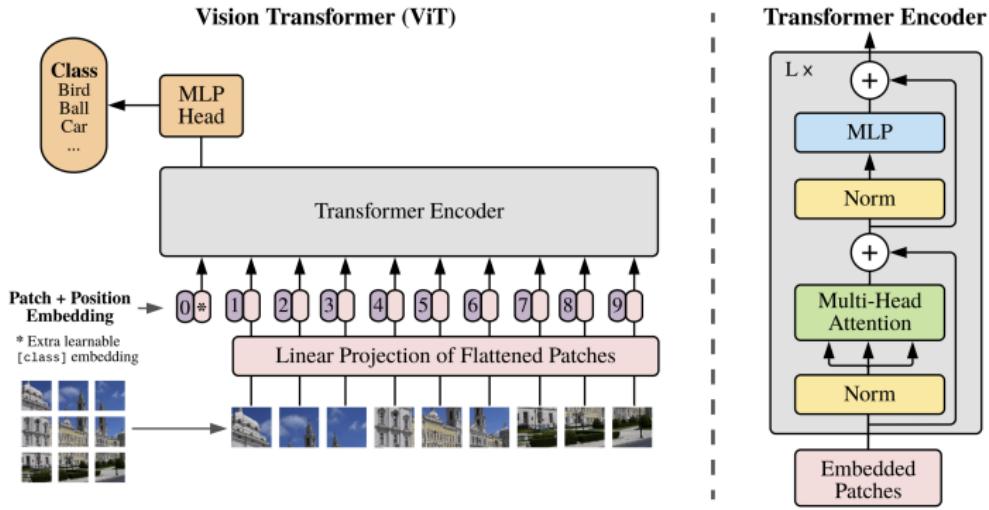


How can we apply it to computer vision?

# Vision Transformer (ViT)

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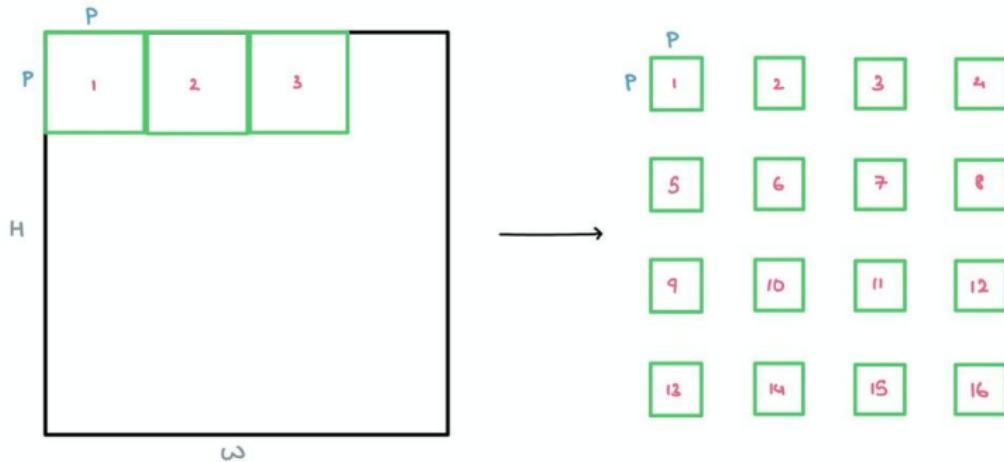
- Partition input image into  $K \times K$  patches
- A linear projection to transform each patch to feature (no convolution)
- Pass tokens into Transformer



(Dosovitskiy et al., 2020, "An Image is Worth 16x16 Words: Transformers for Image Recognition at Scale")

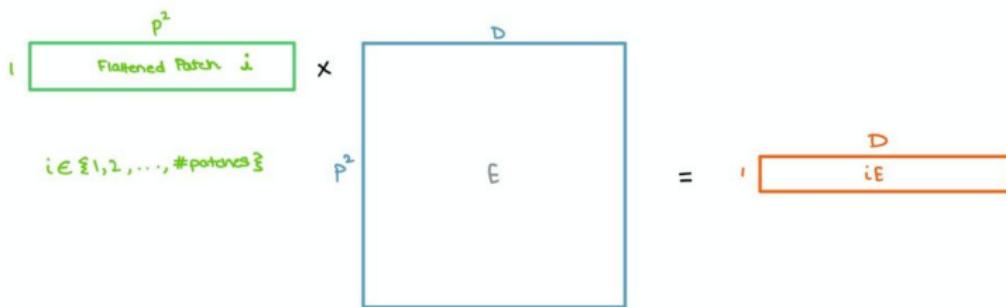
# ViT: Image processing

- Partition input image into  $K \times K$  patches



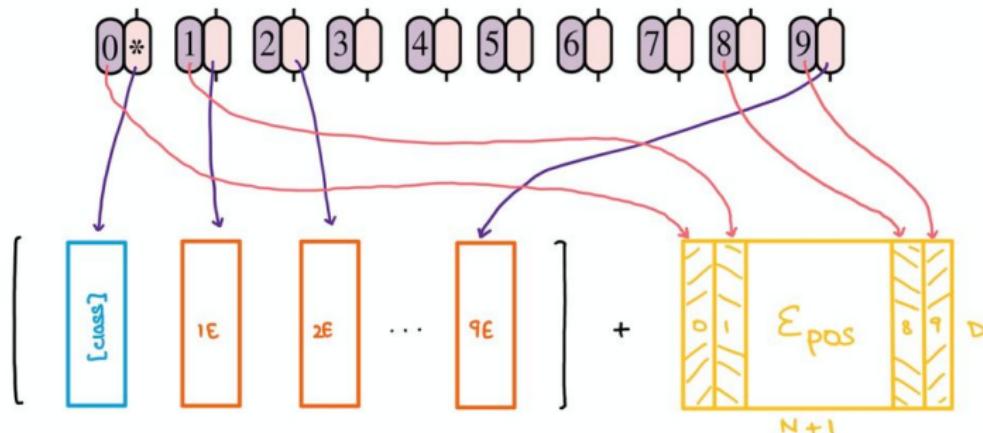
# ViT: Projection

- Flatten and projection to feature vector (no convolution)



# ViT: Positional encoding

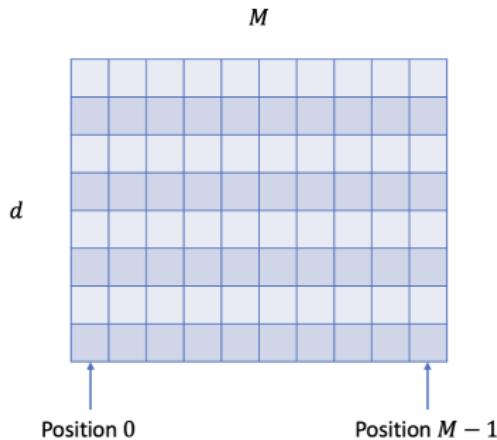
- Add positional encoding



$$= \begin{array}{c} [\text{class}] + \boxed{0} \\ 1\epsilon + \boxed{1} \\ \vdots \\ 9\epsilon + \boxed{9} \end{array}$$

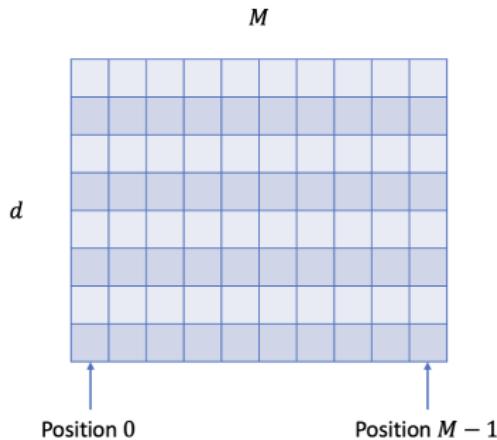
# ViT: Positional encoding

- For a maximum sequence length  $M$  and embedding dimension  $d$
- Positional matrix:  $E_{pos} \in R^{d \times M}$
- Every column corresponds to one position



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- DNN can learn it!

# Learnable positional embedding

Pros:

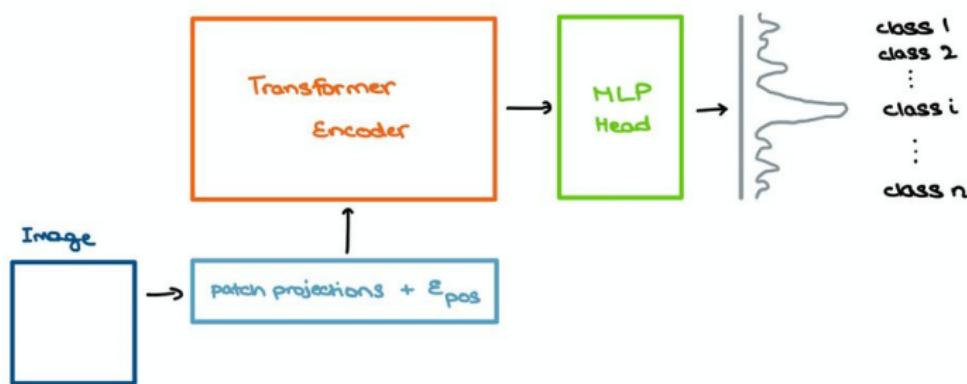
- Potentially capturing more complex positional relationships.
- Simple to implement and integrate into existing models.

Cons:

- Limits handling of longer sequences.
- Requires learning additional parameters.

# ViT: Class embedding

- Only outputs related to class embedding are fed into the MLP head

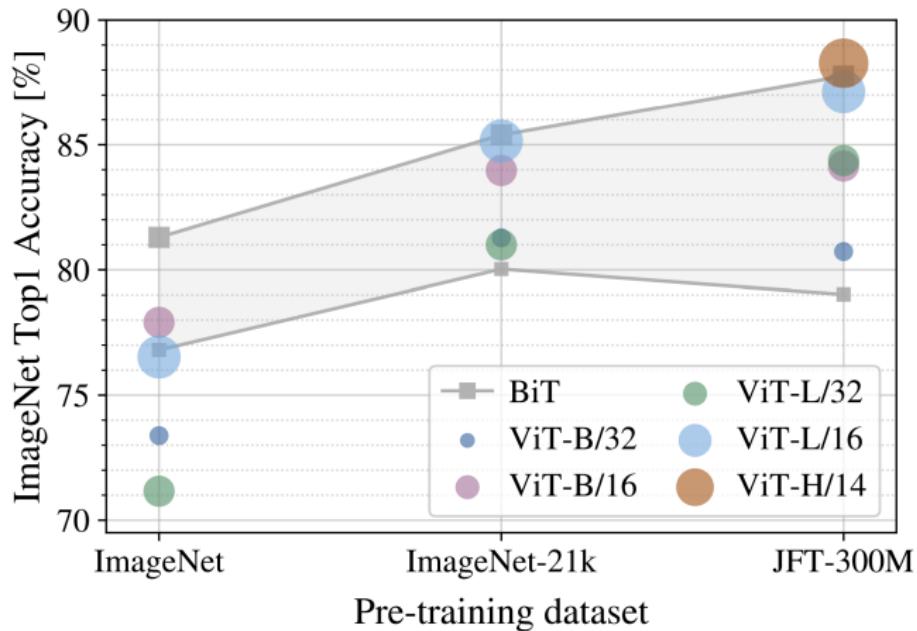


# Vision Transformer (ViT) Techniques

- Patches are non-overlapping in the original ViT
- $N \times N$  image  $\Rightarrow (N/K)^2$  tokens
- Smaller patch size  $\Rightarrow$  more input tokens
  - Higher computation (memory) cost, (usually) higher accuracy
- Use 1D (learnable) positional embedding
- Inference with higher resolution:
  - Keep the same patch size, which leads to longer sequence
- Use learnable class embedding

# ViT Performance

ViT outperforms CNN with large pretraining



# Attention maps of ViT (to input)

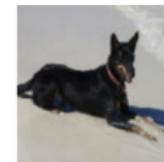
1



2



3



9



10



11



17



18

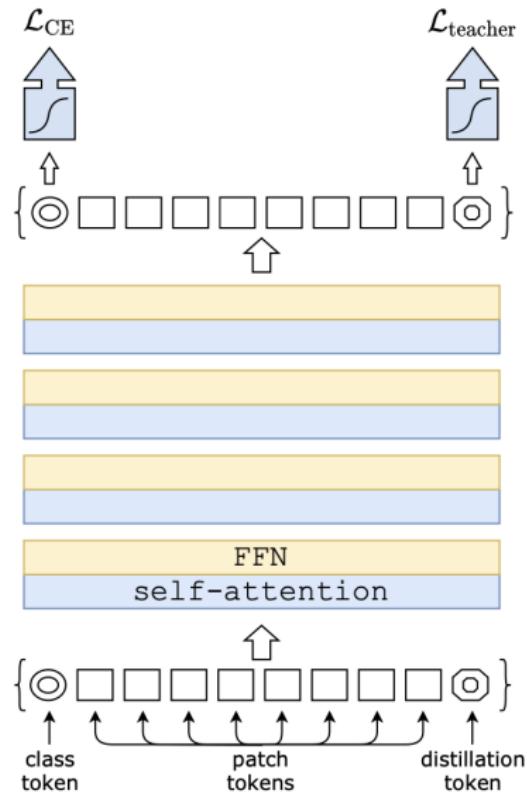


19



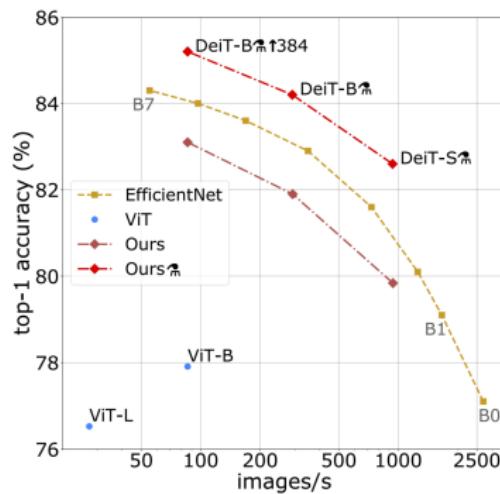
- Deit (Touvron et al., 2021):

- Distillation token to learn from a CNN teacher
- Match the output correspond to the distillation token to the output of a teacher network
- Learn from the CNN teachers who perform better on smaller datasets



# DeiT Performance

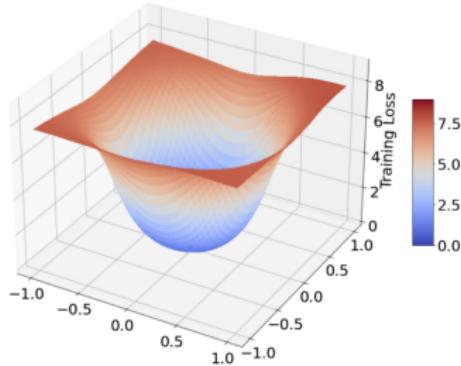
- Can ViT outperform CNN on ImageNet without pretraining?
- Train on ImageNet-1k train set
- Throughput vs. Accuracy:
  - Throughput: number of images processed per unit time
  - Accuracy: top-1 accuracy on ImageNet validation data



Ablation on ↓			top-1 accuracy										
	Pre-training	Fine-tuning	Rand-Augment	AutoAug	MixUp	CutMix	Erasing	Stoch. Depth	Repeated Aug.	Dropout	Exp. Moving Avg.	pre-trained 224 <sup>2</sup>	fine-tuned 384 <sup>2</sup>
none: DeiT-B	adamw	adamw	✓	✗	✓	✓	✓	✓	✓	✗	✗	81.8 ±0.2	83.1 ±0.1
optimizer	SGD	adamw	✓	✗	✓	✓	✓	✓	✓	✗	✗	74.5	77.3
data augmentation	adamw	adamw	✗	✓	✓	✓	✓	✓	✓	✗	✗	81.8	83.1
	adamw	adamw	✗	✓	✓	✓	✓	✓	✓	✗	✗	79.6	80.4
	adamw	adamw	✗	✓	✓	✓	✓	✓	✓	✗	✗	81.2	81.9
	adamw	adamw	✓	✗	✗	✓	✓	✓	✓	✗	✗	78.7	79.8
	adamw	adamw	✓	✗	✓	✗	✓	✓	✓	✗	✗	80.0	80.6
regularization	adamw	adamw	✓	✗	✗	✗	✓	✓	✓	✗	✗	75.8	76.7
	adamw	adamw	✓	✗	✓	✓	✓	✓	✓	✗	✗	4.3*	0.1
	adamw	adamw	✓	✗	✓	✓	✓	✓	✗	✓	✗	3.4*	0.1
	adamw	adamw	✓	✗	✓	✓	✓	✓	✓	✗	✓	76.5	77.4
	adamw	adamw	✓	✗	✓	✓	✓	✓	✓	✓	✓	81.3	83.1

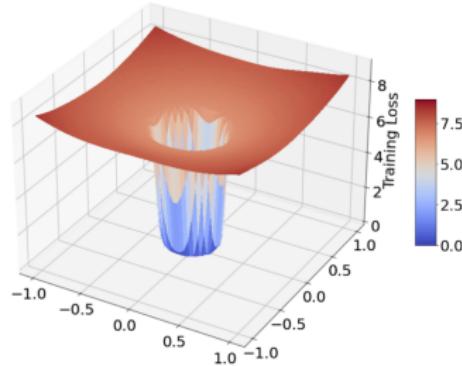
# ViT vs. ResNet

- ViT tends to converge to sharper regions than ResNet



(a) ResNet

Leading eigenvalue of  
Hessian: **179.8**



(b) ViT

Leading eigenvalue of  
Hessian: **738.8**

# “Sharpness” is related to generalization

- Testing can be viewed as a slightly perturbed training distribution
- Sharp minimum  $\Rightarrow$  performance degrades significantly from training to testing

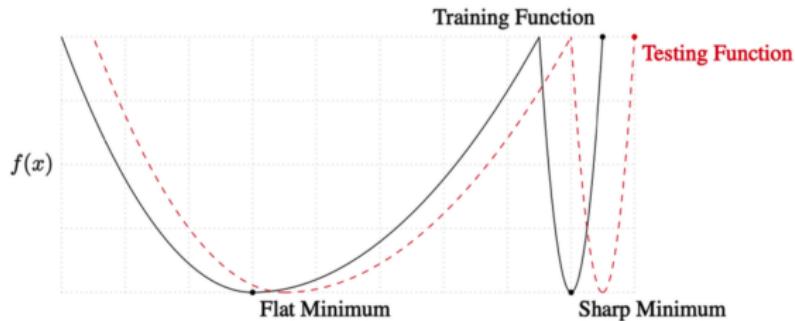


Figure from (Keskar et al., 2017)

# Sharpness Aware Minimization (SAM)

- Optimize the worst-case loss within a small neighborhood

$$\min_w \max_{\|\delta\|_2 \leq \epsilon} L(w + \delta)$$

$\epsilon$  is a small constant (hyper-parameter)

- Use 1-step gradient ascent to approximate inner max:

$$\hat{\delta} = \arg \max_{\|\delta\|_2 \leq \epsilon} L(w + \delta)$$

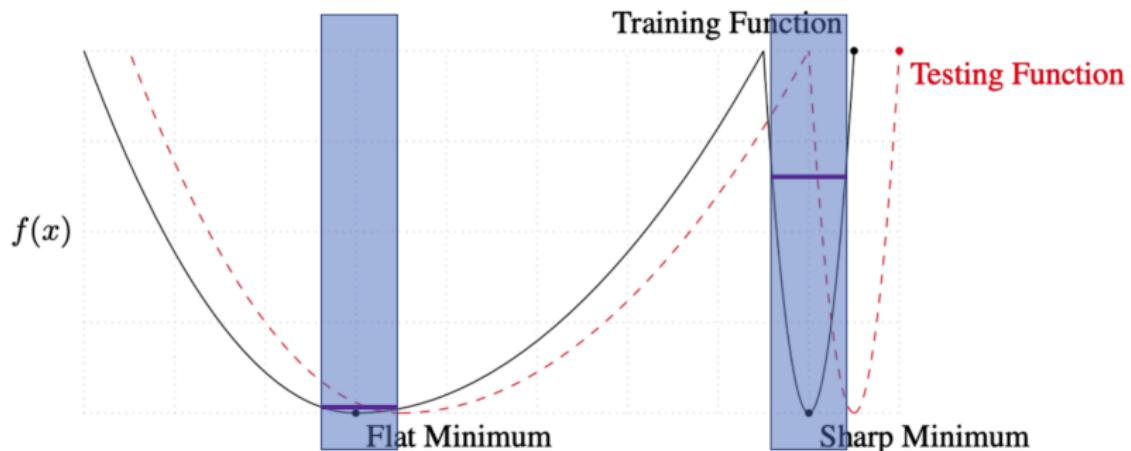
- Conduct the following update for each iteration:

$$w \leftarrow w - \alpha \nabla L(w + \hat{\delta})$$

(Foret et al., 2020, "Sharpness-Aware Minimization for Efficiently Improving Generalization")

# Sharpness Aware Minimization (SAM)

SAM is a natural way to penalize sharpness region (but requires some computational overhead)



# SAM Performance

- When both trained by SAM, ViT outperforms ResNet on ImageNet (without pretraining, strong augmentation, distillation)

Model	#params	Throughput (img/sec/core)	ImageNet	Real	V2	ImageNet-R	ImageNet-C
<b>ResNet</b>							
ResNet-50-SAM	25M	2161	76.7 (+0.7)	83.1 (+0.7)	64.6 (+1.0)	23.3 (+1.1)	46.5 (+1.9)
ResNet-101-SAM	44M	1334	78.6 (+0.8)	84.8 (+0.9)	66.7 (+1.4)	25.9 (+1.5)	51.3 (+2.8)
ResNet-152-SAM	60M	935	79.3 (+0.8)	84.9 (+0.7)	67.3 (+1.0)	25.7 (+0.4)	52.2 (+2.2)
ResNet-50x2-SAM	98M	891	79.6 (+1.5)	85.3 (+1.6)	67.5 (+1.7)	26.0 (+2.9)	50.7 (+3.9)
ResNet-101x2-SAM	173M	519	80.9 (+2.4)	86.4 (+2.4)	69.1 (+2.8)	27.8 (+3.2)	54.0 (+4.7)
ResNet-152x2-SAM	236M	356	81.1 (+1.8)	86.4 (+1.9)	69.6 (+2.3)	28.1 (+2.8)	55.0 (+4.2)
<b>Vision Transformer</b>							
ViT-S/32-SAM	23M	6888	70.5 (+2.1)	77.5 (+2.3)	56.9 (+2.6)	21.4 (+2.4)	46.2 (+2.9)
ViT-S/16-SAM	22M	2043	78.1 (+3.7)	84.1 (+3.7)	65.6 (+3.9)	24.7 (+4.7)	53.0 (+6.5)
ViT-S/14-SAM	22M	1234	78.8 (+4.0)	84.8 (+4.5)	67.2 (+5.2)	24.4 (+4.7)	54.2 (+7.0)
ViT-S/8-SAM	22M	333	81.3 (+5.3)	86.7 (+5.5)	70.4 (+6.2)	25.3 (+6.1)	55.6 (+8.5)
ViT-B/32-SAM	88M	2805	73.6 (+4.1)	80.3 (+5.1)	60.0 (+4.7)	24.0 (+4.1)	50.7 (+6.7)
ViT-B/16-SAM	87M	863	79.9 (+5.3)	85.2 (+5.4)	67.5 (+6.2)	26.4 (+6.3)	56.5 (+9.9)
<b>MLP-Mixer</b>							
Mixer-S/32-SAM	19M	11401	66.7 (+2.8)	73.8 (+3.5)	52.4 (+2.9)	18.6 (+2.7)	39.3 (+4.1)
Mixer-S/16-SAM	18M	4005	72.9 (+4.1)	79.8 (+4.7)	58.9 (+4.1)	20.1 (+4.2)	42.0 (+6.4)
Mixer-S/8-SAM	20M	1498	75.9 (+5.7)	82.5 (+6.3)	62.3 (+6.2)	20.5 (+5.1)	42.4 (+7.8)
Mixer-B/32-SAM	60M	4209	72.4 (+9.9)	79.0 (+10.9)	58.0 (+10.4)	22.8 (+8.2)	46.2 (12.4)
Mixer-B/16-SAM	59M	1390	77.4 (+11.0)	83.5 (+11.4)	63.9 (+13.1)	24.7 (+10.2)	48.8 (+15.0)
Mixer-B/8-SAM	64M	466	79.0 (+10.4)	84.4 (+10.1)	65.5 (+11.6)	23.5 (+9.2)	48.9 (+16.9)

(Chen et al., 2021, "When vision transformers outperform ResNets without pre-training or strong data augmentations")

## ViT v.s. ResNet (representation power)

- Let's compare one ViT layer vs one convolution layer
- Reception field: (which input neurons can affect an output neuron)
  - CNN: some subarea of image (kernel size)
  - Self-attention: the whole image
  - $\Rightarrow$  there exists self-attention function that cannot be captured by convolution

# Conclusions

- A brief introduction of Vision Transformer.

Questions?