

LANGUAGE-BASED INTERACTIVE TESTING

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ABSTRACT

LANGUAGE-BASED INTERACTIVE TESTING

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Benjamin C. Pierce

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CHAPTER 1

Introduction

We trust programs by testing them, but how do we trust our testers?

Software engineering requires rigorous testing of rapidly evolving programs, which costs manpower comparable to developing the product itself. To guarantee programs' compliance with the specification, we need testers that can tell compliant implementations from violating ones.

1.1. Interactive Testing

Suppose we want to test a web server that supports GET and PUT methods:

```
CoFixpoint server (data: key → value) :=  
  request ← recv;;  
  match request with  
  | GET k    ⇒ send (data k);; server data  
  | PUT k v ⇒ send Done   ;; server (data [k ↦ v])  
end.
```

We can write a tester client that interacts with the server and determines whether it behaves correctly:

```
CoFixpoint tester (data: key → value) :=  
  request ← random;;  
  send request;;  
  response ← recv;;  
  match request with  
  | GET k    ⇒ if response =? data k  
                then tester data  
                else reject  
  | PUT k v ⇒ if response =? Done  
                then tester (data [k ↦ v])  
                else reject  
end.
```

This tester implements a reference server internally that computes the expected behavior. The behavior is then compared against that produced by the system under test (SUT). The tester rejects the SUT upon any difference from the computed expectation.

Such method only works for deterministic systems whose behavior can be precisely computed from its input.

Many systems are allowed to behave nondeterministically. For example, HTTP/1.1 servers may generate entity tags (ETags) to represent its resources’ versions [6]. Servers may implement ETags with arbitrary algorithms *e.g.* hashing, timestamps *etc.*

If the client cannot compute the expected behavior, then it should check whether the observed behavior is valid or not. This requires specifying the space of valid behavior, and determining whether a given behavior is included in that space.

Rigorous testing requires a rigorous specification of the protocol that we expect the server to obey. Protocol specifications can be written as (i) a *server model* that describes *how* valid servers should handle messages, or (ii) a *property* that defines *what* server behaviors are valid. From these specifications, we can conduct (i) *model-based testing* [4] or (ii) *property-based testing* [7], respectively.

When testing server implementations against protocol specifications, one critical challenge is *nondeterminism*, which arises in two forms—we call them (1) *internal nondeterminism* and (2) *network nondeterminism*:

(1) *Within* the server, correct behavior may be underspecified. For example, to handle HTTP conditional requests [6], a server generates strings called entity tags (ETags), but the RFC specification does not limit what values these ETags should be. Thus, to create test messages containing ETags, the tester must remember and reuse the ETags it has been given in previous messages from the server.

(2) *Beyond* the server, messages and responses between the server and different clients might be delayed and reordered by the network and operating-system buffering. If the tester cannot control how the execution environment reorders messages—*e.g.*, when testing over the Internet—it needs to specify what servers are valid as observed over the network.

These sources of nondeterminism pose challenges in various aspects of testing network protocols: (i) The *validation logic* should accept various implementations, as long as the behavior is included in the specification’s space of uncertainties; (ii) To capture bugs effectively, the *test harness* should generate test cases based on runtime observations; (iii) When *shrinking* a counterexample, the test harness should adjust the test cases based on the server’s behavior, which might vary from one execution to another.

To address these challenges, I introduce symbolic languages for writing specifications and representing test cases:

(i) The specification is written as a reference implementation—a nondeterministic program that exhibits all possible behavior allowed by the protocol. Inter-implementation and inter-execution uncertainties are represented by symbolic variables, and the space of nondeterministic behavior is defined by all possible assignments of the variables.

The validation logic is derived from the reference implementation, by *dualising* the server-side program into a client-side observer.

(ii) Test generation heuristics are defined as computations from the observed trace (list of sent and received messages) to the next message to send. I introduce a symbolic intermediate representation for specifying the relation between the next message and previous messages.

(iii) The symbolic language for generating test cases also enables effective shrinking of test cases. The test harness minimizes the counterexample by shrinking its symbolic representation. When running the test with a shrunk input, the symbolic representations can be re-instantiated into request messages that reflect the original heuristics.

1.2. Contribution

Symbolic abstract representation can address challenges in testing networked systems with uncertain behavior. Specifying protocols with symbolic reference implementation enables validating the system’s behavior systematically. Representing test input as abstract messages allows generating and shrinking interesting test cases. Combining these methods result in a rigorous tester that can capture protocol violations effectively.

1.3. Outline

This thesis is structured as follows:

CHAPTER 2

Motivation

The Deep Specification project [2] aims at building a web server and guarantee its functional correctness with respect to formal specification of the network protocol.

HTTP/1.1 requests can be conditional: if the client has a local copy of some resource and the copy on the server has not changed, then the server needn't resend the resource. To achieve this, an HTTP/1.1 server may generate a short string, called an “entity tag” (ETag), identifying the content of some resource, and send it to the client:

<i>/* Client: */</i>	<i>/* Server: */</i>
GET /target HTTP/1.1	HTTP/1.1 200 OK
	ETag: "tag-foo"
	... content of /target ...

The next time the client requests the same resource, it can include the ETag in the GET request, informing the server not to send the content if its ETag still matches:

<i>/* Client: */</i>	<i>/* Server: */</i>
GET /target HTTP/1.1	HTTP/1.1 304 Not Modified
If-None-Match: "tag-foo"	

If the ETag does not match, the server responds with code 200 and the updated content as usual.

Similarly, if a client wants to modify the server's resource atomically by compare-and-swap, it can include the ETag in the PUT request as `If-Match` precondition, which instructs the server to only update the content if its current ETag matches:

<i>/* Client: */</i>	<i>/* Server: */</i>
PUT /target HTTP/1.1	HTTP/1.1 204 No Content
If-Match: "tag-foo"	
... content (A) ...	

<pre>/* Client: */ GET /target HTTP/1.1</pre>	<pre>/* Server: */ HTTP/1.1 200 OK ETag: "tag-bar" ... content (A) ...</pre>
---	--

If the ETag does not match, then the server should not perform the requested operation, and should reject with code 412:

<pre>/* Client: */ PUT /target HTTP/1.1 If-Match: "tag-baz" ... content (B) ...</pre>	<pre>/* Server: */ HTTP/1.1 412 Precondition Failed</pre>
---	---

<pre>/* Client: */ GET /target HTTP/1.1</pre>	<pre>/* Server: */ HTTP/1.1 200 ok ETag: "tag-bar" ... content (A) ...</pre>
---	--

If the tag does not match, the server responds with code 200 and the updated content as usual. Similarly, if a client wants to modify the server’s resource atomically by compare-and-swap, it can include the ETag in the PUT request as `If-Match` precondition, which instructs the server to only update the content if its current ETag matches.

Thus, whether a server’s response should be judged *valid* or not depends on the ETag it generated when creating the resource. If the tester doesn’t know the server’s internal state (*e.g.*, before receiving any 200 response including the ETag), and cannot enumerate all of them (as ETags can be arbitrary strings), then it needs to maintain a space of all possible values, narrowing the space upon further interactions with the server.

It is possible, but tricky, to write an ad hoc tester for HTTP/1.1 by manually “dualizing” the behaviors described by the informal specification documents (RFCs). The protocol document describes *how* a valid server should handle requests, while the tester needs to determine *what* responses received from the server are valid. For example, “If the server has revealed some resource’s ETag as `"foo"`, then it must not reject requests targetting this resource conditioned over `If-Match: "foo"`, until the resource has been modified”; and “Had the server previously rejected an `If-Match` request, it must reject the same request until its target has been modified.” Figure 2.1 shows a hand-written tester for checking this bit of ETag functionality; we hope the reader will agree that this testing logic is not straightforward to derive from the informal “server’s eye” specifications.

Networked systems are naturally concurrent, as a server can be connected with multiple clients. The network might delay packets indefinitely, so messages sent via

```

(* update : (K → V) * K * V → (K → V) *)
let check (trace : stream http_message,
          data   : key → value,
          is      : key → etag,
          is_not  : key → list etag) =
match trace with
| PUT(k,t,v) :: SUCCESSFUL :: tr' ⇒
  if t ∈ is_not[k] then reject
  else if is[k] == unknown
    ∨ strong_match(is[k],t)
    then let d' = update(data,k,v) in
         let i' = update(is,k,unknown) in
         let n' = update(is_not,k,[]) in
         (* Now the tester knows that
            * the data in [k] is updated to [v],
            * but its new ETag is unknown. *)
         check(tr',d',i',n')
    else reject
| PUT(k,t,v) :: PRECONDITION_FAILED :: tr' ⇒
  if strong_match(is[k],t) then reject
  else let n' = update(is_not, k, t::is_not[k])
    (* Now the tester knows that
       * the ETag of [k] is other than [t]. *)
    in check(tr',data,is,n')
| GET(k,t) :: NOT_MODIFIED :: tr' ⇒
  if t ∈ is_not[k] then reject
  else if is[k] == unknown ∨ weak_match(is[k],t)
    then let i' = update(is,k,t) in
    (* Now the tester knows that
       * the ETag of [k] is equal to [t]. *)
    check(tr',data,i',is_not)
  else reject
| GET(k,t0) :: OK(t,v) :: tr' ⇒
  if weak_match(is[k],t0) then reject
  else if data[k] ≠ unknown ∧ data[k] ≠ v
    then reject
    else let d' = update(data,k,v) in
         let i' = update(is, k,t) in
         (* Now the tester knows
            * the data and ETag of [k]. *)
         check(tr',d',i',is_not)
| _ :: _ :: _ ⇒ reject
end

```

FIGURE 2.1. Ad hoc tester for HTTP/1.1 conditional requests, demonstrating how tricky it is to write the logic by hand. The checker determines whether a one-client-at-a-time `trace` is valid or not. The trace is represented as a stream (infinite linked list, constructed by “::”) of HTTP messages sent and received. `PUT(k,t,v)` represents a PUT request that changes `k`’s value into `v` only if its ETag matches `t`;

different channels may be reordered during transmission. When the tester observes messages sent and received on the client side, it should allow all observations that can be explained by the combination of a valid server + a reasonable network environment between the server and clients.

CHAPTER 3

Validator Theory

CHAPTER 4

Validator in Practice

4.1. Specification Languages

4.1.1. Property-based specification with QuickChick. My first formal specification of HTTP/1.1 was written as QuickChick [10] properties, which takes a trace of requests, and determines whether the traces is valid per protocol specification, like that shown in Figure 2.1. The specification implemented a constraint solving logic by hand, making it hard to scale when the protocol becomes more complex, as discussed in ??

4.1.2. Model-based specification with ITrees. To write specifications for protocols’ rich semantics, I employed “interaction tree” (ITree), a generic data structure for representing interactive programs, introduced by Xia et al. [14]. ITree enables specifying protocols as monadic programs that model valid implementations’ possible behavior. The model program can be interpreted into a tester program, to be discussed in Section 4.2.

Figure 4.1 defines the type `itree E R`. The definition is *coinductive*, so that it can represent potentially infinite sequences of interactions, as well as divergent behaviors. The parameter `E` is a type of *external interactions*—it defines the interface by which a computation interacts with its environment. `R` is the *result* of the computation: if the computation halts, it returns a value of type `R`.

```
CoInductive itree (E : Type → Type) (R : Type) :=
| Ret (r : R)
| Vis {X : Type} (e : E X) (k : X → itree E R)
| Tau (t : itree E R).
```

```
Inductive event (E : Type → Type) : Type :=
| Event : forall X, E X → X → event E.
```

```
Definition trace E := list (event E)
```

```
Inductive is_trace E R
: itree E R → trace E → Prop := ...
(* straightforward definition omitted *)
```

FIGURE 4.1. Interaction trees and their traces of events.

There are three ways to construct an ITree. The `Ret r` constructor corresponds to the trivial computation that halts and yields the value `r`. The `Tau t` constructor corresponds to a silent step of computation, which does something internal that does not produce any visible effect and then continues as `t`. Representing silent steps explicitly with `Tau` allows us, for example, to represent diverging computation without violating Coq’s guardedness condition [5]:

```
CoFixpoint spin {E R} : itree E R := Tau spin.
```

The final, and most interesting, way to build an ITree is with the `Vis X e k` constructor. Here, `e : E X` is a “visible” external effect (including any outputs provided by the computation to its environment) and `X` is the type of data that the environment provides in response to the event. The constructor also specifies a continuation, `k`, which produces the rest of the computation given the response from the environment. `Vis` creates branches in the interaction tree because `k` can behave differently for distinct values of type `X`.

Here is a small example that defines a type `IO` of output or input interactions, each of which works with natural numbers. It is then straightforward to define an ITree computation that loops forever, echoing each input received to the output:

```
Variant IO : Type → Type :=
| Input  : IO nat
| Output : nat → IO ().

CoInductive echo : itree IO () :=
  Vis Input (fun x ⇒ Vis (Output x) (fun _ ⇒ echo)).
```

4.2. From Specification to Tester

From an ITree specification, I conducted “offline” testing, which takes a trace and determines its validity [9], and “online” testing, where the specification is derived into a client program that validates the system under test interactively [11].

4.2.1. Offline testing of swap server. I started with testing a simple “swap server” [9], specified in Figure 4.2. The specification says that the server can either accept a connection with a new client (`obs_connect`) or else receive a message from a client over some established connection (`obs_msg_to_server c`), send back the current stored message (`obs_msg_from_server c last_msg`), and then start over with the last received message as the current state.

To test this swap server, I wrote a client program that interacts with the server and produces a trace of requests and responses, and a function that determines whether the trace `t` is a trace of the linear specification `s` *i.e.* whether `is_trace s t` in Figure 4.1 holds.

To network nondeterminism, the checker enumerates all possible server-side message orders that can explain the client-side observations, and checks if any of them satisfies the protocol specification.


```

CoFixpoint linear_spec' (conns : list connection_id)
  (last_msg : bytes) : itree specE unit :=
or ( (* Accept a new connection. *)
  c ← obs_connect;;
  linear_spec' (c :: conns) last_msg )
( (* Exchange a pair of messages on a connection. *)
  c ← choose conns;;
  msg ← obs_msg_to_server c;;
  obs_msg_from_server c last_msg;;
  linear_spec' conns msg ).

```

Definition linear_spec := linear_spec' [] zeros.

FIGURE 4.2. Linear specification of the swap server. In the `linear_spec'` loop, the parameter `conns` maintains the list of open connections, while `last_msg` holds the message received from the last client (which will be sent back to the next client). The server repeatedly chooses between accepting a new connection or doing a receive and then a send on some existing connection picked in the list `conns`. The linear specification is initialized with an empty set of connections and a message filled with zeros.

4.2.2. Online testing of HTTP. To test protocols with internal nondeterminism (*e.g.* HTTP) effectively, I introduced a symbolic representation for the server’s invisible choices, as shown in Figure 4.3. I then defined a TCP network model in Figure 4.4. Combining the server and network models produces a model program that exhibits all valid observations, considering both internal and network nondeterminism.

From the server and network models, I derived a tester client that interacts with servers over the network, and validates the observations against the protocol specification, as shown in Figure 4.5.

Using this automatically derived tester program, I have found violations against HTTP/1.1 in the latest version of both Apache and Nginx. More details are explained in Li, Pierce, and Zdancewic [11].

4.2.3. Key innovation. To solve the problem of “determinining whether an observation is explainable by a nondeterministic program”, I reduced it into a constraint satisfiability: Although the tester doesn’t know the server and network’s exact choices, it can gain some knowledge of these invisible choices by observing the trace of messages. If the invisible choices are represented as symbolic variables, then an observed trace is valid if there exists some value for the variables that explains this trace, which can be determined by a constraint solver.

```

(* matches : (etag * exp etag) → exp bool *)
(* IF      : (exp bool * T * T) → T      *)
let put (k    : key,
         t    : etag,
         v    : value,
         data : key → value,
         xtag : key → exp etag) =
  IF (matches(t, xtag[k]),
      (* then *)
      xt := fresh_tag();
      let xtag' = update(xtag, k, xt) in
      let data' = update(data, k, v) in
      return (OK, xtag', data'),
      (* else *)
      return (PreconditionFailed, xtag, data))

```

FIGURE 4.3. Symbolic model handling conditional PUT request. The model maintains two states: `data` that maps keys to their values, and `xtag` that maps keys to symbolic variables that represent their corresponding ETags. Upon receiving a PUT request conditioned over “If-Match: `t`”, the server should decide whether the request ETag `matches` that stored in the server. Upon matching, the server processes the PUT request, and represents the updated value’s ETag as a fresh variable.

```

let tcp (buffer : list packet) =
  let absorb =
    pkt := recv();
    tcp (buffer ++ [pkt]) in
  let emit =
    let pkts = oldest_in_each_conn(buffer) in
    pkt := pick_one(pkts);
    send(pkt);
    tcp (remove(pkt, buffer)) in
  or (absorb, emit)

```

FIGURE 4.4. Network model for concurrent TCP connections. The model maintains a `buffer` of all packets en route. In each cycle, the model may nondeterministically branch to either absorb or emit a packet. Any absorbed packet is appended to the end of buffer. When emitting a packet, the model may choose a connection and send the oldest packet in it.

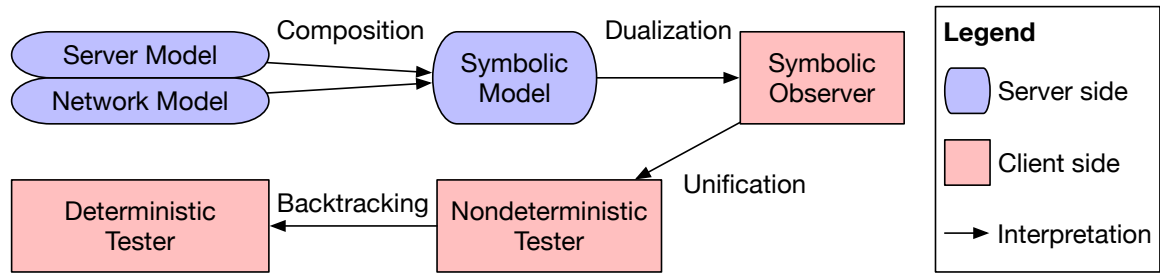


FIGURE 4.5. Deriving tester program from specification

CHAPTER 5

Test Harness Design

CHAPTER 6

Related Work

6.1. Specifying and Testing Protocols

Modelling languages for specifying protocols can be partitioned into three styles, according to Anand et al. [1]: (1) *Process-oriented* notations that describe the SUT's behavior in a procedural style, using various domain-specific languages like our interaction trees; (2) *State-oriented* notations that specify what behavior the SUT should exhibit in a given state, which includes variants of labelled transition systems (LTS); and (3) *Scenario-oriented* notations that describe the expected behavior from an outside observer's point of view (*i.e.*, “god’s-eye view”).

The area of model-based testing is well-studied, diverse, and difficult to navigate [1]. Here we focus on techniques that have been practiced in testing real-world programs, which includes notations (1) and (2). Notation (3) is infeasible for protocols with nontrivial nondeterminism, because the specification needs to define observer-side knowledge of the SUT's all possible internal states, making it complex to implement and hard to reason about, as shown in Figure 2.1.

Language of Temporal Ordering Specification (LOTOS) [Bolognesi1987] is the ISO standard for specifying OSI protocols. It defines distributed concurrent systems as *processes* that interact via *channels*, and represents internal nondeterminism as choices among processes.

Using a formal language strongly inspired by LOTOS, Tretmans and Laar [13] implemented a test generation tool for symbolic transition systems called TorXakis, which has been used for testing Dropbox [13].

TorXakis provides limited support for internal nondeterminism. Unlike our testing framework that incorporates symbolic evaluation, TorXakis enumerates all possible values of internally generated data, until finding a corresponding case that matches the tester's observation. This requires the server model to generate data within a reasonably small range, and thus cannot handle generic choices like HTTP entity tags, which can be arbitrary strings.

Bishop et al. [3] have developed rigorous specifications for transport-layer protocols TCP, UDP, and the Sockets API, and validated the specifications against mainstream implementations in FreeBSD, Linux, and WinXP. Their specification represents internal nondeterminism as symbolic states of the model, which is then evaluated using a special-purpose symbolic model checker. They focused on developing a post-hoc specification that matches existing systems, and wrote a separate tool for generating test cases.

6.2. Reasoning about Network Delays

For property-based testing against distributed applications like Dropbox, Hughes et al. [8] have introduced “conjectured events” to represent uploading and downloading events that nodes may perform at any time invisibly.

Sun, Xu, and Elbaum [12] symbolised the time elapsed to transmit packets from one end to another, and developed a symbolic-execution-based tester that found transmission-related bugs in Linux TFTP upon certain network delays. Their tester used a fixed trace of packets to interact with the server, and the generated test cases were the packets’ delay time.

CHAPTER 7

Discussions

CHAPTER 8

Conclusion

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