# ZINIU LI

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## **EDUCATION**

The Chinese University of Hong Kong, Shenzhen, Shenzhen, China

August 2020 - Present

Ph.D., School of Data Science. Advisor: Zhi-Quan (Tom) Luo.

Xi'an Jiaotong University, Xi'an, China

August 2015 - June 2019

B.E., School of Electrical Engineering.

Advisor: Zhiyuan Liu.

## RESEARCH INTERESTS

Artificial intelligence, machine learning, reinforcement learning, and large foundation models.

#### WORK EXPERIENCE

Tencent, Shenzhen, China

October 2021 - August 2022

Algorithm Engineer (Intern), Advisor: Peilin Zhao

Cardinal Operations, Shanghai, China

June 2020 - August 2020

Software Engineer (Intern)

Nanjing University, Nanjing, China

July 2019 - June 2020

Research Assistant, Advisor: Yang Yu

Tianrang Technology Inc., Hangzhou, China

December 2018 - February 2019

Algorithm Engineer (Intern), Advisor: Zhenhui (Jessie) Li

# **PUBLICATIONS**

- Ziniu Li, Tian Xu, Yushun Zhang, Zhihang Lin, Yang Yu, Ruoyu Sun, Zhi-Quan Luo. ReMax: A Simple, Effective, and Efficient Reinforcement Learning Method for Aligning Large Language Models. In Proceedings of the 41st Conference on International Conference on Machine Learning (ICML), 2024.
- Ziniu Li\*, Tian Xu\*, Yang Yu. When is RL better than DPO in RLHF? A Representation and Optimization Perspective In Proceedings of the 12th International Conference on Learning Representations (ICLR) (Tiny Paper Track), 2024.
  - This paper is selected as an **oral** presentation at ICLR, 2024.
- Ziniu Li\*, Tian Xu\*, Zeyu Qin, Yang Yu, Zhi-Quan Luo. In Advances in Neural Information Process System 37 (NeurIPS), 2023.
  - This paper is selected as an **spotlight** presentation (acceptance rate < 5%) at NeurIPS, 2023.
- Tian Xu\*, **Ziniu Li**\*, Yang Yu, Zhi-Quan Luo. Provably Efficient Adversarial Imitation Learning with Unknown Transitions. In Proceedings of the 39th Conference on Uncertainty in Artificial Intelligence (UAI), 2023.

<sup>\*</sup> indicates equal contribution.

- This paper is selected as an **oral** presentation (acceptance rate < 3%) at UAI, 2023.
- Ziniu Li\*, Tian Xu\*, Yang Yu, Zhi-Quan Luo. Rethinking ValueDice: Does It Really Improve Performance? In Proceedings of the 10th International Conference on Learning Representations (ICLR) (Blog Track), 2022.
- Ziniu Li, Yingru Li, Yushun Zhang, Tong Zhang, Zhi-Quan Luo. HyperDQN: A Randomized Exploration Method for Deep Reinforcement Learning. In Proceedings of the 10th International Conference on Learning Representations (ICLR), 2022.
  - This paper is selected as an oral presentation in Workshop on Ecological Theory of Reinforcement Learning at NeurIPS, 2021.
- Tian Xu, **Ziniu Li**, Yang Yu. Error Bounds of Imitating Policies and Environments for Reinforcement Learning. *IEEE Transactions on Pattern Analysis and Machine Intelligence (TPAMI)*, 2021.
- Tian Xu, **Ziniu Li**, Yang Yu. Error Bounds of Imitating Policies and Environments. *In Advances in Neural Information Process System 34 (NeurIPS)*, 2020.
- **Ziniu Li**\*, Xiong-Hui Chen\*. Efficient Exploration By Novelty-Pursuit. In Proceedings of the 2nd International Conference on Distributed Artificial Intelligence (DAI), 2020.
- Fei-yu Liu, **Ziniu Li**, Chao Qian. Self-Guided Evolution Strategies with Historical Estimated Gradients. In Proceedings of the 29th International Conference on Joint Artificial Intelligence (IJCAI), 2020.

# **MANUSCRIPTS**

- \* indicates equal contribution.
  - **Ziniu Li**, Ke Xu, Liu Liu, Lanqing Li, Deheng Ye, Peilin Zhao. Deploying Offline Reinforcement Learning with Human Feedback. *arXiv*:2303.07046, 2023.
  - Tian Xu\*, **Ziniu Li**\*, Yang Yu, Zhi-Quan Luo. Understanding Adversarial Imitation Learning in Small Sample Regime: A Stage-coupled Analysis. *arXiv*: 2208.01899, 2022.
  - **Ziniu Li\***, Tian Xu\*, Yang Yu. A Note on Target Q-learning For Finite MDPs with a Generative Oracle. *arXiv*: 2203.11489, 2022.

#### **SERVICE**

- Organizers: Reinforcement Learning Weekly Seminar, Deep Learning Seminar at CUHKSZ.
- Reviewer: T-PAMI, ICML'2024, ICLR'2024, NeurIPS'2023, ICML'2023, NeurIPS'2022 (Top Reviewer), ICML'2022 (Outstanding Reviewer), ICLR'2022 (Highlighted Reviewer), DAI'2020.
- Technical Program Committee Members: RL4RealLife@NeurIPS2022, DAI'2022.

### **AWARDS**

- Best paper presentation award at the Doctoral and Postdoctoral Forum of Shenzhen Research Institute of Big Data (SRIBD), 2021.
- Honor student of Xi'an Jiaotong University, 2017.
- Second prize of National Electrical Math Modeling Competition, 2017.
- Second prize of Chinese Mathematics Competition, Shaanxi Province, 2016.