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Chapter 5: Sequences, Mathematical Induction, and Recursion

Section 5.1: Sequences

Sequence

- Def: A **sequence** is a function whose domain is either all the integers between two given integers or all the integers greater than or equal to a given integer.
- Typically represent a sequence as a set of elements written in a row.
- Finite sequences are denoted as a_m, a_{m+1}, a_{m+2},..., a_n
 - \Box Each individual element a_k (read "a sub k") is called a **term**.
 - \Box The k in a_k is called a **subscript** or **index**, m (which may be any integer) is the subscript of the **initial term**, and n (which must be greater than or equal to m) is the subscript of the **final term**.
- Infinite sequences are denoted as a_m, a_{m+1}, a_{m+2},...
- An explicit formula or general formula for a sequence is a rule that shows how the values of a_k depend on k.

Summation Notation

- Def: If m and n are integers and n <= n, the symbol $\sum_{k=m}^{n} a_k$, read the summation from k equals n to n of a-sub-k, is the sum of all the terms a_m , a_{m+1} , a_{m+2} ,..., a_n (the expanded form of the sum).
- Denoted as $\sum_{k=m}^{n} a_k = a_m + a_{m+1} + a_{m+2} + \cdots + a_n$
 - ☐ The **index** is the summation is k.
 - ☐ The **lower limit** of the summation is m and the **upper limit** is n.

Product Notation

- Def: If m and n are integers and m ≤ n, the symbol $\prod_{k=m}^{n} a_k$, read the **product from k** equals m to n of a-sub-k, is the product of all the terms a_m , a_{m+1} , a_{m+2} ,..., a_n .
- Denoted as $\prod_{k=m}^n a_k = a_m + a_{m+1} + a_{m+2} + \cdots + a_n$

Properties of Summations and Products

<u>Theorem 5.1.1</u>: If a_m , a_{m+1} , a_{m+2} ,... and b_m , b_{m+1} , b_{m+2} ,... are sequences of real numbers and c is any real number, then the following equations hold for any integer $n \ge m$:

1.
$$\sum_{k=m}^{n} a_k + \sum_{k=m}^{n} b_k = \sum_{k=m}^{n} (a_k + b_k)$$
2.
$$c \cdot \sum_{k=m}^{n} a_k = \sum_{k=m}^{n} c \cdot a_k$$
3.
$$\left(\prod_{k=m}^{n} a_k\right) \cdot \left(\prod_{k=m}^{n} b_k\right) = \prod_{k=m}^{n} (a_k + b_k)$$

Factorial Notation

For each positive integer n, the quantity n factorial denoted n!, is defined to be the product of all the integers from 1 to n:

$$n! = n \cdot (n-1) \cdots 3 \cdot 2 \cdot 1$$

Zero factorial, denoted 0!, id defined to be 1: 0! = 1.

"n Choose r" Notation

- Let n and r be integers with $0 \le r \le n$. The symbol $\binom{n}{r}$ is read "**n choose r**" and represents the number of subsets of size r that can be chosen from a set with n elements.
- Formula for computing $\binom{n}{r}$
 - □ For all integers n and r with $0 \le r \le n$,

$$\binom{n}{r} = \frac{n!}{r!(n-r)!}$$

Decimal to Binary Conversion Using Repeated Division by 2 (Algorithm 5.1.1)

Algorithm 5.1.1 Decimal to Binary Conversion Using Repeated Division by 2

[In Algorithm 5.1.1 the input is a nonnegative integer n. The aim of the algorithm is to produce a sequence of binary digits $r[0], r[1], r[2], \ldots, r[k]$ so that the binary representation of a is

$$(r[k]r[k-1]\cdots r[2]r[1]r[0])_2$$
.

That is,

$$n = 2^{k} \cdot r[k] + 2^{k-1} \cdot r[k-1] + \dots + 2^{k-1} \cdot r[k] + 2^{k-1} \cdot r[k$$

Input: n [a nonnegative integer]

Algorithm Body:

$$q := n, i := 0$$

[Repeatedly perform the integer division of q by 2 until q becomes 0. Store successive remainders in a one-dimensional array $r[0], r[1], r[2], \ldots, r[k]$. Even if the initial-value of q equals 0, the loop should execute one time (so that r[0] is computed). Thus the guard condition for the **while** loop is i = 0 or $q \neq 0$.]

while $(i = 0 \text{ or } q \neq 0)$

 $r[i] := q \mod 2$

 $q := q \operatorname{div} 2$

[r[i]] and q can be obtained by calling the division algorithm.]

i := i + 1

end while

[After execution of this step, the values of r[0], r[1], ..., r[i-1] are all 0's and 1's, and $a = (r[i-1]r[i-2] \cdots r[2]r[1]r[0])_2$.]

Output: $r[0], r[1], r[2], \ldots, r[i-1]$ [a sequence of integers]

Section 5.2: Mathematical Induction 1

Principle of Mathematical Induction

- Let P(n) be a property that is defined for integers n, and let a be a fixed integer. Suppose the following two statements are true:
 - 1. P(a) is true
 - 2. For all integers $k \ge a$, if P(k) is true then P(k+1) is true.

Then the statement, for all integers $n \ge a$, P(n) is true.

Method of Proof by Mathematical Induction

- Consider a statement of the (universal) form, "For all integers n ≥ a, a property P(n) is true." To probe such a statement, perform the following two steps:
 - 1. Basis Step: Show that P(a) is true.
 - 2. Inductive Step: Show that for all integers $k \ge a$, if P(k) is true then P(k+1) is true. To perform this step
 - Inductive Hypothesis: Suppose that P(k) is true, where k is any particular but arbitrarily chosen integer with $k \ge a$.
 - ◆ Then show that P(k+1) is true.

<u>Proposition 5.2.1</u>: For all integers $n \ge 8$, $n \$ can be obtained using $3 \$ and $5 \$ coins.

Sum of the First n Integers (Theorem 5.2.2): For all integers $n \ge 1$, $1 + 2 + \dots + n = \frac{n(n+1)}{2}$

Closed Form

Def: If a sum with a variable number of terms is shown to be equal to a formula that
does <u>not</u> contain either an ellipsis or a summation symbol, we say that it is written in
closed form.

Geometric Sequence

 Def: In a geometric sequence, each term is obtained from the preceding on by multiplying by a constant factor.

Sum of a Geometric Sequence (Theorem 5.2.3): For any real number r except 1, and any integer $n \ge 0$,

$$\sum_{i=0}^{n} r^{i} = \frac{r^{n+1} - 1}{r - 1}$$

Section 5.3: Mathematical Induction 2

<u>Proposition 5.3.1</u>: For all integers $n \ge 0$, $2^{2n} - 1$ is divisible by 3.

<u>Proposition 5.3.2</u>: For all integers $n \ge 3$, $2n + 1 < 2^n$.

Section 5.4: Strong Mathematical Induction and the Well-Ordering Principle for the Integers Principle of Strong Mathematical Induction

- Let P(n) be a property that is defined for integers n, and let a and b be fixed integers with $a \le b$. Suppose the following two statements are true:
 - 1. Basis Step: P(a), P(a+1),..., and P(b) are all true.
 - 2. Inductive Step: For any integer $k \ge b$, if P(i) is true for all integers i from a through k, then P(k+1) is true.

Then the statement for all integer $n \ge a$, P(n) is true. (The supposition that P(i) is true for all integers i from a through k is called the inductive hypothesis. Another way to state the inductive hypothesis is to say that P(a), P(a+1),...,P(k) are all true.)

Existence and Uniqueness of Binary Integer Representations (Theorem 5.4.1): Given any positive integer n, n has a unique representation in the form $n=c_r\cdot 2^r+c_{r-1}\cdot 2^{r-1}+\cdots+c_2\cdot 2^2+c_1\cdot 2+c_0$, where r is a nonnegative integer, $c_r=1$, and $c_j=1$ or 0 for all $j=0,1,2,\ldots,r-1$.

Well-Ordering Principle for the Integers

• Let S be a set of integers containing one or more integers all of which are greater than some fixed integer. The S has a least element.

Section 5.6: Defining Sequences Recursively

Recurrence Relation

Def: A recurrence relation for a sequence a₀, a₁, a₂,... is a formula that relates each term a_k to certain of its predecessors a_{k-1}, a_{k-2},..., a_{k-i}, where i is an integer with k - i ≥ 0. The initial conditions for such a recurrence relation specify the values of a₀, a₁, a₂,..., a_{i-1}, if i is a fixed integer, or a₀, a₁, a₂,..., a_m, where m is an integer with m ≥ 0, if i depends on k.

Catalan Numbers

- Recurrence Relation: For each integer $n \ge 1$, $C_n = \frac{1}{n+1} {2n \choose n}$
- Note: "n choose k" = $\binom{n}{k}$ = $\frac{n!}{k!(n-k)!}$

Recursive Definitions of Sum and Product

- Given numbers a₁, a₂,..., a_n, where n is a positive integer
 - □ The **summation from i = 1 to n of the a**_i, denoted $[\sum_{i=1}^{n} a_i]$, is defined as follows:

$$\sum_{i=1}^{n} a_i = a_1 \text{ and } \sum_{i=1}^{n} a_i = \left(\sum_{i=1}^{n-1} a_i\right) + a_n \text{ if } n > 1$$

 \square The **product from i = 1 to n of the a**_i, is denoted $[\prod_{i=1}^{n} a_i]$, is defined as follows:

$$\prod_{i=1}^{n} a_{i} = a_{1} \text{ and } \prod_{i=1}^{n} a_{i} = \left(\prod_{i=1}^{n-1} a_{i}\right) \cdot a_{n} \text{ if } n > 1$$