

# 3

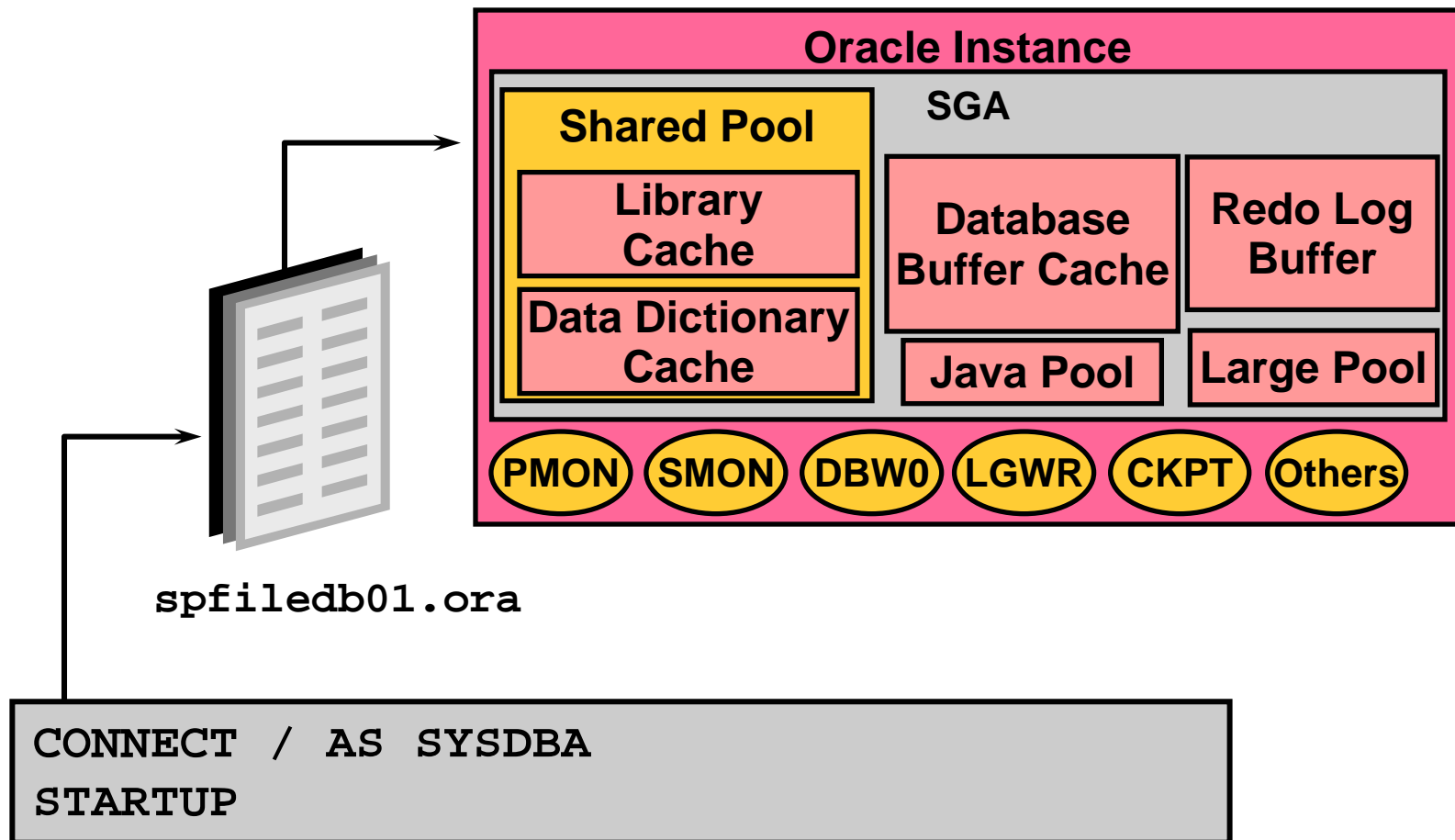
## Managing an Oracle Instance

# Objectives

**After completing this lesson, you should be able to do the following:**

- **Create and manage initialization parameter files**
- **Start up and shut down an instance**
- **Monitor and use diagnostic files**

# Initialization Parameter Files



# Initialization Parameter Files

- **Entries are specific to the instance being started**
- **Two types of parameters:**
  - **Explicit: Having an entry in the file**
  - **Implicit: No entry within the file, but assuming the Oracle default values**
- **Multiple initialization parameter files can exist**
- **Changes to entries in the file take effect based on the type of initialization parameter file used:**
  - **Static parameter file, PFILE**
  - **Persistent server parameter file, SPFILE**

# **PFILE**

## **initSID.ora**

- **Text file**
- **Modified with an operating system editor**
- **Modifications made manually**
- **Changes take effect on the next start up**
- **Only opened during instance start up**
- **Default location is \$ORACLE\_HOME/dbs**

# Creating a PFILE

- **Created from a sample `init.ora` file**
  - Sample installed by the Oracle Universal Installer
  - Copy sample using operating system copy command
  - Uniquely identified by database SID

```
cp init.ora $ORACLE_HOME/dbs/initdba01.ora
```

- **Modify the `initSID.ora`**
  - Edit the parameters
  - Specific to database needs

# PFILE Example

```
# Initialization Parameter File: initdba01.ora
db_name                = dba01
instance_name          = dba01
control_files           = (
    /home/dba01/ORADATA/u01/control01dba01.ctl,
    /home/dba01/ORADATA/u02/control01dba02.ctl)
db_block_size           = 4096
db_cache_size           = 4M
shared_pool_size        = 50000000
java_pool_size          = 50000000
max_dump_file_size      = 10240
background_dump_dest    = /home/dba01/ADMIN/BDUMP
user_dump_dest          = /home/dba01/ADMIN/UDUMP
core_dump_dest          = /home/dba01/ADMIN/CDUMP
undo_management         = AUTO
undo_tablespace         = UNDOTBS
. . .
```

# **SPFILE**

## **spfileSID.ora**

- **Binary file**
- **Maintained by the Oracle server**
- **Always resides on the server side**
- **Ability to make changes persistent across shut down and start up**
- **Can self-tune parameter values**
- **Can have Recovery Manager support backing up to the initialization parameter file**



# Creating an SPFILE

- **Created from a PFILE file**

```
CREATE SPFILE = '$ORACLE_HOME/dbs/spfileDBA01.ora'  
FROM PFILE = '$ORACLE_HOME/dbs/initDBA01.ora';
```

**where**

- **SPFILE-NAME: SPFILE to be created**
  - **PFILE-NAME: PFILE creating the SPFILE**
- **Can be executed before or after instance start up**

# SPFILE Example

```
*.background_dump_dest= '/home/dba01/ADMIN/BDUMP'  
*.compatible='9.2.0'  
*.control_files='/home/dba01/ORADATA/u01/ctrl01.ctl'  
*.core_dump_dest= '/home/dba01/ADMIN/CDUMP'  
*.db_block_size=4096  
*.db_name='dba01'  
*.db_domain= 'world'  
*.global_names=TRUE  
*.instance_name='dba01'  
*.remote_login_passwordfile='exclusive'  
*.java_pool_size=50000000  
*.shared_pool_size=50000000  
*.undo_management='AUTO'  
*.undo_tablespace='UNDOTBS'  
. . .
```

# Modifying Parameters in SPFILE

- Changing parameter values

```
ALTER SYSTEM SET undo_tablespace = UNDO2;
```

- Specifying temporary or persistent changes

```
ALTER SYSTEM SET undo_tablespace = UNDO2  
SCOPE=BOTH;
```

- Deleting or resetting values

```
ALTER SYSTEM RESET undo_suppress_errors  
SCOPE=BOTH SID='*';
```

# STARTUP Command Behavior

- **Order of precedence:**
  - spfileSID.ora
  - **Default SPFILE**
  - initSID.ora
  - **Default PFILE**
- **Specified PFILE can override precedence.**

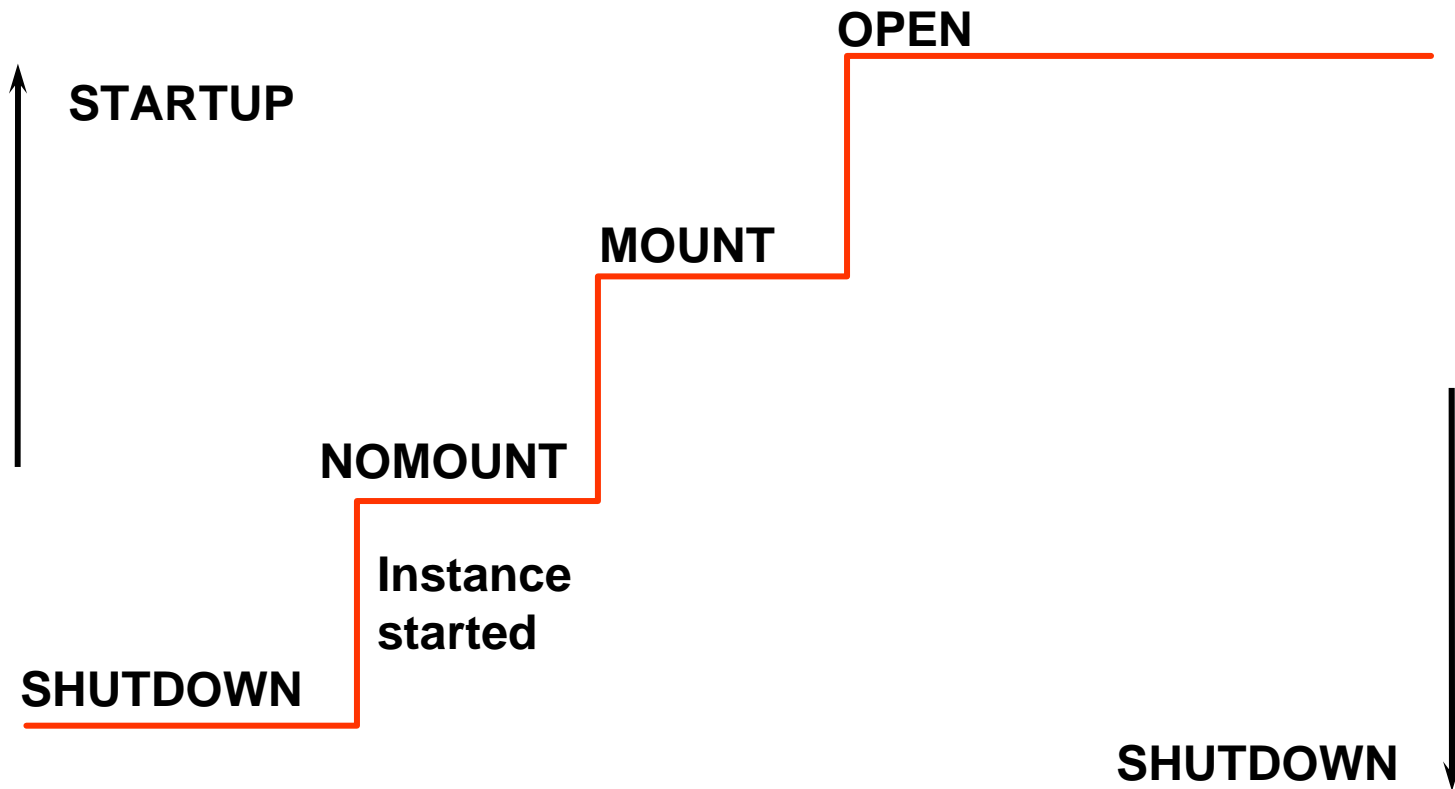
```
STARTUP PFILE = $ORACLE_HOME/dbs/initDBA1.ora
```

- **PFILE can indicate to use SPFILE.**

```
SPFILE = /database/startup/spfileDBA1.ora
```

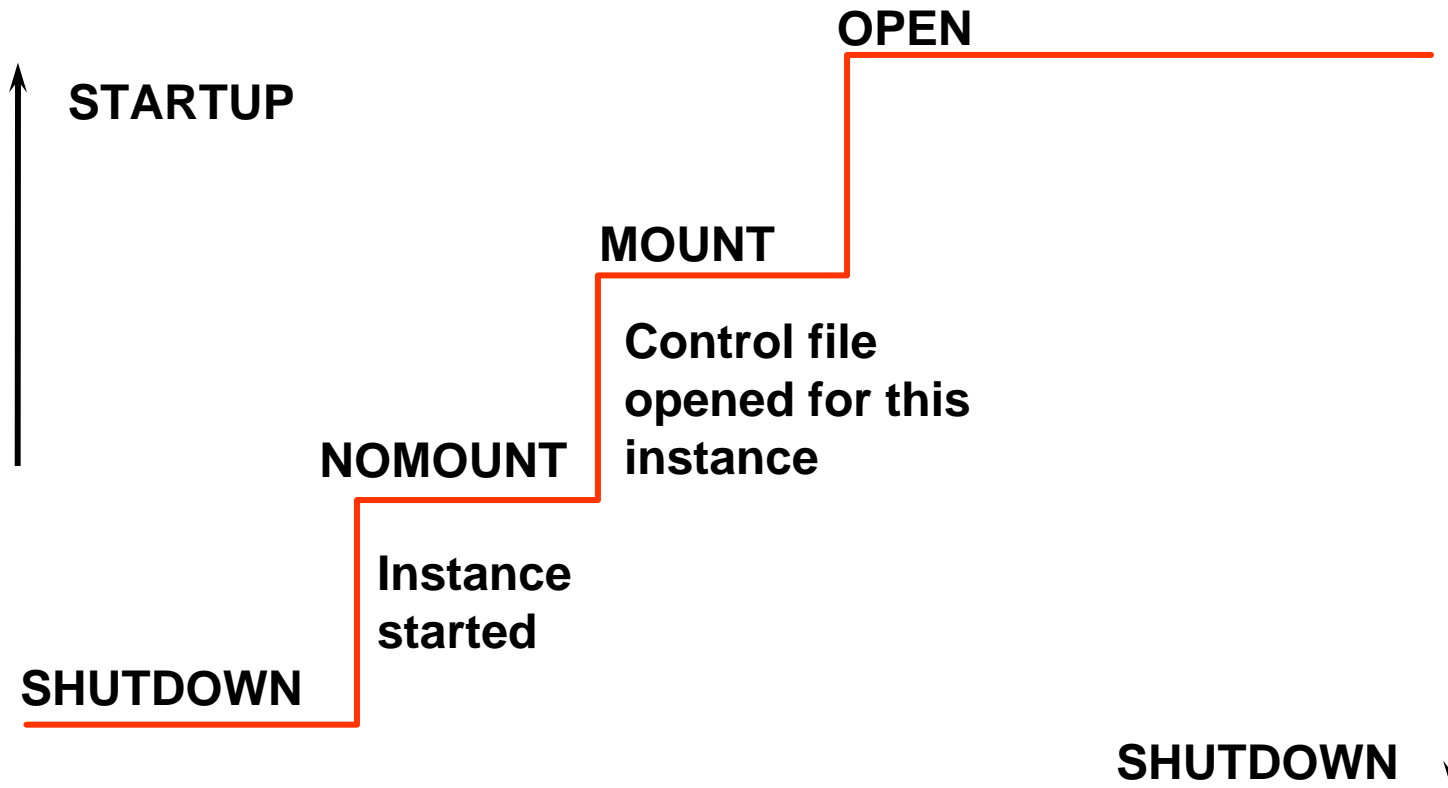
# Starting Up a Database

## NOMOUNT



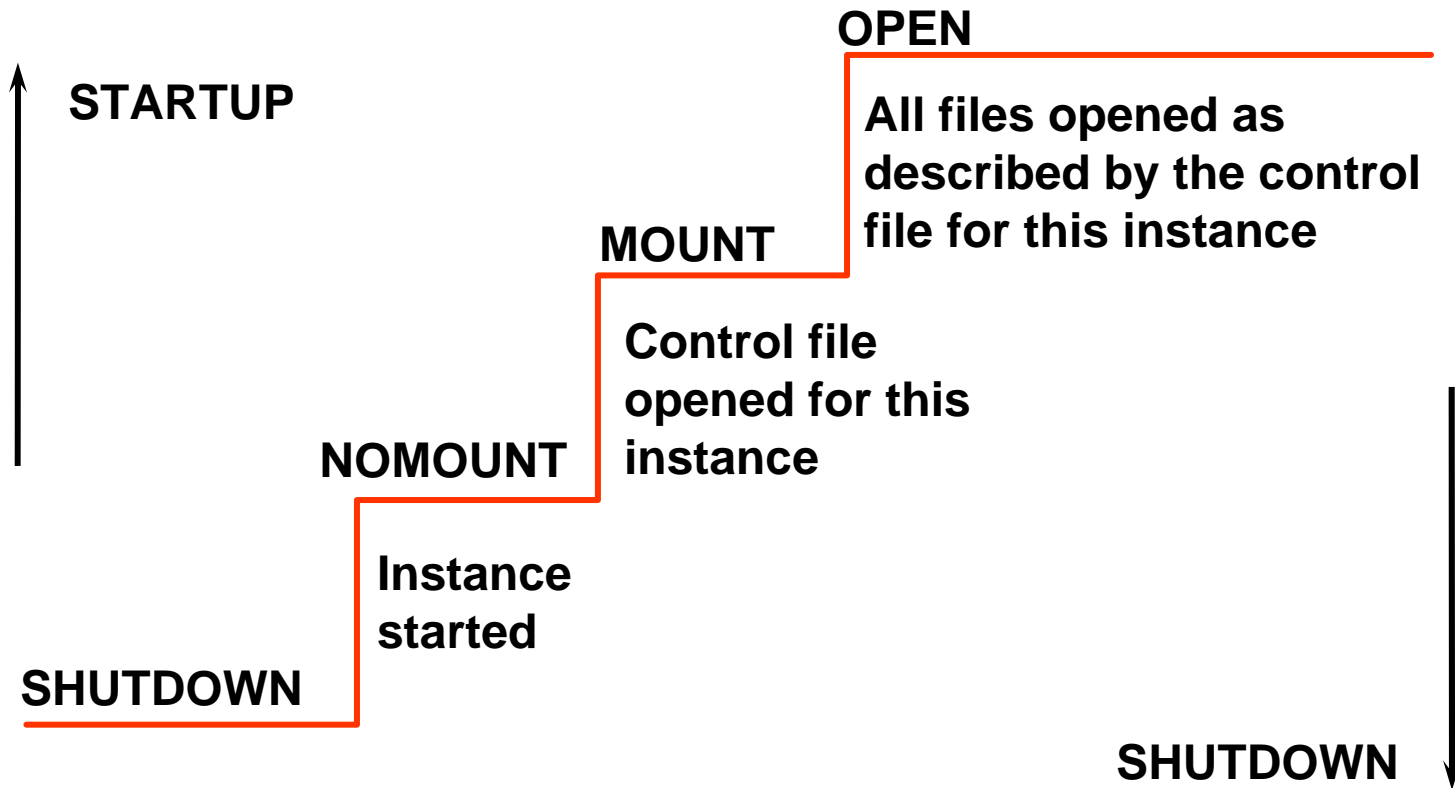
# Starting Up a Database

## MOUNT



# Starting Up a Database

## OPEN



# STARTUP Command

**Start up the instance and open the database:**

```
STARTUP
```

```
STARTUP PFILE=$ORACLE_HOME/dbs/initdb01.ora
```



# ALTER DATABASE Command

- Change the state of the database from NOMOUNT to MOUNT:

```
ALTER DATABASE db01 MOUNT;
```

- Open the database as a read-only database:

```
ALTER DATABASE db01 OPEN READ ONLY;
```

# Opening a Database in Restricted Mode

- Use the **STARTUP** command to restrict access to a database:

```
STARTUP RESTRICT
```

- Use the **ALTER SYSTEM** command to place an instance in restricted mode:

```
ALTER SYSTEM ENABLE RESTRICTED SESSION;
```

# Opening a Database in Read-Only Mode

- Opening a database in read-only mode:

```
STARTUP MOUNT  
ALTER DATABASE OPEN READ ONLY;
```

- Can be used to:
  - Execute queries
  - Execute disk sorts using locally managed tablespaces
  - Take data files offline and online, but not tablespaces
  - Perform recovery of offline data files and tablespaces

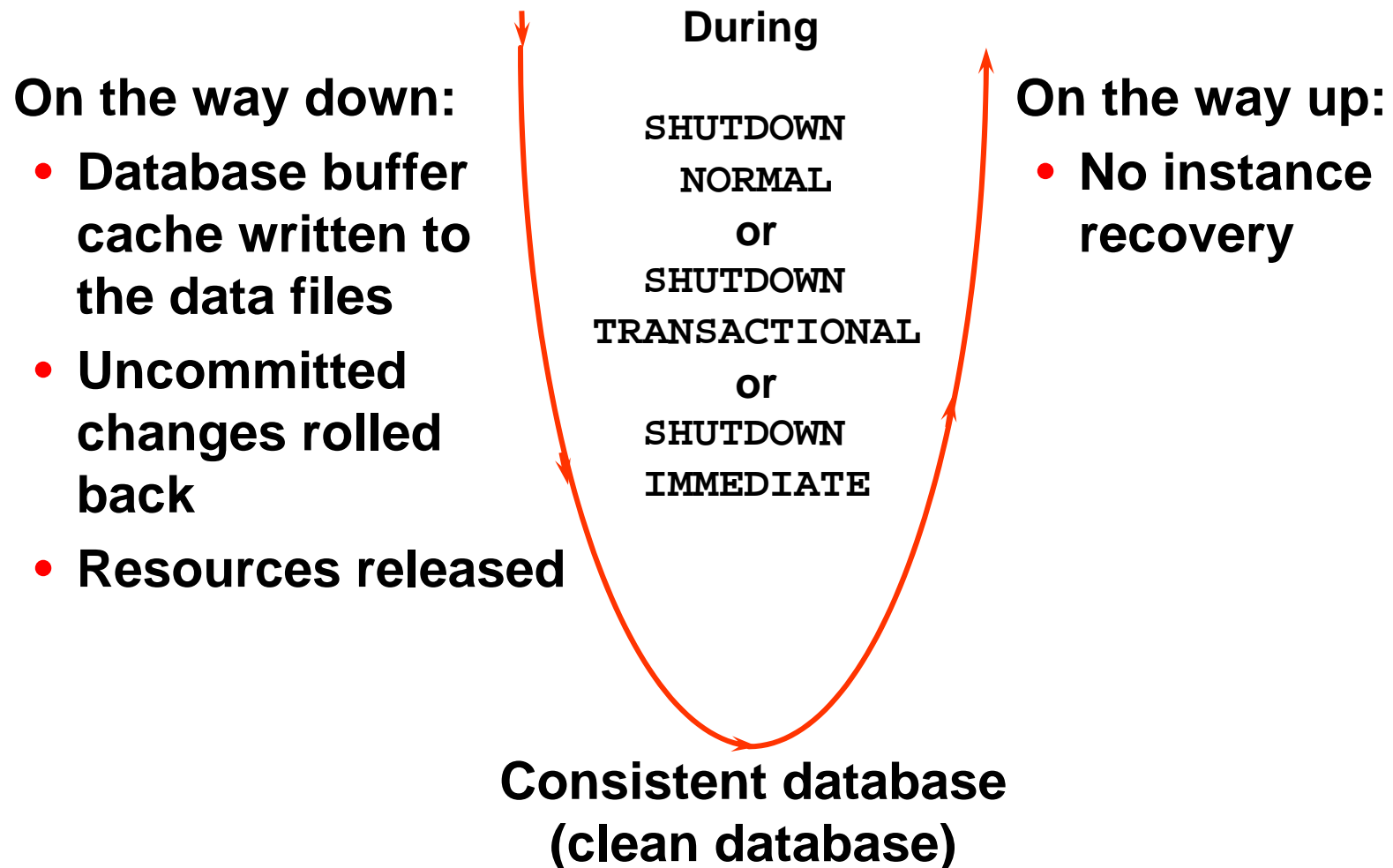
# Shutting Down the Database

Shutdown Mode	A	I	T	N
Allow new connections	No	No	No	No
Wait until current sessions end	No	No	No	Yes
Wait until current transactions end	No	No	Yes	Yes
Force a checkpoint and close files	No	Yes	Yes	Yes

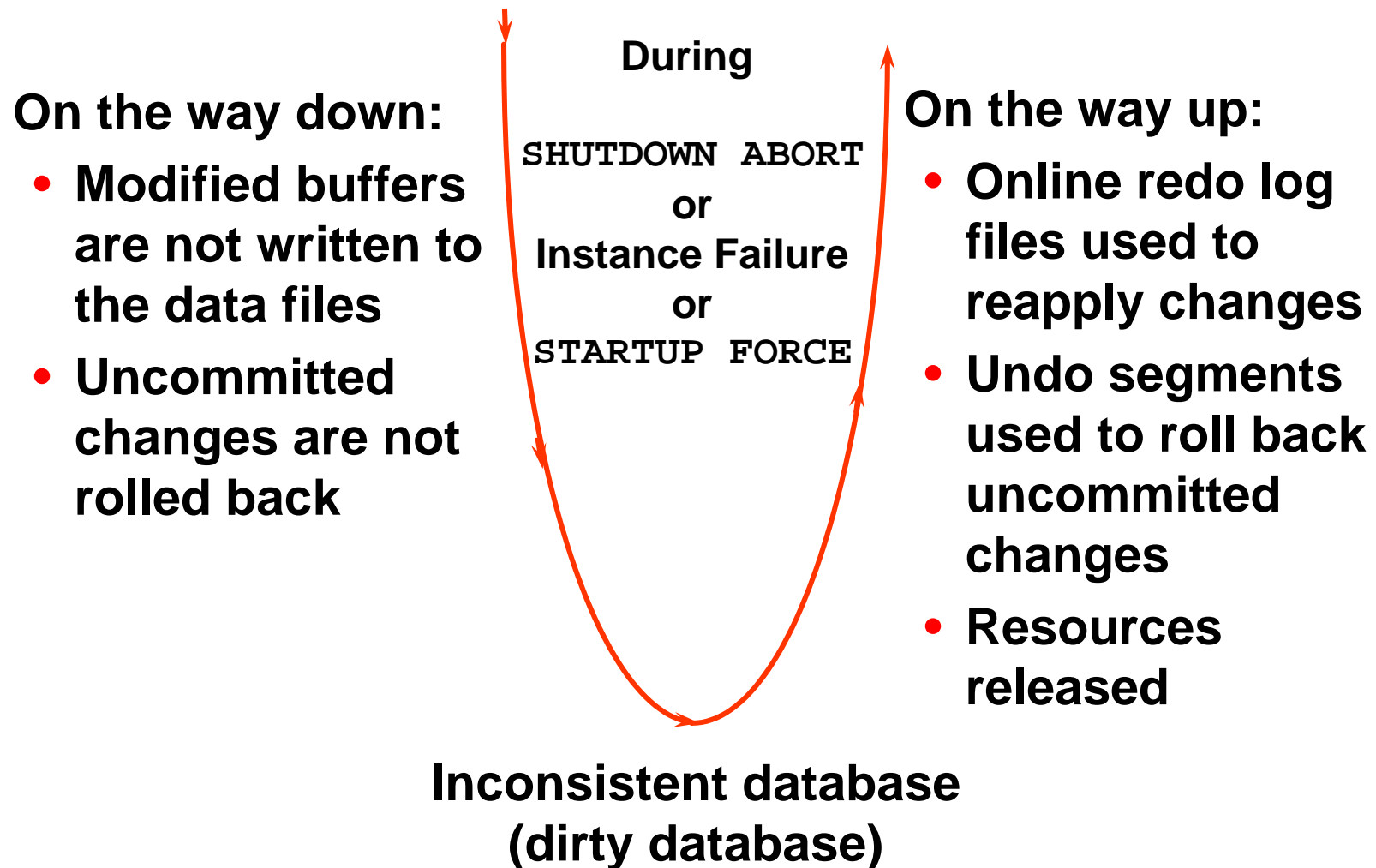
## Shutdown mode:

- **A = ABORT**
- **I = IMMEDIATE**
- **T = TRANSACTIONAL**
- **N = NORMAL**

# SHUTDOWN Options



# SHUTDOWN Options



# Monitoring an Instance Using Diagnostic Files

- **Diagnostic files**
  - Contain information about significant events encountered
  - Used to resolve problems
  - Used to better manage the database on a day-to-day basis
- **Several types exist:**
  - `alertSID.log` file
  - Background trace files
  - User trace files

# Alert Log File

- **alertSID.log file:**
  - Records the commands
  - Records results of major events
  - Used for day-to-day operational information
  - Used for diagnosing database errors
- Each entry has a time stamp associated with it
- Must be managed by DBA
- Location defined by `BACKGROUND_DUMP_DEST`



# Background Trace Files

- **Background trace files**
  - Log errors detected by any background process
  - Are used to diagnose and troubleshoot errors
- **Created when a background process encounters an error**
- **Location defined by BACKGROUND\_DUMP\_DEST**

# User Trace Files

- **User trace files**
  - Produced by the user process
  - Can be generated by a server process
  - Contain statistics for traced SQL statements
  - Contain user error messages
- **Created when a user encounters user session errors**
- **Location is defined by `USER_DUMP_DEST`**
- **Size defined by `MAX_DUMP_FILE_SIZE`**

# Enabling or Disabling User Tracing

- **Session level:**
  - Using the `ALTER SESSION` command:  
`ALTER SESSION SET SQL_TRACE = TRUE`
  - Executing DBMS procedure:  
`dbms_system.SET_SQL_TRACE_IN_SESSION`
- **Instance level**
  - Setting the initialization parameter:  
`SQL_TRACE = TRUE`

# Summary

**In this lesson, you should have learned how to:**

- **Create and manage initialization parameter files**
- **Start up and shut down an instance**
- **Monitor and use diagnostic files**

# Practice 3 Overview

**This practice covers the following topics:**

- **Creating an SPFILE**
- **Starting up and shutting down the database in different modes**