



# CH11. SORTING

CSED233 Data Structure

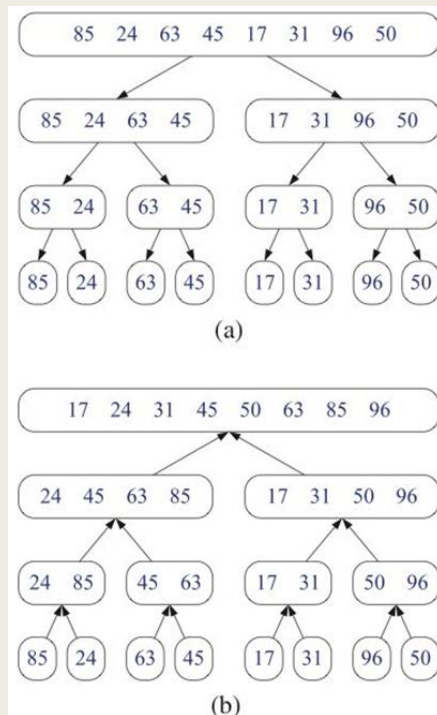
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POSTECH

# Merge-Sort

- Sorting: order  $n$  objects according to a comparator
- Divide-and-Conquer
  - *Divide the input data into two or more disjoint subsets until the input size is small enough to be solved using a straightforward method*
  - *Use the solutions on the subset or the subproblems to build a solution to its “parent” problem*

## ■ Merge-Sort

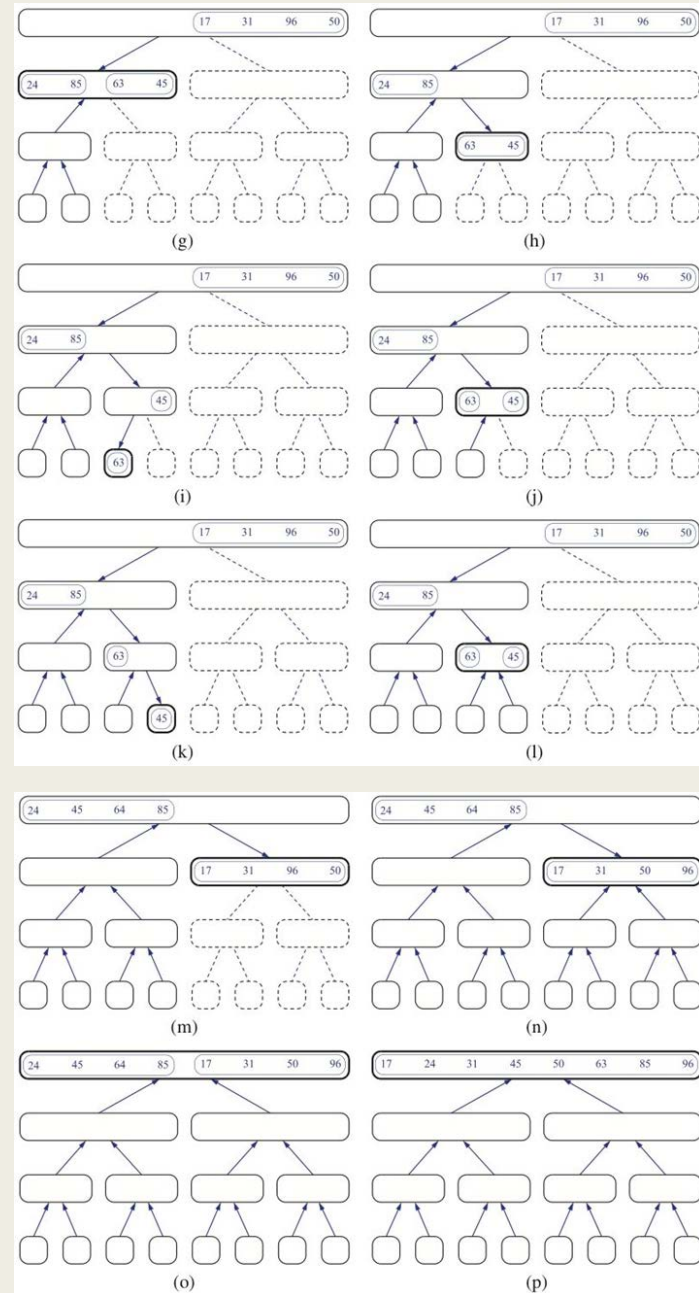
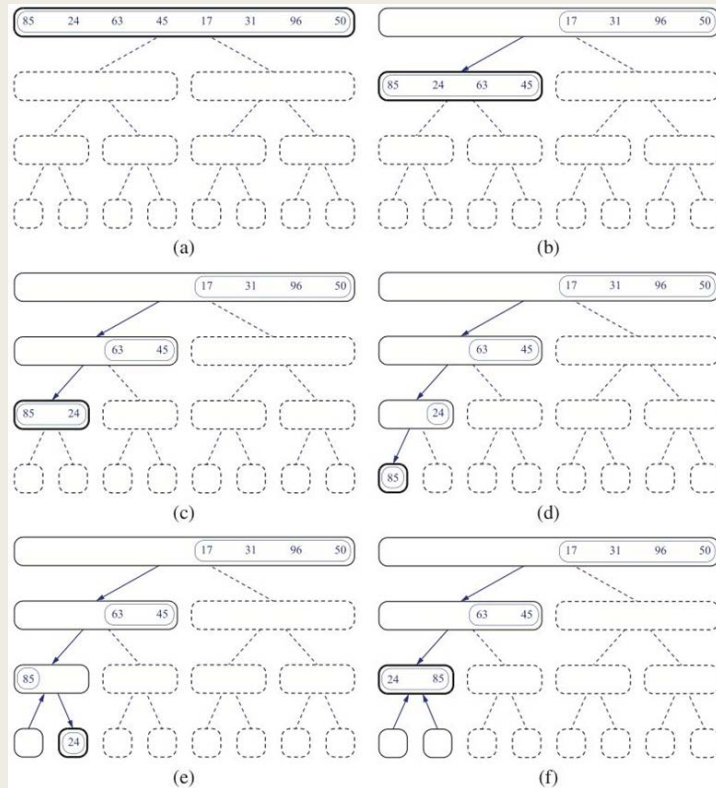


```

template <typename E, typename C>           // merge-sort S
void mergeSort(list<E>& S, const C& less) {
    typedef typename list<E>::iterator ltor; // sequence of elements
    int n = S.size();
    if (n <= 1) return;                     // already sorted
    list<E> S1, S2;
    ltor p = S.begin();
    for (int i = 0; i < n/2; i++) S1.push_back(*p++); // copy first half to S1
    for (int i = n/2; i < n; i++) S2.push_back(*p++); // copy second half to S2
    S.clear();                                 // clear S's contents
    mergeSort(S1, less);                     // recur on first half
    mergeSort(S2, less);                     // recur on second half
    merge(S1, S2, S, less);                  // merge S1 and S2 into S
}

template <typename E, typename C>           // merge utility
void merge(list<E>& S1, list<E>& S2, list<E>& S, const C& less) {
    typedef typename list<E>::iterator ltor; // sequence of elements
    ltor p1 = S1.begin();
    ltor p2 = S2.begin();
    while(p1 != S1.end() && p2 != S2.end()) { // until either is empty
        if(less(*p1, *p2))                  // append smaller to S
            S.push_back(*p1++);
        else
            S.push_back(*p2++);
    }
    while(p1 != S1.end())                   // copy rest of S1 to S
        S.push_back(*p1++);
    while(p2 != S2.end())                   // copy rest of S2 to S
        S.push_back(*p2++);
}
    
```

# Merge-Sort



# Merge-Sort

**Algorithm** merge( $S_1, S_2, S$ ):

**Input:** Sorted sequences  $S_1$  and  $S_2$  and an empty sequence  $S$ , all of which are implemented as arrays

**Output:** Sorted sequence  $S$  containing the elements from  $S_1$  and  $S_2$

$i \leftarrow j \leftarrow 0$

**while**  $i < S_1.size()$  **and**  $j < S_2.size()$  **do**

**if**  $S_1[i] \leq S_2[j]$  **then**

$S.insertBack(S_1[i])$  {copy  $i$ th element of  $S_1$  to end of  $S$ }

$i \leftarrow i + 1$

**else**

$S.insertBack(S_2[j])$  {copy  $j$ th element of  $S_2$  to end of  $S$ }

$j \leftarrow j + 1$

**while**  $i < S_1.size()$  **do** {copy the remaining elements of  $S_1$  to  $S$ }

$S.insertBack(S_1[i])$

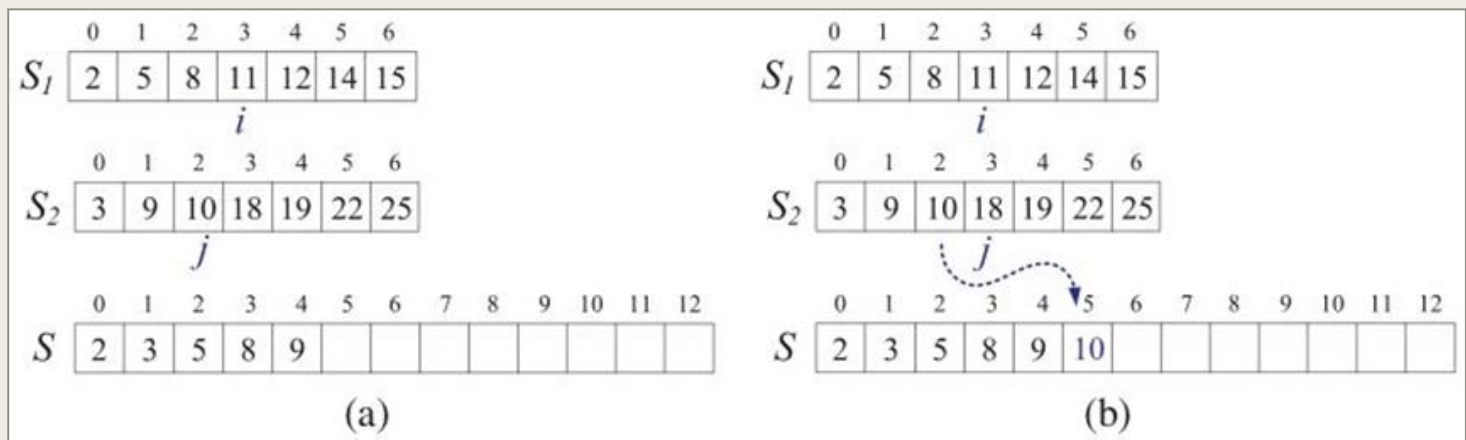
$i \leftarrow i + 1$

**while**  $j < S_2.size()$  **do** {copy the remaining elements of  $S_2$  to  $S$ }

$S.insertBack(S_2[j])$

$j \leftarrow j + 1$

**Code Fragment 11.1:** Algorithm for merging two sorted array-based sequences.



# Merge-Sort and Recurrence Equations

- Recurrent relation or recurrence equation

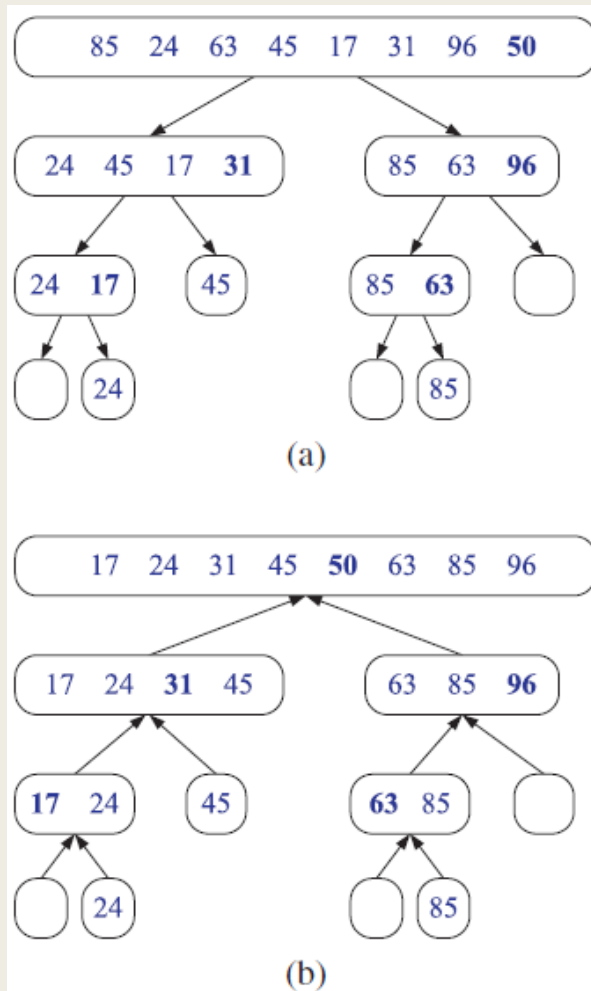
$$t(n) = \begin{cases} b & \text{if } n \leq 1 \\ 2t(n/2) + cn & \text{otherwise.} \end{cases}$$

$$\begin{aligned} t(n) &= 2(2t(n/2^2) + (cn/2)) + cn \\ &= 2^2t(n/2^2) + 2(cn/2) + cn = 2^2t(n/2^2) + 2cn. \end{aligned}$$

$$t(n) = 2^i t(n/2^i) + icn.$$

$$\begin{aligned} t(n) &= 2^{\log n} t(n/2^{\log n}) + (\log n)cn \\ &= nt(1) + cn \log n \\ &= nb + cn \log n. \end{aligned}$$

# Quick-Sort



**Algorithm** QuickSort( $S$ ):

**Input:** A sequence  $S$  implemented as an array or linked list

**Output:** The sequence  $S$  in sorted order

**if**  $S.size() \leq 1$  **then**

**return**             $\{S$  is already sorted in this case $\}$

$p \leftarrow S.back().element()$              $\{\text{the pivot}\}$

Let  $L$ ,  $E$ , and  $G$  be empty list-based sequences

**while**  $!S.empty()$  **do**  $\{\text{scan } S \text{ backwards, dividing it into } L, E, \text{ and } G\}$

**if**  $S.back().element() < p$  **then**

$L.insertBack(S.eraseBack())$

**else if**  $S.back().element() = p$  **then**

$E.insertBack(S.eraseBack())$

**else**  $\{\text{the last element in } S \text{ is greater than } p\}$

$G.insertBack(S.eraseBack())$

QuickSort( $L$ )             $\{\text{Recur on the elements less than } p\}$

QuickSort( $G$ )             $\{\text{Recur on the elements greater than } p\}$

**while**  $!L.empty()$  **do**  $\{\text{copy back to } S \text{ the sorted elements less than } p\}$

$S.insertBack(L.eraseFront())$

**while**  $!E.empty()$  **do**  $\{\text{copy back to } S \text{ the elements equal to } p\}$

$S.insertBack(E.eraseFront())$

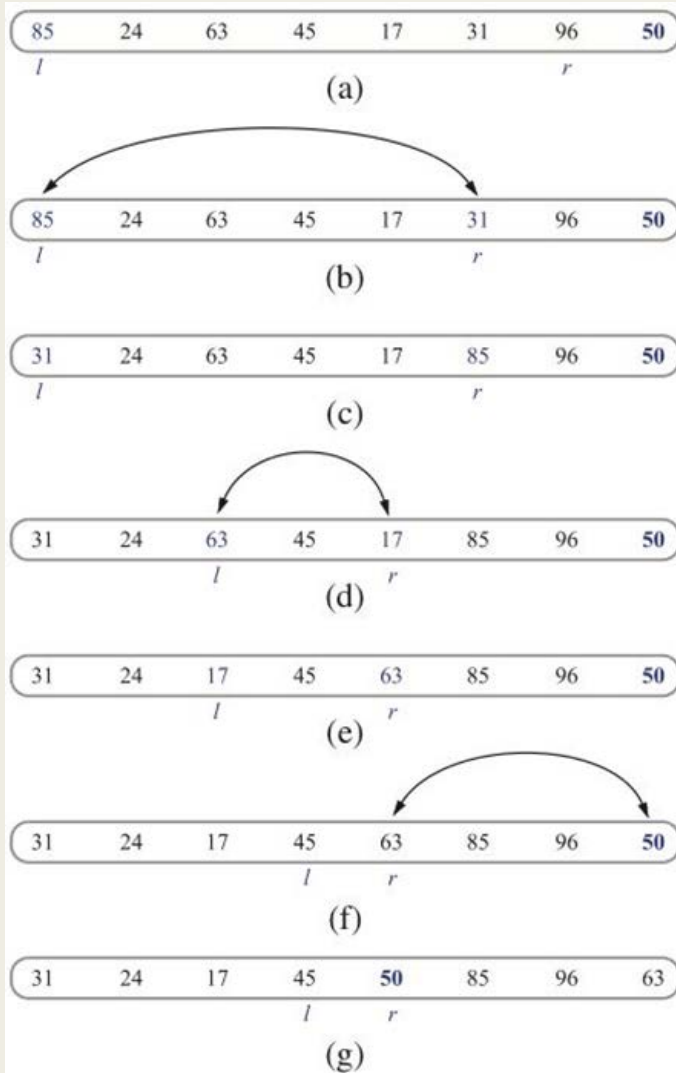
**while**  $!G.empty()$  **do**  $\{\text{copy back to } S \text{ the sorted elements greater than } p\}$

$S.insertBack(G.eraseFront())$

**return**             $\{S$  is now in sorted order $\}$



# In-place Quick-Sort



```

template <typename E, typename C>           // quick-sort S
void quickSort(std::vector<E>& S, const C& less) {
    if (S.size() <= 1) return;              // already sorted
    quickSortStep(S, 0, S.size()-1, less);  // call sort utility
}

template <typename E, typename C>
void quickSortStep(std::vector<E>& S, int a, int b, const C& less) {
    if (a >= b) return;                     // 0 or 1 left? done
    E pivot = S[b];                         // select last as pivot
    int l = a;                              // left edge
    int r = b - 1;                          // right edge
    while (l <= r) {
        while (l <= r && !less(pivot, S[l])) l++; // scan right till larger
        while (r >= l && !less(S[r], pivot)) r--; // scan left till smaller
        if (l < r)                          // both elements found
            std::swap(S[l], S[r]);
    }                                       // until indices cross
    std::swap(S[l], S[b]);                 // store pivot at l
    quickSortStep(S, a, l-1, less);        // recur on both sides
    quickSortStep(S, l+1, b, less);
}
    
```

**Code Fragment 11.7:** A coding of in-place quick-sort, assuming distinct elements.

# Stable Sorting, Bucket-Sort, Radix-Sort

- Stable Sorting
  - *If entries of same keys order the same after sorting*
- Bucket-Sort (or Counting-Sort)
  - *$O(n+N)$ , where  $n$  is # of entries with integer keys in the range  $[0, N-1]$*
- What if  $N \sim n^2$ ?
  - *Bucket-Sort  $\Rightarrow O(n^2)$*
  - *Radix-Sort  $\Rightarrow O(d(n+b))$ ,  $b = 10$ ,  $d = \#$  of digits*
- Radix-Sort
  - *Sort digit-by-digit starting from least to most significant digit*
  - *Each digit is sorted by stable bucket sort.*

**Algorithm** bucketSort( $S$ ):

**Input:** Sequence  $S$  of entries with integer keys in the range  $[0, N-1]$

**Output:** Sequence  $S$  sorted in nondecreasing order of the keys

let  $B$  be an array of  $N$  sequences, each of which is initially empty

**for** each entry  $e$  in  $S$  **do**

$k \leftarrow e.\text{key}()$

    remove  $e$  from  $S$  and insert it at the end bucket (sequence)  $B[k]$

**for**  $i \leftarrow 0$  to  $N-1$  **do**

**for** each entry  $e$  in sequence  $B[i]$  **do**

        remove  $e$  from  $B[i]$  and insert it at the end of  $S$

**Code Fragment 11.8:** Bucket-sort.

170, 45, 75, 90, 802, 24, 2, 66  
170, 90, 802, 2, 24, 45, 75, 66  
802, 2, 24, 45, 66, 170, 75, 90  
2, 24, 45, 66, 75, 90, 170, 802



# Comparing Sorting Algorithms

- $O(n^2)$ 
  - *Insertion sort, Selection sort*
- $O(n \log n)$ 
  - *Merge sort*
    - No in-place algorithm, good for disk-based sorting, slower than quick and heap
  - *Quick sort*
    - Faster than merge and heap on average but bad on worst-case
  - *Heap sort*
    - Good on average and worst-case
- $O(n)$  for certain types of keys
  - *Bucket sort, Radix sort*

# Sets

- Set vs. Bag vs. List
- Set
  - *insert(e)*
  - *find(e)*
  - *erase(e)*
  - *begin()*
  - *end()*
  - *union(B) : A <- A  $\cup$  B*
  - *intersect(B) : A <- A  $\cap$  B*
  - *subtract(B) : A <- A - B*
- STL set class (ordered set)
  - *lower\_bound(e)*
  - *upper\_bound(e)*
  - *equal\_range(e)*