

# Spatially-Encouraged Spectral Clustering

A Critical Revision of Spatially-Constrained Spectral Clustering

**Levi John Wolf** 

ljwolf.org/post/2018-gisruk

# MOTIVATION **MECHANICS** EXAMPLE EXTENSION



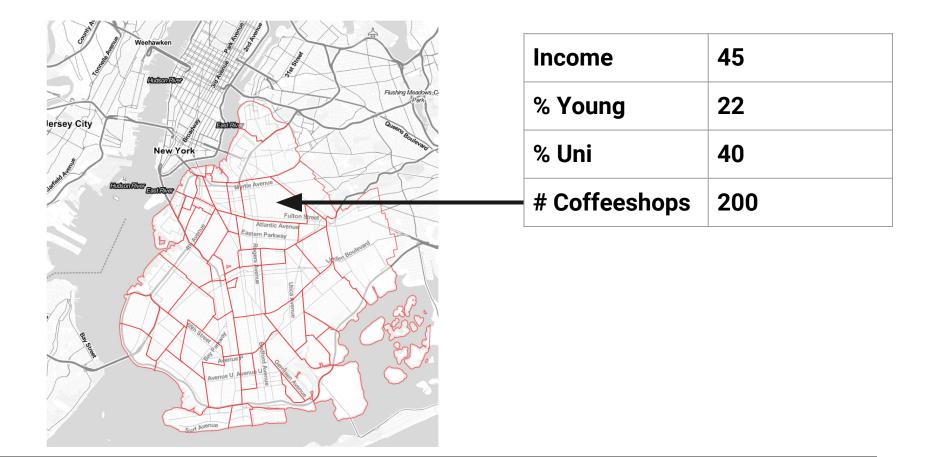
### **GOAL**:

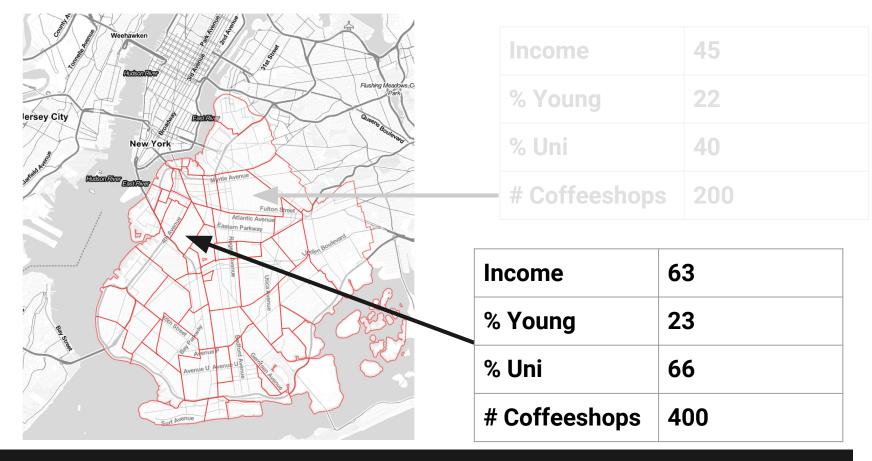
Identify coherent sets of areas with internally-similar attributes or values.

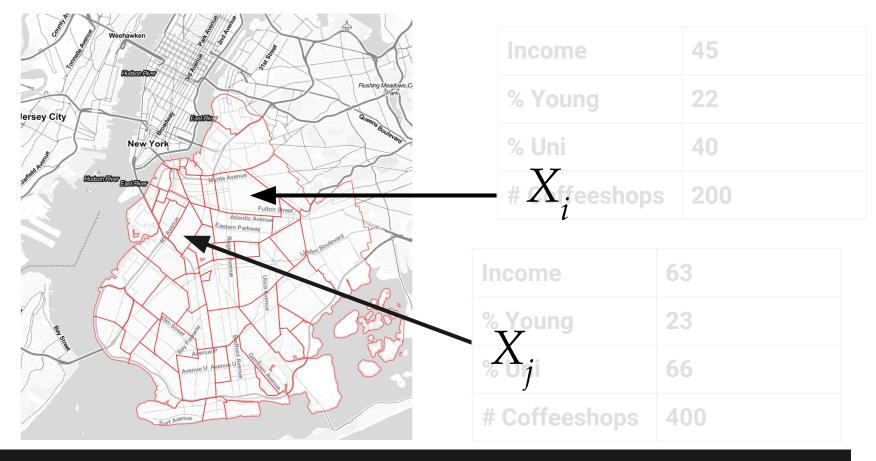


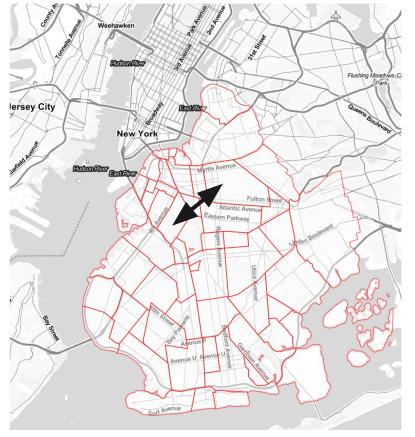
### **GOAL**:

Identify coherent neighbourhoods regions clusters market areas communities









	Income	45
$\varrho(X)$	$(i,X_{i}) \propto (i,X_{i})$	$e^{-d(X_i,X_j)^{-1}}$
	# Coffeeshops	200

Income	63
% Young	23
% Uni	66
# Coffeeshops	400



Income 45  $Q(X_i, X_j) \propto e^{-d(X_i, X_j)^{-1}}$ 40

# AFFINIT2Y

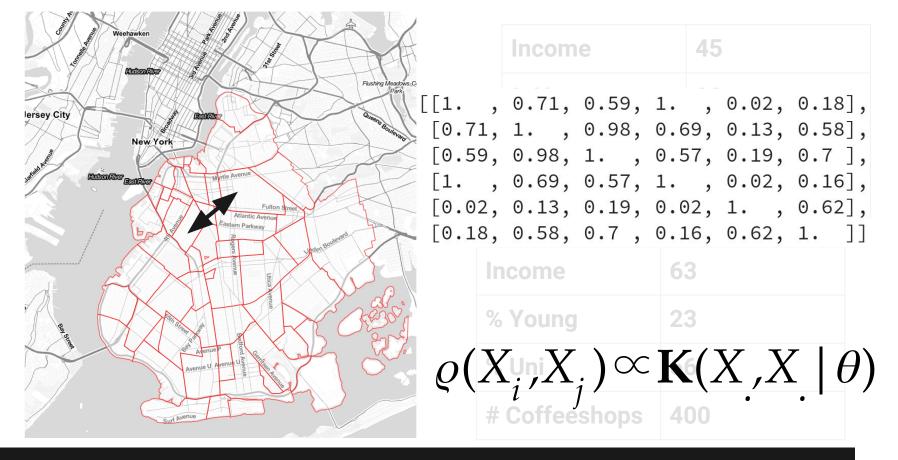
## **METRIC**

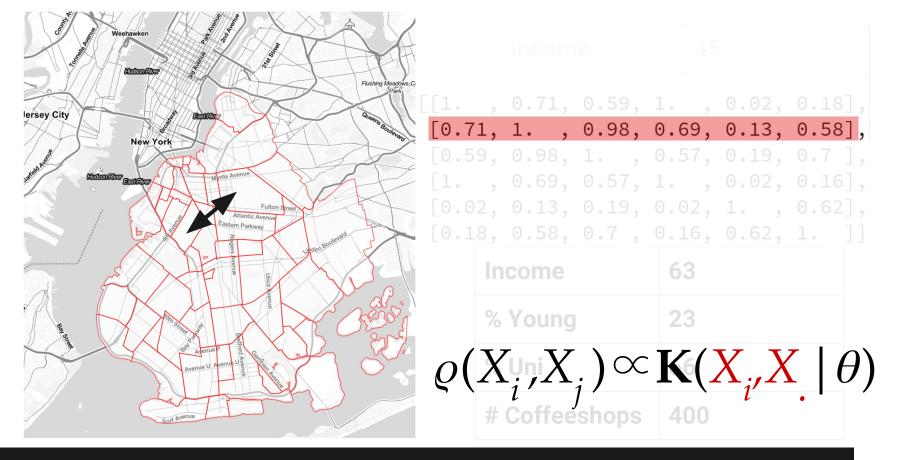
Score between zero and one reflecting similarity of two sites in the problem.



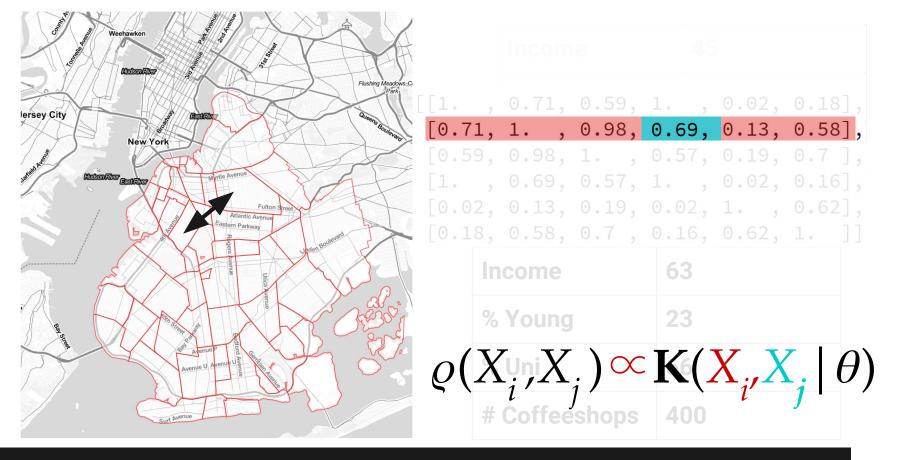
**Basics of Affinity Clustering** 







**Basics of Affinity Clustering** 



**Basics of Affinity Clustering** 



**Basics of Affinity Clustering** 

$$\mathbf{L} = \mathbf{D} - \mathbf{A}_f(\theta)$$

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## **Affinity Matrix**

 $N \times N$  set of relationships between observations, varying in [0,1] Alternative spelling of  $\mathbf{K}(X_i, X_i | \theta)$ 

 $\mathbf{L} = \mathbf{D} - \mathbf{A}_f(\theta)$ 

### **Degree Matrix**

Total "affinity mass" associated with each observation on a diagonal, zero elsewhere

$$\mathbf{L} = \mathbf{D} - \mathbf{A}_f(\theta)$$

### **Laplacian Matrix**

Sufficient representation of affinity graph of  $\mathbf{A}_f(\theta)$ 

$$\mathbf{L} = \mathbf{D} - \mathbf{A}_f(\theta)$$

### **Laplacian Matrix**

Sufficient representation of affinity graph of  $\mathbf{A}_f(\theta)$ 

$$\mathbf{L}^* = I - \mathbf{D}^{-\frac{1}{2}} \mathbf{A}_f(\theta) \mathbf{D}^{-\frac{1}{2}}$$

# **Symmetric Normalized Laplacian**

Sufficient representation of affinity graph of  $\mathbf{A}_f(\theta)$  with simpler eigensystem

# $\mathbf{L} = \mathbf{D} - \mathbf{A}_f(\theta)$

```
[[1.03, 0. , 0. , 0. , 0. , 0. ],
[0. , 0.87, 0. , 0. , 0. , 0. ],
[0. , 0. , 0.86, 0. , 0. , 0. ],
[0. , 0. , 0. , 0. , 0. ],
[0. , 0. , 0. , 0. , 0. ],
[0. , 0. , 0. , 0. , 0. ],
[0. , 0. , 0. , 0. , 0. ],
[0. , 0. , 0. , 0. , 0. ],
[0. , 0. , 0. , 0. , 0. ],
[0. , 0. , 0. , 0. , 0. ],
[0. , 0. , 0. , 0. , 0. ],
[0. , 0. , 0. , 0. , 0. ],
[0. , 0. , 0. , 0. ],
[0. , 0. , 0. , 0. ]]
```

# $\mathbf{L} = \mathbf{D} - \mathbf{A}_f(\theta)$

```
[[ 1.03, -0.03, -0.01, -0.99, 0. , 0. ], [-0.03, 0.87, -0.82, -0.02, 0. , 0. ], [-0.01, -0.82, 0.86, 0. , 0. , -0.03], [-0.99, -0.02, 0. , 1.01, 0. , 0. ], [ 0. , 0. , 0. , 0. , 0.01, -0.01], [ 0. , 0. , -0.03, 0. , -0.01, 0.04]]
```

$$\mathbf{L}^* = I - \mathbf{D}^{-\frac{1}{2}} \mathbf{A}_f(\theta) \mathbf{D}^{-\frac{1}{2}}$$

```
[[ 1. , -0.03, -0.01, -0.97, 0. , 0. ], [-0.03, 1. , -0.95, -0.02, 0. , 0. ], [-0.01, -0.95, 1. , 0. , 0. , -0.16], [-0.97, -0.02, 0. , 1. , 0. , 0. ], [ 0. , 0. , 0. , 0. , 1. , -0.5 ], [ 0. , 0. , -0.16, 0. , -0.5 , 1. ]]
```

### Normalized Cuts and Image Segmentation

Jianbo Shi and Jitendra Malik, Member, IEEE

**Abstract**—We propose a novel approach for solving the perceptual grouping problem in vision. Rather than focusing on local features and their consistencies in the image data, our approach aims at extracting the global impression of an image. We treat image segmentation as a graph partitioning problem and propose a novel global criterion, the *normalized cut*, for segmenting the graph. The *normalized cut* criterion measures both the total dissimilarity between the different groups as well as the total similarity within the groups. We show that an efficient computational technique based on a generalized eigenvalue problem can be used to optimize this criterion. We have applied this approach to segmenting static images, as well as motion sequences, and found the results to be very encouraging.

Index Terms—Grouping, ima	ge segmentation, graph part	itioning.	
	1	<b></b> •	

#### Shi & Malik's Realization

### Normalized Cuts and Image Segmentation

Jianbo Shi and Jitendra Malik, Member, IEEE

**Point** - Eigenspectrum of **L** yields the approximate solution for minimum weighted cut of  $A_f(\theta)$ .

Shi & Malik's Realization: MWC is a Rayleigh Quot.

# $\mathbf{L} = \mathbf{D} - \mathbf{A}_f(\theta)$

- **1. Affinity matrix computed** for all sites using parameter  $\theta$  to structure the attribute kernel
- **2.** Obtain top k eigenvectors of L (approx. OK!)
- **3. Compute** *k***-means clustering** *or recursively partition based on unique values in eigenspace*
- 4. Label original data using the eigenvector labels

### **Spectral Clustering for the MWC**

$$\mathbf{L} = \mathbf{D} - \mathbf{A}_f(\theta) \circ \mathbf{A}_s(\eta)$$

# Spatially-Encouraged Spectral Clustering™

(a constrained laplace clustering brand)

$$\mathbf{L} = \mathbf{D} - \mathbf{A}_f(\theta) \circ \mathbf{A}_s(\eta)$$

## **Spatial Affinity Matrix**

Model of the spatial proximity of relationships in the problem

$$\mathbf{L} = \mathbf{D} - \mathbf{A}_f(\theta) \circ \mathbf{A}_S(\eta)$$

# Spatially-Encouraged Laplacian

Sufficient representation of attribute affinity graph of  $\mathbf{A}_f(\theta)$  restricted/informed by spatial relationships  $\mathbf{A}_s(\eta)$ 

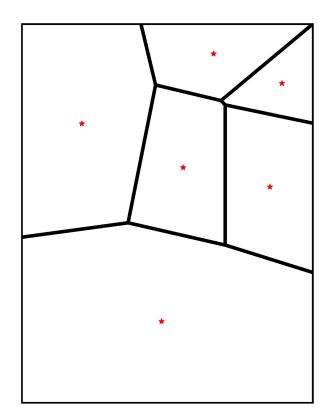
### Mixing Spatial & Attribute Kernels

$$\mathbf{L} = \mathbf{D} - \mathbf{A}_f(\theta) \circ \mathbf{A}_s(\eta)$$

# **Spatially-Encouraged Laplacian**

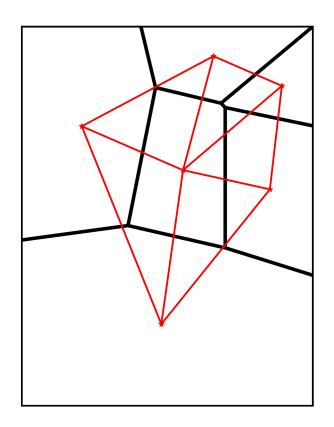
If 
$$\mathbf{A}_{s}(\eta)_{ij} = -1$$
, then  $i,j$  never connect!  
If  $\mathbf{A}_{s}(\eta)_{ij} = 0$ , then  $i,j$  may only connect iff  $\mathbf{A}_{s}(\eta)_{ik} \neq 0$  **AND**  $\mathbf{A}_{s}(\eta)_{jk} \neq 0$  for some  $k$ 

### Mixing Spatial & Attribute Kernels



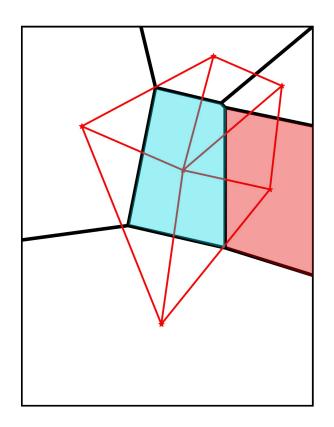
$$\mathbf{A}_{f}(\theta) = \begin{bmatrix} [0. & , & 0.03, & 0.01, & 0.99, & 0. & , & 0. & ], \\ [0.03, & 0. & , & 0.82, & 0.02, & 0. & , & 0. & ], \\ [0.01, & 0.82, & 0. & , & 0. & , & 0. & , & 0.03], \\ [0.99, & 0.02, & 0. & , & 0. & , & 0. & , & 0. & ], \\ [0. & , & 0. & , & 0. & , & 0. & , & 0.01], \\ [0. & , & 0. & , & 0.03, & 0. & , & 0.01, & 0. & ] \end{bmatrix}$$

### Example: Sixset

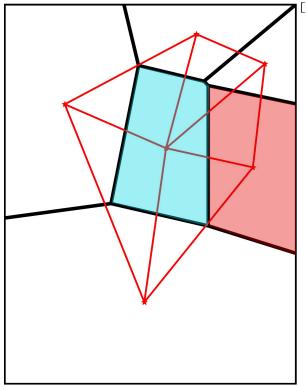


$$\mathbf{A}_{f}(\theta) = \begin{bmatrix} [0. & , 0.03, 0.01, 0.99, 0. & , 0. ], \\ [0.03, 0. & , 0.82, 0.02, 0. & , 0. ], \\ [0.01, 0.82, 0. & , 0. & , 0. & , 0.03], \\ [0.99, 0.02, 0. & , 0. & , 0. & , 0. ], \\ [0. & , 0. & , 0. & , 0. & , 0. & , 0.01], \\ [0. & , 0. & , 0.03, 0. & , 0.01, 0. ] \end{bmatrix}$$

$$\mathbf{A}_{s}(\eta) = \begin{bmatrix} [1., 0., 1., 1., 0., 0.], \\ [1., 1., 0., 1., 1., 1., 1.], \\ [0., 1., 1., 0., 0., 0., 1.], \\ [1., 0., 1., 0., 0., 0., 1.], \\ [0., 0., 1., 1., 1., 0.] \end{bmatrix}$$



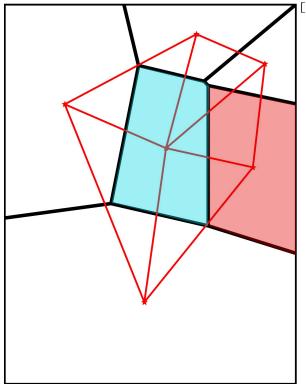
$$\mathbf{A}_{f}(\theta) = \begin{bmatrix} [0. & , 0.03, 0.01, 0.99, 0. & , 0. ], \\ [0.03, 0. & , 0.82, 0.02, 0. & , 0. ], \\ [0.01, 0.82, 0. & , 0. & , 0. & , 0.03], \\ [0.99, 0.02, 0. & , 0. & , 0. & , 0. ], \\ [0. & , 0. & , 0. & , 0. & , 0. & , 0.01], \\ [0. & , 0. & , 0.03, 0. & , 0.01, 0. ] \end{bmatrix}$$



```
[[ 0.04, -0.03, -0.01, 0. , 0. , 0. ], [-0.03, 0.87, -0.82, -0.02, 0. , 0. ], [-0.01, -0.82, 0.86, 0. , 0. , -0.03], [ 0. , -0.02, 0. , 0.02, 0. , 0. ], [ 0. , 0. , 0. , 0. , 0. , 0.01, -0.01], [ 0. , 0. , 0. , -0.03, 0. , -0.01, 0.04]]
```

$$\mathbf{L} = \mathbf{D} - \mathbf{A}_f(\theta) \circ \mathbf{A}_s(\eta)$$

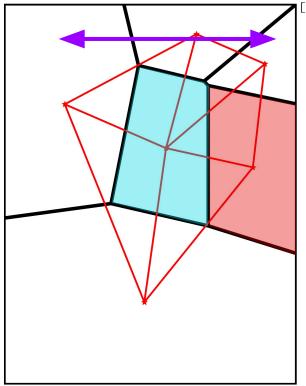
#### **Example: Sixset**



```
[[ 1.03, -0.03, -0.01, -0.99, 0. , 0. ], [-0.03, 0.87, -0.82, -0.02, 0. , 0. ], [-0.01, -0.82, 0.86, 0. , 0. , -0.03], [-0.99, -0.02, 0. , 1.01, 0. , 0. ], [ 0. , 0. , 0. , 0. , 0.01, -0.01], [ 0. , 0. , -0.03, 0. , -0.01, 0.04]]
```

$$\mathbf{L} = \mathbf{D} - \mathbf{A}_f(\theta)$$

#### **Example: Sixset**

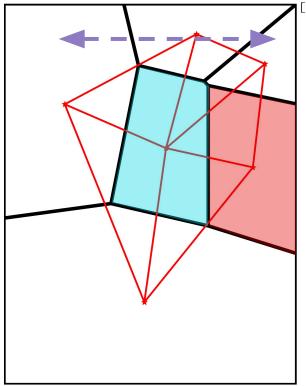


```
[0. , 0.03, 0.01, 0.99, 0. , 0. ], [[0., 1., 1., 0., 1., 0.], [0.03, 0. , 0.82, 0.02, 0. , 0. ], [1., 0., 1., 1., 0., 0.], [0.01, 0.82, 0. , 0. , 0. , 0. ], [1., 1., 0., 1., 1., 1.], [0.99, 0.02, 0. , 0. , 0. , 0. ], [0., 1., 1., 0., 0., 1.], [0. , 0. , 0. , 0. , 0. ]]
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[ [ 1.03, -0.03, -0.01, -0.99, 0. , 0. ], [-0.03, 0.87, -0.82, -0.02, 0. , 0. ], [-0.01, -0.82, 0.86, 0. , 0. , -0.03], [-0.99, -0.02, 0. , 1.01, 0. , 0. ], [ 0. , 0. , 0. , 0. , 0.01, -0.01], [ 0. , 0. , -0.03, 0. , -0.01, 0.04]]
```

$$\mathbf{L} = \mathbf{D} - \mathbf{A}_f(\theta)$$

## **Example: Sixset**



$$\mathbf{L} = \mathbf{D} - \mathbf{A}_f(\theta) \circ \mathbf{A}_s(\eta)$$

## **Example: Sixset**

## $\mathbf{L} = \mathbf{D} - \mathbf{A}_f(\theta)$

- **1. Affinity matrix computed** for all sites using parameter  $\theta$  to structure the attribute kernel.
- **2.** Obtain top k eigenvectors of L (approx. OK!)
- **3. Compute** *k***-means clustering** *or recursively partition based on unique values in eigenspace.*
- **4. Label** original data using the eigenvector labels.

## **Spectral Clustering for the MWC**

$$\mathbf{L} = \mathbf{D} - \mathbf{A}_f(\theta) \circ \mathbf{A}_s(\eta)$$

- **1. Affinity matrix computed** for all **near** sites using parameters  $\theta$ , $\eta$  to structure the kernels.
- **2.** Obtain top *k* eigenvectors of L (approx. OK!)
- **3. Compute** *k***-means clustering** *or recursively partition based on unique values in eigenspace.*
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$$\mathbf{L} = \mathbf{D} - \mathbf{A}_f(\theta) \circ \mathbf{A}_s(\eta)$$

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 $\mathbf{L} = \mathbf{D} - \mathbf{A}_f(\theta) \circ \mathbf{A}_s(\eta)$ 

Constrained Spectral Clustering for Regionalization: Exploring the Trade-off between Spatial Contiguity and Landscape Homogeneity

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$$\mathbf{L} = \mathbf{D} - \mathbf{A}_f(\mathbf{Q}) \circ \mathbf{A}_s(\eta)$$

Constrained Spectral Clustering for Regionalization:

## CLAIM (Yuan et al, 2015):

For a contiguity  $\mathbf{A}_{s}(\eta)$ ,  $\eta$  controls solution.

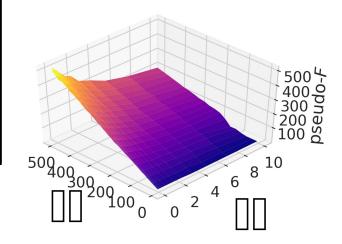
 $\theta$  never mentioned.

 $\eta$  is like convex weight, w in  $w\mathbf{A} + (1-w)\mathbf{B}$ 

$$\mathbf{L} = \mathbf{D} - \mathbf{A}_f(\mathbf{x}) \circ \mathbf{A}_s(\eta)$$

For a contiguity  $\mathbf{A}_s(\eta)$ ,  $\eta$  controls solution.  $\theta$  never mentioned.

 $\eta$  is like convex weight, w in  $w\mathbf{A} + (1-w)\mathbf{B}$ 



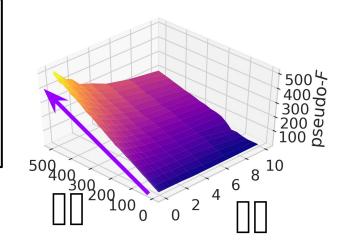
$$\mathbf{L} = \mathbf{D} - \mathbf{A}_f(\mathbf{x}) \circ \mathbf{A}_s(\eta)$$

For a contiguity  $\mathbf{A}_s(\eta)$ ,  $\eta$  controls solution.

 $\theta$  never mentioned.

 $\eta$  is like convex weight, w in  $w\mathbf{A} + (1-w)\mathbf{B}$ 

Change  $\eta$  while  $\theta$  held constant



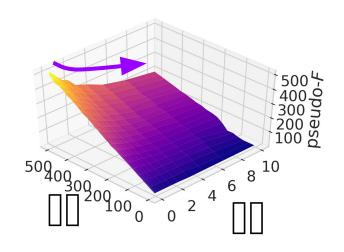
$$\mathbf{L} = \mathbf{D} - \mathbf{A}_f(\mathbf{Q}) \circ \mathbf{A}_s(\eta)$$

For a contiguity  $\mathbf{A}_{s}(\eta)$ ,  $\eta$  controls solution.

 $\theta$  never mentioned.

 $\eta$  is like convex weight, w in  $w\mathbf{A} + (1-w)\mathbf{B}$ 

Hold  $\eta$  constant while  $\theta$  changes



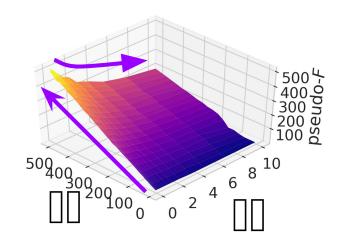
$$\mathbf{L} = \mathbf{D} - \mathbf{A}_f(\theta) \circ \mathbf{A}_s(\eta)$$

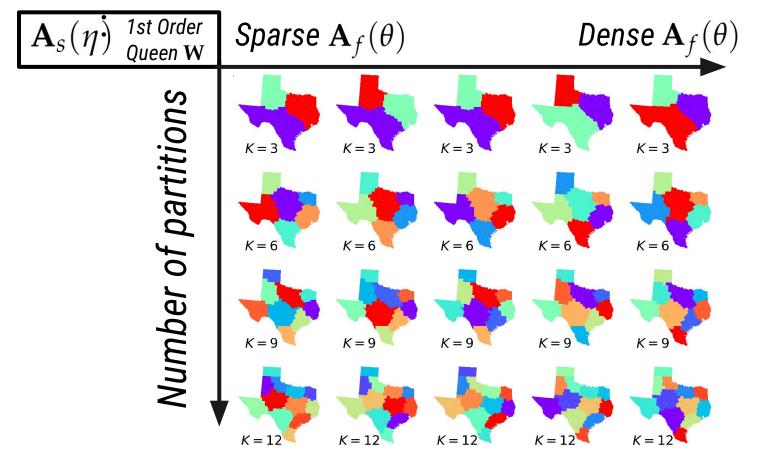
For a contiguity  $\mathbf{A}_{2}(\eta)$ ,  $\eta$  controls solution.

O never mentioned.

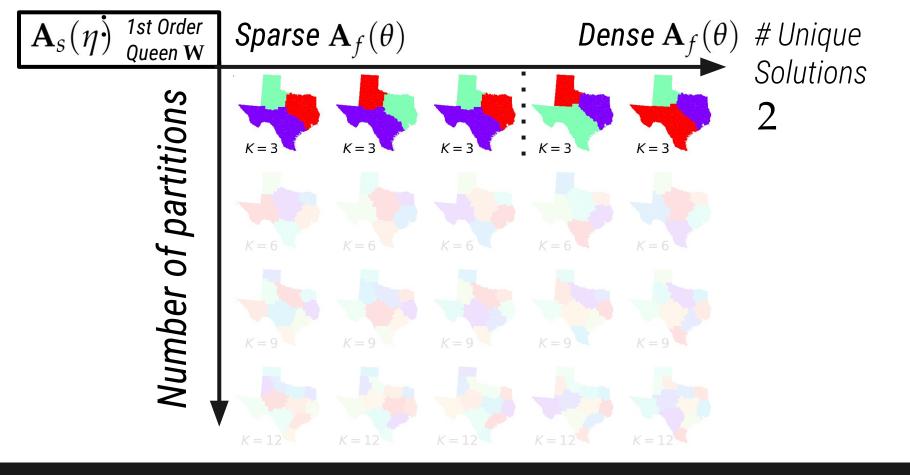
 $\eta$  is like convex weight, w in wA + (1-w)E

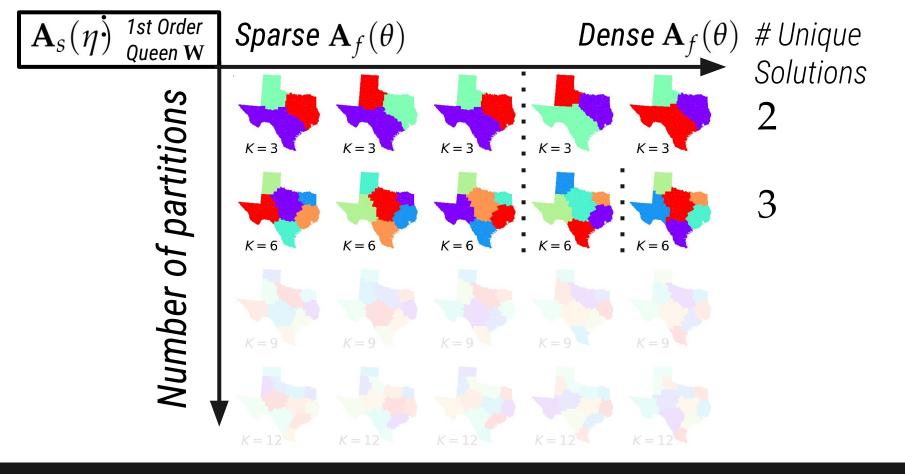
## **BOTH MATTER**

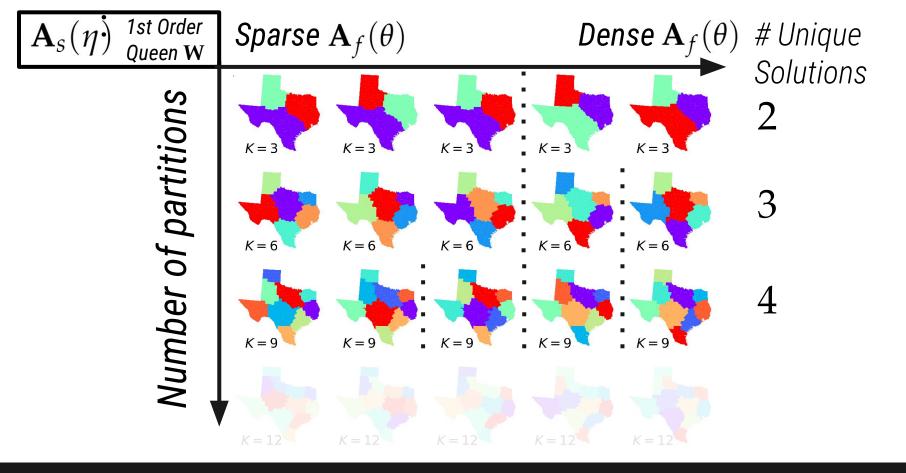


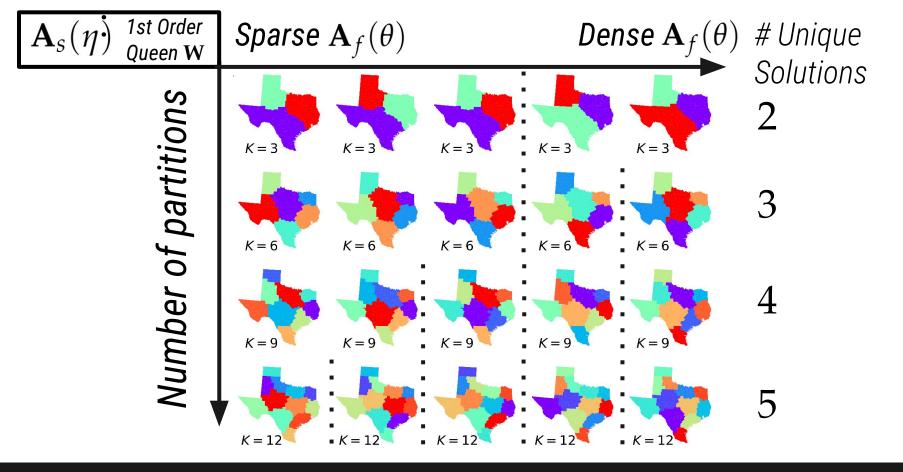


Mixing Spatial & Attribute Kernels









Mixing Spatial & Attribute Kernels

# $\mathbf{L} = \mathbf{D} - \mathbf{A}_f(\theta) \circ \mathbf{A}_s(\eta)$

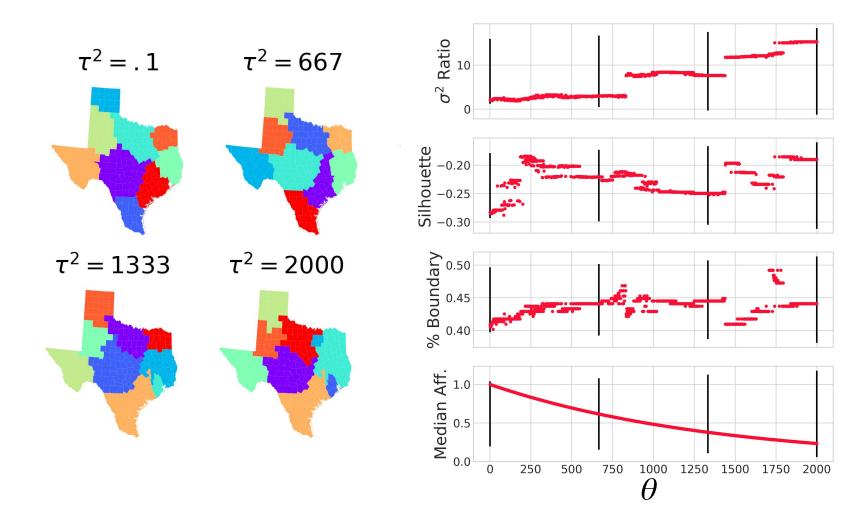
- **1. Affinity matrix computed** for all sites using attribute bandwidth  $\theta$  to structure the attribute affinity.
- **2.** Convolve attribute affinity using the spatial kernel filter with width  $\eta$
- **3.** Obtain top k eigenvectors of L (approx. OK!)
- **4. Compute** *k***-means clustering** or recursively partition based on unique values in eigenspace.
- **5. Label** original data using the eigenvector labels.

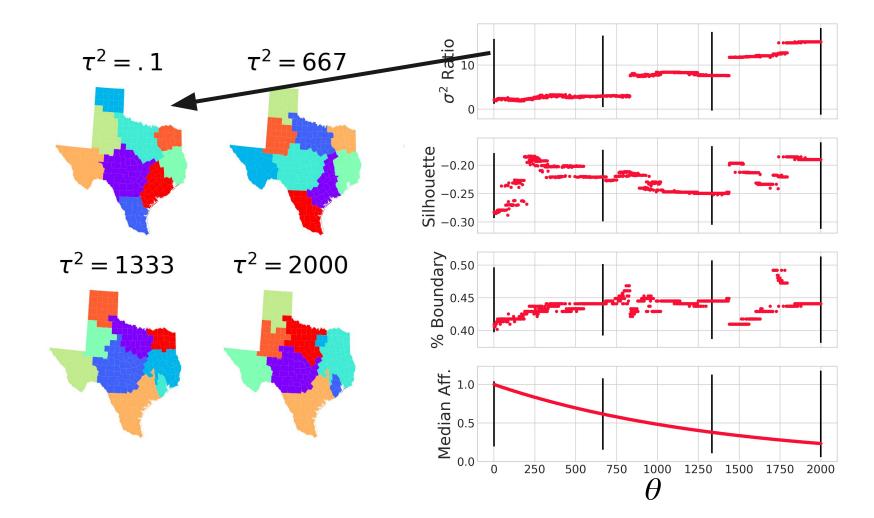
## **Spatially-Encouraged Spectral Clustering**

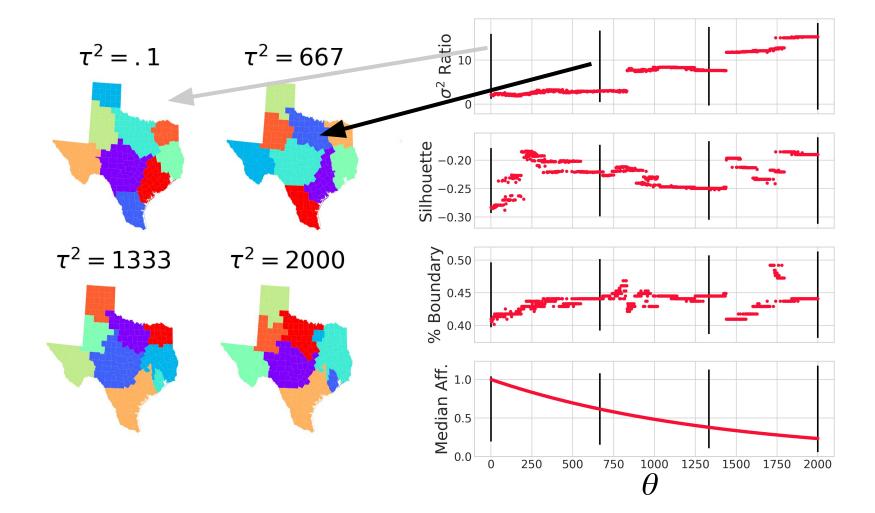
# $\mathbf{L} = \mathbf{D} - \mathbf{A}_f(\theta) \circ \mathbf{A}_s(\eta)$

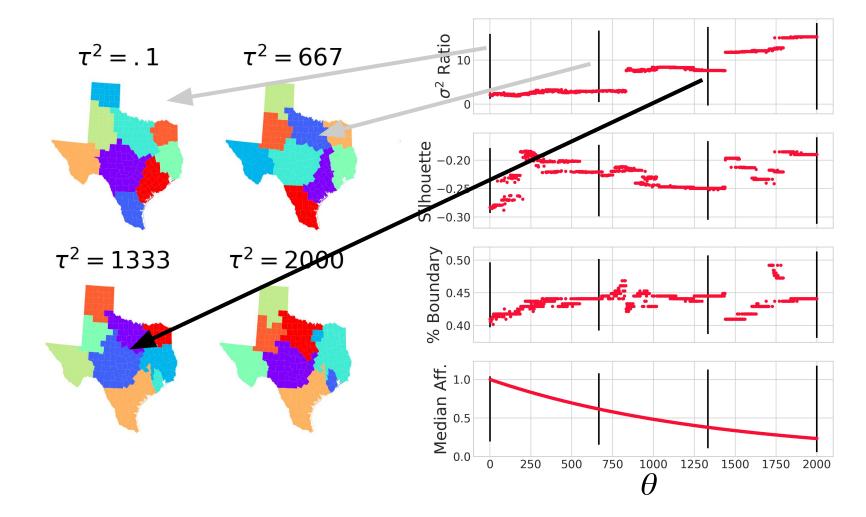
- **1. Affinity matrix computed** for all sites using attribute bandwidth  $\theta$  to structure the attribute affinity.
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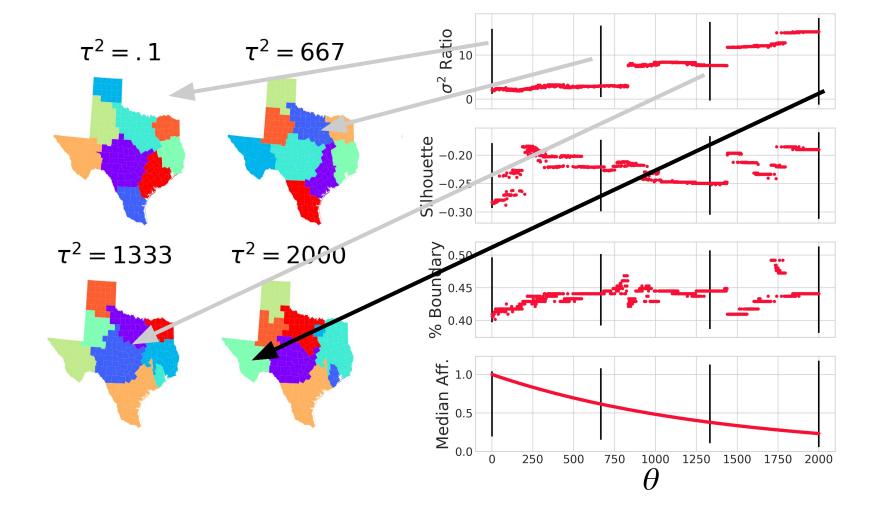
## **Spatially-Encouraged Spectral Clustering**

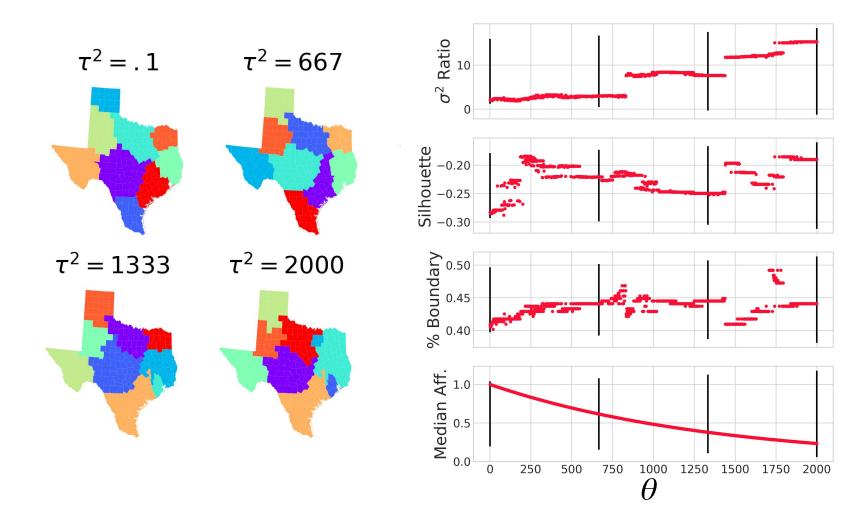


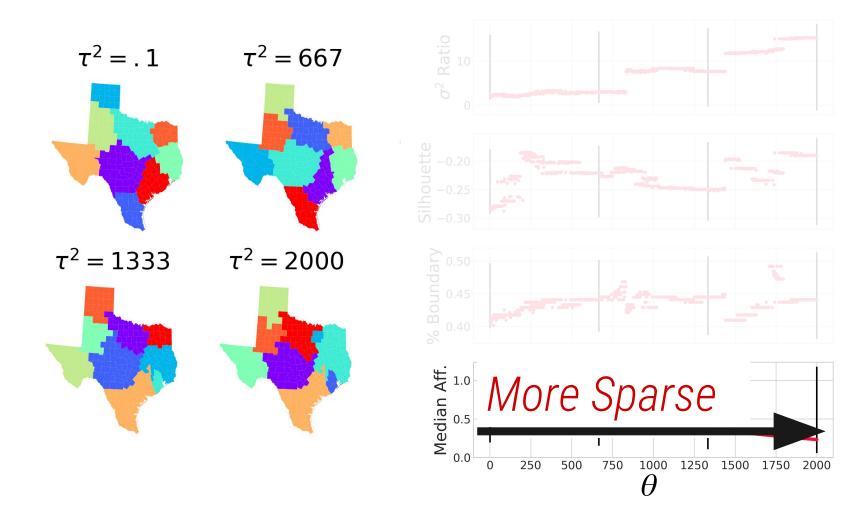


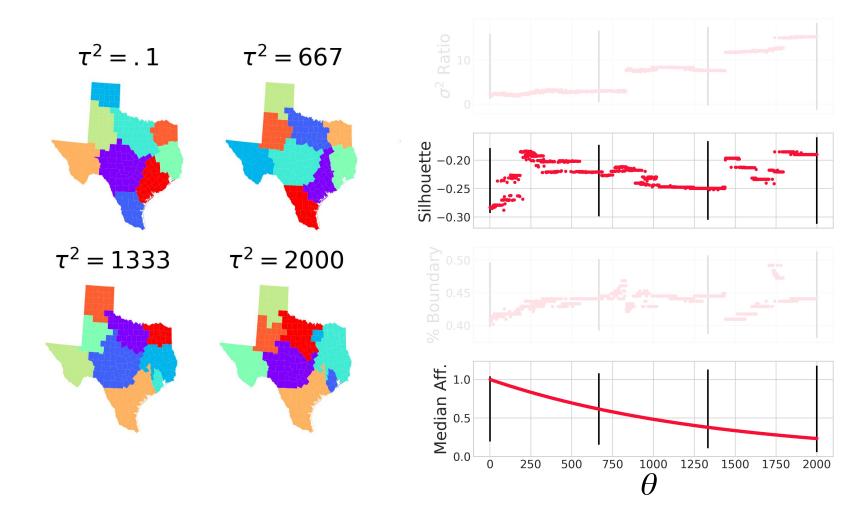


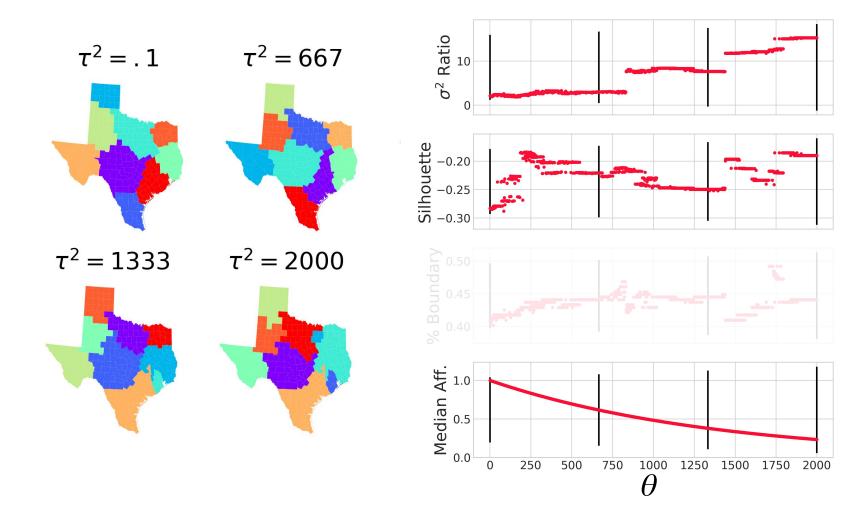


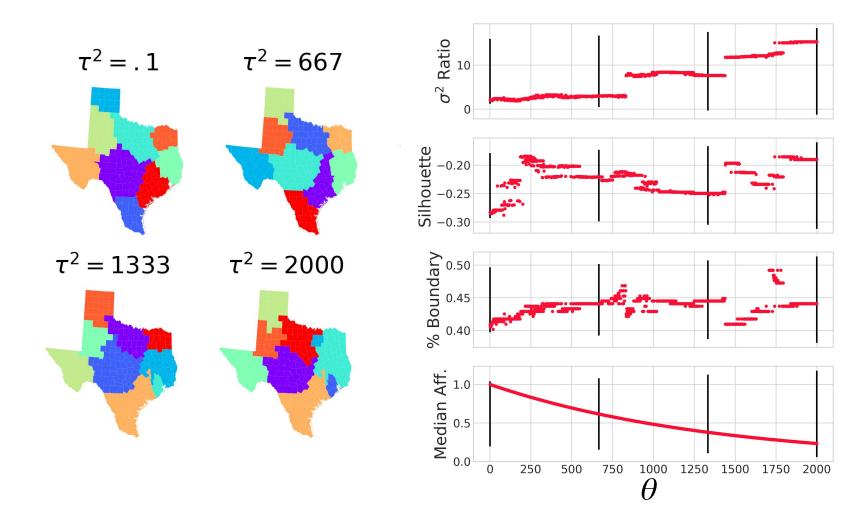


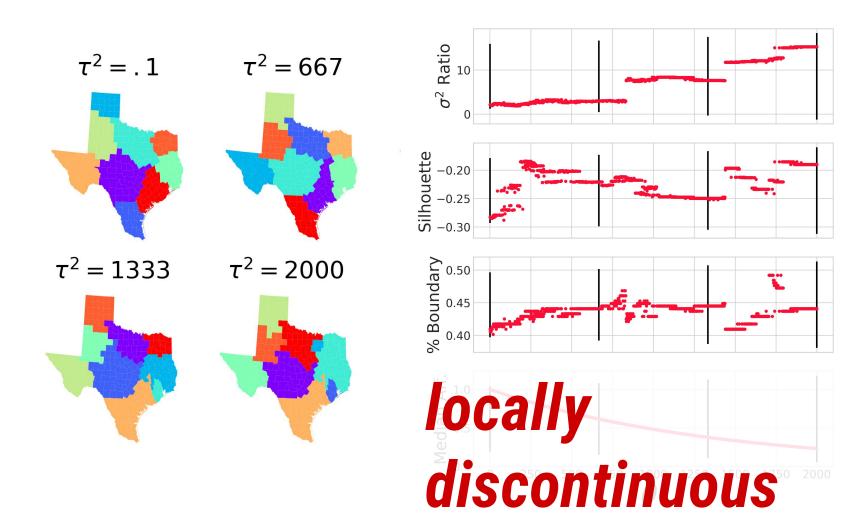


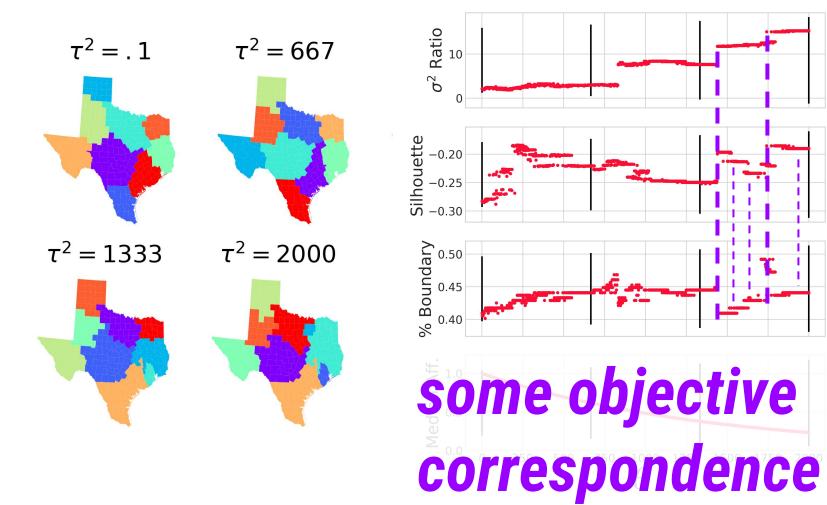


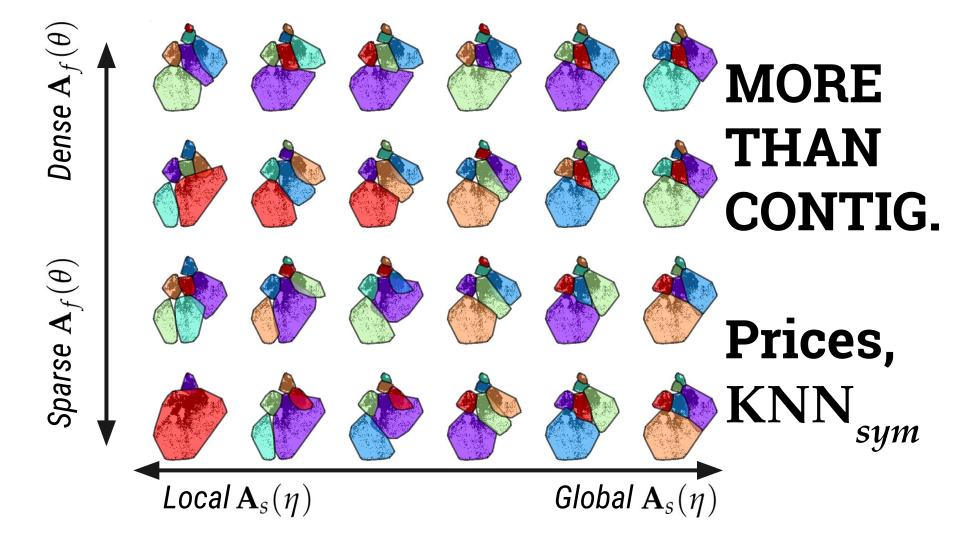


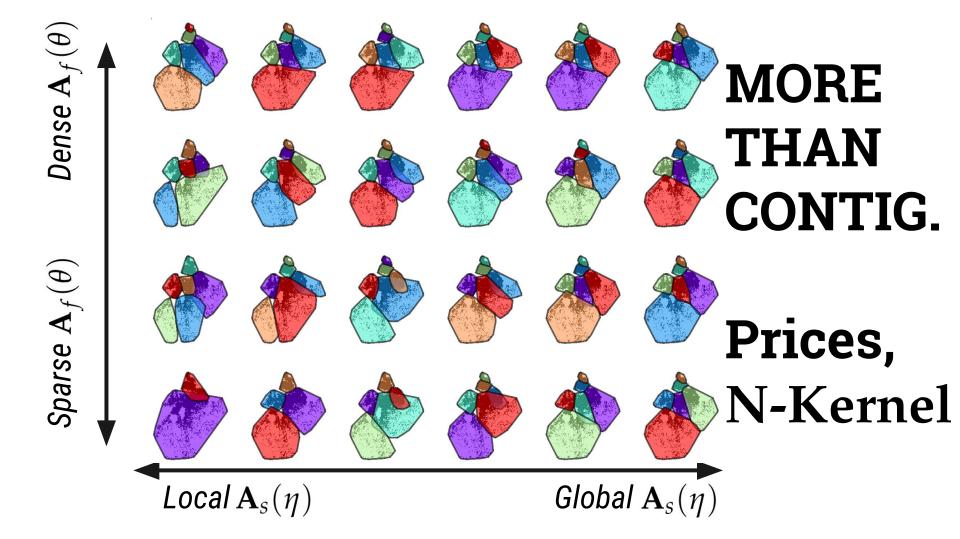


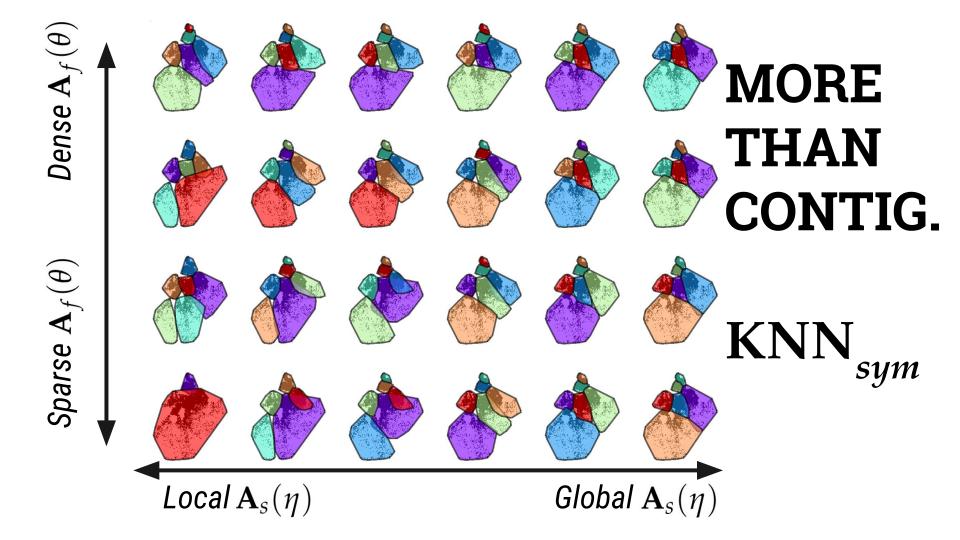












- **Sp**atially-**En**couraged Spectral **C**lustering is a spectral clustering method using convolutions of spatial & attribute kernels.
- Solutions from **SPENC** balance using both spatial & attribute kernel bandwidths.
- Spatial kernels can be fully general when convolved in **SPENC**.

## **Closing Thoughts**





# Spatially-Encouraged Spectral Clustering

A Critical Revision of Spatially-Constrained Spectral Clustering

**Levi John Wolf** 

ljwolf.org/post/2018-gisruk