# Introduction to NLP

CSE5321/CSEG321

Lecture 9. LSTM RNNs and Neural Machine Translation
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## **Lecture Plan**

Lecture 9: LSTM RNNs and Neural Machine Translation (2)

- 1. Intro to Machine Translation and Sequence-to-sequence models.
- 2. Attention mechanisms.

Key Goal: Understanding Seq2seq models and Attention mechanisms.

#### **Machine Translation**

**Machine Translation (MT)** is the task of translating a sentence x from one language (the source language) to a sentence y in another language (the target language).

x: L'homme est né libre, et partout il est dans les fers

y: Man is born free, but everywhere he is in chains

- Rousseau

## NMT: the first big success story of NLP Deep Learning

Neural Machine Translation went from a fringe research attempt in **2014** to the leading standard method in 2016

- **2014**: First seq2seq paper published [Sutskever et al. 2014]
- **2016**: Google Translate switches from SMT to NMT and by 2018 everyone has













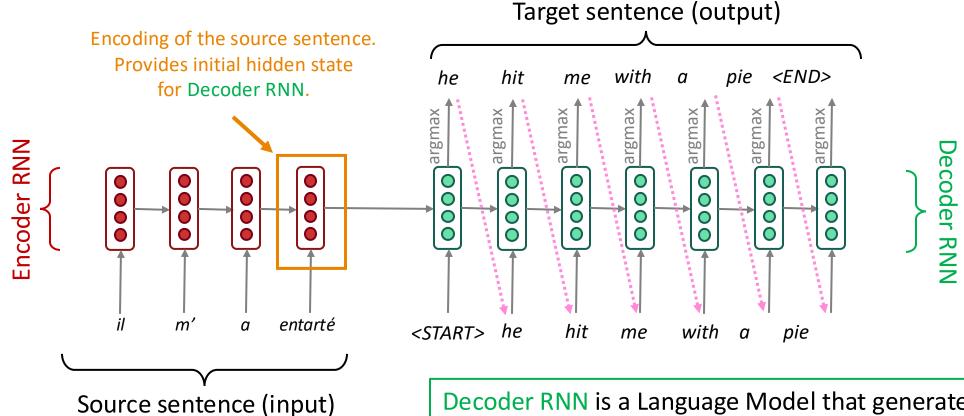




- This is amazing!
  - **SMT** systems, built by hundreds of engineers over many years, outperformed by NMT systems trained by small groups of engineers in a few months

### **Neural Machine Translation (NMT)**

#### The sequence-to-sequence model



Encoder RNN produces an encoding of the source sentence.

Decoder RNN is a Language Model that generates target sentence, conditioned on encoding.

Note: This diagram shows **test time** behavior: decoder output is fed in ······→ as next step's input

### Sequence-to-sequence is versatile!

- The general notion here is an encoder-decoder model
  - One neural network takes input and produces a neural representation
  - Another network produces output based on that neural representation
  - If the input and output are sequences, we call it a seq2seq model
- Sequence-to-sequence is useful for more than just MT
- Many NLP tasks can be phrased as sequence-to-sequence:
  - Summarization (long text → short text)
  - Dialogue (previous utterances → next utterance)
  - Parsing (input text → output parse as sequence)
  - Code generation (natural language → Python code)

### **Neural Machine Translation (NMT)**

- The sequence-to-sequence model is an example of a Conditional Language Model
  - Language Model because the decoder is predicting the next word of the target sentence y
  - Conditional because its predictions are also conditioned on the source sentence x

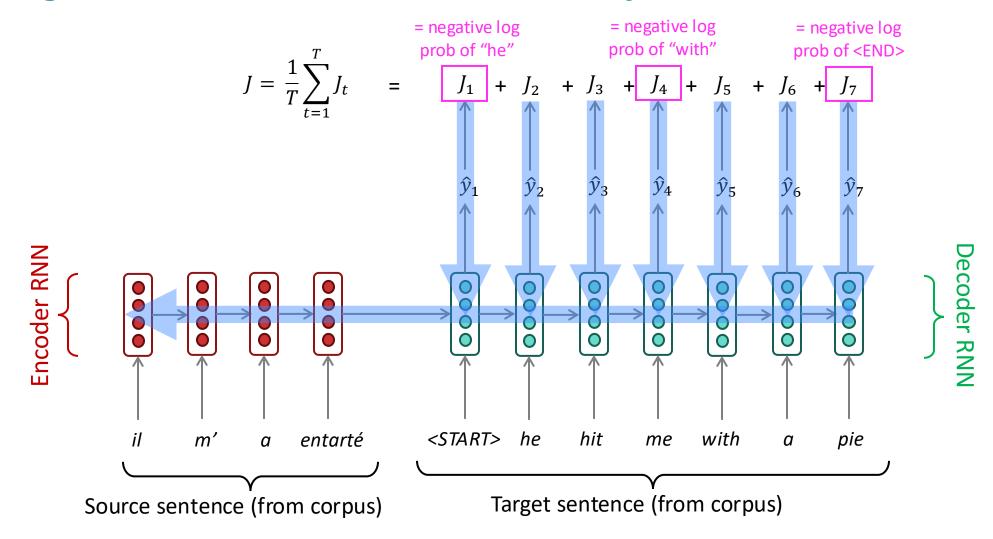
• NMT directly calculates P(y|x):

$$P(y|x) = P(y_1|x) P(y_2|y_1, x) P(y_3|y_1, y_2, x) \dots P(y_T|y_1, \dots, y_{T-1}, x)$$

Probability of next target word, given target words so far and source sentence *x* 

- Question: How to train an NMT system?
- (Easy) Answer: Get a big parallel corpus...
  - But there is now exciting work on "unsupervised NMT", data augmentation, etc.

### **Training a Neural Machine Translation system**

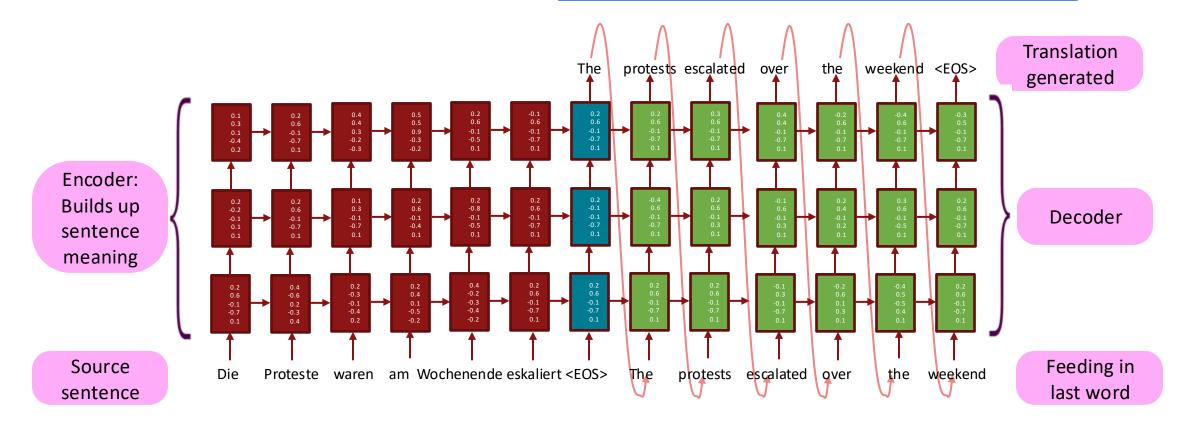


Seq2seq is optimized as a **single system**. Backpropagation operates "end-to-end".

### Multi-layer deep encoder-decoder machine translation net

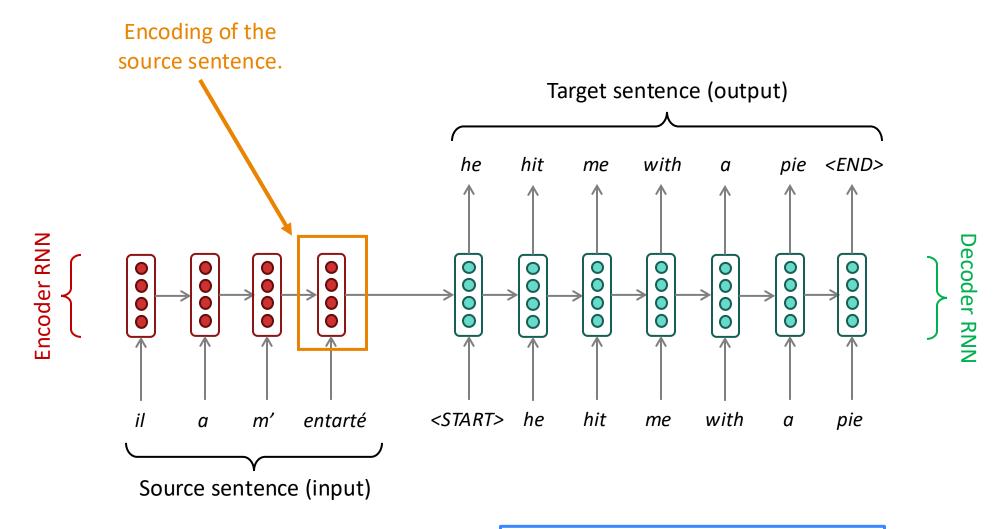
[Sutskever et al. 2014; Luong et al. 2015]

The hidden states from RNN layer *i* are the inputs to RNN layer *i*+1



Conditioning = Bottleneck

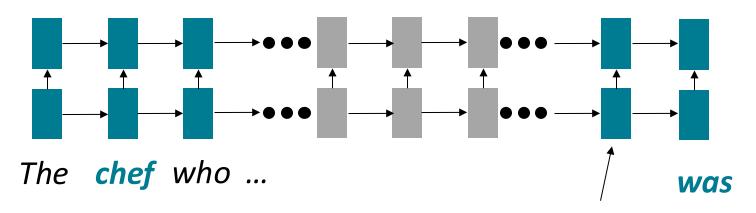
### The final piece: the bottleneck problem in RNNs



**Problems with this architecture?** 

#### Issues with recurrent models: Linear interaction distance

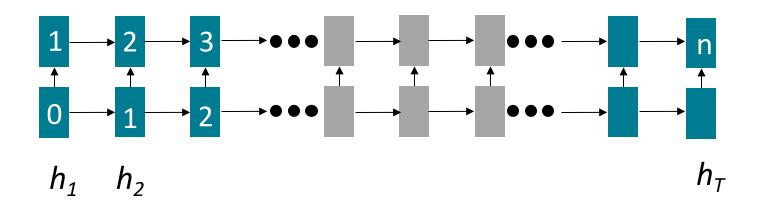
- O(sequence length) steps for distant word pairs to interact means:
  - Hard to learn long-distance dependencies (because gradient problems!)
  - Linear order of words is "baked in"; we already know linear order isn't the right way to think about sentences...



Info of *chef* has gone through O(sequence length) many layers!

## Issues with recurrent models: Lack of parallelizability

- Forward and backward passes have O(sequence length) unparallelizable operations
  - GPUs can perform a bunch of independent computations at once!
  - But future RNN hidden states can't be computed in full before past RNN hidden states have been computed
  - Inhibits training on very large datasets!



Numbers indicate min # of steps before a state can be computed

#### **Attention**

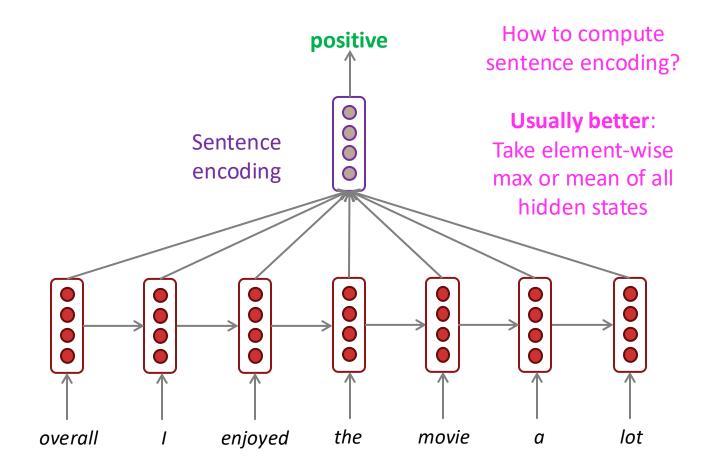
Attention provides a solution to the bottleneck problem.

Core idea: on each step of the decoder, use direct connection to the encoder to focus
on a particular part of the source sequence



First, we will show via diagram (no equations), then we will show with equations

### The starting point: mean-pooling for RNNs



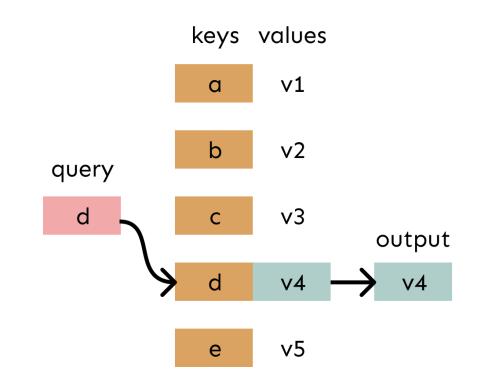
Starting point: a very basic way of 'passing information from the encoder' is to average

### Attention is weighted averaging, which lets you do lookups!

Attention is just a weighted average – this is very powerful if the weights are learned!

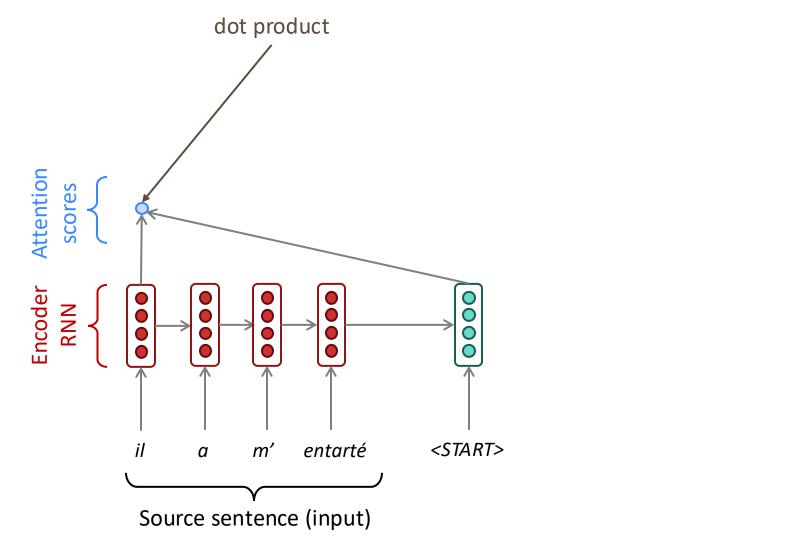
In **attention**, the **query** matches all **keys** *softly*, to a weight between 0 and 1. The keys' **values** are multiplied by the weights and summed.

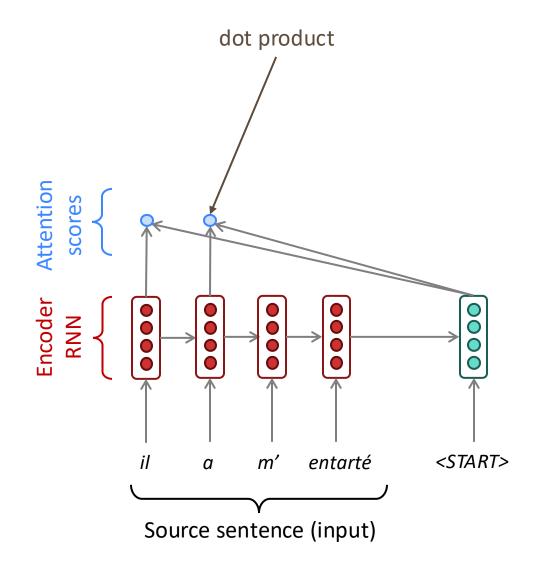
In a **lookup table**, we have a table of **keys** that map to **values**. The **query** matches one of the keys, returning its value.



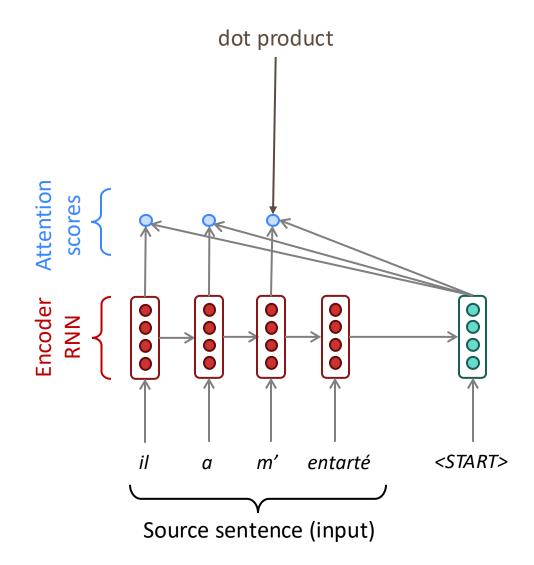
**Core idea**: on each step of the decoder, use *direct connection to the encoder* to *focus on a particular part* of the source sequence

Decoder RNN

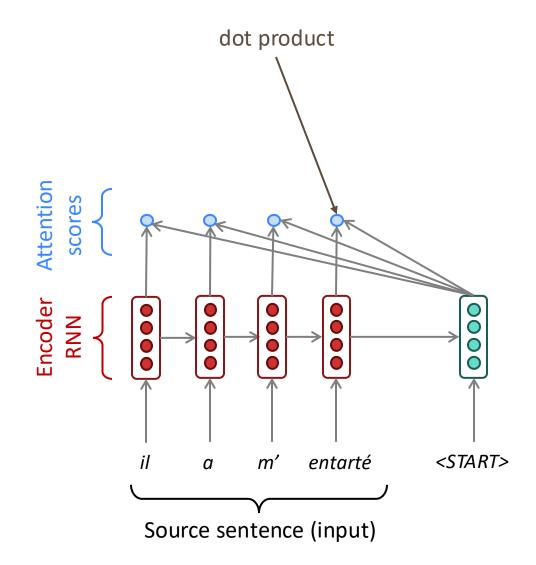




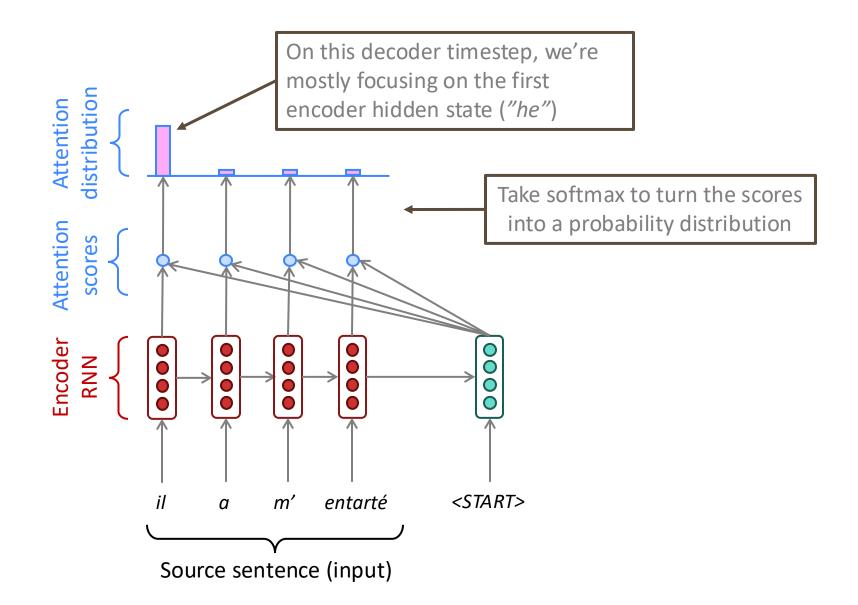


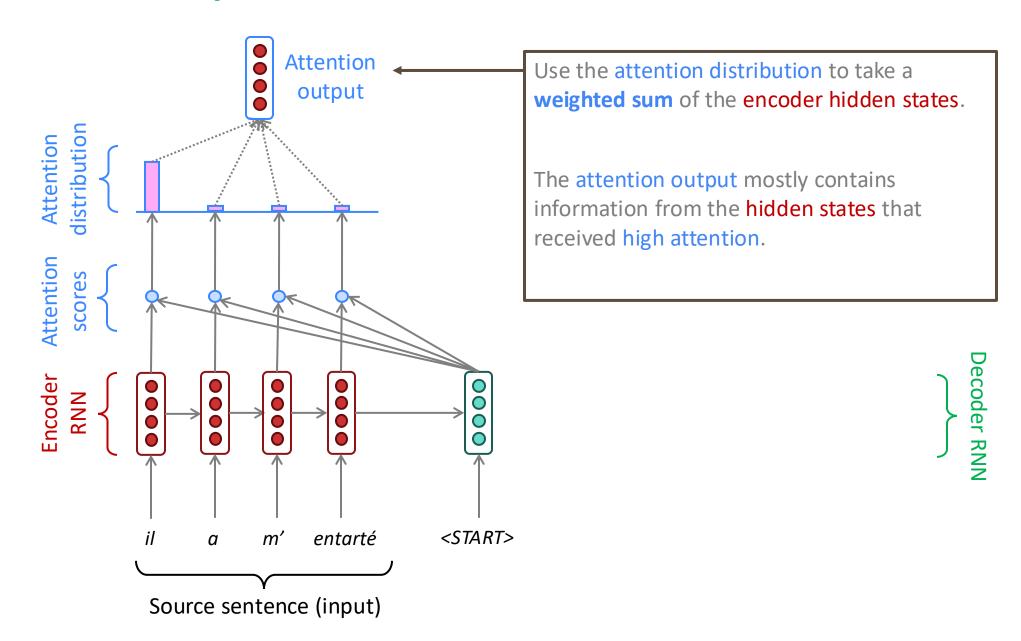


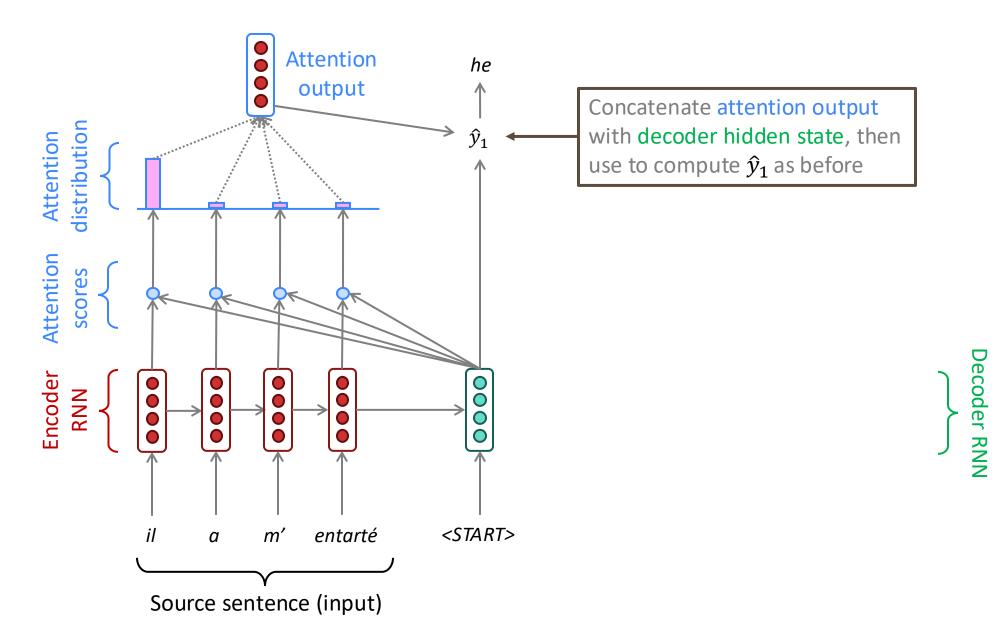


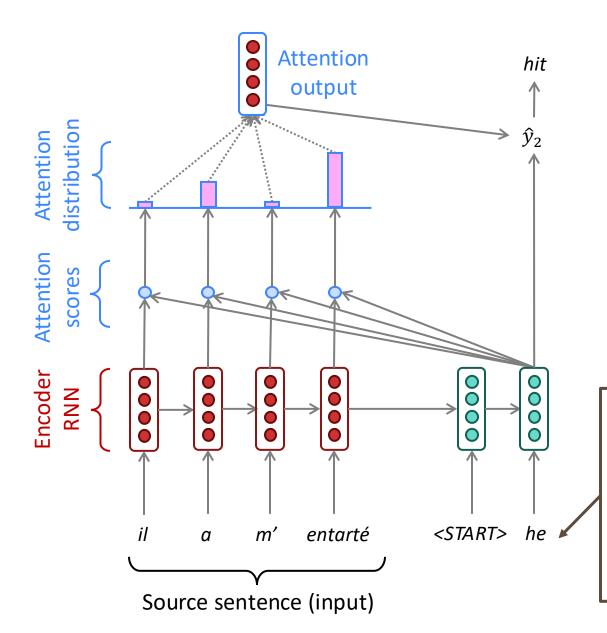






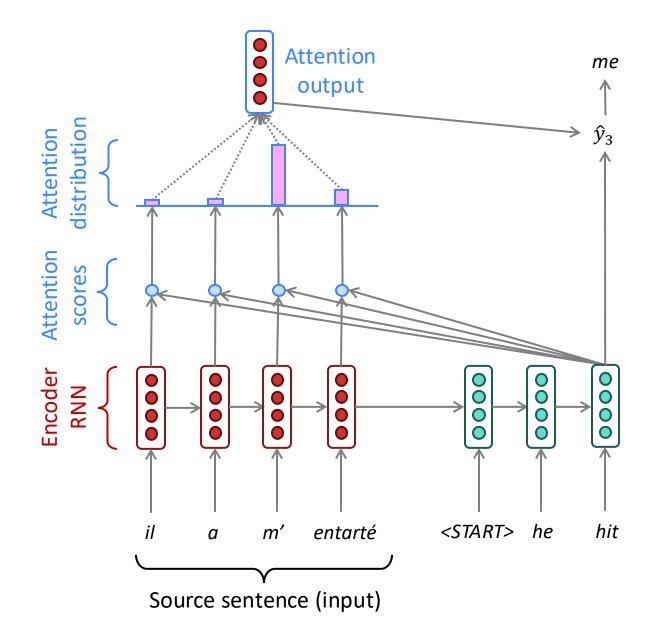




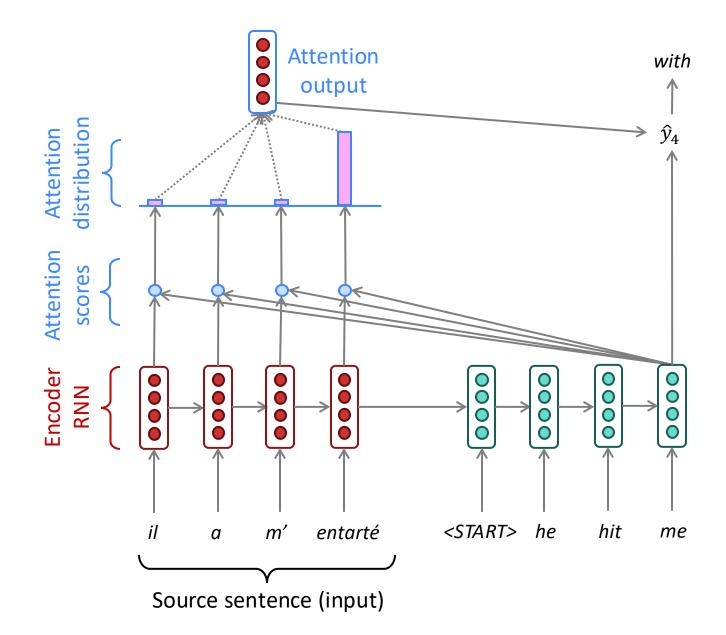


Sometimes we take the attention output from the previous step, and also feed it into the decoder (along with the usual decoder input). We do this in Assignment 4.

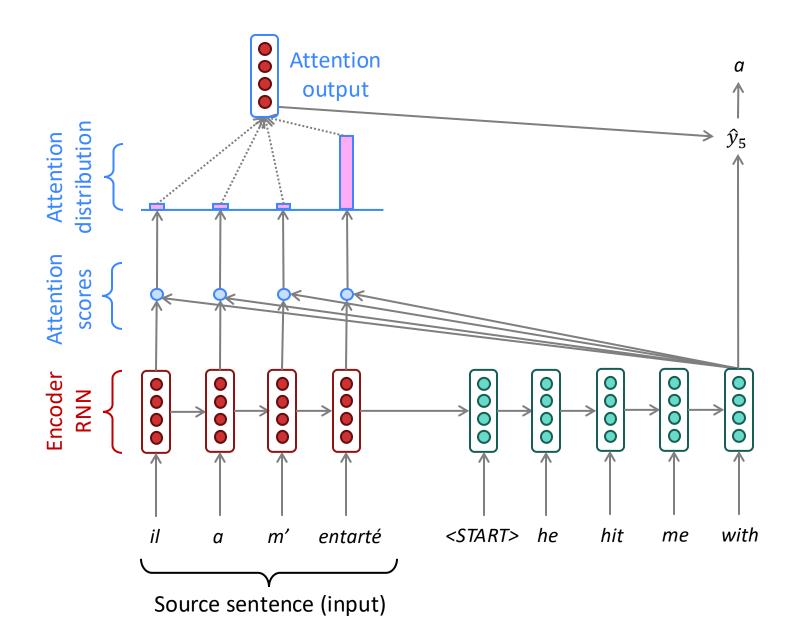
Decoder RNN



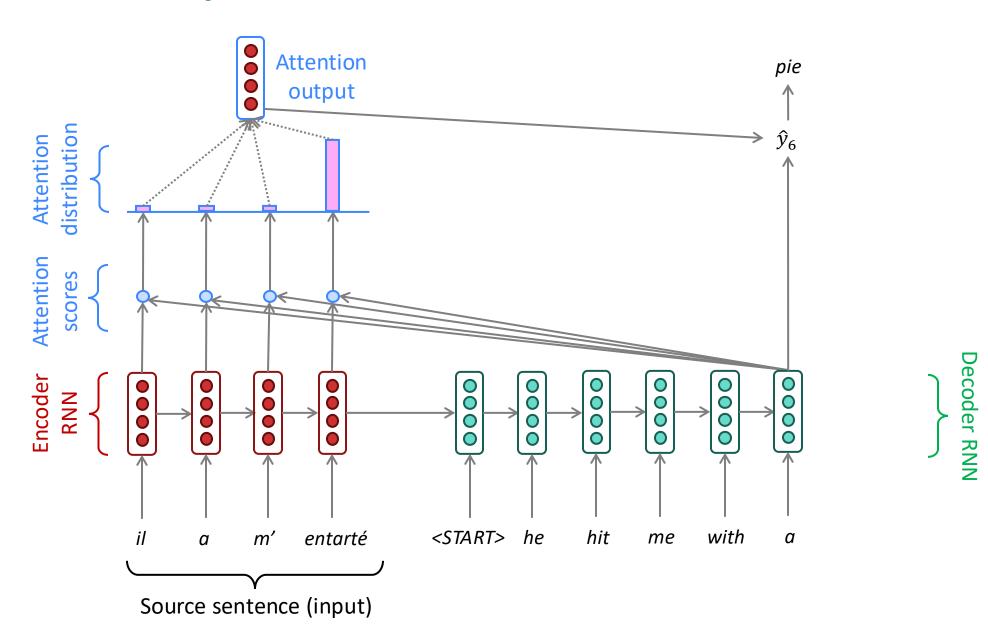








Decoder RNN



### **Attention: in equations**

- We have encoder hidden states  $h_1, \ldots, h_N \in \mathbb{R}^h$
- On timestep t, we have decoder hidden state  $s_t \in \mathbb{R}^h$
- We get the attention scores  $oldsymbol{e}^t$  for this step:

$$oldsymbol{e}^t = [oldsymbol{s}_t^Toldsymbol{h}_1, \dots, oldsymbol{s}_t^Toldsymbol{h}_N] \in \mathbb{R}^N$$

• We take softmax to get the attention distribution  $lpha^t$  for this step (this is a probability distribution and sums to 1)

$$\alpha^t = \operatorname{softmax}(\boldsymbol{e}^t) \in \mathbb{R}^N$$

• We use  $\,lpha^t$  to take a weighted sum of the encoder hidden states to get the attention output  $\,m{a}_t$ 

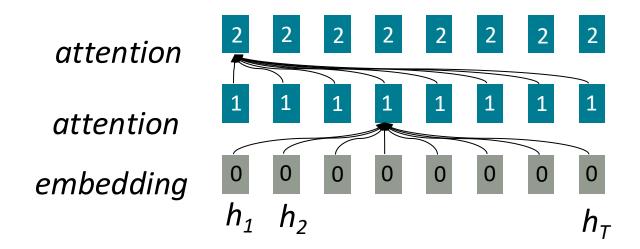
$$oldsymbol{a}_t = \sum_{i=1}^N lpha_i^t oldsymbol{h}_i \in \mathbb{R}^h$$

• Finally we concatenate the attention output  $m{a}_t$  with the decoder hidden state  $s_t$  and proceed as in the non-attention seq2seq model

$$[oldsymbol{a}_t;oldsymbol{s}_t]\in\mathbb{R}^{2h}$$

### Attention is parallelizable, and solves bottleneck issues.

- Attention treats each word's representation as a query to access and incorporate information from a set of values.
  - We saw attention from the **decoder** to the **encoder**; today we'll think about attention **within a single sentence**.
- Number of unparallelizable operations does not increase with sequence length.
- Maximum interaction distance: O(1), since all words interact at every layer!

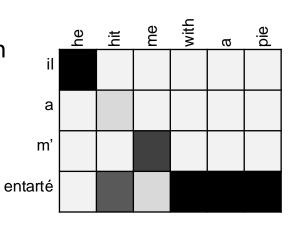


All words attend to all words in previous layer; most arrows here are omitted

#### **Attention is great!**

- Attention significantly improves NMT performance
  - It's very useful to allow decoder to focus on certain parts of the source
- Attention provides a more "human-like" model of the MT process
  - You can look back at the source sentence while translating, rather than needing to remember it all
- Attention solves the bottleneck problem
  - Attention allows decoder to look directly at source; bypass bottleneck
- Attention helps with the vanishing gradient problem
  - Provides shortcut to faraway states
- Attention provides some interpretability
  - By inspecting attention distribution, we see what the decoder was focusing on
  - We get (soft) alignment for free!
  - The network just learned alignment by itself
- (One issue attention has quadratic cost with respect to sequence length)





### Attention is a general Deep Learning technique

- We've seen that attention is a great way to improve the sequence-to-sequence model for Machine Translation.
- However: You can use attention in many architectures (not just seq2seq) and many tasks (not just MT)
- More general definition of attention:
  - Given a set of vector *values*, and a vector *query*, <u>attention</u> is a technique to compute a weighted sum of the values, dependent on the query.
- We sometimes say that the query attends to the values.
- For example, in the seq2seq + attention model, each decoder hidden state (query)
   attends to all the encoder hidden states (values).

### Attention is a general Deep Learning technique

- More general definition of attention:
  - Given a set of vector *values*, and a vector *query*, <u>attention</u> is a technique to compute a weighted sum of the values, dependent on the query.

#### Intuition:

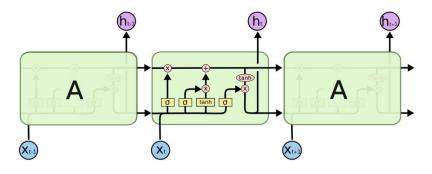
- The weighted sum is a selective summary of the information contained in the values, where the query determines which values to focus on.
- Attention is a way to obtain a fixed-size representation of an arbitrary set of representations (the values), dependent on some other representation (the query).

#### **Upshot:**

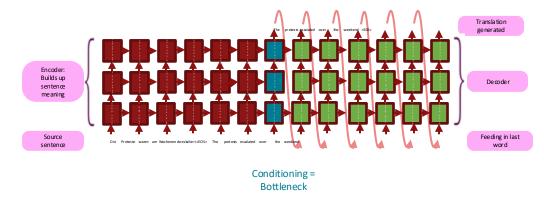
 Attention has become the powerful, flexible, general way pointer and memory manipulation in all deep learning models. A new idea from after 2010! From NMT!

### In summary

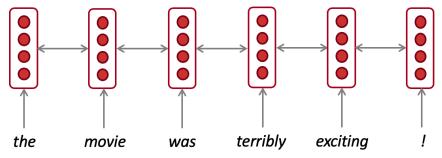
Lots of new information today! What are some of the practical takeaways?



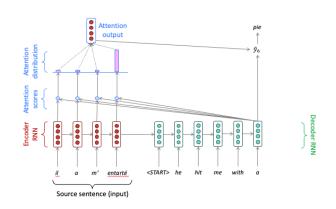
1. LSTMs are powerful



3. Encoder-Decoder Neural Machine Translation Systems work very well



2. Use bidirectionality when possible



4. Attention is a general, useful technique