操作系统原理

第八章: 死锁

洪明坚

重庆大学软件学院

February 19, 2016

目录

The deadlock problem

2 System model

Methods of handling deadlock

Outline

- 2 System model
- Methods of handling deadlock

• A set of processes is deadlocked if each process in the set is waiting for an event that only another process in the set can cause.

- A set of processes is deadlocked if each process in the set is waiting for an event that only another process in the set can cause.
- Example 1

- A set of processes is deadlocked if each process in the set is waiting for an event that only another process in the set can cause.
- Example 1
 - The system has 2 tape drives.

- A set of processes is deadlocked if each process in the set is waiting for an event that only another process in the set can cause.
- Example 1
 - The system has 2 tape drives.
 - P_1 and P_2 hold one tape drive and each needs another one.

- A set of processes is deadlocked if each process in the set is waiting for an event that only another process in the set can cause.
- Example 1
 - The system has 2 tape drives.
 - P_1 and P_2 hold one tape drive and each needs another one.
- Example 2

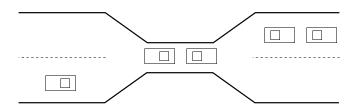
- A set of processes is deadlocked if each process in the set is waiting for an event that only another process in the set can cause.
- Example 1
 - The system has 2 tape drives.
 - P_1 and P_2 hold one tape drive and each needs another one.
- Example 2
 - Multi-threaded programs are good candidates for deadlock.

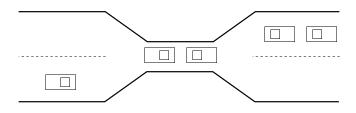
- A set of processes is deadlocked if each process in the set is waiting for an event that only another process in the set can cause.
- Example 1
 - The system has 2 tape drives.
 - P_1 and P_2 hold one tape drive and each needs another one.
- Example 2
 - Multi-threaded programs are good candidates for deadlock.
 - Semaphores A and B, initialized to 1.

- A set of processes is deadlocked if each process in the set is waiting for an event that only another process in the set can cause.
- Example 1
 - The system has 2 tape drives.
 - P_1 and P_2 hold one tape drive and each needs another one.
- Example 2
 - Multi-threaded programs are good candidates for deadlock.
 - Semaphores A and B, initialized to 1.

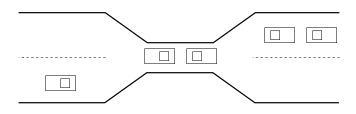
P_1	P_2
P(A);	P(B);
P(B);	P(A);

5 / 15

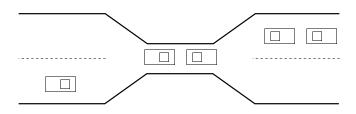




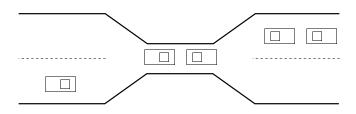
• Traffic only in one direction.



- Traffic only in one direction.
- Each section of a bridge can be viewed as a resource.

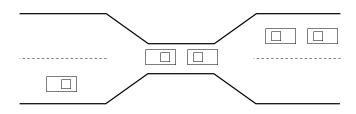


- Traffic only in one direction.
- Each section of a bridge can be viewed as a resource.
- If a deadlock occurs, it can be resolved if one car backs up (preempt resources and rollback).



- Traffic only in one direction.
- Each section of a bridge can be viewed as a resource.
- If a deadlock occurs, it can be resolved if one car backs up (preempt resources and rollback).
- Several cars may have to be backed up if a deadlock occurs.

5 / 15



- Traffic only in one direction.
- Each section of a bridge can be viewed as a resource.
- If a deadlock occurs, it can be resolved if one car backs up (preempt resources and rollback).
- Several cars may have to be backed up if a deadlock occurs.
- Starvation is possible.

5 / 15

Outline

The deadlock problem

System model

3 Methods of handling deadlock

• A system consists of a finite number of resources to be distributed among a number of competing processes.

- A system consists of a finite number of resources to be distributed among a number of competing processes.
- The resources are partitioned into several types.

- A system consists of a finite number of resources to be distributed among a number of competing processes.
- The resources are partitioned into several types.
 - Resource types R_1 , R_2 , ..., R_m , such as CPU cycles, memory spaces and I/O devices.

- A system consists of a finite number of resources to be distributed among a number of competing processes.
- The resources are partitioned into several types.
 - Resource types R_1 , R_2 , ..., R_m , such as CPU cycles, memory spaces and I/O devices.
- Each resource type R_i has W_i instances

- A system consists of a finite number of resources to be distributed among a number of competing processes.
- The resources are partitioned into several types.
 - Resource types R_1 , R_2 , ..., R_m , such as CPU cycles, memory spaces and I/O devices.
- Each resource type R_i has W_i instances
- Actions to use a resource

- A system consists of a finite number of resources to be distributed among a number of competing processes.
- The resources are partitioned into several types.
 - Resource types R_1 , R_2 , ..., R_m , such as CPU cycles, memory spaces and I/O devices.
- Each resource type R_i has W_i instances
- Actions to use a resource
 - Request

- A system consists of a finite number of resources to be distributed among a number of competing processes.
- The resources are partitioned into several types.
 - Resource types R_1 , R_2 , ..., R_m , such as CPU cycles, memory spaces and I/O devices.
- Each resource type R_i has W_i instances
- Actions to use a resource
 - Request If the request cannot be granted immediately, then the requesting process must wait until it can acquire the resource;

- A system consists of a finite number of resources to be distributed among a number of competing processes.
- The resources are partitioned into several types.
 - Resource types R_1 , R_2 , ..., R_m , such as CPU cycles, memory spaces and I/O devices.
- Each resource type R_i has W_i instances
- Actions to use a resource
 - Request If the request cannot be granted immediately, then the requesting process must wait until it can acquire the resource;
 - Use

- A system consists of a finite number of resources to be distributed among a number of competing processes.
- The resources are partitioned into several types.
 - Resource types R_1 , R_2 , ..., R_m , such as CPU cycles, memory spaces and I/O devices.
- Each resource type R_i has W_i instances
- Actions to use a resource
 - Request If the request cannot be granted immediately, then the requesting process must wait until it can acquire the resource;
 - **2** Use The process is using the resource;

- A system consists of a finite number of resources to be distributed among a number of competing processes.
- The resources are partitioned into several types.
 - Resource types R_1 , R_2 , ..., R_m , such as CPU cycles, memory spaces and I/O devices.
- Each resource type R_i has W_i instances
- Actions to use a resource
 - Request If the request cannot be granted immediately, then the requesting process must wait until it can acquire the resource;
 - 2 Use The process is using the resource;
 - Release

- A system consists of a finite number of resources to be distributed among a number of competing processes.
- The resources are partitioned into several types.
 - Resource types R_1 , R_2 , ..., R_m , such as CPU cycles, memory spaces and I/O devices.
- Each resource type R_i has W_i instances
- Actions to use a resource
 - Request If the request cannot be granted immediately, then the requesting process must wait until it can acquire the resource;
 - 2 Use The process is using the resource;
 - Release The process releases the resources.

• Deadlock can arise if the following four conditions hold *simultaneously*.

- Deadlock can arise if the following four conditions hold *simultaneously*.
 - Mutual exclusion

- Deadlock can arise if the following four conditions hold simultaneously.
 - Mutual exclusion only one process at a time can use a resource.

- Deadlock can arise if the following four conditions hold simultaneously.
 - Mutual exclusion only one process at a time can use a resource.
 - Hold and wait

- Deadlock can arise if the following four conditions hold *simultaneously*.
 - Mutual exclusion only one process at a time can use a resource.
 - Hold and wait a process holding at least one resource is waiting to acquire additional resources held by other processes.

- Deadlock can arise if the following four conditions hold simultaneously.
 - Mutual exclusion only one process at a time can use a resource.
 - Hold and wait a process holding at least one resource is waiting to acquire additional resources held by other processes.
 - No preemption

- Deadlock can arise if the following four conditions hold *simultaneously*.
 - Mutual exclusion only one process at a time can use a resource.
 - Hold and wait a process holding at least one resource is waiting to acquire additional resources held by other processes.
 - No preemption a resource can be released only voluntarily by the process holding it, after that process has completed its task.

- Deadlock can arise if the following four conditions hold simultaneously.
 - Mutual exclusion only one process at a time can use a resource.
 - Hold and wait a process holding at least one resource is waiting to acquire additional resources held by other processes.
 - **No preemption** a resource can be released only *voluntarily* by the process holding it, after that process has completed its task.
 - Circular wait

- Deadlock can arise if the following four conditions hold simultaneously.
 - Mutual exclusion only one process at a time can use a resource.
 - **Hold and wait** a process holding at least one resource is waiting to acquire additional resources held by other processes.
 - No preemption a resource can be released only voluntarily by the process holding it, after that process has completed its task.
 - **Circular wait** there exists a set $\{P_0, P_1, \ldots, P_n\}$ of waiting processes such that P_0 is waiting for a resource that is held by P_1, P_1 is waiting for a resource that is held by P_2, \ldots, P_{n-1} is waiting for a resource that is held by P_n , and P_n is waiting for a resource that is held by P_0 .

• Deadlocks can be described more precisely in terms of a *directed* graph called resource-allocation graph.

- Deadlocks can be described more precisely in terms of a *directed* graph called resource-allocation graph.
- This graph consists of a set of vertices V and a set of edges E.

- Deadlocks can be described more precisely in terms of a *directed* graph called resource-allocation graph.
- This graph consists of a set of vertices V and a set of edges E.
 - *V* is partitioned into two types:

- Deadlocks can be described more precisely in terms of a *directed* graph called resource-allocation graph.
- This graph consists of a set of vertices V and a set of edges E.
 - *V* is partitioned into two types:
 - **1** $P = \{P_0, P_1, ..., P_n\}$, the set consisting of all the processes in the system;

- Deadlocks can be described more precisely in terms of a *directed* graph called resource-allocation graph.
- This graph consists of a set of vertices V and a set of edges E.
 - *V* is partitioned into two types:
 - **1** $P=\{P_0, P_1, ..., P_n\}$, the set consisting of all the processes in the system;
 - **2** $R = \{R_0, R_1, ..., R_m\}$, the set consisting of all resource types in the system.

- Deadlocks can be described more precisely in terms of a *directed* graph called resource-allocation graph.
- This graph consists of a set of vertices V and a set of edges E.
 - *V* is partitioned into two types:
 - **1** $P=\{P_0, P_1, ..., P_n\}$, the set consisting of all the processes in the system;
 - ② $R=\{R_0, R_1, ..., R_m\}$, the set consisting of all resource types in the system.
 - Request edge

- Deadlocks can be described more precisely in terms of a *directed* graph called resource-allocation graph.
- This graph consists of a set of vertices V and a set of edges E.
 - *V* is partitioned into two types:
 - **1** $P=\{P_0, P_1, ..., P_n\}$, the set consisting of all the processes in the system;
 - **2** $R=\{R_0, R_1, ..., R_m\}$, the set consisting of all resource types in the system.
 - Request edge directed edge: $P_i \rightarrow R_j$;

- Deadlocks can be described more precisely in terms of a *directed* graph called resource-allocation graph.
- This graph consists of a set of vertices V and a set of edges E.
 - *V* is partitioned into two types:
 - **1** $P=\{P_0, P_1, ..., P_n\}$, the set consisting of all the processes in the system;
 - ② $R=\{R_0, R_1, ..., R_m\}$, the set consisting of all resource types in the system.
 - Request edge directed edge: $P_i \rightarrow R_j$;
 - Assignment edge

- Deadlocks can be described more precisely in terms of a *directed* graph called resource-allocation graph.
- This graph consists of a set of vertices V and a set of edges E.
 - *V* is partitioned into two types:
 - **1** $P=\{P_0, P_1, ..., P_n\}$, the set consisting of all the processes in the system;
 - ② $R=\{R_0, R_1, ..., R_m\}$, the set consisting of all resource types in the system.
 - Request edge directed edge: $P_i \rightarrow R_j$;
 - Assignment edge directed edge: $R_j \rightarrow P_i$;

• A process is represented as a circle.

• A process is represented as a circle.



• A process is represented as a circle.



A resource type with 4 instances.

• A process is represented as a circle.



• A resource type with 4 instances.



• A process is represented as a circle.



A resource type with 4 instances.



• P_i requests an instance of R_j .

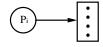
• A process is represented as a circle.



A resource type with 4 instances.



• P_i requests an instance of R_j .



• A process is represented as a circle.



• A resource type with 4 instances.



• P_i requests an instance of R_j .

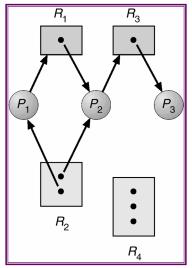


• P_i is holding an instance of R_j .



• An example

• An example

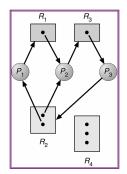


 Given the definition of a resource-allocation graph, it can be shown that

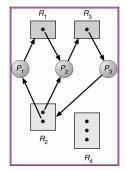
- Given the definition of a resource-allocation graph, it can be shown that
 - If the graph contains no cycles, then no process in the system is deadlocked;

- Given the definition of a resource-allocation graph, it can be shown that
 - If the graph contains no cycles, then no process in the system is deadlocked;
 - If the graph does contain a cycle, then a deadlock may exist.

- Given the definition of a resource-allocation graph, it can be shown that
 - If the graph contains no cycles, then no process in the system is deadlocked;
 - If the graph does contain a cycle, then a deadlock may exist.

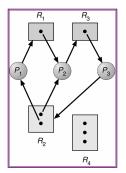


- Given the definition of a resource-allocation graph, it can be shown that
 - If the graph contains no cycles, then no process in the system is deadlocked;
 - If the graph does contain a cycle, then a deadlock may exist.

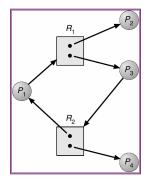


Deadlocked

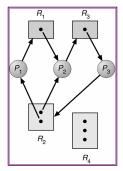
- Given the definition of a resource-allocation graph, it can be shown that
 - If the graph contains no cycles, then no process in the system is deadlocked;
 - If the graph does contain a cycle, then a deadlock may exist.



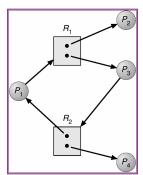
Deadlocked



- Given the definition of a resource-allocation graph, it can be shown that
 - If the graph contains no cycles, then no process in the system is deadlocked;
 - If the graph does contain a cycle, then a deadlock may exist.



Deadlocked



Not deadlocked

Outline

The deadlock problem

2 System model

• We can design a protocol to prevent or avoid deadlocks, ensuring that the system will *never* enter a deadlock state;

- We can design a protocol to prevent or avoid deadlocks, ensuring that the system will never enter a deadlock state;
- We can allow the system to enter a deadlock state, detect it, and recover from it;

- We can design a protocol to prevent or avoid deadlocks, ensuring that the system will never enter a deadlock state;
- We can allow the system to enter a deadlock state, detect it, and recover from it;
- We can ignore the problem altogether, and pretend that deadlocks never occur in the system.

- We can design a protocol to prevent or avoid deadlocks, ensuring that the system will *never* enter a deadlock state;
- We can allow the system to enter a deadlock state, detect it, and recover from it;
- We can ignore the problem altogether, and pretend that deadlocks never occur in the system.
 - This solution is used by most operating systems, including Windows and Unix.

- We can design a protocol to prevent or avoid deadlocks, ensuring that the system will *never* enter a deadlock state;
- We can allow the system to enter a deadlock state, detect it, and recover from it:
- We can ignore the problem altogether, and pretend that deadlocks never occur in the system.
 - This solution is used by most operating systems, including Windows and Unix.
 - Because the deadlocks occur very rarely and the deadlock-prevention, deadlock-avoidance or deadlock-detection and recovery algorithms are costly.

- We can design a protocol to prevent or avoid deadlocks, ensuring that the system will *never* enter a deadlock state;
- We can allow the system to enter a deadlock state, detect it, and recover from it:
- We can ignore the problem altogether, and pretend that deadlocks never occur in the system.
 - This solution is used by most operating systems, including Windows and Unix.
 - Because the deadlocks occur very rarely and the deadlock-prevention, deadlock-avoidance or deadlock-detection and recovery algorithms are costly.
 - It's a trade-off between convenience and correctness.

Questions

• Any questions?

