# 操作系统原理

第六章: CPU调度

洪明坚

重庆大学软件学院

February 19, 2016

## 目录

- CPU scheduling
  - Basic concepts
  - CPU or I/O burst
  - CPU scheduler
  - Dispatcher
  - Scheduling criteria
- Scheduling algorithms
  - First-come, first-served
  - Shortest Job First
  - Priority scheduling
  - Round-Robin scheduling
  - Multilevel queue
  - Multilevel feedback queue
  - Conclusion

#### Outline

- CPU scheduling
  - Basic concepts
  - CPU or I/O burst
  - CPU scheduler
  - Dispatcher
  - Scheduling criteria
- Scheduling algorithms
  - First-come, first-served
  - Shortest Job First
  - Priority scheduling
  - Round-Robin scheduling
  - Multilevel queue
  - Multilevel feedback queue
  - Conclusion

 The multiprogramming is used by the operating system to overlap the operations of CPU and I/O devices in order to maximize CPU utilization.

- The multiprogramming is used by the operating system to overlap the operations of CPU and I/O devices in order to maximize CPU utilization.
  - When current running process can not proceed, the operating system must schedule one of the ready processes to run.

- The multiprogramming is used by the operating system to overlap the operations of CPU and I/O devices in order to maximize CPU utilization.
  - When current running process can not proceed, the operating system must schedule one of the ready processes to run.
- Scheduling is a fundamental operating system function.

- The multiprogramming is used by the operating system to overlap the operations of CPU and I/O devices in order to maximize CPU utilization.
  - When current running process can not proceed, the operating system must schedule one of the ready processes to run.
- Scheduling is a fundamental operating system function.
  - Almost all computer resources must be scheduled before use.

- The multiprogramming is used by the operating system to overlap the operations of CPU and I/O devices in order to maximize CPU utilization.
  - When current running process can not proceed, the operating system must schedule one of the ready processes to run.
- Scheduling is a fundamental operating system function.
  - Almost all computer resources must be scheduled before use.
  - The CPU scheduling is central to operating system design.

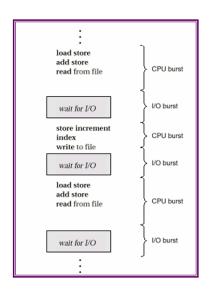
- The multiprogramming is used by the operating system to overlap the operations of CPU and I/O devices in order to maximize CPU utilization.
  - When current running process can not proceed, the operating system must schedule one of the ready processes to run.
- Scheduling is a fundamental operating system function.
  - Almost all computer resources must be scheduled before use.
  - The CPU scheduling is central to operating system design.
- Operating systems supporting threads at the kernel level must schedule threads (NOT processes) for execution.

 The success of CPU scheduling depends on the following observed property of processes:

- The success of CPU scheduling depends on the following observed property of processes:
  - Process execution consists of a cycle of CPU execution and I/O wait.

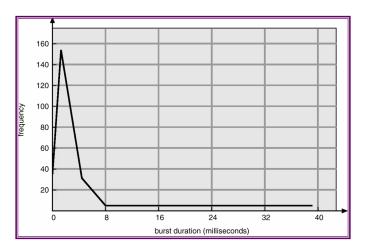
- The success of CPU scheduling depends on the following observed property of processes:
  - Process execution consists of a cycle of CPU execution and I/O wait.
  - Processes alternate between these two states.

- The success of CPU scheduling depends on the following observed property of processes:
  - Process execution consists of a cycle of CPU execution and I/O wait.
  - Processes alternate between these two states.



CPU burst distribution

#### CPU burst distribution



• Selects from among the processes in memory that are ready to execute, and allocates the CPU to one of them.

- Selects from among the processes in memory that are ready to execute, and allocates the CPU to one of them.
  - Also known as short-term scheduler.

- Selects from among the processes in memory that are ready to execute, and allocates the CPU to one of them.
  - Also known as short-term scheduler.
- CPU scheduling decisions may take place when a process:

- Selects from among the processes in memory that are ready to execute, and allocates the CPU to one of them.
  - Also known as short-term scheduler.
- CPU scheduling decisions may take place when a process:
  - Switches from running to waiting state.

- Selects from among the processes in memory that are ready to execute, and allocates the CPU to one of them.
  - Also known as short-term scheduler.
- CPU scheduling decisions may take place when a process:
  - **1** Switches from running to waiting state.
  - Switches from running to ready state.

- Selects from among the processes in memory that are ready to execute, and allocates the CPU to one of them.
  - Also known as short-term scheduler.
- CPU scheduling decisions may take place when a process:
  - **1** Switches from running to waiting state.
  - Switches from running to ready state.
  - Switches from waiting to ready.

- Selects from among the processes in memory that are ready to execute, and allocates the CPU to one of them.
  - Also known as short-term scheduler.
- CPU scheduling decisions may take place when a process:
  - Switches from running to waiting state.
  - Switches from running to ready state.
  - Switches from waiting to ready.
  - Terminates.

- Selects from among the processes in memory that are ready to execute, and allocates the CPU to one of them.
  - Also known as short-term scheduler.
- CPU scheduling decisions may take place when a process:
  - Switches from running to waiting state.
  - 2 Switches from running to ready state.
  - Switches from waiting to ready.
  - Terminates.
- Scheduling under 1 and 4 is non-preemptive. All other scheduling is preemptive.

8 / 21

• *Dispatcher* module gives control of the CPU to the process selected by the short-term scheduler; this involves:

- *Dispatcher* module gives control of the CPU to the process selected by the short-term scheduler; this involves:
  - switching context

- *Dispatcher* module gives control of the CPU to the process selected by the short-term scheduler; this involves:
  - switching context
  - switching to user mode

- *Dispatcher* module gives control of the CPU to the process selected by the short-term scheduler; this involves:
  - switching context
  - switching to user mode
  - jumping to the proper location in the user program to restart that program

- *Dispatcher* module gives control of the CPU to the process selected by the short-term scheduler; this involves:
  - switching context
  - switching to user mode
  - jumping to the proper location in the user program to restart that program
- Dispatch latency

- *Dispatcher* module gives control of the CPU to the process selected by the short-term scheduler; this involves:
  - switching context
  - switching to user mode
  - jumping to the proper location in the user program to restart that program
- *Dispatch latency* –time it takes for the dispatcher to stop one process and start another running.

## Scheduling criteria

### Scheduling criteria

CPU utilization

• CPU utilization –keep the CPU as busy as possible

- CPU utilization –keep the CPU as busy as possible
- Throughput

- CPU utilization –keep the CPU as busy as possible
- Throughput –# of processes that complete their execution per time unit

- CPU utilization –keep the CPU as busy as possible
- Throughput –# of processes that complete their execution per time unit
- Turnaround time

- CPU utilization –keep the CPU as busy as possible
- Throughput –# of processes that complete their execution per time unit
- Turnaround time –amount of time to execute a particular process

- CPU utilization –keep the CPU as busy as possible
- Throughput –# of processes that complete their execution per time unit
- Turnaround time –amount of time to execute a particular process
- Waiting time

- CPU utilization –keep the CPU as busy as possible
- Throughput –# of processes that complete their execution per time unit
- Turnaround time –amount of time to execute a particular process
- Waiting time –amount of time a process has been waiting in the ready queue

- CPU utilization –keep the CPU as busy as possible
- Throughput –# of processes that complete their execution per time unit
- Turnaround time –amount of time to execute a particular process
- Waiting time –amount of time a process has been waiting in the ready queue
- Response time

- CPU utilization –keep the CPU as busy as possible
- Throughput –# of processes that complete their execution per time unit
- Turnaround time –amount of time to execute a particular process
- Waiting time –amount of time a process has been waiting in the ready queue
- Response time –amount of time it takes from when a request was submitted until the first response is produced

#### Outline

- CPU scheduling
  - Basic concepts
  - CPU or I/O burst
  - CPU scheduler
  - Dispatcher
  - Scheduling criteria
- Scheduling algorithms
  - First-come, first-served
  - Shortest Job First
  - Priority scheduling
  - Round-Robin scheduling
  - Multilevel queue
  - Multilevel feedback queue
  - Conclusion

Example

#### Example

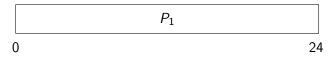
Burst time
24
3
3

Example

Burst time
24
3
3

Example

Process	Burst time
$P_1$	24
$P_2$	3
$P_3$	3



Example

Process	Burst time
$P_1$	24
$P_2$	3
$P_3$	3

	$P_1$	F	2
0	2	:4	27

Example

Burst time
24
3
3

	$P_1$		$P_2$	P <sub>3</sub>	
0		24	1 2	7 30	C

Example

Process	Burst time
$P_1$	24
$P_2$	3
$P_3$	3

• Suppose that the processes arrive in the order:  $P_1$ ,  $P_2$ ,  $P_3$ . The *Gantt Chart* for the schedule is:

	$P_1$		$P_2$	P <sub>3</sub>	
0		24	4 2	7 30	J

• Waiting time for P1 = 0; P2 = 24; P3 = 27

Example

Process	Burst time
$P_1$	24
$P_2$	3
$P_3$	3

	$P_1$		$P_2$	P <sub>3</sub>	
0		24	4 2	7 30	J

- Waiting time for P1 = 0; P2 = 24; P3 = 27
- Average waiting time: (0 + 24 + 27)/3 = 17

• Associate with each process the length of its next CPU burst.

- Associate with each process the length of its next CPU burst.
  - Use these lengths to schedule the process with the shortest time.

- Associate with each process the length of its next CPU burst.
  - Use these lengths to schedule the process with the shortest time.
- Two schemes:

- Associate with each process the length of its next CPU burst.
  - Use these lengths to schedule the process with the shortest time.
- Two schemes:
  - Non-preemptive

- Associate with each process the length of its next CPU burst.
  - Use these lengths to schedule the process with the shortest time.
- Two schemes:
  - Non-preemptive once CPU given to the process it cannot be preempted until completes its CPU burst.

- Associate with each process the length of its next CPU burst.
  - Use these lengths to schedule the process with the shortest time.
- Two schemes:
  - Non-preemptive once CPU given to the process it cannot be preempted until completes its CPU burst.
  - Preemptive

- Associate with each process the length of its next CPU burst.
  - Use these lengths to schedule the process with the shortest time.
- Two schemes:
  - Non-preemptive once CPU given to the process it cannot be preempted until completes its CPU burst.
  - Preemptive if a new process arrives with CPU burst length less than remaining time of current executing process, preempt.

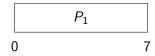
- Associate with each process the length of its next CPU burst.
  - Use these lengths to schedule the process with the shortest time.
- Two schemes:
  - Non-preemptive once CPU given to the process it cannot be preempted until completes its CPU burst.
  - Preemptive if a new process arrives with CPU burst length less than remaining time of current executing process, preempt.
    - This scheme is known as the Shortest-Remaining-Time-First (SRTF).

- Associate with each process the length of its next CPU burst.
  - Use these lengths to schedule the process with the shortest time.
- Two schemes:
  - Non-preemptive once CPU given to the process it cannot be preempted until completes its CPU burst.
  - Preemptive if a new process arrives with CPU burst length less than remaining time of current executing process, preempt.
    - This scheme is known as the Shortest-Remaining-Time-First (SRTF).
- SJF is optimal gives minimum average waiting time for a given set of processes.

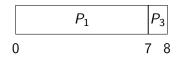
Process	Arrival time	Burst time
$P_1$	0	7
$P_2$	2	4
$P_3$	4	1
$P_4$	5	4

Process	Arrival time	Burst time
$P_1$	0	7
$P_2$	2	4
$P_3$	4	1
$P_4$	5	4

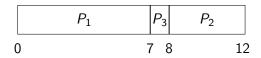
Process	Arrival time	Burst time
$P_1$	0	7
$P_2$	2	4
$P_3$	4	1
$P_4$	5	4



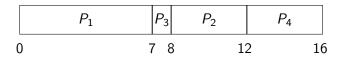
Process	Arrival time	Burst time
$P_1$	0	7
$P_2$	2	4
$P_3$	4	1
$P_4$	5	4



Process	Arrival time	Burst time
$P_1$	0	7
$P_2$	2	4
$P_3$	4	1
$P_4$	5	4



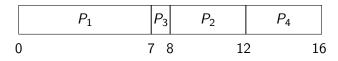
Process	Arrival time	Burst time
$P_1$	0	7
$P_2$	2	4
$P_3$	4	1
$P_4$	5	4



#### Example: Non-preemptive SJF

Process	Arrival time	Burst time
$P_1$	0	7
$P_2$	2	4
$P_3$	4	1
$P_4$	5	4

Non-preemptive SJF



• Average waiting time = (0 + 6 + 3 + 7)/4 = 4.

Process	Arrival time	Burst time
$P_1$	0	7
$P_2$	2	4
$P_3$	4	1
$P_4$	5	4

Process	Arrival time	Burst time
$P_1$	0	7
$P_2$	2	4
$P_3$	4	1
$P_4$	5	4

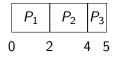
Process	Arrival time	Burst time
$P_1$	0	7
$P_2$	2	4
$P_3$	4	1
$P_4$	5	4



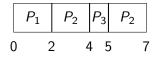
Process	Arrival time	Burst time
$P_1$	0	7
$P_2$	2	4
$P_3$	4	1
$P_4$	5	4



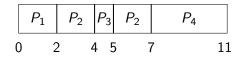
Process	Arrival time	Burst time
$P_1$	0	7
$P_2$	2	4
$P_3$	4	1
$P_4$	5	4



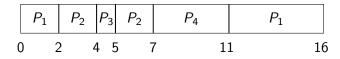
Process	Arrival time	Burst time
$P_1$	0	7
$P_2$	2	4
$P_3$	4	1
$P_4$	5	4



Process	Arrival time	Burst time
$P_1$	0	7
$P_2$	2	4
$P_3$	4	1
$P_4$	5	4

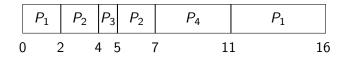


Process	Arrival time	Burst time
$P_1$	0	7
$P_2$	2	4
$P_3$	4	1
$P_4$	5	4



Process	Arrival time	Burst time
$P_1$	0	7
$P_2$	2	4
$P_3$	4	1
$P_4$	5	4

Preemptive SJF



• Average waiting time = (9 + 1 + 0 + 2)/4 = 3.

• A priority number (integer) is associated with each process

- A priority number (integer) is associated with each process
  - SJF is a priority scheduling where priority is the next CPU burst time.

- A priority number (integer) is associated with each process
  - SJF is a priority scheduling where priority is the next CPU burst time.
- The CPU is allocated to the process with the highest priority.

- A priority number (integer) is associated with each process
  - SJF is a priority scheduling where priority is the next CPU burst time.
- The CPU is allocated to the process with the highest priority.
  - It can be preemptive or non-preemptive
- Problems

- A priority number (integer) is associated with each process
  - SJF is a priority scheduling where priority is the next CPU burst time.
- The CPU is allocated to the process with the highest priority.
  - It can be preemptive or non-preemptive
- Problems
  - Starvation

- A priority number (integer) is associated with each process
  - SJF is a priority scheduling where priority is the next CPU burst time.
- The CPU is allocated to the process with the highest priority.
  - It can be preemptive or non-preemptive
- Problems
  - **1** Starvation low priority processes may never execute.

- A priority number (integer) is associated with each process
  - SJF is a priority scheduling where priority is the next CPU burst time.
- The CPU is allocated to the process with the highest priority.
  - It can be preemptive or non-preemptive
- Problems
  - Starvation low priority processes may never execute.
  - Priority inversion

- A priority number (integer) is associated with each process
  - SJF is a priority scheduling where priority is the next CPU burst time.
- The CPU is allocated to the process with the highest priority.
  - It can be preemptive or non-preemptive
- Problems
  - Starvation low priority processes may never execute.
  - Priority inversion higher-priority process need to access a resource being held by another lower-priority process.

- A priority number (integer) is associated with each process
  - SJF is a priority scheduling where priority is the next CPU burst time.
- The CPU is allocated to the process with the highest priority.
  - It can be preemptive or non-preemptive
- Problems
  - Starvation low priority processes may never execute.
  - Priority inversion higher-priority process need to access a resource being held by another lower-priority process.
- Solution

- A priority number (integer) is associated with each process
  - SJF is a priority scheduling where priority is the next CPU burst time.
- The CPU is allocated to the process with the highest priority.
  - It can be preemptive or non-preemptive
- Problems
  - Starvation low priority processes may never execute.
  - Priority inversion higher-priority process need to access a resource being held by another lower-priority process.
- Solution
  - Aging

- A priority number (integer) is associated with each process
  - SJF is a priority scheduling where priority is the next CPU burst time.
- The CPU is allocated to the process with the highest priority.
  - It can be preemptive or non-preemptive
- Problems
  - Starvation low priority processes may never execute.
  - Priority inversion higher-priority process need to access a resource being held by another lower-priority process.
- Solution
  - Aging increasing the priority of the process with time going on.

• Each process gets a small unit of CPU time (time *quantum* or *slice*), usually 10-100 milliseconds.

- Each process gets a small unit of CPU time (time *quantum* or *slice*), usually 10-100 milliseconds.
  - After this time has elapsed, the process is preempted and added to the end of the ready queue.

- Each process gets a small unit of CPU time (time quantum or slice), usually 10-100 milliseconds.
  - After this time has elapsed, the process is preempted and added to the end of the ready queue.
- If there are n processes in the ready queue and the time quantum is q,

- Each process gets a small unit of CPU time (time quantum or slice), usually 10-100 milliseconds.
  - After this time has elapsed, the process is preempted and added to the end of the ready queue.
- If there are n processes in the ready queue and the time quantum is q,
  - then each process gets 1/n of the CPU time in chunks of at most q time units at once.

- Each process gets a small unit of CPU time (time *quantum* or *slice*), usually 10-100 milliseconds.
  - After this time has elapsed, the process is preempted and added to the end of the ready queue.
- If there are n processes in the ready queue and the time quantum is q,
  - then each process gets 1/n of the CPU time in chunks of at most q time units at once.
  - No process waits more than (n-1)q time units.

- Each process gets a small unit of CPU time (time *quantum* or *slice*), usually 10-100 milliseconds.
  - After this time has elapsed, the process is preempted and added to the end of the ready queue.
- If there are n processes in the ready queue and the time quantum is q,
  - then each process gets 1/n of the CPU time in chunks of at most q time units at once.
  - No process waits more than (n-1)q time units.
- Performance

- Each process gets a small unit of CPU time (time *quantum* or *slice*), usually 10-100 milliseconds.
  - After this time has elapsed, the process is preempted and added to the end of the ready queue.
- If there are n processes in the ready queue and the time quantum is q,
  - then each process gets 1/n of the CPU time in chunks of at most q time units at once.
  - No process waits more than (n-1)q time units.
- Performance
  - q large

- Each process gets a small unit of CPU time (time *quantum* or *slice*), usually 10-100 milliseconds.
  - After this time has elapsed, the process is preempted and added to the end of the ready queue.
- If there are n processes in the ready queue and the time quantum is q,
  - then each process gets 1/n of the CPU time in chunks of at most q time units at once.
  - No process waits more than (n-1)q time units.
- Performance
  - q large FCFS

- Each process gets a small unit of CPU time (time *quantum* or *slice*), usually 10-100 milliseconds.
  - After this time has elapsed, the process is preempted and added to the end of the ready queue.
- If there are n processes in the ready queue and the time quantum is q,
  - then each process gets 1/n of the CPU time in chunks of at most q time units at once.
  - No process waits more than (n-1)q time units.
- Performance
  - q large FCFS
  - q small

- Each process gets a small unit of CPU time (time quantum or slice), usually 10-100 milliseconds.
  - After this time has elapsed, the process is preempted and added to the end of the ready queue.
- If there are n processes in the ready queue and the time quantum is q,
  - then each process gets 1/n of the CPU time in chunks of at most q time units at once.
  - No process waits more than (n-1)q time units.
- Performance
  - q large FCFS
  - q small q must be large with respect to context switch, otherwise overhead is too high.

# Multilevel queue (1/2)

### Multilevel queue (1/2)

• Ready queue is further partitioned into separate queues:

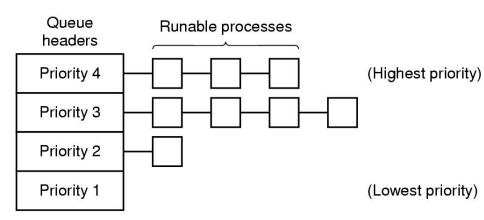
- Ready queue is further partitioned into separate queues:
  - A priority number is associated with each queue.

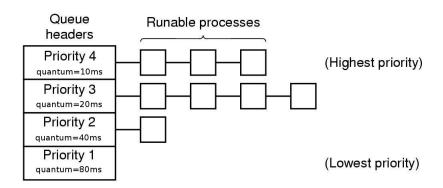
- Ready queue is further partitioned into separate queues:
  - A priority number is associated with each queue.
  - Example: foreground (for interactive processes) and background (for batch processes) queues.

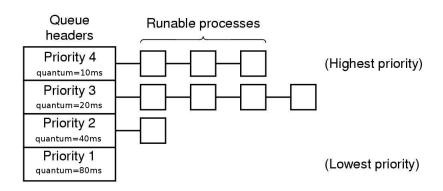
- Ready queue is further partitioned into separate queues:
  - A priority number is associated with each queue.
  - Example: foreground (for interactive processes) and background (for batch processes) queues.
- Each queue has its own scheduling algorithm,

- Ready queue is further partitioned into separate queues:
  - A priority number is associated with each queue.
  - Example: foreground (for interactive processes) and background (for batch processes) queues.
- Each queue has its own scheduling algorithm,
  - foreground uses RR; background uses FCFS, for example.

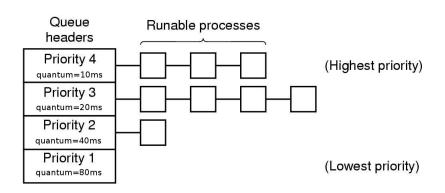
- Ready queue is further partitioned into separate queues:
  - A priority number is associated with each queue.
  - Example: foreground (for interactive processes) and background (for batch processes) queues.
- Each queue has its own scheduling algorithm,
  - foreground uses RR; background uses FCFS, for example.







A process can move between the various queues.



- A process can move between the various queues.
  - For example, whenever a process used up the quantum allocated to it, it sank into a lower priority queue.

• Getting it right in practice is much harder than getting it right in principle.

- Getting it right in practice is much harder than getting it right in principle.
  - As a result, the scheduler rarely makes the best choice.

- Getting it right in practice is much harder than getting it right in principle.
  - As a result, the scheduler rarely makes the best choice.
  - The solution:

- Getting it right in practice is much harder than getting it right in principle.
  - As a result, the scheduler rarely makes the best choice.
  - The solution: separation of scheduling policy and scheduling mechanism.

- Getting it right in practice is much harder than getting it right in principle.
  - As a result, the scheduler rarely makes the best choice.
  - The solution: separation of scheduling policy and scheduling mechanism.
    - That is, the scheduling algorithm is parameterized in some way, but the parameters can be filled in by the user.

### Questions

• Any questions?

