# Contents

1	Vec	tor Spaces
	1.1	Change of Basis
<b>2</b>	Line	ear Transformations
	2.1	Basic Facts
		Examples
	2.3	The Rank-Nullity Theorem
	2.4	Combining Linear Transformations

## 1 Vector Spaces

Suppose that V is a finite dimensional vector space over F, with  $\dim(V) = n$ .

V may have many different bases, we know that they all have the same size n.

Say  $\mathcal{B} = \{\alpha_1, ..., \alpha_n\}$  is a basis fix the ordering of  $\mathcal{B}$ .

Fix the ordering of  $\mathcal{B}$ .

### THEOREM

For any  $\alpha \in V$ , there is a unique n tuple  $(x_1,...,x_n) \in F^n$  such that

$$\alpha = x_1 \alpha_1 + \dots + x_n \alpha_n$$

### **PROOF**

Existence is immediate, since  $\mathcal{B}$  is a basis, thus  $\mathcal{B}$  spans V.

#### Uniqueness

Say  $\alpha = x_1 \alpha_1 + \dots + x_n \alpha_n$  and  $\alpha = y_1 \alpha_1 + \dots + y_n \alpha_n$ .

Then we have that

$$x_1\alpha_1 + \cdots + x_n\alpha_n - y_1\alpha_1 + \cdots + y_n\alpha_n = 0$$
, so  $(x_1 - y_1)\alpha_1 + \cdots + (x_n - y_n)\alpha_n = 0$ 

But since  $\{\alpha_1, ..., \alpha_n\}$  is linearly independent, all coefficients must be 0.

What this means is that, for a vector space V, there is an associated mapping in  $F^n$ . Notice that we know nothing about the vectors  $\alpha_i$ .

We define  $[\alpha]_{\mathcal{B}}$  to be the *coordinates* of  $\alpha$  with respect to  $\mathcal{B}$ .

**Check**: The mapping  $\alpha \mapsto [\alpha]_{\mathcal{B}} \in F^n$  satisfies

- 1. One to one-ness
- 2. Onto-ness
- 3. "Additive", for any  $\alpha, \beta \in V$ , if  $\alpha = x_1\alpha_1 + \cdots + x_n\alpha_n$  and  $\beta = y_1\alpha_1 + \cdots + y_n\alpha_n$ . Then

$$[\alpha + \beta]_{\mathcal{B}} = \begin{bmatrix} x_1 + y_1 \\ x_2 + y_2 \\ \vdots \\ x_n + y_n \end{bmatrix} = \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{bmatrix} + \begin{bmatrix} y_1 \\ y_2 \\ \vdots \\ y_n \end{bmatrix} = [\alpha]_{\mathcal{B}} + [\beta]_{\mathcal{B}}$$

4.  $[c\alpha]_{\mathcal{B}} + c[\alpha]_{\mathcal{B}}$ 

There exists an *isomorphism* between V and  $F^n$ .

### EXAMPLE

Let  $\mathcal{P}$  be the space of all polynomials. Let  $f(x) = x^3$ , and  $g(x) = x^5$ . Then, let

$$V = \text{Span}\{f, g\} = \{\text{all } ax^3 + bx^5 : a, b \in F\}$$

then,  $\dim(V) = 2$ , since f and g are linearly independent.

Typical  $h(x) \in V$ , say  $h(x) = 10x^3 - 2x^5$ .

$$[h]_{\mathcal{B}} = \begin{bmatrix} 10\\ -2 \end{bmatrix}$$

 $\langle [h]_{\mathcal{B}} \text{ is the mapping of } h \text{ to } F^n. \text{ TODO is this right? } \rangle$ 

Now let  $k(x) = 2x^3 + 4x^5$  and  $l(x) = x^3 + 3x^5$ . Since k, l are linearly independent, they form another basis of V.

$$\mathcal{B}' = \{k(x), l(x)\}\$$

## 1.1 Change of Basis

Given  $\mathcal{B} = \{\alpha_1, \dots, \alpha_n\}$ , and  $\mathcal{B}' = \{\alpha'_1, \dots, \alpha'_n\}$  bases for V.

We want to describe the map going from  $[\alpha]_{\mathcal{B}} \mapsto [\alpha]_{\mathcal{B}'}$ .

 $\langle$  We want to find The  $\mathcal{B}$  coordinate of  $\alpha \mapsto$  the  $\mathcal{B}'$  coordinate of  $\alpha \rangle$ 

#### Step 1.

Compute the  $\mathcal{B}$  coordinate of  $\alpha'_1, ..., \alpha'_n$ , old coordinates of the new basis elements.

#### Step 2.

For an  $n \times m$  matrix

$$P = \left[ [\alpha_1']_{\mathcal{B}}, \dots, [\alpha_n']_{\mathcal{B}} \right]$$

**Check**: for any  $\alpha \in V$ 

$$[\alpha]_{\mathcal{B}} = P[\alpha]_{\mathcal{B}'}$$

Ans: This is what we actually want

$$[\alpha]_{\mathcal{B}'} = P^{-1}[\alpha]_{\mathcal{B}}$$

#### Mon. Feb 13 2023

**TODO** Missing *some* info

**Want**: Describe the mapping  $T: F^n \to F^n$ 

$$T([\alpha]_{\mathcal{B}_{\text{old}}}) = [\alpha]_{\mathcal{B}'_{\text{new}}}$$

( If we switch the basis for some reason, we want to see what the new coordinates are. )

To do this: For each  $\alpha'_j$ , compute  $[\alpha'_j]_{\mathcal{B}_{\text{old}}}$ . Let

$$P = \left[ [\alpha_1']_{\mathcal{B}_{\text{old}}} \cdots [\alpha_n']_{\mathcal{B}_{\text{old}}} \right]$$

be an  $n \times n$  matrix.

Claim: For any  $\alpha \in V$ 

$$P \cdot [\alpha]_{\mathcal{B}'_{\text{new}}} = [\alpha]_{\mathcal{B}_{\text{old}}}$$

How?

$$P \cdot [\alpha_1']_{\mathcal{B}_{ ext{new}}'} = P \cdot egin{bmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{bmatrix} = [\alpha_1']_{\mathcal{B}_{ ext{old}}}$$

This is the  $1^{st}$  column of P, and similarly for all columns.

**Thus**: For any  $\alpha \in V$ ,

$$[\alpha]_{\text{new}} = P^{-1} \cdot [\alpha]_{\text{old}}$$

#### EXAMPLE

In practice, we have the following.

 $V = \text{Span}(\{x^3, x^5\})$  subspace of  $\mathcal{P}$ , the set of all polynomials. Let  $f(x) = x^3, g(x) = x^5, \mathcal{B} = \{x^3, x^5\}$ . Let  $h(x) = 10x^3 - 2x^5 \in V$ .

**Question**: What are the coordinates of h with respect to  $\mathcal{B}$ ?

Answer:

$$[h]_{\mathcal{B}} = \begin{bmatrix} 10 \\ -2 \end{bmatrix}$$

Let's now see what happens when we create a new basis  $\mathcal{B}'$ .

#### EXAMPLE

Let  $k(x) = 2x^3 + 5x^5$ ,  $l(x) = x^3 + 3x^5$ .

Let  $\mathcal{B}' = \{k(x), l(x)\} = \{2x^3 + 5x^5, x^3 + 3x^5\}$  be another basis of V, still with  $\mathcal{B} = \{f(x), g(x)\} = \{x^3, x^5\}$ .

**Question**: What are the coordinates of  $h(x) = 10x^3 - 2x^5$  with respect to  $\mathcal{B}'$  now?

Answer:

Well we know that  $[k(x)]_{\mathcal{B}} = \begin{bmatrix} 2 \\ 5 \end{bmatrix}$  and  $[l(x)]_{\mathcal{B}} = \begin{bmatrix} 1 \\ 3 \end{bmatrix}$ , these are just the coordinates of k, and l with respect to  $\mathcal{B}$ .

So now we can construct our P matrix

$$P = \begin{bmatrix} [k(x)]_{\mathcal{B}}, [l(x)]_{\mathcal{B}} \end{bmatrix} = \begin{bmatrix} 2 & 1\\ 5 & 3 \end{bmatrix}$$

notice that P's columns are constructed from k(x) and l(x), expressed in terms of our standard basis  $\mathcal{B}$ .

Check:

$$P^{-1} = \begin{bmatrix} 3 & -1 \\ -5 & 2 \end{bmatrix}$$

Then

$$\begin{bmatrix} 3 & -1 \\ -5 & 2 \end{bmatrix} \cdot \begin{bmatrix} 10 \\ -2 \end{bmatrix} = \begin{bmatrix} 32 \\ -54 \end{bmatrix}$$

This means:

$$h(x) = 32k(x) - 54l(x) = 10x^3 - 2x^5$$

Which is what we expect.

#### EXAMPLE

Let  $V = \mathbb{R}^2$ . Standard basis  $\mathcal{B} = \{\varepsilon_1, \varepsilon_2\} = \{(1, 0), (0, 1)\}$ 

$$[(5,4)]_{\mathcal{B}} = \begin{bmatrix} 5\\4 \end{bmatrix}$$

Fix angle  $\theta$ , Let

$$\mathcal{B}' = \{(\cos(\theta), \sin(\theta)), (-\sin(\theta), \cos(\theta))\}\$$

Question: What is  $\begin{bmatrix} 5 \\ 4 \end{bmatrix}_{\mathcal{B}'_{\text{new}}}$ ?

Answer:

1. Form P

$$[(\cos(\theta), \sin(\theta))]_{\mathcal{B}} = \begin{bmatrix} \cos(\theta) \\ \sin(\theta) \end{bmatrix}$$

$$[(-\sin(\theta),\cos(\theta))]_{\mathcal{B}} = \begin{bmatrix} -\sin(\theta) \\ \cos(\theta) \end{bmatrix}$$

Then

$$P = \begin{bmatrix} \cos(\theta) & -\sin(\theta) \\ \sin(\theta) & \cos(\theta) \end{bmatrix}$$

Fact:

$$P^{-1} = \begin{bmatrix} \cos(\theta) & \sin(\theta) \\ -\sin(\theta) & \cos(\theta) \end{bmatrix}$$

so we have

$$[(5,4)]_{\mathcal{B}'_{\text{new}}} = P^{-1} \begin{bmatrix} 5\\4 \end{bmatrix}$$

$$= \begin{bmatrix} \cos(\theta) & \sin(\theta)\\ -\sin(\theta) & \cos(\theta) \end{bmatrix} \begin{bmatrix} 5\\4 \end{bmatrix}$$

$$= \begin{bmatrix} 5\cos(\theta) & 4\sin(\theta)\\ -5\sin(\theta) & 4\cos(\theta) \end{bmatrix}$$

## 2 Linear Transformations

Say V, W are both vector spaces over the same field F.

#### **DEFINITION**

A Linear Transformation  $T: V \to W$  is a function satisfying two rules

1. For all  $\alpha, \beta \in V$ ,

$$T(\alpha + \beta) = T(\alpha) + T(\beta)$$

Note that the first + is addition in V, but the second is addition in W.

2. For all  $\alpha \in V$  and  $c \in F$ ,

$$T(c\alpha) = cT(\alpha)$$

The book combines the two definitions above into one, like this,

$$T(c\alpha + \beta) = cT(\alpha) + T(\beta)$$

Let's quickly take some time to understand what V and W are here. Suppose we have a transformation  $T: V \to W$ , then V is the **domain** and W is the **codomain**.

Here, T behaves just like a function, which means that it *must* use all of T, but it *does not* have to use all of W. For example, the following is a perfectly valid transformation.

### EXAMPLE

Let  $T: \mathcal{P}^3 \to \mathcal{P}^2$  be the transformation that takes all degree 3 polynomials to the space of degree 2 polynomials, with

$$T(f) = \mathbf{0}$$

for all  $f \in \mathcal{P}^3$ .

Its obvious that there are more degree 2 polynomials in the world than just the  $\mathbf{0}$  polynomial. So here, we say that the Range $(T) = \{\mathbf{0}\}$ , and that

$$Range(T) \subseteq W$$

but maybe we are getting ahead of ourselves.

#### 2.1 Basic Facts

Suppose that  $T: V \to W$  is a linear transformation

1. T(0) = 0

**Proof**:

$$T(0+0) = T(0) + T(0) = \mathbf{0} + \mathbf{0} = \mathbf{0}$$

**Note**: 0 lives in the field, and **0** lives in W, the **codomain** of the transformation T.  $\langle$  Always be aware of where the 0 lives  $\rangle$ 

2. For all  $\{\alpha_1, ..., \alpha_n\} \subseteq V$ , all  $\{c_1, ..., c_n\} \in F$ ,

$$c_1T(\alpha_1) + \cdots + c_nT(\alpha_n)$$

**Proof** Easy induction on n, just follows from part (2) of the definition.

### 2.2 Examples

Let's look at multiple examples of linear transformations to get an idea of how they behave.

#### EXAMPLE

We already know that each matrix A has an associated linear transformation  $T_A$ . Let's look at this in more detail now.

Let  $A \in F^{m \times n}$  be an  $m \times n$  matrix with entries from a field F.

Then, let  $T_A: F^n \to F^m$  be defined by

$$T_A(\mathbf{x}) = A\mathbf{x}$$

where  $\mathbf{x}$  is a vector in  $F^n$ .

Let's check that this is indeed a linear transformation.

Chose any  $\mathbf{x}, \mathbf{y} \in F^n$ , then

1.  $\langle \text{ Check that } T_A(\mathbf{x} + \mathbf{y}) = T_A(\mathbf{x}) + T_A(\mathbf{y}) \rangle$ Let  $\mathbf{x}, \mathbf{y} \in V$ , then

$$T_A(\mathbf{x} + \mathbf{y}) = A(\mathbf{x} + \mathbf{y}) = A\mathbf{x} + A\mathbf{y} = T_A(\mathbf{x}) + T_A(\mathbf{y})$$

so this works as we expect.

2.  $\langle \text{ Check that } T_A(c\mathbf{x}) = cT_A(\mathbf{x}) \text{ for } c \in F. \rangle$  let  $c \in F$ , then we have

$$T_A(cX) = A \cdot (cX) = cAX = cT_A(X)$$

which is also what we expect.

so we have proved that  $T_A$  is a linear transformation!

#### EXAMPLE

Consider  $\mathcal{P}$  the set of all polynomials  $a_0 + a_1x + \cdots + a_nx^n$ .

Let's define  $D: \mathcal{P} \to \mathcal{P}$  which takes a function  $f \in \mathcal{P}$  to  $f' \in \mathcal{P}$ , where f' is the derivative of f.

$$D(f) = f'$$

Claim:

D is a linear transformation.

#### **Proof**:

Take two functions  $f, g \in \mathcal{P}$ , then by definition of D, we have

$$D(f+g) = (f+g)' = f' + g' = D(f) + D(g)$$

and for  $c \in F$ ,

$$D(cf) = (cf)' = c \cdot f' = cD(f)$$

so the derivative is a linear transformation!

### EXAMPLE

Let  $C(\mathbb{R})$  be the set of all continuous functions  $f: \mathbb{R} \to \mathbb{R}$ .

Let's define  $I: C(\mathbb{R}) \to C(\mathbb{R})$  which takes a function  $f \in C(\mathbb{R})$  to  $F \in C(\mathbb{R})$ , where F is the antiderivative of f.

$$I(f) = \int_0^x f(t)dt$$

 $\langle$  Note that the integral exists because you can always integrate a continuous function.  $\rangle$ 

The result is also continuous and differentiable by the Fundamental Theorem of Calculus.

$$D(I(f)) = f$$

Is the Fundamental Theorem of Calculus.

Therefore I(f) really is continuous,  $I(f) \in C(\mathbb{R})$ .

#### Claim:

I is a linear transformation.

#### **Proof**:

Take two functions  $f, g \in \mathcal{P}$ , then by definition of I, we have

$$I(f+g) = \int_0^x (f(t) + g(t))dt$$
$$= \int_0^x f(t)dt + \int_0^x g(t)dt$$
$$= I(f) + I(g)$$

and

$$I(cf) = \int_0^x cf(t)dt = c \int_0^x f(t)dt = cI(f)$$

so the integral is a linear transformation!

#### Fri. Feb 15 2023

Recall: A linear transformation  $T:V\to W$  is a function between two vector spaces over the same field F, satisfying

1. For all  $\alpha, \beta \in V$ ,

$$T(\alpha + \beta) = T(\alpha) + T(\beta)$$

Note that the first + is addition in V, but the second is addition in W.

2. For all  $\alpha \in V$  and  $c \in F$ ,

$$T(c\alpha) = cT(\alpha)$$

For all  $\alpha_1, ..., \alpha_k \in V$ , and  $c_1, ..., c_k \in F$ , it breaks nicely into

$$T(c_1\alpha_1 + \dots + c_k\alpha_k) = c_1T(\alpha_1) + \dots + c_kT(\alpha_k)$$

#### EXAMPLE

 $I^*: C(\mathbb{R}) \to \mathbb{R}$  (all continuous functions from  $\mathbb{R}$  to  $\mathbb{R}$ )

$$I^*(f) = \int_0^1 f(x)dx$$

$$I^*(x^2) = \int_0^1 x^2 dx = \frac{x^3}{x} \Big|_0^1 = \frac{1}{3}$$

Note that the output of I\* is just a number here. Additionally, I\* is linear: you can split integrals up for polynomials, and you can take constants outside.

For any V, W, we also have

$$X:V \to W$$

Is the zero transformation. It takes any  $\alpha \in V$  to the 0 of W. We'll use this to prove theorems about linear transformations later.

#### THEOREM

Let's prove existence and uniqueness of linear transformations.

1. Linear Transformations  $T: V \to W$  are **determined** by their behavior on a basis  $\mathcal{B}$  of V. More precisely,

Suppose that  $\mathcal{B} = \{\alpha_1, ..., \alpha_n\}$  is a basis for V and suppose that  $T, U : V \to W$  are both linear transformations (and they agree on a basis), such that

$$T(\alpha_1) = U(\alpha_1), T(\alpha_2) = U(\alpha_2), ..., T(\alpha_n) = U(\alpha_n)$$

Then T = U

2. For any map  $T_0: \mathcal{B} \to W$ , there s a unique linear transformation  $T: V \to W$  with  $T \supseteq T_0$ . In other words,

Let  $\mathcal{B} = \{\alpha_1, ..., \alpha_n\}$  be any basis for V and let  $\beta_1, ..., \beta_n$  be any vectors in W.

Then, there is a **unique** linear transformation  $T: V \to W$  such that

$$T(\alpha_1) = \beta_1, T(\alpha_2) = \beta_2, ..., T(\alpha_n) = \beta_n$$

### Proof

1. **Uniqueness**: Chose any  $\alpha \in V$ , since  $\mathcal{B}$  is a basis,

 $\langle \text{ Will show that } T = U \Leftrightarrow \text{For any } \alpha \in V, T(\alpha) = U(\alpha) \rangle$ 

$$\alpha = c_1 \alpha_1 + \dots + c_n \alpha_n$$

for some **unique**  $c_1, ..., c_n \in F$ .

Since T is a linear transformation,

$$T(\alpha) = c_1 T(\alpha_1) + \dots + c_n T(\alpha_n)$$

Likewise with U,

$$U(\alpha) = c_1 U(\alpha_1) + \cdots + c_n U(\alpha_n)$$

But, since  $T(\alpha_1) = U(\alpha_1), ..., T(\alpha_n) = U(\alpha_n), T(\alpha) = U(\alpha)$ .

 $\langle$  Essentially, if T, U work the same for all  $\alpha_i$ , then their sum will obviously be the same, and so they'll give the same result for the same  $\alpha$ .  $\rangle$ 

Note that this theorem *still* works for infinite dimensional vector spaces.

2. **Existence**: Chose any  $\alpha \in V$ .  $\langle$  We must define  $T(\alpha) \rangle$ 

Since  $\mathcal{B}$  is a basis, we can write

$$\alpha = c_1 \alpha_1 + \dots + c_n \alpha_n$$

which is unique.

Define

$$T(\alpha) := c_1 \beta_1 + \dots + c_n \beta_n \in W$$

**Check**: Is T linear?

Say  $\gamma = d_1 \alpha_1 + \dots + d_n \alpha_n$ ,  $\delta = e_1 \alpha_1 + \dots + e_n \alpha_n$ .

In V, we have that  $\gamma + \delta = (d_1 + e_1)\alpha_1 + \cdots + (d_n + e_n)\alpha_n$ .

By our definition of T, we have

$$T(\gamma + \delta) = (d_1 + e_1)\beta_1 + \dots + (d_n + e_n)\beta_n$$
$$= (d_1\beta_1 + \dots + d_n\beta_n) + (e_1\beta_1 + \dots + e_n\beta_n)$$
$$= T(\gamma) + T(\delta)$$

Check:  $T(c\gamma) = cT(\delta)$ 

So such a tranformation T exists. Additionally by part (1), it is unique.

Let  $T:V\to W$  be a linear transformation.

### DEFINITION

 $\operatorname{Range}(T) = \{T(\alpha) : \alpha \in V\} \subseteq W \text{ is the set of all vectors in } W \text{ hit by } T.$ 

Fact: Range(T) is a subspace of W.

- 1. 0 is in it. This is because T(0) = 0, obviously.
- 2. Combinations of  $\alpha_i$  are in it

Say that  $\beta_1, \beta_2 \in \text{Range}(T)$ .  $\langle \text{ must show that } \beta_1 + \beta_2 \in \text{Range}(T) \rangle$ Since  $\beta_1 \in \text{Range}(T)$ , there is some  $\alpha_1 \in V$  such that

$$T(\alpha_1) = \beta_1$$

similarly for  $\beta_2$ . Now  $T(\alpha_1 + \alpha_2) = T(\alpha_1) + T(\alpha_2) = \beta_1 + \beta_2$ , since T is linear. So  $T(\alpha_1 + \alpha_2) = \beta_1 + \beta_2$  so  $\beta_1 + \beta_2 \in \text{Range}(T) \ \langle \text{ since } \alpha_1, \alpha_2 \in V \text{ means that } \alpha_1 + \alpha_2 \in V,$  because it's a vector space!  $\rangle$ 

3. Scaling Works: Say  $\beta \in \text{Range}(T)$ , and  $c \in F$ . Chose  $\alpha \in V$  such that  $T(\alpha) = \beta$ . Then  $T(c\alpha) = cT(\beta)c\beta$ , therefore  $c\alpha \in \text{Range}(T)$ .

In other books this space is also called the **image** of T.

#### **DEFINITION**

The **Null Space** of  $T: V \to W$  is the set

$$Null(T) = \{ \alpha \in V | T(\alpha) = \mathbf{0} \}$$

 $\langle$  In other words, this is the set of all vectors  $\alpha$  in V that, after a transformation T is applied, go to  $\mathbf{0}$ . Note that  $\mathbf{0}$  here is the zero of the vector space  $W \subseteq V$ .

This is also sometimes called the **Kernel** of T.

### THEOREM

Let  $T: V \to W$  be a linear transformation. Null(T) is a subspace of V.

#### Proof

Let  $\alpha, \beta \in \text{Null}(T)$  and  $c \in F$ . Then,

$$T(c\alpha + \beta) = cT(\alpha) + T(\beta) = c\mathbf{0} + \mathbf{0} = \mathbf{0} \Rightarrow c\alpha + \beta \in \text{Null}(T)$$

It's pretty easy to see from this (and it should make sense) that the Null Space for a transformation T is itself a vector space.

#### **DEFINITION**

The **Nullity** of T is the dimension of the Null space of T.

### **DEFINITION**

The **Rank** of T is the dimension of Range(T). Is this is equal to the dimension of W, T is said to have **full rank**.

Note again that this comes back to our definition of W for our transformation T. Earlier, we saw that W was the codomain of T. If you think about how functions behave, this is like having a surjective function.

#### EXAMPLE

Let  $\mathcal{P}_2$  be the set of all polynomials of degree 2 or less over a field F. Then, we have  $\dim(\mathcal{P}_2) = 3$ .

Consider the linear transformation  $D: \mathcal{P}_2 \to \mathcal{P}_2$ , the differentiation operator. Then

$$\operatorname{Range}(D) = \operatorname{Span}(\{D(1), D(x), D(x^2)\}) = \operatorname{Span}(\{1, 2x\}) \Rightarrow \operatorname{Rank}(D) = 2$$

In other words, the Range of D is the Span of a basis of  $\mathcal{P}_2$  (in this case  $\{1, x, x^2\}$ ) after being evaluated through D, so  $\{1, 2x\}$ . So the rank of D here is 2.

For the Null Space of D, we have that

$$\text{Null}(D) = \{c \in F\} \Rightarrow \text{Nullity}(D) = 1$$

The Null Space is the set of all constant functions since those are the function that, on D, go to  $\mathbf{0}$ .

## 2.3 The Rank-Nullity Theorem

#### RANK-NULLITY THEOREM

Let V be a vector space with dim V = n. Let  $T: V \to W$ .

$$Rank(T) + Nullitv(T) = dim V = n$$

#### PROOF

First, choose  $\{\alpha_1, \alpha_2, \dots, \alpha_k\}$  to be a basis for Null(T). This set is necessarily linearly independent in V. So, we can choose an additional  $\{\alpha_{k+1}, \dots, \alpha_n\}$  so that  $\{\alpha_1, \alpha_2, \dots, \alpha_n\}$  is a basis of V.

Certainly,  $k \leq n$ , since Null(T) is a subspace of V.

We claim  $A = \{T(\alpha_{k+1}), \dots, T(\alpha_n)\}$  is a basis for Range(T). From this we have our theorem.

Clearly,  $A \subseteq \text{Range}(T)$ . We also have, that since  $\{\alpha_1, \alpha_2, \dots, \alpha_n\}$  is a basis of V,  $\{T(\alpha_i)\}$  spans Range(T).

However,  $T(\alpha_1) = T(\alpha_2) = \cdots = T(\alpha_k) = \mathbf{0}$ , since they are in the null space, and hence do not contribute to the span. Thus, A spans  $\operatorname{Range}(V)$ . Now we need only show A is linearly independent. We choose constants such that

$$c_{k+1}T(\alpha_{k+1}) + \cdots + c_nT(\alpha_n) = \mathbf{0}$$

Let

$$\alpha^* = c_{k+1}\alpha_{k+1} + \dots + c_n\alpha_n \in V$$

We then have

$$T(\alpha^*) = c_{k+1}T(\alpha_{k+1}) + \dots + c_nT(\alpha_n) = \mathbf{0} \Rightarrow \alpha^* \in \text{Null}(T)$$

So, we then have that, since  $\alpha^*$  is in the null space,

$$\alpha^* = d_1 \alpha_1 + d_2 \alpha_2 + \dots + d_k \alpha_k = c_{k+1} \alpha_{k+1} + \dots + c_n \alpha_n$$

$$d_1\alpha_1 + d_2\alpha_2 + \dots + d_k\alpha_k - c_{k+1}\alpha_{k+1} - \dots - c_n\alpha_n = \mathbf{0} \in V$$

But since  $\{\alpha_1, \alpha_2, \dots, \alpha_n\}$  is a basis of V, all the constants are zero, and in particular all of the  $c_i$  are zero. So,  $\{T(\alpha_{k+1}), \dots, T(\alpha_n)\}$  is linearly independent and is thus a basis of Range(T).

Now that we have the rank-nullity theorem, we can analyze transformations and their matrices.

#### **DEFINITION**

Let A be a matrix in  $F^{m \times n}$ .

The **Column Space** is the vector space spanned by the n columns of A. This is precisely Range( $T_A$ ).

The **Row Space** is the vector space spanned by the m rows of A.

### THEOREM

Let A be a matrix, that when row-reduced has n unknowns and r non-zero rows. Nullity  $(T_A) = n - r$ 

#### Proof

This follows from the fact that elementary row operations preserve the row space, and that solving a linear system in r equations with n unknowns will have n-r degrees of freedom.

**TODO** I guess I can believe this but some more info would be nice.

#### Note

Let A be a matrix. Then the following are equal

- The dimension of the row space of A
- The dimension of the column space of A
- $\bullet$  The number of nonzero rows in the row-reduced form of A
- $\operatorname{Rank}(T_A)$

This follows immediately from the above and the Rank-Nullity Theorem.

#### Mon. Feb 20 2023

**TODO** Missing first 10 minutes of class (sorry)

Consider

$$A = \begin{bmatrix} 1 & 2 & 3 & 4 \\ 2 & 4 & 6 & 8 \\ 1 & 0 & 1 & 1 \end{bmatrix}$$

Then

$$A^{rr} = \begin{bmatrix} 1 & 0 & 1 & 1 \\ 0 & 1 & 1 & 3/2 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

Is the row reduced matrix.

A basis for the row space of A is

$$\{(1,0,1,1),(0,1,1,1/3)\}$$

but another is

$$\{(1,2,3,4),(1,0,1,1)\}$$

We have  $T_A: \mathbb{R}^4 \to \mathbb{R}^3$ , and  $\operatorname{Rank}(T_A) = 2$ .

Basis for Range( $T_A$ ) equals the basis for Col Space(A)

There are many more linear transformations than the ones given by a matrix, for instance the derivative or integrals.

Let  $T:V\to W$  be a linear transformation. From here there are two questions we can now ask.

1. Is T onto?

It is if and only if Range(T) = W. We saw this earlier. In terms of dimension, this means that  $Rank(T) = \dim(W)$ .

Note that here, V, W must be finite dimensional.

2. Is T one to one?

This requires some more work.

### THEOREM

 $T: V \to W$  is one to one if and only if  $Null(T) = \{0\}$ .

(In other words, the Null space must only contain the zero vector.)

#### Proof

Assume that T is one to one. We know that  $T(\mathbf{0}_V) = \mathbf{0}_W$ . Chose any  $\alpha \in \text{Null}(T)$ , then  $T(\alpha) = \mathbf{0}_W$ , by definition of being in the Null Space. Since T is one to one,  $\alpha$  must equal  $\mathbf{0}_V$ .

Now assume that Null(T) is just  $\mathbf{0}_W$ . To see that T is one to one, chose any  $\alpha, \alpha' \in V$ , with  $T(\alpha) = T(\alpha')$ . Then  $T(\alpha - \alpha') = T(\alpha) - T(\alpha')$  by linearity, but then since  $\alpha = \alpha'$ ,  $T(\alpha - \alpha') = \mathbf{0}$  so  $T(\alpha - \alpha')$  must be in the Null space of T, and since  $\text{Null}(T) = \{\mathbf{0}\}$ , and  $\alpha - \alpha' = 0$ , so  $\alpha = \alpha'$  and thus T is one to one.

### **DEFINITION**

T is called **non-singular** if T is one to one.

This is just another term for something we already know.

Now suppose that  $T: V \to W$  is a linear transformation with  $\dim(V) = \dim(W)$ . Then T is one to one if and only if T is onto.

#### **Proof**:

By the Rank-Nullity theorem from last time, we have that

$$Rank(T) + Nullity(T) = dim(V)$$

Now, assume that T is one to one, then  $\operatorname{Nullity}(T) = 0$ , but then  $\operatorname{Rank}(T) = \dim(V) = \dim(W)$ .

Now conversely, assume that T is onto. Then

$$Rank(T) = \dim(W) = \dim(V)$$

Therefore Nullity(T) = 0, and so T is one to one.

**TODO** typeset above

We are now starting to get a pretty good understanding of linear transformations, but suppose that we now want to combine them.

### 2.4 Combining Linear Transformations

Say  $T: V \to W$  and  $U: W \to Y$  are linear transformations over F.

```
\langle \text{ then } U \circ T : V \to Y \text{ is a function. } \rangle
```

#### Check the following:

- 1.  $U \circ T$  is a linear transformation.
  - You know how to do this, just check that they scale and add as we expect.
- 2. If both T and U are one to one, then the composition is also one to one.
- 3. If both T and U are onto, the composition is also onto.

#### Note

 $T \circ U$  would **not** be a linear transformation, assuming that Y and V are not the same vector space.

Linear Transformations don't commute nicely like that.

**TODO** check that statement above.

**TODO** Mention somewhere that a linear transformation is nothing but a function, a nice function (additionally, its just a set too)

Let's now look at T again.

### **DEFINITION**

A linear transformation  $T:V\to W$  is called **invertible** if there is a linear transformation  $U:W\to V$  such that

1.  $U \circ T : V \to V$  is the identity from V. In other words

$$U(T(\alpha)) = \alpha$$

For any  $\alpha \in V$ .

2.  $T \circ U : W \to W$ 

$$T(U(\alpha)) = \alpha$$

For any  $\alpha \in W$ .

### Note

It might be interesting for you to prove that, if one of the above applies, the other automatically applies as well.

If T is invertible, we call such a  $U T^{-1}$ , the inverse transformation of T.

### Note

Inverse transformations are unique, if they exist.

( We didn't talk about this in class but it has to be true. )

**Proposition**: If  $T: V \to W$  is an *invertible* linear transformation if and only if T is both one to one and onto.

### Note

If T has an inverse, then it must be the case that  $\dim(V) = \dim(W)$ .

If this is surprising, just consider that this follows from the fact that T is both one to one, and onto.