

Effective Date: October 1, 2025

## **Medical Records Documentation Used for Reviews**

These guidelines list medical records documentation used and which may be required, when applicable for reviews. This content is developed using the clinical criteria in UnitedHealthcare medical policies in conjunction with the guidance provided by UnitedHealthcare physicians and pharmacists with experience in reviewing service requests for coverage. This medical record documentation content was developed in an effort to decrease the need for repeated requests for additional information and to improve turnaround time for coverage decisions.

We reserve the right to request more information, if necessary. Medical record documentation content used for case review(s) may vary among various UnitedHealthcare Commercial and UnitedHealthcare Medicare Advantage benefit plans.

This content is provided for reference purposes only and may not include all services. Listing of a service in these guidelines does not imply that it is a covered or non-covered health service. Benefit coverage for health services is determined by the federal, state, or contractual requirements, and applicable laws that may require coverage for a specific service. Medical records documentation may be required to assess whether the member meets the clinical criteria for coverage but does not guarantee coverage of the services requested.

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Abnormal Uterine Bleeding and Uterine Fibroids  Airway Clearance	Medical notes documenting the following, when applicable:  1. Condition requiring procedure  2. Relevant physical exam  3. Signs and symptoms, including uterine bleeding and possible impact on activities of daily living (ADLs)  4. Comorbid medical condition(s), including, when applicable:  a. Presence or absence of anemia  b. Presence or exclusion of thyroid diseases  c. Presence or exclusion of bleeding disorder  d. Exclusion of pregnancy  e. Presence or absence of pelvic or abdominal pain or discomfort  f. Presence or absence of durinary frequency or urgency  g. Presence or absence of dyspareunia  5. Reports of all recent imaging studies and applicable diagnostics, including:  a. Results of cervical cytology  b. Results of endometrial biopsy  c. Results of hysteroscopy with dilatation and curettage (D & C)  d. Uterine or fibroid (s) measurements by imaging within the last year  e. Presence or absence of ureteral compression  6. History of past relevant procedure(s)/ surgery (ies)  7. Prior therapies/treatments tried, failed, or contraindicated; include the dates, duration, and reason for discontinuation  Medical notes documenting the following, when applicable:
Devices	<ol> <li>Diagnosis</li> <li>Current prescription from physician</li> <li>Failed standard treatments to adequately mobilize retained secretions</li> <li>CT scan report confirming diagnosis of bronchiectasis if applicable</li> <li>Frequency of exacerbations requiring antibiotic therapy</li> <li>Duration and frequency of productive cough</li> <li>For continuation beyond the two-month trial, medical notes documenting         <ul> <li>Patient tolerance of the device</li> <li>Efficacy in using the device (member's response to therapy)</li> </ul> </li> </ol>
Ambulance Service – Non-Emergency Transport (Ground or Air)	Include the following:  1. Date of Service  2. Ordering physician's name and phone number  3. Physician including reason for requested transport method  4. Any additional equipment or personnel needed for transport  5. Member's diagnosis and chief complaint  6. Member's current condition including:  a. Comorbidities  b. Current functional limitations  c. Description of members inpatient stay and progress if applicable  7. Where member is traveling <b>from</b> including facility name, contact name and phone number  8. Where member is traveling <b>to</b> including facility name, contact name and phone number

Service	Medical Records Used for Reviews
	9. Mileage for transport including air mileage and land mileage for transport
Apheresis	Medical notes documenting the following, when applicable:  1. Medical history, including transfusion history  2. Diagnosis  3. Treatment plan
Bariatric Surgery	For initial bariatric surgery, provide medical notes documenting all of the following:  1. Height 2. Weight 3. Current and five-year history of BMI (body mass index) 4. Diet history 5. Comorbidities 6. Medical treatment tried and failed including diet and exercise 7. Psychological evaluation by a licensed behavioral health professional 8. Nutritional consult 9. Name of the facility where the procedure will be performed 10. For subsequent bariatric surgery, provide medical notes documenting all of the above in addition to the following: a. Previous unsuccessful medical treatment b. Initial bariatric surgery performed and date and subsequent complications that require further surgical intervention
Beds and Mattresses	Medical notes documenting the following, when applicable:  1. Current prescription (written order) from physician, including: a. Initial, ongoing, or replacement request b. Rental or purchase c. Specific HCPCS code(s) for item and each accessory requested d. Equipment make, model and price quotation e. If replacement, current device used, date of initial acquisition, status of warranty and reason for replacement  2. Medical notes documenting the following, when applicable: a. Diagnosis and detail of member condition(s) or risk(s) b. Current transfer and bed mobility skills c. Current functional limitations with regards to activities of daily living d. Member weight and height e. Reason for positioning of the body not accommodated with a standard bed f. Ability to transfer from a fixed height bed with or without assistance g. Medical need for variable height bed h. Prior approaches tried, failed, or contraindicated; include the dates and reason for discontinuation 3. Physician treatment plan 4. For safety enclosures with beds in addition to the above, also include the following when appropriate: a. Evaluation for contraindications to use of the equipment b. Member assessment for physical, environmental, and behavioral factors c. Physician directed written monitoring plan
Breast Imaging for Screening and Diagnosing Cancer	Provider should call the number on the member's ID card when referring for radiology services.  Medical notes documenting the following, when applicable:
	Recent history and physical

Service	Medical Records Used for Reviews
	<ol> <li>Documentation to support medical necessity (e.g., family history, prior treatment, genetic testing results, other imaging studies and diagnostic results, etc.)</li> <li>Applicable CPT code</li> </ol>
Breast Reconstruction	NOTE: These documentation requirements only apply when a Pre-Determination is requested. Mastectomy after a diagnosis of breast cancer does not require Prior Authorization/Advance Notification.  Medical notes documenting the following, when applicable:  1. Diagnosis  2. History of the medical condition(s) requiring treatment or surgical intervention  3. Chief complaint, including history of the complaint  4. Relevant medical and family history  5. Relevant surgical history, including dates and whether the surgery is for removal, replacement (of an implant, specify type, silicon or saline), or revision of a previous surgery  6. Upon request, we may require the specific diagnostic image(s) that show the abnormality for which surgery is being requested. Consultation with requesting surgeon may be of benefit to select the optimal images NOTE: Diagnostic images must be labeled with:  a. The date taken  b. Applicable case number obtained at time of notification, or member's name and ID number on the image(s) Submission of diagnostic imaging is required via the external portal at www.uhcprovider.com/paan; faxes will not be accepted  7. Reports of all recent imaging studies and applicable diagnostics  8. For CPT codes 19370 and 19371 require submission of high-quality color photograph(s)  NOTE: All photographs must be labeled with the:  a. Date taken  b. Applicable case number obtained at time of notification, or member's name and ID number on the photograph(s)  Submission of color photographs can be submitted via the external portal at <a href="https://www.uhcprovider.com/paan">www.uhcprovider.com/paan</a> ; faxes of color photos will not be accepted  9. Complications which necessitate the need for removal of the prosthetic  NOTE: For capsular contracture include Baker grade and functional impairment  10. Physicians plan of care, including estimated volume of breast tissue per breast to be removed
Breast Reduction Surgery	<ol> <li>Medical notes documenting the following, when applicable:</li> <li>Diagnosis</li> <li>History of the medical condition(s) requiring treatment or surgical intervention, including:         <ul> <li>a. History of the chief complaint and associated symptoms</li> <li>b. Estimated risk of breast cancer</li> </ul> </li> <li>Physical exam including member's height and weight</li> <li>Reports of recent imaging studies and applicable diagnostic tests (within 1 year), including to rule out:         <ul> <li>a. Tumor or malignant changes of the breast</li> <li>b. Orthopedic, neurologic, rheumatologic, endocrine or metabolic condition</li> </ul> </li> <li>Description of physiologic functional impairments (e.g., back pain, grooving from bras straps, skin breakdown, paresthesias, etc.)</li> <li>For a diagnosis of macromastia, include high quality color photograph(s); all images must be labeled with the a. Date taken</li> </ol>

Service	Medical Records Used for Reviews
	<ul> <li>b. Applicable case number obtained at time of notification or member's name and ID number on the photograph(s)         NOTE: Submission of color image(s)are required and can be submitted via the external portal at         <ul> <li>www.uhcprovider.com/paan; faxes will not be accepted</li> </ul> </li> <li>7. Physicians plan of care, including estimated volume of breast tissue per breast to be removed</li> </ul>
Brow Ptosis and Eyelid Repair	<ol> <li>Medical notes documenting the following, when applicable:</li> <li>History of condition requiring treatment</li> <li>Visual complaints, including functional impairments that interfere with activities of daily living (ADL) and ruling out other causes</li> <li>Eye exam including best corrected visual acuity in both eyes</li> <li>Treatments tried, failed, or contraindicated. Include the dates and reason for discontinuation</li> <li>Recent diagnostic testing including:         <ul> <li>Peripheral or Superior Visual Fields automated, reliable, un-taped and taped including percent improvement or number of degrees improvement</li> <li>Reason Visual Field testing is not feasible</li> </ul> </li> <li>Marginal reflex distance (MRD-1)</li> <li>High-quality photograph(s); all photos must be:         <ul> <li>Full face, eye level, frontal and lateral with the member looking straight ahead, light reflex visible and centered</li> <li>Labeled with the date taken and the applicable case number obtained at time of notification, or member's name and ID number on the photograph(s)</li> <li>NOTE: Submission of color photos can be submitted via the external portal at <a href="www.uhcprovider.com/paan">www.uhcprovider.com/paan</a>; faxes of color photographs will not be accepted</li> </ul> </li> </ol>
Cardiac Event Monitoring	<ol> <li>Medical notes documenting the following, when applicable:</li> <li>Physician Order</li> <li>Pertinent diagnoses or symptoms</li> <li>Conditions putting the member at high risk for arrhythmias</li> <li>Result of non-invasive cardiac monitoring unless contraindicated, or non-diagnostic, to include duration of monitoring</li> <li>Test results supporting cardiac etiology (e.g. electrophysiological studies, Tilt Table testing, relevant imaging results, etc.) unexplained symptoms, or unexplained syncopal episodes</li> </ol>
Carrier Testing Panels for Genetic Diseases	Medical notes documenting the following, when applicable:  1. Personal history of the condition, if applicable, including age at diagnosis  2. Family history relevant to condition being tested  3. Genetic testing results of family member, if applicable, and reason for testing  4. Ethnicity/ancestry (e.g., Ashkenazi Jewish), if reason for testing  5. Any prior genetic testing results on affected individual in the family  6. Genetic counseling (if available)
Catheter Ablation for Atrial Fibrillation	Medical notes documenting the following, when applicable:  1. Diagnosis  2. Recent physical exam  3. Signs and symptoms including onset, duration, and frequency  4. Reports of all recent imaging studies and applicable diagnostics  5. Treatments tried, failed, or contraindicated. Include the dates, duration and reason for discontinuation  6. Physician treatment plan

Service	Medical Records Used for Reviews
Cell-Free Fetal DNA Testing	<ol> <li>Medical office notes documenting the following, when applicable:</li> <li>Individual undergoing testing is alloimmunized or at risk for alloimmunization due to maternal RhD status, including presence or absence of red cell antigen antibodies</li> <li>Paternal genotyping shows heterozygosity for RhD or paternal RhD status is unknown</li> <li>Member has been offered and declined invasive diagnostic testing (e.g., amniocentesis, chorionic villus sampling (CVS)) for fetal genotype</li> </ol>
Chromosome Microarray Testing (Non-Oncology Conditions)	Medical notes documenting the following, when applicable: 1. Personal history of the condition, if applicable, including age at diagnosis 2. Complete family history (usually three-generation pedigree) relevant to condition being tested 3. Genetic testing results of family member, if applicable, and reason for testing 4. Any prior genetic testing results 5. Genetic counseling (if available)
Clinical Trials	Provider should call the number on the member's ID card when referring for any clinical trial.
Cochlear Implants	<ol> <li>Medical notes documenting the following, when applicable:</li> <li>Diagnoses and relevant medical history, including vaccination status or waiver</li> <li>Degree and frequencies of sensorineural hearing impairment on each side</li> <li>Treatments tried, failed, or contraindicated. Include the dates, duration, and reason for discontinuation</li> <li>Physical exam and reports of recent relevant imaging studies, including:         <ul> <li>Presence or absence from middle ear infection or mastoid cavity</li> <li>An accessible cochlear lumen that is structurally suited to implantation</li> <li>Presence or absence of lesions in the auditory nerve and acoustic areas of the central nervous system</li> <li>Presence or absence of tympanic membrane perforation</li> </ul> </li> <li>All recent applicable imaging studies and diagnostic tests</li> <li>Member's cognitive ability to use auditory clues and a willingness to undergo an extended program of rehabilitation</li> <li>Proposed procedure(s) including         <ul> <li>Type of cochlear implant or other auditory implant including the name of the device</li> <li>Whether this request is part of a staged procedure</li> </ul> </li> </ol>
Computed Tomographic Colonography	Provider should call the number on the member's ID card when referring for radiology services Medical notes documenting the following, when applicable:  1. Recent history and physical 2. Comorbid medical condition(s) 3. Documentation to support medical necessity 4. Applicable CPT code
Continuous Glucose Monitoring and Insulin Delivery for Managing Diabetes	Insulin Delivery  Medical notes documenting the following:  1. Provide the member's current type of diabetes (eg., type I type II or Gestational)  2. Member's lab results and office notes from within the last three (3) months  3. Treatment plan  4. Current signed physician order  5. Provide the type of make and model of the device requested  CGM Initial Request
	Medical notes documenting the following:

Service	Medical Records Used for Reviews
	<ol> <li>Provide the member's current type of diabetes (e.g., type I type II or Gestational)</li> <li>Member's lab results and office notes from within the last three (3) months</li> <li>Treatment plan</li> <li>Frequency and severity of hypoglycemic events, including glucose level</li> <li>Current signed physician order</li> <li>Provide the type of make and model of the device requested</li> </ol>
	<ul> <li>CGM Continued Use</li> <li>Medical notes documenting the following:</li> <li>1. Provide the member's current type of diabetes (e.g., type I type II or Gestational)</li> <li>2. Physician assessment and lab results within the last 6 months including adherence to the prescribed CGM regimen and treatment plan</li> <li>3. Treatment plan</li> <li>4. Current signed physician order</li> </ul>
	Provide the type of make and model of the device requested
Cosmetic & Reconstructive	<ol> <li>Medical notes documenting the following, when applicable:</li> <li>History of medical conditions requiring treatment or surgical invention which includes all of the following:         <ul> <li>To prove medical necessity, a well-defined physical/physiologic abnormality resulting in a medical condition that requires treatment</li> <li>Recurrent or persistent functional impairment caused by the abnormality</li> </ul> </li> <li>Clinical studies/tests addressing the physical/physiologic abnormality confirming its presence and degree to which it causes impairment</li> <li>High-quality color image(s) of the physical/physiologic abnormality:</li></ol>
Cosmetic & Reconstructive – Tissue Transfer (Flap) Repair	<ul> <li>Medical notes documenting the following, when applicable:</li> <li>1. History of medical conditions requiring treatment or surgical intervention, including: <ul> <li>a. A well-defined physical/physiologic abnormality resulting in a medical condition that requires treatment</li> <li>b. Recurrent or persistent functional deficit caused by the abnormality</li> </ul> </li> <li>2. Clinical studies/tests addressing the physical/physiologic abnormality confirming its presence and degree to which it causes impairment</li> <li>3. Color photos, where applicable, of the physical and/or physiological abnormality</li> <li>4. Physician plan of care with proposed procedures including expected outcome</li> </ul>
Deep Brain and Cortical Stimulation	<ul> <li>Medical notes documenting the following, when applicable:</li> <li>1. Diagnosis</li> <li>2. Specific procedure being requested</li> <li>3. History of the medical condition(s) requiring treatment or surgical intervention, including conditions interfering with activities of daily living</li> </ul>

Service	Medical Records Used for Reviews
	<ul> <li>a. Documentation of signs and symptoms; including onset, duration, and frequency, including:seizures history and number of seizures per month</li> <li>4. Physical exam</li> <li>5. Relevant medical history, including: <ul> <li>a. Medical comorbidities</li> <li>b. Psychiatric comorbidities</li> </ul> </li> <li>6. Treatments tried, failed, or contraindicated. Include the dates, duration, and reason for discontinuation</li> <li>7. Current medications used to treat condition, include start date</li> <li>8. Relevant surgical history, including previous movement disorder surgery and dates</li> <li>9. Reports of all recent imaging studies and applicable diagnostics, including: <ul> <li>a. Results of imaging related to skeletal deformities and cervical myelopathy</li> <li>b. Results of video electroencephalographic (EEG) monitoring</li> <li>d. Results of levodopa challenge</li> <li>e. Results of Yale-Brown Obsessive-Compulsive Scale (Y-BOCS)</li> </ul> </li> <li>10. Physician treatment plan, including: <ul> <li>a. Member understanding of surgical risk, complications and need for follow-up</li> <li>b. Planned placement of electrodes for preoperative mapping</li> </ul> </li> </ul>
Durable Medical Equipment, Orthotics, Medical Supplies, and Repairs/Replacements - Ventilator	<ol> <li>Medical notes documenting the following, when applicable:</li> <li>Current prescription from physician including ventilator settings and hours of use per day</li> <li>Face – to – face evaluation which includes         <ul> <li>Medical history and respiratory condition supporting the need for a ventilator versus CPAP or BIPAP</li> <li>Other therapies with settings trialed, failed or ruled out and clinical justification of failure</li> </ul> </li> <li>Additional testing to support need for ventilator vs. CPAP or BiPAP         <ul> <li>ABGs</li> <li>PFTs</li> <li>Overnight Oximetry</li> <li>Sleep Study</li> </ul> </li> <li>Physician Office Notes that include the following:         <ul> <li>Plan of Care to include the use as intermittent or continuous</li> <li>Member compliance with the treatment plan</li> </ul> </li> </ol>

Service	Medical Records Used for Reviews
Durable Medical Equipment, Orthotics, Medical Supplies, and Repairs/Replacements - Orthotics	<ol> <li>Medical notes documenting the following, when applicable:</li> <li>Current prescription from physician</li> <li>Provider office notes including:         <ul> <li>Diagnosis</li> <li>Physical exam related to support the need of the orthotic. Include the neurological, circulatory, skin and musculoskeletal examination that supports the request</li> <li>Functional impairment that is interfering with activities of daily living (ADL's)</li> <li>Date and type of injury/ surgery, if applicable</li> </ul> </li> <li>Orthotist notes to include the following:         <ul> <li>Equipment quote with billing codes and cost</li> <li>Reason for the orthotic</li> </ul> </li> <li>If a replacement, provide age of current orthotic and reason for replacement</li> </ol>
Electric Tumor Treatment Field Therapy	Medical notes documenting the following, when applicable: For treatment of newly diagnosis glioblastoma  1. Physician Order  2. Diagnosis 3. Physician notes to include the following a. History of all relevant surgeries b. Prior treatment with Radiation Therapy c. Provide results of the Karnofsky Performance Status (KPS) or Eastern Cooperative Oncology Group (ECOG) Performance Status d. Documentation that the member has been counselled that the device must be worn at least 18 hours daily e. Documentation that member is only taking Temozolomide for cancer drug
	<ol> <li>Physician Order</li> <li>Diagnosis</li> <li>Physician notes to include the following:         <ul> <li>Current treatments</li> <li>Provide results of the Kamofsky Performance Status (KPS) or Eastern Cooperative Oncology Group (ECOG)</li></ul></li></ol>
Electrical and Ultrasound Bone Growth Stimulators	Electrical and Bone Growth Stimulators (E0747, E0748 & E0749)  Medical notes documenting the following, when applicable:  1. Current physician prescription or order  2. Any risk factors that apply:

Service	Medical Records Used for Reviews
	<ul> <li>a. Member with comorbid conditions such as diabetes, obesity, osteoporosis, or current tobacco use that could compromise bone healing</li> <li>b. Spondylolisthesis (including grade)</li> <li>c. If the member has had or will be having a spinal fusion, include the following: <ol> <li>i. Date of surgery, either past or future and number of vertebral levels fused; or</li> <li>ii. Documentation of failed spinal fusion and date of reoperation of same site</li> </ol> </li> </ul>
	<ul> <li>Ultrasonic Bone Growth Stimulators (E0760)</li> <li>Medical notes documenting the following, when applicable:</li> <li>1. Current physician prescription or order</li> <li>2. Date, site and type of fracture</li> <li>3. Diagnostic imaging reports</li> <li>4. Treatment of the fracture, including treatment already completed (date of surgery(ies) if applicable) and treatment planned</li> </ul>
Electrical Stimulation for the Treatment of Pain and Muscle Rehabilitation - Functional Neuromuscular Stimulation (FES)	Medical notes documenting the following, when applicable:  1. Date of spinal cord injury and/or restorative surgery  2. Specific device to be implanted  3. Intact lower motor units (both muscle and peripheral nerve)  4. Muscle and joint stability for weight bearing and the ability to support upright posture independently  5. Muscle contractions and sensory perception response  6. Transfer ability and independent standing tolerance  7. Hand and finger dexterity  8. Absence of hip and knee degenerative disease  9. Absence of history of long bone fracture secondary to osteoporosis  10. High level of motivation, commitment and cognitive ability for device use
Electrical Stimulation for the Treatment of Pain and Muscle Rehabilitation - Neuromuscular Electrical Stimulators (NMES)	Medical notes documenting the following, when applicable:  1. Current prescription from physician  2. Diagnoses for the condition(s) needing treatment  3. Clinical notes including:  a. History  b. Physical exam  c. Laboratory testing  4. Physician treatment plan
Epidural Steroid Injections for Spinal Pain	For initial Injection medical notes documenting the following, when applicable:  1. Diagnosis  2. History of the medical condition(s) requiring treatment or surgical intervention  3. Documentation of signs and symptoms; including onset, duration, and frequency  4. Physical exam demonstrating presence of radicular pain  5. Relevant medical history related to the spine or surrounding tissues  6. Treatments tried (e.g. pharmacotherapy, exercises), failed, or contraindicated; include the dates, duration of treatment and reason for discontinuation  7. Relevant surgical history, including dates  8. Reports of all recent imaging studies and applicable diagnostics

Service	Medical Records Used for Reviews
	9. Physician treatment plan, including:
	a. Location of proposed injection (side and level)
	b. Plan for use of fluoroscopic, CT or ultrasound guidance
	10. For subsequent injection, in addition to the above, also include the following:
	a. Response to initial epidural injection, including
	i. Duration of the effect
	ii. Percentage of pain reduction
Facet Joint and Medial	For the <b>initial injection</b> provide medical notes documenting the following, when applicable:
Branch Block Injections	1. Diagnosis
for Spinal Pain	2. Documentation of history of the medical condition(s), signs and symptoms; include onset, duration, and frequency,
	finding suggesting facet joint origin, severity of pain on a 1-10 scale after conservativie treatment (e.g.,
	pharmacotherapy, exercises)  3. Physical exam, including presence of findings on facet loading maneuvers
	4. Relevant medical and surgical history; including history of previous spinal procedures/interventions, including but not
	limited to previous facet injection and previous surgery(ies)
	5. Treatments tried, failed, or contraindicated; include the dates, duration of treatment and reason for discontinuation
	6. Reports of all recent imaging studies and applicable diagnostics
	7. Physician treatment plan, including:
	a. Location of proposed injection (side and level)
	b. Plan for radiofrequency joint denervation/ablation procedure
	8. For <b>second injection</b> in addition to the above, also include the response to initial facet injection, including:
	a. Level, side and date of initial and second injection
	b. Duration of the effect
	c. Description of functional improvement of physical functions
FDA Cleared or	Medical notes documenting the following, when applicable:
Approved Companion	1. Results of prior companion diagnostic testing comprehensive genomic profiling, if applicable
Diagnostic Testing	2. Intended drug for which the companion diagnostic test is approved
	3. Diagnosis and clinical stage
	4. Intended tissue source
	5. Line of therapy being considered
Gastrointestinal Motility	Medical notes documenting the following, when applicable:
Disorders, Diagnosis and	1. Diagnosis
Treatment	2. Relevant history to include symptomatology
	Physical findings     Physical findings
	<ul><li>4. Results of diagnostic tests and imaging studies</li><li>5. Comorbidities</li></ul>
	6. Medical treatments tried, failed and contraindicated
	7. Current physician treatment plan, if applicable
Gastrointestinal	Medical notes documenting the following, when applicable:
Pathogen Nucleic Acid	Current diagnosis
Detection Panel Testing	History of illness and date of onset
for Infectious Diarrhea	3. Comorbidities
ioi iiiicotious biaiiiica	Results of blood cultures and other lab tests

Service	Medical Records Used for Reviews
	5. Number of pathogen targets being tested
	6. Physician treatment plan based on the results of panel testing
Gender Dysphoria	Medical notes documenting the following, when applicable:
, , , , , , , , , , , , , , , , , , ,	1. The number of months member has completed continuous hormone therapy or reason for medical contraindication or
	non-indication
	2. A written clinical assessment from a Qualified Healthcare Professional experienced in treating Gender Dysphoria, who
	has independently assessed the individual. The assessment should include all of the following:
	a. Persistent, well-documented gender dysphoria
	b. The member is capable to make a fully informed decision and to consent for treatment
	c. Member's age
	d. Results of psychosocial-behavioral evaluation including management of coexisting mental health condition
	3. Treatment plan that includes ongoing and follow-up care by a Qualified Healthcare Professional experienced in treating
	Gender Dysphoria, and whether request is part of a staged procedure
	4. For voice modification surgery, in addition to the above, also include documentation of presurgical voice lessons
	and/or therapy
	5. For <b>genital surgery</b> , in addition to the above, also include:
	a. Clinical written assessment from a second Qualified Healthcare Professional experienced in treating Gender
	Dysphoria, who has independently assessed the individual
	b. Documentation the member has completed at least 12 months of successful continuous full-time real-life
	experience in identified gender
Genetic Testing for	Medical notes documenting the following, when applicable:
Cardiac Disease	1. Personal history of the condition, if applicable, including age at diagnosis
	2. Complete family history (usually three-generation pedigree) relevant to condition being tested
	3. Genetic testing results of family member, if applicable, and reason for testing
	4. Ethnicity/ancestry (e.g., Ashkenazi Jewish), if reason for testing
	5. Any prior genetic testing results
	6. How clinical management will be impacted based on results of genetic testing
	7. Genetic counseling (if available)
Genetic Testing for	Medical notes documenting the following, when applicable:  1. Personal history of the condition, if applicable, including age at diagnosis
Hereditary Cancer	<ol> <li>Personal history of the condition, if applicable, including age at diagnosis</li> <li>Complete family history (usually three-generation pedigree) relevant to condition being tested</li> </ol>
	3. Genetic testing results of family member, if applicable, and reason for testing
	4. Ethnicity/ancestry (e.g., Ashkenazi Jewish), if reason for testing
	5. Any prior genetic testing results
	How clinical management will be impacted based on results of genetic testing
	7. Genetic counseling (if available)
Genetic Testing for	Medical notes documenting the following, when applicable:
Neuromuscular	Personal history of the condition, if applicable, including age at diagnosis
Disorders	Complete family history (usually three-generation pedigree) relevant to condition being tested
Districts	3. Genetic testing results of family member, if applicable, and reason for testing
	4. Ethnicity/ancestry (e.g., Ashkenazi Jewish), if reason for testing
	5. Any prior genetic testing results
	How clinical management will be impacted based on results of genetic testing
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Service	Medical Records Used for Reviews
	7. Genetic counseling (if available)
Gynecomastia Surgery	<ul> <li>Medical notes documenting all of the following, when applicable:</li> <li>1. History of the medical condition requiring treatment</li> <li>2. Relevant history of prescribed medication</li> <li>3. Screening for non-prescription and/or recreational drugs or substances (examples include, but are not limited to the following: testosterone, marijuana, asthma drugs, phenothiazines, anabolic steroids, cimetidine and calcium channel blockers)</li> <li>4. Severity of pain and details of functional or physiological impairment (s)</li> <li>5. Frontal and lateral high quality, color photographs of the torso including expected outcome NOTE: All images must be labeled with the: <ul> <li>a. Date taken</li> <li>b. Applicable case number obtained at time of notification, or member's name and ID number</li> <li>Submission of photographs can be submitted via the external portal at www.uhcprovider.com/paan; faxes will not be accepted</li> </ul> </li> <li>6. Treatment plan for proposed surgery</li> <li>7. Reports of all recent imaging studies and applicable diagnostic tests, including: <ul> <li>a. Mammography</li> <li>b. Hormone testing (e.g., beta-human chorionic gonadotropin, thyroid function studies, sex hormone binding globulin, estradiol, follicle-stimulating hormone, luteinizing hormone, prolactin, and testosterone)</li> <li>c. Liver enzymes</li> <li>d. Serum creatinine</li> <li>e. Alpha-fetal protein</li> </ul> </li> </ul>
Hearing Aids and Devices Including Wearable, Bone- Anchored and Semi- Implantable	<ol> <li>Medical notes documenting the following, when applicable:</li> <li>What is being requested bone anchored, semi-implantable, implantable, etc.</li> <li>Medical notes documenting all of the following:         <ul> <li>a. Describe the type of hearing loss (sensorineural vs. conductive or mixed)</li> <li>b. Severity and frequencies affected</li> <li>c. Whether or not member is a candidate for an air-conduction hearing aid</li> </ul> </li> <li>For replacement of any components indicate date of initial purchase and the reason for replacement</li> </ol>
Hysterectomy	<ul> <li>Medical notes documenting the following, when applicable:</li> <li>1. Primary indication for the hysterectomy</li> <li>2. Physician office notes which includes the following: <ul> <li>a. Complete history and physical exam including OB/GYN, surgical and comorbid medical condition(s), including thyroid disease</li> <li>b. Symptoms attributable to pelvic disease, including: <ul> <li>i. Duration</li> <li>ii. Severity</li> <li>iii. Relation to menstrual cycle</li> <li>iv. Impact on activities of daily living (ADL)</li> </ul> </li> <li>c. Reports of relevant diagnostic evaluations, including: <ul> <li>i. Laboratory (including genetic testing results)</li> <li>ii. Pathology (including biopsy results)</li> <li>iii. Imaging includes Ultrasound, MRI, CT, etc.</li> </ul> </li> </ul></li></ul>

Service	Medical Records Used for Reviews
	iv. Prior procedure/operative reports
	d. Diagnostic procedures (e.g. endometrial sampling, PAP, laboratory studies, hysteroscopy or D&C)
Implanted Floatrical	e. Reports of all treatments attempted, declined, contraindicated or failed or including dates and clinical response.  Medical notes documenting the following, when applicable:
Implanted Electrical Stimulator for the Spinal Cord	<ol> <li>Indicate if this request is for a trial or permanent placement; if for permanent placement, include:         <ul> <li>a. Percentage of pain reduction at least 50% pain relief with temporary implant</li> <li>b. Operative notes from the spinal cord stimulatory or dorsal root ganglion (DRG) trial</li> </ul> </li> <li>Condition requiring procedure</li> <li>Physical examination</li> <li>Prior therapies/treatments tried, failed, or contraindicated; include the dates and reason for discontinuation</li> <li>Documentation of psychological evaluation</li> <li>Physician plan of care</li> <li>For revision or removal, include documentation, including:         <ul> <li>a. Details of complication</li> <li>b. Complete treatment plan</li> </ul> </li> </ol>
Implanted Spinal Drug Delivery Systems	Medical notes documenting the following, when applicable:  1. Condition requiring procedure  2. For cancer related pain:
	<ul> <li>a. For trial: <ul> <li>i. Presence and location of metastatic lesions</li> <li>ii. Presence or absence of increased intracranial pressure</li> <li>iii. Life expectancy</li> <li>iv. Treatments tried, failed, or contraindicated. Include the dates, duration, and reason for discontinuation</li> <li>b. For implantation, in addition to the above also provide the degree of pain reduction after trial</li> </ul> </li> <li>3. For spasticity: <ul> <li>a. For trial</li> </ul> </li> </ul>
	<ul> <li>i. Member's symptoms, pain, location, and severity including functional impairment that is interfering with activities of daily living (meals, walking, getting dressed, driving)</li> <li>ii. Results of Modified Ashworth Scale or Penn Spasm Frequency Scale</li> <li>iii. Treatments tried, failed, or contraindicated. Include the dates, duration, and reason for discontinuation iv. Psychiatric or substance use history</li> <li>v. Presence or absence of increased intracranial pressure</li> <li>b. For implantation, in addition to the above also provide: <ol> <li>i. Degree of pain reduction after trial, if applicable</li> <li>ii. Score/ point reduction in the Modified Ashworth Scale or Penn Spasm Frequency Scale</li> </ol> </li> </ul>
	<ul> <li>4. For chronic non-malignant pain: <ul> <li>a. For trial:</li> <li>i. Etiology of pain</li> <li>ii. Treatments tried, failed, contraindicated or refused. Include the dates, duration, and reason for discontinuation, contraindication or refusal.</li> <li>iii. Documentation of consideration given to additional treatments for underlying conditions iv. Psychiatric or psychosocial issues/ history</li> <li>b. For implantation, in addition to the above also provide the degree of pain reduction after trial</li> </ul> </li> </ul>

Service	Medical Records Used for Reviews
	5. For replacement of device, in addition to above, also include reason for replacement
Infertility Diagnosis, Treatment and Fertility Preservation	Medical notes documenting the following, when applicable:  1. Initial history and physical  2. All clinical notes including rationale for proposed treatment plan  3. All ovarian stimulation sheets for timed intercourse, IUI and/or IVF cycles  4. All embryology reports  5. All operative reports  6. Laboratory report FSH, AMH, estradiol and any other pertinent information  7. Ultrasound report antral follicle count and any other pertinent information  8. HSG report  9. Semen analysis
Injectables for Reconstructive Procedures	<ol> <li>Medical notes documenting the following, when applicable:</li> <li>History of medical conditions requiring treatment or surgical intervention which includes all the following:         <ul> <li>To prove medical necessity, a well-defined physical/physiologic abnormality resulting in a medical condition that requires treatment</li> </ul> </li> <li>High-quality color photograph(s); all photographs must be labeled with:         <ul> <li>Date taken</li> <li>Applicable case number obtained at time of notification, or member's name and ID number on the photograph(s)</li> <li>Submission of color image(s) are required and can be submitted via the external portal at www.uhcprovider.com/paan; faxes will not be accepted</li> </ul> </li> </ol>
Intensity Modulated Radiation Therapy (IMRT)	<ol> <li>Medical notes documenting the following, when applicable:</li> <li>Specific condition and target volume requiring IMRT</li> <li>Specific history of prior radiation therapy. Information to include sites of delivery, total dose and dose per fraction</li> <li>A statement documenting the special need for performing IMRT vs Conventional or 3-Dimensional radiation treatment.         <ol> <li>If failure of dose constraints, cite the specific constraint, including protocol number, if applicable.</li> <li>NOTE: only Quantec or RTOG dose constraints are applicable</li> <li>When applicable, for delivery of a prescribed radiation therapy course with IMRT, submit the dose prescription along with documentation in the form of a clearly labeled, color comparative 3D and IMRT plans including dose volume histogram and dose table, in absolute doses. When citing an RTOG dose constraint, provide the RTOG protocol number</li> <li>An immediately adjacent area has been previously irradiated or will be irradiated, and abutting portals must be established with high precision</li> </ol> </li> <li>For IMRT used for breast cancer, provide the above and answers to the following:         <ol> <li>Will the left-sided internal mammary nodes be treated?</li> <li>Will the patient be receiving partial breast irradiation (when dose is up to 5 fraction)?</li> </ol> </li> <li>For IMRT used for whole brain radiation, provide the above documentation in addition to the following:         <ol> <li>Presence or absence of brain metastasis</li> <li>Results of the Eastern Cooperative Oncology Group (ECOG) performance status or Karnofsky performance status (KPS) status tests</li> <li>Prognosis time period</li> <li>Presence or absence of leptomeningeal disease</li> </ol> </li> </ol>

Service	Medical Records Used for Reviews
Interspinous Fusion and	Medical notes documenting the following, when applicable:
Decompression Devices	<ol> <li>Condition requiring procedure including origin of the back pain</li> <li>Surgical history, including date(s) and outcome(s)</li> <li>Upon request, we may require the specific diagnostic image(s) that show the abnormality for which surgery is being requested, which may include MRI, CT scan, X-ray, and/or bone scan; consultation with requesting surgeon may be of benefit to select the optimal images         NOTE: When requested, diagnostic image(s) must be labeled with:             a. The date taken             b. Applicable case number obtained at time of notification, or member's name and ID number on the image(s)             Upon request, diagnostic imaging must be submitted via the external portal at <a href="www.uhcprovider.com/paan">www.uhcprovider.com/paan</a>; faxes will not be accepted</li> </ol> <li>Diagnostic image(s) report(s) by a radiologist, including presence or absence of:         <ol> <li>Degeneration of the disc</li> <li>Spondylolisthesis including Grade</li> </ol> </li> <li>Describe the surgical technique(s) planned, including name of interspinous bony fusion device requested and use of an interbody cage</li>
Light and Laser Therapy	<ul> <li>Medical notes documenting the following, when applicable:</li> <li>1. History of medical conditions requiring treatment or surgical intervention which includes all the following: <ul> <li>a. Specific location and size of the lesion</li> <li>b. To prove medical necessity, a well-defined physical/physiologic abnormality resulting in a medical condition that requires treatment</li> <li>c. Recurrent or persistent functional impairment caused by the abnormality</li> </ul> </li> <li>2. Treatments tried, failed, contraindicated or on-going; include the dates, duration, and reason for discontinuation</li> <li>3. Clinical studies/tests addressing the physical/physiologic abnormality confirming its presence and degree to which it causes impairment</li> <li>4. High-quality color photograph(s); all photos must be labeled with: <ul> <li>a. Date taken</li> <li>b. Applicable case number obtained at time of notification, or member's name and ID number on the photograph(s) Submission of color image(s) are required and can be submitted via the external portal at www.uhcprovider.com/paan; faxes will not be accepted</li> </ul> </li> <li>5. Physician plan of care with proposed procedures and whether this request is part of a staged procedure. Indicate how the procedure will improve and/or restore function</li> </ul>
Liposuction for Lipedema	<ol> <li>Medical notes documenting the following, when applicable:</li> <li>Diagnosis</li> <li>Specific procedure requested and treatment plan, including post-operative plan of care</li> <li>History of the medical condition(s) requiring treatment</li> <li>Level of functional impairment</li> <li>Physical exam including evidence of lipedema</li> <li>Upon request we may require high-quality color photographs. All photographs must be labeled with:         <ul> <li>a. The date taken</li> <li>b. The applicable case number obtained at time of notification or member's name and ID number on the photograph(s)</li> </ul> </li> </ol>

Service	Medical Records Used for Reviews
	<ul> <li>NOTE: Submission of color photographs can be submitted via the external portal at www.uhcprovider.com/paan; faxes of color photographs will not be accepted</li> <li>Relevant medical history</li> <li>Treatments tried, failed, or contraindicated. Include the dates, duration and reason for discontinuation</li> <li>Relevant surgical history, including dates</li> <li>Assessment of the cause of functional impairment by primary care provider or specialist in vascular conditions other than treating surgeon</li> </ul>
Lower Extremity Endovascular Procedures	<ol> <li>Medical notes documenting the following, when applicable:</li> <li>Diagnosis</li> <li>Relevant history and physical to include member symptoms and pertinent findings due ischemia</li> <li>Treatments tried, failed, and/or contraindicated, including structured exercise program, pharmacologic therapy, and smoking cessation, if applicable</li> <li>Details of functional disability(ies) interfering with work or activities of daily living (ADL)</li> <li>Documentation of ischemic peripheral artery disease including Ankle-brachial index (ABI)</li> <li>Diagnostic images (e.g., duplex ultrasound, computed tomography angiography [CTA], magnetic resonance angiography [MRA], or invasive angiography) documenting the location and severity of occlusion</li> </ol>
Lower Extremity Prosthetics	Medical notes documenting the following, when applicable:  1. Vendor Coversheet with the narrative describing the request  2. Vendor invoice listing the HCPCS codes, make model description, indicate if the item is right or left  3. Other healthcare professional notes (e.g., physical therapist)  4. Current prescription  5. Physician office notes including documentation of:  a. History related to the prosthetic request  b. Examination findings to include strength, range of motion (ROM), condition of the contralateral limb, residual limb length and shape, and skin integrity of residual limb  c. Comorbidities  d. Specify absent limb, including the date, level and etiology of amputation  e. Current Functional classification level include specific examples and expected rehab potential  f. Describe limitations to activities of daily living (ADLs) include assistive devices to facilitate ambulation within and outside the home  g. Surfaces normally traversed include distance and environment  h. Prosthetist notes to include medical justification for each of the requested prosthetic components  6. Specify if the request is for initial prosthetic, preparatory prosthetic, definitive prosthetic, replacement of the entire prosthetic leg, replacement of the prosthetic components/ accessories, or request for additional components and accessories  7. For replacement prosthesis, also include:  a. The age of the current prosthesis and reason for replacement  b. The components on the current prosthesis including socket, knee, foot, ankle, sock ply and liner thickness  c. Describe changes in limb including, but not limited to, comparative residual limb measurements  8. For socket replacement also describe what adjustments have been tried and failed
Magnetic Resonance Imaging (MRI) and Computed Tomography	Provider should call the number on the member's ID card when referring for radiology services.  If the location being requested is an outpatient hospital provide medical notes

Service	Medical Records Used for Reviews
(CT) Scan – Site of Service	documenting the following, when applicable: 1. Recent history 2. Physical examination including patient weight 3. Patient condition, allergy, chronic disease and surgical plan 4. Other specific criteria (see coverage rationale) that qualifies the individual for the site of service requested
Mechanical Stretching Devices	Medical notes documenting the following, when applicable:  1. Current prescription from physician  2. Physician office notes that indicate all of the following:  a. The affected joint  b. The date of injury/ surgery  c. Previous treatments attempted  d. Treatment plan, including proposed duration of use
Molecular Oncology Testing for Hematologic Cancer Diagnosis, Prognosis, and Treatment Decisions	Medical notes documenting the following, when applicable:  1. Confirmed or suspected hematologic cancer type and stage, if available, date of diagnosis  2. Results of other diagnostic testing (e.g., blood smear, flow cytometry, FISH), if applicable  3. Proposed treatment based on results of genetic testing (if available)
Molecular Oncology Testing for Solid Tumor Cancer Diagnosis, Prognosis, and Treatment Decisions	Medical notes documenting the following, when applicable:  1. Cancer type and stage including, if applicable, tumor size and nodal status  2. Results of other biomarker testing (e.g., estrogen receptor, HER-2 neu), if applicable  3. Proposed treatment based on results of genetic testing (if available)
naviHealth Admissions for Inpatient Rehabilitation Facility (IRF)	Initial Admission:  Medical notes documenting the following, when applicable:  1. Hospital Face Sheet  2. History & Physical Document  3. Therapy Evaluations  4. Most Recent Therapy Notes (Within the Past 24-48 hours)  5. Most Recent Physician Note (Within the Past 24 hours)  6. Physician Orders Sheet/Medication List  7. Post-Procedure Notes  8. Nursing Admission Assessment
	Continuation of Stay:  Medical notes documenting the following, when applicable:  1. Therapy Evaluations (within the past 48 hours)  2. Most Recent Therapy Notes (within the past 24-48 hours)  3. Most Recent Physician Notes (within past 24 hours)  4. Most Recent Nursing Notes (within past 24 hours)
naviHealth Admissions for Long Term Acute Care (LTAC)	Initial Admission: Medical notes documenting the following, when applicable:  1. Hospital Face Sheet

Service	Medical Records Used for Reviews
	<ol> <li>History &amp; Physical Document</li> <li>Therapy Evaluations</li> <li>Most Recent Therapy Notes (Within the Past 24-48 hours)</li> <li>Most Recent Physician Note (Within the Past 24 hours)</li> <li>Physician Orders Sheet/Medication List</li> <li>Post-Procedure Notes</li> <li>Nursing Admission Assessment</li> </ol>
	Continuation of Stay:  Medical notes documenting the following, when applicable:  1. Therapy Evaluations (within the past 48 hours)  2. Most Recent Therapy Notes (within the past 24-48 hours)  3. Most Recent Physician Notes (within past 24 hours)  4. Most Recent Nursing Notes (within past 24 hours)
naviHealth Admissions for Skilled Nursing Facility (SNF)	Initial Admission:  Medical notes documenting the following, when applicable:  1. Hospital Face Sheet  2. History & Physical Document  3. Therapy Evaluations  4. Most Recent Therapy Notes (Within the Past 24-48 hours)  5. Most Recent Physician Note (Within the Past 24 hours)  6. Physician Orders Sheet/Medication List  7. Post-Procedure Notes  8. Nursing Admission Assessment  Continuation of Stay:  1. Medical notes documenting the following, when applicable:  2. Therapy Evaluations (within the past 48 hours)  3. Most Recent Therapy Notes (within past 24-48 hours)  4. Most Recent Physician Notes (within past 24 hours)
Negative Pressure Wound Therapy - Wound VAC	<ol> <li>Most Recent Nursing Notes (within past 24 hours)</li> <li>Medical notes documenting the following, when applicable:</li> <li>Diagnosis requiring Negative Pressure Wound Therapy (NPWT)</li> <li>History of the medical condition(s) requiring treatment</li> <li>Recent physical exam</li> <li>Signs and symptoms</li> <li>Treatments tried, failed, or contraindicated; include the dates, duration of treatment and reason for discontinuation</li> <li>Wound stage/ size/ location/ measurements</li> <li>Wound type (post-surgical, venous stasis, decubitus ulcer, diabetic neuropathic ulcer)</li> <li>Date(s) of surgery including debridement</li> <li>The date the NPWT (wound vacuum assisted closure (VAC)) was started</li> <li>Favorable wound environment has been maintained with:         <ul> <li>Appropriate dressing/ dressing changes</li> </ul> </li> </ol>

Service	Medical Records Used for Reviews
	<ul> <li>b. Adequate nutritional status</li> <li>c. Management of incontinence, if applicable</li> <li>d. Wound is free of the following: <ol> <li>i. Active bleeding or exposed vasculature in the wound</li> <li>ii. Necrotic tissue,</li> <li>iii. Exposed bone, nerves or organs in vicinity of wound</li> <li>iv. Malignancy present in wound,</li> <li>v. Open fistula to an organ or body cavity within the vicinity of the wound</li> <li>vi. Uncontrolled soft tissue infection or osteomyelitis within vicinity of wound</li> </ol> </li> <li>11. If member is diabetic, the member is maintained on a diabetic management program</li> <li>12. Member is turned and repositioned with the presence of a Stage III or IV pressure ulcer</li> <li>13. If applicable, indicate when NPWT (wound VAC) has been used previously on the same type of wound with a favorable clinical response</li> </ul>
Obstructive and Central Sleep Apnea Treatment - Oral Appliances	<ol> <li>Medical notes documenting the following, when applicable:</li> <li>Diagnosis</li> <li>Documentation of most recent face-to-face evaluation with prescribing qualified physician (MD or DO), trained in sleep medicine or an advanced practice provider (APP) under the direct supervision of a sleep medicine physician</li> <li>Current written order from physician, including:         <ul> <li>Initial appliance or replacement</li> <li>If replacement, current device used and reason for replacement</li> </ul> </li> <li>Results of sleep study including severity of the OSA (AHI, REI, or RDI values, etc.)</li> <li>Prior treatments tried, failed, or contraindicated, including documentation of the member's intolerance or refusal of PAP, include the dates, duration of treatment and reason for discontinuation, including if positive airway pressure (PAP) resulted in no therapeutic efficacy or patient refusal or intolerance</li> <li>If the oral appliance is being prescribed for reasons other than OSA, an explanation of why appliance is needed</li> </ol>
Obstructive and Central Sleep Apnea Treatment - Surgical	<ol> <li>Medical notes documenting the following, when applicable:</li> <li>Diagnosis</li> <li>Specific procedure being requested</li> <li>History of the medical condition(s) requiring treatment or surgical intervention</li> <li>Reports of recent applicable imaging studies and diagnostic tests (e.g., Epworth Sleepiness Scale)</li> <li>Results of sleep study confirming diagnosis and severity of the OSA</li> <li>Treatments tried, failed, or contraindicated; include the dates, duration of treatment, and reason for discontinuation, also include if positive airway pressure (PAP) resulted in no therapeutic efficacy or patient refusal or intolerance</li> <li>In addition to the requirements above, medical notes documenting the following, when applicable for:         <ol> <li>For Mandibular Osteotomy, presence or absence of retrolingual or lower pharyngeal functional obstruction</li> <li>For Maxillomandibular Osteotomy and Advancement (MMA): presence or absence of craniofacial disproportion or deformities, with evidence of maxillomandibular deficiency</li> <li>For Implantable Hypoglossal Nerve Stimulation (adult):</li></ol></li></ol>

Service	Medical Records Used for Reviews
	<ul> <li>ii. Presence or absence of tracheostomy</li> <li>iii. Presence or absence of complete concentric collapse at the soft palate level confirmed by a medication induced sleep endoscopy test</li> <li>iv. Refusal of an MMA procedure for non-concentric palatal collapse</li> </ul>
Office Based Procedures Site of Service for Commercial Plans	If the location being requested is anything other than the office, provide medical notes documenting the following, when applicable:  1. History 2. Physical examination including patient weight and comorbidities 3. Surgical plan 4. Physician privileging information related to the need for the use of the hospital outpatient department 5. American Society of Anesthesiologists (ASA) score, as applicable
Orthognathic (Jaw) Surgery	<ol> <li>Medical notes documenting the following, when applicable:</li> <li>Comprehensive history of the medical condition(s) requiring treatment or surgical intervention; including:         <ul> <li>a. A well-defined physical and/or physiological abnormality (e.g., congenital abnormality, functional or skeletal impairments) resulting in a medical condition that has required or requires treatment; and</li> <li>b. The physical and/or physiological abnormality has resulted in a functional deficit; and</li> <li>c. The functional deficit is recurrent or persistent in nature</li> </ul> </li> <li>All recent, clear, high quality diagnostic imaging including:         <ul> <li>a. Cephalometric tracings and analysis addressing the physical and/or physiological abnormality and the degree to which it is causing impairment</li> <li>b. Radiologic images and interpretations including lateral cephalometric posteroanterio and panoramic radiographs NOTE: All images must be labeled with the:</li></ul></li></ol>
Outpatient Surgical Procedures – Site of Service for Commercial Plans	If the location being requested is an outpatient hospital provide medical notes documenting the following, when applicable:  1. History 2. Physical examination including patient weight and comorbidities 3. Surgical plan 4. Physician privileging information related to the need for the use of the hospital outpatient department 5. American Society of Anesthesiologists (ASA) score, as applicable 6. Specific criteria (see coverage rationale) that qualifies the individual for the site of service requested
Panniculectomy Surgery	<ol> <li>Medical notes documenting the following, when applicable:</li> <li>Primary complaint, history of complaint, and physical exam, including:         <ul> <li>a. Grade of panniculus</li> <li>b. Body mass index (BMI)</li> <li>c. History of recent weight loss in lbs/kgs</li> <li>d. History of weight stability and duration</li> <li>e. History of dermatologic complications</li> </ul> </li> <li>Diagnosis of dermatologic complications (e.g., skin infection, ulcers, maceration, skin breakdown, etc.)</li> <li>Treatments (e.g., antibiotic, corticosteroid, antifungal) for dermatologic complications tried, failed, or contraindicated; include the dates, duration of treatment, and reason for discontinuation</li> </ol>

Service	Medical Records Used for Reviews
	<ol> <li>Details of functional limitations due to pannus interfering with activities of daily living (ADL)</li> <li>Relevant surgical history, including dates</li> <li>Physician treatment plan, including specific and associated procedures</li> <li>Upon request we may require high-quality color photographs         <ol> <li>For panniculectomy, photographs of a full-frontal view of the hanging pannus, a full-frontal view of pannus elevated that allows for the evaluation of any skin damage, and a full lateral view of the hanging pannus</li> <li>All photographs must be labeled with the date taken and the applicable case number obtained at time of notification, or member's name and ID number on the photograph(s)</li> </ol> </li> <li>NOTE: Submission of color photographs can be submitted via the external portal at <a href="www.uhcprovider.com/paan">www.uhcprovider.com/paan</a>; faxes of color photographs will not be accepted</li> </ol>
Percutaneous Neuroablation for Pancreatic Cancer Pain, Severe Cancer Pain, and Trigeminal Neuralgia	<ol> <li>Medical notes documenting the following, when applicable:</li> <li>Diagnosis</li> <li>History of the medical condition(s) requiring treatment or surgical intervention</li> <li>Documentation of signs and symptoms; including onset, duration, and frequency</li> <li>Physical exam</li> <li>Relevant medical history</li> <li>Treatments tried, failed, or contraindicated. Include the dates and reason for discontinuation</li> </ol>
Percutaneous Patent Foramen Ovale (PFO) Closure	<ol> <li>Medical notes documenting the following, when applicable:</li> <li>History and comorbid medical condition(s)</li> <li>Documentation of member's symptoms</li> <li>Complete report(s) of diagnostic imaging (MRI, CT Scan, X-rays)</li> <li>Results of diagnostic testing performed to rule out other causes including, but not limited to, carotid disease, hypercoagulable states or atrial fibrillation; and</li> <li>Documentation of an evaluation by a cardiologist and a neurologist and both are in agreement that the stroke is likely embolic in nature</li> </ol>
Percutaneous Vertebroplasty and Kyphoplasty	<ol> <li>Medical notes documenting the following, when applicable:</li> <li>Onset of the condition, length and duration</li> <li>Documentation of member's symptoms, pain, location, and severity including functional impairment that is interfering with activities of daily living (meals, walking, getting dressed, driving)</li> <li>History and comorbid medical condition(s)</li> <li>No evidence of spinal cord compression</li> <li>Treatments tried and failed</li> <li>Complete report(s) of diagnostic imaging (MRI, CT Scan, X-rays and/or bone scan)</li> <li>Upon request we may require the specific diagnostic image(s) that show the abnormality for which surgery is being requested, which may include MRI, CT scan, X-ray, and/or bone scan; consultation with requesting surgeon may be of benefit to select the optimal images         NOTE: When requested, diagnostic image(s) must be labeled with:         <ul> <li>The date taken</li> <li>Applicable case number obtained at time of notification, or member's name and ID number on the image(s)</li> <li>Upon request, diagnostic image(s) must be submitted via the external portal at <a href="www.uhcprovider.com/paan">www.uhcprovider.com/paan</a>; faxes will not be accepted.</li> </ul> </li> </ol>

Service	Medical Records Used for Reviews
Plagiocephaly and	Medical notes documenting the following, when applicable:
Craniosynostosis	1. Current prescription from physician
Treatment - Cranial Orthotic	<ol> <li>Diagnosis and indication(s) for cranial orthosis</li> <li>General physical exam related to support the need of the orthotic; include the neurological, circulatory, skin and musculoskeletal examination that supports the request, as well as presence or absence of torticollis</li> <li>At least one of the following:         <ul> <li>Cranial vault asymmetry index (CVAI)</li> <li>Cephalic index (CI)</li> <li>Transcranial diameter difference (TDD)</li> <li>Cranial vault asymmetry (CVA)</li> <li>Children's Healthcare of Atlanta (CHOA) level</li> <li>For more details about the definition of these measurements, see InterQual criteria informational notes</li> </ul> </li> <li>Documentation of treatments tried, failed, contraindicated. Include the dates, duration, and reason for discontinuation, including:         <ul> <li>Repositioning</li> <li>Physical or occupational therapy</li> </ul> </li> <li>Orthotist notes to include the following:         <ul> <li>Equipment quote with billing codes and cost</li> <li>Reason for the orthotic</li> <li>Anthropometric Measurements</li> </ul> </li> <li>Date of planned or completed craniosynotosis surgery, if applicable</li> <li>Physician treatment plan, including:         <ul> <li>Plan to treat torticollis with cranial orthosis</li> <li>In addition to the above, also provide the following for a request for continuation of treatment with a new cranial orthotic:         <ul> <li>Age of current orthotic</li> </ul> </li> </ul></li></ol>
	<ul><li>b. Reason for replacement</li><li>c. Adjustments/modifications to current cranial helmet if applicable</li></ul>
Durana dia C	d. Compliance with wear
Pneumatic Compression Devices	<ol> <li>Medical notes documenting the following, when applicable:</li> <li>Current prescription (written order) from physician, including:         <ul> <li>Initial, ongoing, or replacement</li> <li>Rental or purchase</li> <li>Specific HCPCS code(s) for item and each accessory requested</li> <li>Equipment make, model and price quotation</li> <li>Why the features of the device are needed</li> <li>If replacement, current device used, date of initial acquisition, status of warranty and reason for replacement</li> </ul> </li> <li>Medical notes documenting the following, when applicable:         <ul> <li>Member diagnosis; include affected anatomical site, when applicable</li> <li>Member symptoms</li> </ul> <li>Member ambulation status</li> <li>Treatments tried, failed, or contraindicated; include the dates, duration, and reason for discontinuation</li> <li>Member or caregiver willing and able to manage device</li> </li> </ol>

Service	Medical Records Used for Reviews
	f. Treatment plan including:         i. Pressure in each chamber         ii. Frequency         iii. Duration of each treatment         iv. Ongoing decongestive therapy program, wound care, or edema management         v. Education regarding monitoring schedule and treatment response         vi. For ongoing, include proper use and continued benefit
Preimplantation Genetic Testing and Related Services	For <b>Preimplantation Genetic Testing</b> medical notes documenting the following, when applicable:  1. Family history information related to the condition for which the member is being tested  2. Genetic testing results supporting the family history concerns [e.g., confirmation that the condition(s) being assessed for actually exist]  3. Genetic counseling documentation (if available)  For <b>Related Services</b> medical notes documenting the following, when applicable:  1. Initial history and physical  2. All clinical notes including rationale for proposed treatment plan  3. All ovarian stimulation sheets for timed intercourse, IUI, and/or IVF cycles  4. All embryology reports  5. All operative reports  6. Laboratory report FSH, AMH, estradiol, and any other pertinent information  7. Ultrasound report antral follicle count and any other pertinent information  8. HSG report  9. Semen analysis
Private Duty Nursing	<ol> <li>Medical notes documenting the following, when applicable</li> <li>Home Health Certification (CMS-485) which includes the Plan of Care signed by a physician (M.D. or D.O.) or signed by an advanced practitioner (NP, CNS, or PA) in accordance with applicable law and regulation</li> <li>Provide the clinical assessment including the days and hours of private duty nursing that is being requested (e.g.: 8 hours a day x 5 days a week (9 am – 5 pm))</li> <li>Details if the request is being made post-inpatient facility discharge</li> <li>Provide details of the caregiver(s) status including:         <ul> <li>a. Willingness to participate</li> <li>b. Availability including:                  <ul></ul></li></ul></li></ol>

Service	Medical Records Used for Reviews
Prostate Surgeries and Interventions	iv. Need for nasal or or al suctioning v. Nebulizer treatments vi. High-frequency chest wall oscillation (HFCWO) vii. Chest Therapy c. Blood draws d. Feeding e. Elimination f. Seizure activity, frequency and applicable interventions needed g. Wound care including type of wound, type of dressing and frequency of dressing changes h. Assistance with Activities of Daily Living (ADLs) i. Use of a mobility device j. Ability to transfer k. Use of cast, splint, brace or assistance with passive range of motion l. Communication limitations m. Behavioral issues n. Cognitive or sensory impairment issues  Medical notes documenting the following, when applicable: 1. Diagnosis, including: a. Cancer risk group, including stage of disease b. Life expectancy c. Results of diagnostic prostate biopsy 2. History of the medical condition(s) requiring treatment or surgical intervention, including dates 3. Documentation of signs and symptoms; including onset, duration, and frequency 4. Physical exam, including result of digital rectal exam 5. Relevant medical history, including: a. List of current patient medication b. History of urinary incontinence d. Current urinary tract infection e. Allergy to nickel 6. Treatments tried, failed, or contraindicated; include the dates, duration and reason for discontinuation 7. Relevant surgical history, including dates 8. Reports of all recent imaging studies and applicable diagnostics including: a. Results of urinalysis c. Results of prostate biopsies
Proton Beam Therapy	<ul> <li>e. Results of prostate volume via transrectal ultrasound (TRUS)</li> <li>f. Prostate volume</li> <li>g. Presence of signs or symptoms of obstruction</li> <li>h. Presence of protruding median lobe of the prostate</li> <li>9. Physician treatment plan/surgical plan, including plans for pelvic lymph node dissection and radiotherapy</li> <li>Medical notes documenting the following, when applicable:</li> </ul>
10ton Deam Therapy	

Service	Medical Records Used for Reviews
	<ol> <li>History of medical condition requiring treatment</li> <li>Documentation that sparing of the surrounding normal tissue cannot be achieved with standard radiation therapy techniques</li> <li>Evaluation includes a comparison of treatment plans for PBT, IMRT, and stereotactic body radiation therapy (SBRT) for the specific individual</li> <li>For hypofractionated radiation, provide the prescribed total dose and dose per fraction</li> <li>For delivery of radiation therapy course with standard fractionation, provide the dose prescription along with documentation in the form of a clearly labeled, color comparative proton, and IMRT dose volume histogram and dose table, in absolute doses noting that sparing of the surrounding normal tissue cannot be achieved with IMRT techniques</li> <li>Note: If citing an RTOG dose constraint, provide the RTOG protocol number</li> <li>Physician's treatment plan         NOTE: The color comparative proton and IMRT dose volume histogram and dose table images can be submitted via the external portal at <a href="http://www.uhcprovider.com/paan">http://www.uhcprovider.com/paan</a>; faxes of images will not be accepted.</li> </ol>
Radiation Therapy: Fractionation, Image- Guidance, and Special Services	Radiation Therapy Fractionation  Medical notes documenting the following, when applicable:  1. Radiation Oncologist notes  2. Diagnosis and stage  3. History of present illness and conditions  4. History of prior surgical treatment  5. Prior irradiated areas and their prescriptions  6. Proposed treatment plan, including radiation prescription:  a. Number of fractions  b. Dose per fraction  c. Total dose
	<ul> <li>Image-guided Radiation Therapy (IGRT)</li> <li>Medical notes documenting the following, when applicable:</li> <li>1. Radiation Oncologist notes</li> <li>2. Diagnosis and stage</li> <li>3. History of present illness and conditions</li> <li>4. Current and previous treatments such as: <ul> <li>a. Will you be radiating a previously irradiated area or an area directly adjacent to a previously irradiated area?</li> <li>b. Will IGRT be used in conjunction with another radiation therapy modality?</li> <li>c. Treatment modality</li> <li>d. History of prior surgical treatment</li> </ul> </li> <li>5. Patient BMI</li> <li>6. Comparison plans, dose-volume histogram, clinical target volume margins, target motion documented by imaging</li> <li>7. Proposed treatment plan</li> </ul>
Rhinoplasty and Other Nasal Surgeries	Medical notes documenting the following, when applicable:  1. Diagnosis  2. Detailed history of nasal symptoms including detailed notes with specific date(s) related to evaluation and management  3. Relevant surgical history, including dates  4. Evidence of rhinosinusitis

Service	Medical Records Used for Reviews
	<ol> <li>Treatments tried, failed, or contraindicated; include the dates, duration of treatment and reason for discontinuation</li> <li>Specific diagnostic image(s) that show the abnormality for which surgery is being requested. Consultation with requesting surgeon may be of benefit to select the optimal images         NOTE: Diagnostic images must be labeled with:</li></ol>
Sacral Nerve Stimulation for Urinary and Fecal Indications	Medical notes documenting the following, when applicable:  1. Diagnosis  2. History of the medical condition(s) requiring treatment, including:  a. Origin of the dysfunction  b. Presence or absence of bladder outlet obstruction  c. Presence or absence of constipation  3. Signs and symptoms  4. Treatments tried, failed, or contraindicated; include the dates, duration of treatment and reason for discontinuation  5. Bladder capacity in milliliters  6. Individual's capacity to operate device  7. For permanent implantation, include percentage improvement of symptoms in response to a screening trial
Sacroiliac Joint Interventions	Medical notes documenting the following, when applicable:  1. Condition requiring procedure  2. History and comorbid medical condition(s), including presence or absence of somatoform disorder or generalized pain disorders  3. Member's symptoms including pain, location, severity and interference with activities of daily living (ADLs)  4. Physical exam, including:  a. Specific location of tenderness  b. Presence or absence of acute neurological deficits  c. Results of at least three tests:  i. Compression test  ii. Distraction test  iii. Patrick's or FABER test  iv. Gaenslen's test  v. Thigh thrust test  vi. Sacral thrust test

Service Me	dical Records Used for Reviews
6. 7.	vii. Posterior provocation test Reports of all recent imaging studies and applicable diagnostics Treatments tried, failed, or contraindicated; include the dates, duration and reason for discontinuation Results of the fluoroscopically guided diagnostic intra-articular SIJ block(s) using local anesthetic (percent of pain reduction)
- Site of Service 1. 2. 3.	ne location being requested is an outpatient hospital, provide medical notes documenting of the following: History relevant to procedure Comorbidities necessitating outpatient hospital setting Physical examination, including patient weight Planned procedure
1. 2.	dical notes documenting the following, when applicable:  Current prescription from physician  Physician office notes with clinical information documenting:  a. Diagnosis  b. Whether the member is completely incapable of standing up from a regular armchair or any chair in his/ her home  c. Whether the member has the ability to ambulate once standing  d. Whether all appropriate therapeutic modalities to enable the member to transfer from a chair to a standing position  (e.g., medication, physical therapy) have been tried and failed  Make, model, and type of lift  Price quote
Interventions 1. 2. 3. 4. 5. 6. 7.	dical notes documenting the following, when applicable:  Diagnosis  History of illness  Recent physical exam  Signs and symptoms  Treatments tried, failed, or contraindicated; include the dates and reason for discontinuation (e.g. intranasal corticosteroids, antibiotic therapy, nasal lavage/irrigation)  Recent CT scan report including the date of scan, documenting the following:  a. Which sinus has the disease, including side  b. The extent of disease including the percent of opacification or the use of a scale such as the Modified Lund-Mackay Scoring System  c. Whether the images were taken pre- or post-medical management  Upon request, recent CT scan images:  a. That show the abnormality for which surgery is being requested  b. Are the optimal images to show the abnormality of the affected area including, when applicable the use of a scale such as the Modified Lund-Mackay Scoring System to define the severity  c. Labeled with the date taken and the applicable case number obtained at time of notification, or member's name and ID number  NOTE: CT images can be submitted via the external portal at <a href="https://www.uhcprovider.com/paan">www.uhcprovider.com/paan</a> ; faxes will not be accepted  In addition to the above, for balloon sinus ostial dilation to treat Chronic Rhinosinusitis also include for which specific sinus (es) the intervention is planned

Service	Medical Records Used for Reviews
	<ol> <li>Diagnosis or suspected diagnosis</li> <li>Physical exam including the member height, weight and BMI</li> <li>Clinical signs and symptoms</li> <li>Comorbid conditions including pulmonary, cardiac, neuromuscular disease/neurodegenerative, neurologic</li> <li>History of chronic (&gt;3 months) opiate use including frequency, dose and duration</li> <li>Reports of all recent imaging studies and applicable diagnostics, including when applicable:         <ul> <li>a. Previous sleep study (ies) include type and date</li> <li>b. Epworth Sleepiness score</li> <li>c. Spirometry</li> <li>d. NYHA heart failure class</li> <li>e. Left ventricular ejection fraction</li> <li>f. Arterial PaCO2 results</li> </ul> </li> <li>Treatments tried, failed, or contraindicated. Include the dates and reason for discontinuation</li> <li>If requesting 95811, indicate whether the request is for PAP titration or split night study</li> <li>a. For a member already on PAP therapy, provide most recent print out for compliance</li> <li>9. Name and address of the facility where the procedure will be performed</li> <li>For CPT 95805, Multiple Sleep Latency Testing (MSLT) and Maintenance of Wakefulness Testing (MWT), include notes that Excessive Sleepiness have been excluded</li> </ol>
Spinal Fusion and Bone Healing Enhancement Products	<ol> <li>Medical notes documenting the following, when applicable:</li> <li>Condition requiring procedure</li> <li>History and comorbid medical condition(s)</li> <li>Member's symptoms, pain, location, and severity including functional impairment that is interfering with activities of daily living (meals, walking, getting dressed, driving)</li> <li>Physical exam, including neurologic exam</li> <li>History and duration of previous therapy, when applicable including:         <ul> <li>a. Physical therapy</li> <li>b. Medications (injections)</li> <li>c. Previous surgery</li> <li>d. Bracing</li> <li>e. Other attempted treatments</li> </ul> </li> <li>Whether the surgery will be performed with direct visualization or only with endoscopic visualization</li> <li>Complete report(s) of diagnostic tests and imaging</li> <li>Describe the surgical technique(s) planned [e.g., AxialLIF®, XLIF, ILIF, OLIF, LALIF, image-guided minimally invasive lumbar decompression (MILD®), percutaneous endoscopic discectomy with or without laser, etc.]</li> <li>Specify the allograft product including brand name(s) to be used</li> </ol>
Spinal Fusion and Decompression	<ul> <li>Medical notes documenting the following, when applicable:</li> <li>1. Condition requiring procedure</li> <li>2. History and comorbid medical condition(s)</li> <li>3. Smoking history/status, including date of last smoking cessation</li> <li>4. Member's symptoms, pain, location, and severity including functional impairment that is interfering with activities of daily living (ADLs)</li> <li>5. Prior treatments tried, failed, or contraindicated. Include the dates, duration and reason for discontinuation</li> </ul>

Service	Medical Records Used for Reviews
	<ul> <li>6. Failure of conservative therapy through lack of clinically significant improvement between at least two measurements, on a validated pain or function scale or quantifiable symptoms despite concurrent conservative therapies</li> <li>7. Progressive deficits with clinically significant worsening based on at least two measurements over time</li> <li>8. Surgical history, including date(s) and outcome(s)</li> <li>9. Disabling symptoms</li> </ul>
	10. Upon request, we may require the specific diagnostic image(s) that show the abnormality for which surgery is being requested, which may include MRI, CT scan, X-ray, and/or bone scan; consultation with requesting surgeon may be of benefit to select the optimal images Note: When requested, diagnostic image(s) must be labeled with: a. The date taken
	<ul> <li>b. Applicable case number obtained at time of notification, or member's name and ID number on the image(s)         Upon request, diagnostic imaging must be submitted via the external portal at <a href="https://www.uhcprovider.com/paan">www.uhcprovider.com/paan</a>; faxes will not be accepted</li> <li>11. Diagnostic image(s) report(s) by a radiologist, including presence or absence of:</li> </ul>
	<ul> <li>a. Segment (s) instability</li> <li>b. Spinal cord compression</li> <li>c. Disc hemiation</li> <li>d. Nerve root compression</li> </ul>
	e. Quantification of subluxation, translation by flexion, angulation when appropriate f. Discitis g. Epidural abscess h. Scoliosis i. Kyphosis
	<ul> <li>12. Physical exam, including neurologic exam, including degree and progression of curvature (for scoliosis)</li> <li>a. Quantification of relevant muscle strength</li> <li>13. Complete report(s) of diagnostic tests, including:</li> <li>a. Results of biopsy(ies)</li> <li>b. Results of bone aspirate</li> </ul>
	<ul> <li>14. Describe the surgical technique(s) planned</li> <li>15. For revision surgery include documentation of:</li> <li>a. Clinical complications</li> <li>b. Relevant laboratory findings</li> <li>c. Relevant imaging</li> </ul>
Stavestastic Body	d. Prior treatments for complications tried, failed, or contraindicated. Include the dates and reason for discontinuation Medical notes documenting the following, when applicable:
Stereotactic Body Radiation Therapy and Stereotactic Radiosurgery	<ol> <li>Diagnosis</li> <li>History of present illness</li> <li>Patient performance status, when applicable, using Karnofsky Performance Status (KPS) score or Eastern Cooperative Oncology Group (ECOG) performance status</li> </ol>
	4. Relevant imaging report(s) 5. Proposed treatment plan 6. Number of tumors present, their size and location 7. Stage of disease

Service	Medical Records Used for Reviews
	8. Where the radiation will be delivered (anatomically) or to which organ, if applicable
Surgery of the Ankle	Medical notes documenting the following, when applicable:  1. Upon request we may require the specific diagnostic image(s) that show the abnormality for which surgery is being requested, which may include MRI, CT scan, X-ray, and/or bone scan; consultation with requesting surgeon may be of benefit to select the optimal images  Note: When requested, diagnostic image(s) must be labeled with:  a. The date taken  b. Applicable case number obtained at time of notification, or member's name and ID number on the image(s)  Upon request diagnostic image(s) must be submitted via the external portal at <a href="https://www.uhcprovider.com/paan">www.uhcprovider.com/paan</a> ; faxes will not be accepted  2. Reports of all recent imaging studies and applicable diagnostic tests, including:  a. Microbiological findings  b. Synovial exam  c. Erythrocyte sedimentation rate (ESR)  d. C-reactive protein (CRP)  3. Condition requiring procedure  4. Symptoms  5. Severity of pain and details of functional disability(ies) interfering with activities of daily living  6. Pertinent physical examination of the relevant joint  7. Consideration of arthroscopic approach  8. Comorbid medical condition(s)  9. Prior therapies/ treatments tried, failed, or contraindicated. Include the dates, duration and reason for discontinuation  10. Date of previous failed surgery to the same joint, if applicable  11. Physician's treatment plan including pre-op discussion  a. Pre-op discussion  b. Additional intervention(s) or product(s) to be used during the procedure  12. For revision surgery, also include:  a. Details of complication  b. Complete (staged) surgical plan  13. If the location is being requested as an inpatient stay, documentation to support site of care
Surgery of the Elbow	Medical notes documenting the following, when applicable:  1. Condition requiring procedure  2. Upon request, we may require the specific diagnostic image(s) that show the abnormality for which surgery is being requested, which may include MRI, CT scan, X-ray, and/or bone scan; consultation with requesting surgeon may be of benefit to select the optimal images  Note: Diagnostic images must be labeled with:  a. The date taken  b. Applicable case number obtained at time of notification, or member's name and ID number on the image(s)  Submission of diagnostic imaging is required via the external portal at <a href="www.uhcprovider.com/paan">www.uhcprovider.com/paan</a> ; faxes will not be accepted  3. Reports of all recent imaging studies and applicable diagnostic tests)  a. Microbiological findings  b. Synovial fluid exam

Service	Medical Records Used for Reviews
	<ul> <li>c. Erythrocyte sedimentation rate (ESR)</li> <li>d. C-reactive protein (CRP)</li> <li>4. Symptoms</li> <li>5. Pertinent physical examination of the relevant joint</li> <li>6. Pain severity, circadian patterns of pain, location of pain, and details of functional disability(ies) interfering with activities of daily living (ADL)</li> <li>7. Prior therapies/ treatments tried, failed, or contraindicated. Include the dates, duration and reason for discontinuation</li> <li>8. Date of previous failed surgery to the same joint, if applicable</li> <li>9. Physician's treatment plan, including pre-op discussion</li> <li>10. For revision surgery, also include: <ul> <li>a. Details of complication</li> <li>b. Complete (staged) surgical plan</li> </ul> </li> </ul>
Surgery of the Foot	Medical notes documenting the following, when applicable:  1. Upon request we may require the specific diagnostic image(s) that show the abnormality for which surgery is being requested, which may include MRI, CT scan, X-ray, and/or bone scan; consultation with requesting surgeon may be of benefit to select the optimal images  NOTE: When requested, diagnostic image(s) must be labeled with:  a. The date taken  b. Applicable case number obtained at time of notification, or member's name and ID number on the image(s)  Upon request diagnostic image(s) must be submitted via the external portal at <a href="https://www.uhcprovider.com/paan">www.uhcprovider.com/paan</a> ; faxes will not be accepted  2. Reports of all recent imaging studies and applicable diagnostic tests  3. Condition requiring procedure  4. Symptoms  5. Severity of pain, skin breakdown and details of functional disability(ies) impairment to include impact on activities of daily living (ADLs)  6. Pertinent physical examination of the relevant joint  7. Comorbid medical condition(s)  8. Prior therapies/ treatments (e.g. padding, orthotic, footwear, physical therapy, activity modification, medications, etc.) tried, failed, or contraindicated. Include the dates, duration of treatment and reason for discontinuation  9. History of previous surgery(ies), if applicable  10. Physician's treatment plan including:  a. Pre-op discussion  b. Additional intervention(s) or product(s) to be used during the procedure  11. For revision surgery, also include:  a. Details of complication  b. Complete (staged) surgical plan  12. If the location is being requested as an inpatient stay, provide documentation to support site of care
Surgery of the Hand or Wrist	<ul> <li>Medical notes documenting the following, when applicable:</li> <li>1. Upon request we may require the specific diagnostic image(s) that show the abnormality for which surgery is being requested, which may include MRI, CT scan, X-ray, and/or bone scan; consultation with requesting surgeon may be of benefit to select the optimal images</li> <li>NOTE: When requested, diagnostic image(s) must be labeled with:</li> </ul>

Service	Medical Records Used for Reviews
	<ul> <li>a. The date taken</li> <li>b. Applicable case number obtained at time of notification, or member's name and ID number on the image(s)</li> <li>Upon request diagnostic image(s) must be submitted via the external portal at <a href="www.uhcprovider.com/paan">www.uhcprovider.com/paan</a>; faxes will not be accepted</li> <li>2. Reports of recent imaging studies and applicable diagnostic tests, including: <ul> <li>a. Microbiological findings</li> <li>b. Synovial exam</li> <li>c. Erythrocyte sedimentation rate (ESR)</li> <li>d. C-reactive protein (CRP)</li> </ul> </li> <li>3. Condition requiring procedure</li> <li>4. Severity of pain and details of functional impairment to include impact on activities of daily living (ADLs)</li> <li>5. Pertinent physical examination of the relevant joint</li> <li>6. Comorbid medical condition(s)</li> <li>7. Prior therapies/ treatments tried, failed, or contraindicated. Include the dates and reason for discontinuation</li> <li>8. History of previous surgery(ies) to the same joint, if applicable</li> <li>9. Physician's treatment plan including pre-op discussion</li> <li>10. For revision surgery, also include: <ul> <li>a. Details of complication</li> <li>b. Complete (staged) surgical plan</li> </ul> </li> <li>11. If the location is being requested as an inpatient stay, provide documentation to support site of care</li> </ul>
Surgery of the Hip	<ol> <li>Medical notes documenting the following, when applicable:</li> <li>Complete diagnostic interpretation of imaging findings including, at a minimum:         <ul> <li>Relevant clinical information</li> <li>Detailed report of imaging findings</li> <li>Impression</li> <li>Specialty(ies) of the provider(s) who interpreted the images</li> </ul> </li> <li>For femoroacetabular impingement (FAI) syndrome (CPT codes 29914, 29915, and 29916), also include radiographic reports of presence and severity of cartilage damage using Tönnis or Outerbridge grading</li> <li>In addition, upon request, we may require the specific diagnostic image(s) that show the abnormality for which surgery is being requested, which may include MRI, CT scan, X-ray, and/or bone scan; consultation with requesting surgeon may be of benefit to select the optimal images</li> <li>NOTE: When requested, diagnostic image(s) must be labeled with:</li></ol>

Service	Medical Records Used for Reviews
	<ol> <li>Comorbid medical conditions (cardiovascular diseases, hypertension, diabetes, cancer, pulmonary diseases, neurodegenerative diseases)</li> <li>Prior therapies/treatments tried, failed, or contraindicated; include the dates and reason for discontinuation</li> <li>Date of failed previous hip fracture fixation, if applicable</li> <li>If the location being requested is an inpatient stay, provide medical notes to support at least one of the following:         <ul> <li>Surgery is bilateral</li> <li>Member has significant comorbidities; include the list of comorbidities and current treatment</li> <li>Member does not have appropriate resources to support post-operative care after an outpatient procedure; include the barriers to care as an outpatient</li> </ul> </li> </ol>
Surgery of the Knee	Medical notes documenting the following, when applicable:  1. Complete diagnostic interpretation of imaging findings including, at a minimum:  a. Relevant clinical information  b. Detailed report of imaging findings, including at least the following:  i. Documented closure of skeletal plates (age less than 18 years)  ii. Presence or absence of focal full-thickness articular cartilage defect  iii. Size and location of focal cartilage defect  iv. Outerbridge grade  v. Joint space and alignment  vi. Ligament lear location and grade  c. Impression  d. Specialty(ies) of the provider(s) who interpreted the images  l. Inaddition, upon request we may require the specific diagnostic image(s) that show the abnormality for which surgery is being requested, which may include MRI, CT scan, X-ray, and/or bone scan; consultation with requesting surgeon may be of benefit to select the optimal images  NOTE: When requested, diagnostic image(s) must be labeled with:  a. The date taken  b. Applicable case number obtained at time of notification, or member's name and ID number on the image(s) Upon request diagnostic image(s) must be submitted via the external portal at www.uhcprovider.com/paan; faxes will not be accepted  3. Reports of all recent applicable diagnostic tests, including:  a. Microbiological findings  b. Synovial exam  c. Erythrocyte sedimentation rate (ESR)  d. C-reactive protein (CRP)  4. Condition requiring procedure  5. Symptoms  6. Severity of pain and details of functional disability(ies) interfering with activities of daily living  7. Cause of defect; e.g., acute or repetitive trauma  8. Pertinent physical examination of the relevant joint  9. Comorbid medical condition(s)  10. Prior therapies/ treatments tried, failed, or contraindicated. Include the dates, duration, and reason for discontinuation  11. Date of failed previous surgery to the same joint, if applicable)  12. Physician's treatment plan including:

Service	Medical Records Used for Reviews
	<ul> <li>a. Pre-op discussion</li> <li>b. Additional intervention(s) or product(s) to be used during the procedure</li> <li>13. Consideration of arthroscopic approach, if applicable</li> <li>14. For revision surgery, also include: <ul> <li>a. Details of complication</li> <li>b. Complete (staged) surgical plan</li> </ul> </li> <li>15. If the location being requested is an inpatient stay, provide medical notes to support at least one of the following: <ul> <li>a. Surgery is bilateral</li> <li>b. Member has significant comorbidities; include the list of comorbidities and current treatment</li> <li>c. Member does not have appropriate resources to support post-operative care after an outpatient procedure; include the barriers to care as an outpatient</li> </ul> </li> </ul>
Surgery of the Shoulder	Medical notes documenting the following, when applicable:  1. Pertinent physical examination of the relevant joint  2. Severity of pain and details of functional disability(ies) interfering with activities of daily living (ADLs)  3. Upon request, we may require the specific diagnostic image(s) that shows the abnormality for which surgery is being requested, which may include MRI, CT scan, X-ray, and/or bone scan; consultation with requesting surgeon may be of benefit to select the optimal images  NOTE: When requested, diagnostic images must be labeled with the:  a. Date taken  b. Applicable case number obtained at time of notification, or member's name and ID number on the image(s)  Upon request, diagnostic imaging must be submitted via the external portal at www.uhcprovider.com/paan; faxes will not be accepted  4. Reports of all recent imaging studies and applicable diagnostic tests including when applicable:  a. Microbiological findings  c. Synovial fluid cytology  d. Erythrocyte sedimentation rate (ESR)  e. C-reactive protein (CRP)  5. Condition requiring procedure, including relevant past history with dates  6. Physician's treatment plan including pre-op discussion  7. Feasibility of arthroscopic approach  8. Comorbid medical condition(s)  9. Prior therapies/treatments tried, failed, or contraindicated; include the dates, duration, and reason for discontinuation  10. Member has the ability to participate in post-surgical rehabilitation  11. For revision surgery, also include:  a. Details of complication  b. Complete (staged) surgical plan  c. If the location is being requested as an inpatient stay, provide medical notes to support site of service
Surgical and Ablative Procedures for Venous Insufficiency and Varicose Veins	<ul> <li>Medical notes documenting the following, when applicable:</li> <li>1. Diagnosis</li> <li>2. History of the medical condition(s) requiring treatment or surgical intervention, including: <ul> <li>a. DVT (deep vein thrombosis)</li> <li>b. Aneurysm</li> <li>c. Tortuosity</li> </ul> </li> </ul>

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	<ul> <li>d. Previous relevant vein procedure(s)</li> <li>3. Signs and symptoms; including onset, duration, frequency, and which extremity (right, left or both)</li> <li>4. Pain or other symptoms that interfere with activities of daily living (ADL) related to vein disease including duration</li> <li>5. Functional disability(ies), as documented on a validated functional disability scale (e.g Venous Clinical Severity Score (VCSS) or the Venous Disability Score (VDS)), interfering with the ability to stand or sit for long periods of time (e.g., performing work functions, driving, walking, etc.)</li> <li>6. Physical exam, including: <ul> <li>a. Which extremity (right, left or both)</li> <li>b. Vein(s) that will be treated (e.g.great saphenous vein (GSV) and/ or small saphenous vein (SSV), etc.)</li> <li>c. Vein diameter including the specific anatomic location where the measurement was taken (e.g., proximal thigh, proximal calf, etc.)</li> <li>d. Duration of reflux including the position of member at the time of measurement and the anatomic location where the measurement was taken</li> </ul> </li> <li>7. Reports of recent imaging studies and applicable diagnostic tests</li> <li>8. Prior therapies/ treatments that have been tried, failed, or contraindicated. Include the dates, duration and reason for discontinuation and complications (e.g., recurrent bleeding or significant hemorrhage, DVT or superficial vein thrombosis (SVT) etc.)</li> <li>9. Proposed treatment plan with procedure code, including specific vein(s) that will be treated [e.g., great saphenous vein (GSV) and small saphenous vein (SSV), etc.], which extremity (left, right, or both), and date of procedure for each vein to be treated</li> </ul>
Sympathetic Blockade	<ul> <li>Medical notes documenting the following, when applicable:</li> <li>1. Diagnosis</li> <li>2. History of the medical condition(s) requiring treatment or surgical intervention</li> <li>3. Documentation of signs and symptoms; including onset, duration, and frequency</li> <li>4. Physical exam</li> <li>5. Relevant medical history</li> <li>6. Treatments tried, failed, or contraindicated. Include the dates, duration, and reason for discontinuation</li> </ul>
Total Artificial Disc Replacement for the Spine	For Cervical and Lumbar Surgery  Medical notes documenting the following, when applicable:  1. Diagnosis  2. Specific requested procedure  3. History of the medical condition(s) requiring treatment or surgical intervention, including:  a. Level(s) of motor deficit  b. Level(s) of sensory deficit  c. Extremity weakness, numbness, pain, or loss of dexterity including unilateral or bilateral  d. Gait disturbance, including investigation for other etiologies  e. Bowel or bladder dysfunction, including investigation for other etiologies  4. History or signs of infection, malignancy, facet arthritis or spine instability at the level of disc replacement request  5. Documentation of signs and symptoms; including onset, duration, and frequency  6. Physical exam, including:  7. Spasticity, including investigation for other etiologies  8. Relevant medical and surgical history, including:  a. Osteoporosis or osteopenia

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	<ul><li>b. Spondylosis, including severity and level</li><li>c. Ankylosing spondylitis</li></ul>
	d. Rheumatoid arthritis
	e. Ossification of the posterior longitudinal ligament
	<ul><li>f. Presence or absence of fracture with deformity</li><li>9. Upon request, we may require the specific diagnostic image(s) that show the abnormality for which surgery is being</li></ul>
	requested, which may include MRI, CT scan, X-ray, and/or bone scan; consultation with requesting surgeon may be of benefit to select the optimal images
	NOTE: When requested, diagnostic image(s) must be labeled with:  a. The date taken
	b. Applicable case number obtained at time of notification, or member's name and ID number on the image(s) Upon request, diagnostic imaging must be submitted via the external portal at <a href="https://www.uhcprovider.com/paan">www.uhcprovider.com/paan</a> ; faxes will not be accepted faxes will not be accepted
	<ul><li>10. Treatments tried, failed, or contraindicated, include the dates and reason for discontinuation</li><li>11. Current medications used to treat condition, include start date</li></ul>
	<ul><li>12. Reports of all recent imaging studies and applicable diagnostics, including results of imaging including specific spinal levels with pathology</li><li>13. Physician treatment plan</li></ul>
	14. For <b>Lumbar Surgery</b> , in addition to the above, provide medical notes documenting the following, when applicable:  a. Provide psychosocial-behavioral
	b. Documentation of instability (listhesis-, spondylolisthesis and grade)
Transarterial	<ul> <li>c. Provide the surgical technique to be used and the number of levels involved and their location</li> <li>Medical notes documenting the following, when applicable:</li> </ul>
Radioembolization	1. Diagnosis
(TARE)/ Selective Internal	2. Eastern Cooperative Oncology Group (ECOG) score
Radiation Therapy (SIRT) for the Treatment of	<ul><li>3. Site and type of primary malignancy and metastatic lesion(s)</li><li>4. Candidacy for surgery</li></ul>
Malignant Cancers of the Liver	<ul><li>5. Is the condition refractory to or relapsed following systemic chemotherapy</li><li>6. Physician's treatment plan including plan for liver transplant</li></ul>
Transcatheter Procedures for Heart Valve Conditions	For <b>ALL</b> transcatheter valve procedures, provide medical notes documenting the following, when applicable:  1. Name of device being used, if available  2. Diagnosis
	<ol> <li>Comorbidities</li> <li>Treatments tried, failed, or contraindicated. Include the dates, duration and reason for discontinuation</li> <li>Physician treatment plan</li> </ol>
	6. In addition to the above, for <b>Aortic Heart Valve</b> replacement also include:
	a. New York Heart Association (NYHA) Classification
	b. One of the following: i. Mean aortic valve gradient
	ii. Peak aortic jet velocity
	iii. Aortic valve area
	<ul> <li>Member has engaged in a Shared Decision Making conversation with an interventional cardiologist and an experienced cardiothoracic surgeon who have determined procedure is appropriate</li> </ul>

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	<ul> <li>d. Facility where procedure will be performed</li> <li>7. In addition to the above, for Aortic Transcatheter valve-in-valve (ViV) replacement also include: <ul> <li>a. Name of failed device</li> <li>b. Surgical risk using PROM score</li> </ul> </li> <li>8. In addition to the above, for mitral valve repair also include: <ul> <li>a. Mitral regurgitation (MR) grade</li> <li>b. NYHA Classification</li> <li>c. Surgical risk using PROM score</li> <li>d. Physician composition of the care team</li> </ul> </li> <li>9. In addition to the above, for Pulmonary Heart Valve also include: <ul> <li>a. Right ventricular outflow tract (RVOT) gradient</li> <li>b. Pulmonary regurgitation rate</li> </ul> </li> <li>10. In addition to the above, for Tricuspid Heart Valve repair also include: <ul> <li>a. Tricuspid Regurgitation (TR) Stage</li> <li>b. NYHA Classification</li> <li>c. Pulmonary artery systolic pressure</li> <li>d. Surgical risk</li> <li>e. Physician composition of the care team</li> </ul> </li> </ul>
Treatment of Temporomandibular Joint Disorders	Medical notes documenting the following, when applicable:  1. History of medical conditions requiring treatment or surgical invention including:  2. Signs and symptoms; including onset, duration, and frequency  3. All recent, related, supporting imaging must be diagnostic quality and labeled with the:  a. Date taken  b. Applicable case number obtained at time of notification or member's name and ID number  NOTE: Images must be submitted via the external portal at www.uhcprovider.com/paan; faxes will not be accepted  4. Recent applicable imaging and diagnostics  5. Prior therapies/treatments/surgeries to the same joint tried, failed, or contraindicated; include the dates, duration of treatment and reason for discontinuation  6. Treating physician's plan of care  7. For revision surgery, also include:  a. Details of complication  b. Complete (staged) surgical plan
Upper Extremity Prosthetic Devices	<ul> <li>Medical notes documenting the following, when applicable:</li> <li>1. Vendor Coversheet with a narrative describing the request</li> <li>2. Vendor invoice listing the HCPCS codes, make/ model description, indicate if the item is right or left. Include, make, model and pricing for unlisted codes.</li> <li>3. Other healthcare professional notes if applicable (e.g., occupational therapist)</li> <li>4. Current prescription</li> <li>5. Professional qualification and training of the healthcare professional who performed the member evaluation</li> <li>6. Physician office notes including documentation of: <ul> <li>a. History related to the prosthetic request</li> <li>b. Comorbidities</li> <li>c. Specify absent limb including the date, level and etiology of amputation</li> </ul> </li> </ul>

Service	Medical Records Used for Reviews
	d. Documentation of handedness
	<ul> <li>e. Physical examination to include residual limb length and limb volume stability, skin integrity of residual limb, examination of contralateral limb, manual muscle testing and ROM examination</li> <li>f. Describe limitations to activities of daily living (ADLs) and instrumental ADLs (IADLs) without the prosthetic g. Prosthetist notes to include medical justification for each of the requested prosthetic components. Also, if applicable, documentation should include a description of the current prosthesis, to include the age and components of the current prosthetic arm</li> <li>h. Motivation to use device</li> <li>i. Member ability to tolerate prosthetic weight</li> <li>j. Member willingness and ability to participate in the training for the use of the prothesis (e.g., prosthetic rehabilitation)</li> <li>k. Member cognitive ability to operate prosthetic</li> <li>l. Environment in which the device will be used</li> <li>7. Specify whether the prosthetic is an initial, replacement, preparatory or definitive or a request to upgrade</li> <li>8. Rehabilitation plan</li> <li>9. Final prosthetic proposal from ordering physician</li> <li>10. For replacement prosthesis, also include:</li> <li>a. Age of the current prosthesis</li> <li>b. Reason for replacement</li> <li>c. Estimated cost of adjustment or repair if applicable</li> </ul>
Vagus and External Trigeminal Nerve Stimulation	<ol> <li>For a socket replacement include age of the current socket, reason for replacement, and comparative residual limb measurements showing a change in residual limb size, what adjustments have been made to the current socket to improve fit</li> <li>Medical notes documenting the following, when applicable:</li> <li>Specific diagnosis/condition</li> <li>Medical and surgical history</li> <li>Prior pharmacological agents tried to which the seizures have been refractory</li> <li>Frequency of seizures</li> <li>Documentation as to whether the member is not a candidate for epilepsy surgery, has failed surgery or refuses</li> </ol>
	epilepsy surgery after Shared Decision Making discussion  6. Quality of Life assessment with quantifiable measures of data to life basides the assurance of soizures.
Video Electroencephalographic (VEEG) Monitoring and Recording	<ul> <li>6. Quality of Life assessment with quantifiable measures of date-to-life besides the occurrence of seizures</li> <li>Medical notes documenting the following, when applicable:</li> <li>1. Current order</li> <li>2. Name and tax ID number of the servicing provider</li> <li>3. Physician office notes that include <ul> <li>a. Member diagnosis</li> <li>b. History</li> <li>c. Seizure treastments and medication tried, failed or contraindicated, include dates, duration and reason for discontinuation</li> <li>d. Results of all recent imaging and diagnostic tests, including: <ul> <li>i. Routine or spot electroencephalogram (EEG)</li> <li>ii. Laboratory tests</li> <li>iii. Neuro imaging</li> </ul> </li> </ul></li></ul>

Service	Medical Records Used for Reviews
	<ul> <li>e. Seizure-related hospitalization(s), including dates</li> <li>f. Seizure semiology and frequency</li> <li>g. All medications the member is taking</li> <li>4. If inpatient is requested, provide documentation to support site of care</li> </ul>
Whole Exome and Whole Genome Sequencing	Medical notes documenting the following, when applicable:  1. Personal history of the condition, if applicable, including age at diagnosis  2. Complete family history (usually three-generation pedigree) relevant to condition being tested  3. Genetic testing results of family member, if applicable, and reason for testing  4. Ethnicity/ancestry (e.g., Ashkenazi Jewish), if reason for testing  5. Any prior genetic testing results  6. How clinical management will be impacted based on results of genetic testing  7. Genetic counseling (if available)