

Discrete Structures

Permutations and Combinations

Pawan Kumar

IIIT, Hyderabad

September 15, 2023

- ① Basic Counting
- ② Sum Rule
- ③ Product Rule

- ④ Tuples
- ⑤ Combinations
- ⑥ Pascal's Triangle and Combinations...
- ⑦ Problems

Outline

- ① Basic Counting
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 - number of steps of an algorithm
 - estimating probability of occurrence of an event (in this course)
 - proofs such as Pigeon Hole Principle (PHP)

Brief History of Counting

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- It is dates back to the Upper Paleolithic period of human history, and is approximately **20,000 years old**.
- The bone is 10 cm long and contains a series of notches, which many scientists believe were used for counting.

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- Sumer was a region of ancient Mesopotamia in the Middle East

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$m - n + 1$

How do we count?

Question-1

How many numbers between 33 and 67 are divisible by 4?

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Sum Rule: Statement and Example

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How many of the Pizza or Burgers places are there?



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Sum Rule Example

How many of the Pizza or Burgers places are there?



Answer

There are 7 Pizzas and there are 5 Burgers, hence, by sum rule, we have $7 + 5 = 12$

Another Sum Rule Example

Another Sum Rule Example

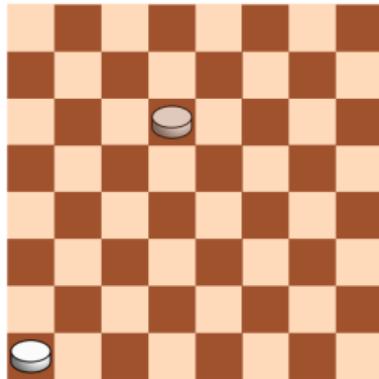
Example

A piece stays in the bottom left corner of a chessboard. In one move it can move one step to the right or one step up. How many moves are needed to get to the position on the picture?

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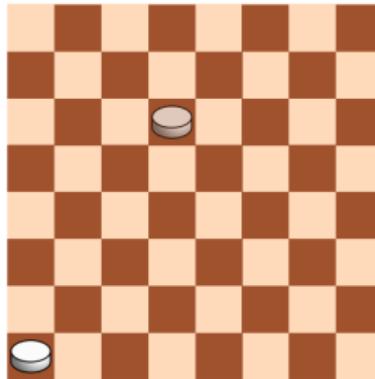
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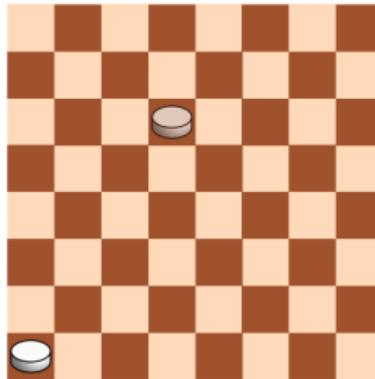


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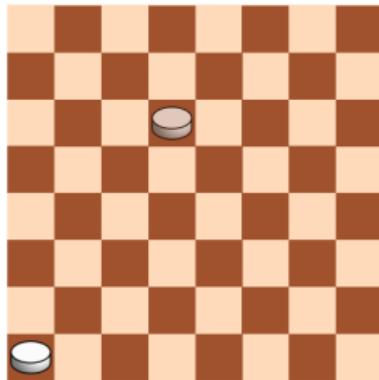


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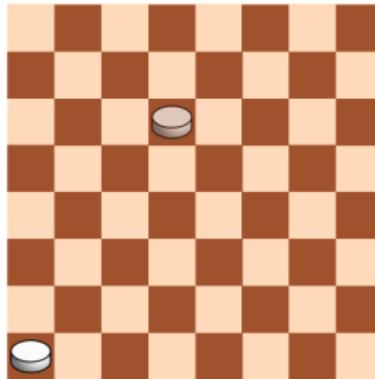


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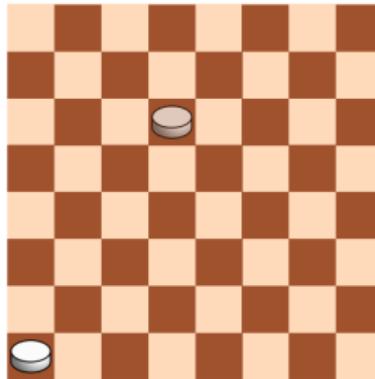


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- To get to the column 4, we need 3 moves to the right
- To get to the row 6, we need 5 moves up
- **Applying sum rule:** In total, we need $3+5=8$ moves

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Count all integers from 1 to 10 that are divisible by 2 or by 3

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Answer

- There are 5 numbers divisible by 2: 2, 4, 6, 8, 10

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Is this correct answer? **No**

Let us count directly: 2,3,4,6,8,9,10, that is the answer is 7

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Caution with Sum Rule

In the rule of sum, no object should belong to both types!

Rule of Sum using Set Language

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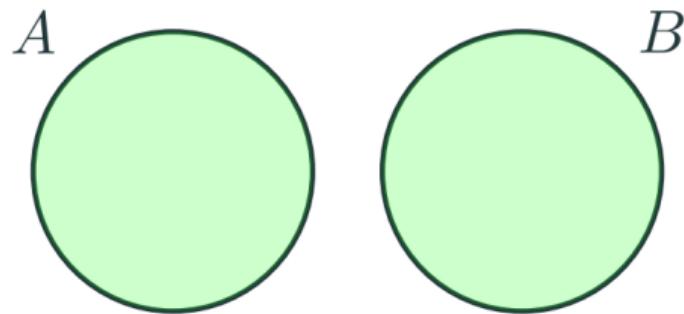
Rule of Sum

If there is a set A with k elements, a set B with n elements and these sets do not have common elements, then the set $A \cup B$ has $n + k$ elements

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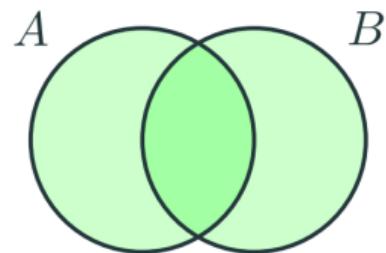
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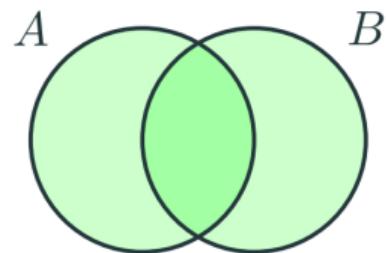
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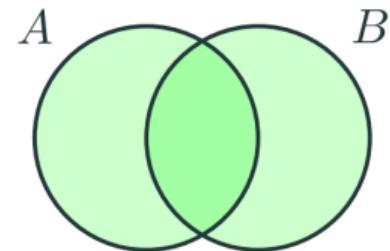


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Remark on Rule of Sum

Rule of sum

Can we apply rule of sum when A and B intersect as follows?



- If we consider $|A| + |B|$ as in sum rule, then we will be wrong
- We will count elements that belong to both A and B twice
- $|A \cup B| = |A| + |B| - |A \cap B|$ (Inclusion-Exclusion Principle)

Applications of sum rule

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- Here 6 is divisible both by 2 and by 3. Hence, rule of sum can't be applied!

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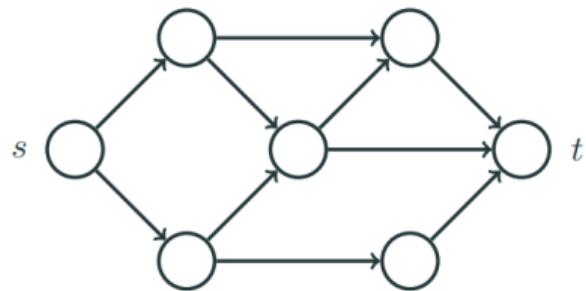
Number of Paths

Suppose there are several points connected by arrows. There is a starting point s (called source) and a final point t (called sink). How many different ways are there to get from s to t ?

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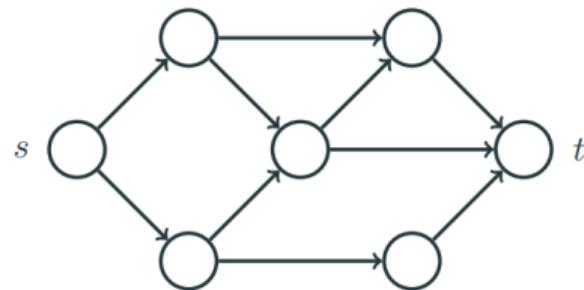
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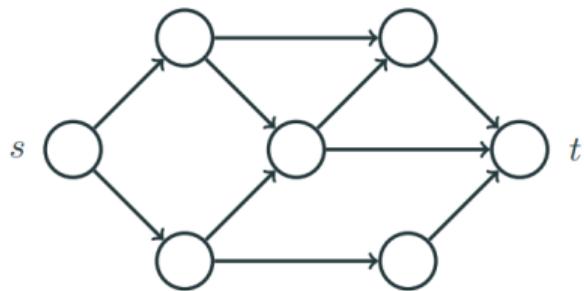


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- counting can be done recursively
- for each node count the number of paths from s to this node
 - sum rule will be used

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Rule of Product Using Sets

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Product Rule

If there is a finite set A and a finite set B , then there are $|A| \times |B|$ pairs of objects, the first from A , and the second from B

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- Can you now answer the question above?

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- thus, the answer is a product of n by itself k times, that is n^k

Number of Number Plates

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Question

How many vehicles are there?

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- If we fix 5 in one place, then there are $5 \times 5 \times 5 = 125$ sequences
- There are 4 ways to arrange 5 among 4 places
- Hence, there are $4 \times 125 = 500$ four digit numbers below 10,000 with exactly one 5

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- Hence there are

$$n \times (n - k) \times \dots \times (n - k + 1)$$

k -permutations, which is $n!/(n - k)!$

Permutation Examples

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Question

In how many ways we can arrange n different books in n different bins on shelf?

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Hint: Use previous result with $k = n$.

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Above Question Reformulated

We are essentially asking: What is the **number of ways of choosing 3 elements out of a set containing 5 elements**?

Answer to Previous Question...

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Answer

- There are **five** choices of the first friend,

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- There are **five** choices of the first friend, **four** choices of the second friend, and **three** choices of the third friend

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- But each group of **three** friends is counted $3! = 6$ times, that is, a group $\{a, b, c\}$ is counted as $abc, acb, bac, bca, cab, cba$

Answer to Previous Question...

Answer

- There are **five** choices of the first friend, **four** choices of the second friend, and **three** choices of the third friend
- How many choices are there for choosing 3 friends, assuming ordering?
- In total, there are $5 \times 4 \times 3 = 60$ choices assuming ordering
- But each group of **three** friends is counted $3! = 6$ times, that is, a group $\{a, b, c\}$ is counted as $abc, acb, bac, bca, cab, cba$
- Thus, we need to divide by $3!$, the answer is $(5 \times 4 \times 3)/3! = 10$

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- We define combinations in next slide...

Combinations: k -combination

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Number of k -combinations

The number of k -combinations of an n element set is given by

$$\frac{n!}{(n - k)!}$$

Derive formula for n choose k...

	$\binom{5}{3}$									
	abc	abd	abe	acd	ace	ade	bcd	bce	bde	cde
	acb	adb	aeb	adc	aec	aed	bdc	bec	bed	ced
	bac	bad	bae	cad	cae	dae	cbd	cbe	dbe	dce
	bca	bda	bea	cda	cea	dea	cdb	ceb	deb	dec
	cba	dba	eba	dca	eca	eda	dcg	ebc	edb	edc
	cab	dab	eab	dac	eac	ead	dbc	ebc	ebd	ecd

$$3! \binom{5}{3} = \frac{5!}{(5-3)!}$$

Outline

- ① Basic Counting
- ② Sum Rule
- ③ Product Rule
- ④ Tuples
- ⑤ Combinations
- ⑥ Pascal's Triangle and Combinations...
- ⑦ Problems

Pascal triangle...

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Question

There are n students. What is the number of ways of forming a team of k students out of them?

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A result...

$$\binom{n}{k} = \binom{n-1}{k-1} + \binom{n-1}{k}$$

Proof of previous identity...

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Theorem

Prove the following $\binom{n}{k} = \binom{n-1}{k-1} + \binom{n-1}{k}$

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 - Apply sum rule to conclude the proof

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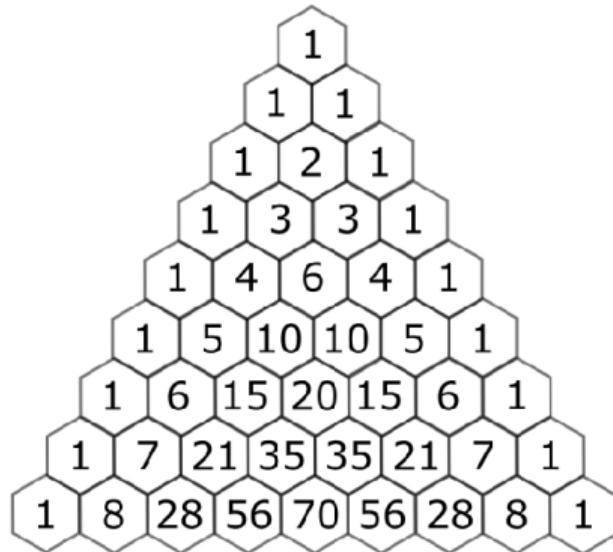
- Fix one of the students, call him Ramesh
- Then there are two types of teams:
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Hence recursion for n choose k is...

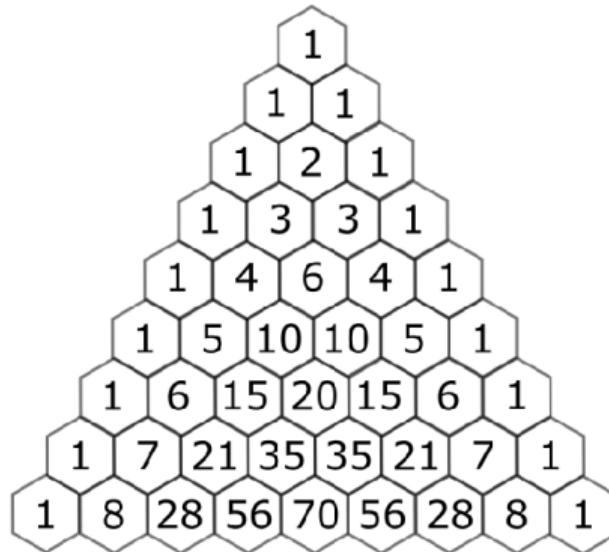
$$\binom{n}{k} = \binom{n-2}{k-2} + \binom{n-2}{k-1} + \binom{n-2}{k-1} + \binom{n-2}{k} = \dots$$

Pascal's Triangle

Pascal's Triangle



Pascal's Triangle



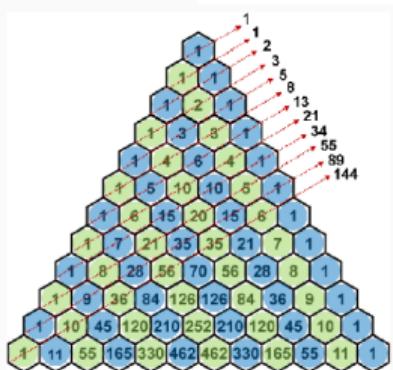
Quiz

Do you know how to grow Pascal's triangle? What is the rule?

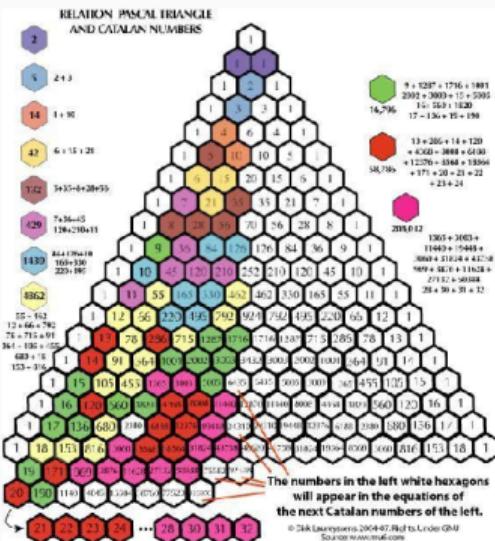
Pascal's Triangle and Many Relations...

Pascal's Triangle and Many Relations...

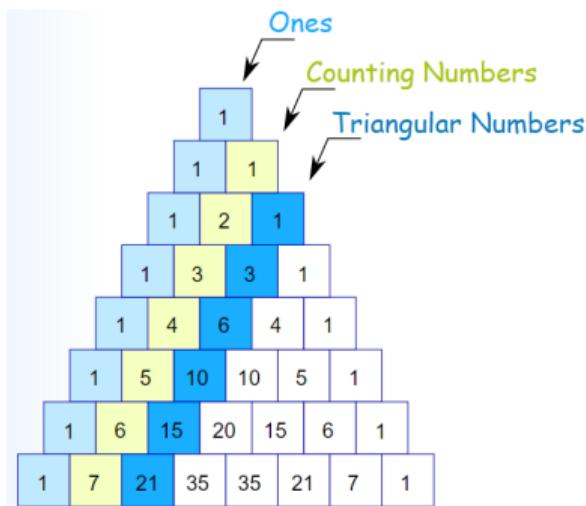
$(a+b)^0 =$	1
$(a+b)^1 =$	$1a \quad 1b$
$(a+b)^2 =$	$1a^2 \quad 2ab \quad 1b^2$
$(a+b)^3 =$	$1a^3 \quad 3a^2b \quad 3ab^2 \quad 1b^3$
$(a+b)^4 =$	$1a^4 \quad 4a^3b \quad 6a^2b^2 \quad 4ab^3 \quad 1b^4$
$(a+b)^5 =$	$1a^5 \quad 5a^4b \quad 10a^3b^2 \quad 10a^2b^3 \quad 5ab^4 \quad 1b^5$
$(a+b)^6 =$	$1a^6 \quad 6a^5b \quad 15a^4b^2 \quad 20a^3b^3 \quad 15a^2b^4 \quad 6ab^5 \quad 1b^6$
$(a+b)^7 =$	$1a^7 \quad 7a^6b \quad 21a^5b^2 \quad 35a^4b^3 \quad 35a^3b^4 \quad 21a^2b^5 \quad 7ab^6 \quad 1b^7$
$(a+b)^8 =$	$1a^8 \quad 8a^7b \quad 28a^6b^2 \quad 56a^5b^3 \quad 70a^4b^4 \quad 56a^3b^5 \quad 28a^2b^6 \quad 8ab^7 \quad 1b^8$



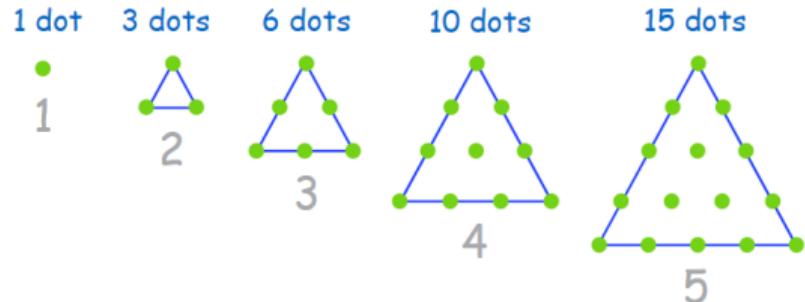
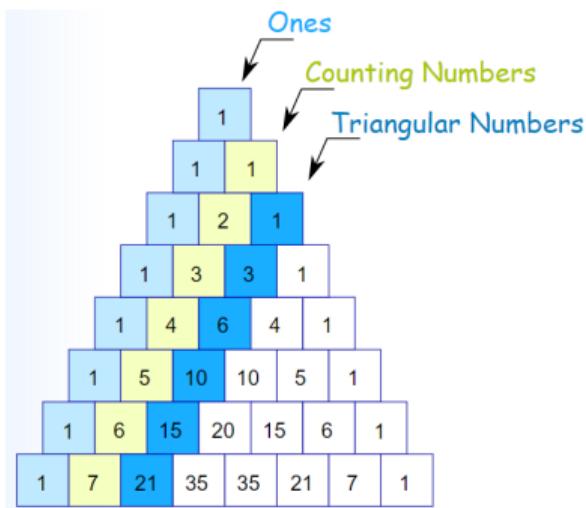
- 1 \downarrow Natural numbers, $n = C(n, 1)$
 1 1 \downarrow Triangular numbers, $T_n = C(n+1, 2)$
 1 2 1 \downarrow Tetrahedral numbers, $Tet_n = C(n+2, 3)$
 1 3 3 1 \downarrow Pentatope numbers $= C(n+3, 4)$
 1 4 6 4 1 \downarrow 5-simplex ($\{3,3,3,3\}$) numbers
 1 5 10 10 5 1 \downarrow 6-simplex ($\{3,3,3,3,3\}$) numbers
 1 6 15 20 15 6 1 \downarrow 7-simplex
 1 7 21 35 35 21 7 1 \downarrow ($\{3,3,3,3,3,3\}$) numbers
 1 8 28 56 70 56 28 8 1



Pascal's Triangle and Triangular Numbers



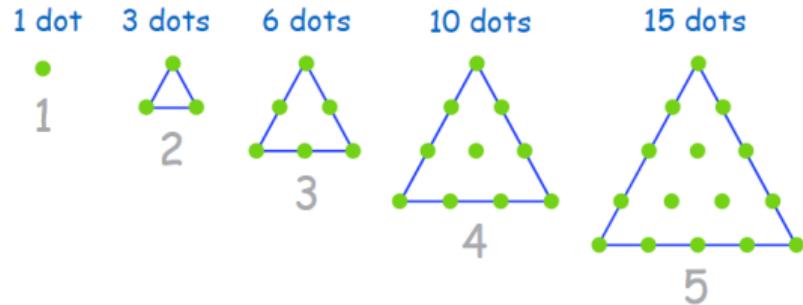
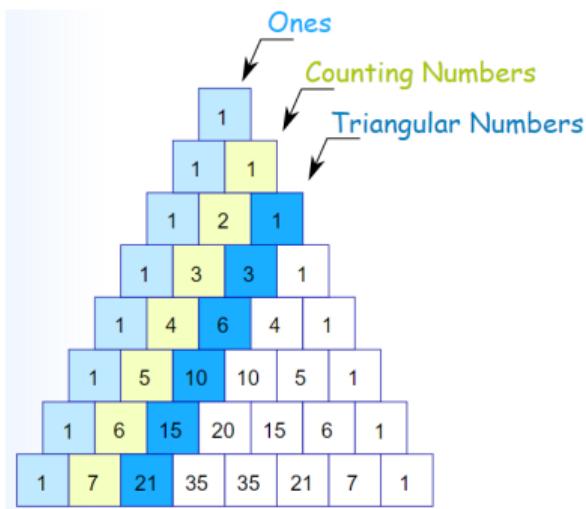
Pascal's Triangle and Triangular Numbers



- Triangular numbers are the number of dots

- If we look at the indicated colors, we obtain counting numbers, triangular numbers, etc

Pascal's Triangle and Triangular Numbers

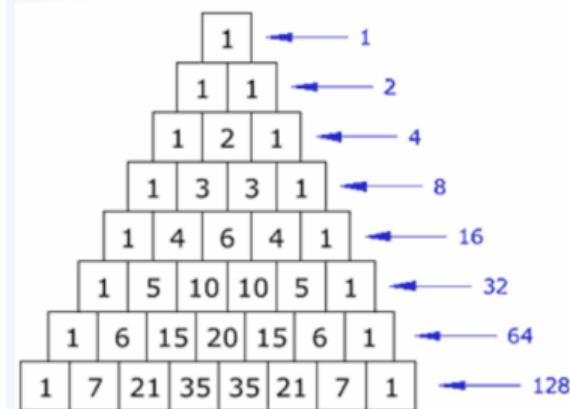


- Triangular numbers are the number of dots
- Add one more row and dots to get next triangular number

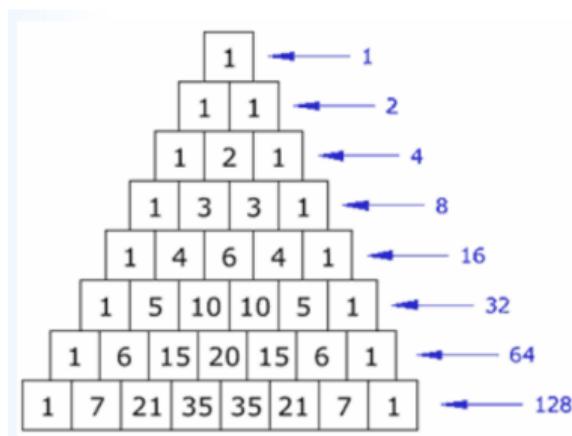
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Pascal's Triangle: Horizontal Sums and Exponents of 11

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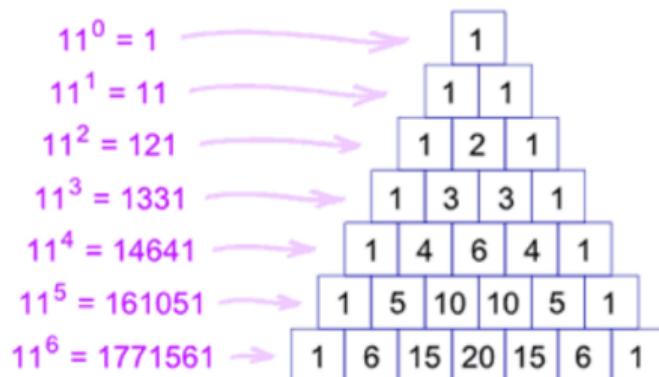
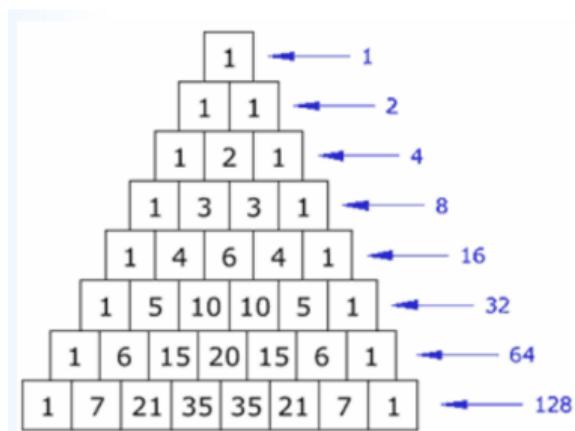


Pascal's Triangle: Horizontal Sums and Exponents of 11



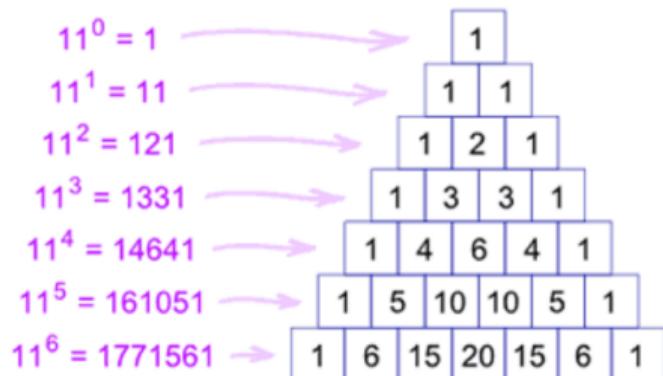
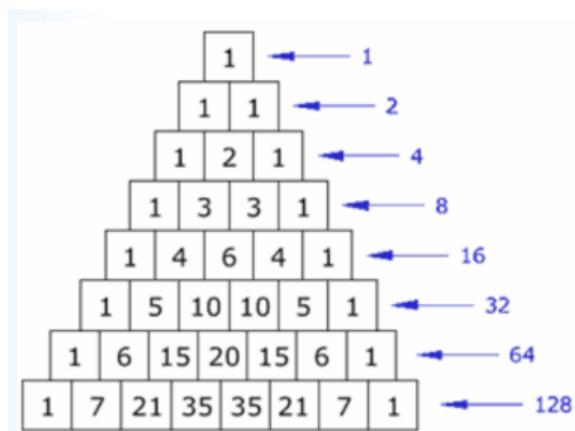
- The horizontal sums are 2^i , i is the i th row

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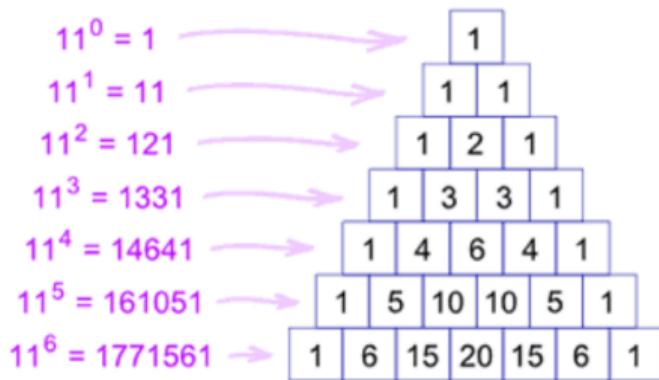
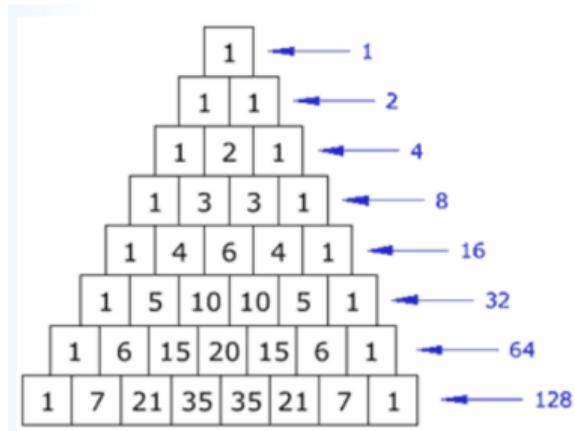
Pascal's Triangle: Horizontal Sums and Exponents of 11



- The horizontal sums are 2^i , i is the i th row

- The row entries are digits of powers of 11

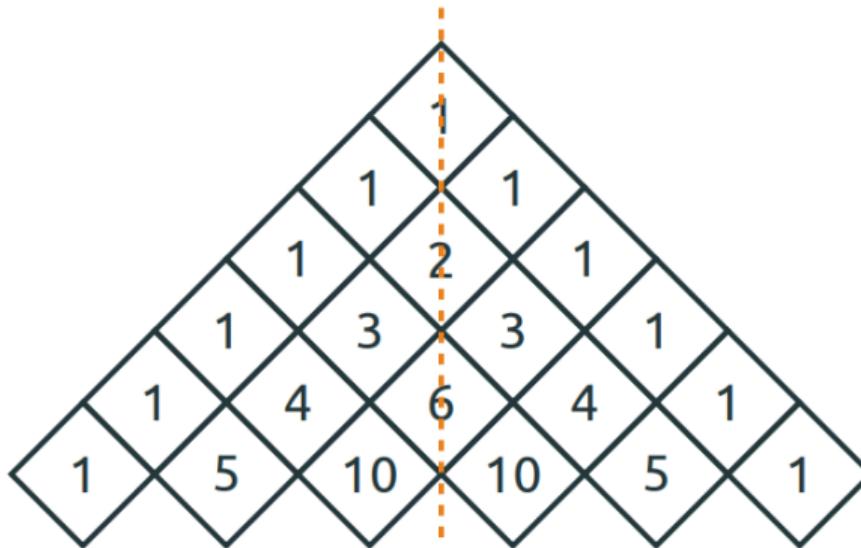
Pascal's Triangle: Horizontal Sums and Exponents of 11



- The horizontal sums are 2^i , i is the i th row
 - The row entries are digits of powers of 11
 - The entries of the i th row are digits of 11^i

Pascal's Triangle and Symmetry

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$$\binom{n}{k} = \binom{n}{n-k}$$

Proof of symmetry...

Theorem

Prove that

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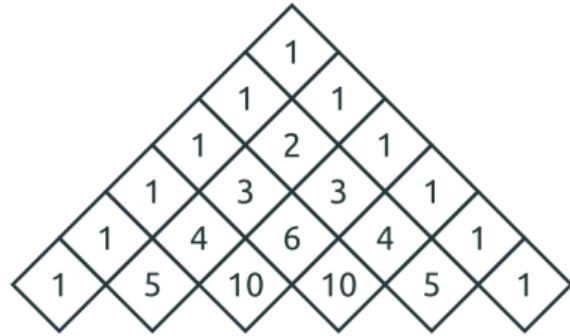
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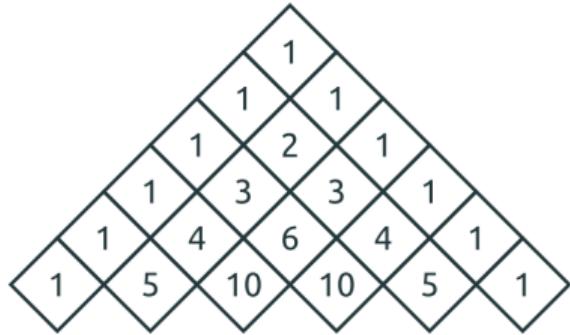
Answer

$$\binom{n}{k} = \frac{n!}{k!(n-k)!} = \frac{n!}{(n-k)!k!}$$

Row Sums of Pascal's Triangle...

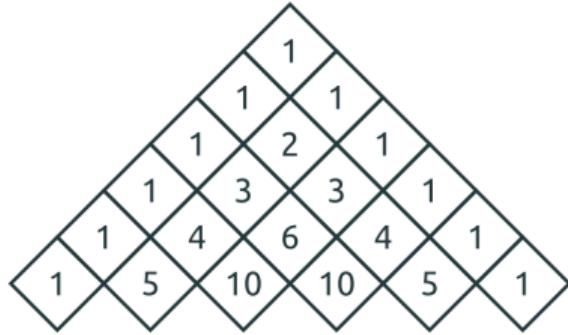


Row Sums of Pascal's Triangle...



$$\begin{array}{r} 1 \\ 1 + 1 \\ 1 + 2 + 1 \\ 1 + 3 + 3 + 1 \\ 1 + 4 + 6 + 4 + 1 \\ 1 + 5 + 10 + 10 + 5 + 1 \end{array}$$

Row Sums of Pascal's Triangle...



$$\begin{array}{c} 1 \\ 1 + 1 \\ 1 + 2 + 1 \\ 1 + 3 + 3 + 1 \\ 1 + 4 + 6 + 4 + 1 \\ 1 + 5 + 10 + 10 + 5 + 1 \end{array}$$

Theorem

The sum of all the numbers in the n -th row of Pascal's triangle is equal to 2^n :

$$\binom{n}{0} + \binom{n}{1} + \cdots + \binom{n}{n-1} + \binom{n}{n} = 2^n$$

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- The base case (0-th row) holds
- We'll show that the **sum of each row is twice the sum of the previous row**
- $\binom{n}{k}$ is the number of **k -subsets** of a set of size n
- The sum $\binom{n}{k}$ for all k (from 0 to n) is the number of all subsets of an n element set; this is 2^n by the **product rule** (how?)

Alternating Row Sum in Pascal

Alternating Row Sum in Pascal

$$\begin{array}{rccccc} & & 1 & & & & \\ & 1 & - & 1 & & = 0 \\ & 1 & - & 2 & + & 1 & = 0 \\ 1 & - & 3 & + & 3 & - & 1 & = 0 \\ 1 & - & 4 & + & 6 & - & 4 & + & 1 & = 0 \\ 1 & - & 5 & + & 10 & - & 10 & + & 5 & - & 1 & = 0 \end{array}$$

Alternating Row Sum in Pascal

$$\begin{array}{rccccc} & & 1 & & & & \\ & 1 & - & 1 & & = 0 & \\ & 1 & - & 2 & + & 1 & = 0 \\ 1 & - & 3 & + & 3 & - & 1 = 0 \\ 1 & - & 4 & + & 6 & - & 4 + 1 = 0 \\ 1 & - & 5 & + & 10 & - & 10 + 5 - 1 = 0 \end{array}$$

Theorem

For $n > 0$, $\sum_{k=0}^n (-1)^k \binom{n}{k} = 0$

Alternating Row Sum in Pascal

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Theorem

$$\text{For } n > 0, \quad \sum_{k=0}^n (-1)^k \binom{n}{k} = 0$$

- Hint: Number of odd size subsets = Number of even size subsets

Counting Problems...

Counting Problems...

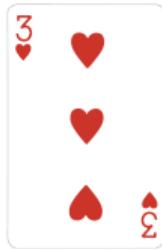
Question

What is the number of 5-card hands dealt off of a standard 52-card deck?

Counting Problems...

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Counting Problems...

Question

What is the number of 5-card hands dealt off of a standard 52-card deck?



Answer

$$\binom{52}{5} = \frac{52!}{5!47!} = \frac{52 \times 52 \times 50 \times 49 \times 48}{5 \times 4 \times 3 \times 2 \times 1} = 2598960$$

Counting Problems ...

Counting Problems ...

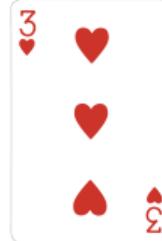
Question

What is the number of 5-card hands with two hearts and three spades?

Counting Problems ...

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Counting Problems ...

Question

What is the number of 5-card hands with two hearts and three spades?



Answer

- Number of ways of picking 2 hearts from 13 hearts

Counting Problems ...

Question

What is the number of 5-card hands with two hearts and three spades?



Answer

- Number of ways of picking 2 hearts from 13 hearts
- Number of ways of picking 3 spades from 13 spades

Counting Problems ...

Question

What is the number of 5-card hands with two hearts and three spades?



Answer

- Number of ways of picking 2 hearts from 13 hearts
- Number of ways of picking 3 spades from 13 spades
- Now apply product rule!

Counting Problems ...

Question

What is the number of 5-card hands with two hearts and three spades?



Answer

- Number of ways of picking 2 hearts from 13 hearts
- Number of ways of picking 3 spades from 13 spades
- Now apply product rule!
- The answer is: $\binom{13}{2} \binom{13}{2} = 22308$

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What is the number of non-negative integers with at most four digits at least one of which is equal to 7?

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- Total number of 4 digit numbers = 10^4
- Total number of 4 digit number that does not contain 7 = 9^4

Counting Problems...

Question

What is the number of non-negative integers with at most four digits at least one of which is equal to 7?

- Total number of 4 digit numbers = 10^4
- Total number of 4 digit number that does not contain 7 = 9^4
- Hence, the answer is $10^4 - 9^4 = 3439$

Counting Problems...

Counting Problems...

Question

What is the number of non-negative integers with at most four digits whose digits are increasing?

Counting Problems...

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What is the number of non-negative integers with at most four digits whose digits are increasing?

- We can choose 4 different digits and we can rearrange them in increasing order

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Counting Problems...

Question

What is the number of non-negative integers with at most four digits whose digits are increasing?

- We can choose 4 different digits and we can rearrange them in increasing order
- Hence, it is nothing but picking 4 different digits out of 10 digits!
- Hence, the answer is $\binom{10}{4} = 210$

Counting Problems...

Counting Problems...

Question

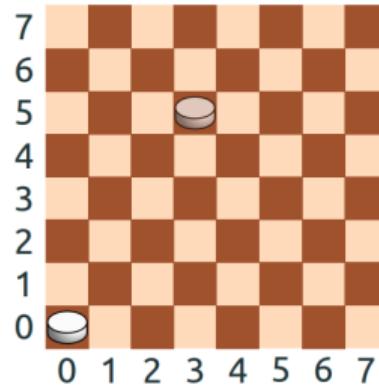
A piece can move one step up or one step to the right.

What is the number of ways of getting from the cell [0, 0] (bottom left corner) to the cell [5, 3]?

Counting Problems...

Question

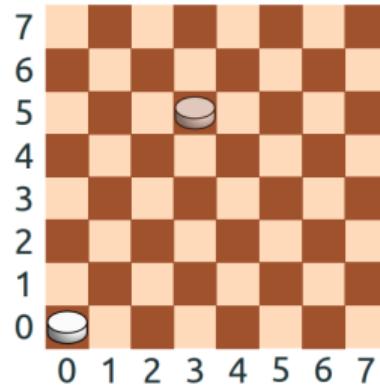
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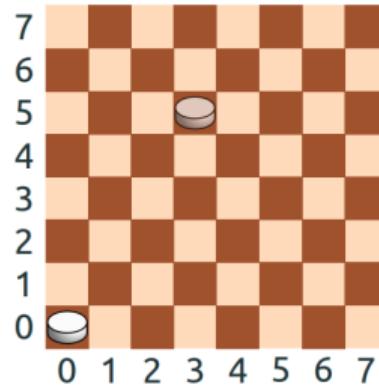


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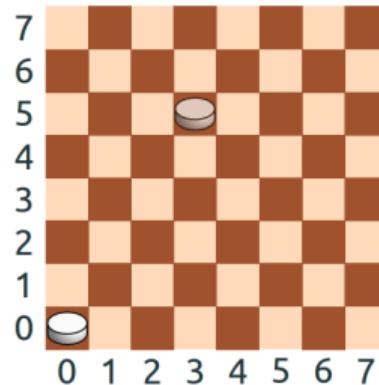


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- Any path to [5,3] **must** involves 3 moves right and 5 moves up!

Counting Problems...

Question

A piece can move one step up or one step to the right.
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- We want to go to the cell [5,3]. How many ways we can go?
- Any path to [5,3] **must** involves 3 moves right and 5 moves up!
- Hence, answer is $\binom{8}{3} = 56$

Answer using Pascal's triangle...

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The answer to the previous problems can be found using Pascal's triangle:

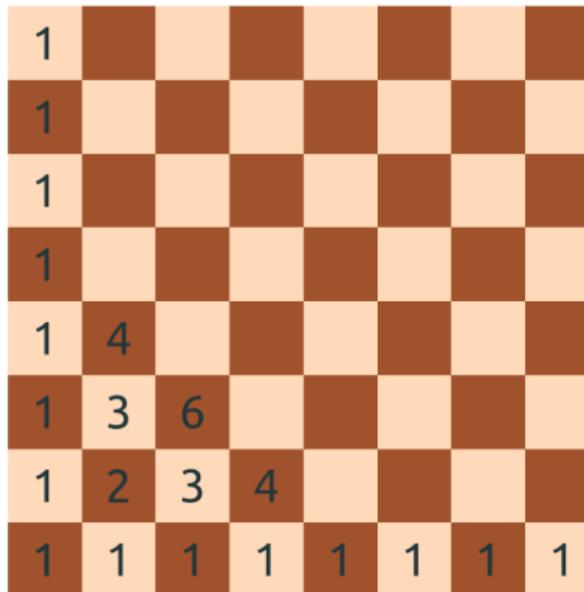
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1							
1							
1							
1							
1	4						
1	3	6					
1	2	3	4				
1	1	1	1	1	1	1	1

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It is now only a matter of filling the (5,3) cell...

Combinations with or without repetitions...

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So far we have considered selections of k items out of n possible options. Consider $n = 2$ and $n = 3$ options: a, b, c

	With repetitions	Without repetitions
Ordered	(a,a), (a,b), (a,c) (b,a), (b,b), (b,c) (c,a), (c,b), (c,c)	
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- Let us try to find out...

Example of Unordered Selections with Repetitions: Voting in an Election?

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Question

So, what could be your answer?



Another example...

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We have an unlimited supply of tomatoes, cucumbers, and onions. We want to make a salad out of 4 units among these three ingredients (we do not have to use all ingredients). How many different salads we can make?

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- Order **does not** matter; Still do not know how to count
- We will list all possible salads, then count them
- But we want to do it wisely!

Solution continued...

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Our goal: To pick 4 items out of 3 salads (Onions, Bell Peppers, Cucumber)

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- There are 15 possible combinations. Do we see any structure?

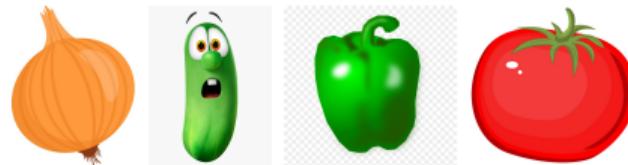
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- Let us consider choosing 7 items out of unlimited supply of 4 salad items as follows...

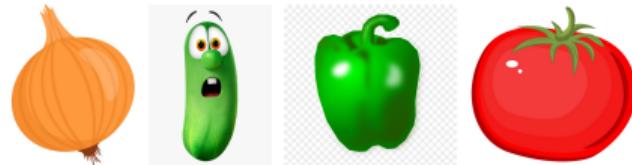
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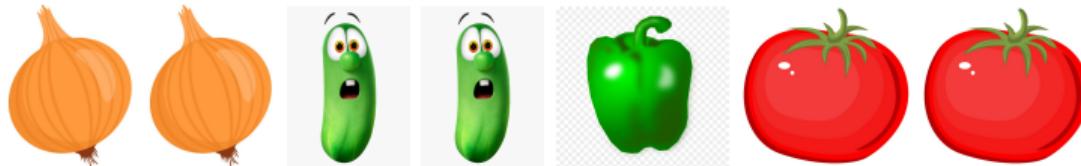


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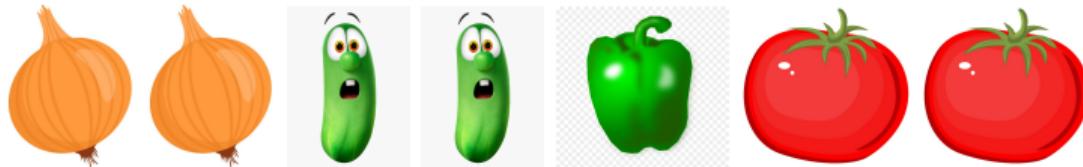


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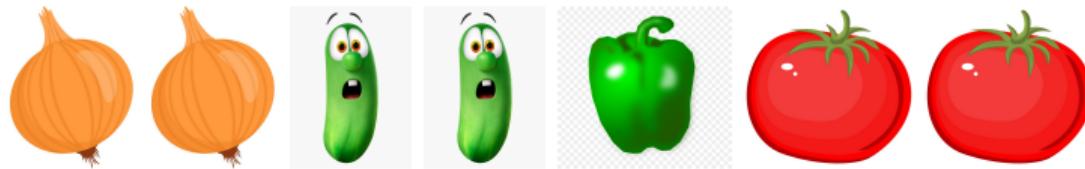
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- Do you already see a way to find all possible combinations?

Combinations with Repetitions...

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- In the figure above, does the ordering of items matter?

Combinations with Repetitions...



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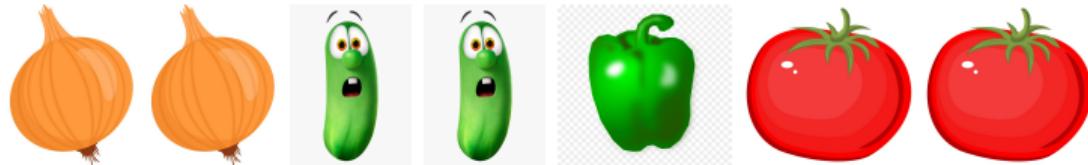
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- The number of ways we can put the delimiters determine the number of combinations

How many delimiters are needed?

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Recall, we want to put delimiters...



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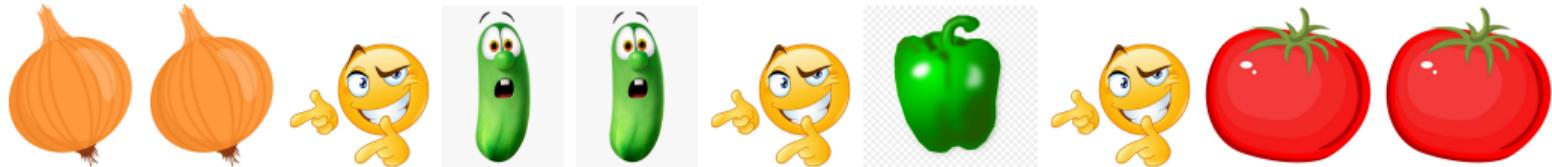


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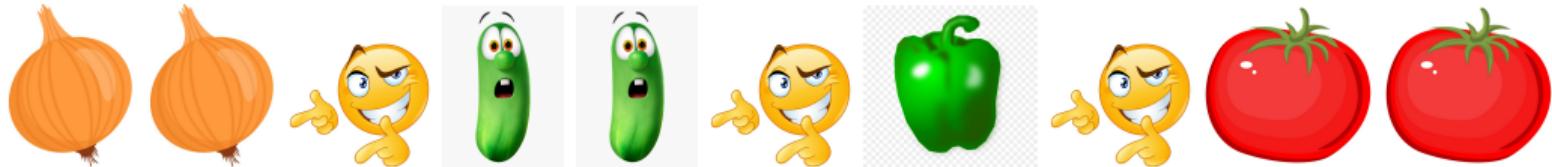
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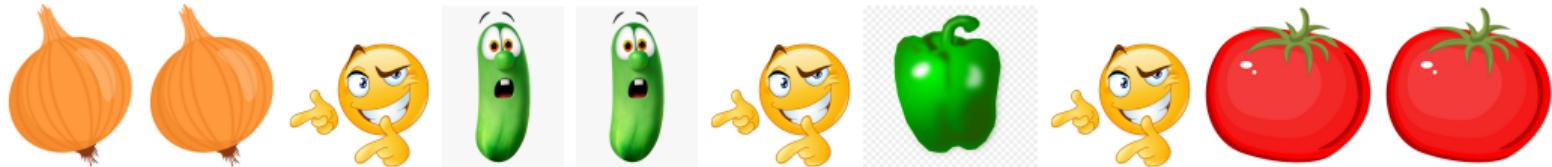
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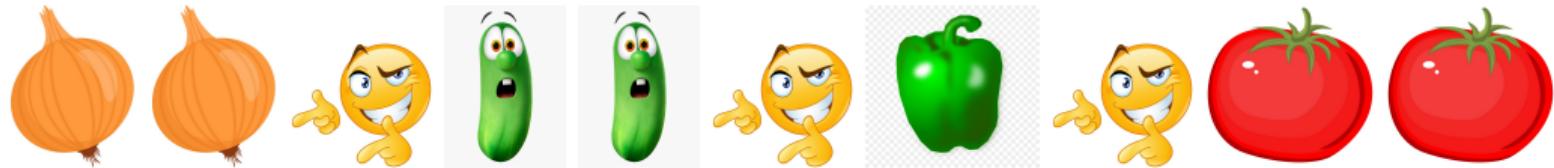
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- Total number of objects (7 items + 3 delimiters) is 10
- The problem now reduces to arranging 3 delimiters among 10 items! Voila!

Combinations with repetitions...

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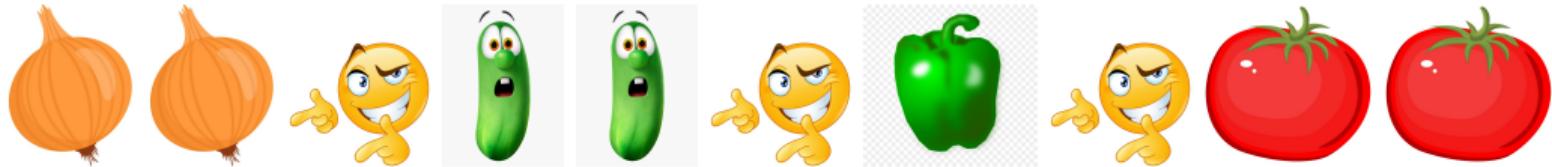


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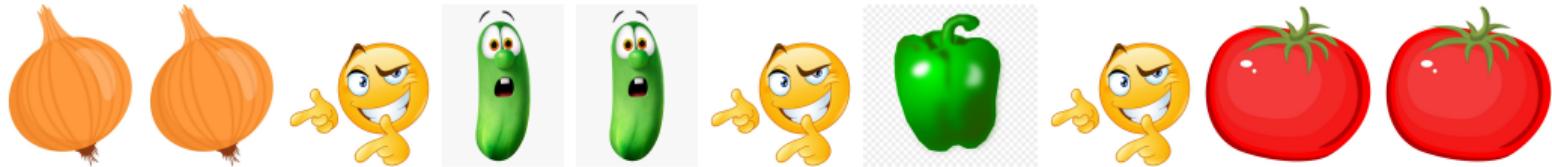
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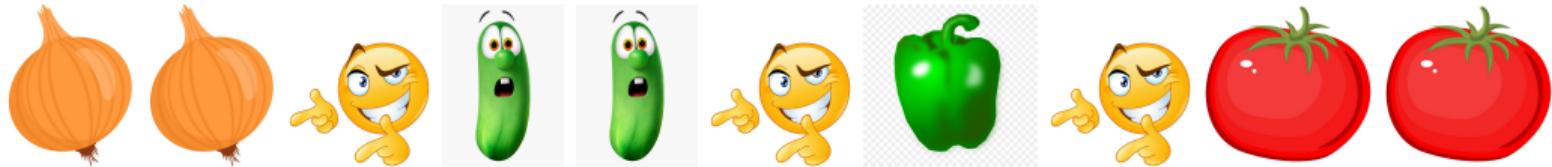


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The formula for number of combinations of size k of n objects with repetitions is

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Outline

- ① Basic Counting
- ② Sum Rule
- ③ Product Rule
- ④ Tuples
- ⑤ Combinations
- ⑥ Pascal's Triangle and Combinations...
- ⑦ Problems

Distributing Assignments Among People

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Problem

Suppose there are 4 people and 9 different assignments. Each person should receive one assignment. Assignments for different people should be different. How many ways are there to do it?

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Quiz

Where does this problem fit in our combination and permutation table?

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- It is a case of ordered without repetitions, i.e., k -permutations

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- The answer is $\binom{9!}{(9-4)!} = 9 \times 8 \times 7 \times 6 = 3024$

Distributing Assignments Among People: Twist

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Problem with a twist!

There are 4 people and 9 different assignments. We need to distribute all assignments among people. No assignment should be assigned to two people. Every person can be given arbitrary number of assignments from 0 to 9. How many ways are there to do it?

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Quiz



Which category this problem belongs to?

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- First person can be assigned arbitrary number of assignments
 - It is a case of counting all possible subsets of assignments
- Same assignment can't be given to two persons, the number of subsets of assignments for second person depends on what we chose for first person! **How to attack this problem?**

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Assignments	1	2	3	4	5	6	7	8	9
Options	4	4	4	4	4	4	4	4	4

- There are $4^9 = 262144$ choices! This was a case of **Tuples**!

Quiz-1



<https://tinyurl.com/y2g93ofb>

Distributing Candies Among Kids

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There are 15 identical candies. How many ways are there to distribute them among 7 kids?

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- When distributing candies, we pick one of 7 kids
- Since all the candies are same, repetitions are allowed
- Since the candies to be distributed are identical, ordering doesn't matter
- This is a case of combinations with repetitions

Distributing Candies Among Kids: Solution Finally!

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Distributing Candies Among Kids: Solution Finally!

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Analyzing the problem, and fitting it into the known case

- Number of combinations = size of combination
- Number of options = Number of kids
- The answer is $\binom{15 + (7 - 1)}{(7 - 1)} = \binom{21}{3} = 54264$

Fair Distributions..

Fair Distributions..

A problem with previous distribution...

No kid will like not having even a single candy. Infact, a kid would like to have it all! How can we change the problem?

Fair Distributions..

A problem with previous distribution...

No kid will like not having even a single candy. Infact, a kid would like to have it all! How can we change the problem?



Fair Distributions..

Fair Distributions..

A problem with previous distribution...

We want that each kid receives atleast one candy!

Fair Distributions..

A problem with previous distribution...

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A problem with previous distribution...

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Let us change our previous problem so that each kid is ensured **atleast one** candy!

Distributing Candies with Fair Distribution...

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Question

There are 15 identical candies. How many ways are there to distribute them among 7 kids in such a way that each kid receives at least 1 candy?

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- Does our previous approach work here? What should you do?

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Quiz

- Does our previous approach work here? What should you do?
- Can we reduce this problem to previous problem? If yes, how?

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- Give kids atleast one candy. Then we are left with $15-7=8$ candies...

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- Now we can distribute these 8 candies as in previous problem!
- It becomes a problem of Combinations with Repetitions
 - #combinations = 8; #options = 7
- Answer =
$$\binom{8 + (7 - 1)}{(7 - 1)} = \binom{14}{6} = 3003$$

How many kids can have no candies?

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Question

Assume that 15 identical candies are distributed among 7 kids. How many ways are there that will leave some kids without any candy?

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Hint

Use the answer to previous two problems!

Numbers with Fixed Sum of Digits

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- But what next? How many options for the 2nd digit?

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Understanding the problem...

- It is clear that we have nine options for the 1st digit
- But what next? How many options for the 2nd digit?
- It is already tricky...

Problem

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- This belongs to the category: “unordered with repetitions”

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How many non-negative integer numbers are there below 10 000 such that their sum of digits is equal to 9?

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- #combinations = 9; #options = 4

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- Multiple ones will go to at least one of the four places, and get added
- This belongs to the category: “unordered with repetitions”
- #combinations = 9; #options = 4
- Hence, answer is $\binom{9 + (4 - 1)}{(4 - 1)} = \binom{12}{3} = 220$

Numbers with Fixed Sum of Digits: A Twist

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- Combinations of size 10 among 4 options

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- Combinations of size 10 among 4 options
- Hence, answer is $\binom{10 + (4 - 1)}{(4 - 1)} = \binom{13}{3} = 286$
- But this is wrong! Why? The answer is off by 4!

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- When we assign all 10 ones to one position, but digits can be only upto 9

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- By our previous approach, we would have distributed erroneously at either of the four places of four digit number
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- Hence, final correct answer = $286 - 4 = 282$

Numbers with Non-increasing Digits Problem

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- If we try to count options for each position and apply the product rule, there are problems
- 10 options for the first position, but for the second the number of options depends on the first number
- Usual approach doesn't work; try from another angle!

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- Once we picked four digits, our number is uniquely determined
- Order of picks does not matter; repetitions are allowed
- #combinations = 4; #options = 10
- The answer is $\binom{4 + (10 - 1)}{(10 - 1)} = \binom{13}{4} = 715$

Group Work

Group Work

Fact

Group learning is a wonderful way to learn and share knowledge.



Splitting into Working Groups

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There are 12 students in the class. How many ways are there to split them into working groups of size 2 to work on the same assignment?

- This problem is more tricky; There are several ways to solve it
- But we need to combine several ideas
- How do we approach this problem?

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- For the second group we have 10 people left, there are $\binom{10}{2}$ options, so on

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- So, overall we have the following options

$$\binom{12}{2} \times \binom{10}{2} \times \binom{8}{2} \times \binom{6}{2} \times \binom{4}{2} \times \binom{2}{2}$$

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- Is this correct answer?

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- Is this correct answer? No! Why?

- Let us number the students...

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- According to our enumeration, we could have the following

$$\{3, 7\}, \{1, 5\}, \{6, 9\}, \{11, 2\}, \{8, 12\}, \{4, 10\}$$

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- That is, ordering across groups also does not matter!

Finally, the solution

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There are 12 students in the class. How many ways are there to split them into working groups of size 2 to work on the same assignment?

- That is, ordering across groups also does not matter!
- We had $6!$ redundant splitting, which we need to divide by
- We have counted each assignment $6!$ times, hence, after dividing, the answer is

$$\binom{12}{2} \times \binom{10}{2} \times \binom{8}{2} \times \binom{6}{2} \times \binom{4}{2} \times \binom{2}{2} \times \frac{1}{6!} = \frac{12!}{6! \times 2^6} = 10395$$