10

Dynamic Virtual Bats Algorithm

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10.1 Introduction

Dynamic Virtual Bats Algorithm (DVBA) is proposed as another bat-inspired algorithm in 2014 by Topal and Altun [1, 2]. It is inspired by the bat's ability to manipulate frequency and wavelength of emitted sound waves. Although the algorithm is fundamentally inspired from Bat Algorithm (BA) [3], it is conceptually very different. In DVBA, a role based search is developed by using two bats: explorer and exploiter bat. Each bat has its own role in the algorithm and during the search they exchange these roles dynamically according to their positions. The bat which is in a better position becomes the exploiter; meanwhile the other one becomes the explorer. While the exploiter bat increases the intensification of the search around a preferable position, the explorer bat will keep looking for a better position. Until the explorer bat finds a better position, the exploiter bat will increase intensification of the search after each iteration to attain the optimal solution.

DVBA has been tested on well-known test functions (from CEC-2014 [4]) and a supply chain cost problem. The results show that DVBA, similar to other evolutionary algorithms, has some challenging problems, like the convergence

speed and avoiding local optima traps. Recently, these problems in DVBA have been eradicated by introducing probabilistic selection restart techniques in an improved version of DVBA (IDVBA) [5]. The rest of this chapter is organized as follows. In Section 10.2 an overview of DVBA is given with its pseudo code, in Sections 10.3 and 10.4 source code of the algorithm are presented in Matlab and C++ programming language respectively, in Section 10.5 a numerical example of DVBA is shown in detail, and the conclusion is drawn in Section 10.6.

10.2 Dynamic virtual bats algorithm

In DVBA, there are two bats with two different roles. In Figure 10.1, these roles are simulated. Here, black triangles represent the bats and plus signs represent the prey. The black dots are the positions on the waves which are going to be checked for a better solution. As shown in Figure 10.1a during exploration the search points which are created by the explorer bat are distributed widely in the search space. However in Figure 10.1b the exploiter bat creates a very small search scope where search points have become closer to each other.

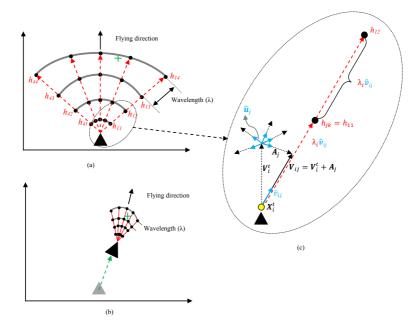


FIGURE 10.1

(a) Exploration: explorer bat searching a prey, (b) Exploitation: exploiter bat chasing a prey, (c) Search positions in the search scope of a bat.

10.2.1 Pseudo-code of DVBA

The pseudo-code of DVBA is represented in Algorithm 9. Step by step the algorithm is explained in the next section.

Algorithm 9 Description of DVBA with pseudo-code.

```
1: Objective function f(x), x = (x_1, ..., x_d)^T
 2: Initialize the bat population x_i and v_i, (i = 1, 2)
3: Initialize wavelength \lambda_i and frequency freq_i
4: Find the increment rate \rho
5: Define the increment divisor \beta, the scope width variable b
6: Initialize the number of the waves(j) and search points(k)
 7: Find f_{gbest} based on the bats' starting position.
8: while (t < \text{Max number of FEs}) do
       for each bat do
9:
10:
           Create a sound waves scope
           Evaluate the solutions on the waves
11:
           Choose the best solution on the waves, h_*
12:
13:
           if f(h_*) is better than f(x_i) then
               Move to h_*, update x_i
14:
15:
               Change v_i towards to the better position
               Decrease \lambda_i and increase f_i
16:
17:
           if f(x_i) is not better than the best solution f_{qbest} then
18:
               Change the direction randomly
19:
               Increase \lambda_i and decrease freq_i
20:
           end if
21:
           if f(x_i) is the best found solution then
22:
               Minimize \lambda_i and maximize freq_i
23:
               Change the direction randomly
24:
25:
           end if
26:
       end for
27:
       Rank the bats and find the current best x_{qbest}
28: end while
```

where f_{gbest} is the global best solution and d is the number of dimensions.

10.2.2 Description of DVBA

In this section, we will explain how bats' hunting strategies became an optimization algorithm by presenting DVBA's pseudo-code in Algorithm 9. DVBA starts with defining a multidimensional objective function f(x) in step 1. Similar to real bats, virtual bats are looking for superior solutions (prey) in their search scope f(x). The solution (prey) in DVBA is the minimum value of the objective function f(x).

Both bats start flying from random positions x_i with random velocities v_i using random wavelength and frequency (step 2 and 3). In step 4, 5, and 6 the parameters such as number of wave vectors, search points on the wave vectors, increment rate divisor β , and the scope width variable b are defined. Increment rate ρ is calculated by using the search space boundaries (10.8), so the size of the bat's search scope can be adapted with the size of the search space. In steps 16 and 20, ρ is used as the increment rate for frequency and wavelength (10.6-10.7). During the search, the range of the search scope changes dynamically: it expands when exploring (Figure 10.1a) or it shrinks if prey gets closer (Figure 10.1b). The length and the width of the search scope are controlled by wavelength λ_i and the frequency $freq_i$, respectively.

After defining all the parameters and initializing the bats' position and velocities, the main loop starts in step 8. Function of evaluation (FEs) is used as stopping criteria for the main loop. Generally, maximum number of FEs is set to 100,000 for 10 dimensional functions and 300,000 for 30 dimensional functions in optimization algorithms [4].

For each bat, the search scope $H_{j,k}$ (10.5) is generated in step 10. The search scope is simulated by using wave direction vectors V_j^i and search points h_{jk} on the vectors as shown in Figure 10.1a and Figure 10.1c. To distribute the wave direction vectors in the search scope the unit vectors \hat{u}_j are generated which give direction to the scope width vectors \mathbf{A}_j (Figure 10.1c). The unit vectors \hat{u}_j are generated randomly; consequently, the wave vectors are distributed randomly in the search scope as well. The magnitude of A_j changes the width of the search scope, and is inversely proportional with the frequency of the waves $freq_i$ (10.1). When the frequency freq increases reflects what is shown in Figure 10.1b. The search points h_{jk} are distributed by scaling the unit vector \hat{v}_{ij} (10.3) with the same length as wavelength λ_i . The scope width vector \mathbf{A}_j , the wave vector \mathbf{V}_{ij} , and the positions of the search points h_{ij} in the bat's search scope at time step t are given by (10.1-10.5),

$$\mathbf{A}_{j} = \frac{\hat{u}_{j}b}{freq_{i}}, (j = 1, ..., w), (i = 1, ..., n)$$
(10.1)

$$\mathbf{V}_{ij} = \mathbf{V}_i^t + \mathbf{A}_j \tag{10.2}$$

$$\hat{\mathbf{v}}_{ij} = \frac{\mathbf{V}_{ij}}{\|\mathbf{V}_{ij}\|} \tag{10.3}$$

$$h_{j,k} = \mathbf{X}_i^t + \hat{\mathbf{v}}_{ij}k\lambda_i, (k = 1, ..., m)$$
 (10.4)

$$\mathbf{H}_{j,k} = \begin{bmatrix} h_{1,1} & h_{1,2} & \cdots & h_{1,k} \\ h_{2,1} & h_{2,2} & \cdots & h_{2,k} \\ \vdots & \vdots & \ddots & \vdots \\ h_{j,1} & h_{j,2} & \cdots & h_{j,k} \end{bmatrix}$$
(10.5)

where w is the number of wave vectors, i is the index of bat (maximum 2), $b \in (20, 40)$ is the scope width variable, and m is the number of search positions on the wave vectors.

If the frequency increases, vectors will get closer and the wavelength (distance between search points) will get shorter (10.7). This is inspired by a bat's dynamic search ability for hunting. The changes of frequency $freq_i$, and wavelength λ_i at time step t+1 are given by (10.6 - 10.8),

$$freq_i^{t+1} = freq_i^t \pm \rho \tag{10.6}$$

$$\lambda_i^{t+1} = \lambda_i^t \pm \rho \tag{10.7}$$

$$\rho = mean(\frac{\mathbf{U} - \mathbf{L}}{\beta}), \qquad \{\beta \in \Re : \beta > 0\}$$
 (10.8)

where ρ and β are positive real constants, U is the upper bounds and L is the lower bounds of the search space, and ρ is used as the increment rate for frequency and wavelength. β is used as the increment rate divisor. Choosing the range of the wavelength is very important. If the wavelength is too short, in large search spaces, convergence could be very slow. Conversely, if the wavelength is too long, it might bypass the global best and fail to locate the optimum position. To overcome this problem the length of the wavelength is linked to the range of the problem. For simplicity the following approximations were used. The wavelength range λ , in a range $[\lambda_{min}, \lambda_{max}] = [0.1\rho, 10\rho]$ corresponds to a range of the frequency $[freq_{min}, freq_{max}] = [\rho, 10\rho]$.

If the best position of search scope h_* is better than the bat's current position x_i^t , the bat will fly to this position (10.9)(step 13 and 14). And also in step 15, its direction will be changed towards the next position (10.10). In step 16, the bat's wavelength will be shortened (10.7), and its frequency will be increased (10.6) so it will become the exploiter bat. As long as it has a better position in its sound waves scope it will increase intensification of the search. To avoid very small or big \mathbf{V}_i^{t+1} it is normalized in equation 10.11.

$$x_i^{t+1} = h_* (10.9)$$

$$\mathbf{V}_i^{t+1} = |\mathbf{x}_i^{t+1} - \mathbf{x}_i^t| \tag{10.10}$$

$$\hat{\mathbf{v}}_i = \frac{\mathbf{V}_i^{t+1}}{\|\mathbf{V}_i^{t+1}\|} \tag{10.11}$$

If h_* is worse than the bat's current position, the algorithm checks whether the current position x_i^t is the best one ever found (step 22) x_{gbest} or not (step 18).

If the current solution is not the best solution ever found then the bat becomes the explorer bat, changes its direction randomly, increases the wavelength, and decreases the frequency expanding the search scope (step 18, 19, and 20). These actions help the bat keep exploring the search space without getting trapped in a local optima and provides a random walk.

If the bat is already on the best found position (x_{gbest}) or a better one, the bat will become the exploiter bat. The wavelength will be minimized $(\lambda_i^{t+1}) = (\lambda_{min})$, the frequency will be maximized $(freq_i^{t+1}) = (freq_{max})$, and the search direction will be changed randomly (step 22, 23, and 24). As a result, the bat can increase the intensification of the search around the best position.

After repeating same steps for the second bat, the first iteration of the while loop will be over. Until the number of the max function of evaluation is reached, the bats will dynamically change their roles and keep searching.

10.3 Source-code of DVBA in Matlab

The source code of an objective function is given in Listing 10.1. The input of the objective function "x" is the positions of the bats and the sphere(x) function returns the function values of the bats as a column vector. The aim of DVBA is to find the minimum value of this objective function. The objective function is given in (10.12). In Listing 10.2, the dimension of the function D is set to 10 and DVBA minimizes this function within 100.000 FEs.

$$f(x) = \sum_{i=1}^{D} x_i^2$$
 where $-5.12 \le x_i \le 5.12$ (10.12)

Listing 10.1

Definition of objective function f(x) in Matlab.

```
1 f = @sphere;
2 ub = 5.12; lb = -ub; % [ub, lb]:upper and lower bounds
3 % declaration of the parameters of DVBA
4 j=5; k=6; b=20; beta=100; D=10; P=2; maxAssesment= 100000;
5 % ro: increment rate of frequency and wavelength
6 ro = mean((ub-lb)/beta);
7 Lmax = ro * 10; Lmin = ro * 0.1; % wavelength range
8 freqMax = ro * 10.0; freqMin = ro * 1.0; % frequency range
9 freq = freqMin + (freqMax - freqMin) * rand(1,P); % frequency
10 lambda = Lmin + (Lmax - Lmin) * rand(1,P); % wavelength
11 % initialize the bat population and directions.
12 x = lb + (ub-lb)*rand(P,D);
```

```
v = -1 + 2 * rand(P,D);
14 % find the best fitness value based on the bat's initial position.
15 \text{ fgbest} = \min(f(x));
16 % main program loop starts
17 while maxAssesment >= 1
18 % for each bat
       for i=1:P
19
20 % wave vectors directions are generated
21
            \mathbf{u} = -1 + 2 * \mathbf{rand}(\mathbf{j}, \mathbf{D});
            A = u*b/freq(i);
22
23
            V = bsxfun(@plus, v(i,:), A);
            V\,=\,V\,.\,/\,\mathbf{norm}(V)\;;
24
25 % search scope vector is generated for the bat
26
           H = []; % search scope column vector
27
            \mathbf{for} \ \mathbf{r} \ = \ 1 : \mathbf{j}
                             % wave vectors
                 for z = 1:k
                                % search points on the wave vector
28
                     xtrans = x(i,:) + V(r,:)*z*lambda(i);
29
30 % keep the search points in the search scope [ub, lb]
31
                     xtrans(xtrans>ub)=ub;
                     xtrans(xtrans<lb)=lb;
33 % add each search points to the search scope matrix of the bat
34
                     H = [H ; xtrans];
35
36
            end
  % compare the current position with the search scope points first
37
38
            fnew = min(f(H));
            \max Assesment = \max Assesment - (k*j + 5);
39
40 % in each loop, (k*j + 5) times FEs are done
            idx = find(f(H) = fnew);
41
             \begin{array}{l} xnew = H(i\dot{dx}(1)\,\,,:)\,; \\ xtemp = \,x(i\,\,,:)\,; \,\,\% \,\, assign \,\,\, xtemp \,\,\, to \,\,\, use \,\, for \,\, the \,\, next \,\, steps \end{array} 
42
43
44 % the bat becomes the EXPLOITER bat,
            if fnew < f(x(i,:))
46 % its direction will be changed towards the next position
47
               v(i,:) = (xnew - x(i,:))./norm(xnew - x(i,:));
               x(i,:) = xnew;
48
49 % shrink the search scope of the bat within the ranges
50
               if lambda(i) > Lmin+ro
                     lambda(i) = lambda(i) - ro;
5.1
                 else
                     lambda(i) = Lmin;
54
                 end
                 if freq(i) < freqMax - ro</pre>
                    freq(i) = freq(i) + ro;
56
57
                     freq(i) = freqMax;
58
59
                 end
            end
61 % the bat becomes the EXPLORER bat
            i f
               f(xtemp) > fgbest
63 % change its direction randomly
                v(i,:) = -1 + 2 * rand(1,D);
64
65 % expand the search scope of the bat
66
                 if lambda(i) < Lmax - ro</pre>
                     lambda(i) = lambda(i) + ro;
67
                 end
68
                 if freq(i) > freqMin + ro
                     freq(i) = freq(i) - ro;
71
                 end
            end
73 % the bat is already on the best found position
            if f(xtemp) \le fgbest \&\& f(xtemp) < fnew
75 \% change its direction randomly and shrink the search scope
76
                 v(i,:) = -1 + 2 * rand(1,D);
                 lambda(i) = Lmin; freq(i) = freqMax;
77
79 % update best values: fgbest, xgbest
```

Listing 10.2

Source-code of DVBA in Matlab.

10.4 Source-code of DVBA in C++

```
1 #include <iostream>
2 #include <cstdlib>
 3 #include <ctime>
4 using namespace std;
     ^{\prime} definition of the objective function f(x)
6 float ub=5.12; float lb=-5.12; //ub, lb:upper and lower bounds.
   float f(float x[], int size_array){
      float t = 0;
       \mathbf{for} (\mathbf{int} \ i = 0; \ i < size\_array; \ i + +) \{
9
          t=t+x[i]*x[i];}
      return t;}
   // generate pseudo random values from the range [0, 1)
12
13 float r() {
      return float (rand () %1000) /1000; }
14
   // "checkBoundary" function to check the constraints:
16 float checkBoundary(float x, float min, float max){
      if (x < min) x = min;
      if (x>max) x = max
18
      return x;}
19
20 // main program function
21 int main(){
22 srand (time (0));
23 // initialization of the parameters
24 int P=2; int maxAssessment=100000; int assessment=0; int D=10;
25 int beta=100; int j=5; int k=6; int b=20;
int the Best = 0;
                    float xmax[D]; float rho[D];
   float xmin[D];
28 float freqMax[D], freqMin[D], freq[P][D];
29 float Lmax[D], Lmin[D], lambda[P][D];
     initialization of the constraints
    for (int z=0; z<D; z++){
31
       xmin[z] = -5.12; xmax[z] = 5.12; rho[z] = (xmax[z] - xmin[z])/beta;
32
33
       freqMax[z] = 10*rho[z]; freqMin[z] = rho[z];
34
      Lmax[z] = 10*rho[z]; Lmin[z] = 0.01*rho[z]; initialization of all data structures which are needed by DVBA float x[P][D]; float xtemp[D]; float xnew[D]; float v[P][D]; float xgbest[D];
35
36
37
38
39
      \begin{tabular}{ll} {\bf float} & u[j][D] \,, & A[D][j] \,, & V[j][D] \,, & H[j*k][D]; \\ \end{tabular}
   // randomly create bat's positions, velocities, frequency (freq) and
        wavelength(lambda)
        for (int i = 0; i < P; i + + ){
           for (int z=0; z<D; z++){
42
            x[i][z] = (xmax[z] - xmin[z]) * r() + xmin[z];
            v[i][z] = (-1 + 2 * r());
44
             freq[i][z] = (freqMax[z]-freqMin[z]) * r() + freqMin[z];
45
            lambda[i][z] = (Lmax[z]-Lmin[z]) * r() + Lmin[z]; 
47 // evaluate the bats, find the best bat
       if (f(x[i],D)<f(x[theBest],D)) theBest=i;}
```

```
assign the best bat to xgbest
                           for (int i=0; i<D; i++) xgbest[i]=x[theBest][i];
50
                       main program loop:
51 //
                            while (assessment < maxAssessment) {
52
53
                        for each bat
54
                                         for (int i=0; i<P; i++){
                                                      assessment = assessment + (k*j);
                                                      int n=0, theHbest=0;
56
57
                     generate the search scope matrix for the bat:
                                                      for (int z=0; z< j; z++){
                                                          for(int z=0; z< j; z++){ // wave vectors for(int m=0; m< k; m++)} // search points
58
59
                                                                            for (int s=0; s<D; s++){ // for each dimension
60
                                                                               u[z][s]=-1+2*r()
61
62
                                                                               A[z][s]=b*u[z][s]/freq[i][s];
63
                                                                                V[z][s]=v[i][s]+A[z][s]
                                                                               V[z][s]=(V[z][s]-xmin[s])/(xmax[s]-xmin[s]);
64
                                                                                \begin{array}{l} H[n][s] = x[i][s] + (z+1)*V[i][s] + (z+1)*J[i][s] + (z
65
67
                      find the best position on the bat's search scope xnew:
                                                                            \mathbf{if}^{\mathsf{T}}(f(H[n],D) < f(H[theHbest],D)) \text{ theHbest } = n;
68
                                                                            n = n + 1;
                                                                          (int u=0; u<D; u++) xnew[u] = H[theHbest][u];
                                                           \begin{tabular}{ll} \be
72
                       the bat becomes the EXPLOITER bat
73
                                                                          (f(H[theHbest],D) < f(x[i],D)){
                                                                        for (int t=0; t<D; t++){
74
                       move\ towards\ the\ next\ position\ ,\ shrink\ the\ search\ scope
75
                                                                            v[i][t] = ((xnew[t] - x[i][t]))/(xmax[t] - xmin[t]);
76
                                                                           x[i][t]=xnew[t];
78
                                                                            lambda[i][t]=checkBoundary(lambda[i][t]-rho[t],Lmin[t],
                             Lmax[t]);
                                                                            freq \left[ \ i \ \right] \left[ \ t \ \right] = checkBoundary \left( \ freq \left[ \ i \ \right] \left[ \ t \ \right] + rho \left[ \ t \ \right] \ , freqMin \left[ \ t \ \right] \ ,
79
                             freqMax[t]);}}
                         the bat becomes the EXPLORER bat
80
81
                                                           if (f(xtemp,D) > f(x[theBest],D))
82
                        change its direction randomly, expand the search scope
                                                               for (int t=0; t<D; t++){
83
84
                                                                        v[i][t] = (-1 + 2 * r());
                                                                       lambda[i][t]=checkBoundary(lambda[i][t]+rho[t],Lmin[t],
85
                            Lmax[t]);
                                                                        freq[i][t]=checkBoundary(freq[i][t]-rho[t], freqMin[t],
86
                             freqMax[t]);}}
87
                         the bat is already on the best found position
                                                       if \ (f(xtemp, D) <= f(x[theBest], D) \&\& f(xtemp, D) < f(xnew, D)) \{f(xnew, D), f(xnew, 
88
89
                         change its
                                                                      direction randomly, expand the search scope
                                                               for(int t=0; t<D; t++){
90
                                                                            v[i][t] = (-1 + 2 * r());
91
                                                                            lambda[i][t] = Lmin[t];
92
                                                                            freq[i][t] = freqMax[t];}}
93
                       update\ best\ values:
94
                                                                                                             fbest,
                                                                                                                                            xbest
                                                          if (f(x[i],D) \le f(x[theBest],D)) theBest = i;
95
                                                          for (int t=0; t<D; t++) xgbest[t] = x[theBest][t];
96
                            cout << "fbest = " << f(x[theBest], D) << endl; 
cout << "xgbest = ";
97
98
                            for (int t=0;t<D;t++) cout<<xgbest[t]<<" ";}
```

Listing 10.3 Source-code of the DVBA in C++.

10.5 Step-by-step numerical example of DVBA

We will use DVBA to minimize the function given by equation 10.12. For simplification purposes, the dimension will be set to 3, j = 2, and k = 3. So there will be only two wave vectors, three search points on each of them, and

in total $j \times k = 6$ points in the search scope of the bats. Search scope wideness variable b is set to 20, and increment rate divisor is set to 100. In the first step all these parameters will be set.

In the second step, increment rate ρ will be calculated by using equation 10.8, then the wavelength and the frequency will be initialized randomly for each bat within the ranges mentioned previously: $\lambda \in [0.1\rho, 10\rho]$ and the $freq \in [\rho, 10\rho]$.

```
\begin{split} \rho &= 0.1024, \quad step size \\ [freqMin, freqMax] &= [0.1024 \quad 1.024], \quad frequency(freq) \quad range \\ [Lmin, Lmax] &= [0.01024 \quad 1.0240], \quad wavelength(lambda) \quad range \\ freq1 &= 0.5527 \\ freq2 &= 1.0065 \\ lambda1 &= 0.9682 \\ lambda2 &= 0.1649 \end{split}
```

In the third step, two bats will start the search from random positions within the range of the search space $[-5.12 \quad 5.12]$ with random velocities (directions) in the range of (-1,1). And the best function value (fgbest) will be updated based on the bats' current positions.

$$\begin{split} x1 &= [5.0652, \quad -4.2795, \quad -1.9859] \\ x2 &= [1.3005, \quad -0.5550, \quad -0.5254] \\ v1 &= [-0.4553, \quad 0.9136, \quad 0.7642] \\ v2 &= [-0.5224, \quad 0.6805, \quad 0.8534] \\ f(x1) &= \sum_{i=1}^{3} x_i^2 = [5.0652^2 + (-4.2795)^2 + (-1.9859)^2] = 47.9140 \\ f(x2) &= \sum_{i=1}^{3} x_i^2 = [1.3005^2 + (-0.5550)^2 + (-0.5254)^2] = 2.2755 \\ fgbest &= min(f) = 2.2755 \end{split}$$

In the fourth step the main loop of the algorithm starts. If the algorithm termination condition is fulfilled we jump to the fourteenth step, if not we continue to step five.

In the fifth step, the first bat's search scope column $\operatorname{vector}(H)$ will be generated by using the equations from 10.1 to 10.4. Since there are just two wave vectors there will be only A11, A12, V11, and V12 vectors for the search scope.

$$u = -1 + 2 * rand(j, d)$$

$$u1 = [0.0309, 0.0278, -0.0334]$$

$$u2 = [-0.0299, 0.0252, -0.0177]$$

$$A11 = u1 * b/freq1 = [1.1198, 1.0074, -1.2079]$$

 $A12 = u2 * b/freq1 = [-1.0808, 0.9105, -0.6400]$
 $V11 = norm(v1 + A11) = [0.2420, 0.6996, -0.1616]$
 $V12 = norm(v1 + A12) = [-0.5594, 0.6644, 0.0453]$

$$\begin{array}{llll} H1 = x1 + V11*1*lambda1 = [5.1200, & -3.6021, & -2.1424] \\ H2 = x1 + V11*2*lambda1 = [5.1200, & -2.9247, & -2.2989] \\ H3 = x1 + V11*3*lambda1 = [5.1200, & -2.2473, & -2.4553] \\ H4 = x1 + V12*1*lambda1 = [4.5235, & -3.6362, & -1.9421] \\ H5 = x1 + V12*2*lambda1 = [3.9819, & -2.9930, & -1.8983] \\ H6 = x1 + V12*3*lambda1 = [3.4402, & -2.3497, & -1.8545] \end{array}$$

H1, H2, and H3 are the search points on the first wave vector, and the last three are the search points on the second wave vector.

In the sixth step, all the positions in the first bat's search scope (H) will be evaluated by using the objective function, the best value and the position will be assigned as fnew and xnew, respectively.

$$f(H1) = \sum_{i=1}^{3} H_i^2 = [5.1200^2 + (-3.6021)^2 + (-2.1424)^2] = 43.7792$$

if we repeat the same competition for all the search points in the scope, we obtain f(H) values:

```
\begin{split} f(H1) &= 43.7792 \\ f(H2) &= 40.0529 \\ f(H3) &= 37.2932 \\ f(H4) &= 37.4563 \\ f(H5) &= 28.4168 \\ f(H6) &= 20.7954 \\ fnew &= min(f(H)) = f(H6) = 20.7954 \\ xnew &= H6 = [3.4402, -2.3497, -1.8545] \\ xtemp &= x1 \end{split}
```

x1 is assigned to xtemp, so we can use xtemp for the next steps as x1's not-updated value.

In the seventh step, fnew and the bat's position f(x1) will be compared and the bat's role will be decided. In this case, fnew is better than the first bat's current position (20.7954 < 47.9140), so the first bat will become the exploiter bat. It will move to xnew, its direction will be changed towards the

next position, and its search scope will be shrunk by decreasing its wavelength (lambda1) and increasing its frequency (freq1).

$$v1 = (xnew - x1)/norm(xnew - x1) = [-0.6432, 0.7639, 0.0520]$$

 $x1 = xnew = [3.4402, -2.3497, -1.8545]$
 $lambda1 = lambda1 - \rho = 0.8658$
 $freq1 = freq1 + \rho = 0.6551$

Since fnew and f(xtemp) are not better than fgbest, fgbest is not updated, only x1 is updated, and the first bat's evaluation is over here.

In the eighth step, we return to the fourth step and repeat the same competition for the second bat. Firstly, its search scope column vector (H) will be generated as follows:

$$\begin{split} u &= -1 + 2*rand(j,d) \\ u1 &= [-0.0292, \quad -0.0081, \quad -0.0337] \\ u2 &= [0.0002, \quad -0.0103, \quad 0.0184] \\ A21 &= u1*b/freq2 = [-0.5812, \quad -0.1617, \quad -0.6693] \\ A22 &= u1*b/freq2 = [0.0039, \quad -0.2046, \quad 0.3659] \\ V21 &= norm(v2 + A21) = [-0.6572, \quad 0.3089, \quad 0.1096] \\ V22 &= norm(v2 + A22) = [-0.3087, \quad 0.2834, \quad 0.7261] \end{split}$$

$$\begin{split} H1 &= x2 + V21 * 1 * lambda2 = [1.1922, & -0.5041, & -0.5073] \\ H2 &= x2 + V21 * 2 * lambda2 = [1.0839, & -0.4531, & -0.4892] \\ H3 &= x2 + V21 * 3 * lambda2 = [0.9755, & -0.4022, & -0.4712] \\ H4 &= x2 + V22 * 1 * lambda2 = [1.2497, & -0.5083, & -0.4057] \\ H5 &= x2 + V22 * 2 * lambda2 = [1.1988, & -0.4616, & -0.2860] \\ H6 &= x2 + V22 * 3 * lambda2 = [1.1479, & -0.4149, & -0.1663] \end{split}$$

In the ninth step we repeat the sixth step for the second bat's search scope H:

$$f(H1) = \sum_{i=1}^{3} H_i^2 = [1.1922^2 + (-0.5041)^2 + (-0.5073)^2] = 1.9328$$

if we repeat the same competition for all the search points in the scope we obtain f(H) values:

$$f(H1) = 1.9328$$

$$f(H2) = 1.6195$$

$$f(H3) = 1.3354$$

$$f(H4) = 1.9846$$

$$f(H5) = 1.7318$$

 $f(H6) = 1.5173$
 $fnew = min(f(H)) = f(H3) = 1.3354$
 $xnew = H3 = \begin{bmatrix} 0.9755, & -0.4022, & -0.4712 \end{bmatrix}$
 $xtemp = x2$

In the tenth step, fnew and the bat's position f(x2) will be compared and the bat's role will be decided. In this case, fnew(1.3354) is better than f(x2)(2.2755). So the first bat will become the exploiter bat as well. It will move to xnew, its direction will be changed towards the next position, and its search scope will be shrunk by decreasing its wavelength (lambda2) and increasing its frequency (freq2).

$$v2 = (xnew - x2)/norm(xnew - x2) = [-0.8949, 0.4206, 0.1493]$$

 $x2 = xnew = [0.9755, -0.4022, -0.4712]$
 $lambda2 = lambda2 - \rho = 0.0625$
 $freq2 = freq2 + \rho = 1.1089 = 1.0240$

freq2 was bigger than freqMax, so it is constrained by freqMax = 1.0240. In the eleventh step, we compare f(xtemp) with fgbest whether the bat is already on the best found position or not. The bat's current position f(x2) is better than fgbest, so steps 23 and 24 will be executed in Algorithm 9: The wavelength will be minimized, the frequency will be maximized, and the bat's search direction will be changed randomly. So its search scope will be at its minimum size.

```
lambda2 = Lmin = 0.0102

freq2 = freqMax = 1.0240

v2 = -1 + 2 * rand(1, D) = [0.9415, -0.2689, -0.1303]
```

In the twelfth step, since fnew is better than fgbest, fgbest and xgbest will be updated as follows:

```
fgbest = fnew = 1.3354

xgbest = xnew = [0.9755, -0.4022, -0.4712]
```

At the end of the twelfth step, the both bats are evaluated and the first bat x1 moved from 47.9140 to 20.7954, and the second bat x2 moved from 2.2755 to 1.3354. Until now, we spent $2*(j\times k)+10=32$ function of evaluations(FEs). And we have still around 9,700 FEs to stop the algorithm.

In the thirteenth step, we return to the fourth step.

In the fourteenth step, after the function of evaluations (FEs) is exhausted we return the fgbest and xgbest as a result of the algorithm.

10.6 Conclusions

In this chapter we have aimed to show how a bat's behaviour in nature can be modeled and become an optimization algorithm. And we have shown step-by-step how the algorithm works. We have also provided source-codes in MAT-LAB and in C++ programming language which could help researchers to implement the algorithm on optimization problems and work on improving the algorithm. Finally, the step-by-step numerical example of DVBA has been presented in detail, so we can see how virtual bats improve the solution during the competition process.

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