HONG KONG FUTURES EXCHANGE LIMITED /

THE STOCK EXCHANGE OF HONG KONG LIMITED

(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

Derivatives Trading Hotline : 2211 6360

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8 Connaught Place

Central, Hong Kong

**APPLICATION FOR OAPI CONNECTION ON HKATS**

# Particulars of Exchange Participant

|  |  |
| --- | --- |
| Mnemonic | Participant Name |
| ❒ HKFE Participant ❒ Options Trading Exchange Participant (Please choose one only) | |

# Contact Person

|  |  |  |
| --- | --- | --- |
| Name | Tel | Fax |
| Position | E-mail | |

# Information on OAPI

|  |  |
| --- | --- |
| Addition / Termination | ❒ Addition  ❒ Termination |
| Gateway and Usage | ❒ Central Gateway – Market Making  ❒ Central Gateway – Trading  ❒ Central Gateway – View only  ❒ Network Gateway – Trading  ❒ Network Gateway – View only |
| Number of Connections | \_\_\_\_\_\_\_\_\_\_ connections |
| Throughput of Connection | \_\_\_\_\_\_\_\_\_\_ transactions per second |
| Developer of OAPI | ❒ In-house developed  ❒ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  (company name of developer) |
| Program name |  |
| Version |  |
| Site(s) to be Connected | Site(s) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ (e.g. Site 01) |
| The OAPI can identify the trades of the company by both “Deals in the Market” (BO5 and BD70) and “Dedicated Trade Information Transaction” (BD6). | ❒ Yes  ❒ No |
| “Query Total Order Book Transaction” (MQ7) to be sent by the OAPI will be less than 1 per second. | ❒ Yes ❒ n/a  ❒ No |
| For OAPI connection(s) for market making purpose ONLY  Quote request to be sent by the OAPI will be less than 1 per second per underlying | ❒ Yes ❒ n/a  ❒ No |
| Polling interval for any transactions which involve Read Events (i.e. omniapi\_read\_event\_ext\_ex) | \_\_\_\_\_\_\_\_\_\_ milliseconds  (recommended to be 250 milliseconds) |
| Source of Market Data – HKFE Products  (e.g. network gateway, OMD-D) | ❒ Network gateway ❒ n/a  ❒ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| Source of Market Data – Stock Options  (e.g. network gateway, OMD-C) | ❒ Network gateway ❒ n/a  ❒ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| Intended Effective Date |  |

|  |
| --- |
| Purpose of new OAPI connection(s):  (e.g. for market making in HSI/stock options market, for business expansion of internet clients, for backup purpose, for use by General Clearing Participant) |

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Signature of Responsible Officer Date

with company chop

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Name of Responsible Officer