## **MONTHLY DETAILS - OCTOBER, 2016**

## **Client Name: Citigroup Global Markets Hong Kong Limited**

<b>Trade Date</b>	Trade ID	Description	Size	Hedge	Reference	Brokerage Fee
25-Oct-16	CELERAEQ-2016-13180	Listed HSCEI DEC17 10400 European Call REF 9,825 (OCT16)	400	164	Seller - UBS AG London	HK\$9825
					Branch - Adrien Bordat	
25-Oct-16	CELERAEQ-2016-13180	Listed HSCEI DEC17 10400 European Call REF 9,825 (OCT16)	400	164	Seller - UBS AG London	HK\$9825
					Branch - Adrien Bordat	
25-Oct-16	CELERAEQ-2016-13180	Listed HSCEI DEC17 10400 European Call REF 9,825 (OCT16)	400	164	Seller - UBS AG London	HK\$9825
					Branch - Adrien Bordat	
25-Oct-16	CELERAEQ-2016-13180	Listed HSCEI DEC17 10400 European Call REF 9,825 (OCT16)	400	164	Seller - UBS AG London	HK\$9825
					Branch - Adrien Bordat	
25-Oct-16	CELERAEQ-2016-13180	Listed HSCEI DEC17 10400 European Call REF 9,825 (OCT16)	400	164	Seller - UBS AG London	HK\$9825
					Branch - Adrien Bordat	
20-Oct-16	CELERAEQ-2016-13172	Listed HSCEI DEC18 9600 European Straddle REF 9,725 (OCT16)	400	16	Buyer - Société Générale (HK)	HK\$5835
					Limited - Sourabh Shaha	
20-Oct-16	CELERAEQ-2016-13172	Listed HSCEI DEC18 9600 European Straddle REF 9,725 (OCT16)	400	16	Buyer - Société Générale (HK)	HK\$5835
					Limited - Sourabh Shaha	
20-Oct-16	CELERAEQ-2016-13172	Listed HSCEI DEC18 9600 European Straddle REF 9,725 (OCT16)	400	16	Buyer - Société Générale (HK)	HK\$5835
					Limited - Sourabh Shaha	
20-Oct-16	CELERAEQ-2016-13172	Listed HSCEI DEC18 9600 European Straddle REF 9,725 (OCT16)	400	16	Buyer - Société Générale (HK)	HK\$5835
					Limited - Sourabh Shaha	
20-Oct-16	CELERAEQ-2016-13172	Listed HSCEI DEC18 9600 European Straddle REF 9,725 (OCT16)	400	16	Buyer - Société Générale (HK)	HK\$5835
					Limited - Sourabh Shaha	
20-Oct-16	CELERAEQ-2016-13172	Listed HSCEI DEC18 9600 European Straddle REF 9,725 (OCT16)	400	16	Buyer - Société Générale (HK)	HK\$5835
					Limited - Sourabh Shaha	
20-Oct-16	CELERAEQ-2016-13172	Listed HSCEI DEC18 9600 European Straddle REF 9,725 (OCT16)	400	16	Buyer - Société Générale (HK)	HK\$5835
					Limited - Sourabh Shaha	
20-Oct-16	CELERAEQ-2016-13172	Listed HSCEI DEC18 9600 European Straddle REF 9,725 (OCT16)	400	16	Buyer - Société Générale (HK)	HK\$5835
					Limited - Sourabh Shaha	
20-Oct-16	CELERAEQ-2016-13172	Listed HSCEI DEC18 9600 European Straddle REF 9,725 (OCT16)	400	16	Buyer - Société Générale (HK)	HK\$5835
					Limited - Sourabh Shaha	

20-Oct-16 CEI	LERAEQ-2016-13172	Listed HSCEI DEC18 9600 European Straddle REF 9,725 (OCT16)	400	16	Buyer - Société Générale (HK) Limited - Sourabh Shaha	HK\$5835
20-Oct-16 CEI	LERAEQ-2016-13172	Listed HSCEI DEC18 9600 European Straddle REF 9,725 (OCT16)	400	16	Buyer - Société Générale (HK) Limited - Sourabh Shaha	HK\$5835
20-Oct-16 CEI	LERAEQ-2016-13172	Listed HSCEI DEC18 9600 European Straddle REF 9,725 (OCT16)	400	16	Buyer - Société Générale (HK) Limited - Sourabh Shaha	HK\$5835
	LERAEQ-2016-13172	Listed HSCEI DEC18 9600 European Straddle REF 9,725 (OCT16)	400	16	Buyer - Société Générale (HK) Limited - Sourabh Shaha	HK\$5835
	LERAEQ-2016-13172	Listed HSCEI DEC18 9600 European Straddle REF 9,725 (OCT16)	400	16	Buyer - Société Générale (HK) Limited - Sourabh Shaha	HK\$5835
19-Oct-16 CEI	LERAEQ-2016-13165	Listed HSCEI JUN17/JUN18 10400 European Call Time Spread REF 9,725 (OCT16)	200	6	Seller - Deutsche Bank AG London Branch - Payton Ong	HK\$3090
27-Oct-16 CEI	LERAEQ-2016-13192	Listed HSCEI JUN18 9200 European Straddle REF 9,600 (NOV16)	500	30	Buyer - J.P. Morgan Securities PLC - Calvin Ko	HK\$6360
27-Oct-16 CEI	LERAEQ-2016-13192	Listed HSCEI JUN18 9200 European Straddle REF 9,600 (NOV16)	500	30	Buyer - J.P. Morgan Securities PLC - Calvin Ko	HK\$6360
27-Oct-16 CEI	LERAEQ-2016-13192	Listed HSCEI JUN18 9200 European Straddle REF 9,600 (NOV16)	500	30	Buyer - J.P. Morgan Securities PLC - Calvin Ko	HK\$6360
27-Oct-16 CEI	LERAEQ-2016-13192	Listed HSCEI JUN18 9200 European Straddle REF 9,600 (NOV16)	500	30	Buyer - J.P. Morgan Securities PLC - Calvin Ko	HK\$6360
27-Oct-16 CEI	LERAEQ-2016-13192	Listed HSCEI JUN18 9200 European Straddle REF 9,600 (NOV16)	500	30	Buyer - J.P. Morgan Securities PLC - Calvin Ko	HK\$6360
27-Oct-16 CEI	LERAEQ-2016-13192	Listed HSCEI JUN18 9200 European Straddle REF 9,600 (NOV16)	500	30	Buyer - J.P. Morgan Securities PLC - Calvin Ko	HK\$6360
04-Oct-16 CEI	LERAEQ-2016-13154	Listed HSCEI MAR17 6000/7600 -2/1 European Put Ratio REF 9,725 (OCT16)	2250	30	Seller - Thierry	HK\$34200
11-Oct-16 CEI	LERAEQ-2016-13159	Listed HSCEI DEC16 9400 European Straddle REF 9,750 (OCT16)	200	32	Seller - Vivienne Court Trading Pty Ltd - Jeroen Plooster	HK\$4320
11-Oct-16 CEI	LERAEQ-2016-13159	Listed HSCEI DEC16 9400 European Straddle REF 9,750 (OCT16)	200	32	Seller - Vivienne Court Trading Pty Ltd - Jeroen Plooster	HK\$4320
05-Oct-16 CEI	LERAEQ-2016-13157	Listed HSCEI DEC17/DEC16 10800 1/-2 European Call Time Ratio REF 9,850 (OCT16)	450	3	Seller - Mirae Asset Securities Co. Ltd - Sungwon Hwang	HK\$6795
05-Oct-16 CEI	LERAEQ-2016-13157	Listed HSCEI DEC17/DEC16 10800 1/-2 European Call Time Ratio REF 9,850 (OCT16)	450	3	Seller - Mirae Asset Securities Co. Ltd - Sungwon Hwang	HK\$6795
05-Oct-16 CEI	LERAEQ-2016-13157	Listed HSCEI DEC17/DEC16 10800 1/-2 European Call Time Ratio REF 9,850 (OCT16)	450	3	Seller - Mirae Asset Securities Co. Ltd - Sungwon Hwang	HK\$6795

19-Oct-16   CELERAEQ-201	6-13169 Listed HSCEI JUN18 92	00 European Straddle REF 9,675 (OCT16)	306	26	Buyer - Citigroup Global	HK\$4980
					Markets Hong Kong Limited -	
					Mohamed Lemsitef	
	·					

October 2016 Total 20588 1041 251383