

MONTHLY DETAILS - OCTOBER, 2016

Client Name: J.P. Morgan Securities PLC

[illegible]

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|-----------|---------------------|--|-----|----|---|----------|
| 20-Oct-16 | CELERAEQ-2016-13172 | Listed HSCEI DEC18 9600 European Straddle REF 9,725 (OCT16) | 400 | 16 | Buyer - Société Générale (HK) Limited - Sourabh Shaha | HK\$5835 |
| 20-Oct-16 | CELERAEQ-2016-13172 | Listed HSCEI DEC18 9600 European Straddle REF 9,725 (OCT16) | 400 | 16 | Buyer - Société Générale (HK) Limited - Sourabh Shaha | HK\$5835 |
| 20-Oct-16 | CELERAEQ-2016-13172 | Listed HSCEI DEC18 9600 European Straddle REF 9,725 (OCT16) | 400 | 16 | Buyer - Société Générale (HK) Limited - Sourabh Shaha | HK\$5835 |
| 20-Oct-16 | CELERAEQ-2016-13172 | Listed HSCEI DEC18 9600 European Straddle REF 9,725 (OCT16) | 400 | 16 | Buyer - Société Générale (HK) Limited - Sourabh Shaha | HK\$5835 |
| 20-Oct-16 | CELERAEQ-2016-13172 | Listed HSCEI DEC18 9600 European Straddle REF 9,725 (OCT16) | 400 | 16 | Buyer - Société Générale (HK) Limited - Sourabh Shaha | HK\$5835 |
| 19-Oct-16 | CELERAEQ-2016-13165 | Listed HSCEI JUN17/JUN18 10400 European Call Time Spread REF 9,725 (OCT16) | 200 | 6 | Seller - Deutsche Bank AG London Branch - Payton Ong | HK\$3090 |
| 27-Oct-16 | CELERAEQ-2016-13192 | Listed HSCEI JUN18 9200 European Straddle REF 9,600 (NOV16) | 500 | 30 | Buyer - J.P. Morgan Securities PLC - Calvin Ko | HK\$6360 |
| 27-Oct-16 | CELERAEQ-2016-13192 | Listed HSCEI JUN18 9200 European Straddle REF 9,600 (NOV16) | 500 | 30 | Buyer - J.P. Morgan Securities PLC - Calvin Ko | HK\$6360 |
| 27-Oct-16 | CELERAEQ-2016-13192 | Listed HSCEI JUN18 9200 European Straddle REF 9,600 (NOV16) | 500 | 30 | Buyer - J.P. Morgan Securities PLC - Calvin Ko | HK\$6360 |
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October 2016 Total

16382

850

186443