

MONTHLY DETAILS - OCTOBER, 2016

Client Name: Barclays Bank PLC

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20-Oct-16	CELERAEQ-2016-13172	Listed HSCEI DEC18 9600 European Straddle REF 9,725 (OCT16)	400	16	Buyer - Société Générale (HK) Limited - Sourabh Shaha	HK\$5835
20-Oct-16	CELERAEQ-2016-13172	Listed HSCEI DEC18 9600 European Straddle REF 9,725 (OCT16)	400	16	Buyer - Société Générale (HK) Limited - Sourabh Shaha	HK\$5835
20-Oct-16	CELERAEQ-2016-13172	Listed HSCEI DEC18 9600 European Straddle REF 9,725 (OCT16)	400	16	Buyer - Société Générale (HK) Limited - Sourabh Shaha	HK\$5835
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20-Oct-16	CELERAEQ-2016-13172	Listed HSCEI DEC18 9600 European Straddle REF 9,725 (OCT16)	400	16	Buyer - Société Générale (HK) Limited - Sourabh Shaha	HK\$5835
19-Oct-16	CELERAEQ-2016-13165	Listed HSCEI JUN17/JUN18 10400 European Call Time Spread REF 9,725 (OCT16)	200	6	Seller - Deutsche Bank AG London Branch - Payton Ong	HK\$3090
27-Oct-16	CELERAEQ-2016-13192	Listed HSCEI JUN18 9200 European Straddle REF 9,600 (NOV16)	500	30	Buyer - J.P. Morgan Securities PLC - Calvin Ko	HK\$6360
27-Oct-16	CELERAEQ-2016-13192	Listed HSCEI JUN18 9200 European Straddle REF 9,600 (NOV16)	500	30	Buyer - J.P. Morgan Securities PLC - Calvin Ko	HK\$6360
27-Oct-16	CELERAEQ-2016-13192	Listed HSCEI JUN18 9200 European Straddle REF 9,600 (NOV16)	500	30	Buyer - J.P. Morgan Securities PLC - Calvin Ko	HK\$6360
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27-Oct-16	CELERAEQ-2016-13192	Listed HSCEI JUN18 9200 European Straddle REF 9,600 (NOV16)	500	30	Buyer - J.P. Morgan Securities PLC - Calvin Ko	HK\$6360
04-Oct-16	CELERAEQ-2016-13154	Listed HSCEI MAR17 6000/7600 -2/1 European Put Ratio REF 9,725 (OCT16)	2250	30	Seller - Thierry	HK\$34200
11-Oct-16	CELERAEQ-2016-13159	Listed HSCEI DEC16 9400 European Straddle REF 9,750 (OCT16)	200	32	Seller - Vivienne Court Trading Pty Ltd - Jeroen Plooster	HK\$4320
11-Oct-16	CELERAEQ-2016-13159	Listed HSCEI DEC16 9400 European Straddle REF 9,750 (OCT16)	200	32	Seller - Vivienne Court Trading Pty Ltd - Jeroen Plooster	HK\$4320
05-Oct-16	CELERAEQ-2016-13157	Listed HSCEI DEC17/DEC16 10800 1/-2 European Call Time Ratio REF 9,850 (OCT16)	450	3	Seller - Mirae Asset Securities Co. Ltd - Sungwon Hwang	HK\$6795
05-Oct-16	CELERAEQ-2016-13157	Listed HSCEI DEC17/DEC16 10800 1/-2 European Call Time Ratio REF 9,850 (OCT16)	450	3	Seller - Mirae Asset Securities Co. Ltd - Sungwon Hwang	HK\$6795
05-Oct-16	CELERAEQ-2016-13157	Listed HSCEI DEC17/DEC16 10800 1/-2 European Call Time Ratio REF 9,850 (OCT16)	450	3	Seller - Mirae Asset Securities Co. Ltd - Sungwon Hwang	HK\$6795

19-Oct-16	CELERAEQ-2016-13169	Listed HSCEI JUN18 9200 European Straddle REF 9,675 (OCT16)	306	26	Buyer - Citigroup Global Markets Hong Kong Limited - Mohamed Lemsitef	HK\$4980
26-Oct-16	CELERAEQ-2016-13184	Listed HSCEI DEC18 9600 European Straddle REF 9,775 (OCT16)	20	1	Seller - Nomura International Plc - Nadjib Ezziane	HK\$0
26-Oct-16	CELERAEQ-2016-13184	Listed HSCEI DEC18 9600 European Straddle REF 9,775 (OCT16)	20	1	Seller - Nomura International Plc - Nadjib Ezziane	HK\$0
26-Oct-16	CELERAEQ-2016-13184	Listed HSCEI DEC18 9600 European Straddle REF 9,775 (OCT16)	20	1	Seller - Nomura International Plc - Nadjib Ezziane	HK\$0
26-Oct-16	CELERAEQ-2016-13184	Listed HSCEI DEC18 9600 European Straddle REF 9,775 (OCT16)	20	1	Seller - Nomura International Plc - Nadjib Ezziane	HK\$0
26-Oct-16	CELERAEQ-2016-13184	Listed HSCEI DEC18 9600 European Straddle REF 9,775 (OCT16)	20	1	Seller - Nomura International Plc - Nadjib Ezziane	HK\$0
26-Oct-16	CELERAEQ-2016-13184	Listed HSCEI DEC18 9600 European Straddle REF 9,775 (OCT16)	20	1	Seller - Nomura International Plc - Nadjib Ezziane	HK\$0
26-Oct-16	CELERAEQ-2016-13184	Listed HSCEI DEC18 9600 European Straddle REF 9,775 (OCT16)	20	1	Seller - Nomura International Plc - Nadjib Ezziane	HK\$0
28-Oct-16	CELERAEQ-2016-13193	Listed KS200 DEC17 200 European Put REF 257 (DEC16)	100	50	Buyer - Morgan Stanley & Co. International PLC - Andre Pinto	KRW449
28-Oct-16	CELERAEQ-2016-13193	Listed KS200 DEC17 200 European Put REF 257 (DEC16)	100	50	Buyer - Morgan Stanley & Co. International PLC - Andre Pinto	KRW449
28-Oct-16	CELERAEQ-2016-13193	Listed KS200 DEC17 200 European Put REF 257 (DEC16)	100	50	Buyer - Morgan Stanley & Co. International PLC - Andre Pinto	KRW449
28-Oct-16	CELERAEQ-2016-13193	Listed KS200 DEC17 200 European Put REF 257 (DEC16)	100	50	Buyer - Morgan Stanley & Co. International PLC - Andre Pinto	KRW449
28-Oct-16	CELERAEQ-2016-13196	Listed HSCEI DEC17 6600 European Put REF 9,625 (NOV16)	200	14	Seller - HMC Investment - JeongBon, Koo	HK\$4280
19-Oct-16	CELERAEQ-2016-13165	Listed HSCEI JUN17/JUN18 10400 European Call Time Spread REF 9,725 (OCT16)	1200	36	Buyer - Yuanta Securities Korea Co., Ltd - Seungwoo Hwangbo	HK\$24720
19-Oct-16	CELERAEQ-2016-13165	Listed HSCEI JUN17/JUN18 10400 European Call Time Spread REF 9,725 (OCT16)	1200	36	Buyer - Yuanta Securities Korea Co., Ltd - Seungwoo Hwangbo	HK\$24720
26-Oct-16	CELERAEQ-2016-13188	Listed HSI NOV16 23200 European Straddle REF 23,300 (OCT16)	200	8	Buyer - BNP Paribas Arbitrage S.N.C.* - Nicolas Dujardin	HK\$2600

26-Oct-16	CELERAEQ-2016-13188	Listed HSI NOV16 23200 European Straddle REF 23,300 (OCT16)	200	8	Buyer - BNP Paribas Arbitrage S.N.C.* - Nicolas Dujardin	HK\$2600
26-Oct-16	CELERAEQ-2016-13188	Listed HSI NOV16 23200 European Straddle REF 23,300 (OCT16)	200	8	Buyer - BNP Paribas Arbitrage S.N.C.* - Nicolas Dujardin	HK\$2600
26-Oct-16	CELERAEQ-2016-13188	Listed HSI NOV16 23200 European Straddle REF 23,300 (OCT16)	200	8	Buyer - BNP Paribas Arbitrage S.N.C.* - Nicolas Dujardin	HK\$2600
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26-Oct-16	CELERAEQ-2016-13188	Listed HSI NOV16 23200 European Straddle REF 23,300 (OCT16)	200	8	Buyer - BNP Paribas Arbitrage S.N.C.* - Nicolas Dujardin	HK\$2600
21-Oct-16	CELERAEQ-2016-13174	Listed KS200 DEC16/MAR17 255/220 1/-1 European Put Diagonal REF 257.50 (DEC16)	400	62	Buyer - Société Générale (HK) Limited - Sourabh Shaha	KRW683
21-Oct-16	CELERAEQ-2016-13174	Listed KS200 DEC16/MAR17 255/220 1/-1 European Put Diagonal REF 257.50 (DEC16)	400	62	Buyer - Société Générale (HK) Limited - Sourabh Shaha	KRW683
21-Oct-16	CELERAEQ-2016-13174	Listed KS200 DEC16/MAR17 255/220 1/-1 European Put Diagonal REF 257.50 (DEC16)	400	62	Buyer - Société Générale (HK) Limited - Sourabh Shaha	KRW683
21-Oct-16	CELERAEQ-2016-13174	Listed KS200 DEC16/MAR17 255/220 1/-1 European Put Diagonal REF 257.50 (DEC16)	400	62	Buyer - Société Générale (HK) Limited - Sourabh Shaha	KRW683

20-Oct-16	CELERAEQ-2016-13171	Listed HSCEI DEC18 9600 European Straddle REF 9,725 (OCT16)	1000	40	Seller - NH Investment & Securities Co Ltd - Daehyuk Yoo	HK\$15600
20-Oct-16	CELERAEQ-2016-13171	Listed HSCEI DEC18 9600 European Straddle REF 9,725 (OCT16)	1000	40	Seller - NH Investment & Securities Co Ltd - Daehyuk Yoo	HK\$15600
20-Oct-16	CELERAEQ-2016-13171	Listed HSCEI DEC18 9600 European Straddle REF 9,725 (OCT16)	1000	40	Seller - NH Investment & Securities Co Ltd - Daehyuk Yoo	HK\$15600
27-Oct-16	CELERAEQ-2016-13191	Listed HSI JUN17 19200/24800 1/1 European Strangle REF 23,000 (NOV16)	400	24	Seller - Barclays Bank PLC - Jean-Guy Renard	HK\$6360
27-Oct-16	CELERAEQ-2016-13191	Listed HSI JUN17 19200/24800 1/1 European Strangle REF 23,000 (NOV16)	400	24	Seller - Barclays Bank PLC - Jean-Guy Renard	HK\$6360
27-Oct-16	CELERAEQ-2016-13191	Listed HSI JUN17 19200/24800 1/1 European Strangle REF 23,000 (NOV16)	400	24	Seller - Barclays Bank PLC - Jean-Guy Renard	HK\$6360

October 2016 Total

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