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# Synthesizing Grasp Configurations with Specified Contact Regions

Carlos Rosales<sup>1,2</sup>, Lluís Ros<sup>1</sup>, Josep M. Porta<sup>1</sup>, and Raúl Suárez<sup>2</sup>

## Abstract

*In this paper we present a new method to solve the configuration problem on robotic hands: determining how a hand should be configured so as to grasp a given object in a specific way, characterized by a number of hand–object contacts to be satisfied. In contrast to previous algorithms given for the same purpose, the method presented here allows such contacts to be specified between free-form regions on the hand and object surfaces, and always returns a solution whenever one exists. The method is based on formulating the problem as a system of polynomial equations of special form, and then exploiting this form to isolate the solutions, using a numerical technique based on linear relaxations. The approach is general, in the sense that it can be applied to any grasping mechanism involving lower-pair joints, and it can accommodate as many hand–object contacts as required. Experiments are included that illustrate the performance of the method in the particular case of the Schunk Anthropomorphic hand.*

## Keywords

*configuration problem, precision grasp, grasp planning, grasp synthesis, contact constraint, position analysis, inverse kinematics, anthropomorphic hand, prehension*

## 1. Introduction

Substantial effort has been devoted in robotics, thus far, to endow robots with the ability to grasp and dexterously manipulate objects with multifingered hands (Siciliano and Khatib 2008). Several aspects of this ability have been investigated, including (1) the determination of object contact points on which a form- or force-closure grasp (Bicchi 1995) is guaranteed (Diziolglu and Lakshminaryana 1984; Markenscoff et al. 1990; Ferrari and Canny 1992; Ponce et al. 1997; Cornellà and Suárez 2009); (2) the delimitation of object regions such that an arbitrary contact on these regions assures a force-/form-closure grasp (Nguyen 1988; Trinkle et al. 1995; Pollard 2004; Roa and Suárez 2009); (3) the computation of finger forces required to equilibrate an external force applied on the object (Kerr and Roth 1986; Kumar and Waldron 1989; Buss et al. 1996; Cornellà et al. 2008); (4) the planning of joint motions that would allow a stable and manipulable displacement of the object (Li et al. 1989; Bicchi and Kumar 2001; Arimoto 2007; Saut et al. 2007); or (5) the synthesis of hand configurations satisfying a number of grasping conditions (Borst et al. 2002; Guan and Zhang 2003; Gorce and Rezzoug 2005; Miller and Allen 2004; Rosell et al. 2005; Ciocarlie and Allen 2009).

In this paper we address a problem within the latter aspect. Given a number of regions on the surface of the hand, and a number of corresponding regions on the surface of the object, determine how the hand should be configured relative to the object so that each hand region establishes contact on its corresponding object region. Figure 1

illustrates the problem with an example. This problem, referred to as the *configuration problem* hereafter, arises when the object is to be grasped and manipulated in a specific way, characterized by a number of contact constraints to be satisfied (Borst et al. 2002; Guan and Zhang 2003; Gorce and Rezzoug 2005; Rosell et al. 2005). While the object regions may be the outcome of an algorithm for contact region delimitation (Nguyen 1988; Trinkle et al. 1995; Pollard 2004; Roa and Suárez 2009), those on the hand may derive from known patterns of static prehension (Kamakura et al. 1980), and the pairing between object and hand regions may be done using representative points on each region (Woelfl and Pfeiffer 1994; Fernández et al. 2005).

The configuration problem has mostly been addressed with local search methods to date. Examples of such methods include those proposed by Borst et al. (2002), who cast the problem into one of unconstrained optimization where the various constraints are introduced as penalty terms in an objective function, by Gorce and Rezzoug (2005), who rely on a neural network to learn the finger inverse kinematics,

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**Fig. 1.** A typical grasp configuration for a scalpel can be specified by requiring the contact of regions  $h_1, \dots, h_4$  of the hand, with regions  $o_1, \dots, o_4$  on the object (top). The configuration problem is to determine how should the hand be configured relative to the object, in order to bring the hand regions into contact with their corresponding object regions (bottom).

and later employ reinforcement learning to optimize the pose of the hand, and by Rosell et al. (2005), who propose an iterative method to compute joint displacements that maximally reduce the distance from the fingertips to the contact points. Although such methods are usually fast and return a solution in many cases, their convergence is not always guaranteed, even if a solution exists. Some of such methods, moreover, require a sufficiently good initial estimation of the solution (Borst et al. 2002; Rosell et al. 2005), which might not always be available.

This work attempts to find a way around such limitations by proposing a new algorithm of guaranteed convergence, i.e. one that always provides a solution whenever one exists. This algorithm, which extends one introduced by Rosales et al. (2008), does not require an initial estimation of the solution and can, in fact, solve a superclass of the configuration problems dealt with by Borst et al. (2002), Gorce and Rezzoug (2005), Rosell et al. (2005), and Rosales et al. (2008), because all contact constraints considered in such works can be seen as particular cases of more general ones tractable herein.

The proposed algorithm is based on formulating the problem as a system of polynomial equations of special form, and then exploiting such form to solve the equations, using an extended version of a recent method based on linear relaxations (Porta et al. 2009). It must be noted that, whereas the algorithm in Porta et al. (2009) can deal with lower-pair mechanisms of general structure, it cannot be directly applied to the configuration problem of mechanical hands, because it is unable to cope with general contact

constraints between free-form surfaces. Here, we extend that algorithm to be able to specify such constraints between Bézier patches defined anywhere on the object or on the hand, and to solve the corresponding equations.

The rest of this paper is organized as follows. To see which constraints come into play, Section 2 reviews the kinematic structure of existing anthropomorphic hands and describes how the hand–object contacts are specified. Section 3 shows that, reflecting such constraints, the configuration problem can be formulated as a system of polynomial equations. Section 4 presents a numerical method to isolate the solutions of this system. Section 5 illustrates the performance of the approach on the particular case of the Schunk Anthropomorphic (SA) hand—a commercial version of the DLR II hand (Butterfass et al. 2004)—on various tasks requiring an object to be grasped in a special way. Finally, Section 6 gives the paper conclusions and highlights points deserving further attention.

## 2. The Hand–Object System

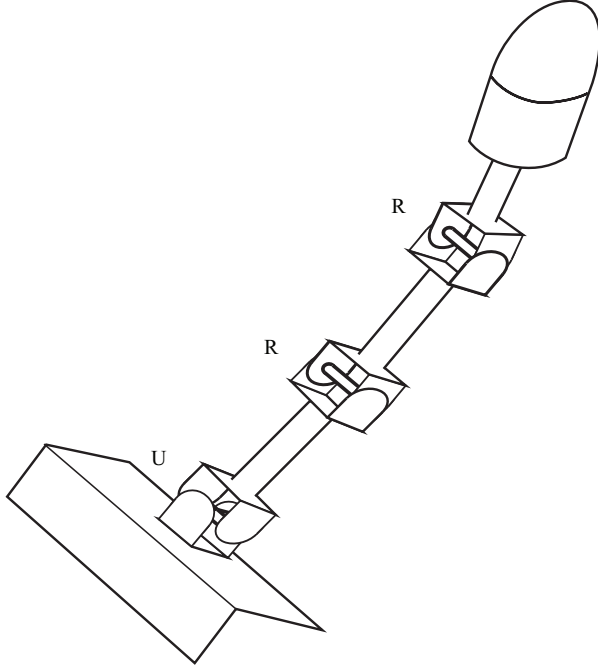
### 2.1. Structure of the Hand

Although each anthropomorphic hand follows a particular design, all hands are in general made up of a palm and several fingers, one of them acting as the thumb. Usually, all fingers are aligned with each other and with the palm, except the thumb, which is mounted asymmetrically so that it can push against the other fingers. Each finger is composed of several phalanges, usually articulated through revolute (R) or universal (U) joints, whose degrees of freedom may be actuated, unactuated, or coupled to those of other joints. Mechanical limitations usually exist, that constrain these degrees of freedom to take values within prescribed ranges.

Many finger designs follow an URR structure or slight variations of it. This structure closely mimics that of the human finger (Napier 1993). It mounts a universal joint at the finger base, to model the metacarpophalangeal joint, and two additional revolute joints, to model the proximal and distal interphalangeal joints (Figure 2). The axis of the U joint that is fixed to the palm is responsible for abduction/adduction movements, and the remaining axes, which are usually parallel, are responsible for flexion/extension movements of the finger.

The thumb structure is more diverse and controversial (Giurintano et al. 1995; Valero-Cuevas et al. 2003). Designs are found where the thumb adopts the same structure as that of the remaining fingers, which facilitates the construction of the hand. Other designs either decrease or increase the mobility of the thumb, by removing or adding joints with respect to the basic URR design. In all cases, however, the tip of the thumb is allowed to face all other fingertips, so as to be able to grasp and manipulate objects under stable prehensions. A summary of representative hand designs adopted during the last decade is provided in Table 1.

Note that, to reduce the number of motors necessary to actuate the hand, many hands have coupled degrees of freedom. The coupling of two joints A and B is indicated as  $\underline{AB}$  in Table 1, meaning that a rotation about an axis of A



**Fig. 2.** Common URR structure of an anthropomorphic finger.

produces an identical rotation about an axis of B. On a coupling UR only the parallel axes are coupled.

## 2.2. Contact Constraint Specification

The contact constraints to be fulfilled are assumed to be given as a collection of pairs  $(h_c, o_c)$ ,  $c = 1, \dots, b$ , where  $h_c$  and  $o_c$  are two-dimensional regions on the hand and object surfaces, respectively. The constraint  $(h_c, o_c)$  is meant to require the contact of  $h_c$  and  $o_c$  at some point, with the normals to  $h_c$  and  $o_c$  aligned at such point, to avoid the interpenetration of the regions.

By convention,  $h_c$  and  $o_c$  are assumed to be given as polynomial patches. That is, it is assumed that a polynomial function of the form

$$\mathbf{p} = \mathbf{p}(u, v), \quad (1)$$

is given for each region, providing the parametric coordinates  $\mathbf{p} = (p_x, p_y, p_z)$  of a point  $P$  in the region, in terms of some scalar parameters  $u$  and  $v$ , bound to lie within the interval  $[0, 1]$ . To properly align the normals of  $h_c$  and  $o_c$ , the parameterization  $\mathbf{p}(u, v)$  is supposed to be non-degenerate, in the sense that, if  $\mathbf{p}_u$  and  $\mathbf{p}_v$  are the partial derivatives of  $\mathbf{p}(u, v)$  with respect to  $u$  and  $v$ , then the normal vector to the patch, defined as

$$\mathbf{n} = \mathbf{p}_u \times \mathbf{p}_v, \quad (2)$$

never vanishes for  $(u, v) \in [0, 1] \times [0, 1]$ .

For ease of explanation,  $\mathbf{p}(u, v)$  will adopt the form of a standard Bézier patch of some given degree  $M \times N$ ,

$$\mathbf{p}(u, v) = \sum_{i=0}^M \sum_{j=0}^N \mathbf{b}_{i,j} \cdot B_{i,M}(u) \cdot B_{j,N}(v), \quad (3)$$

where  $\mathbf{b}_{i,j}$  denote the Bézier control points of the patch, and

$$B_{i,j}(x) = \binom{j}{i} x^i (1-x)^{j-i}$$

is the  $i$ th Bernstein polynomial of degree  $j$ . Note that any polynomial parameterization  $\mathbf{p}(u, v)$  can be converted into such form, by using an appropriate change of basis (Farin 2001).

## 3. Kinematic Equations

The configuration problem can be formulated as a number of constraints that the poses of the hand and object links must fulfill. This section formulates such constraints mathematically, following the methodology proposed by Porta et al. (2009). Once gathered together, the constraints form a system of polynomial equations characterizing all possible solutions of the configuration problem. The special structure of this system will be beneficial to solve the problem numerically, as will be shown in Section 4.

### 3.1. Link Constraints

It will be convenient to label the hand and object links as  $L_0, L_1, \dots, L_n$ , where  $L_0$  is the palm link,  $L_1, \dots, L_{n-1}$  are the various phalange links, and  $L_n$  is the object link. The joints of the hand will also be labelled for reference, as  $J_1, \dots, J_m$ .

Each link  $L_l$ ,  $l = 0, \dots, n$ , will be furnished with a local reference frame  $\mathcal{F}_l$ , and we will let the reference frame of the palm link,  $\mathcal{F}_0$ , act as the absolute frame. Moreover, each frame will have an associated vector basis, and we will write  $\mathbf{v}^{\mathcal{F}_l}$  to refer to the coordinates of vector  $\mathbf{v}$ , written in the basis of  $\mathcal{F}_l$ . Vectors with no superscript will either be expressed in the basis of the absolute frame, or in no particular frame, depending on the context.

With the previous notation, a *configuration* of the hand-object system will be an assignment of a *pose*  $(\mathbf{r}_l, \mathbf{R}_l)$  to each link  $L_l$ ,  $l = 1, \dots, n$ , where  $\mathbf{r}_l \in \mathbb{R}^3$  is the position of the origin of  $\mathcal{F}_l$  with respect to  $\mathcal{F}_0$ , and  $\mathbf{R}_l$  is a  $3 \times 3$  rotation matrix giving the orientation of  $\mathcal{F}_l$  relative to  $\mathcal{F}_0$ . The elements of the rotation matrices are not independent, because if  $\mathbf{R}_l$  has the form  $(\hat{\mathbf{c}}_l, \hat{\mathbf{d}}_l, \hat{\mathbf{e}}_l)$ , then it must be

$$\|\hat{\mathbf{c}}_l\|^2 = 1, \quad (4)$$

$$\|\hat{\mathbf{d}}_l\|^2 = 1, \quad (5)$$

$$\hat{\mathbf{c}}_l \cdot \hat{\mathbf{d}}_l = 0, \quad (6)$$

$$\hat{\mathbf{c}}_l \times \hat{\mathbf{d}}_l = \hat{\mathbf{e}}_l, \quad (7)$$

for  $l = 1, \dots, n$ , in order for  $\mathbf{R}_l$  to represent a valid rotation. Note that the joints, the contacts, and the mechanical limits impose additional constraints on the link poses. These constraints are next formulated explicitly.

### 3.2. Joint Assembly Constraints

Since most hand designs only resort to revolute or universal joints (Table 1), we focus on formulating the constraints

**Table 1.** Representative Hand Designs Adopted During the Last Decade

Hand	DOF	Finger designs				
		Little	Ring	Middle	Index	Thumb
DIST hand (Caffaz and Cannata 1998)	16	–		URR		
Robonaut hand (Lovchik and Diftler 1999)	12	RRRR		URR		UR
LMS hand (Gazeau et al. 2001)	16	–		URR		
Ultralight Anthropomorphic hand (Schulz et al. 2001)	10		RRR			URR
GIFU II hand (Kawasaki et al. 2002)	16		URR			URR
Shadow Robot hand (Shadow Robot Company 2003)	18	RURR		URR		UUR
DLR II hand (Butterfass et al. 2004)	13	–		URR		RURR
UBH 3 hand (Lotti et al. 2004)	20			URR		
MA-I hand (Suárez and Grosch 2005)	16	–		URR		
SA hand ( <a href="http://www.schunk.com/">http://www.schunk.com/</a> )	13	–		URR		RURR
Twendy-One hand (Iwata and Sugano 2009)	13	–		URR		RUR

imposed by such joints, but other joint types would be formulated in a similar way (Porta et al. 2009).

In terms of spatial constraints, the assembly of two links  $L_j$  and  $L_k$ , through a revolute joint  $J_i$ , is equivalent to imposing the coincidence of two points,  $P_i$  and  $Q_i$ , and the alignment of two unit vectors,  $\hat{\mathbf{u}}_i$  and  $\hat{\mathbf{v}}_i$ , respectively fixed to  $L_j$  and  $L_k$  (Figure 3(a)). These two points and vectors are chosen on the axis of the joint, and they coalesce into a single point and vector when the two links are assembled (Figure 3(b)). The coincidence and alignment conditions can be written, respectively, as

$$\mathbf{r}_j + \mathbf{R}_j \mathbf{p}_i^{\mathcal{F}_j} = \mathbf{r}_k + \mathbf{R}_k \mathbf{q}_i^{\mathcal{F}_k}, \quad (8)$$

$$\mathbf{R}_j \hat{\mathbf{u}}_i^{\mathcal{F}_j} = \mathbf{R}_k \hat{\mathbf{v}}_i^{\mathcal{F}_k}, \quad (9)$$

where  $\mathbf{p}_i^{\mathcal{F}_j}$  and  $\mathbf{q}_i^{\mathcal{F}_k}$  refer to the position vectors of  $P_i$  and  $Q_i$  in frames  $\mathcal{F}_j$  and  $\mathcal{F}_k$ , respectively. The valid poses of the two links, hence, are those that fulfill Equations (8) and (9) simultaneously.

Similarly, if  $J_i$  is a universal joint, the valid poses of  $L_j$  and  $L_k$  are those that fulfill

$$\mathbf{r}_j + \mathbf{R}_j \mathbf{p}_i^{\mathcal{F}_j} = \mathbf{r}_k + \mathbf{R}_k \mathbf{q}_i^{\mathcal{F}_k}, \quad (10)$$

$$\mathbf{R}_j \hat{\mathbf{u}}_i^{\mathcal{F}_j} \cdot \mathbf{R}_k \hat{\mathbf{v}}_i^{\mathcal{F}_k} = 0, \quad (11)$$

where Equations (10) and (11) impose the coincidence of two points  $P_i$  and  $Q_i$ , and the orthogonality of two unit vectors  $\hat{\mathbf{u}}_i$  and  $\hat{\mathbf{v}}_i$ , respectively fixed on  $L_j$  and  $L_k$ . The points are located on the center of the universal joint, on  $\mathcal{F}_j$  and  $\mathcal{F}_k$ . The vectors are aligned with the axes of the joint on such frames (Figures 3(c) and (d)). Since vectors  $\mathbf{p}_i^{\mathcal{F}_j}$ ,  $\mathbf{q}_i^{\mathcal{F}_k}$ ,  $\hat{\mathbf{u}}_i^{\mathcal{F}_j}$ , and  $\hat{\mathbf{v}}_i^{\mathcal{F}_k}$  are known *a priori*, the only unknowns in Equations (8)–(11) are the poses of the two links ( $\mathbf{r}_j, \mathbf{R}_j$ ) and ( $\mathbf{r}_k, \mathbf{R}_k$ ).

### 3.3. Joint Limit Constraints

For a revolute joint  $J_i$  incident to links  $L_j$  and  $L_k$ , the relative angle between  $L_j$  and  $L_k$ , denoted  $\phi_i$ , is the angle between two unit vectors  $\hat{\mathbf{a}}_i$  and  $\hat{\mathbf{b}}_i$  orthogonal to the axis of  $J_i$ , fixed in  $L_j$  and  $L_k$ , respectively. Usually, due to the existence of mechanical limits,  $\phi_i$  can only take values within a prescribed interval which, using a proper location for  $\hat{\mathbf{a}}_i$  and  $\hat{\mathbf{b}}_i$ , can always be written in the form  $[-\alpha_i, \alpha_i]$ , with  $\alpha_i \in [0, \pi]$ . In our formulation, these limits can be taken into account by constraining the cosine of  $\phi_i$ . For this, we define a new variable  $c_i = \cos(\phi_i)$ , and observe that the constraint  $\phi_i \in [-\alpha_i, \alpha_i]$  is equivalent to the constraint  $c_i \in [\cos \alpha_i, 1]$ . Then we note that

$$c_i = \hat{\mathbf{a}}_i \cdot \hat{\mathbf{b}}_i, \quad (12)$$

where

$$\hat{\mathbf{a}}_i = \mathbf{R}_j \hat{\mathbf{a}}_i^{\mathcal{F}_j}, \quad (13)$$

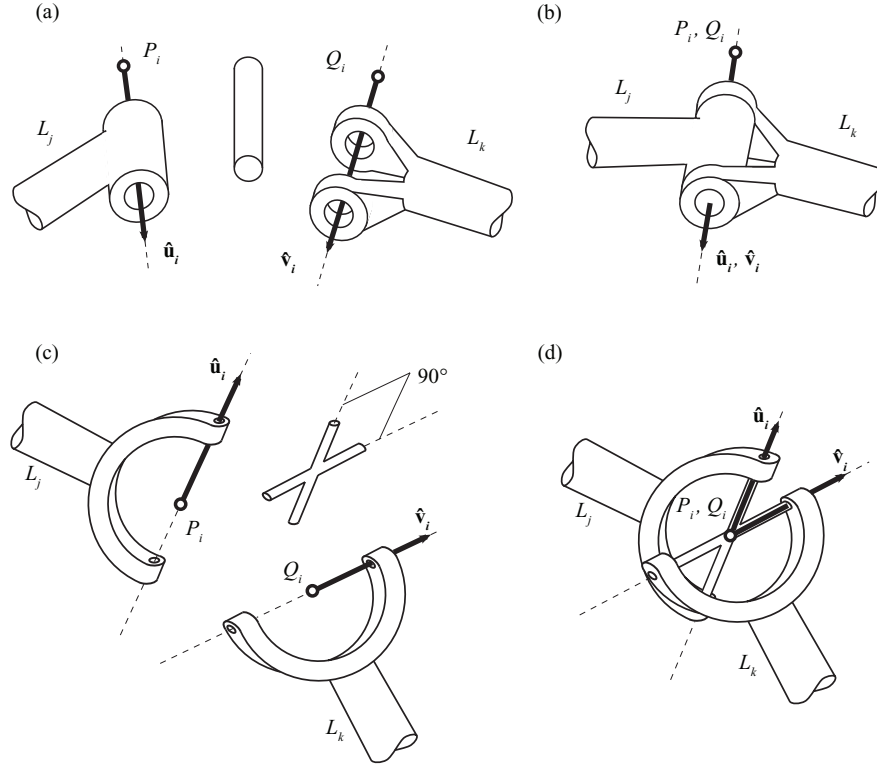
$$\hat{\mathbf{b}}_i = \mathbf{R}_k \hat{\mathbf{b}}_i^{\mathcal{F}_k}. \quad (14)$$

Thus, to constrain  $\phi_i$  to the range  $[-\alpha_i, \alpha_i]$  it is only necessary to add Equations (12)–(14) to the system to be solved, taking into account that  $c_i$  can only take values in the range  $[\cos \alpha_i, 1]$ . Joint limits for a universal joint can be imposed in a similar way.

### 3.4. Contact Constraints

Let us suppose that in the required grasp some hand link  $L_k$  is required to be in contact with the object link  $L_n$ , where the contact has to be established between given regions  $h_c$  and  $o_c$  defined on  $L_k$  and  $L_n$ , respectively (Figure 4). Let  $H_c \in h_c$  and  $O_c \in o_c$  be two points on such regions, with position vectors  $\mathbf{h}_c^{\mathcal{F}_k}$  and  $\mathbf{o}_c^{\mathcal{F}_n}$  relative to  $\mathcal{F}_k$  and  $\mathcal{F}_n$ ,





**Fig. 3.** (a), (b) The assembly of two links through a revolute joint is specified by imposing the coincidence of two points and the alignment of two vectors. (c), (d) The assembly through a universal joint is specified by imposing the coincidence of two points and the orthogonality of two vectors. (Figure adapted from Porta et al. (2009).)

respectively, and let  $\hat{\mathbf{m}}_c$  and  $\hat{\mathbf{n}}_c$  denote unit normal vectors to the link surface at such points. Then, the poses of  $L_k$  and  $L_n$  that bring the two regions in contact through  $H_c$  and  $O_c$  are those that fulfill

$$\mathbf{r}_k + \mathbf{R}_k \mathbf{h}_c^{\mathcal{F}_k} = \mathbf{r}_n + \mathbf{R}_n \mathbf{o}_c^{\mathcal{F}_n}, \quad (15)$$

$$\mathbf{R}_k \hat{\mathbf{m}}_c^{\mathcal{F}_k} = -\mathbf{R}_n \hat{\mathbf{n}}_c^{\mathcal{F}_n}, \quad (16)$$

where Equation (15) imposes the coincidence of  $H_c$  and  $O_c$ , and Equation (16) establishes the alignment of  $\hat{\mathbf{m}}_c$  and  $\hat{\mathbf{n}}_c$ .

All vectors and matrices in Equation (15) are unknowns. However, since  $H_c$  and  $O_c$  are bound to lie on  $h_c$  and  $o_c$ , the additional constraints

$$\mathbf{h}_c^{\mathcal{F}_k} = \mathbf{h}_c^{\mathcal{F}_k}(u_c, v_c), \quad (17)$$

$$\mathbf{o}_c^{\mathcal{F}_n} = \mathbf{o}_c^{\mathcal{F}_n}(s_c, t_c), \quad (18)$$

must be taken into account to properly formulate the contact, where  $\mathbf{h}_c^{\mathcal{F}_k}(u_c, v_c)$  and  $\mathbf{o}_c^{\mathcal{F}_n}(s_c, t_c)$  are parametric descriptions of regions  $h_c$  and  $o_c$ , given in the form of Equation (3). Note that the Bézier control points of the patches  $\mathbf{h}_c^{\mathcal{F}_k}(u_c, v_c)$  and  $\mathbf{o}_c^{\mathcal{F}_n}(s_c, t_c)$  must be given in frames  $\mathcal{F}_k$  and  $\mathcal{F}_n$ , respectively

Analogously, the unit vectors  $\hat{\mathbf{m}}_c^{\mathcal{F}_k}$  and  $\hat{\mathbf{n}}_c^{\mathcal{F}_n}$  in Equation (16) must also be related to the patch parameters. This relationship can be established by taking into account that, for a parametric patch  $\mathbf{p}(u, v)$  of the form of Equation (3), the normal vector  $\mathbf{n}(u, v)$  defined by Equation (2) can be written as

$$\mathbf{n}(u, v) = \sum_{i=0}^{2M-1} \sum_{j=0}^{2N-1} \mathbf{b}'_{i,j} \cdot B_{i,2M-1}(u) \cdot B_{j,2N-1}(v), \quad (19)$$

so that it can be thought of as a new Bézier patch, but now of degree  $(2M-1) \times (2N-1)$ . Explicit formulas for computing the control points  $\mathbf{b}'_{i,j}$  in this expression, in terms of the control points  $\mathbf{b}_{i,j}$  of  $\mathbf{p}(u, v)$ , are given by Yamaguchi (1997). Thus,  $\hat{\mathbf{m}}_c^{\mathcal{F}_k}$  and  $\hat{\mathbf{n}}_c^{\mathcal{F}_n}$  can be related to the patch parameters by defining two unnormalized vectors  $\mathbf{m}_c^{\mathcal{F}_k}$  and  $\mathbf{n}_c^{\mathcal{F}_n}$ , and their norms  $\mu_c$  and  $\nu_c$ , placed in correspondence with  $\hat{\mathbf{m}}_c^{\mathcal{F}_k}$  and  $\hat{\mathbf{n}}_c^{\mathcal{F}_n}$  through the constraints

$$\mu_c^2 = \|\mathbf{m}_c^{\mathcal{F}_k}\|^2, \quad (20)$$

$$\nu_c^2 = \|\mathbf{n}_c^{\mathcal{F}_n}\|^2, \quad (21)$$

$$\mathbf{m}_c^{\mathcal{F}_k} = \mu_c \hat{\mathbf{m}}_c^{\mathcal{F}_k}, \quad (22)$$

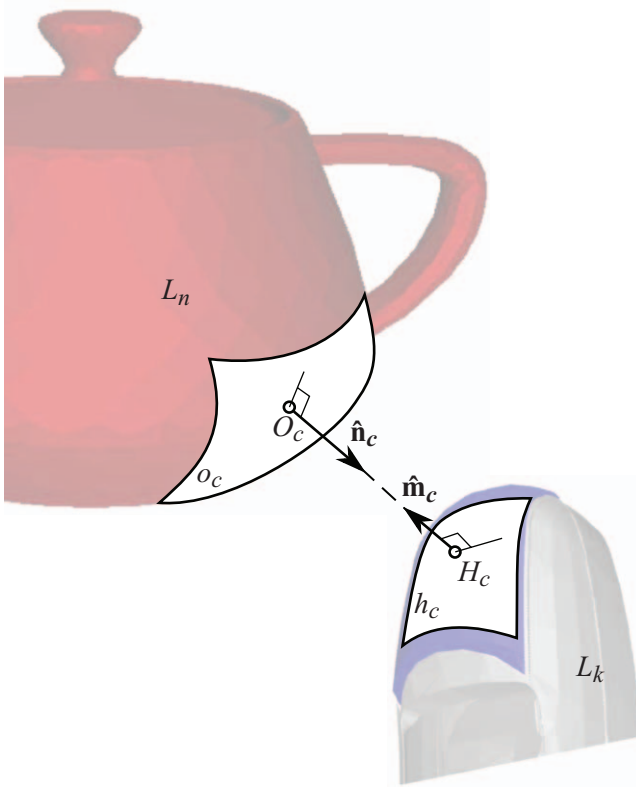
$$\mathbf{n}_c^{\mathcal{F}_n} = \nu_c \hat{\mathbf{n}}_c^{\mathcal{F}_n}, \quad (23)$$

and setting the additional constraints

$$\mathbf{m}_c^{\mathcal{F}_k} = \mathbf{m}_c^{\mathcal{F}_k}(u_c, v_c), \quad (24)$$

$$\mathbf{n}_c^{\mathcal{F}_n} = \mathbf{n}_c^{\mathcal{F}_n}(s_c, t_c), \quad (25)$$

whose right-hand sides follow the form of Equation (19).



**Fig. 4.** Elements intervening in a contact constraint  $(h_c, o_c)$ . The constraint is satisfied when points  $O_c \in o_c$  and  $H_c \in h_c$  coincide, with the normals on such points aligned.

### 3.5. Final System of Equations

Summarizing, the final system of equations defining the possible grasp configurations will be formed by Equations (4)–(7) for each link, Equations (8) and (9) for each revolute joint, Equations (10) and (11) for each universal joint, equations of the form of Equations (12)–(14) for each joint limit constraint, and Equations (15)–(18) and (20)–(25) for each contact constraint. Note that the variables involved in this system are as follows:

- The pose variables  $(\mathbf{r}_l, \mathbf{R}_l)$  corresponding to links  $L_l$ ,  $l = 1, \dots, n$ .
- The variables  $\hat{\mathbf{a}}_i$ ,  $\hat{\mathbf{b}}_i$ , and  $c_i$  corresponding to the joint limit constraints on all joints  $J_i$ ,  $i = 1, \dots, m$ .
- The contact point coordinates  $\mathbf{h}_c^{\mathcal{F}_k}$  and  $\mathbf{o}_c^{\mathcal{F}_n}$ , associated normal vectors  $\mathbf{m}_c^{\mathcal{F}_k}$ ,  $\mathbf{n}_c^{\mathcal{F}_n}$ ,  $\hat{\mathbf{m}}_c^{\mathcal{F}_k}$ ,  $\hat{\mathbf{n}}_c^{\mathcal{F}_n}$ , vector norms  $\mu_c$  and  $\nu_c$ , and parameters  $u_c$ ,  $v_c$ ,  $s_c$ , and  $t_c$ , corresponding to all contact constraints  $(h_c, o_c)$ ,  $c = 1, \dots, b$ .

It is worth mentioning that the  $\mathbf{r}_l$  variables of this system can actually be eliminated, through a process explained in detail by Porta et al. (2009). The elimination is based on the fact that, for a loop of links pairwise constrained by joint or contact constraints, Equations (8), (10), and (15) occurring along the loop can be substituted by an equivalent “loop-closure” equation which is their sum, which does not contain any of the  $\mathbf{r}_l$  variables. This process simplifies the system, and can always be invoked if desired, but the

numerical method that follows is equally applicable to both the original and the simplified system.

## 4. Numerical Solution

Let  $n_e$  and  $n_v$  be, respectively, the number of equations and variables of the final system described in Section 3.5. This system can be compactly written as

$$\Phi(\mathbf{q}) = \mathbf{0}, \quad (26)$$

where  $\mathbf{q} = (q_1, \dots, q_{n_v})$  refers to the vector of its variables, and  $\Phi: \mathbb{R}^{n_v} \rightarrow \mathbb{R}^{n_e}$  refers to the vector-valued function describing its equations. A numerical method able to solve this system is next described, based on the approach proposed by Porta et al. (2009). The approach entails expanding the equations to a canonical form (Section 4.1) and then using a branch-and-prune method exploiting this form to isolate the solutions (Sections 4.2 and 4.3).

### 4.1. Equation Expansion

We distinguish two groups of equations in the final system  $\Phi(\mathbf{q}) = \mathbf{0}$ . A first group encompassing Equations (17), (18), (24), and (25), whose polynomials follow the Bézier form of Equations (3) and (19), and a second group encompassing the remaining equations, whose polynomials only contain monomials of the form  $q_i$ ,  $q_i^2$  and  $q_i q_j$ . Note that all equations of the second group can be easily converted into linear form by introducing the changes of variables

$$p_i = q_i^2, \quad (27)$$

$$b_k = q_i q_j, \quad (28)$$

for all  $q_i^2$  and  $q_i q_j$  monomials occurring in them. After such changes, we obtain a new system of the form

$$\begin{cases} \Lambda(\mathbf{x}) = \mathbf{0} \\ \Psi(\mathbf{x}) = \mathbf{0} \end{cases}, \quad (29)$$

where  $\mathbf{x}$  is an  $n_x$ -dimensional vector encompassing all of the original  $q_i$  variables, and the newly introduced  $p_i$  and  $b_k$  variables. Here,  $\Lambda(\mathbf{x}) = \mathbf{0}$  represents a collection of linear equations in  $\mathbf{x}$ , and  $\Psi(\mathbf{x}) = \mathbf{0}$  represents a collection of equations, each of which can only adopt one of these three forms:

$$x_k = x_i^2, \quad (30)$$

$$x_k = x_i x_j, \quad (31)$$

$$x_k = f(x_i, x_j). \quad (32)$$

While the first two forms correspond to the changes of variables in Equations (27) and (28), the latter form corresponds to the scalar components of Equations (17), (18), (24), and (25), so that  $f(x_i, x_j)$  refers to a Bernstein-form polynomial of degrees  $d_i$  and  $d_j$  in  $x_i$  and  $x_j$ , respectively.

## 4.2. Equation Solving

It can be seen that, under the used formulation, each variable  $x_i$  of  $\mathbf{x}$  can only take values within a prescribed interval (Porta et al. 2009), so that the Cartesian product of all such intervals defines an initial  $n_x$ -dimensional box  $\mathcal{B} \subset \mathbb{R}^{n_x}$  which bounds all solutions of Equations (29). The algorithm to isolate such solutions recursively applies two operations on  $\mathcal{B}$ : *box shrinking* and *box splitting*.

Using box shrinking, portions of  $\mathcal{B}$  containing no solution are eliminated by narrowing some of its defining intervals. This process is repeated until either (1) the box is reduced to an empty set, in which case it contains no solution, or (2) the box is “sufficiently” small, in which case it is considered a *solution box*, or (3) the box cannot be “significantly” reduced, in which case it is bisected into two sub-boxes via box splitting (which simply bisects the box through its largest interval). To converge to all solutions, the whole process is recursively applied to the new sub-boxes, until one ends up with a collection of solution boxes, whose side lengths are below a given threshold  $\sigma$ .

As it turns out, this algorithm explores a binary tree of boxes, whose internal nodes correspond to boxes that have been split at some time, and whose leaves are either solution or empty boxes. By properly implementing the book-keeping of boxes awaiting to be processed, this tree can be explored either in depth- or breadth-first order, the choice of order depending on whether one wishes to isolate just one solution, or the entire solution set.

Note that the algorithm is complete, in the sense that the solution boxes it returns include all solution points of Equations (29). Thus, the algorithm will always succeed in isolating a solution, whenever one exists, provided that a small-enough value of the  $\sigma$  parameter is used. Detailed properties of the algorithm, together with examples of its output, are given by Porta et al. (2007, 2009).

## 4.3. Box Shrinking

We next see how a given sub-box  $\mathcal{B}_c \subseteq \mathcal{B}$  can be reduced, discarding portions of the box that contain no solution.

First, observe that the solutions of Equations (29) lying within  $\mathcal{B}_c \subseteq \mathcal{B}$  must lie on the linear variety defined by  $\Lambda(\mathbf{x}) = 0$ . Thus, in principle, we might shrink  $\mathcal{B}_c$  to the smallest possible box bounding this variety inside  $\mathcal{B}_c$ . The lower and upper limits of the shrunk box along dimension  $x_i$ ,  $i = 1, \dots, n_x$ , would respectively be found by solving the two linear programs

**LP1:** Minimize  $x_i$ , subject to :  $\Lambda(\mathbf{x}) = 0$ ,  $\mathbf{x} \in \mathcal{B}_c$ ,

**LP2:** Maximize  $x_i$ , subject to :  $\Lambda(\mathbf{x}) = 0$ ,  $\mathbf{x} \in \mathcal{B}_c$ .

The box  $\mathcal{B}_c$  may be further reduced, however, because the solutions must also satisfy the equations  $\Psi(\mathbf{x}) = 0$ . These equations can be taken into account by noting that for each equation it is possible to define a convex polytope that bounds the equation solutions within  $\mathcal{B}_c$ . Thus, to better delimit the solutions of the system,  $\mathcal{B}_c$  can be safely reduced to the smallest possible box enclosing the intersection of  $\Lambda(\mathbf{x}) = 0$  and the polytopes of all equations in

$\Psi(\mathbf{x}) = 0$ . This reduction can be implemented by representing the individual polytopes with linear inequalities, and adding such inequalities to the constraint set of the linear programs **LP1** and **LP2**. We next see how such polytopes can be derived, for each one of Equations (30)–(32). The notation  $[l_i, u_i]$  will refer to the interval of  $\mathcal{B}_c$  relative to  $x_i$ .

To derive a polytope for  $x_k = x_i^2$ , note that the portion of the parabola  $x_k = x_i^2$  lying within  $\mathcal{B}_c$  is bound by the triangle  $A_1A_2A_3$  in the  $x_i$ – $x_k$  plane, where  $A_1$  and  $A_2$  are the points where the parabola intercepts the lines  $x_i = l_i$  and  $x_i = u_i$ , and  $A_3$  is the point where the tangent lines at  $A_1$  and  $A_2$  meet (Figure 5(a)). Thus, the polytope of  $x_k = x_i^2$  is defined by the triangle  $A_1A_2A_3$ , which can be represented by three inequalities that correspond to the three edges of this triangle.

To derive a polytope for  $x_k = x_i x_j$ , we realize that the portion of the surface  $x_k = x_i x_j$  included in  $\mathcal{B}_c$  is bound by a tetrahedron  $B_1B_2B_3B_4$  in the  $x_i$ – $x_j$ – $x_k$  subspace, whose vertices  $B_i$  are obtained by lifting the four corners of the rectangle  $[l_i, u_i] \times [l_j, u_j]$  vertically to the surface  $x_k = x_i x_j$  (Figure 5(b)). Thus, the polytope of  $x_k = x_i x_j$  is defined by the tetrahedron  $B_1B_2B_3B_4$ , and can be represented by four inequalities, corresponding to the four faces of this tetrahedron.

Finally, to derive a polytope for  $x_k = f(x_i, x_j)$ , we resort to the subdivision and convex-hull properties of Bernstein polynomials (Farin, 2001). Using the subdivision property, on the one hand,  $f(x_i, x_j)$  is written in the form

$$f(x_i, x_j) = \sum_{p=0}^{d_i} \sum_{q=0}^{d_j} b_{p,q} \cdot B_{p,d_i}(x_i) \cdot B_{q,d_j}(x_j),$$

where the scalars  $b_{p,q}$  are the so-called control points of  $f(x_i, x_j)$  relative to the interval  $[l_i, u_i] \times [l_j, u_j]$ . Using the convex-hull property, on the other hand, we know that the surface  $x_k = f(x_i, x_j)$  must be contained inside the convex hull of the 3D points  $C_{pq}$  with coordinates

$$\mathbf{c}_{pq} = \left( l_i + \frac{p}{d_i}(u_i - l_i), l_j + \frac{q}{d_j}(u_j - l_j), b_{p,q} \right), \quad (33)$$

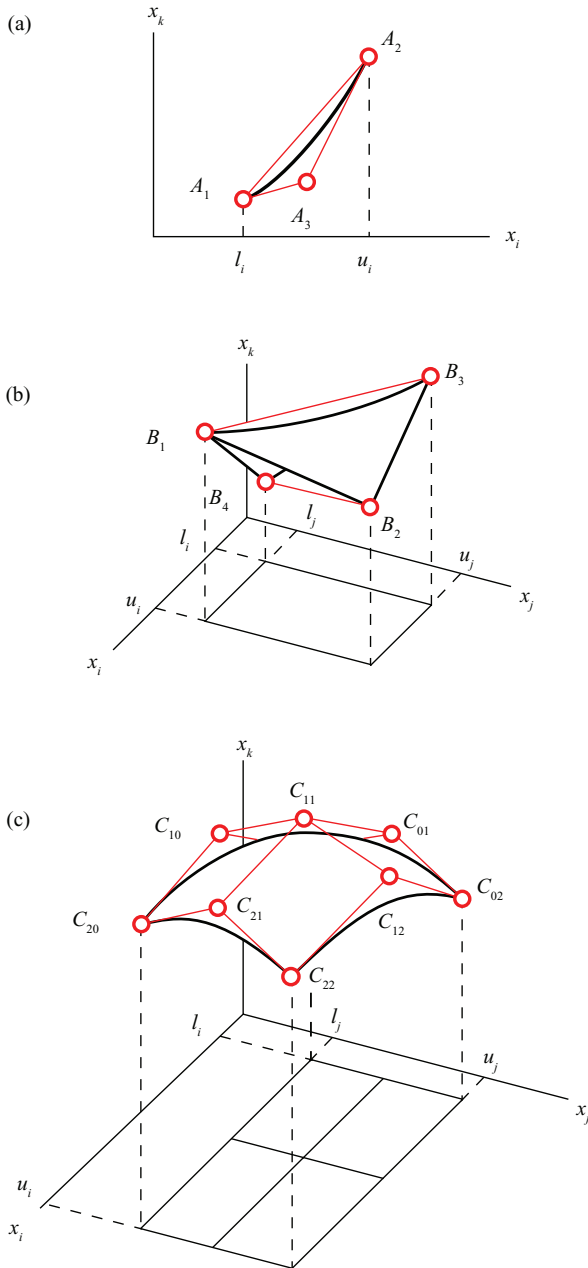
for  $p = 0, \dots, d_i$  and  $q = 0, \dots, d_j$  (Figure 5(c)). This convex hull defines a polytope for equation  $x_k = f(x_i, x_j)$ , which can be encoded as a set of inequalities by resorting to an algorithm for convex-hull computations (Barber et al. 1996).

## 5. Experiments

The presented method has been implemented in C, extending the libraries of the CUIK platform (Porta et al. 2009). This section illustrates the performance of the method under this implementation, on various experiments where an object needs to be grasped in a particular way, in order to fulfill a given task.

The experiments involve the solution of various configuration problems defined on a scalpel, a teapot, and a guitar, where each problem involves a number of regions





**Fig. 5.** Polytope bounds within  $\mathcal{B}_c$ . (a) The points on  $x_k = x_i^2$  are bound by the triangle  $A_1A_2A_3$ . (b) The points on  $x_k = x_ix_j$  are bound by the tetrahedron  $B_1B_2B_3B_4$ . (c) The points on  $x_k = f(x_i, x_k)$  are bound by the convex hull of the points  $C_{pq}$ . In this example,  $f(x_i, x_k)$  is a Bernstein-form polynomial of degree two in  $x_i$  and  $x_j$ , so that the control points  $C_{pq}$  form a grid of size  $3 \times 3$ .

to be placed in contact, imposed by the specific requirements of the task to be accomplished with the object (Table 2). In all experiments, the SA hand has been used to grasp the objects (Figure 6), but the presented methodology is equally applicable to any other hand. While the area of all contact regions defined on the hand is approximately 40% of the fingertip area (the dark patches on the upper limbs in Figure 6(b)), the area of the contact regions on the object varies from experiment to experiment, from 2% of the fingertip area on the teapot knob (“lid lifting”

experiment), to 9,000% of such area on the guitar neck (“playing” experiment).

We next explain how the equations of the hand can be set up, and later discuss the algorithm’s performance on the mentioned experiments.

### 5.1. Equations for the SA Hand

The SA hand is composed of four identical fingers that follow the anthropomorphic structure illustrated in Figure 2. Three of these fingers are directly mounted on the palm, and act as ring, middle, and index fingers. The fourth finger is mounted on an intermediate link articulated with the palm through a revolute joint, which allows this finger to act as a thumb (Figure 6). The hand has a total of 14 links (1 palm and 13 phalanges) and 13 joints (9 revolute joints and 4 universal joints).

To set up the equations, the links of the hand are labelled as  $L_0, \dots, L_{13}$ , as shown in Figure 6, and the joints as  $J_1, \dots, J_{13}$ , (for clarity, joint labels are not shown in Figure 6). Twenty-six points and unit vectors are then defined, that provide the positions and orientations of all rotation axes of the hand relative to the involved links. The points correspond to the centers of the universal joints and to the midpoints of the revolute joints. The vectors correspond to unit vectors aligned with the rotation axes of the joints. These points and vectors are displayed in Figure 6 and their coordinates are given in Table 3, in millimeters. All reference frames  $\mathcal{F}_l$  are located with their origin in  $Q_l$ , so that  $\mathbf{q}_l^{\mathcal{F}_l} = (0, 0, 0)$ , for  $l = 0, \dots, 13$ . The orientations of such frames can be deduced easily from the coordinates provided in Table 1. Taking into account these definitions, Equations (4)–(11) can readily be written for all of the links and joints involved.





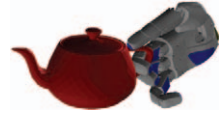




To write down the equations of Section 3.3, the mechanical limits of the SA hand must be considered. Regarding the universal joints, the rotations about their  $\hat{\mathbf{u}}_i$  and  $\hat{\mathbf{v}}_i$  axes are limited to the ranges  $[-15^\circ, 15^\circ]$  and  $[-4^\circ, 75^\circ]$ , respectively. Regarding the revolute joints, all of them can only rotate in the range  $[4^\circ, 75^\circ]$ , except for the revolute joint at the base of the thumb, which is restricted to the range  $[0^\circ, 90^\circ]$ . The reference configuration corresponding to setting all rotation angles to zero is shown in Figure 6(b).

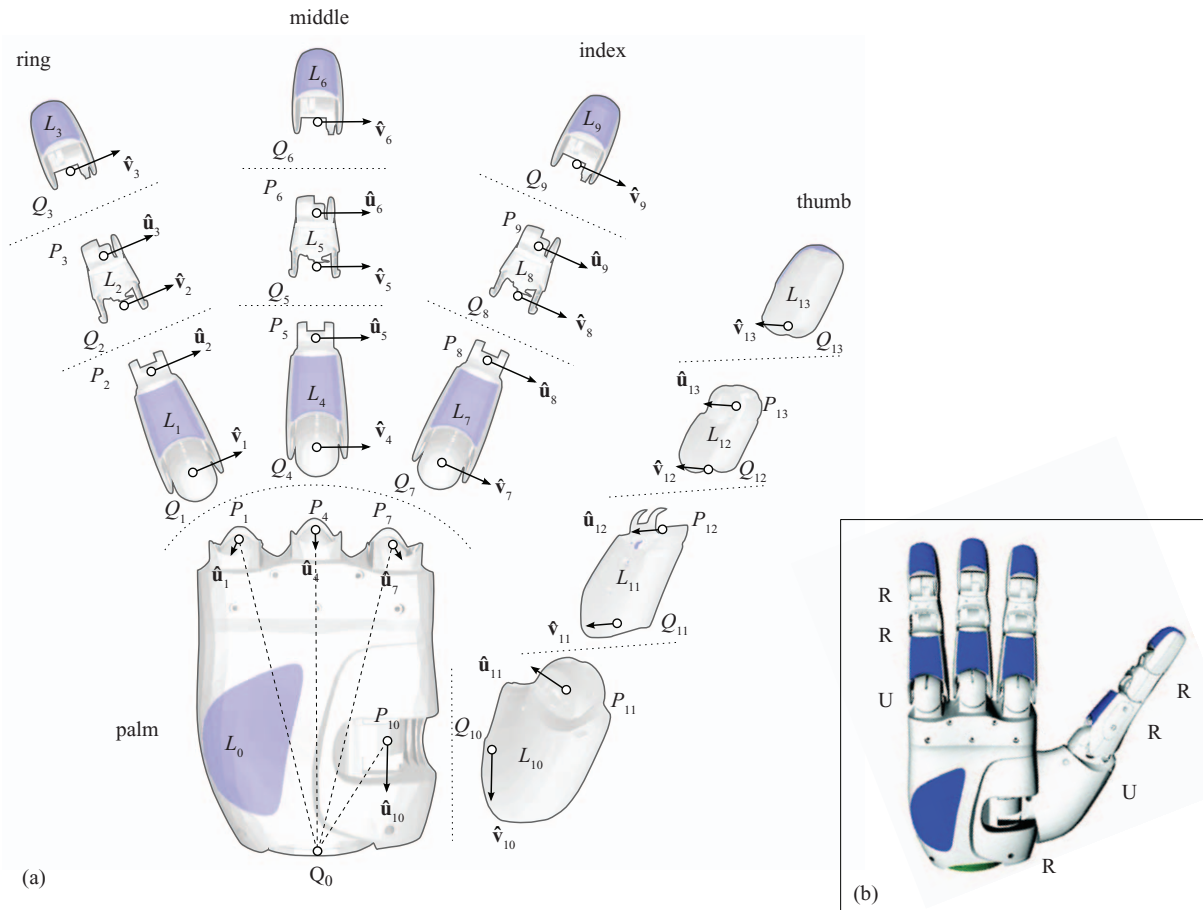
Finally, it must be taken into account that not all joints of the SA hand are actuated independently. The two distal joints of each finger are coupled, so that when one of such joints is actuated, a rotation of the same angle about the other is produced. In the adopted formulation, the coupling of two rotation angles is simply imposed by equating the sine and cosine of such angles.

### 5.2. Computed Solutions

A system of equations has to be solved for each task of Table 2, encompassing the equations of the SA hand, together with the contact equations that impose the specific requirements of the task. It must be noted that Equations (4)–(11) relative to fingers not in contact with the object can actually be removed from this system, because such fingers

**Table 2.** Benchmark Configuration Problems and Their Computed Solutions

Number of contacts	2	3	4
	Slightly constrained	Moderately constrained	Highly constrained
Object	Scalpel		
Task name	Upholding	Handling	Incision
Task requirements	The task requires picking the scalpel up by means of a two-fingered grasp, using the index finger and the thumb.	The task requires handling the scalpel delicately using the middle finger, the thumb, and the palm.	The hand must contact the scalpel as in a usual grasping of a pencil, using the middle and index fingers, the thumb, and the palm.
$n_v, n_e, d$	219,209,17	243,235,19	331,324,18
Computed solution			
CPU time (s)	106	255	418
Object	Teapot		
Task name	Lid lifting	Service	Transportation
Task requirements	The lid must be pulled up through its knob using a two-fingered grasp involving the index finger and the thumb.	The hand is required to hold the teapot by its handle, placing the thumb on top of the handle, while the index and middle fingers embrace the handle.	The palm has to contact the bottom of the teapot, while the index, middle, and ring fingers enclose the teapot so that it does not slide out of the hand.
$n_v, n_e, d$	219,209,17	288,278,19	312,305,17
Computed solution			
CPU time (s)	114	262	375
Object	Guitar		
Task name	Tuning	Playing	Holding
Task requirements	The tuning task requires the hand to grasp a given key of the guitar with the index finger and the thumb, in order to tune the tension of the corresponding string.	The fingertips must contact at specified strings and frets in order to perform a given chord, while the thumb contacts the guitar neck.	The task requires an almost whole-hand grasp of the guitar, on a specific region where the guitar can not be damaged while being transported.
$n_v, n_e, d$	219, 209, 17	307, 298, 19	331, 324, 18
Computed solution			
CPU time (s)	68	229	664



**Fig. 6.** Geometric parameters (a) and reference configuration (b) of the Schunk Anthropomorphic hand. The various joint types are indicated in (b).

**Table 3.** Parameters of the Schunk Anthropomorphic Hand

Joint type	Ring		Middle		Index		Thumb	
	Parameter	Value	Parameter	Value	Parameter	Value	Parameter	Value
R	$\mathbf{p}_3^{\mathcal{F}_2}$	(30, 0, 0)	$\mathbf{p}_6^{\mathcal{F}_4}$	(30, 0, 0)	$\mathbf{p}_9^{\mathcal{F}_7}$	(30, 0, 0)	$\mathbf{p}_{13}^{\mathcal{F}_{12}}$	(30, 0, 0)
	$\hat{\mathbf{u}}_3^{\mathcal{F}_2}$	(0, 1, 0)	$\hat{\mathbf{u}}_6^{\mathcal{F}_5}$	(0, 1, 0)	$\hat{\mathbf{u}}_9^{\mathcal{F}_8}$	(0, 1, 0)	$\hat{\mathbf{u}}_{13}^{\mathcal{F}_{12}}$	(0, 1, 0)
	$\hat{\mathbf{v}}_3^{\mathcal{F}_3}$	(0, 1, 0)	$\hat{\mathbf{v}}_6^{\mathcal{F}_6}$	(0, 1, 0)	$\hat{\mathbf{v}}_9^{\mathcal{F}_9}$	(0, 1, 0)	$\hat{\mathbf{v}}_{13}^{\mathcal{F}_{13}}$	(0, 1, 0)
R	$\mathbf{p}_2^{\mathcal{F}_1}$	(67.80, 0, 0)	$\mathbf{p}_5^{\mathcal{F}_4}$	(67.80, 0, 0)	$\mathbf{p}_8^{\mathcal{F}_7}$	(67.80, 0, 0)	$\mathbf{p}_{12}^{\mathcal{F}_{11}}$	(67.80, 0, 0)
	$\hat{\mathbf{u}}_2^{\mathcal{F}_1}$	(0, 1, 0)	$\hat{\mathbf{u}}_5^{\mathcal{F}_4}$	(0, 1, 0)	$\hat{\mathbf{u}}_8^{\mathcal{F}_7}$	(0, 1, 0)	$\hat{\mathbf{u}}_{12}^{\mathcal{F}_{11}}$	(0, 1, 0)
	$\hat{\mathbf{v}}_2^{\mathcal{F}_2}$	(0, 1, 0)	$\hat{\mathbf{v}}_5^{\mathcal{F}_5}$	(0, 1, 0)	$\hat{\mathbf{v}}_8^{\mathcal{F}_8}$	(0, 1, 0)	$\hat{\mathbf{v}}_{12}^{\mathcal{F}_{12}}$	(0, 1, 0)
U	$\mathbf{p}_1^{\mathcal{F}_0}$	(-4.30, -40.16, 145.43)	$\mathbf{p}_4^{\mathcal{F}_0}$	(-4.30, 0, 145.43)	$\mathbf{p}_7^{\mathcal{F}_0}$	(-4.30, 40.16, 145.43)	$\mathbf{p}_{11}^{\mathcal{F}_{10}}$	(97, 6, -87)
	$\hat{\mathbf{u}}_1^{\mathcal{F}_0}$	(1, 0, 0)	$\hat{\mathbf{u}}_4^{\mathcal{F}_0}$	(1, 0, 0)	$\hat{\mathbf{u}}_7^{\mathcal{F}_0}$	(1, 0, 0)	$\hat{\mathbf{u}}_{11}^{\mathcal{F}_{10}}$	(cos 55°, 0, sin 55°)
	$\hat{\mathbf{v}}_1^{\mathcal{F}_1}$	(0, 1, 0)	$\hat{\mathbf{v}}_4^{\mathcal{F}_4}$	(0, 1, 0)	$\hat{\mathbf{v}}_7^{\mathcal{F}_7}$	(0, 1, 0)	$\hat{\mathbf{v}}_{11}^{\mathcal{F}_{11}}$	(0, 1, 0)
R							$\mathbf{p}_{10}^{\mathcal{F}_0}$	(-3, 27.10, 0)
							$\hat{\mathbf{u}}_{10}^{\mathcal{F}_0}$	(0, 0, -1)
							$\hat{\mathbf{v}}_{10}^{\mathcal{F}_{10}}$	(1, 0, 0)

do not intervene in any kinematic loop, and hence impose no loop-closure constraint on the overall system.

Table 2 provides the size of the equation system (26) to be solved in each case, in terms of the number of variables ( $n_v$ ) and equations ( $n_e$ ) it involves, and the dimension of its

solution space ( $d$ ), predicted as the number of variables minus the number of non-redundant equations. Note in this regard that Equation (9) introduces equations that are redundant in terms of predicting such dimension, because  $\hat{\mathbf{u}}_i$  and  $\hat{\mathbf{v}}_i$  are unit vectors, and it is sufficient to establish the

$x$  and  $y$  components of Equation (9) to determine the alignment of  $L_k$  relative to  $L_j$ . The third component of Equation (9), however, is needed to remove a sign ambiguity in such alignment. Since a similar redundancy is introduced by Equation (16), there will be as many redundant equations as the number of joints and contacts involved in the problem at hand.

As it can be observed from Table 2, typical configuration problems yield solution spaces of rather high dimension. To avoid the curse of dimensionality as much as possible, and converge to one solution rapidly, the proposed algorithm must be set to explore in depth-first order (Section 4.2). Running the algorithm in this order, we have obtained the hand-object configurations depicted, in the CPU times indicated in each case. All times reported correspond to a parallelized version of the algorithm, executed on a grid of eight Dell Poweredge computers, equipped with two Intel Quadcore Xeon E5310 processors and each with 4 GB RAM, using a threshold of  $\sigma = 0.1$ . Note that the cost of computing a solution increases with the number of contact constraints to be satisfied. This is because the size of the linear programs to be solved during box shrinking is proportional to the number of polytope inequalities introduced by such constraints, which increases the cost of each iteration of the algorithm (Section 4.3).

## 6. Conclusions

In this paper we have presented a new solution to the configuration problem of robotic hands. When compared with other approaches to this problem, the proposed method is always guaranteed to converge to a solution whenever one exists. An additional feature of the method is its ability to deal with general region-to-region contact constraints, as opposed to point-to-region (Borst et al. 2002; Rosell et al. 2005) or point-to-point (Gorce and Rezzoug 2005) constraints, and the possibility to define the involved regions as general Bézier patches, to better adapt the regions to the real surfaces of the hand and object considered.

The method performs sufficiently well and returns problem solutions in reasonable times. Such times are arguably large, however, so as to allow the execution of the method in real-time robotic platforms devoted to manipulation tasks. Instead, the approach is more suitable to off-line computations in the context of grasp planning, where efforts are being made to develop standard databases of graspable objects, along with corresponding sets of stable grasps for each object. Algorithms already exist that exploit such precomputed grasps to produce proper grasps of objects perceived on-line (Goldfeder et al. 2009).

Although the focus of the work has been on dealing with the kinematic and contact constraints inherent to the hand-object system, the approach seems to be versatile enough so as to accommodate the treatment of additional constraints arising in grasp synthesis, such as stability or dexterity constraints (Siciliano and Khatib 2008, Chapter 28). An interesting point for further research, thus, would be to explore the possibility of formulating such constraints in the form required by the method, to

be able to synthesize grasp configurations satisfying all constraints simultaneously.

It is worth noting that, as defined, the configuration problem does not account for collision avoidance constraints. While such constraints might in principle be added to Equation (26), this would considerably increase the size of the linear programs to be solved (Section 4.3), with the consequent increase in execution time. As an alternative, one can initially ignore collision constraints, and then use retraction techniques to try to eliminate object penetrations later on (Zhang and Manocha 2008), should such penetrations occur on the returned configuration. Clearly, this is another point deserving further attention.

Finally, further work also needs to be done on automating the process of deciding which object regions should be placed in contact with which hand regions. While some heuristic methods have been proposed for the case in which such regions are isolated points (Woelfl and Pfeiffer 1994; Fernández et al. 2005), algorithms able to cope with general free-form regions are still to be developed.

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