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Studying the logging capability of Windows Telemetry component using Reverse Engineering

Tesis de Licenciatura en Ciencias de la Computación

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Buenos Aires, 2019

ABSTRACT (ENGLISH VERSION)

Windows, one of the most popular OS, has a component called Telemetry. It collects information from the system with the goal of analyzing and fixing software & hardware problems, improving the user experience, among others. The kind of information that can be obtained by this component is partially configurable in four different levels: security, basic, enhanced and full, being "security" the level where less information is gathered and "full" the opposite case.

How Telemetry stores/process/administrates the information extracted? It employs a widely used framework called Event Tracer for Windows (ETW) [4]. Embedded not only in userland application but also in the kernel modules, the ETW framework has the goal of providing a common interface to log events and therefore help to debug and log system operations, by instrumenting it.

In this work, we are going to analyze a part of the Windows kernel to better understand how Telemetry works from an internal perspective. This work will make windows analysts, IT admins or even windows users, more aware about the functionality of the Telemetry component helping to deal with privacy issues, bug fixing, knowledge of collected data, etc. Our analysis implies performing reverse engineering [5],[6] on the Telemetry component, which involves challenging processes such as kernel debugging, dealing with undocumented kernel internal structures, reversing of bigger frameworks (i.e. ETW), binary libraries which lack symbols, etc. As part of the analysis we will also develop an in depth comparison between the differences among each level of Telemetry, stressing the contrast in the amount of events written, verbosity of information, etc. Finally, we will study how the communication between the Windows instance and the Microsoft backend servers is carried out.

ABSTRACT (SPANISH VERSION)

ACKNOWLEDGMENTS

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1. MOTIVATION

The analysis presented in this work was performed against the Telemetry component of the Windows OS, with the following goals:

- Understand how the process of generating logs was carried out.
- $\bullet\,$ How, where and what logs were stored.
- Which applications are involved in gathering Telemetry information

2. INTRODUCTION

The analysis presented, was carried out in a particular version of the Windows OS. Specifically, Windows 10 64 bits Enterprise, 1607. Windows delivers a new version, usually, each Some words about ERNW and the project with the FBI

There are several reasons why this version was chosen:

- It was one of the mainstream version of Windows at the moment of starting the project.
- It was a long support version (EOS: April 2019).
- It was used by the German Police Office

Although this version may sound a bit old as of today, all the analysis is also applicable to newer versions such as Windows 10 64 bits Enterprise 1909.

2.1 Basic concepts

This section will describe basic concepts needed to fully understand the carried out process to perform the analysis.

2.1.1 Reverse Engineering

Software engineering can be defined as the process of designing, building and testing computer software. The processor of a computer, works with 1's and 0's, therefore developing any kind of sofware will mean Key concepts about what RE means, from a general perspective, how it should tackled which tools are usually there. 64 bits, calling convention

Static and dinaymyc analysis!

2.1.2 Debugging

Explaniation of the concept of debugging, what is the different with doing static RE. Some words specifically for KERNEL debugging.

2.2 Tools

2.2.1 IDA pro

Introduction to IDA pro. Explaniation of what it is and how it works.talk about hxrays

2.2.2 WinDBG

Introduction to WINDBG. Explaniation of what it is and how it works.

2.2.3 YARA

2.2.4 XPERF?

2.3 Windows components

2.3.0.1 Event Tracing for Windows

Complete explainiation of how it works due to its importance for the rest of the analysis. Different components: session, providers, consumers ,etc . Talk about the guid of the providers

2.3.0.2 Telemetry

Full description of the different features / characteristic which are involved in this analysis. Explaniation of how the worflow of the data is followed. talk about the name of the session, the levels of configuration, when it can be configured.. etc.

3. PREVIOUS WORK

Some lines about previous works in this topic. Most of them focused on just analysis from traffic / documentation.

4. ANALYSIS

The main objective was always the analysis of Telemetry. The best and most accurate option to study this component would have been to analyze it source code. Unluckily this isn't possible as the Windows kernel is not open source. However, it was still possible to reverse engineer the Windows kernel to understand how Telemetry works. Several files (dynamic libraries, executables, drivers) had to be reversed and analyzed. Nonetheless, there was one file that had the main focus: **ntoskrnl.exe**. This binary holds the actual implementation of the Windows Kernel.

Following sections will depict different challenges and achievements faced during the analysis of this and the other binaries.

4.1 Understanding how Telemetry makes use of ETW

When Windows OS boots, multiple sessions are created inside ETW. Among them there is one related to Telemetry: DiagTrack. As explained in 2.3.0.1, every session has "providers": those entities that actually provide information to the session. In order to understand how Telemetry works with ETW, it was important to learn about DiagTrack's session providers. To help us the following questions wanted to be answered:

- 1. Who are they?
- 2. Where are they?
- 3. What information are they logging?

Windows allowed to query the list of providers registered to a particular session by executing the following powershell command:

```
Get-EtwTraceProvider | where {$_.SessionName -match "<SESSION_NAME>"}
```

Fig. 4.1: Powershell command to list ETW providers registered against a particular session.

For each provider registered to the queried session, the following information is outputted:

- GUID
- TO_CHECK
- TO_CHECK

With this list the question **Who are they?** seems to be answered. However, two questions are still missing to answer.

At this point and idea came up: To answer Where are they running? and What are they logging? it could be useful to hook into the exact moment when any of those providers are going to write to the DiagTrack's session. In other words, it could be useful to set a breakpoint in the function that performs the write to the DiagTrack's session. Once the breakpoint is hit, the following information could be extracted:

- 1. The piece of code that triggered the write (by inspecting the function's call stack). In other words, identify who is writing.
- 2. The actual content of the log being written.

That was when debugging (2.1.2) came into play. Analyzing the symbols exposed by the ntoskrnl binary, the function **EtwWrite** was found. This function seemed to be one in charge of carrying out the writes of providers inside sessions. Nonetheless, it wasn't worth to set a breakpoint at this function as every provider of the system (not necessarily related to DiagTrack) could use it. It was necessary to find a way of only detecting the writes performed by DiagTrack's providers.

Let's recall the powershell command (4.1). This command will return you information for each registered provider. Part of that information was the GUID.

The objective was to filter writes only from providers that were registered against the DiagTrack Session. To do so the idea was to set a conditional breakpoint inside function **EtwWrite** and try to check if the GUID provided was of interest.

Unfortunately, this strategy had one minor issue. The function (**EtwWrite**) had five parameters and none of them would show directly the GUID:

```
NTSTATUS EtwWrite(
REGHANDLE RegHandle,
PCEVENT_DESCRIPTOR EventDescriptor,
LPCGUID ActivityId,
ULONG UserDataCount,
PEVENT_DATA_DESCRIPTOR UserData
);
```

Fig. 4.2: Documentation for EtwWrite function ¹.

The first parameter is the registration handler. This object is returned once the provider executed the registration (**EtwRegister**) successfully. However, taking a look to **EtwRegister** it is possible to observe that it receives the GUID as parameter:

Fig. 4.3: Documentation for EtwRegister function ².

This finding basically meant that having only one breakpoint in **EtwWrite** wasn't going to be enough as information from **EtwRegister** was also needed.

In other words, to understand if the write was being done by a provider registered against the DiagTrack session it was necessary to:

- 1. Extract the whole list of providers registered against the DiagTrack session.
- 2. Intercept all the **EtwRegister** executions and check if the GUID being used was inside the list.

- 3. If it was, save the handler.
- 4. Intercept all the **EtwWrite** executions and check if the handler being used is one of the stored handlers.
- 5. If it was, the provider that is writing, is attached to the DiagTrack session.

Even though this strategy seemed to be theoretically promising, it was necessary to understand how to actually carry out each of these steps. Further sections will depict this process.

4.1.1 Reversing registration process

As mentioned in section 2.3.0.1, whenever a provider wants to register itself against a particular session it has to call the function **EtwRegister**. Because of this, the first step was to analyze the behavior of this function using **IDA**(2.2.1).

As can be seen in figure 4.4, the only interesting action being performed by **EtwRegister** was a call to another function named **EtwpRegisterProvider**.

```
sub
        rsp, 48h
        r10, rcx
mov
call
        PsGetCurrentServerSiloGlobals
        [rsp+48h+a7], r9; a7
mov
        r9, rdx
                         ; a4
mov
        rdx, r10
                         ; ptr_guid
mov
        rcx, [rax+350h]; a1
mov
        rax, [rsp+48h]
mov
        [rsp+48h*ptr_to_handler], rax ; __int64
mov
mov
        [rsp+48h+a5], r8; a5
        r8d, 3
mov
        EtwpRegisterProvider ;
call
```

Fig. 4.4: Dissasembly of ETWRegister function

A quick analysis of the latter function showed that it was the function holding the actual implementation of the registration process. However, due to the lack of documentation, it was necessary to understand in a more in-depth way what was actually happening inside it.

Following chapters will present a detailed description of reversing (partially, only the interesting parts for this research) **EtwpRegisterProvider**. To make it easier, it will be divided in different parts:

- 1. Understanding the layout of the function
- 2. Check if a GUID for this provider already exists.
- 3. If not, create a new one
- 4. Return the handler.

```
// 1. Understanding the layout of the function
  signed __int64 __fastcall EtwpRegisterProvider(__int64 a1, _QWORD *a2, int a3,
       void (__fastcall *a4)(ULONG_PTR, __int64, __int128 *,__int64), __int64 a5,
       __int64 a6, __int64 *a7){
3
   [..]
4
   // 2. Check if a GUID for this provider already exists.
   ptr_guid_entry = (char *)EtwpFindGuidEntryByGuid(etw_silo, ptr_guid, 0);
   // 3. If not, create a new one
   if ( ptr_guid_entry || (ptr_guid_entry = EtwpAddGuidEntry(ptr_etw_silo_cpy2,
       ptr_guid_cpy, 0)) != 0i64 )
12
     v15 = \_readgsqword(0x188u);
     --*(_WORD *)(v15 + 484)
14
     [..]
16
17
     // 4. Return the handler.
     v35 = EtwpAddKmRegEntry((ULONG_PTR)ptr_guid_entry, v10, (__int64)v9, a5,
18
         (__int64)&ptr_handler);
     v20 = v35;
19
20
     [..]
21
22
   }
23
   return v20;
```

Fig. 4.5: EtwpRegisterProvider snippet.

4.1.1.1 1. Understanding the layout of the function

EtwpRegisterProvider received seven parameters:

```
signed __int64 __fastcall EtwpRegisterProvider(__int64 a1, _QWORD *a2,
    int a3, void (__fastcall *a4)(ULONG_PTR, __int64, __int128 *,
    __int64), __int64 a5, __int64 a6, __int64 *a7)
```

Usually when performing reverse engineering it is not necessary to understand every tiny detail but only the key points that are important to meet the proposed goals. This wasn't the exception.

The main focus here was not to understand how the registration process fully worked but just to get an idea of it plus get to know the relation between GUID and registration handler.

After analyzing **EtwpRegisterProvider** it was possible to conclude that:

- 1. **a1**: Is the pointer to a structure.
- 2. **a2**: Is the pointer to the GUID structure.

3. **a7**: Is the address where the pointer to the registration handler will be placed (can be think as "function output").

What is this **a1** structure? The figure 4.4 shows that before calling **EtwpRegister-Provider**, the function **PsGetCurrentServerSiloGlobals** is invoked. This latter one returns a pointer to a structure S of type **_ESERVERSILO_GLOBALS**.

```
kd> dt nt! ESERVERSILO GLOBALS
   +0x000 ObSiloState
                                OBP_SILODRIVERSTATE
   +0x2e0 SeSiloState
                                SEP_SILOSTATE
                                SEP_RM_LSA_CONNECTION_STATE
   +0x300 SeRmSiloState
   +0x350 EtwSiloState
                             : Ptr64 _ETW_SILODRIVERSTATE
   +0x358 MiSessionLeaderProcess : Ptr64 _EPROCESS
   +0x360 ExpDefaultErrorPortProcess : Ptr64 _EPROCESS
   +0x368 ExpDefaultErrorPort : Ptr64 Void
   +0x370 HardErrorState
                               Uint4B
                               _WNF_SILODRIVERSTATE
Ptr64 Void
   +0 \times 378
          WnfSiloState
   +0x3b0 ApiSetSection
   +0x3b8 ApiSetSchema
                               Ptr64 Void
   +0x3c0 OneCoreForwardersEnabled :
                                        UChar
          SiloRootDirectoryName : _UNICODE_STRI
Storage : Ptr64 _PSP_STORAGE
                                              _STRING
   +0x3c8
   +0x3d8 Storage
   +0x3e0 State
                                SERVERSILŌ STATE
   +0x3e4 ExitStatus
                               Int4B
   +0x3e8 DeleteEvent
                               Ptr64
                                       KEVENT
                                SILO USER SHARED DATA
   +0x3f0 UserSharedData
   +0x410 TerminateWorkItem : _WORK_QUEUE_ITEM
```

Fig. 4.6: _ESERVERSILO_GLOBALS structure layout (S).

However, the first parameter provided to **EtwpRegisterProvider** is not the pointer to S but is the pointer to another structure S_2 of type **_ETW_SILODRIVERSTATE**. which is part of S, more precisely, it is situated at offset 0x350.

```
kd> dt nt!_ETW_SILODRIVERSTATE
+0x000 EtwpSecurityProviderGuidEntry : _ETW_GUID_ENTRY
+0x190 EtwpLoggerRundown : [64] Ptr64 _EX_RUNDOWN_REF_CACHE_AWARE
+0x390 WmipLoggerContext : [64] Ptr64 _WMI_LOGGER_CONTEXT
+0x590 EtwpGuidHashTable : [64] _ETW_HASH_BUCKET
+0x1390 EtwpSecurityLoggers : [8] Uint2B
+0x13a0 EtwpSecurityProviderEnableMask : UChar
+0x13a1 EtwpShutdownInProgress : UChar
+0x13a4 EtwpSecurityProviderPID : Uint4B
```

Fig. 4.7: \bot ETW \bot SILODRIVERSTATE structure layout (S_2) .

With this information it was possible to conclude that **a1** will point to a global structure holding configurations, settings and information in general directly related with the **ETW** framework. **a2** and **a7** will hold pointers to a GUID and to a place were a registration handler will be stored afterwards.

With the information gathered from this analysis was enough to move forward.

Once inside the EtwpRegisterProvider function, after performing some sanity checks, it tries to get the guid entry related to the GUID provided. If it doesn't exist, it will create one.

4. Analysis

Fig. 4.8: First lines of EtwpRegisterProvider

4.1.1.2 2. Check if GUID for this provider already exists

In this part, the focus will be on understanding how the process of recovering the already existing "GUID entry" works.

The action of recovering is performed by a particular function called **EtwpFind-GuidEntryByGuid**:

```
ptr_guid_entry = (char *)EtwpFindGuidEntryByGuid(etw_silo, ptr_guid, 0);
```

As can be inferred from the previous line, two important parameters are provided: the **ETWSILODRIVERSTATE**(a1) structure S and the pointer to the GUID ptr_guid .

EtwpFindGuidEntryByGuid proc near

```
arg 8= gword ptr 10h
arg 10= gword ptr 18h
         [rsp+arg_8], rbx
mov
         [rsp+arg_10], rbp
mov
         rsi
push
         rdi
push
push
         r12
         r14
push
push
         r15
         rsp,
              20h
sub
              [rdx+8]
mov
         eax.
add
              590h
         rcx,
         eax, [rdx+0Ch]
xor
         r12d, r12d
xor
         eax, [rdx+4]
xor
         rdi, rdx
mov
         eax, [rdx]
xor
         r14d, r12d
mov
         eax, 3Fh
and
        rsi, r8d
movsxd
        rax, 38h
imul
shl
         rsi, 4
add
         rcx, rax
mov
         rax, gs:188h
add
         rsi, rcx
         word ptr [rax+1E4h]
dec
lea
         rbp, [rcx+30h]
xor
         r8d, r8d
```

Fig. 4.9: First basic block of EtwpFindGuidEntryByGuid.

Figure 4.9 depicts how the function gets the guid entry related to the provider (if it exists): rcx holds the pointer to S and rdx holds ptr_guid . Let's analyze this function deeper.

The first highlight is the add function which stores in rcx the pointer to the structure stored at the offset 0x590 of S. Going back to the structure layout of S (figure 4.7), it can be appreciated that at the offset 0x590, the structure EtwpGuidHashTable of type $_ETW_HASH_BUCKET$ [64] is present. Figure 4.11 depicts its layout.

Just before the add function, eax is filled with the content of the address rdx + 8. rdx held the ptr_guid , meaning that eax will have the third group of 4 bytes inside of the guid structure. Why the third? Because the offset was 8. Why 4 bytes? Because the register eax (32 bits) was used.

In the following lines, the value of eax is being constantly modified by xoring it successively with the different group of 4 bytes that compose the guid structure³. After performing these successive xor operations, a boolean and is applied against eax (result of xoring) using a mask of 0x3f. This mask will set all eax bits to 0 with the exception of the

 $^{^3}$ Sometimes the structures weren't documented at all. Sometimes they were, but was not possible to find it until some kind of clue pointing to it was found. So far the layout of the structure pointed by ptr_guid was unknown, however from this function it was possible to conclude that the structure had a size of 16 bytes.

last 6 that will remain having its actual value. The reason to do this is because $2^6 = 64$. In other words, this mask is making the xoring result fit into the range of a valid bucket index. Afterwards, multiplies the result against 0x38 (size of $_ETW_HASH_BUCKET$ structure). Finally, the value of rax(eax) is added to rcx which had the pointer to the EtwpGuidHashTable structure.

Writing the aforementioned function in a pseudo-code style (ptr is a short version of "pointer"):

```
xor_guid_parts = ptr_guid[0] ^ ptr_guid[1] ^ ptr_guid[2] ^ ptr_guid[3]
ptr_hash_table = ptr_S + 0x590
ptr_bucket = ptr_hash_table + 0x38 * ((xor_guid_parts) & 0x3F)
```

Therefore, ptr_bucket is basically a pointer to a particular bucket inside the EtwpGuidHashTable calculated based on the GUID of the provider⁴. Once this value is obtained, a "look up" inside the structure is carried out in the following way:

```
iterator = *ptr_bucket;
if ( *ptr_bucket != ptr_bucket )
{
   while ( 1 )
   {
      v12 = *ptr_guid_cpy - iterator[3];
      if ( *ptr_guid_cpy == iterator[3] )
          v12 = ptr_guid_cpy[1] - iterator[4];
      if ( !v12 && EtwpReferenceGuidEntry((ULONG_PTR)iterator) )
          break;
      iterator = (_QWORD *)*iterator;
      if ( iterator == ptr_bucket )
          goto LABEL_13;
   }
   v4 = iterator;
}
```

Fig. 4.10: Part of EtwpFindGuidEntryByGuid function extracted using IDA Hex-Rays plugin.

Fig. 4.11: $_ETW_HASH_BUCKET$ structure layout.

At first an iterator is built. This iterator will point initially to the Flink of the first list entry⁵ of the bucket (figure 4.11). The right-after "if" will capture the special case were the list is empty. In that particular case, the whole cycle will be skipped and the \mathbf{LABEL}_{-13} (routine to exit, which isn't displayed in the figure) will be executed. It's worth to mention that this routine executes a return statement with the value of the variable v4 (which is initially defined as 0).

⁴ There was also an additional value involved in the calculation of the bucket. However, in this particular context, the value wasn't taken into account as it was always 0.

 $^{^{5}\} https://docs.microsoft.com/en-us/windows/desktop/api/ntdef/ns-ntdef-_list_entry$

If the list is not empty, the first operation which is carried out is a subtraction between the first quadword of the GUID and a value of iterator[3]. Due to the variable iterator is defined as a 8-bytes pointer, iterator[3] will point to the offset 0x18 of the structure stored inside the Flink. In the case that both values are equal, the second comparison (between the second quadword of the GUID and the iteartor[4]) is carried out.

At this point some things can be concluded:

- The cycle is iterating a double-linked-list which holds a particular structure T.
- T has the GUID of the provider stored at offset 0x18
- Again, seems that the GUID is 16 bytes long.
- From the function name it can concluded that T is a structure that represents the GUID entry.

Moving forward with the code analysis, if some of the comparisons failed, the iterator changes its values to the next one in the list. Before continuing, it ensures that the cycle is not finished by checking if the actual value of the iterator is the same one used as the starting point. If they are equal, the exit routine is executed meaning that the return value will be 0.

If both comparisons are equal (the GUID of the provider and the one stored in T are the same), a function called EtwpReferenceGuidEntry with the current value of the iterator as parameter, is called. After this execution, the cycle is finished by the break statement. However, before executing the exit routine, the value of v4 is filled up with the value of the iterator, meaning that the return value will be pointer to the guid entry related to the GUID of the provider. The EtwpReferenceGuidEntry function just made some security checks not relevant for this task.

Therefore, to summarize, it is possible to say that:

The function EtwpFindGuidEntryByGuid looks for a particular structure (most probably called guid entry), which is stored inside a double-linked-list of a bucket inside the EtwpGuidHashTable of the $_ETW_SILODRIVERSTATE$, based on doing some mathematical operations with the GUID of the provider.

After finishing with this analysis, the documentation of the guid entry structure was found:

```
kd> dt nt!_ETW_GUID_ENTRY
   +0x000 GuidList
                                   LIST_ENTRY
                                  Īnt8B
   +0x010 RefCount
   +0 \times 018
                                   GUID
           Guid
   +0x028 RegListHead
                                   LIST ENTRY
   +0x038
           SecurityDescriptor
                                    Ptr64 Void
                                   ETW_LAST_ENABLE_INFO
   +0 \times 040
           LastEnable
                                  Ūint8B
   +0x040 MatchId
                                     TRACE_ENABLE_INFO
   +0x050 ProviderEnableInfo
   +0x070 EnableInfo
                                  [8] _TRACE_ENABLE_INFO
                                  Ptr64 _ETW_FILTER_HEADER
Ptr64 _ETW_SILODRIVERSTATE
_EX_PUSH_LOCK
   +0x170 FilterData
   +0x178
           SiloState
   +0x180 Lock
   +0x188 LockOwner
                                  Ptr64 _ETHREAD
```

Fig. 4.12: _ETW_GUID_ENTRY structure.

```
kd> dt nt!_GUID

+0x000 Data1 : Uint4B

+0x004 Data2 : Uint2B

+0x006 Data3 : Uint2B

+0x008 Data4 : [8] UChar
```

Fig. 4.13: _ETW_GUID structure.

Luckily, all the previous guesses made, were correct:

- The guid entry (now $_ETW_GUID_ENTRY$) had the GUID of at offset 0x18 (figure 4.12)
- The GUID was a structure of 16 bytes long (figure 4.13)

4.1.1.3 **3.** If not found, create a new one

Previous section detailed how was the process to find an already existing guid entry based on the GUID of the provider. This one will explain the process of creating a new guid entry.

From figure 4.8 can be observed that the function in charge of this part is the function EtwpAddGuidEntry:

```
ptr_guid_entry = EtwpAddGuidEntry(ptr_etw_silo_cpy2, ptr_guid_cpy, 0)
```

As can be inferred from the previous line, two important parameters were provided: the pointer to the _ETW_SILODRIVERSTATE structure (for simplicity will be called ptr_etw_silo instead of $ptr_etw_silo_cpy2$) and the pointer to the GUID (for simplicity will be called ptr_guid instead of ptr_guid_cpy).

One of the first lines of EtwpAddGuidEntry, calls another function named EtwpAllocGuidEntry. As it can be quickly inferred from the name, it basically allocates certain amount of memory inside the heap to be used by the guid entry afterwards and returns the pointer to it. The allocation part happens in the first basic block of EtwpAllocGuidEntry:

```
; char * fastcall EtwpAllocGuidEntry( m128i *ptr guid)
EtwpAllocGuidEntry proc near
arg 0= qword ptr
arg_8= qword ptr
                  10h
; FUNCTION CHUNK AT 00000001405B8F5E SIZE 00000011 BYTES
        [rsp+arg_0], rbx
mov
        rdi
push
        rsp, 20h
sub
                         ; NumberOfBytes
        edx, 190h
mov
mov
        rdi, rcx
        r8d, 47777445h
mov
lea
        ecx, [rdx+70h]
                         ; PoolType
call
        ExAllocatePoolWithTag
```

Fig. 4.14: EtwpAllocGuidEntry allocation.

As can be observed in figure 4.14 the Windows function $ExAllocatePoolWithTag^6$ is called with the following parameters:

- **PoolType**: 0x200 (**NonPagedPoolNx**). This value indicates that the system memory allocated will be nonpageable and not executable⁷.
- NumberOfBytes: 0x190. This value is the size of the structure _ETW_GUID_ENTRY (figure 4.12).
- Tag: "0x47777445". According the documentation just a four character long to be used as the pool tag. Due to it is specified in reverse order: $0x45747747 \rightarrow$ "EtwG".

Therefore, as it was thought, EtwpAllocGuidEntry allocs the necessary memory for holding the $_ETW_GUID_ENTRY$ structure and returns a heap pointer to it.

The remaining code of EtwpAddGuidEntry is devoted to populate and adjust some parts of related structures. Some key points about it:

- A guid entry related to this GUID is looked up inside the guid entries double-linked list using the same technique as the one used in *EtwpFindGuidEntryByGuid*. If a structure is found, the pointer is freed.
- Only three parts of the _ETW_GUID_ENTRY structure are populated at this point:
 - 1. The pointer to the previous guid entry in the double-linked list (offset 0x0)
 - 2. The pointer to the following guid entry in the double-linked list (offset 0x8)
 - 3. The pointer to the SILO STATE (offset 0x178)

To summarize, once EtwpAllocGuidEntry is executed, the pointer to heap memory holding the $_ETW_GUID_ENTRY$ structure is returned. The next step is insert this entry into EtwpGuidHashTable. To perform that action, first it looks for the correct place to insert it as depicted previously.

4.1.1.4 4. Return the handler

Going back to what the figure 4.3 states, the 4th parameter of EtwRegister it's something of type PREGHANDLE. Although it isn't very clear, this parameter is the output of the function (usually called "out" type of parameter). Furthermore, as it was mentioned previously the real registration logic is implemented by EtwpRegisterProvider therefore the output of EtwRegister is none other than the output of EtwpRegisterProvider.

Despite the fact the provider's GUID existed previously or not, at this point it exist a pointer to a _ETW_GUID_ENTRY structure holding its data and already inside the main structures of ETW. Once the code achieved this point, the next step is basically get the handler.

Just right after the pointer to the $_ETW_GUID_ENTRY$ is found, the function EtwpAddKmRegEntry is called:

 $^{^6\} Documentation:\ https://docs.microsoft.com/en-us/windows-hardware/drivers/ddi/content/wdm/nf-wdm-exallocatepool$ withtag

⁷ Documentation: https://docs.microsoft.com/en-us/windows-hardware/drivers/ddi/content/wdm/ne-wdm-pool_type

```
__int64 __usercall EtwpAddKmRegEntry(ULONG_PTR a1, int a2, __int64 a3, __int64 a4, __int64 a5)
    where:
```

- 1. **a1**: Is the pointer to _ETW_GUID_ENTRY, called ptr_guid.
- 2. **a5**: Is the memory address provided by EtwWrite (and afterwards by EtwpRegisterProvider) where the handler should be placed.

The remaining parameters are not interesting for the sake of our research.

Once inside EtwpAddKmRegEntry, the first important lines were:

```
rbp, rcx
mov
xor
        edi, edi
                         ; PoolType
mov
        ecx, 200h
        r8d, 52777445h ; Tag
mov
        r14, r9
mov
        edx, [rdi+70h] ; NumberOfBytes
lea
call
        ExAllocatePoolWithTag
        rbx, rax
moν
```

Fig. 4.15: EtwpAddKmRegEntry allocation.

As can be inferred from 4.14, this is also an allocation in the heap:

- PoolType: 0x200 (NonPagedPoolNx).
- NumberOfBytes: 0x70. As depicted in the image, rdi is first set to 0.
- Tag: "0x52777445". According the documentation just a four character long to be used as the pool tag. Due to it is specified in reverse order: $0x45747752 \rightarrow$ "EtwR".

The analysis of the following lines of EtwpAddKmRegEntry showed how the aforementioned reserved space (structure) was being filled. While debugging this function the following line excelled from the rest:

```
mov [rbx+20h], rbp
```

The reason to excelled was that, at that point, rbp held the pointer to the GUID entry. Meaning that this structure, potentially the registration handler structure, has the pointer to the GUID entry at offset 0x20. After finish filling the rest, the pointer to the structure is returned.

Going back to 4.5, it can be appreciated that the output of EtwpAddKmRegEntry is the output of EtwpRegisterProvider too. Which confirmed that this was the registration handler structure.

After finishing with this analysis, the documentation of the registration handler structure was found:

```
kd> dt nt!_ETW_REG_ENTRY
   +0x000 RegList
                                 _LIST_ENTRY
                                  LIST_ENTRY
   +0x010
           GroupRegList
                                 Ptr64 _ETW_GUID_ENTRY
   +0 \times 020
           GuidEntry
                                Ptr64 _ETW_GUID_ENTRY
   +0x028 GroupEntry
                                 Ptr64 _ETW_REPLY_QUEUE
[4] Ptr64 _ETW_QUEUE_ENTRY
   +0x030
           ReplyQueue
   +0x030 ReplySlot
   +0x030
           Caller
                                 Ptr64 Void
   +0x038
                                 Uint4B
           SessionId
   +0 \times 050
           Process
                                 Ptr64
                                         EPROCESS
                                 Ptr64 Void
   +0 \times 050
           CallbackContext
   +0 \times 058
                                 Ptr64 Void
           Callback
                                 Uint2B
   +0x060
           Index
           Flags
                                 Uint2B
   +0 \times 062
   +0x062 DbgKernelRegistration
                                      Pos 0,
                                              1 Bit
   +0x062
           DbgUserRegistration : Pos 1, 1 Bit
   +0x062
           DbgReplyRegistration : Pos 2,
                                             1 Bit
           DbgClassicRegistration :
   +0x062
                                       Pos 3, 1 Bit
   +0x062
           DbgSessionSpaceRegistration : Pos 4,
                                                     1 Bit
   +0x062
                                              1 Bit
           DbgModernRegistration : Pos
                                Pos 6,
   +0x062
           DbgClosed
                                         1 Bit
                                 Pos 7,
   +0x062
           DbgInserted
                                         1 Bit
   +0 \times 062
           DbgWow64
                                 Pos 8.
                                         1 Bit
   +0x064
           EnableMask
                                 UChar
                                UChar
   +0 \times 065
           GroupEnableMask
   +0x066 UseDescriptorType
                                  UChar
   +0x068 Traits
                                Ptr64 _ETW_PROVIDER_TRAITS
```

Fig. 4.16: _ETW_REG_ENTRY structure .

4.2 First steps into catching writes from providers

In section 4.1 an idea on how to answer two important questions was presented: Hook in the exact moment when providers write to DiagTrack's session. However the idea would have been unfeasible without the analysis performed in section 4.1.1.

At this point it is possible to detect if a particular provider is writing by knowing just its GUID. However it was important to understand to which session this provider was writing. For an initial analysis a mix between automatic and manual analysis was performed. A breakpoint in the function **EtwWrite** was set using the following Windbg(2.2.2) script:

```
bp nt!EtwWrite ".printf \"Handler: %N\\n\",@rcx"
```

This script just printed the address of provider's registration handle that is making a write (@rcx holds the first parameter of the function according to Windows x64 calling convention). Once the handler's address was obtained it was possible to get the GUID as well. Comparing the GUID with the output of the powershell command Get-EtwTraceProvider (without filters) threw an interesting result: Most of the time a provider with E02A841C-75A3-4FA7-AFC8-AE09CF9B7F23 as GUID was the one writing. This provider wasn't related with DiagTrack at all.

The following step was to filter out this provider:

Unfortunately, providers which weren't related to DiagTrack neither flooded the output of the script. Clearly, this wasn't a good path.

With the goal of pursuing interesting results, the function was changed by another one called **EtwWriteTransfer**:.

This time, a new provider attached to DiagTrack with GUID E9EAF418-0C07-464C-AD14-A7F353349A00 appeared. In order to get some more information, the script was updated once more to get the Call Stack of the provider's process:

At this point, the only way so far to detect when providers related to DiagTrack were writing consisted in two steps:

- 1. Hook in the ETW write function call.
- 2. Check if the provider is attached to DiagTrack based on its GUID and the output of the powershell command.

However, even if it was a DiagTrack's related provider the one writing, it is not enough to ensure that it is currently writing to DiagTrack's session as providers could be attached to several sessions.

This quick result made two new questions to be raised

- 1. 1. Is **EtwWrite** the only write function used? (clearly no!)
- 2. 2. How can we sure that a provider is actually writing to DiagTrack's session

Further and deeper analysis was needed.

4.2.1 ETW functions to write

A quick analysis of the symbols and cross references of the **ntoskrnl.exe** binary showed that there were actually a "group of functions" that could be used to perform writes within the ETW framework:

4. Analysis

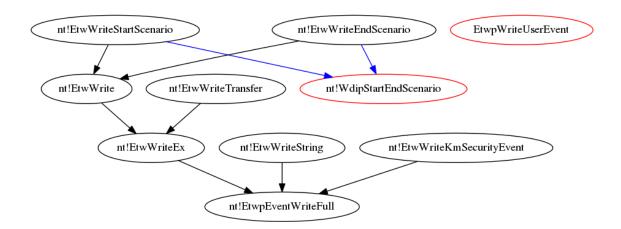


Fig. 4.17: Group of ETW Write functions.

As can be appreciated in figure 4.17 all functions end up calling either nt!EtwpEventWriteFull or nt!EtwpWriteUserEvent or nt!WdispStartEndScenario. After extensive analysis logging all actions and which functions were executed it was possible to detect that the function nt!WdispStartEndScenario was never called under the context of interest of this research and therefore it was possible to discard it from further analysis.

4.2.2 Ensuring providers write to DiagTrack session

The previous idea of only analyzing writes from providers that were returned by the powershell command had, at least, three problems:

- 1. The output of the powershell command returns the providers that are registered in a particular session at a given time t. If a provider registers, writes and unregisters itself from the session in a time frame tf where $t \notin tf$, that write won't be took into consideration.
- 2. Even if the provider is registered against DiagTrack's session, this doesn't ensure the provider is currently writing to it.
- 3. It relied too much on manual analysis.

With these problems in mind new ideas started to appear. In particular there was one that made the difference: What if it's possible to relate the handler that it's used at the moment of writing, with the session where the provider going to write, somehow. If that's possible, the first two problems would be solved. The third one could be solved as only one breakpoint at the writing function will be enough to make the full analysis. In order to find this relationship, an analysis of different structures related to ETW had to be performed.

Following sections will detail the process to find an answer to this problem.

4.2.2.1 Inspecting ETW structures

So far it happened a lot that ETW structures were the key to overcome different obstacles. We wanted to know if this was again the case.

The first structure analyzed was $_ETW_REG_ENTRY$ (figure 4.16) as it would have been the most direct and easier way to relate session and provider. Unfortunately, none of its components was helpful.

The next structure analyzed was _WMI_LOGGER_CONTEXT. This structure seemed to be the representation of an ETW session. Due to the size of this structure only the necessary and representative offsets are depicted:

```
+0x000 LoggerId
                            : Uint4B
      +0x004 BufferSize
                            : Uint4B
2
      +0x008 MaximumEventSize : Uint4B
      +0x00c LoggerMode
                            : Uint4B
      +0x010 AcceptNewEvents : Int4B
      +0x070 ProviderBinaryList : _LIST_ENTRY
      +0x080 BatchedBufferList: Ptr64 _WMI_BUFFER_HEADER
8
9
      +0x080 CurrentBuffer : _EX_FAST_REF
      +0x088 LoggerName
                            : _UNICODE_STRING
10
      +0x098 LogFileName
                            : _UNICODE_STRING
11
      +0x0a8 LogFilePattern : _UNICODE_STRING
      +0x0b8 NewLogFileName : _UNICODE_STRING
14
      +0x428 LastBufferSwitchTime : _LARGE_INTEGER
      +0x430 BufferWriteDuration : _LARGE_INTEGER
16
      +0x438 BufferCompressDuration : _LARGE_INTEGER
17
```

Fig. 4.18: _WMI_LOGGER_CONTEXT structure.

Each session created inside the ETW framework will have an instance of this structure. At offset 0x70 there is an attribute called **ProviderBinaryList**. After a quick analysis this attribute seemed to held all providers registered against the session in the format of a double linked list. In order to confirm that theory, the process of attaching new providers to an existing session was analyzed.

The function nt!EtwpAddProviderToSession seemed to be the one creating these links. However due to several reasons (lot of unknown new functions, hard to reverse and more) the analysis was cancelled.

4.2.2.2 Further analyzing write functions

Usually an structure can have the answer, but if you cannot understand how that structure is being used you won't be able to see that answer. With that in mind, a further analysis over the ETW writes functions was carried out.

EtwWriteEx was one of the most used functions that providers used to write inside sessions and that was the reason why the analysis was focused on it. The provider and the actual event that want to be logged are some of the parameters that this function receives. An interesting piece of its source code can be depicted easily but so no accurate with the following listing:

```
v14 = *(BYTE *)(ptr_handler + 0x64);
       if(v14){
2
        v15 = *(_QWORD **)(ptr_handler + 0x20);
3
         if (EtwpLevelKeywordEnabled((__int64)(v15 + 0x50), a2, a3) ){
5
          EtwpEventWriteFull(...)
6
      }
      v14 = *(BYTE *)(ptr_handler + 0x65);
       if (v14)}
9
        v15 = *(_QWORD **)(ptr_handler + 0x28);
         if ( EtwpLevelKeywordEnabled((__int64)(v15 + 0x50), a2, a3) ){
11
          EtwpEventWriteFull(...)
      }
14
```

Fig. 4.19: ETWWriteEx pseudocode

At first, the two if may seem equal, but they have two key differences:

- The first one is filling v14 using the offset 0x64, while the second 0x65
- The first one is filling v15 using the offset 0x20, while the second 0x28

Going back to figure 4.16, it is possible to understand that the first part is using the attributes GuidEntry and EnableMask while the second one is using GroupGuidEntry and GroupEnableMask. This was key to understand that a provider doesn't always use the same GUID entry.

After an in depth analysis it was found that the function EtwpLevelKeywordEnabled received three parameters:

- ProviderEnableInfo of type _TRACE_ENABLE_INFO
- Event Level
- Event keyword

The main objective of this function was to ensure that this event should be logged by the provider into the session according to the filtering rules defined when the provider was first registered against it. For more information of this, please refer to https://docs.microsoft.com/en-us/message-analyzer/system-etw-provider-event-keyword-level-settings.

In other words, the listing 4.19 could be translated to "If EnableMask is different from 0x0, check if the event should be logged using the GuidEntry. If it should, call EtwpEventWriteFull. If GroupEnableMask is different from 0x0, check if the event should be logged using the GroupEntry. If it should, call EtwpEventWriteFull.

The first parameter received in EtwpLevelKeywordEnabled was of type $_ENABLE_TRACE_INFO$. Analyzing its structure:

```
kd> dt nt!_TRACE_ENABLE_INFO
   +0x000 \overline{I}sEnabled
                                 Uint4B
           Level
                                 UChar
   +0 \times 004
                                 UChar
   +0x005
           Reserved1
                                 Uint2B
   +0x006
           LoggerId
   +0x008
           EnableProperty
                                 Uint4B
                                 Uint4B
   +0x00c Reserved2
   +0x010 MatchAnyKeyword
                                 Uint8B
   +0x018 MatchAllKeyword
                                 Uint8B
```

Fig. 4.20: Trace Enable Info structure.

This structure seemed to be promising as it has information that could relate to a session. Furthermore, we can find this type of structure inside $_ETW_GUID_ENTRY$ at offset 0x50 and 0x70. Although this structure seemed to be promising, the answer of how this information was being filled wasn't inside ETWWriteEx.

4.2.2.3 Identifying the destination session

The analysis of this function was the hardest yet the most interest one. Although it receives 17 parameters, has more that 1000 lines of code and helped a lot to understand several things, only a few lines are going to be analyzed here.

Finally, it turned out that the logic to understand to which session the execution was going to write, was inside this function. The goal of this section is to explain with deep details how this process of choosing the session is carried out.

To begin with the analysis, let's start analyzing this simplified pseudocode which represents the key part of the process:

Fig. 4.21: Pseudocode snippet of EtwpEventWriteFull in charge of selecting the ETW session to write.

The **1st** line represents previous definition and statements that are not important for the current explanation.

The **2nd** line represents the cycle that will be executed. In each iteration, it will chose one of the session that the provider is registered against and check if it should write this event to that session. Thanks to this analysis, it was found that enableMask it's an 8-bit mask which represents the use of each of the 8 buckets from the enableInfo attribute from

the GuidEntry. If the first bit (position 0) of enableMask is set to 1, it means that the information inside enableInfo[0] should be considered. If its value is 0 the information of enableInfo[0] should be dismissed. This is exactly what is happening in the **3rd** line. It performs a search⁸ over the **enable_mask** variable which holds the actual content of the enableMask (or GroupEnableMask) attribute from the GuidRegEntry, and writes inside $enable_info_bucket_index$ the index of the first bit set to 1.

The **4th** line just shifts a temporary pointer to the GuidEntry by $0x20 * enable_info_bucket_index$. 0x20 is the size of the structure $_ENABLE_TRACE_INFO$ (figure 4.20), which is the type of each enableInfo bucket. In other words, it's shifting the pointer depending on which bucket of enableInfo should be considered in this iteration of the cycle.

The **6th** line is the one that give sense to all the aforementioned steps. It adds 0x76 to the shifted pointer and checks⁹ if the bit at the specified position is 1 or 0. This is basically checking if the loggerId of the corresponding enableInfo has some value. Let's do an example to better understand this:

If the result of the $enable_info_bucket_index$ is equal to 0, means that the least significant bit of enableMask has a 1. As explained before this means that the enableInfo[0] should be considered. Therefore, the following step will be to shift the pointer to GuidEntry by 0x20 * 0, in other words, it's not shifted. Finally, it checks if the position 0x76 has something different from 0. 0x76 = 0x70 + 0x6, 0x70 is the offset of the first bucket of enableInfo attribute from the GuidEntry, while 0x6 is the offset of loggerId inside the $_ENABLE_INFO_TRACE$ structure. Now, if the $enable_info_bucket_index$ was 1 instead of 0, the only difference from the previous example is that the pointer to the guidEntry will be shifted 0x20 * 1 = 0x20. This means that the position to check with bittest64 will be 0x76 + 0x20. Due to 0x20 is the size of $_ENABLE_INFO_TRACE$ structure it will access the next bucket's loggerId of enableInfo (enableInfo[1])

If the **6th** line condition is accomplished, means that loggerId was 0 and therefore not interesting. Hence, it will jump directly to the next iteration of the cycle. If the condition isn't met, it will continue with the est of the statements.

The **9th** line represents all the operations that are executed once a valid session of the provider was found (like checking if this event should be written to that session, the actual write to the session, etc).

The loggerId finally became the way to relate the a write execution with the destination session. Recalling the structure which represents an ETW session (_WMI_LOGGER_CONTEXT, figure 4.18) the first of its attributes was the loggerId, an identification for the session. Although, until this point it was unknown the exact moment when the event was written, this logic was enough to understand how the session was chosen.

4.2.2.4 Exact writing moment

Although the last analysis was key to understand how the destination session was chosen, it was necessary to find the exact moment when EtwpAllocGuidEntry was actually writing the event to that session. After all, that was our main goal.

As shown in listing 4.21, **9th** line involved a lot of further processing once a candidate session was found. Among all that processing, it's possible to find the following lines:

https://docs.microsoft.com/en-us/cpp/intrinsics/bitscanforward-bitscanforward64?view=vs-2019

⁹ https://docs.microsoft.com/en-us/cpp/intrinsics/bittest-bittest64?view=vs-2019

```
[\ldots]
       logger_id = *(unsigned __int16
           *)(guid_ptr_shifted_by_enable_info_bucket_index+0x76);
       if ( (unsigned int)logger_id >= 0x40 ){
           ptr_wmi_trace_of_session_cpy = 1i64;
5
           ptr_wmi_trace_of_session = 1i64;
6
       }
       else {
8
           ptr_wmi_trace_of_session = *(_QWORD *)(ptr_silo_state + 8 * logger_id +
9
               0x390);
           ptr_wmi_trace_of_session_cpy = ptr_wmi_trace_of_session;
       }
       [\ldots]
       ptr_to_buffer = EtwpReserveTraceBuffer(
13
                          (unsigned int *)ptr_wmi_trace_of_session_cpy,
14
                          event_size,
                          (__int64)&ptr_to_buffer_offset,
                          &return_value_of_function_to_get_cpu_clock,
18
                      );
19
       if ( ptr_to_buffer ){
20
         *(_OWORD *)(ptr_to_buffer + 0x18) = *(_OWORD *)(ptr_guid_entry_cpy + 3);
21
         *(_OWORD *)(ptr_to_buffer + 0x28) = *(_OWORD *)ptr_event_descriptor_cpy;
         [\ldots]
23
       }
24
       [\ldots]
```

Fig. 4.22: Pseudocode snippet of EtwpEventWriteFull in charge of writing event to the selected ETW session.

The **1st** line represents previous definition and statements (like the whole listing 4.21) that are not important for the current explanation.

The **2nd** line assigns the loggerId value of the selected session to a variable. For the sake of clarity, this variable will be called $logger_id$.

The **3rd** line represents definitions and statements that are not important for this explanation.

The 4th line performs asks if the $logger_id >= 0x40$. In case it does, it assigns weird values to a supposed pointer. The reason to do it, is because the maximum number of ETW sessions that can exists is 64 (0x40). In other words, it is ensuring that the $logger_id$ is valid.

In case $logger_id$ is valid, lines **9** and **10** are executed. The former one, assigns the value of ptr_silo_state (variable defined before but whose content could be inferred from its name) $+8*logger_id + 0x390$. What does all these values mean? At offset 0x390 of the structure $_ETW_SILODRIVERSTATE$ (figure 4.7) these is an attribute called WmipLoggerContext. This attribute is an array of pointers (Ptr64) to structures of type $_WMI_LOGGER_CONTEXT$ (figure 4.18). This array has 64 buckets (again, because of the maximum number of sessions possible) and its index in this array matches with their loggerId value. Definitely, this is not coincidence. When adding a new ETW session, the free bucket of this WmipLoggerContext is used and therefore its index within this array

is used to fulfill the value of loggerId. Finally, 8 is the length of a Ptr64 structure. As a conclusion, the value of $ptr_wmi_trace_of_session$ as the name suggests, will be the pointer to the corresponding $_WMI_LOGGER_CONTEXT$ of the session associated with the $logger_id$ found.

- 1. We couldn't ensure that the data was being written was actually going to the DiagTrack session
- 4.3 When and how providers are registered
- 4.4 How writes are carried out
- 4.5 Relation between ETW session and ETW providers
- 4.6 Identifying the buffers
- 4.7 Provider GUID vs Group Provider GUID
- 4.8 Checking correctness of logged events
- 4.9 Automatization of event logging
- 4.10 Service isolation
- 4.11 Triggers
- 4.12 searching for new triggers

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- 4.13 Difference among configuration levels of telemtry
- 4.14 Analysis of sent data over the channel to Microsfot backend services

5. RESULTS

6. CONCLUSIONS

GDPR?

7. APPENDIXES

7.1 Structures layout

- \bullet _ESERVERSILO_GLOBALS, figure 4.6
- \bullet _ETW_SILODRIVERSTATE, figure 4.7
- \bullet _ETW_HASH_BUCKET, figure 4.11
- \bullet _ETW_GUID_ENTRY, figure 4.12
- $_GUID$, figure 4.13
- _REG_GUID_ENTRY,
- _WMI_TRACE_INFO,
- _TRACE_ENABLE_INFO,

8. REFERENCES

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