

**Entity Name:**  
**Docket Number:**

**Prepared by:**  
**Date:**

<b>Docket Number:</b>	Click here to enter text.
<b>Entity Name</b>	Click here to enter text.
<b>City</b>	Click here to enter text.
<b>State</b>	Click here to enter text.
<b>Prudential and/or State Regulator(s)</b>	Click here to enter text.
<b>Contact Name</b>	Click here to enter text.
<b>Contact Information</b>	Click here to enter text.
<b>Work City</b>	Click here to enter text.
<b>Work State</b>	Click here to enter text.
<b>Top Tier Entity</b>	Click here to enter text.
<b>Affiliated DIs (RSSD)</b>	Click here to enter text.
<b>Principal products or lines of business</b>	Click here to enter text.
<b>CFPB Region</b>	Click here to enter text.
<b>Total Assets (000)</b>	Click here to enter text.
<b>Assets as-of date</b>	Click here to enter text.
<b>Attach current Risk Assessment results</b>	Click here to enter text.
<b>Current Compliance Rating</b>	Click here to enter text.
<b>Last Compliance Exam Started</b>	Click here to enter text.
<b>Next Compliance Exam Scheduled</b>	Click here to enter text.
<b>Current CAMELS</b>	Click here to enter text.
<b>CAMELS as-of date</b>	Click here to enter text.
<b>Formal Action in Place</b>	Click here to enter text.
<b>Formal Action in Process</b>	Click here to enter text.

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<b>Enforcement Attorney Name</b>	Click here to enter text.
<b>Contact Information</b>	Click here to enter text.
<b>Informal Agreement in Place</b>	Click here to enter text.
<b>Informal Agreement in Process</b>	Click here to enter text.
<b>Safety and Soundness Action(s)</b>	Click here to enter text.
<b>Fair Lending Issues</b>	Click here to enter text.
<b>Fair Lending Data Analysis Results</b>	Click here to enter text.
<b>HMDA Outlier (Y/N)</b>	Click here to enter text.
<b>Previous Outlier Years</b>	Click here to enter text.
<b># Discrimination Complaints</b>	Click here to enter text.
<b>OFLEO Contact</b>	Click here to enter text.
<b>Contact Information</b>	Click here to enter text.
<b>UDAAP Issues</b>	Click here to enter text.
<b>Other Significant Regulatory Issues</b>	Click here to enter text.
<b>Complaint Analysis</b>	Click here to enter text.
<b>Other Noteworthy Information</b>	Click here to enter text.