

## Appendix

Hereinafter, for a set of functors  $F$ ,  $\text{Graph}(F)$  stands for the set of every graph  $G$  s.t.  $\text{Func}(G) \subseteq F$ .

**Proposition 1.** For LMNtal rule  $r = T : - U$ ,  $G' \xrightarrow{r} G \Leftrightarrow G \xrightarrow{r^{\text{inv}}} G'$

*Proof.* ( $\Rightarrow$ ) We will prove by structural induction on the last-used reduction relation rule.

- Case (R1): We assume that  $G' = P, Q$ ,  $G = P', Q$  and  $P \xrightarrow{r} P'$ . By the induction hypothesis,  $P' \xrightarrow{r^{\text{inv}}} P$ . By (R1),  $P', Q \xrightarrow{r^{\text{inv}}} P, Q$ . Therefore,  $G \xrightarrow{r^{\text{inv}}} G'$ .
- Case (R3): We assume that  $G' \equiv P$ ,  $P' \equiv G$ ,  $P \xrightarrow{r} P'$ . By the induction hypothesis,  $P' \xrightarrow{r^{\text{inv}}} P$ . By (R3) and  $G' \equiv P$ ,  $P' \equiv G$ , we obtain  $G \xrightarrow{r^{\text{inv}}} G'$ .
- Case (R6) is self-evident by (R6).

Hence  $G' \xrightarrow{r} G \Rightarrow G \xrightarrow{r^{\text{inv}}} G'$ .

( $\Leftarrow$ ) follows from  $(r^{\text{inv}})^{\text{inv}} = r$  and ( $\Rightarrow$ ). □

**Lemma 1.** For an extensive ShapeType  $\tau = (t/m, P, N)$ , its weighting function  $w$ , and an LMNtal graph  $G, G' \in \text{Graph}(\text{Func}(\tau))$ ,

$$G' \xrightarrow{P} G \Rightarrow w(G) \geq w(G')$$

*Proof.* By  $G' \xrightarrow{P} G$ , let  $p = \alpha : - \beta$  be a rule s.t.  $G' \xrightarrow{p} G$ . We will show  $w(G) \geq w(G')$  by structural induction on the last-used reduction relation rule.

- Case (R1): We assume that  $G' = G'_1, G_2$ ,  $G = G_1, G_2$  and  $G'_1 \xrightarrow{p} G_1$ . Then  $w(G_1) \geq w(G'_1)$  by the induction hypothesis. We have  $w(G') = w(G'_1) + w(G_2)$ ,  $w(G) = w(G_1) + w(G_2)$ , therefore  $w(G) \geq w(G')$  holds.
- Case (R3): We assume that  $G'_1 \equiv G'$ ,  $G \equiv G_1$ ,  $G'_1 \xrightarrow{p} G_1$ . Then  $w(G_1) \geq w(G'_1)$  by the induction hypothesis. We have  $w(G_1) = w(G)$ ,  $w(G'_1) = w(G')$ , therefore  $w(G) \geq w(G')$  holds.
- Case (R6): We assume that  $G' = \alpha$ ,  $G = \beta$ . Since the type  $(t/m, P, N)$  is extensive, we have  $w(\alpha) \leq w(\beta)$  i.e.  $w(G) \geq w(G')$ . □

**Lemma 2.** For an extensive ShapeType  $\tau = (t/m, P, N)$ , its weighting function  $w$ , a non-negative integer  $n$ , and a finite set of links  $L$ , the number of LMNtal graph  $G$  satisfying following formula is *finite* regarding structurally congruent graphs as the same.

$$G \in \text{Graph}(\text{Func}(\tau)) \wedge w(G) = n \wedge \text{FLink}(G) = L$$

*Proof.* The number of functors occurring in  $G$  is finite and also the number of atoms (excluding non-global connectors) occurring in  $G$  is less than  $n$  because of  $w(G) = n$ . Since the number of graphs consisting of finite kinds of functors and finite atoms is finite, the number of  $G$  is finite. □

**Lemma 3.** For any LMNtal graph  $X$ , an extensive ShapeType  $(t/m, P, N)$ , and a finite set of LMNtal graphs  $G$ ,  $\text{GGCHECK}(X, (t/m, P, N, G))$  terminates.

*Proof.* Let the weighting function of type  $(t/m, P, N)$  be  $w$ .  $Y$  given to the first argument of recursive call at line 5 of GGCHECK satisfies  $Y \xrightarrow{P}^* X$ . By Lemma 1,  $w(X) \geq w(Y)$ . By this and Lemma 2, the number of possible  $Y$  is finite (regarding structurally congruent graphs are the same). Also, it is verified at line 3 of GGCHECK that structurally congruent graphs cannot be given again to the argument of recursive calls, so that recursive calls occur finite times at most. Therefore GGCHECK( $X, (t/m, P, N), G$ ) terminates.  $\square$

**Theorem 1** (Termination of graph type checking). For any LMNtal graph  $X$  and an extensive ShapeType  $\tau$ , GCHECK( $X, \tau$ ) terminates.

*Proof.* This follows by Lemma 3 and the fact that Funct( $X$ ) and  $N$  are finite.  $\square$

**Lemma 4.** For any LMNtal graph  $X$ , a ShapeType  $(t/m, P, N)$ , and a finite set of LMNtal graphs  $G$ , if GGCHECK( $X, (t/m, P, N), G$ ) returns **true**,  $X \triangleleft t(L_1, \dots, L_m)$  holds.

*Proof.* We will show by induction on the maximum number  $n$  of times of recursive calls (i.e. the depth of recursion) of GGCHECK.

- If  $n = 0$ , **true** is returned at line 2. Also, we have  $X \equiv t(L_1, \dots, L_m)$ . Then it is clear by the definition that  $X \triangleleft t(L_1, \dots, L_m)$ .
- If  $n = k + 1$  ( $k \geq 0$ ), **true** is returned at line 5 since recursive calls occur one or more times. We have  $Y \xrightarrow{P} X$  by the line 3 and  $Y \triangleleft t(L_1, \dots, L_m)$  by the induction hypothesis. Then  $t(L_1, \dots, L_m) \xrightarrow{P}^* Y$  follows by the definition of production relations. By  $Y \xrightarrow{P} X$ , we have  $Y \xrightarrow{P} X$ , so that  $t(L_1, \dots, L_m) \xrightarrow{P}^* X$ . Therefore  $X \triangleleft t(L_1, \dots, L_m)$  holds.  $\square$

**Theorem 2** (Soundness of graph type checking). For any LMNtal graph  $X$  and a ShapeType  $(t/m, P, N)$ , if GCHECK( $X, (t/m, P, N)$ ) returns **true**,  $X : t(L_1, \dots, L_m)$  holds.

*Proof.* GCHECK( $X, (t/m, P, N)$ ) returns **true** only when  $\exists f \in \text{Funct}(X)$ .  $f \in N$  does not hold and then it just returns the returned value from GGCHECK( $X, (t/m, P, N), \emptyset$ ), so that GGCHECK( $X, (t/m, P, N), \emptyset$ ) returns **true**. By Lemma 4, we have  $X \triangleleft t(L_1, \dots, L_m)$ . Since  $\neg \exists f \in \text{Funct}(X)$ .  $f \in N$  holds, we have  $\forall f \in \text{Funct}(X)$ .  $f \notin N$ . Therefore  $\text{Funct}(X) \cap N = \emptyset$  holds. Hence we have  $X : t(L_1, \dots, L_m)$ .  $\square$

**Lemma 5.** For an LMNtal graph  $X$  and a ShapeType  $(t/m, P, N)$ , if  $X \triangleleft t(L_1, \dots, L_m)$ , GGCHECK( $X, (t/m, P, N), \emptyset$ ) returns **true**.

*Proof.* By  $X \triangleleft t(L_1, \dots, L_m)$ , for certain  $X_0, \dots, X_n$  ( $n \geq 0$ ), the following holds:

$$t(L_1, \dots, L_m) = X_n \xrightarrow{P} \dots \xrightarrow{P} X_1 \xrightarrow{P} X_0 = X$$

Note that  $X_i$  is not the start symbol for every  $i$  ( $i < n$ ) and  $i \neq j \Rightarrow X_i \neq X_j$  holds (i.e. no loops in the path). Consider the case when GGCHECK( $Y_i, (t/m, P, N), G_i$ ) is called for  $i$  ( $i < n$ ),  $Y_i$  s.t.  $Y_i \equiv X_i$ , and a certain  $G_i$ . Since  $X_i$  is not the start symbol,  $Y_i$  is also not the start symbol, so that the condition of the if statement at line 2 does not hold. Then we have  $X_{i+1} \xrightarrow{P} Y_i$  by  $X_{i+1} \xrightarrow{P} X_i$  and (R3).

- If  $\nexists Y_{i+1} \in G_i$ .  $X_{i+1} \equiv Y_{i+1}$ , the for-loop from the line 3 is executed for  $Y \leftarrow X_{i+1}$ , and then GGCHECK( $X_{i+1}, (t/m, P, N), G_{i+1}$ ) is called for a certain  $G_{i+1}$  at line 5.
- If  $\exists Y_{i+1} \in G_i$ .  $X_{i+1} \equiv Y_{i+1}$ , GGCHECK( $Y_{i+1}, (t/m, P, N), G_{i+1}$ ) has been called for a certain  $G_{i+1}$  elsewhere.

Therefore  $\text{GGCHECK}(Y_{i+1}, (t/m, P, N), G_{i+1})$  is called for  $Y_{i+1}$  s.t.  $Y_{i+1} \equiv X_{i+1}$  and a certain  $G_{i+1}$  somewhere in the recursive calls.

From the above reasons, when  $\text{GGCHECK}(X, (t/m, P, N), \emptyset)$  is called,  $\text{GGCHECK}(Y_n, (t/m, P, N), G_n)$  is also called for  $Y_n$  s.t.  $Y_n \equiv X_n$  and a certain  $G_n$ . This call returns **true** at line 2 because of  $Y_n \equiv X_n = t(L_1, \dots, L_m)$ .

Therefore  $\text{GGCHECK}(X, (t/m, P, N), \emptyset)$  returns **true** since  $\text{GGCHECK}$  returns **true** if one or more recursive calls in it return **true**.  $\square$

**Theorem 3** (Completeness of graph type checking). For any LMNtal graph  $X$  and an extensive ShapeType  $(t/m, P, N)$ , if  $X : t(L_1, \dots, L_m)$  holds,  $\text{GCHECK}(X, (t/m, P, N))$  returns **true**.

*Proof.* By  $X : t(L_1, \dots, L_m)$ , we have  $X \triangleleft t(L_1, \dots, L_m)$  and  $\text{Func}(X) \cap N = \emptyset$ . Therefore  $\forall f \in \text{Func}(X)$ .  $f \notin X$ , so that the condition of the if statement at line 2 of  $\text{GCHECK}$  does not hold. Then  $\text{GCHECK}(X, (t/m, P, N))$  just returns the returned value from  $\text{GGCHECK}(X, (t/m, P, N), \emptyset)$ . By  $X \triangleleft t(L_1, \dots, L_m)$  and Lemma 5,  $\text{GGCHECK}(X, (t/m, P, N), G)$  returns **true**. Therefore  $\text{GCHECK}(X, (t/m, P, N))$  returns **true**.  $\square$

**Definition 1.** A transition relation  $\mathcal{T}$  between LMNtal rules  $\alpha_1 :- \beta_1$  and  $\alpha_2 :- \beta_2$  is defined as follows:

$$\begin{aligned} \alpha_1 :- \beta_1 &\xrightarrow{\mathcal{T}} \alpha_2 :- \beta_2 \\ \text{iff } \exists \alpha_p :- \beta_p \in P. \exists \gamma, \gamma'. & \\ \alpha_2 &\xrightarrow{\alpha_p :- \beta_p} \alpha_1, \gamma \wedge \beta_2 \equiv \beta_1, \gamma \\ &\wedge \beta_p \equiv \gamma, \gamma' \wedge \gamma' \neq \mathbf{0} \end{aligned}$$

Next, we define a labeling function  $\mathcal{L} : \mathcal{W} \rightarrow 2^{\{\mathbf{s}, \mathbf{r}\}}$  as follows, where  $\mathcal{W}$  is the whole set of LMNtal rules:

$$\begin{aligned} \mathbf{s} \in \mathcal{L}(\alpha :- \beta) &\quad \text{iff } \alpha \equiv T \\ \mathbf{r} \in \mathcal{L}(\alpha :- \beta) &\quad \text{iff } \alpha \xrightarrow{P}^* \beta \end{aligned}$$

If  $\mathbf{r} \in \mathcal{L}(\alpha :- \beta)$ , we say  $\alpha :- \beta$  is *reducible*. Then we consider a Kripke structure  $\mathcal{S} = (\mathcal{W}, \mathcal{T}, \mathcal{L})$  which represents the state space of the rule type checking algorithm.

**Lemma 6.** If  $\alpha :- \beta$  is reducible and  $\alpha :- \beta \xrightarrow{\mathcal{T}} \alpha' :- \beta'$ , then  $\alpha' :- \beta'$  is reducible.

*Proof.* By the assumption, we have  $\alpha \xrightarrow{P}^* \beta$ . By the definition of  $\mathcal{T}$ , we have  $\exists \gamma. \alpha' \xrightarrow{P} \alpha, \gamma \beta' \equiv \beta, \gamma$ . Then we have  $\alpha' \xrightarrow{P} \alpha, \gamma \xrightarrow{P}^* \beta, \gamma \equiv \beta'$ , and  $\alpha' \xrightarrow{P}^* \beta'$  holds.  $\square$

**Lemma 7.** If  $P, Q \equiv R, S$  holds,  $P \equiv A_1, A_2$ ,  $Q \equiv A_3, A_4$ ,  $R \equiv A_1, A_3$ ,  $S \equiv A_2, A_4$  holds for certain graphs  $A_1, A_2, A_3, A_4$ .

*Proof.* This follows by the rules of structural congruence.  $\square$

**Lemma 8.** If  $P \xrightarrow{\alpha :- \beta} Q$  holds, there exists a graph  $C$  that satisfies  $P \equiv C, \alpha$ ,  $Q \equiv C, \beta$ .

*Proof.* This follows by the rules of structural congruence and reduction relation.  $\square$

**Lemma 9.** If  $X \xrightarrow{P} Y \equiv \alpha, C$  ( $p = \alpha_p :- \beta_p \in P$ ) holds, one of the followings holds:

- $\forall \beta. \exists \alpha', \beta', C_1, C_2. \alpha : - \beta \xrightarrow{\mathcal{T}} \alpha' : - \beta' \wedge C \equiv C_1, C_2 \wedge X \equiv \alpha', C_2 \wedge \beta' \equiv \beta, C_1$
- $\exists C'. X \equiv \alpha, C' \wedge C' \xrightarrow{P} C$

*Proof.* By  $X \xrightarrow{P} Y$  and Lemma 8, there exists  $C_p$  s.t.  $X \equiv \alpha_p, C_p, Y \equiv \beta_p, C_p$ . Then we have  $Y \equiv \beta_p, C_p \equiv \alpha, C$  and, by Lemma 7, there exist  $C_1, C_2, C_3, C_4$  s.t.  $C \equiv C_1, C_2, \alpha \equiv C_3, C_4, \beta_p \equiv C_1, C_3, C_p \equiv C_2, C_4$ .

**Case 1:  $C_3 \neq 0$**

Let  $\alpha' = \alpha_p, C_4, \beta' = \beta, C_1$ . Then we have  $\alpha' \equiv \alpha_p, C_4 \xrightarrow{P} \beta_p, C_4 \equiv C_1, C_3, C_4 \equiv \alpha, C_1$ . Here we consider  $C_1, C_3$  as  $\gamma, \gamma'$  in the definition  $\mathcal{T}$  respectively, and we have  $\alpha : - \beta \xrightarrow{\mathcal{T}} \alpha' : - \beta'$ . Also, we have  $X \equiv \alpha_p, C_p \equiv \alpha_p, C_2, C_4 \equiv \alpha', C_2$ .

**Case 2:  $C_3 \equiv 0$**

By  $C_1 \equiv \beta_p$ , we have  $C \equiv \beta_p, C_2$ . Therefore  $\alpha_p, C_2 \xrightarrow{P} C$  holds. Here we consider  $C'$  s.t.  $C' \equiv \alpha_p, C_2$ , then  $C' \xrightarrow{P} C$  holds. Besides, by  $\alpha \equiv C_4$ , we have  $C_p \equiv C_2, \alpha$ . Then we have  $X \equiv \alpha_p, C_p \equiv \alpha_p, C_2, \alpha \equiv \alpha, C'$ .  $\square$

**Lemma 10.** If  $\mathcal{S}, r \models \neg \text{sWr}$  holds,  $r$  preserves the type  $T$ .

*Proof.* We assume  $G \triangleleft T, G \xrightarrow{r} G'$ , and  $\mathcal{S}, r \models \neg \text{sWr}$ , and we will prove  $G' \triangleleft T$ .

By  $G \triangleleft T$ , we have  $\forall i < n. X_{i+1} \xrightarrow{P_i} X_i$  for a certain non-negative integer  $n$ , graphs  $X_0, \dots, X_n$  ( $X_0 = G, X_n = T$ ), and  $p_0, \dots, p_{n-1} \in P$ . By  $G \xrightarrow{r} G'$ , there exists  $C \in \mathcal{G}(N \cup \Sigma)$  s.t.  $G \equiv \alpha, C, G' \equiv \beta, C$  where  $r = \alpha : - \beta$ .

Next, we will show that, if  $X_i \equiv \alpha_i, C_i$  holds, there exist  $\alpha_{i+1}, \beta_{i+1}, C_{i+1}$  such that:

$$\alpha_i : - \beta_i \xrightarrow{\mathcal{T}}^* \alpha_{i+1} : - \beta_{i+1} \wedge X_{i+1} \equiv \alpha_{i+1}, C_{i+1} \wedge \beta_{i+1}, C_{i+1} \xrightarrow{P}^* \beta_i, C_i$$

By  $X_{i+1} \xrightarrow{P_i} X_i$  and Lemma 9, one of the following holds:

1.  $\exists \alpha_{i+1}, \beta_{i+1}, C'_i, C_{i+1}. \alpha_i : - \beta_i \xrightarrow{\mathcal{T}} \alpha_{i+1} : - \beta_{i+1} \wedge C_i \equiv C'_i, C_{i+1} \wedge X_{i+1} \equiv \alpha_{i+1}, C_{i+1} \wedge \beta_{i+1} \equiv \beta_i, C'_i$
2.  $\exists C_{i+1}. X_{i+1} \equiv \alpha_i, C_{i+1} \wedge C_{i+1} \xrightarrow{P_i} C_i$

If 1. holds, it is obvious since we have  $\beta_{i+1}, C_{i+1} \equiv \beta_i, C'_i, C_{i+1} \equiv \beta_i, C_i$ . On the other hand, if 2. holds, it is obvious when we consider  $\alpha_{i+1} = \alpha_i, \beta_{i+1} = \beta_i$ .

Thus, there exist  $\alpha_n, \beta_n, C_n$  s.t.  $\alpha : - \beta \xrightarrow{\mathcal{T}}^* \alpha_n : - \beta_n, T \equiv \alpha_n, C_n$ , and  $\beta_n, C_n \xrightarrow{P}^* \beta, C$ . Since  $T$  consists only of one atom of the start symbol (with no self loops), we have  $T \equiv \alpha_n$ . Then  $\text{s} \in \mathcal{L}(\alpha_n : - \beta_n)$  holds.

By  $r \xrightarrow{\mathcal{T}}^* \alpha_n : - \beta_n, \mathcal{S}, r \models \neg \text{sWr}$ , and Lemma 6, we also have  $r \in \mathcal{L}(\alpha_n : - \beta_n)$ . Therefore we have  $\alpha_n \xrightarrow{P}^* \beta_n$ . Thus we have  $T \equiv \alpha_n \xrightarrow{P}^* \beta_n \xrightarrow{P}^* \beta, C \equiv G'$ , that is,  $G' \triangleleft T$ .  $\square$

**Theorem 4** (Soundness of rule type checking). For an LMNTal rule  $\alpha : - \beta$ , a ShapeType  $(t/m, P, N)$ , and a sequence of links  $L_1, \dots, L_m$ , if  $\text{RCHECK}(\alpha : - \beta, (t/m, P, N))$  returns **true**, the following formula (the rule preserving property) holds:

$$\forall G : t(L_1, \dots, L_m). G \xrightarrow{\alpha : - \beta} G' \Rightarrow G' : t(L_1, \dots, L_m)$$

*Proof.* Since  $\text{RCHECK}(\alpha : - \beta, (t/m, P, N))$  returns **true**, on all the paths from the target rule to the start symbol, there exists a state  $L : - R$  such that  $\text{REDUCE}(R, L, P, \emptyset)$  returns **true**. Therefore we have  $\mathcal{S}, r \models \neg \text{sWr}$ , and the rule preserving property holds by Lemma 10.  $\square$

**Theorem 5.** For a set of production rules  $P = P_T \cup P_{t_1} \cup \dots \cup P_{t_n} \cup \{T : -F, t_1, \dots, t_n\}$ , and a set of nonterminal symbols  $N = N_T \cup N_{t_1} \cup \dots \cup N_{t_n}$ , if every rule  $r \in R$  preserves type  $(S_T, P, N)$ ,  $t_1, \dots, t_n \vdash_R F : T$  holds.

*Proof.*  $F, G_{t_1}, \dots, G_{t_n}$  has the type  $(S_T, P, N)$  because  $P$  includes the production rule  $T : -F, t_1, \dots, t_n$  and  $T$  is the start symbol. Let  $G$  be a graph s.t.  $F, G_{t_1}, \dots, G_{t_n} \xrightarrow{R}^* G$ . Then  $G$  has the type  $(S_T, P, N)$  because every rule  $r \in R$  preserves the type. Here we assume that  $G$  contains no  $f/m$  atoms. By  $G : (S_T, P, N)$ , there exists a production path s.t.  $S_T \xrightarrow{P}^* G$ . Since  $G$  contains no  $f/m$  atoms, the production rule  $T : -F, t_1, \dots, t_n$  has not been applied in the production path. Also the nonterminal symbols of the types  $t_1, \dots, t_n$  do not appear in the production path because they can appear only after the production rule  $T : -F, t_1, \dots, t_n$  is applied. Therefore the production rules of the types  $t_1, \dots, t_n$  have not been applied in the production path, so that the nonterminal symbols  $N_{t_1}, \dots, N_{t_n}$  and the production rules  $P_{t_1}, \dots, P_{t_n}$  are redundant in the production path. Hence we have  $G : (S_T, P_T, N_T) = T$ . By the definition of functional property,  $t_1, \dots, t_n \vdash_R F : T$  holds.  $\square$