\mathcal{A} : The LOGIC OF All x are y

Larry Moss

Indiana University

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SYNTAX AND SEMANTICS

Probably the key point of logic is that there is a distinction between

syntax and semantics.

The idea is that syntax is the raw symbols. The semantics is where we get the meaning. So in our examples, we need some context or model to give a meaning.

In our examples, the syntax will start with some set N of nouns p, q, n, n_1 ,

Then our sentences are expressions of the form All p are q.

Syntax and semantics of ${\mathcal A}$

Syntax: We start with N, and then we make sentences All p are q,

We do not use any of the traditional logic symbols \land , \lor , \neg , \forall , \exists . These could be added, however.

We use Greek letters φ , ψ , χ , etc. for sentences:

Syntax and semantics of ${\mathcal A}$

Syntax: We start with N, and then we make sentences All p are q,

Semantics: A model \mathcal{M} is a set M, and for each noun p we have an interpretation $[\![p]\!] \subseteq M$.

$$\mathcal{M} \models All \ p \ are \ q \quad \text{iff} \quad \llbracket p \rrbracket \subseteq \llbracket q \rrbracket$$

The symbols $\mathcal{M} \models \varphi$ is read as \mathcal{M} satisfies φ .

A statement like $\mathcal{M} \models All \ p \ are \ q$ could also be read as

All p are q is true in \mathcal{M}

A Quirk

One fine point on the definition is that if [x] is the empty set \emptyset , then our sentence All x are y is true! So in this room now.

All people in the room over 7 feet tall are standing is (on this definition) true.

This strange point will lead us to various issues over the week.

For now, it might be best to say that it's true because there are *no exceptions*.

But we again admit that our semantics of All x are y is not what most people would agree to in cases where $[x] = \emptyset$.

Another fine point: What is this N?

The name of our logic is A, standing for All. Recall that A is built from a set N of nouns.

This set could be anything. In examples from English, it would be common nouns, usually in the plural.

In a more mathematical setting, we would usually take it to be some set of Roman letters (with subscripts if we need them).

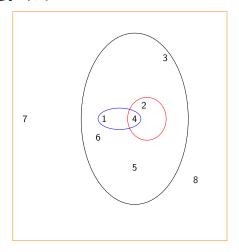
PRACTICE WITH SUBSETS AND WITH MODELS

Let $M = \{1, 2, 3, 4, 5, 6, 7, 8\}$: the orange rectangle.

Let $[\![a]\!] = \{1, 2, 3, 4, 5, 6\}$, in the black oval.

Let $\llbracket x \rrbracket = \{1, 4\}$, shown in the blue oval.

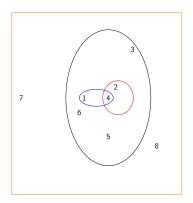
Let $[y] = \{2, 4\}$, in the red oval.



PRACTICE WITH SUBSETS AND WITH MODELS

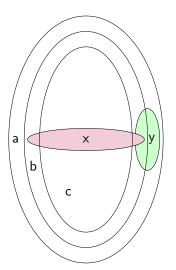
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Let M = \{1, 2, 3, 4, 5, 6, 7, 8\}: the orange rectangle. Let \llbracket a \rrbracket = \{1, 2, 3, 4, 5, 6\}. in the black oval. Let \llbracket x \rrbracket = \{1, 4\}, shown in the blue oval. Let \llbracket y \rrbracket = \{2, 4\}, in the red oval. \mathcal{M} \models \mathsf{All} \times \mathsf{are} \mathsf{a}\mathcal{M} \models \mathsf{All} \times \mathsf{are} \mathsf{a}\mathcal{M} \models \mathsf{All} \times \mathsf{are} \mathsf{a}
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 $\mathcal{M} \not\models \mathsf{All} \mathsf{ a} \mathsf{ are } \mathsf{ x}$ $\mathcal{M} \not\models \mathsf{All} \mathsf{ y} \mathsf{ are } \mathsf{ x}$ $\mathcal{M} \models \mathsf{All} \mathsf{ y} \mathsf{ are } \mathsf{ a}$ $\mathcal{M} \models \mathsf{All} \mathsf{ a} \mathsf{ are } \mathsf{ a}$



More practice with subsets and with models

My convention is that each letter represents the biggest region that it is in.

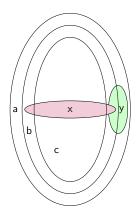


More practice with subsets and with models

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c\subseteq b and b\subseteq a c\subseteq a (but this follows)

For all sets q in the picture, q\subseteq q (again, this is by logic). y\subseteq a x\subseteq b
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No other inclusion relations hold.



$$\varphi_1,\ldots,\varphi_n\models\psi$$

$\varphi_1, \ldots, \varphi_n \models \psi \text{ MEANS}$

Every model of the premises $\varphi_1, \ldots, \varphi_n$ is a model of the conclusion ψ .

We read this as ψ follows from $\varphi_1, \ldots, \varphi_n$.

To argue that $\varphi_1, \ldots, \varphi_n \models \psi$ we need some reasoning.

Usually, we do this in English and in an informal way, just as one would do ordinary mathematics.

$$\varphi_1,\ldots,\varphi_n\not\models\psi$$

$\varphi_1, \ldots, \varphi_n \models \psi \text{ MEANS}$

Every model of the premises $\varphi_1, \ldots, \varphi_n$ is a model of the conclusion ψ .

$\varphi_1, \ldots, \varphi_n \not\models \psi$ MEANS

Some model of the premises $\varphi_1, \ldots, \varphi_n$ is not a model of the conclusion ψ .

To argue that $\varphi_1, \ldots, \varphi_n \not\models \psi$ we can produce a counterexample.

The main thing is that we have a rigorous definition.

EXAMPLE

All x are y, All y are $z \models All x$ are z

This means:

Every model ${\mathcal M}$ of the two premises

$$\varphi_1 = \operatorname{All} x \operatorname{are} y$$
 $\varphi_2 = \operatorname{All} y \operatorname{are} z$

is also a model of the conclusion $\psi = \text{All } x$ are z.

All x are y, All y are $z \models All x$ are z

Here is the reasoning:

Let \mathcal{M} be any model, and assume that the premises are true in \mathcal{M} . We show that the conclusion is also true in \mathcal{M} .

So we know that $[\![x]\!] \subseteq [\![y]\!]$, and also $[\![y]\!] \subseteq [\![z]\!]$. Thus by basic facts about sets, $[\![x]\!] \subseteq [\![z]\!]$.

This shows that $\mathcal{M} \models \mathsf{All}\ x$ are z.

And since \mathcal{M} was arbitrary, we are done.

EXAMPLE

All x are y, All y are $z \not\models All z$ are x

This means:

There is some model $\mathcal M$ of the two premises

 $\varphi_1 = \text{All } x \text{ are } y$ $\varphi_2 = \text{All } y \text{ are } z$

which is **not** a model of the conclusion $\psi = \text{All } z$ are x.

All x are y, All y are $z \not\models All z$ are x

We have to give a concrete counterexample.

Let's take
$$M = \{1, 2, 3, 4, 5, 6, 7, 8, 9\}$$
, $[\![x]\!] = \{1, 2, 3, 4\}$, $[\![y]\!] = \{1, 2, 3, 4, 5, 9\}$, $[\![z]\!] = \{1, 2, 3, 4, 5, 7, 9\}$.

Then the premises are true in this model, but the conclusion is false.

(Any model would do, I picked a "random" one.)

So we are done.

A SMALL NOTE ON NOTATION

Throughout the week, we'll use letters like φ , ψ , χ for sentences.

We use letters like Γ (Greek letter Gamma) for sets of sentences.

And then we would write $\Gamma \models \varphi$ to mean that every model of all the sentences in Γ is also a model of φ .

However, if Γ is a set that we have listed out, say

$$\Gamma = \{\varphi_1, \varphi_2, \dots, \varphi_{104}\}$$

Then usually we would write $\Gamma \models \varphi$ as

$$\varphi_1, \varphi_2, \dots, \varphi_{104} \models \varphi$$

rather than as

$$\{\varphi_1, \varphi_2, \dots, \varphi_{104}\} \models \varphi.$$

That is, we drop the set braces on the left of the \models symbol. We do this to make things a little more readable.

VALIDITY: THE IDEA

The intuition is that

$$\varphi_1, \varphi_2, \ldots, \varphi_n \models \varphi$$

should mean that

any circumstance in which the premises $\varphi_1, \varphi_2, \dots, \varphi_n$ are all true is also a circumstance in which the conclusion φ is true

Of course, the "official" meaning is in terms of models.

FORMAL PROOFS: A PRELIMINARY POINT

A formal proof is like a caricature of human reasoning.

It is very common in introductory logic classes to present one or another kind of formal proof systems. (There are probably hundreds of them.)

Working with a formal proof system is usually a tedious and boring experience.

Proof Trees for our language ${\mathcal A}$

Let Γ be a set of sentences $\{\varphi_1, \ldots, \varphi_n\}$.

A proof tree over Γ is a tree following properties:

- **1** The leaves are either labeled with sentences from Γ , or with sentences of the form $All \times are \times x$.
- The interior leaves match one of the rules of our system (see the next slide).

The trees are drawn with the root at the bottom and the leaves at the top.

$\Gamma \vdash \varphi$ MEANS

There is a proof tree over Γ whose root is labeled φ .

This is read " Γ proves φ ." or "there is a proof of φ from Γ ," or " φ is provable from Γ ".

THE RULES FOR BUILDING TREES

$$\overline{\mathsf{All}\ p\ \mathsf{are}\ p}\ ^{\mathsf{AXIOM}}$$

$$\frac{\text{All p are n}}{\text{All p are q}} \text{ } \text{BARBARA}$$

The (AXIOM) rule means that we can have All p are p provided that there is nothing above it, and this All p are p is justified as an "axiom".

The second rule is pretty straightforward.

Let Γ be the set

{All a are b, All q are a, All b are d, All c are d, All a are q}

Let φ be All q are d.

EXAMPLE

Here is a proof tree showing that $\Gamma \vdash \varphi$:

$$\frac{All\ q\ are\ a}{All\ q\ are\ d} \frac{All\ a\ are\ b}{All\ a\ are\ d}_{\text{BARBARA}}$$

All three leaves belong to Γ .

Note also that two elements of Γ are not used as leaves.

This is permitted according to our definition.

The proof tree above shows that $\Gamma \vdash \varphi$.

What are we doing here?

The idea is that proof trees are our model of basic reasoning using the very limited kind of sentences that we have in this lecture:

All x are y.

It can be examined (and even constructed) by a person or computer who has no understanding of anything but the rules!

There are several hopes about this work:

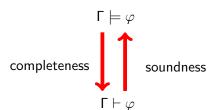
- ★ The whole thing will "scale up" to include many more words. (This would call on linguistic semantics to provide the correct notion of model.)
- \star The formal relation \vdash should have something to do with \models (logic)
- ★ The proof system ⊢ should have something to do with actual human reasoning (psychology)
- \star A computer should be able to work with \vdash without understanding anything.

A SET Γ , AND TWO QUESTIONS

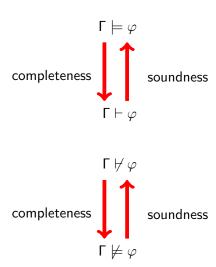
Do you think that $\Gamma \vdash All \ b \ are \ g$?

Do you think that $\Gamma \vdash All \ d \ are \ e$?

SOUNDNESS AND COMPLETENESS



SOUNDNESS AND COMPLETENESS



ONE USE OF SOUNDNESS

Soundness

If $\Gamma \vdash \varphi$, then $\Gamma \models \varphi$. If $\Gamma \not\models \varphi$, then $\Gamma \not\vdash \varphi$.

EXAMPLE

All x are $y \not\vdash All x$ are z

To show this, we'll show that

All x are
$$y \not\models All x$$
 are z

and then use the soundness of the proof system.

And for this last assertion, we can make a model:

$$M = \{1,2,3\}, \, [\![x]\!] = \{1\}, \, [\![y]\!] = \{1,2\}, \, [\![z]\!] = \{2,3\}.$$

I have skipped the routine proof that our system is sound:

If
$$\Gamma \vdash \varphi$$
, then $\Gamma \models \varphi$.

Perhaps more important is the converse of this:

Completeness

If $\Gamma \models \varphi$, then $\Gamma \vdash \varphi$.

It is important to see what this says.

Completeness tells us that if Γ semantically implies ψ , then we can find one of our (semantics-free!) proof trees showing $\Gamma \vdash \psi$.

Preorders

Definition

A preorder is a pair (P, \leq) ,

where P is a set

and \leq is a relation on it with the following properties:

REFLEXIVE $p \le p$

TRANSITIVE If $p \le q$ and $q \le r$, then $p \le r$.

CAUTION

We need not have the following property:

ANTI-SYMMETRIC if p < q and q < p, then p = q.

An anti-symmetric preorder is a partially ordered set (poset).

A SIMPLE EXAMPLE OF A PREORDER

Let N be the positive and negative numbers, and let

$$n < m$$
 iff n divides m with no remainder

We have facts like

We would in addition have $6 \equiv -6$, because $6 \le -6$ and $-6 \le 6$.

Of course 6 and -6 are not equal, so this preorder is not a partial order.

HASSE DIAGRAMS OF PREORDERS

We draw the preorder with \leq indicated by "going up (or equal)" and equivalent elements drawn right next to each other.

DOWNSETS IN PREORDERS

In any preorder, $\downarrow p = \{x : x \leq p\}$.

$$f,g \qquad \downarrow f = \downarrow g = \{a, \dots, g\} \setminus \{e\}$$

$$\downarrow d \qquad \downarrow d = \{a, b, c, d\}, \downarrow e = \{a, b, c, e\}$$

$$\downarrow b, c \qquad \downarrow b = \{a, b, c\} = \downarrow c$$

$$\downarrow a \qquad \downarrow a = \{a\}$$

 \downarrow is monotone: if $p \leq q$, then $\downarrow p \subseteq \downarrow q$.

PROOF OF COMPLETENESS: THE CANONICAL MODEL

Suppose that $\Gamma \models All \ x \ are \ y$.

At this point, we're going to make up a special model called the canonical model

Let the universe M be the set of nouns (!).

DEFINITION

Define $a \leq_{\Gamma} b$ to mean that $\Gamma \vdash AII \ a \ are \ b$.

Check that \leq_{Γ} is reflexive and transitive, using the logic. So we have a preorder, called the canonical preorder of Γ .

To make a model, we must interpret the nouns. We get to interpret the nouns any way we like.

We use the downsets in the canonical preorder of Γ :

$$[a] = \downarrow a = \{b : b <_{\Gamma} a\}$$

CLAIM: $\mathcal{M} \models \Gamma$.

PROOF OF COMPLETENESS: THE CANONICAL MODEL

Here is the proof that $\mathcal{M} \models \Gamma$

Suppose Γ contains All c are d.

We must check that $[c] \subseteq [d]$ in the model which we defined.

Let $w \in \llbracket c \rrbracket$. So $w \leq_{\Gamma} c$.

Thus $\Gamma \vdash All \ w$ are c

But look:

All w are d All c are d BARBARA

All the leaves are in Γ , and the tree shows that $\Gamma \vdash \text{All } w$ are d. Thus $w \in \llbracket d \rrbracket$.

Since w is arbitrary, we have shown that $\llbracket c \rrbracket \subseteq \llbracket d \rrbracket$.

The $\dot{:}$ notation means that we have some proof tree over Γ whose root is All w are c.

We are therefore showing how to extend some such tree with one more step.

PROOF OF COMPLETENESS, CONCLUDED

Where are we?

We assumed that $\Gamma \models AII \ x$ are y.

We then made up a model \mathcal{M} .

By the Lemma, our model ${\mathcal M}$ makes all of the sentences in Γ true.

So in this model, $[x] \subseteq [y]$.

(Why is this true? It's a key point!)

But $x \in [\![x]\!]$, since we can prove All x are x from (any) Γ .

(This is where we use the (AXIOM) rule in our system.) So $x \in \llbracket y \rrbracket$.

(Again, why is this true?)

This means that $\Gamma \vdash All \times are y$, as desired.

WE DID IT!



ALGORITHM

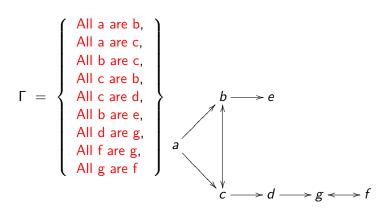
We have proved the completeness of our logical system A.

But we have not done it in a way that is algorithmically meaningful.

That is, we have not given an algorithm to tell whether or not $\Gamma \vdash \varphi$.

Our next order of business is to do this, using graphs.

EVERY [GIVES A GRAPH



This is called the All-graph of Γ .

GRAPHS GIVE PREORDERS

Let (G, \rightarrow) be any graph.

We get a preorder $(G, \stackrel{*}{\rightarrow})$ with the same points by

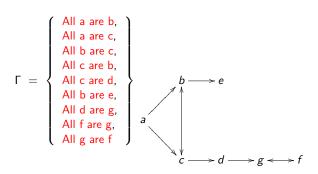
 $g \xrightarrow{*} h$ iff we can go from g to h in 0 or more steps, following the arrows

WE HAVE ALREADY SEEN THAT EVERY SET Γ GIVES A GRAPH

$$\Gamma = \left\{ \begin{array}{l} \text{All a are b,} \\ \text{All a are c,} \\ \text{All b are c,} \\ \text{All c are b,} \\ \text{All c are d,} \\ \text{All b are e,} \\ \text{All d are g,} \\ \text{All f are g,} \\ \text{All g are f} \end{array} \right\} \stackrel{b}{\longrightarrow} e$$
The graph just goes by looking at Γ .

The graph just goes by looking at Γ . If All x are y is in Γ , we put an edge from x to y in the graph. In this case, we would write $x \rightarrow y$.

WE HAVE ALREADY SEEN THAT EVERY SET Γ GIVES A GRAPH



We use $\stackrel{*}{\vdash}$ for the preorder relation determined by the graph.

In the preorder,
$$a \stackrel{*}{\Gamma} b$$
, $b \stackrel{*}{\Gamma} c$, $c \stackrel{*}{\Gamma} d$, $b \stackrel{*}{\Gamma} e$, $a \stackrel{*}{\Gamma} e$, $b \stackrel{*}{\Gamma} d$, $d \stackrel{*}{\Gamma} g$, $d \stackrel{*}{\Gamma} f$, $g \stackrel{*}{\Gamma} f$, $f \stackrel{*}{\Gamma} g$, $a \stackrel{*}{\Gamma} e$, $a \stackrel{*}{\Gamma} c$, $a \stackrel{*}{\Gamma} d$, $a \stackrel{*}{\Gamma} g$, $a \stackrel{*}{\Gamma} f$, $b \stackrel{*}{\Gamma} c$, $b \stackrel{*}{\Gamma} c$, $b \stackrel{*}{\Gamma} d$, $b \stackrel{*}{\Gamma} g$, $b \stackrel{*}{\Gamma} f$, $c \stackrel{*}{\Gamma} g$, $c \stackrel{*}{\Gamma} g$, $c \stackrel{*}{\Gamma} f$.

Also $x \xrightarrow{*} x$ for all x.

Fix a set Γ .

Let x and y be any atoms.

Then the following are equivalent:

- 1 $x \leq_{\Gamma} y$. (That is, $\Gamma \vdash All \ x \text{ are } y$.)
- ② $x \xrightarrow{*} y$. (That is, there is a path from x to y in the All-graph of Γ .)

THE POINT

There are algorithms for finding the reachability relation in any graph, in particular, we can build the All-graph of Γ and then find $\stackrel{*}{\vdash}$.

Γ INDUCES A GRAPH,

THE GRAPH INDUCES A PREORDER

HERE IS A PICTURE OF THAT PREORDER

$$\Gamma = \left\{ \begin{array}{l} \text{All a are b,} \\ \text{All a are c,} \\ \text{All b are c,} \\ \text{All c are b,} \\ \text{All c are d,} \\ \text{All b are e,} \\ \text{All d are g,} \\ \text{All f are g,} \\ \text{All g are f} \end{array} \right\} \quad f, g$$

The set P here is $\{a, \ldots, g\}$. The order is $\stackrel{*}{\longrightarrow}$ which we just

The order is $\stackrel{*}{\Gamma}$, which we just saw listed in full. We draw the order with $\stackrel{*}{\Gamma}$ indicated by going upwards.

Note that $b \equiv c$ and $f \equiv g$.

A CHALLENGE QUESTION FROM BEFORE:

Does $\Gamma \vdash All \ d \ are \ e$?

```
All a are b
                                      [a] = \{a\}
All a are c
                                      [\![b]\!] = \{a, b, c\}
                                      [\![c]\!] = \{a, b, c\}
All b are c
                                      [\![d]\!] = \{a, b, c, d\}
All c are b
                                      [e] = \{a, b, c, e\}
All c are d
                                      [\![f]\!] = \{a, \ldots, g\} \setminus \{e\}
All b are e
All d are g
                                              = \{a, b, c, d, f, g\}
                                      \llbracket g \rrbracket = \{a, \ldots, g\} \setminus \{e\}
All f are g
All g are f
```

 Γ is listed on the left.

We construct the canonical model of Γ .

It is shown on the right.

Since $\llbracket d \rrbracket$ is not a subset of $\llbracket e \rrbracket$, $\Gamma \not\models AII d$ are e.

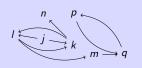
By soundness, $\Gamma \not\vdash AII \ d \ are \ e$.

An example of everything

A set Γ

All j are k, All l are m, All k are l, All k are l, All k are q, All p are q, All p are p

the all-graph of Γ



the associated preorder



the canonical model of Γ

$$\begin{bmatrix} j \\ k \end{bmatrix} &= \{j\} \\ [k] &= \{j, k, l\} \\ [l] &= \{j, k, l, m\} \\ [m] &= \{j, k, l, m\} \\ [n] &= \{j, k, l, m, p, q\} \\ [n] &= \{j, k, l, m, p, q\} \\ [n] &= \{j, k, l, m, p, q\}$$

REVIEW

Completeness: For all Γ and φ , if $\Gamma \not\vdash \varphi$, then there is some $\mathcal{M} \models \Gamma$ where φ is false.

Characteristic Model Property:

For all Γ , there is a model $\mathcal{M} \models \Gamma$ such that for all φ , if $\Gamma \not\vdash \varphi$, then φ is false in \mathcal{M} .

The main facts are

- ② If $\mathcal{M} \models \text{all } x \text{ are } y$, then $\Gamma \vdash \text{all } x \text{ are } y$.

REVIEW

Completeness: For all Γ and φ ,

if $\Gamma \not\vdash \varphi$,

then there is some $\mathcal{M} \models \Gamma$ where φ is false.

Characteristic Model Property:

For all Γ , there is a model $\mathcal{M} \models \Gamma$ such that for all φ , if $\Gamma \not\vdash \varphi$, then φ is false in \mathcal{M} .

This model is the canonical model of Γ .

The universe of the model is the set of nouns (=variables) that occur in Γ .

The definition of the model is

$$[a]$$
 = $\downarrow a$ = $\{b: b \leq_{\Gamma} a\}$ = $\{b: \Gamma \vdash All \ b \ are \ a\}$

The main facts are

- ② If $\mathcal{M} \models \text{all } x \text{ are } y$, then $\Gamma \vdash \text{all } x \text{ are } y$.

HOMEWORK: CHANGE THE SEMANTICS

Let's take a new word All*, and make a logical language using the sentences All* x y for all nouns x and y.

SEMANTICS

$$\mathcal{M} \models \mathsf{All}^* \ x \ y \quad \mathsf{iff} \quad \llbracket x \rrbracket \neq \emptyset \ \mathsf{and} \ \llbracket x \rrbracket \subseteq \llbracket y \rrbracket$$

Notice that if we have a model \mathcal{M} and a noun x where $[\![x]\!] = \emptyset$, then automatically $\mathcal{M} \not\models \mathsf{AII}^* \times y$, no matter what y is.

Logic (no Axiom)

$$\frac{\mathsf{All}^* \ x \ y}{\mathsf{All}^* \ x \ x} \ \mathsf{R} \qquad \frac{\mathsf{All}^* \ x \ y}{\mathsf{All}^* \ y \ y} \ \mathsf{S} \qquad \frac{\mathsf{All}^* \ x \ y \quad \mathsf{All}^* \ y \ z}{\mathsf{All}^* \ x \ z} \ \mathsf{B}$$

Homework: Change the semantics

Let's take a new word All*, and make a logical language using the sentences All* x y for all nouns x and y.

SEMANTICS

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Logic (no Axiom)

$$\frac{\mathsf{All}^* \ x \ y}{\mathsf{All}^* \ x \ x} \ \mathsf{R} \qquad \frac{\mathsf{All}^* \ x \ y}{\mathsf{All}^* \ y \ y} \ \mathsf{S} \qquad \frac{\mathsf{All}^* \ x \ y}{\mathsf{All}^* \ x \ z} \ \mathsf{B}$$

Homework

Prove the completeness of this system.