



# Powder X-ray Diffraction (PXRD) Applications and Sample Preparation

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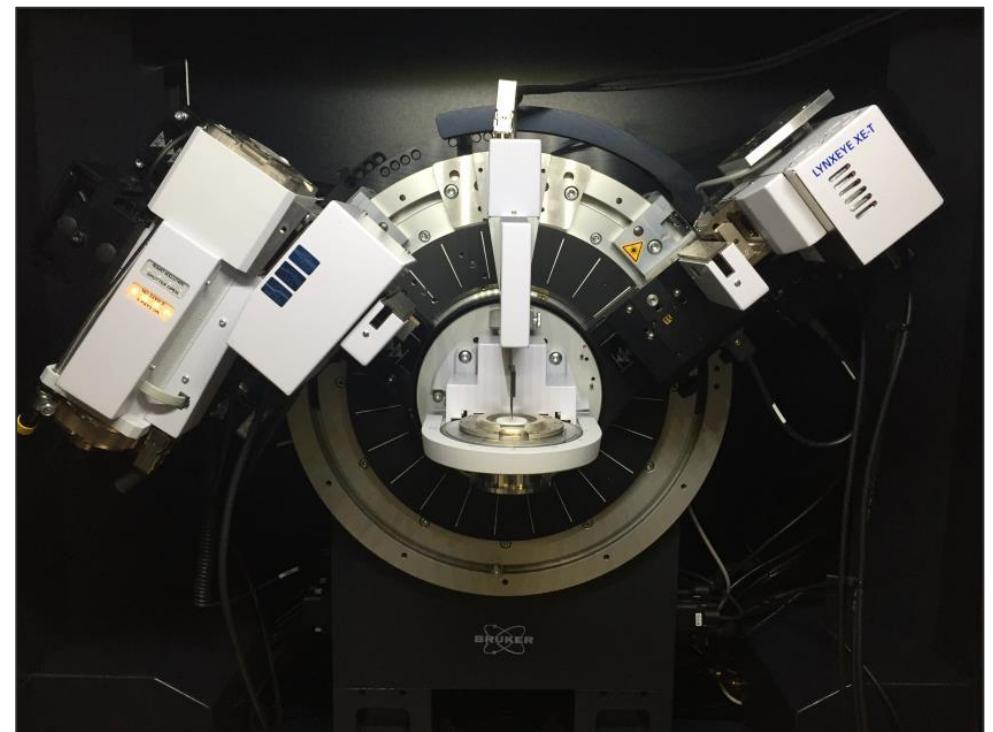
Nate Henderson, Ph.D.  
Senior Applications Scientist - XRD

# Technique Overview

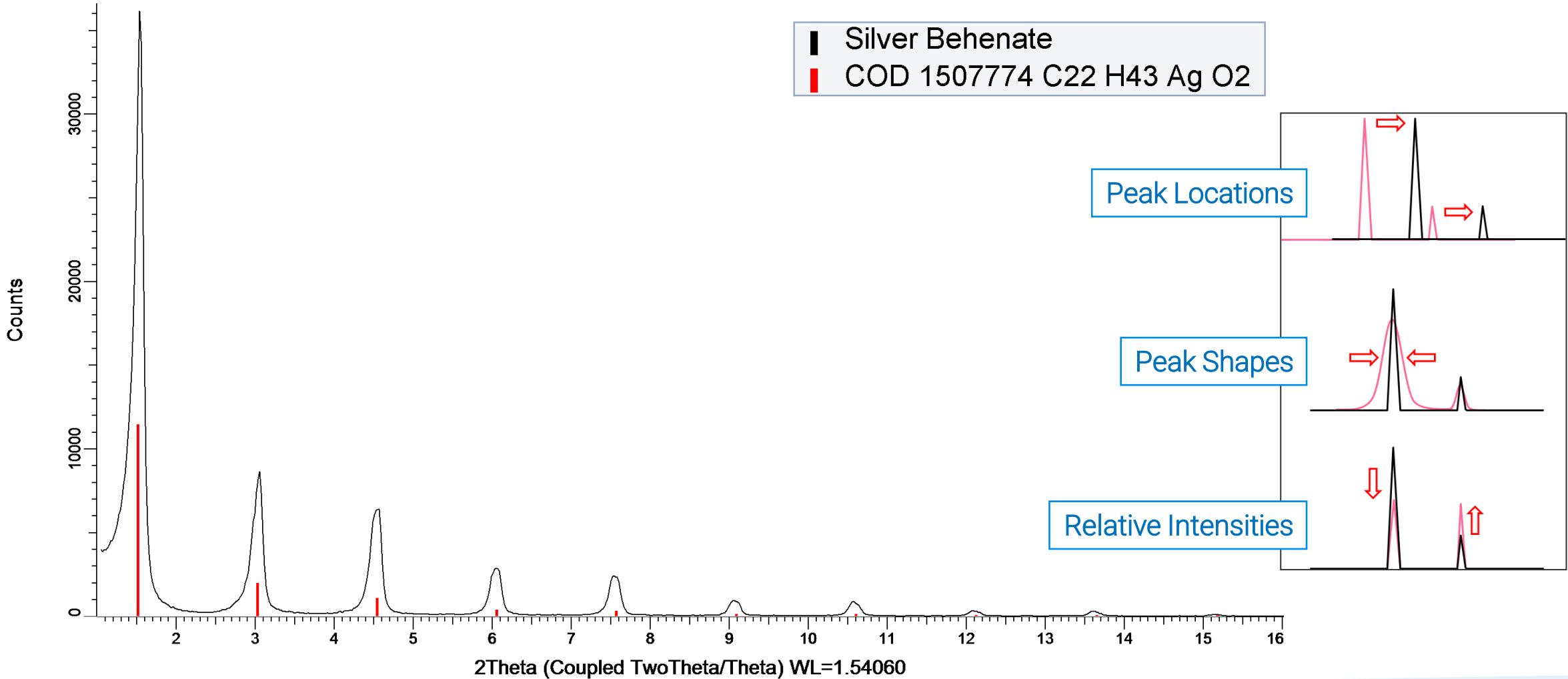
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## XRPD – Structural Characterization in the Solid State

- Measures characteristic distances crystalline materials
- Crystalline phases possess regular, repeating arrangements of atoms or molecules
- XRPD relies on precise control over incident and diffracted angles of X-ray radiation
- Diffraction signal is observed at specific angles from constructive scattering of the X-ray beam



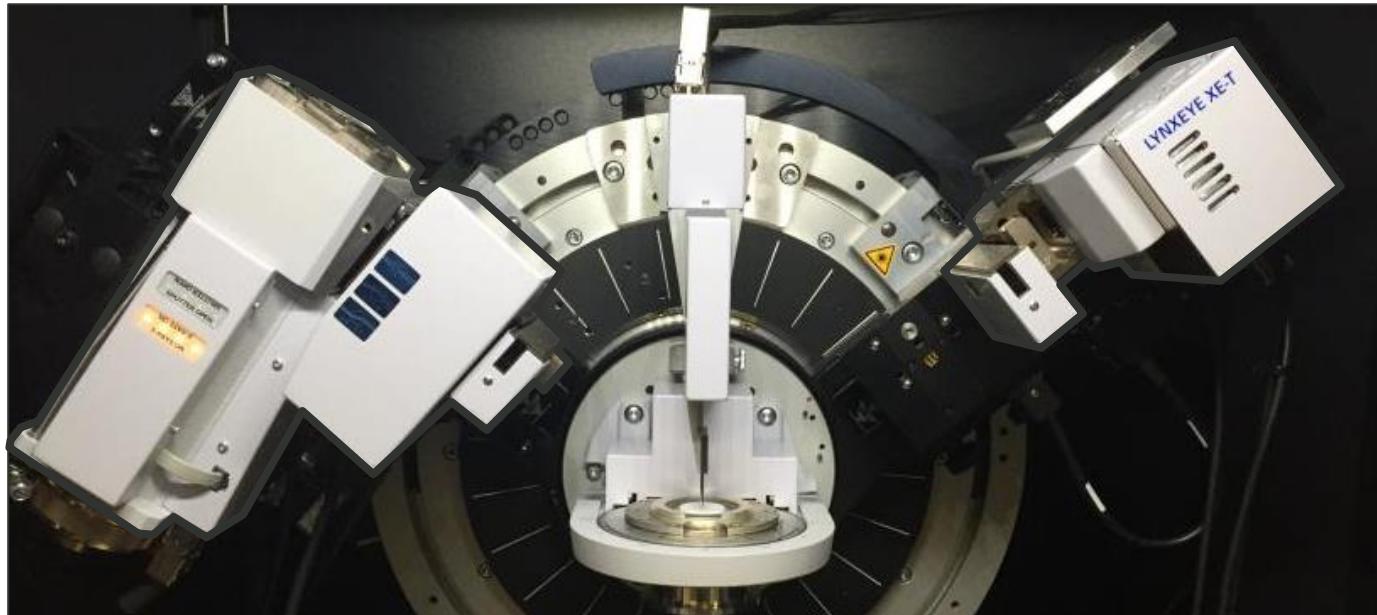
# Technique Overview



# XRPD Instrumentation



# Technique Overview



Primary

- X-ray Tube
- Divergence Slit
- Goebel Mirror
- Soller Slits



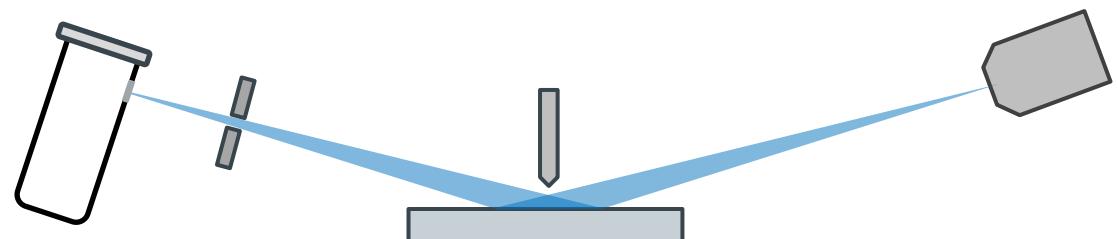
Secondary

- Air Scatter Slit
- Soller Slits
- Filters/Absorbers
- Detector

# Common Instrument Geometries – Bragg-Brentano (Reflection)



Line Geometry (1D Detector)



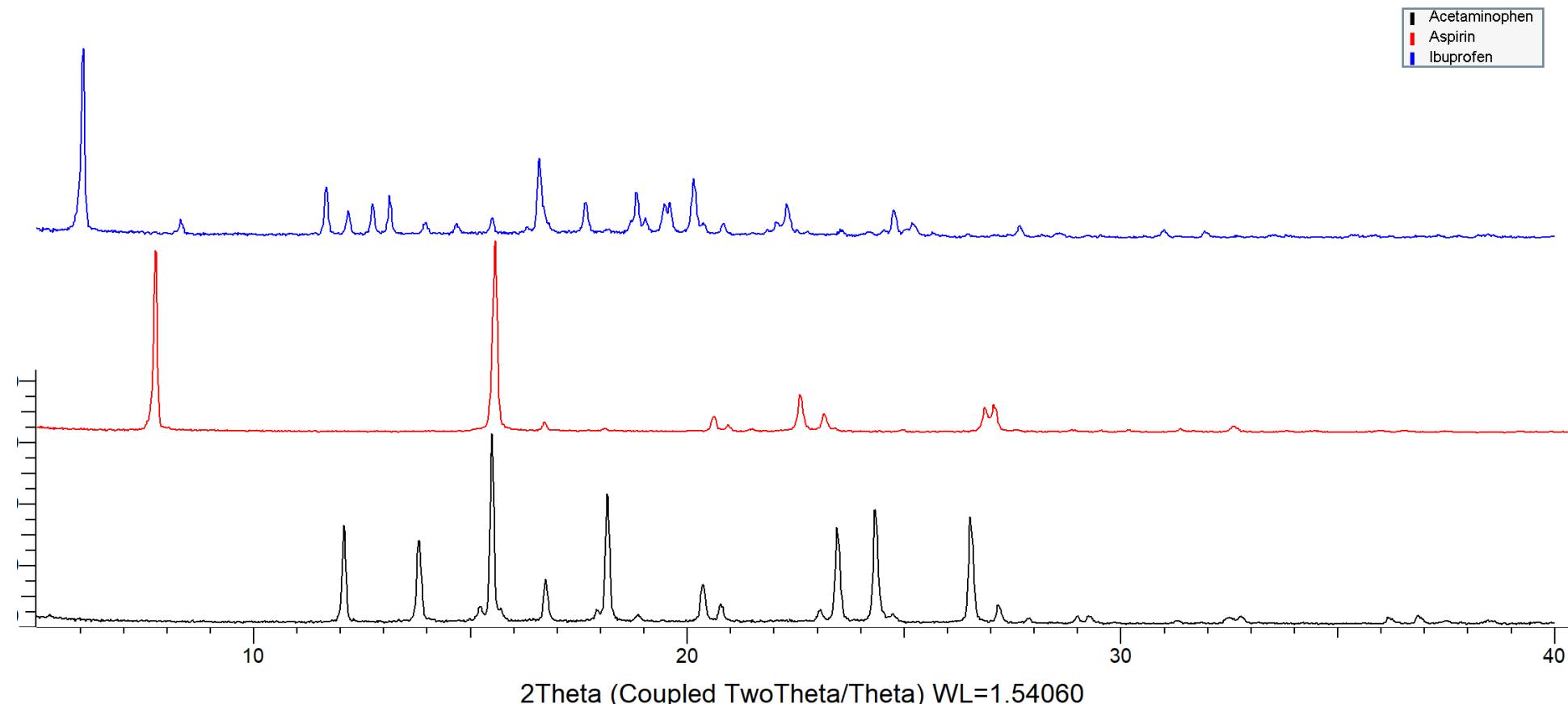
# Applications Overview

- Phase Identification
- Phase Quantification
- Degree of Crystallinity / Percent Amorphous
- Non-Ambient Diffraction
- Structure Solution and Refinement



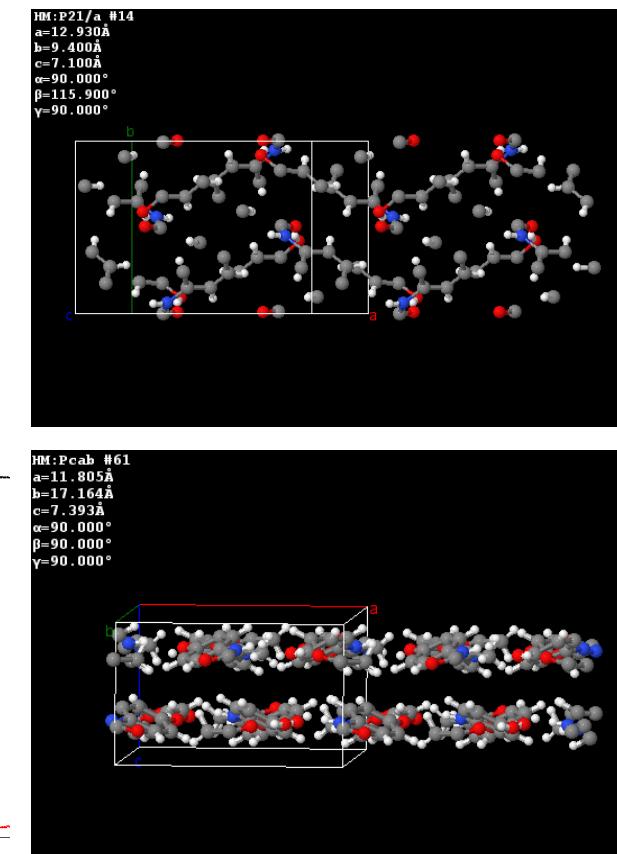
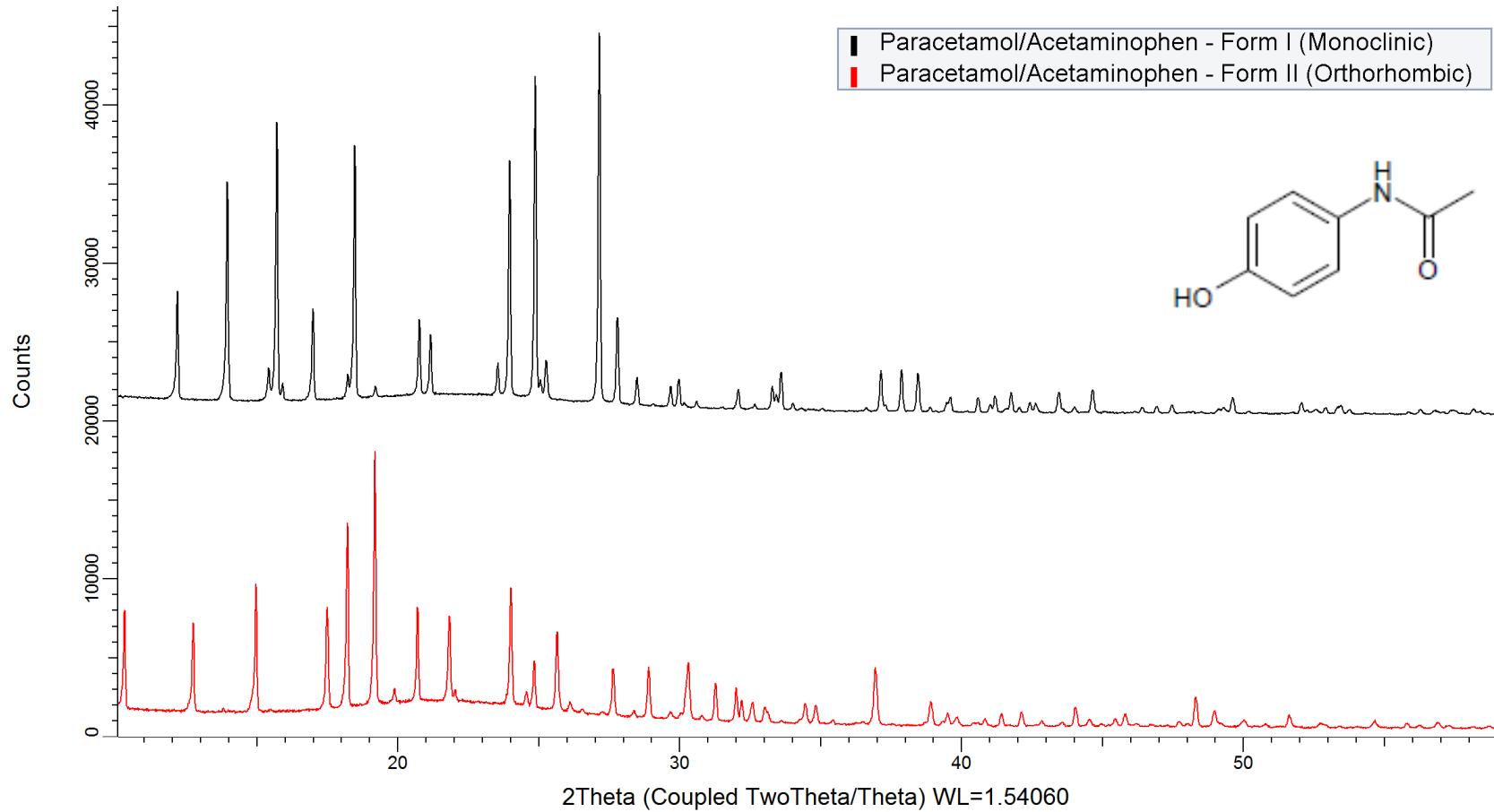
# Qualitative Analysis of Distinct Materials

Diffraction Patterns as a “Fingerprint” for Identification



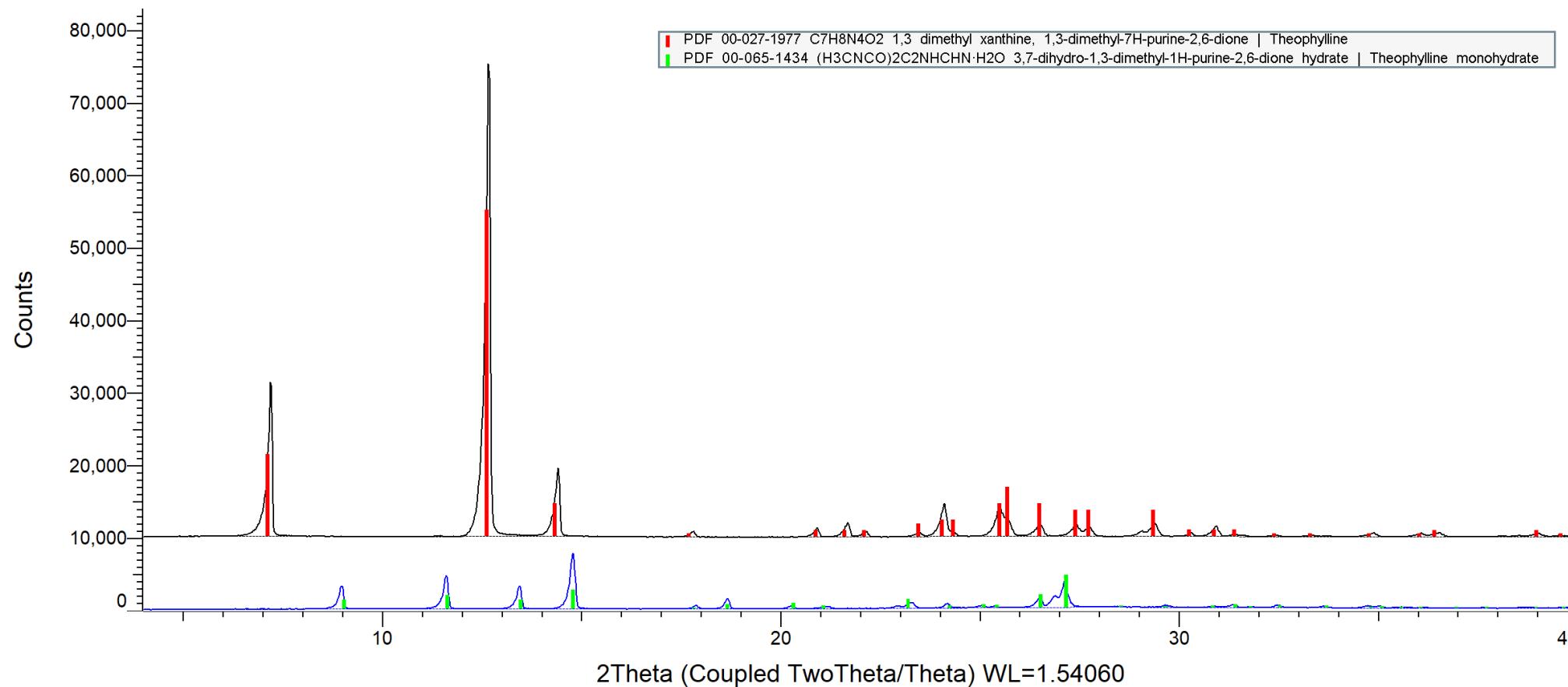
# Structural Polymorphs (Same Molecule, Different Arrangements)

Polymorphism in acetaminophen affects tableting and granulation



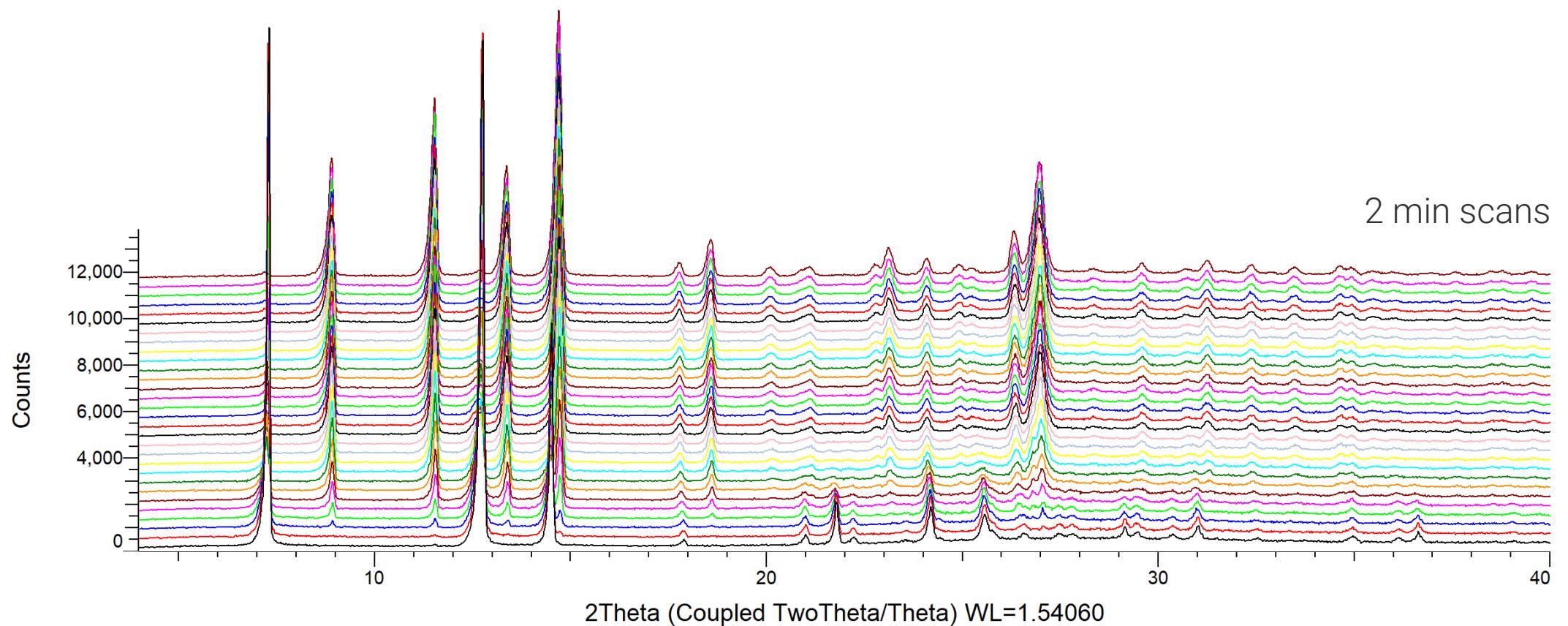
# Solvates and Salt Forms

Polymorph and salt screening can lead to the formation of distinct crystal structures



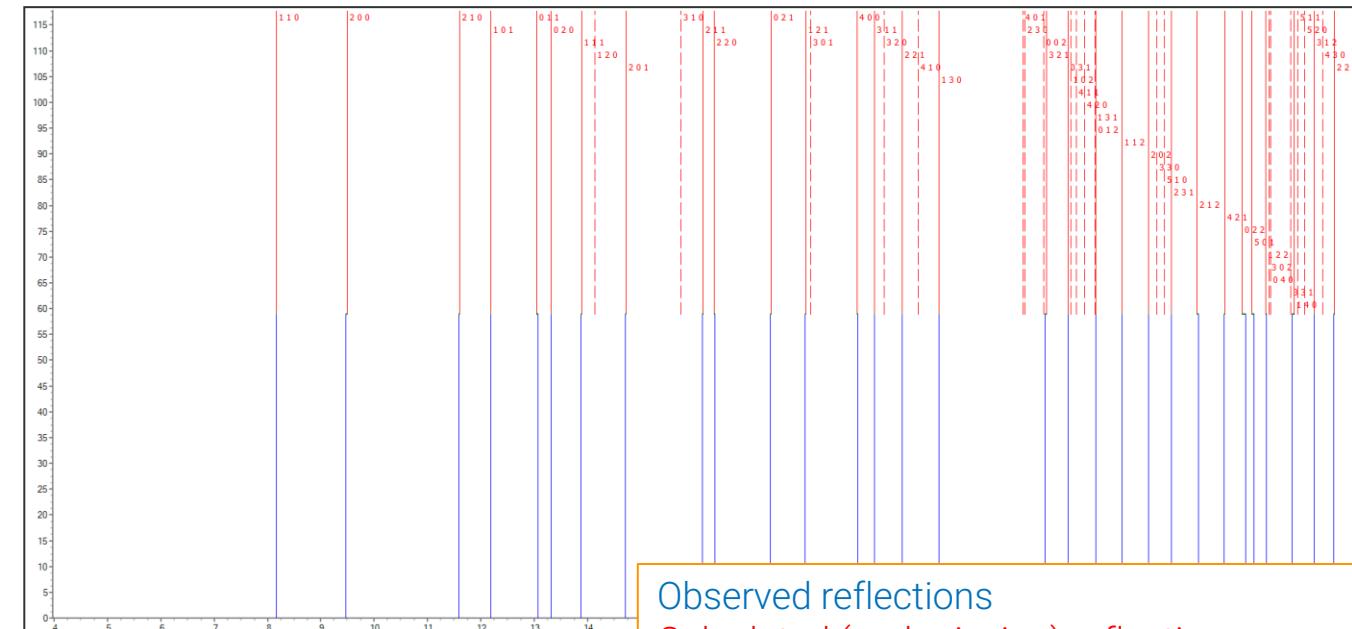
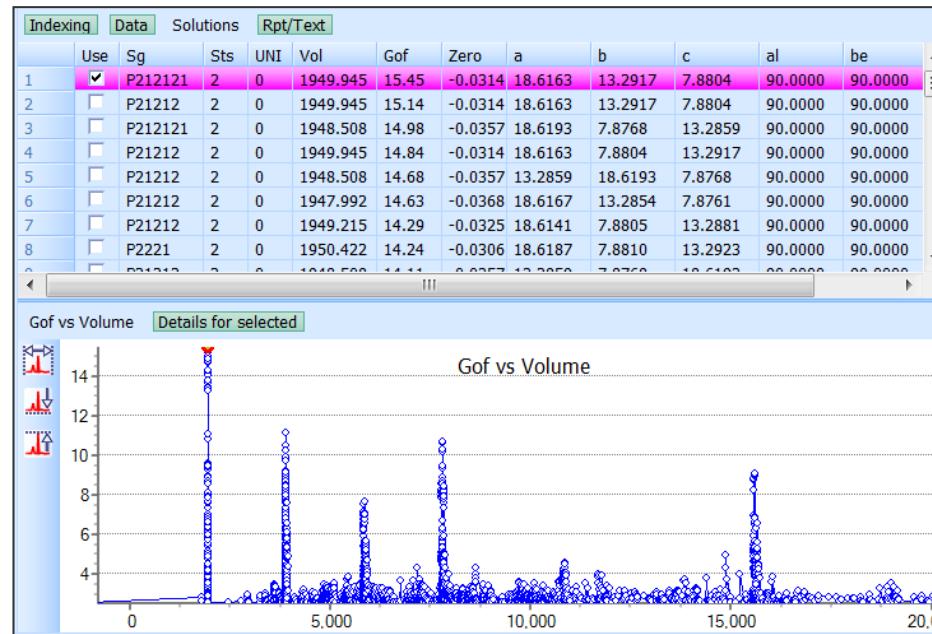
# Solvates and Salt Forms

Time-resolved studies of hydration mechanics with fast scanning (theophylline)



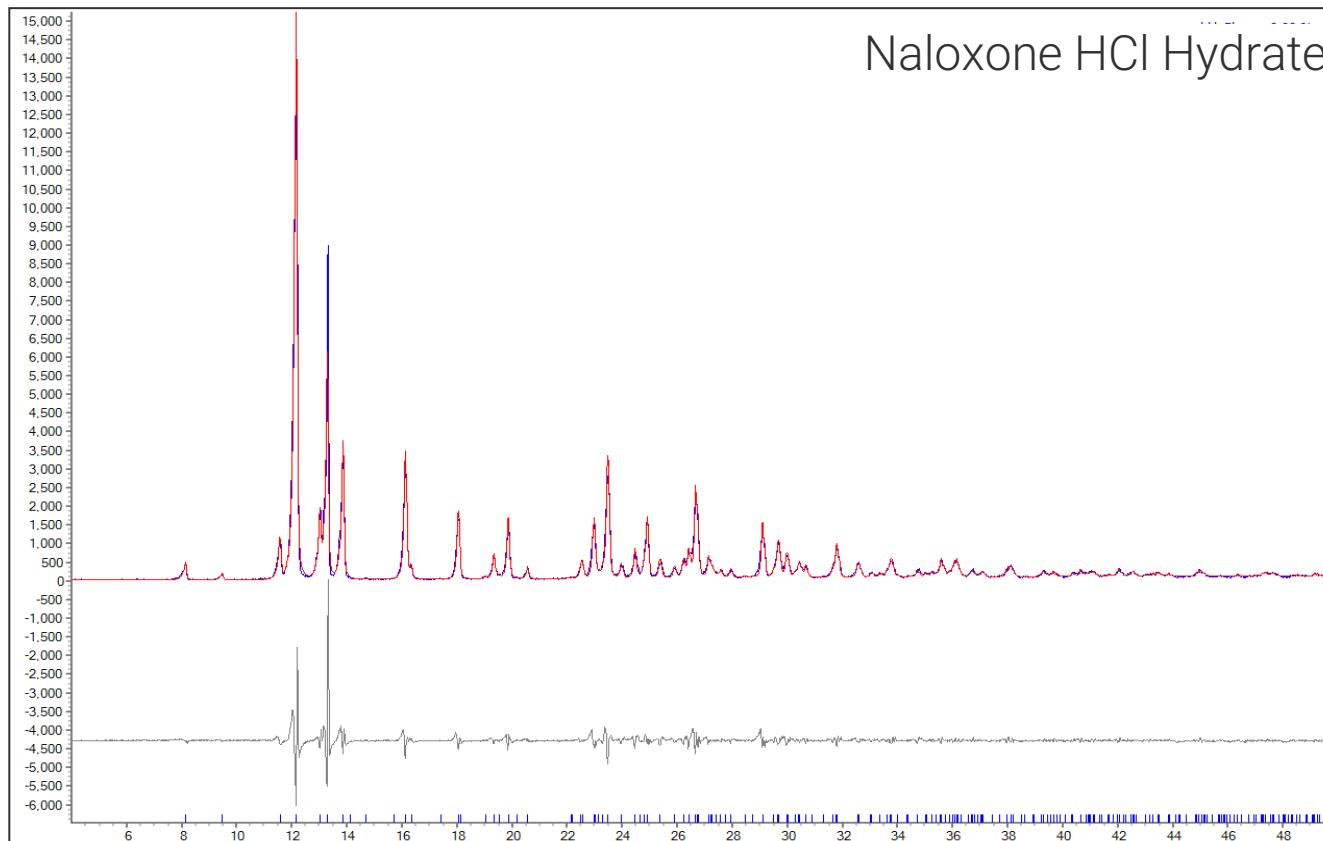
# Structure Determination and Refinement

Indexing gives a list of potential candidates for unit cells (repeating structural building block)



# Structure Determination and Refinement

Whole pattern fitting (Pawley or LeBail) provides refined unit cell parameters

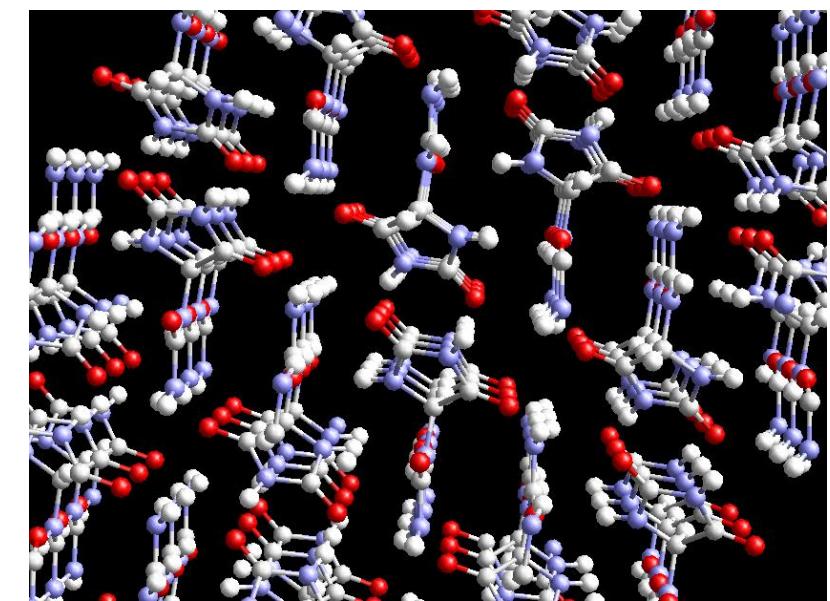
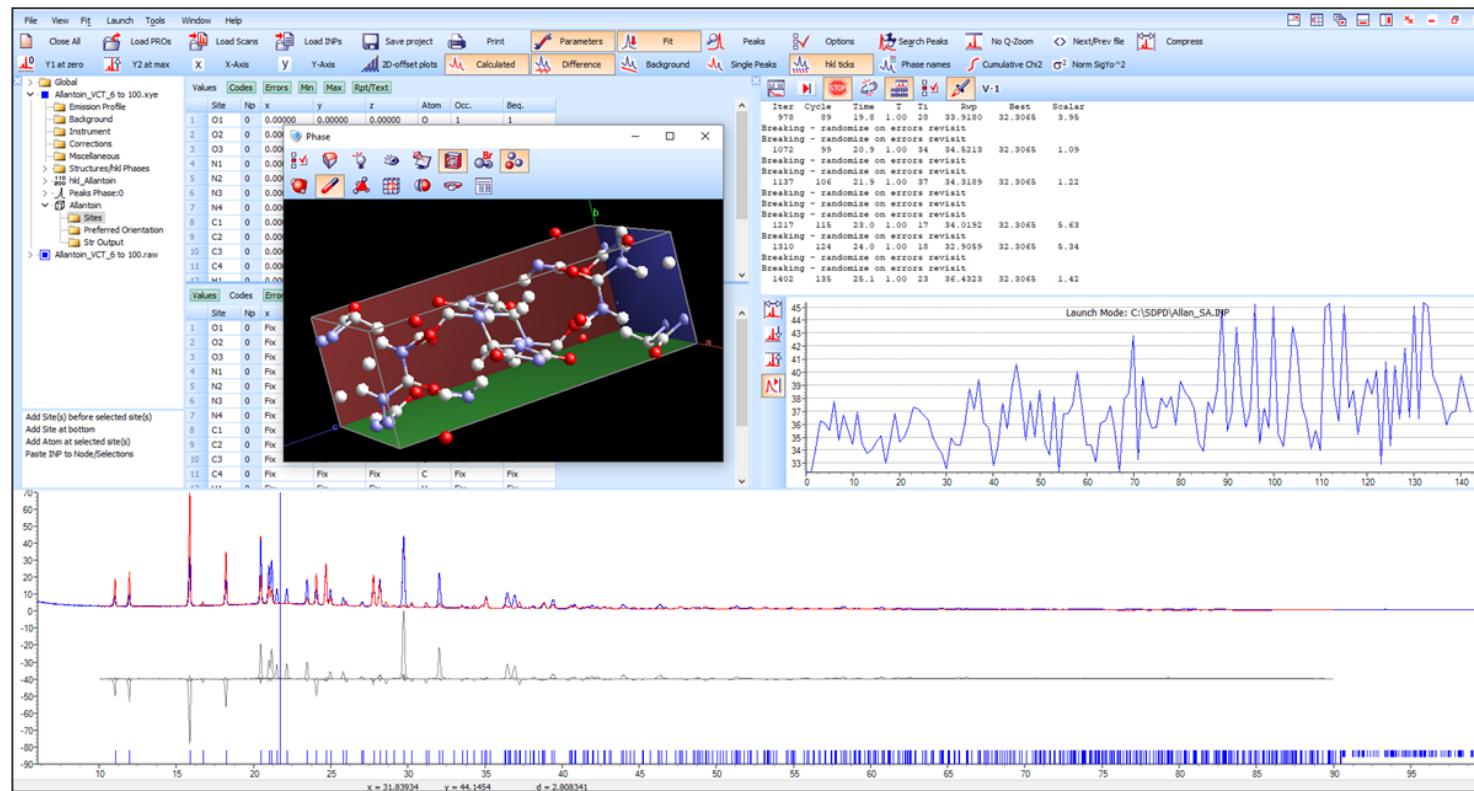


ICDD Calculated Parameters	
Space Group: P212121 (19)	
Molecular Weight: 399.87 g/mol	
Crystal Data ▾	
a: 13.185 Å	α: 90.00°
b: 18.569 Å	β: 90.00°
c: 7.833 Å	γ: 90.00°
Volume: 1917.77 Å <sup>3</sup>	
Z: 4.00	

Spacegroup	P212121
a (Å)	18.635495 @
b (Å)	13.304927 @
c (Å)	7.8940452 @

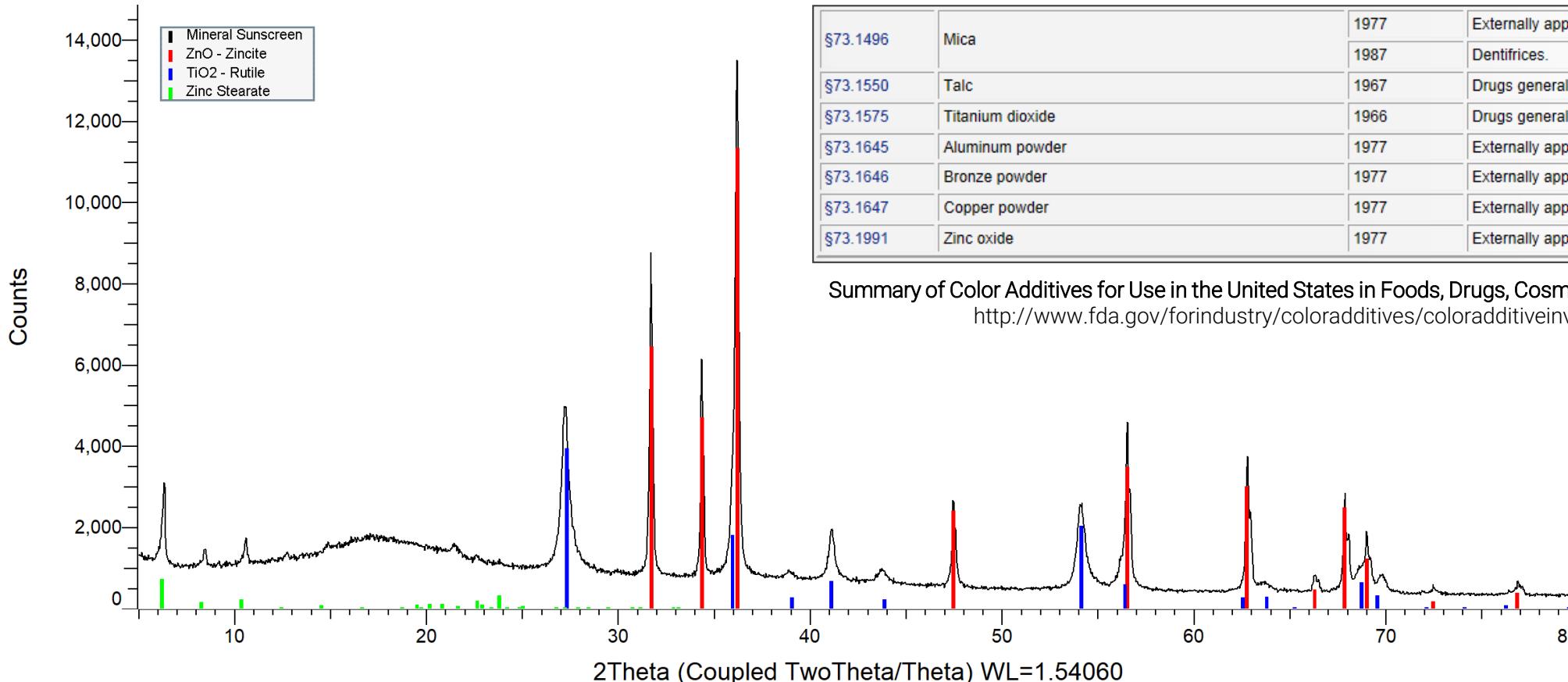
# Structure Determination and Refinement

Structure solution from powder diffraction (confirm or supplement single crystal XRD studies)



# Colorants, Dyes, and Pigments

Titanium and zinc oxides are commonly used white pigments

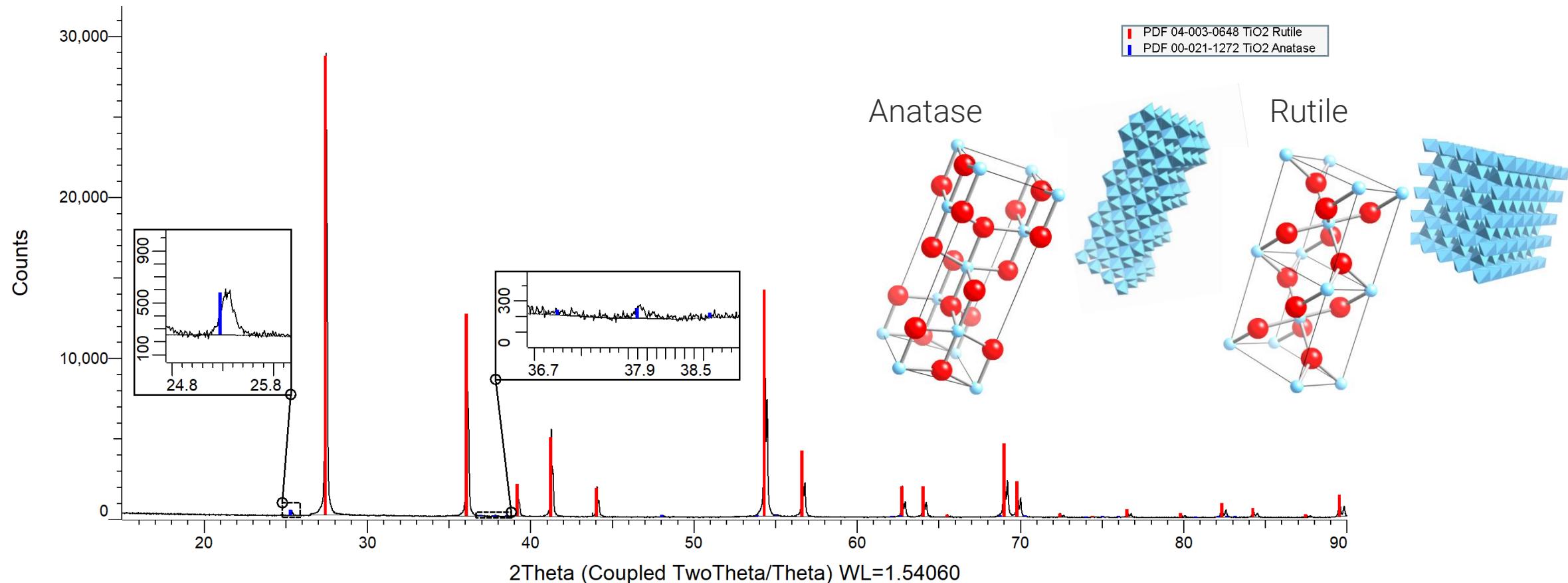


<a href="#">§73.1496</a>	Mica	1977	Externally applied drugs <sup>(5)</sup> including eye area use.
<a href="#">§73.1550</a>	Talc	1987	Dentifrices.
<a href="#">§73.1575</a>	Titanium dioxide	1967	Drugs generally <sup>(5)</sup> .
<a href="#">§73.1645</a>	Aluminum powder	1966	Drugs generally <sup>(5)</sup> including eye area use .
<a href="#">§73.1646</a>	Bronze powder	1977	Externally applied drugs <sup>(5)</sup> including eye area use.
<a href="#">§73.1647</a>	Copper powder	1977	Externally applied drugs <sup>(5)</sup> including eye area use.
<a href="#">§73.1991</a>	Zinc oxide	1977	Externally applied drugs <sup>(5)</sup> including eye area use.

Summary of Color Additives for Use in the United States in Foods, Drugs, Cosmetics, and Medical Devices  
<http://www.fda.gov/forindustry/coloradditives/coloradditiveinventories/ucm115641.htm>

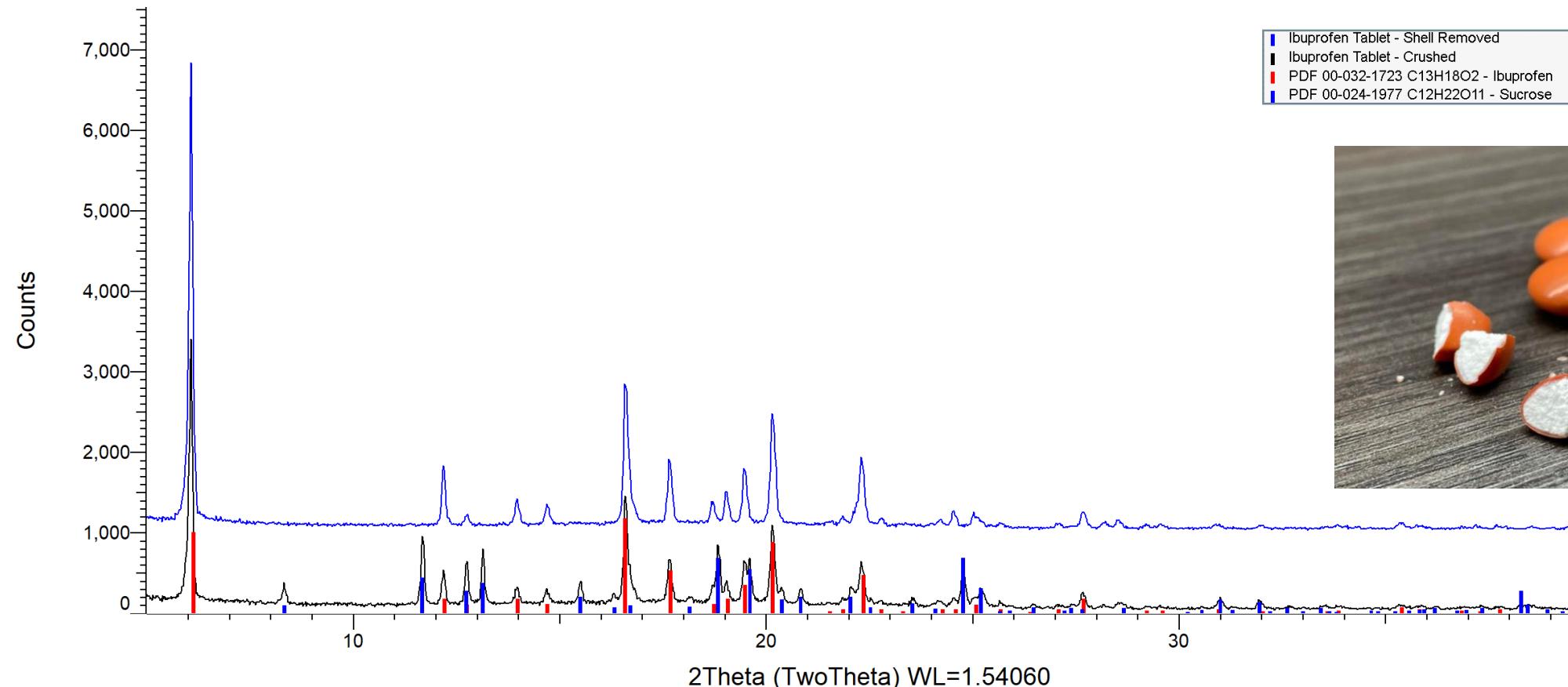
# Colorants, Dyes, and Pigments

Polymorphs of  $\text{TiO}_2$  have different optical properties



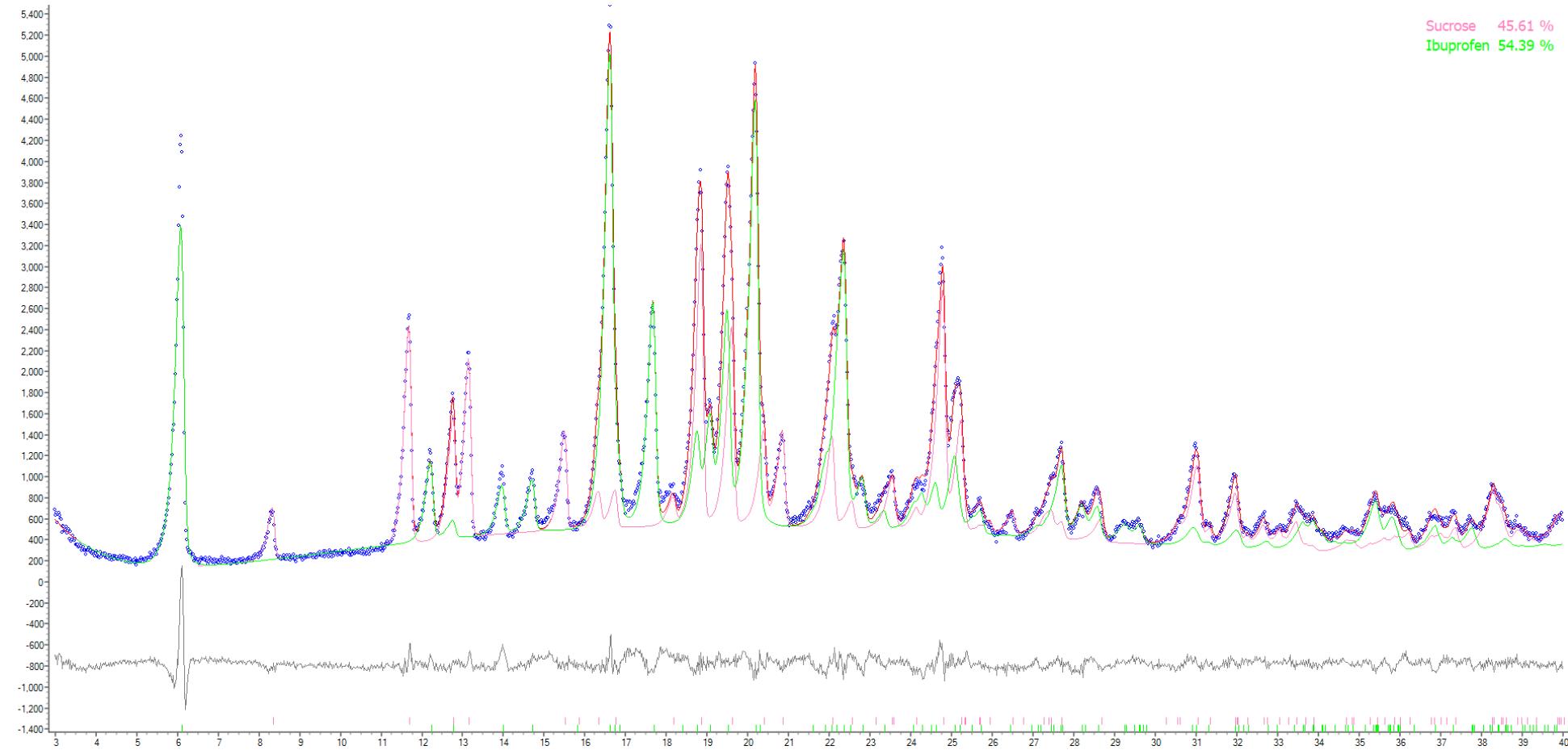
# Formulations and Excipients

## Pill and tablet engineering for masking bitter tastes or controlling release



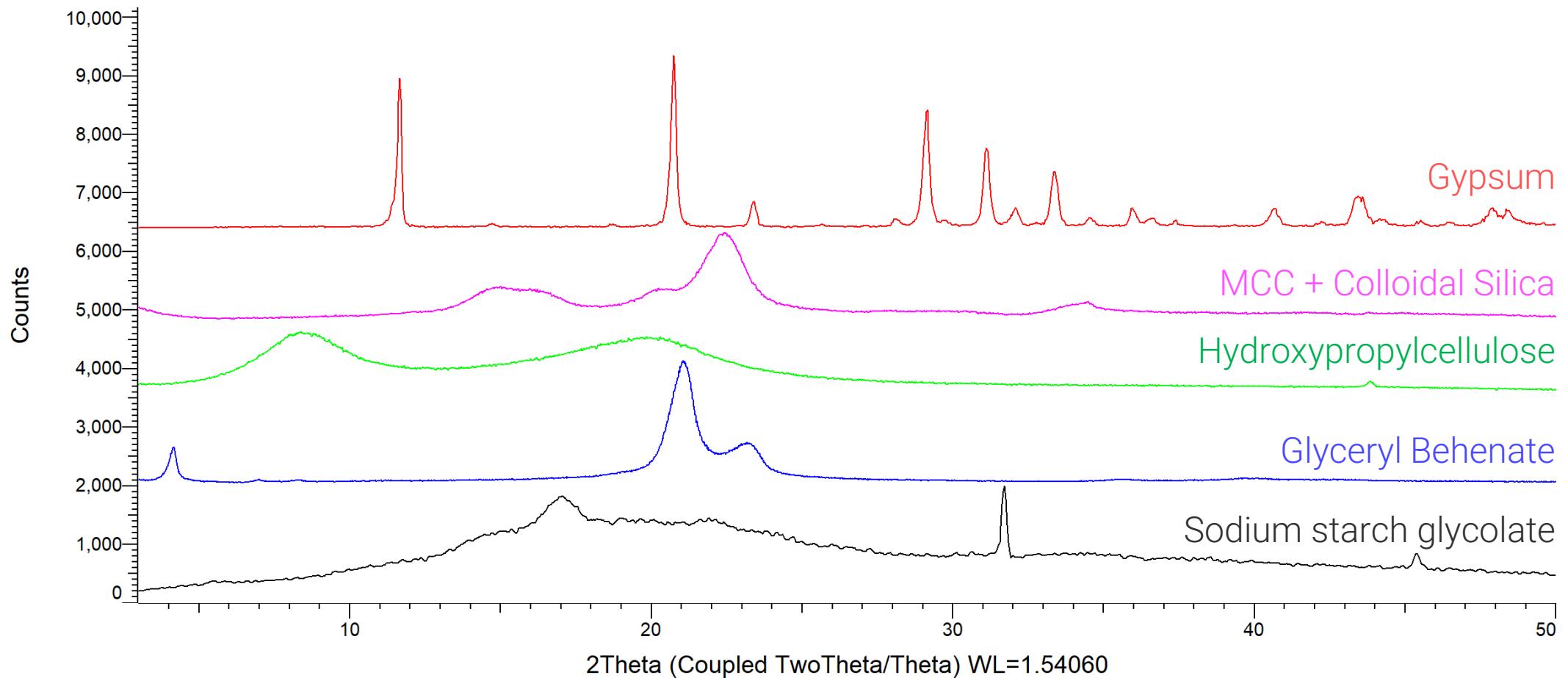
# Formulations and Excipients

## Quantification with Rietveld refinement (modeling with known crystal structures)



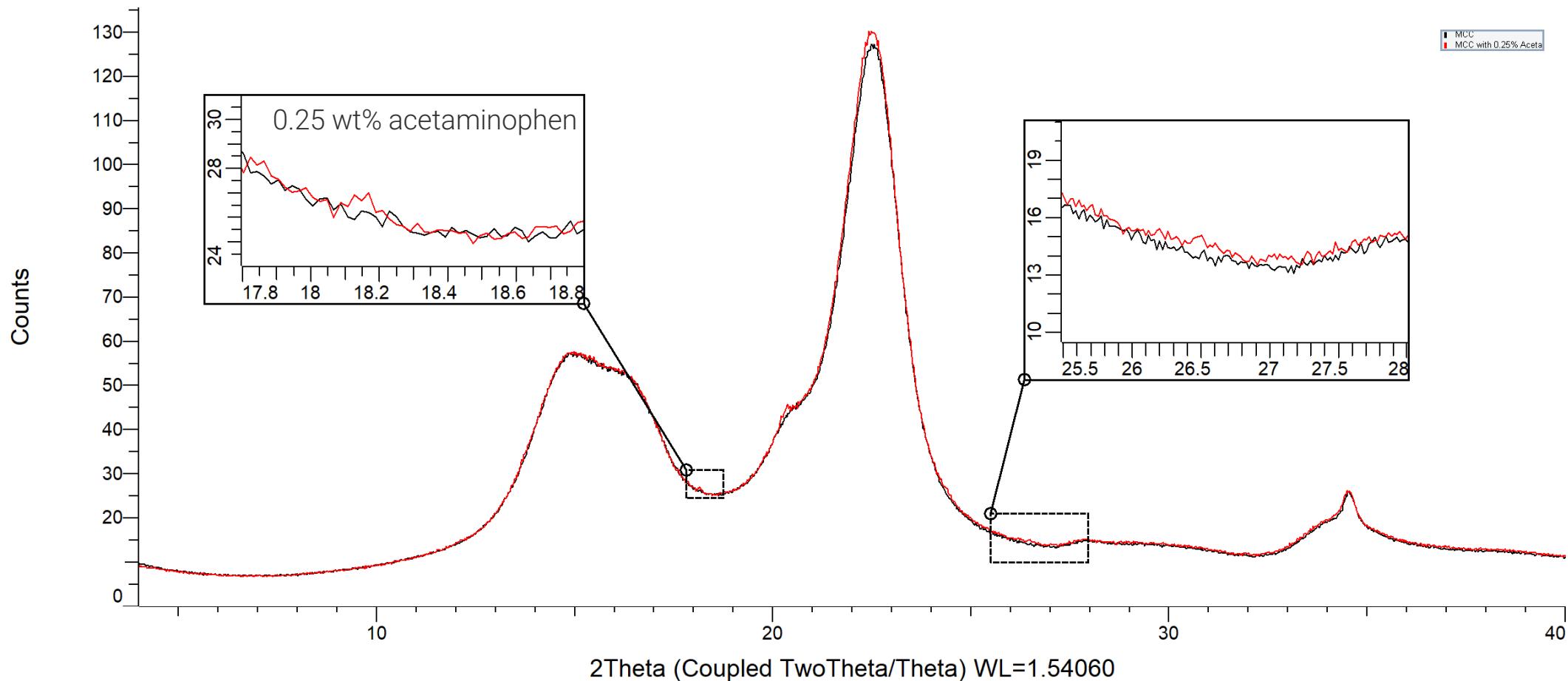
# Formulations and Excipients

Excipient phases can be crystalline or amorphous



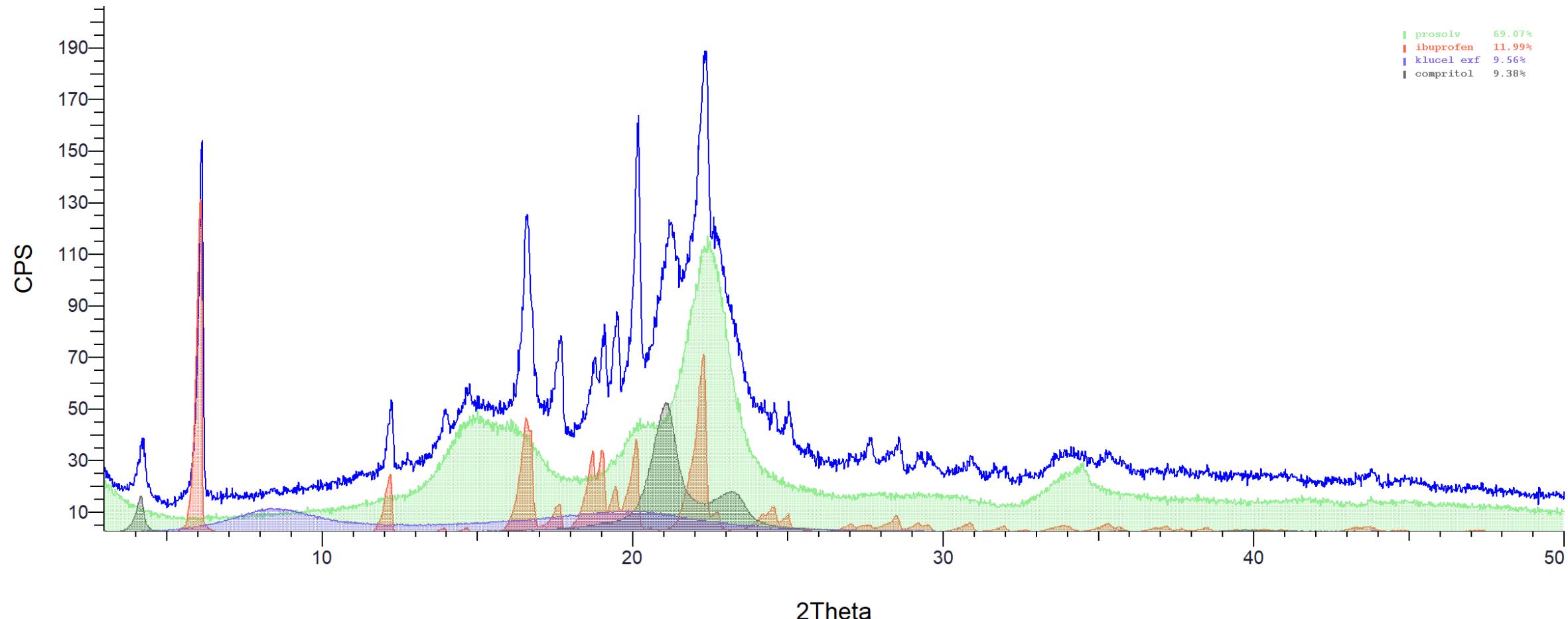
# Crystalline versus Amorphous Content

Small amounts of crystalline can be detected in predominantly amorphous data



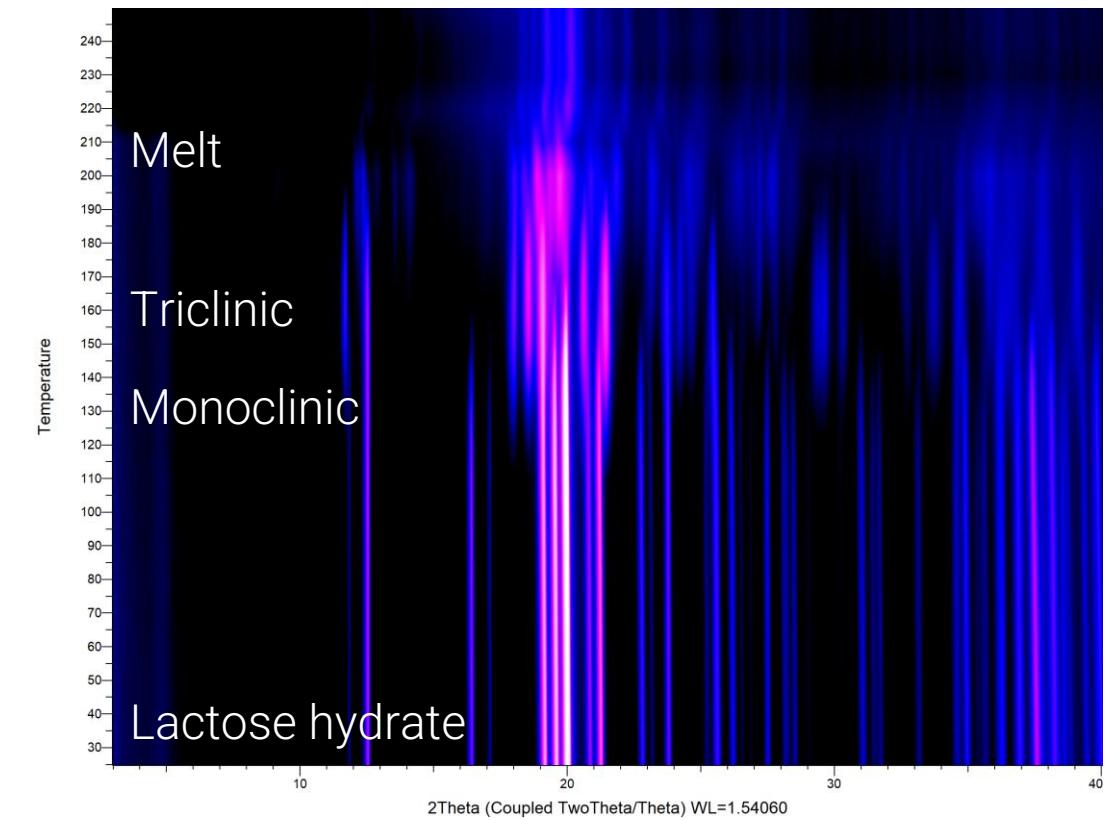
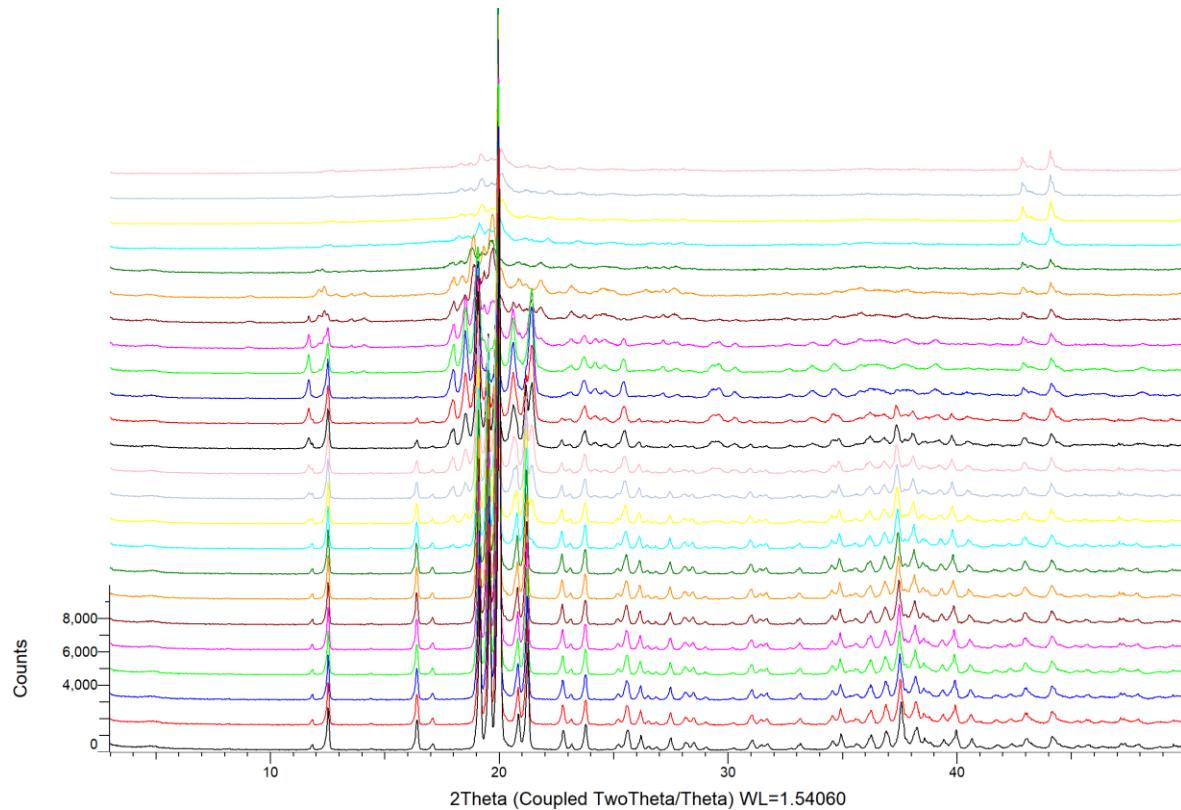
# Crystalline versus Amorphous Content

## Quantification of amorphous phases with whole pattern fitting



# Non-Ambient Diffraction

*In situ* diffraction studies reveal phase changes and can supplement DSC/TGA data





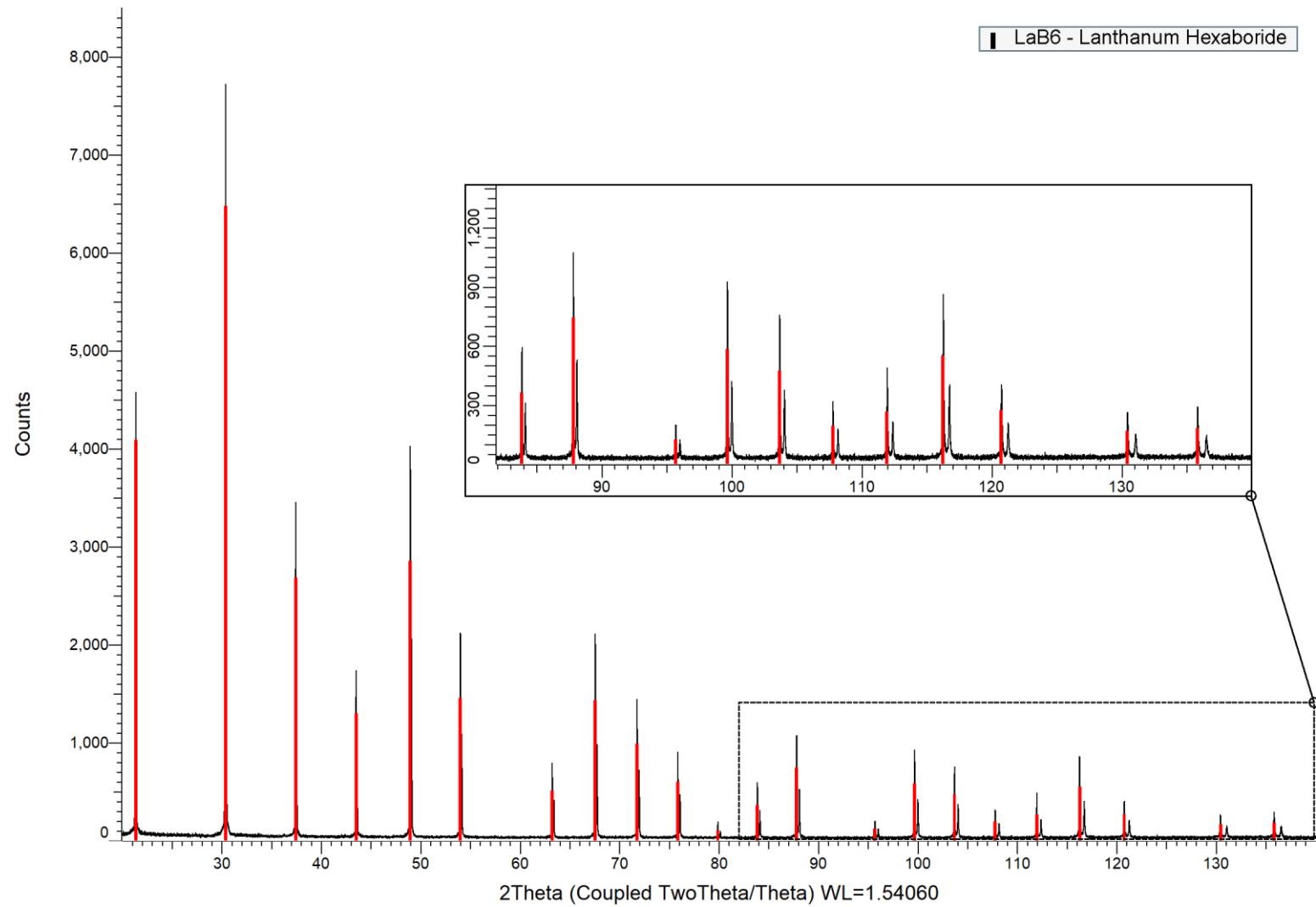
XRD FUNDAMENTALS

# Powder XRD Sample Preparation

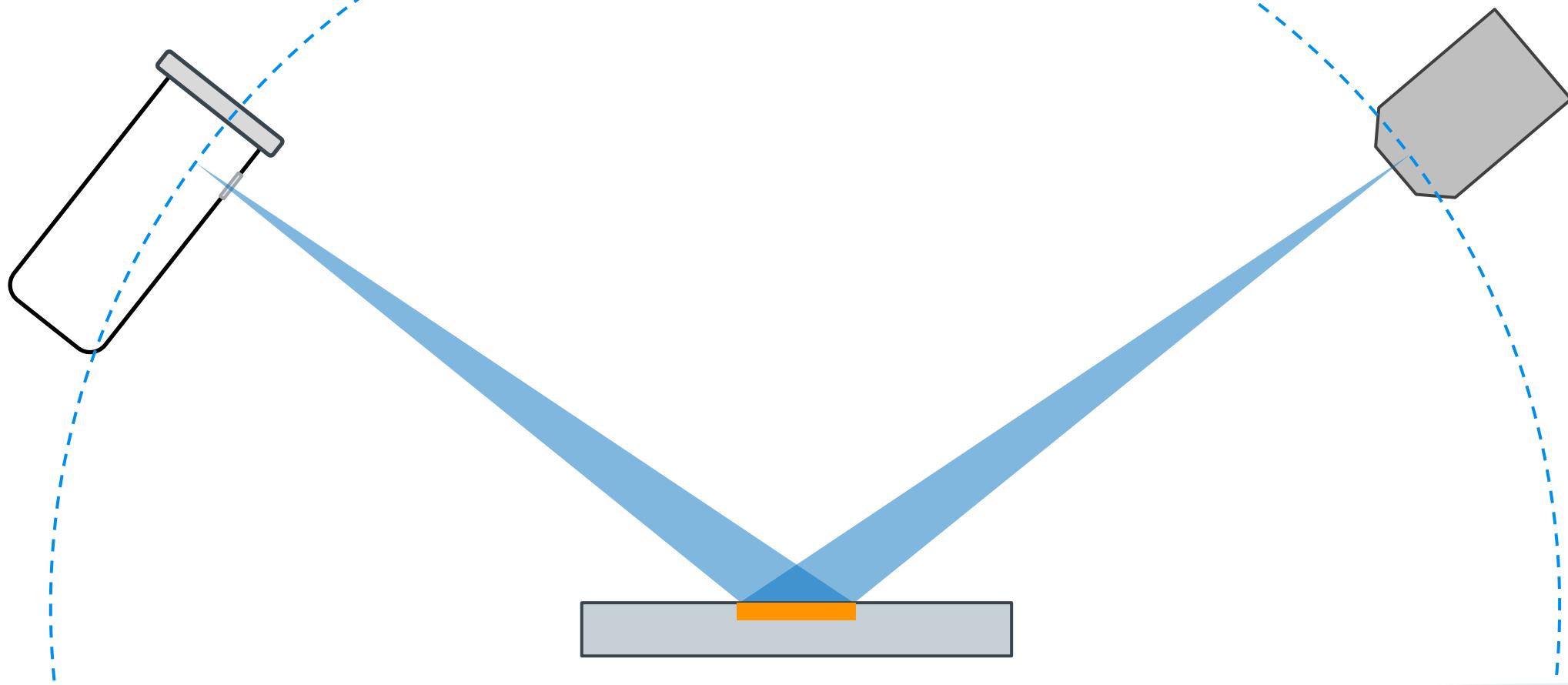
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# Data Quality from an Ideal Sample

- Sharp, clearly resolved peaks
- High peak-to-background
- High signal-to-noise
- Accurate relative peak intensities

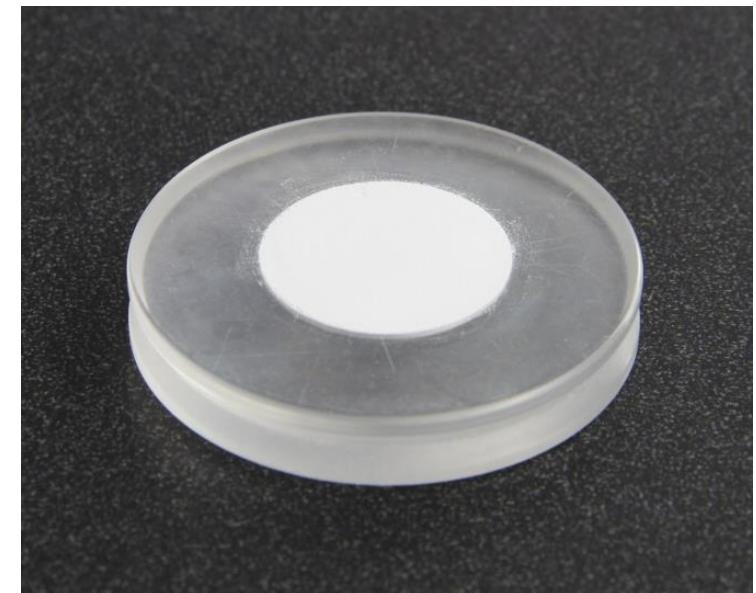


# Bragg-Brentano Geometry

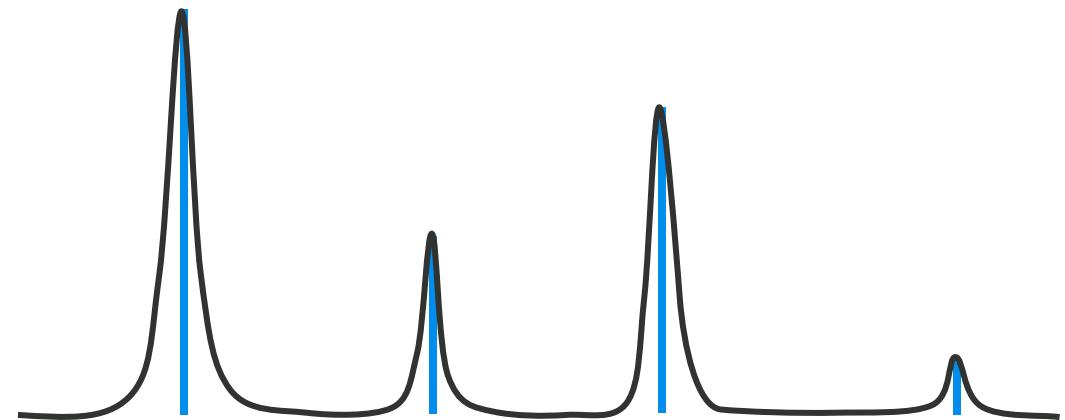
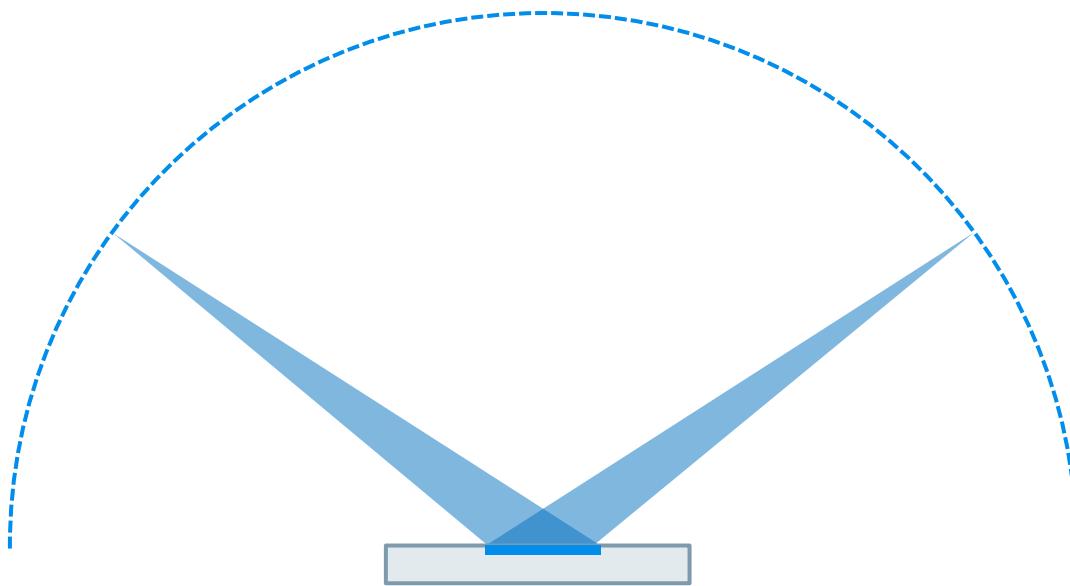


# Ideal Sample for Bragg-Brentano Geometry

- Flat
  - Sample is level with top surface of holder
  - Affected by [sample displacement](#) (sample height)
- Smooth
  - Powder is smooth and fine-grained
  - Affected by [graininess](#) (rough or coarse powder with large grains)
- Random
  - Completely randomize crystallite statistics
  - Affected by [preferred orientation](#) (over- or under-represented diffraction planes)

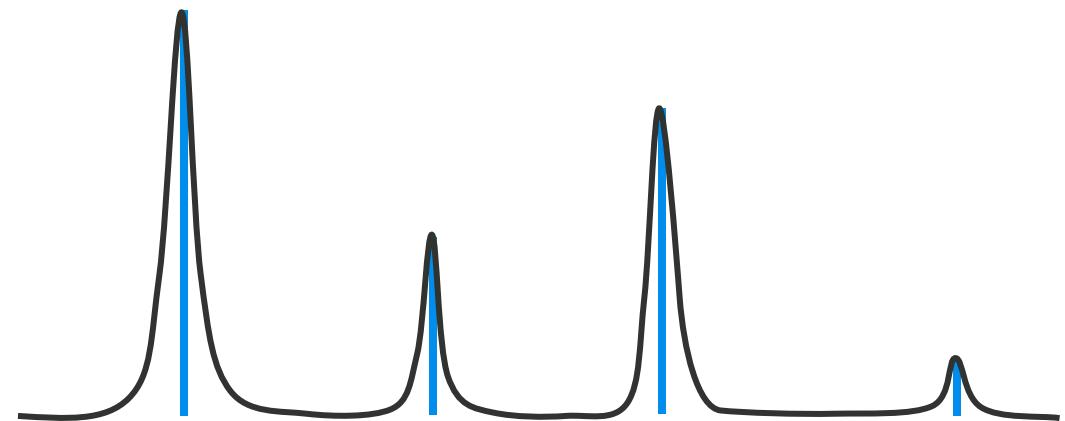
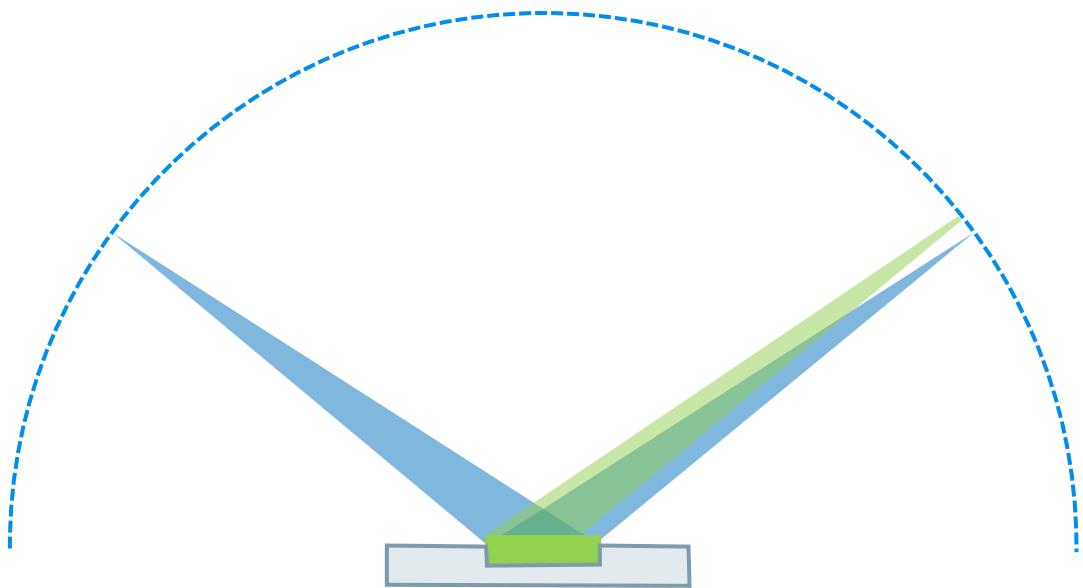


# Sample Displacement



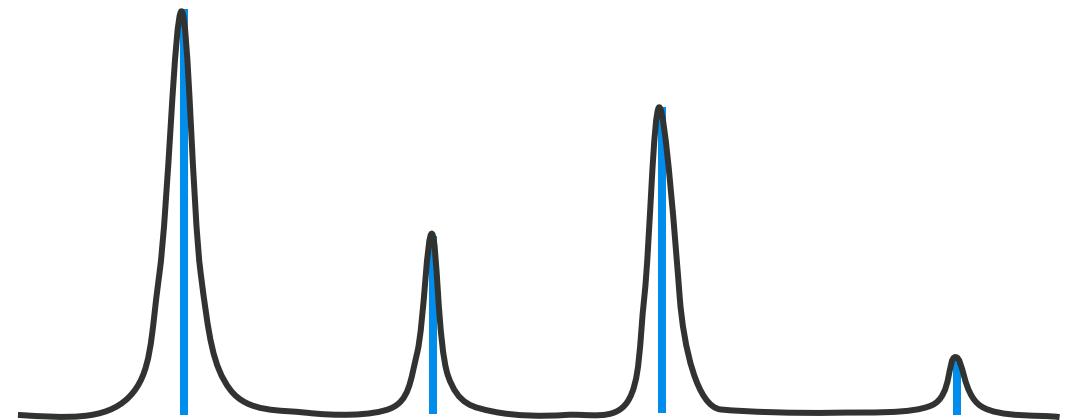
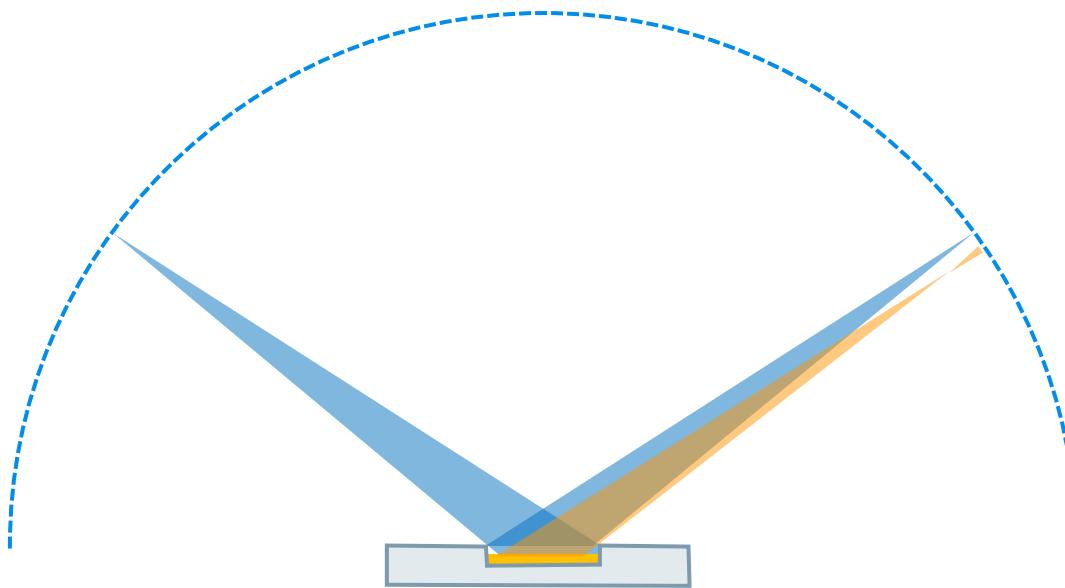
Correct sample height leads to correct observed peak positions

# Sample Displacement



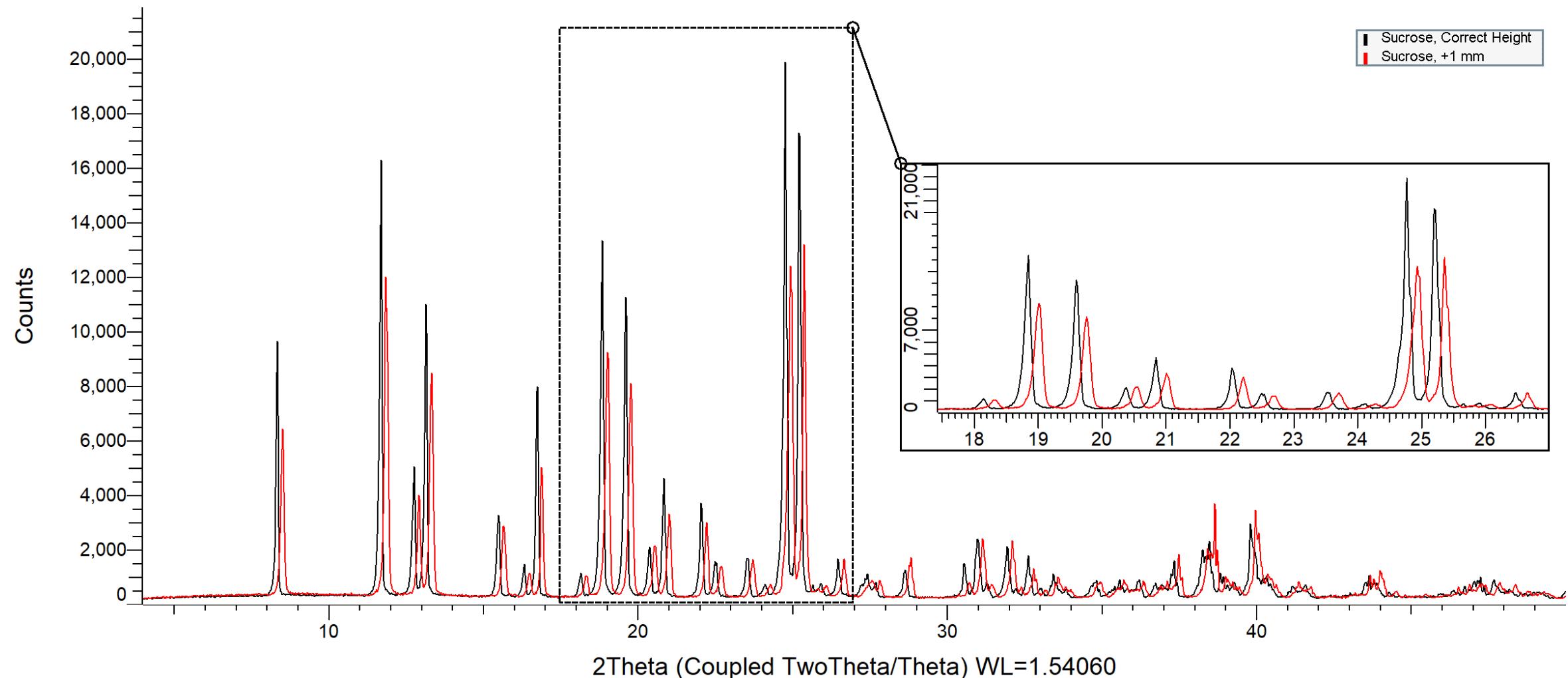
If the sample is too high, peaks will shift to higher 2Theta positions

# Sample Displacement

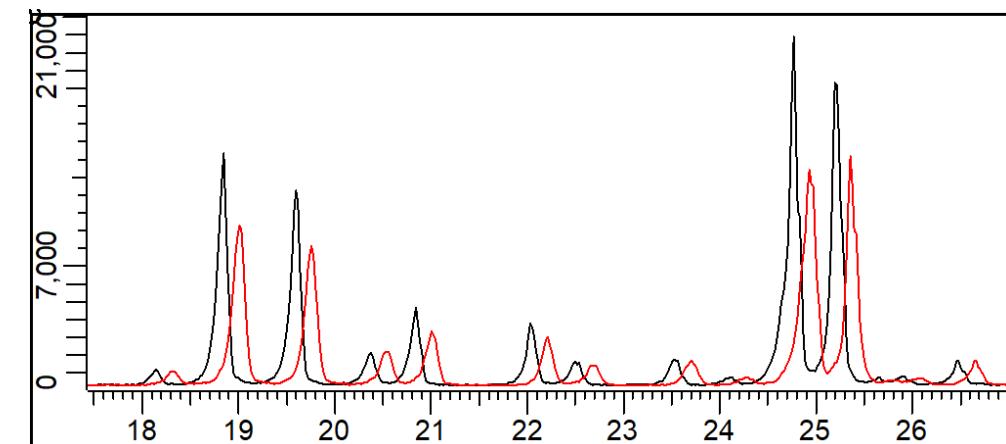
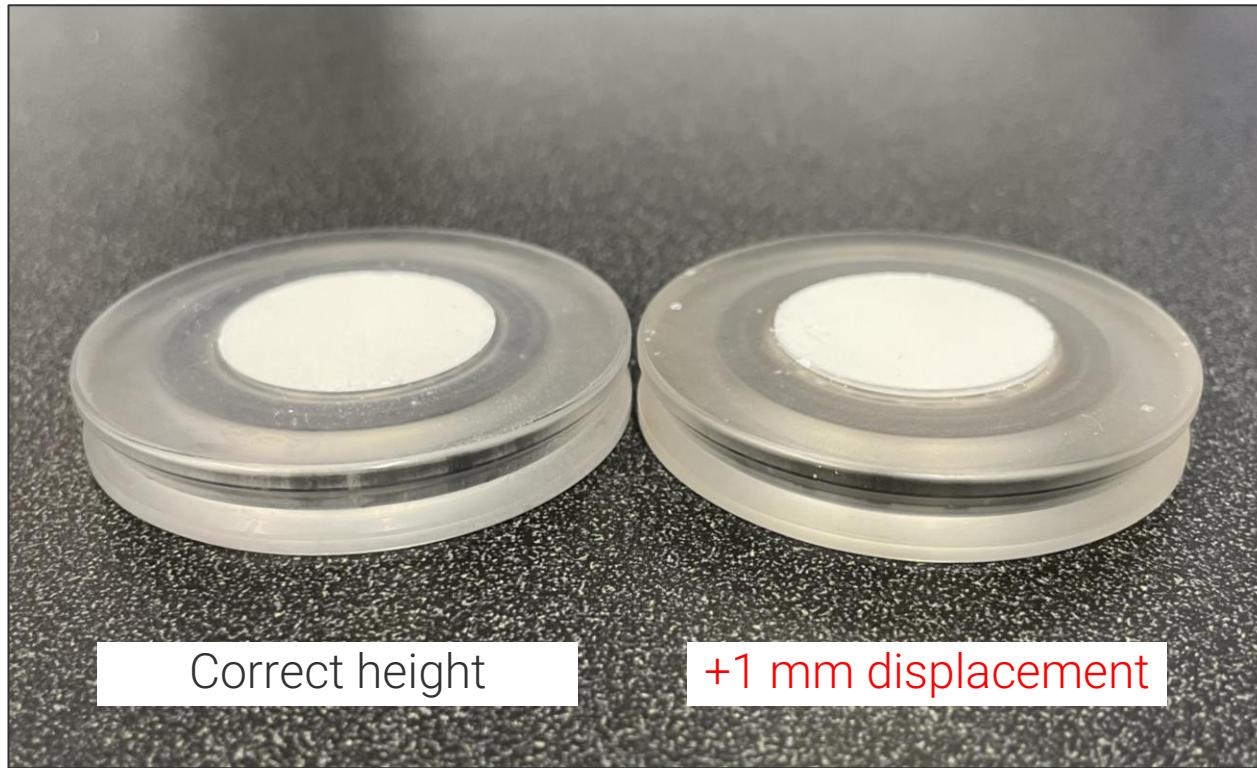


If the sample is too low, peaks will shift to lower 2Theta positions

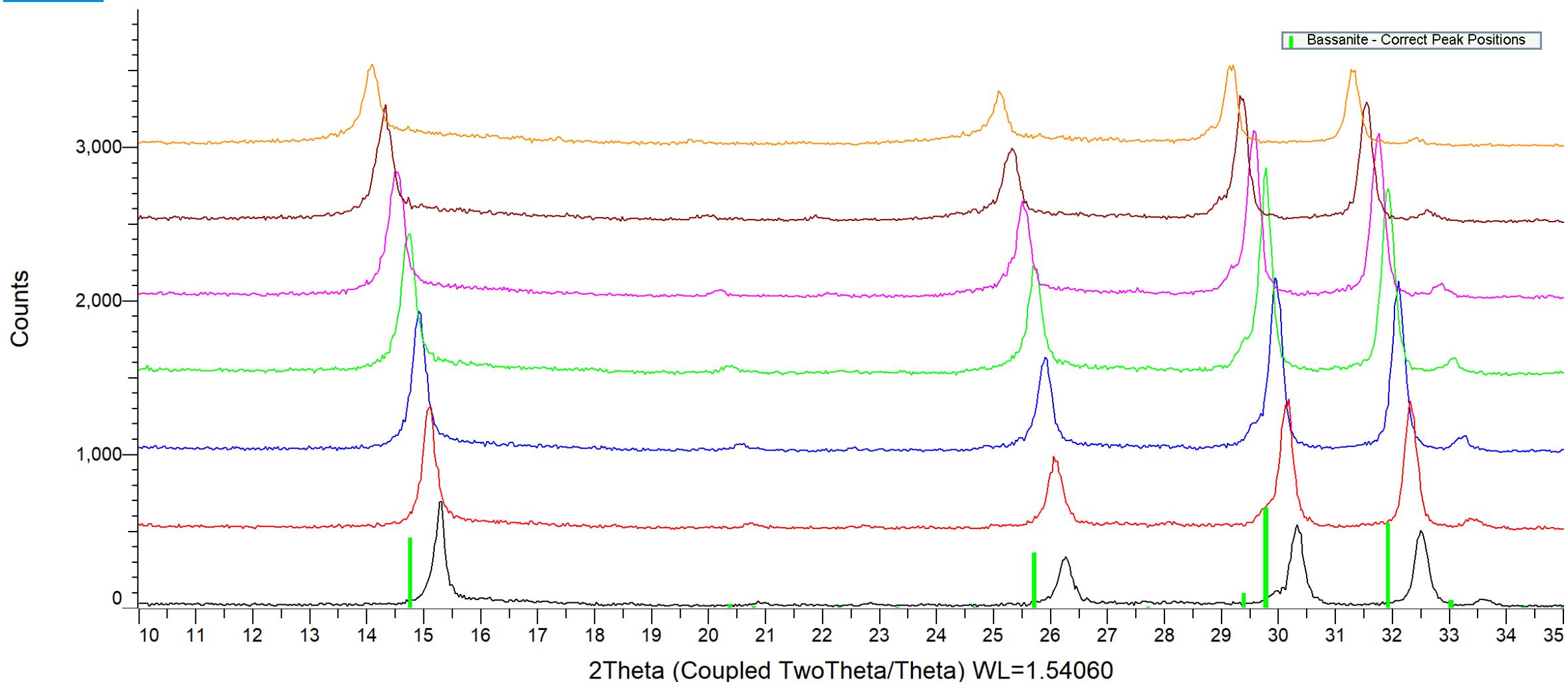
# Sample Displacement (Sucrose)



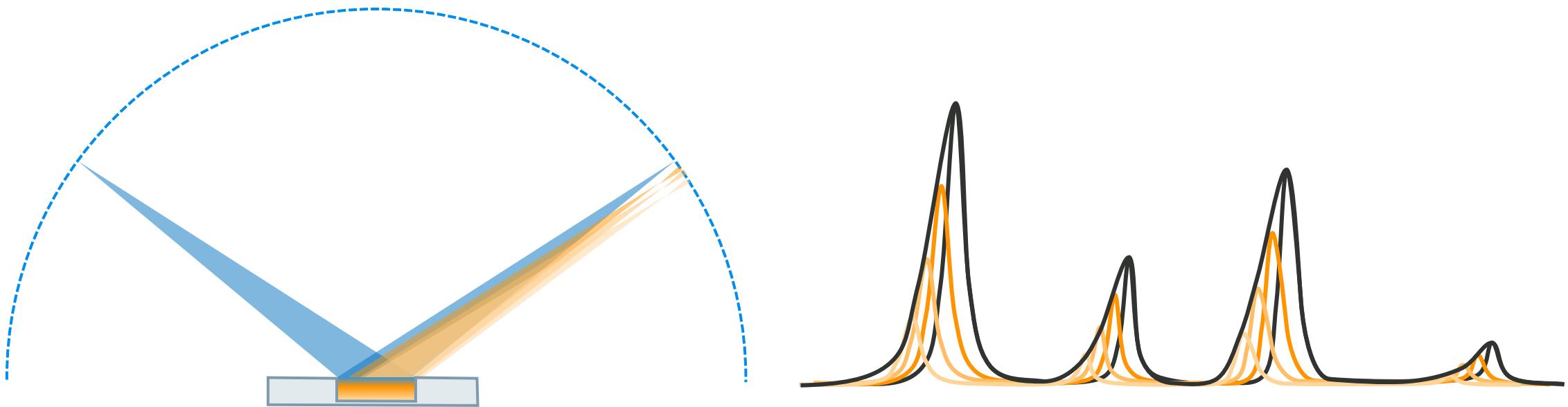
# Sample Displacement (Sucrose)



# Sample Displacement (Bassanite)



## Sample Transparency (Light Elements)



Highly transparent samples can lead to peak asymmetry due to a gradient of weaker diffraction from inside the sample

# Ideal Sample for Bragg-Brentano Geometry

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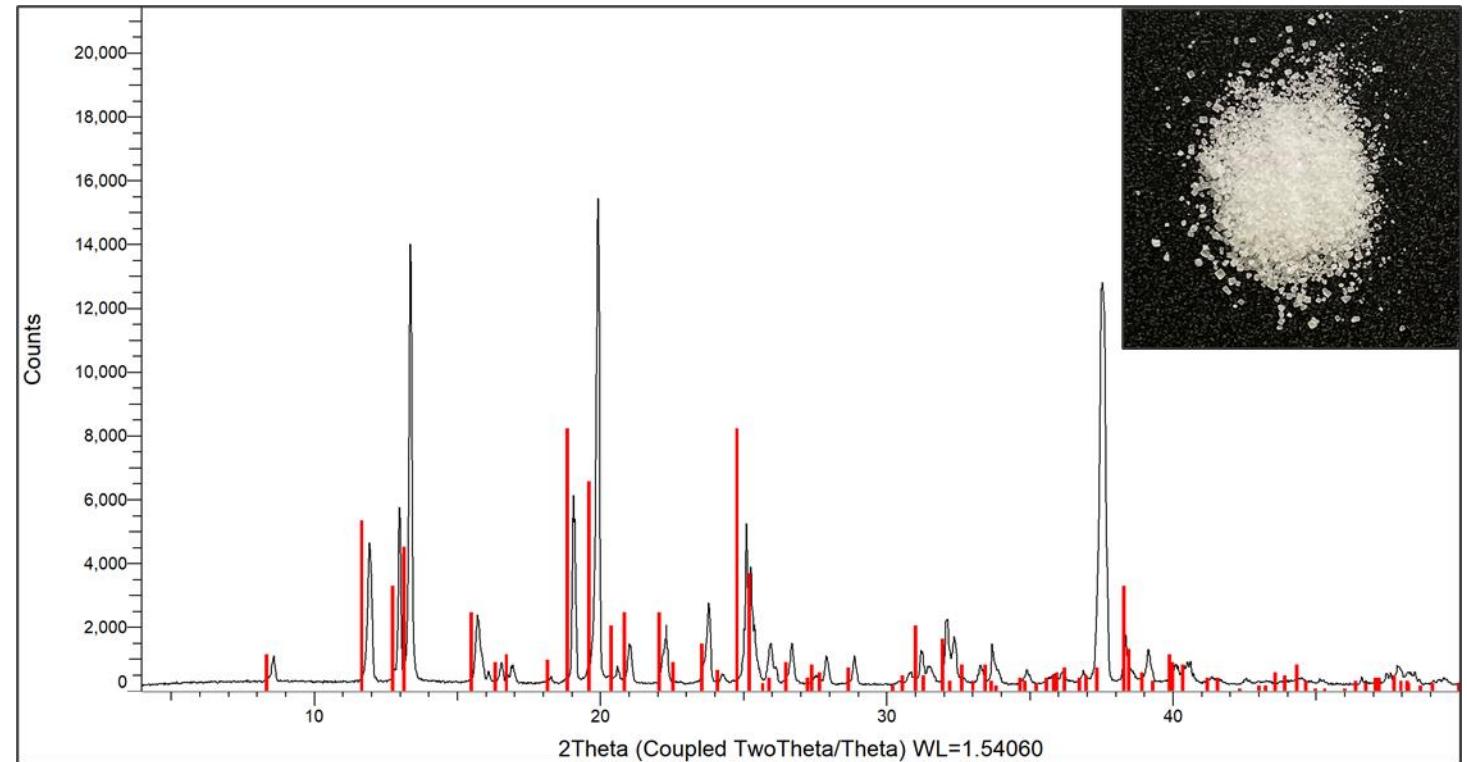
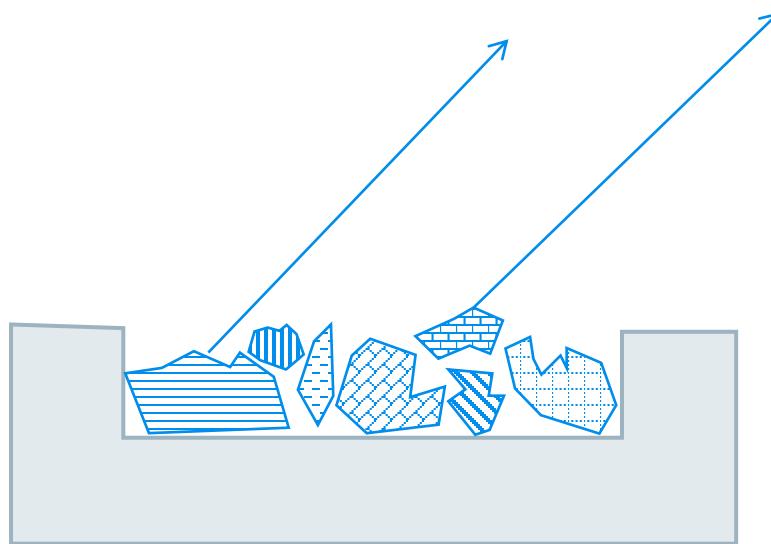
- Flat
  - Sample is level with top surface of holder
  - Affected by sample displacement (sample height)
- Smooth
  - Powder is smooth and fine-grained
  - Affected by graininess (rough or coarse powder with large grains)
- Random
  - Completely randomize crystallite statistics
  - Affected by preferred orientation (over- or under-represented diffraction planes)

## Coarse or Grainy Powders

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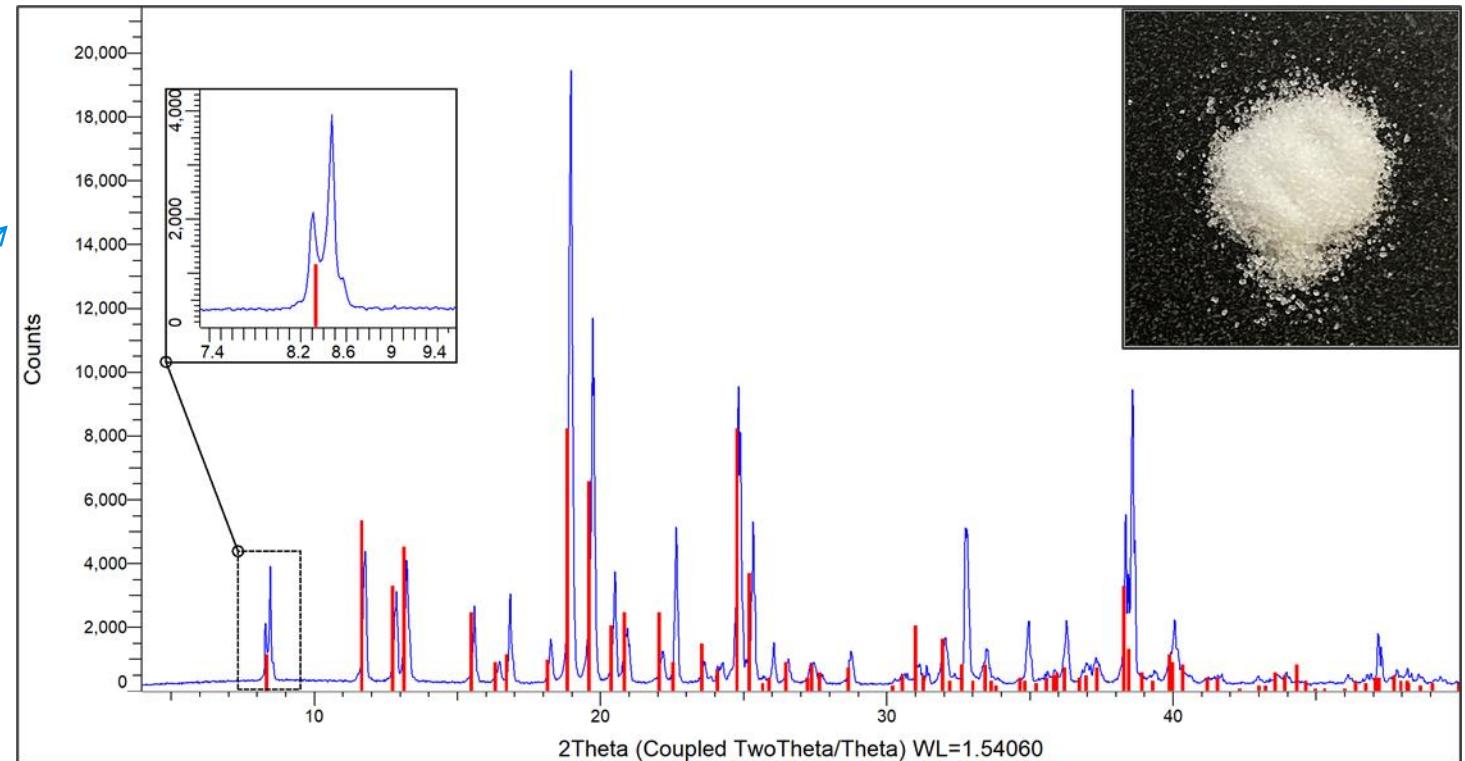
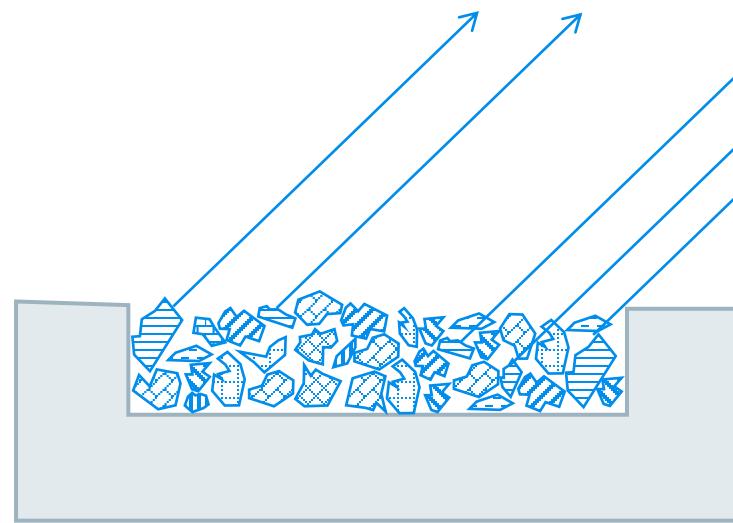


## Coarse or Grainy Powders



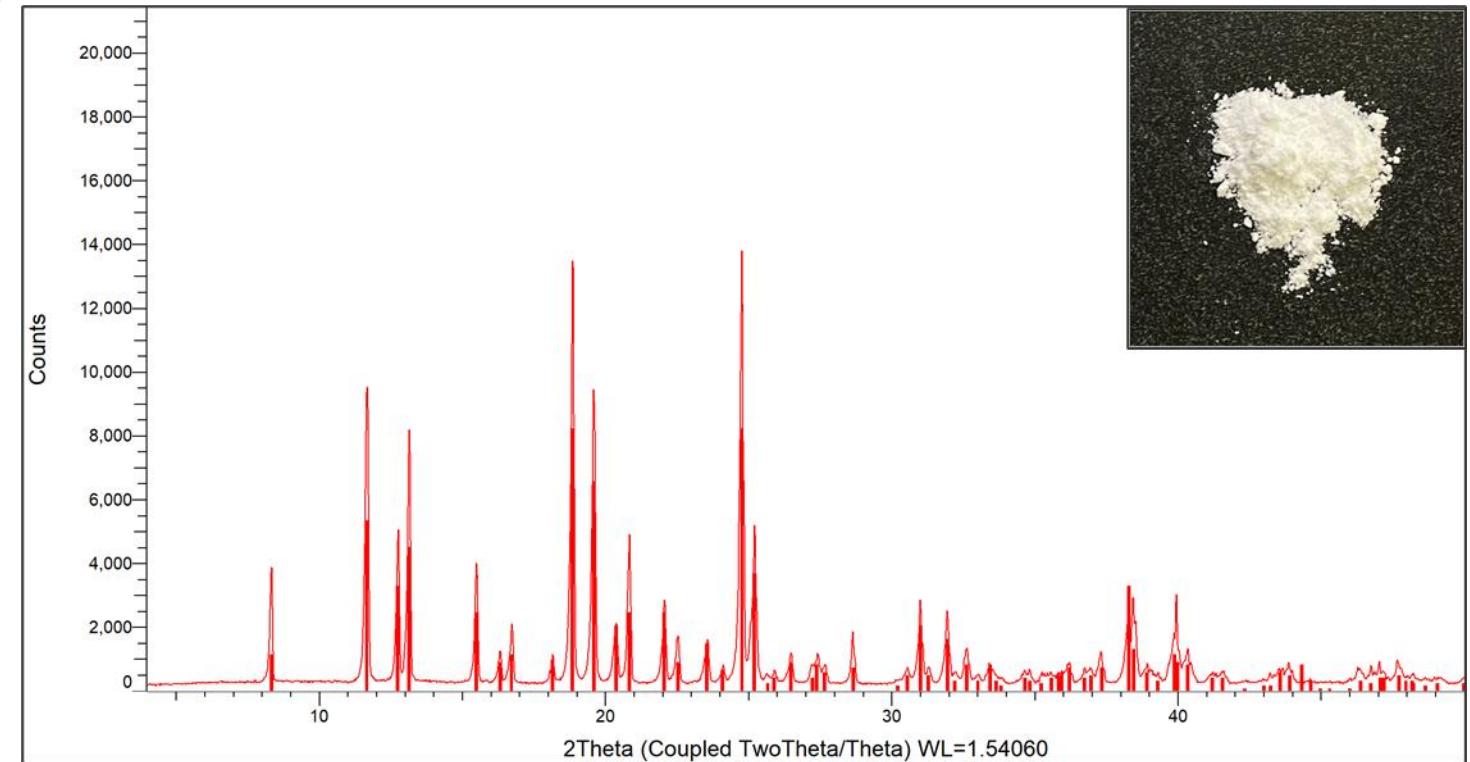
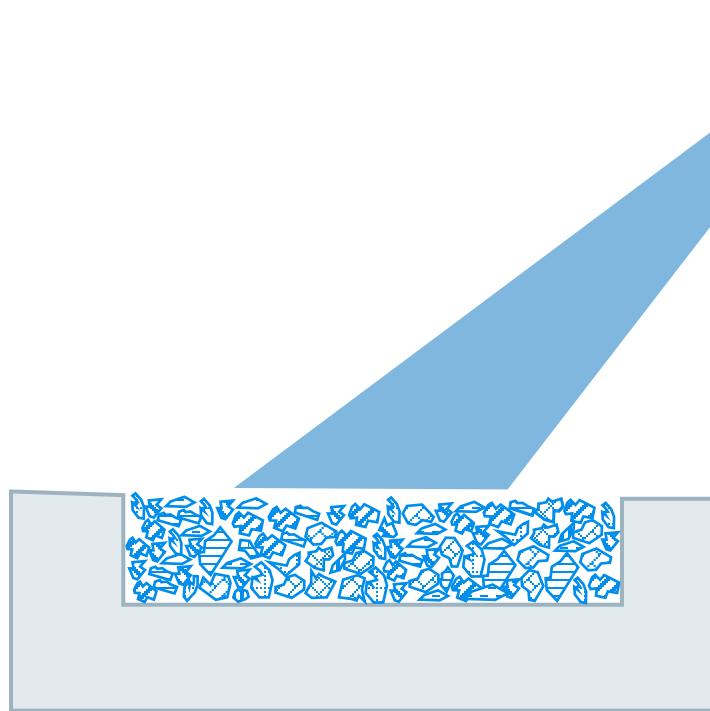
Samples with large grains have a limited number of crystals in the correct orientation for diffraction to occur (wrong intensities)

## Coarse or Grainy Powders



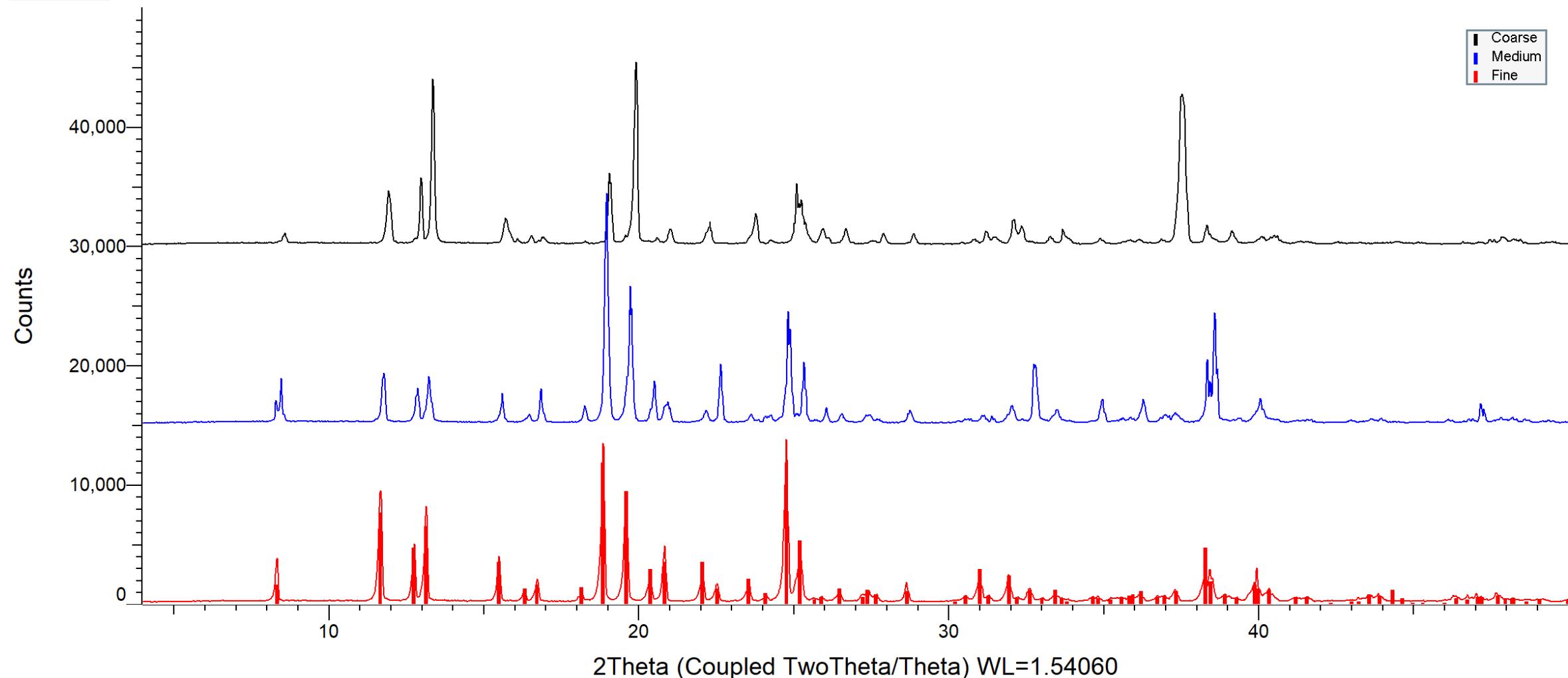
Grainy samples are difficult to pack evenly, leading to surface roughness and multiple sample heights (peak shifting or doubling)

## Coarse or Grainy Powders

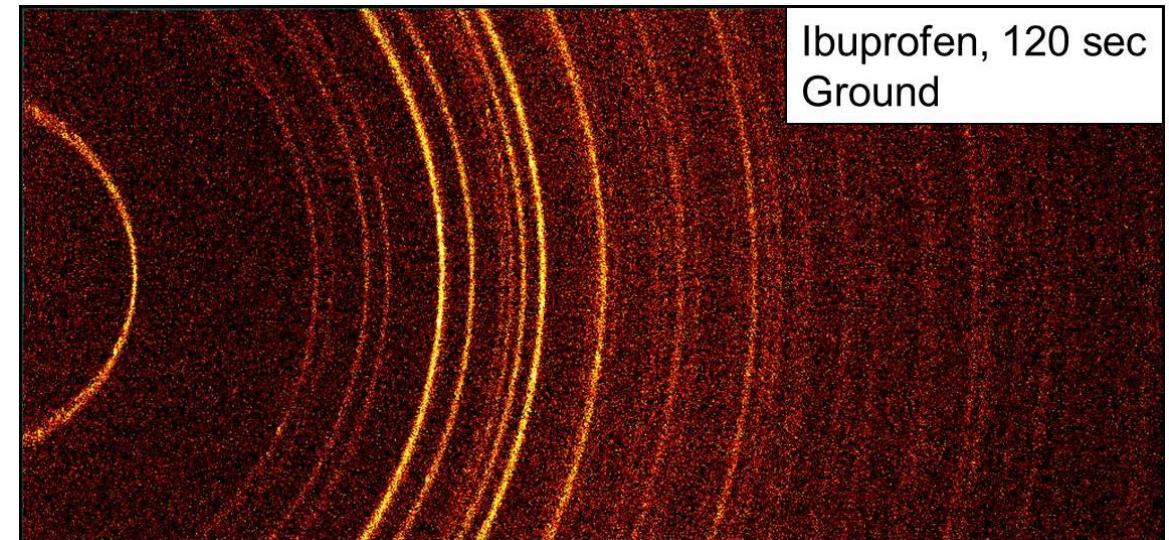
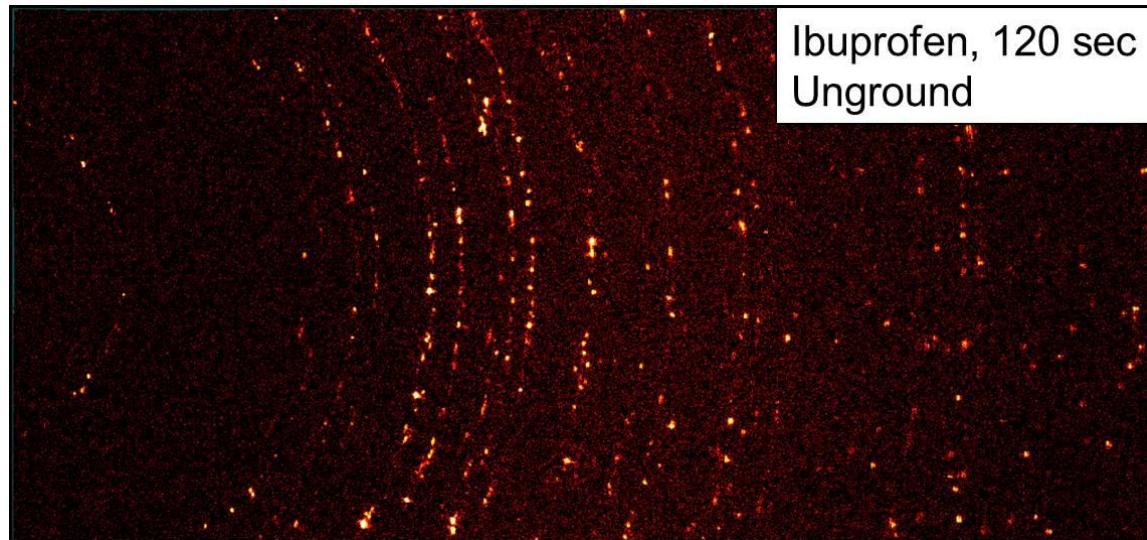


Fine powders are easier to prepare as a smooth surface and have large enough statistics to observe each crystal orientation

## Coarse or Grainy Powders (Sucrose)



## Coarse or Grainy Powders (Ibuprofen)



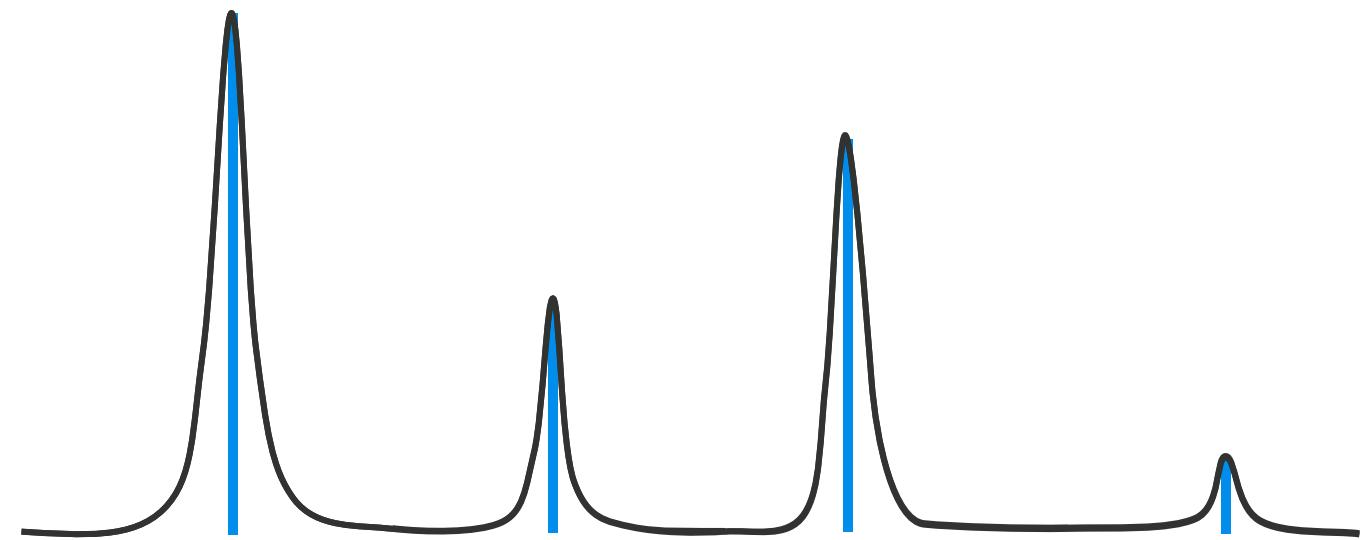
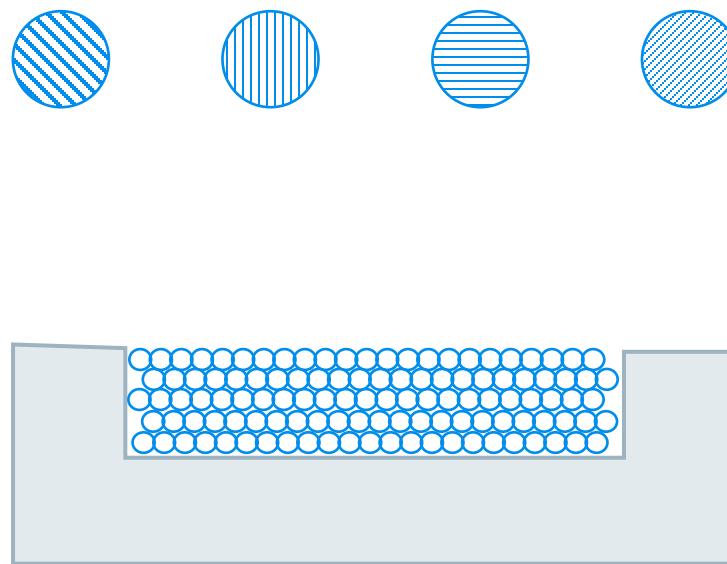
Large grains = spotty diffraction  
Fine powder = correct intensities and peak shapes

# Ideal Sample for Bragg-Brentano Geometry

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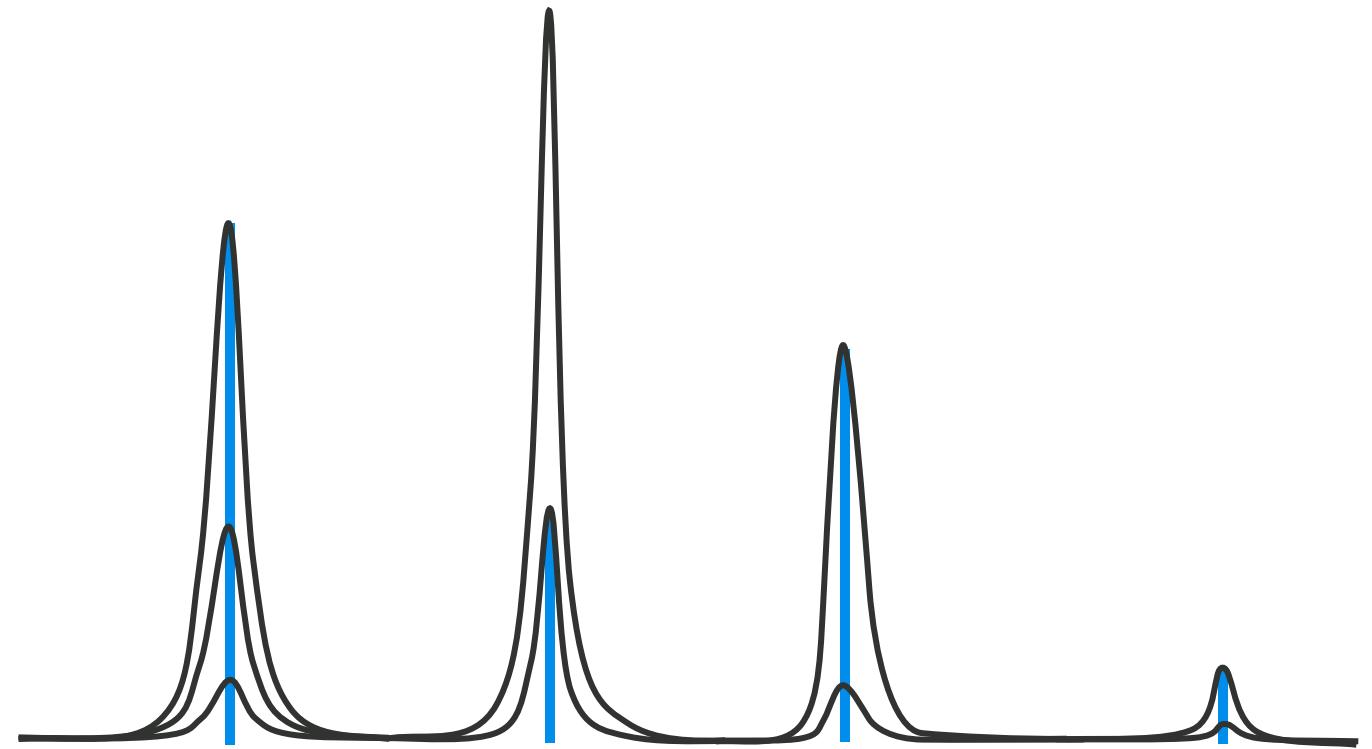
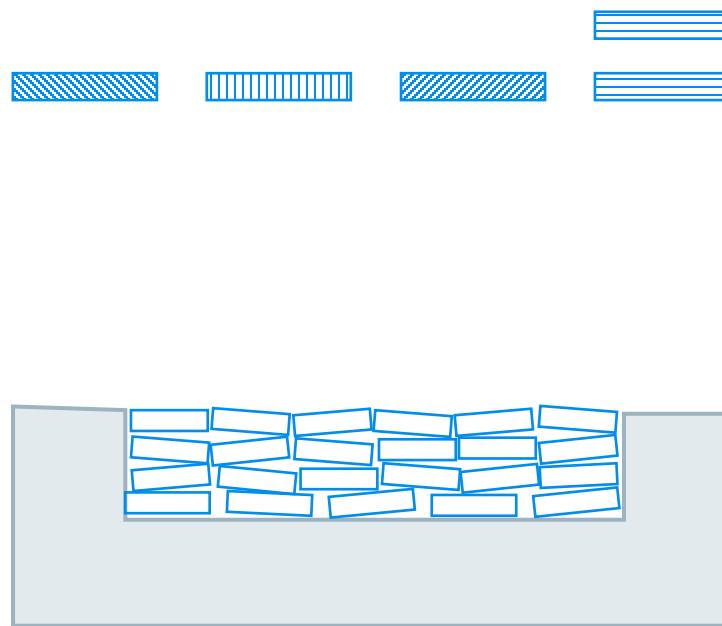
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  - Sample is level with top surface of holder
  - Affected by sample displacement (sample height)
- Smooth
  - Powder is smooth and fine-grained
  - Affected by graininess (rough or coarse powder with large grains)
- Random
  - Completely randomize crystallite statistics
  - Affected by [preferred orientation](#) (over- or under-represented diffraction planes)

# Preferred or Non-Random Orientation



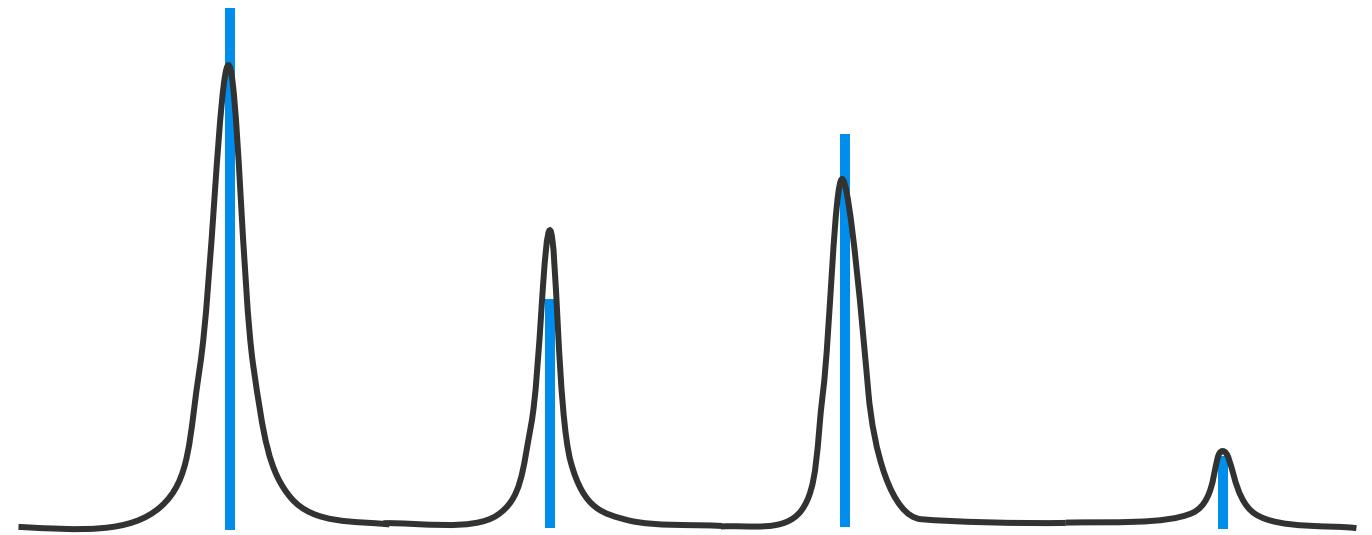
An ideal powder has particle shapes  
that are conducive to random orientations

# Preferred or Non-Random Orientation



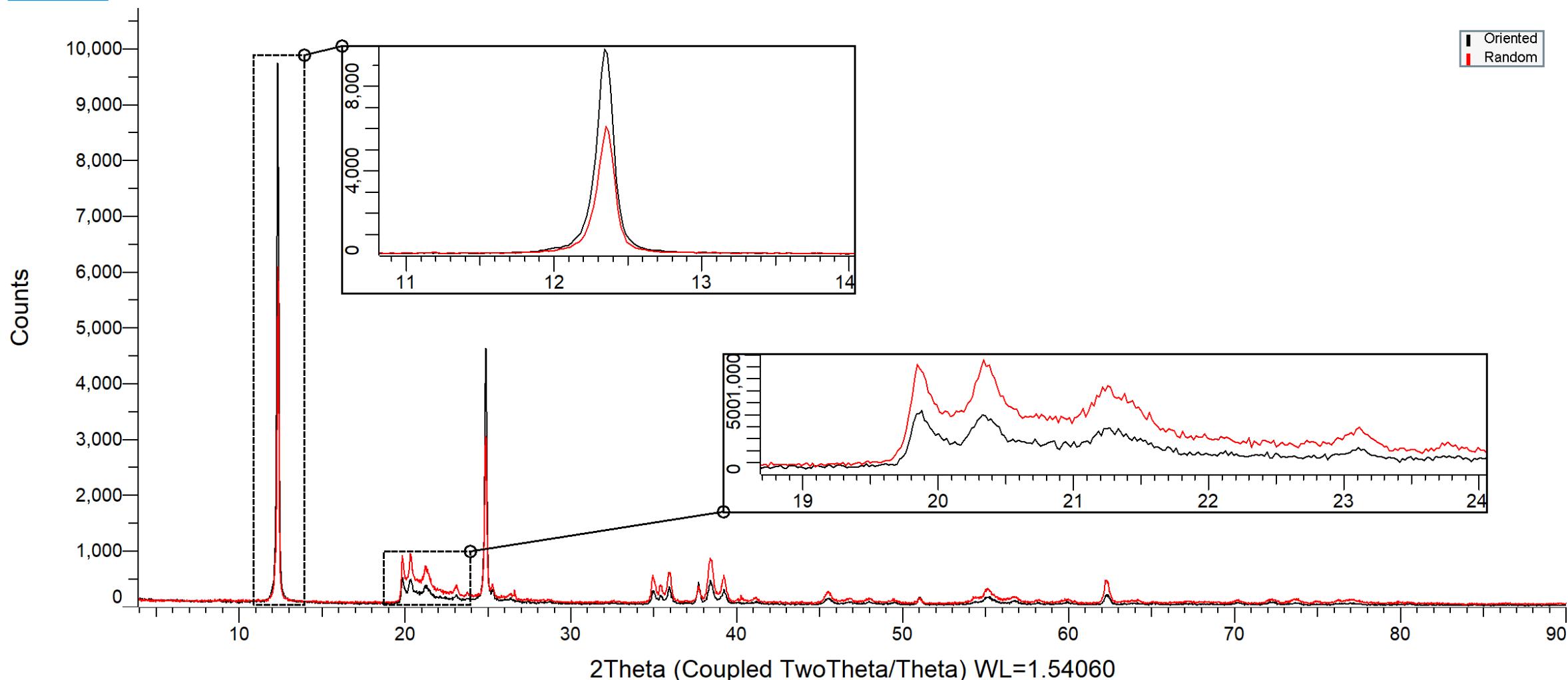
If a material has particles that are shaped like plates or needles, certain crystal orientations can be overrepresented (wrong intensity)

# Preferred or Non-Random Orientation

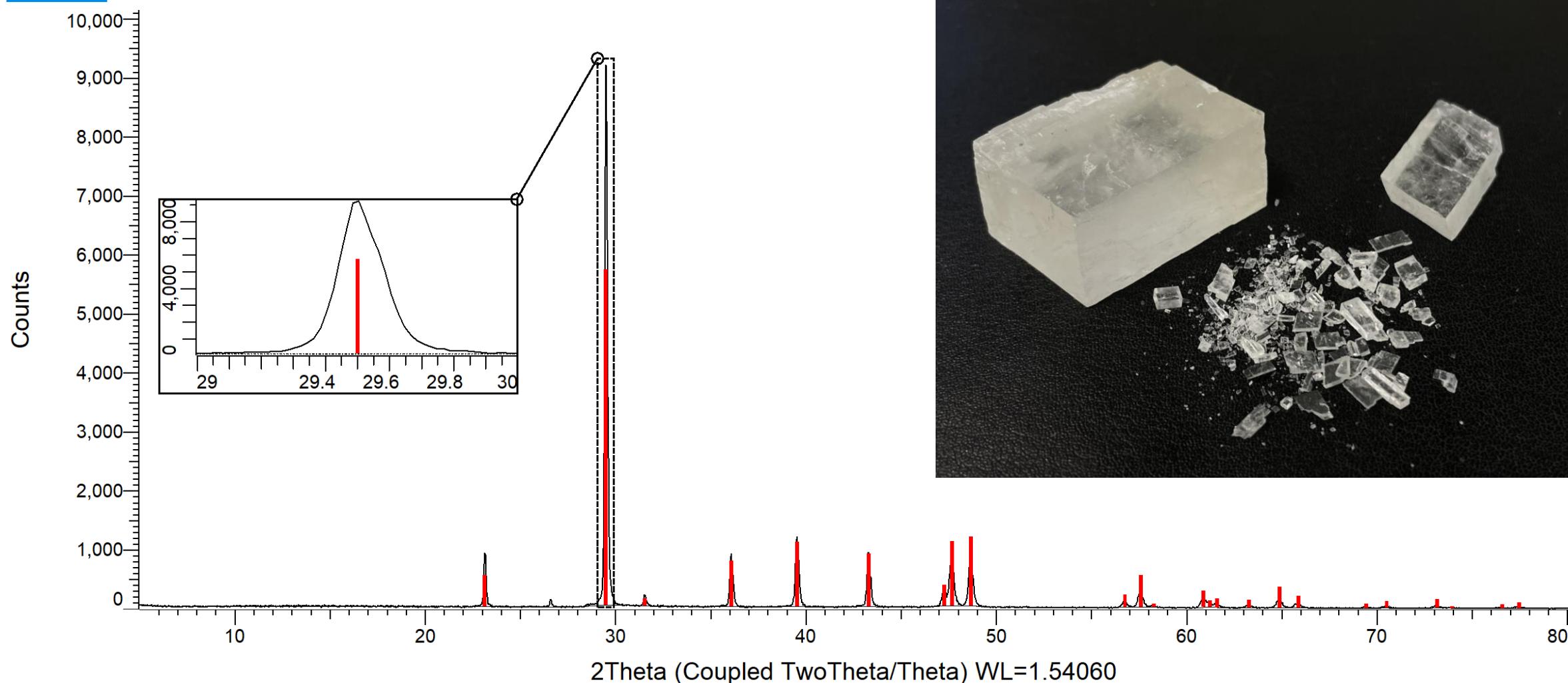


If a material tends to orient, try to increase randomization during sample preparation (gentle packing, back- or side-loading)

# Preferred or Non-Random Orientation (Kaolinite)



# Preferred or Non-Random Orientation (Calcite)

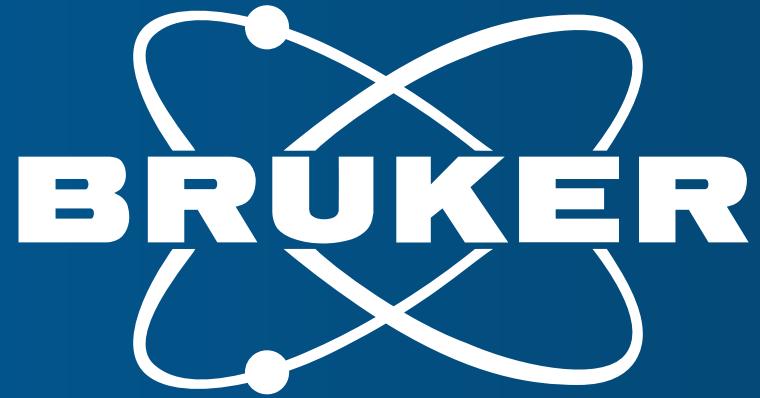


## Summary

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- The ideal diffraction specimen is flat, smooth, and random
  - **Sample displacement** affects observed peak positions
  - **Graininess** affects peak intensities and shapes
  - **Preferred orientation** affects relative peak intensities
- Accurate, high-quality diffraction data is achieved through careful sample preparation
- Phase identification, phase quantification, and structural refinement are all improved with better quality data





Innovation with Integrity