

# Algorithms and Data Structures

Luke Nigro - Homework 3

1a. A *d*-ary heap is similar in looks to a normal binary heap, with the only caveat being that each node has *d* children as opposed to 2. The array of the *d*-ary would have the following properties:

(a) **Root:**  $A[1]$

(b) **Parent of**  $A[i] = A[\lfloor \frac{i}{d} \rfloor]$

(c) **Children of Parent**  $A[i] = A[di, \dots, di + d - 1]$

1b. Since a binary heap is in the form of a binary tree, a binary heap has between  $2^h$  and  $2^{h+1} - 1$  elements, or  $2^h \leq n \leq 2^{h+1} - 1$  where *h* is the height of the heap. Extending this to a *d*-ary, we should have:

$$d^h \leq n \leq d^{h+1} - 1 \Rightarrow h \leq \log_d n \leq h + 1 \Rightarrow h = \lfloor \log_d n \rfloor$$

1c. The runtime of EXTRACT-MAX for a normal binary heap is  $O(\lg n)$ , which is equivalent to the runtime of MAX-HEAPIFY. This makes sense, since the MAX-HEAPIFY function is the only part of the EXTRACT-MAX function that takes a noticable amount of runtime. Extending to the *d*-ary, the runtime of MAX-HEAPIFY should increase to  $O(d * \log_d n)$  since each of the *sub-d-arys* will have to loop through the children *d* times to find the maximum value of each *sub-d-ary*. Like the binary-heap, this process is repeated a number of times equivalent to the height, which in this case is  $\log_d n$ . So - the runtime of our EXTRACT-MAX will continue to be equivalent to the runtime of MAX-HEAPIFY, which again in this case is  $O(d * \log_d n)$ .

1d. The INSERT function is solely dependant on the runtime of the HEAP-INCREASE-KEY function, which is dependant on the height of the heap. So in the example of a binary heap, both functions will have

a runtime of  $O(\lg n)$ . The height of our  $d$ -ary is  $\log_d n$ , so the runtime of the INSERT function here is  $O(\log_d n)$ .

2. Minimum of the Young tableau is always in  $A[1, 1]$

$min = A[1, 1]$

$i, j = 1$

while  $i < m$  and  $j < n$

if  $A[i + 1, j] \leq A[i, j + 1]$

exchange  $A[i, j]$  and  $A[i + 1, j]$

$i = i + 1$

else

exchange  $A[i, j]$  and  $A[i, j + 1]$

$j = j + 1$

$A[i, j] = \infty$

return  $A, min$

The while loop here will run no more than  $m + n$  times, therefore our algorithm has a runtime of  $O(m + n)$  as desired.

Interview: Since we know the integers involved here, this problem should be fairly simple to solve with a binary max-heap and a heapsort. Using MAX-HEAPIFY we can easily implement a HEAPSORT algorithm which will place objects of the same color adjacent to each other in  $O(\lg n)$  time. To save some runtime, we could include logic that would tell the program to stop itself once the maximum value of the heap is 0, this way the heap will have a runtime of  $O(\lg(n) - k)$  where  $k$  is the number of red objects.