



Linux and Shell Programming

Computer Applications

Jawaharlal Nehru Technological University

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LECTURE NOTES
ON
LINUX PROGRAMMING
MCA II YEAR, I SEMESTER
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MCA II-I Sem

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(9F00303) LINUX PROGRAMMING

UNIT I

Linux Utilities-File handling utilities, Security by file permissions, Process utilities, Disk utilities, Networking commands, Filters, Text processing utilities and Backup utilities, sed – scripts, operation, addresses, commands, applications, awk – execution, fields and records, scripts, operation, patterns, actions, functions, using system commands in awk.

UNIT II

Working with the Bourne again shell(bash): Introduction, shell responsibilities, pipes and input Redirection, output redirection, here documents, running a shell script, the shell as a programming language, shell meta characters, file name substitution, shell variables, command substitution, shell commands, the environment, quoting, test command, control structures, arithmetic in shell, shell script examples, interrupt processing, functions, debugging shell scripts.

Linux Files: File Concept, File System Structure, Inodes, File types, The standard I/O (fopen, fclose, fflush, fseek, fgetc, getc, getchar, fputc, putc, putchar, fgets, gets etc.), formatted I/O, stream errors, kernel support for files, System calls, library functions, file descriptors, low level file access - usage of open, creat, read, write, close, lseek, stat family, umask, dup, dup2,fcntl, file and record locking. file and directory management - chmod, chown, links(soft links & hard links - unlink, link, symlink), mkdir, rmdir, chdir, getcwd, Scanning Directories-opendir, readdir, closedir, rewinddir, seekdir, telldir functions.

UNIT III

Linux Process – Process concept, Kernel support for process, process attributes, process hierarchy, process states, process composition, process control - process creation, waiting for a process, process termination, zombie process, orphan process, system call interface for process management-fork, vfork, exit, wait, waitpid, exec family, system. Signals

Interprocess Communication : Introduction to IPC, IPC between processes on a single computer system, IPC between processes on different systems, pipes, FIFOs, Introduction to three types of IPC(Linux)-message queues, semaphores and shared memory. Message Queues.

UNIT-IV

Semaphores-Kernel support for semaphores, Linux APIs for semaphores, file locking with semaphores.

Shared Memory- Kernel support for shared memory, Linux APIs for shared memory, semaphore and shared memory example.

UNIT V

Multithreaded Programming – Differences between threads and processes, Thread structure and uses, Threads and Lightweight Processes, POSIX Thread APIs, Creating Threads, Thread Attributes, Thread Synchronization with semaphores and with Mutexes, Example programs.

Sockets: Introduction to Linux Sockets, Socket system calls for connection oriented protocol and connectionless protocol, example-client/server programs.

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UNIT-I

LINUX UTILITIES

Introduction to Linux

Linux is a Unix-like computer operating system assembled under the model of free and open source software development and distribution. The defining component of Linux is the Linux kernel, an operating system kernel first released 5 October 1991 by Linus Torvalds.

Linux was originally developed as a free operating system for Intel x86-based personal computers. It has since been ported to more computer hardware platforms than any other operating system. It is a leading operating system on servers and other big iron systems such as mainframe computers and supercomputers more than 90% of today's 500 fastest supercomputers run some variant of Linux, including the 10 fastest. Linux also runs on embedded systems (devices where the operating system is typically built into the firmware and highly tailored to the system) such as mobile phones, tablet computers, network routers, televisions and video game consoles; the Android system in wide use on mobile devices is built on the Linux kernel.

A distribution oriented toward desktop use will typically include the X Window System and an accompanying desktop environment such as GNOME or KDE Plasma. Some such distributions may include a less resource intensive desktop such as LXDE or Xfce for use on older or less powerful computers. A distribution intended to run as a server may omit all graphical environments from the standard install and instead include other software such as the Apache HTTP Server and an SSH server such as OpenSSH. Because Linux is freely redistributable, anyone may create a distribution for any intended use. Applications commonly used with desktop Linux systems include the Mozilla Firefox web browser, the LibreOffice office application suite, and the GIMP image editor. Since the main supporting user space system tools and libraries originated in the GNU Project, initiated in 1983 by Richard Stallman, the Free Software Foundation prefers the name *GNU/Linux*.

History of Unix

The Unix operating system was conceived and implemented in 1969 at AT&T's Bell Laboratories in the United States by Ken Thompson, Dennis Ritchie, Douglas McIlroy, and Joe Ossanna. It was first released in 1971 and was initially entirely written in assembly language, a common practice at the time. Later, in a key pioneering approach in 1973, Unix was re-written in the programming language C by Dennis Ritchie (with exceptions to the kernel and I/O). The availability of an operating system written in a high-level language allowed easier portability to different computer platforms.

Today, Linux systems are used in every domain, from embedded systems to supercomputers, and have secured a place in server installations often using the popular LAMP application stack. Use of Linux distributions in home and enterprise desktops has been growing. They have also gained popularity with various local and national governments. The federal government of Brazil is well known for its support for Linux. News of the Russian

military creating its own Linux distribution has also surfaced, and has come to fruition as the G.H.ost Project. The Indian state of Kerala has gone to the extent of mandating that all state high schools run Linux on their computers.

Design

A Linux-based system is a modular Unix-like operating system. It derives much of its basic design from principles established in Unix during the 1970s and 1980s. Such a system uses a monolithic kernel, the Linux kernel, which handles process control, networking, and peripheral and file system access. Device drivers are either integrated directly with the kernel or added as modules loaded while the system is running.

Separate projects that interface with the kernel provide much of the system's higher-level functionality. The GNU userland is an important part of most Linux-based systems, providing the most common implementation of the C library, a popular shell, and many of the common Unix tools which carry out many basic operating system tasks. The graphical user interface (or GUI) used by most Linux systems is built on top of an implementation of the X Window System.

Programming on Linux

Most Linux distributions support dozens of programming languages. The original development tools used for building both Linux applications and operating system programs are found within the GNU toolchain, which includes the GNU Compiler Collection (GCC) and the GNU build system. Amongst others, GCC provides compilers for Ada, C, C++, Java, and Fortran. First released in 2003, the Low Level Virtual Machine project provides an alternative open-source compiler for many languages. Proprietary compilers for Linux include the Intel C++ Compiler, Sun Studio, and IBM XL C/C++ Compiler. BASIC in the form of Visual Basic is supported in such forms as Gambas, FreeBASIC, and XBasic.

Most distributions also include support for PHP, Perl, Ruby, Python and other dynamic languages. While not as common, Linux also supports C# (via Mono), Vala, and Scheme. A number of Java Virtual Machines and development kits run on Linux, including the original Sun Microsystems JVM (HotSpot), and IBM's J2SE RE, as well as many open-source projects like Kaffe and JikesRVM.

Linux Advantages

1. **Low cost:** You don't need to spend time and money to obtain licenses since Linux and much of its software come with the GNU General Public License. You can start to work immediately without worrying that your software may stop working anytime because the free trial version expires. Additionally, there are large repositories from which you can freely download high quality software for almost any task you can think of.
2. **Stability:** Linux doesn't need to be rebooted periodically to maintain performance levels. It doesn't freeze up or slow down over time due to memory leaks and such. Continuous up-times of hundreds of days (up to a year or more) are not uncommon.
3. **Performance:** Linux provides persistent high performance on workstations and on networks. It can handle unusually large numbers of users simultaneously, and can make old computers sufficiently responsive to be useful again.

4. **Network friendliness:** Linux was developed by a group of programmers over the Internet and has therefore strong support for network functionality; client and server systems can be easily set up on any computer running Linux. It can perform tasks such as network backups faster and more reliably than alternative systems.
5. **Flexibility:** Linux can be used for high performance server applications, desktop applications, and embedded systems. You can save disk space by only installing the components needed for a particular use. You can restrict the use of specific computers by installing for example only selected office applications instead of the whole suite.
6. **Compatibility:** It runs all common Unix software packages and can process all common file formats.
7. **Choice:** The large number of Linux distributions gives you a choice. Each distribution is developed and supported by a different organization. You can pick the one you like best; the core functionalities are the same; most software runs on most distributions.
8. **Fast and easy installation:** Most Linux distributions come with user-friendly installation and setup programs. Popular Linux distributions come with tools that make installation of additional software very user friendly as well.
9. **Full use of hard disk:** Linux continues work well even when the hard disk is almost full.
10. **Multitasking:** Linux is designed to do many things at the same time; e.g., a large printing job in the background won't slow down your other work.
11. **Security:** Linux is one of the most secure operating systems. "Walls" and flexible file access permission systems prevent access by unwanted visitors or viruses. Linux users have to option to select and safely download software, free of charge, from online repositories containing thousands of high quality packages. No purchase transactions requiring credit card numbers or other sensitive personal information are necessary.
12. **Open Source:** If you develop software that requires knowledge or modification of the operating system code, Linux's source code is at your fingertips. Most Linux applications are Open Source as well.

The difference between Linux and UNIX operating systems?

UNIX is copyrighted name only big companies are allowed to use the UNIX copyright and name, so IBM AIX and Sun Solaris and HP-UX all are UNIX operating systems. The [Open Group holds](#) the UNIX trademark in trust for the industry, and manages the UNIX trademark licensing program.

Most UNIX systems are commercial in nature.

Linux is a UNIX Clone

But if you consider Portable Operating System Interface (POSIX) standards then Linux can be considered as UNIX. To quote from Official Linux kernel README file: Linux is a Unix clone written from scratch by Linus Torvalds with assistance from a loosely-knit team of hackers across the Net. It aims towards POSIX compliance. However, "Open Group" do not approve of the construction "Unix-like", and consider it misuse of their UNIX trademark.

Linux Is Just a Kernel

Linux is just a kernel. All Linux distributions includes GUI system + GNU utilities (such as cp, mv, ls,date, bash etc) + installation & management tools + GNU c/c++ Compilers + Editors (vi) + and various applications (such as OpenOffice, Firefox). However, most UNIX operating systems are considered as a complete operating system as everything come from a single source or vendor.

As I said earlier Linux is just a kernel and Linux distribution makes it complete usable operating systems by adding various applications. Most UNIX operating systems comes with A-Z programs such as editor, compilers etc. For example HP-UX or Solaris comes with A-Z programs.

License and cost

Linux is Free (as in beer [freedom]). You can download it from the Internet or redistribute it under GNU licenses. You will see the best community support for Linux. Most UNIX like operating systems are not free (but this is changing fast, for example OpenSolaris UNIX). However, some Linux distributions such as Redhat / Novell provides additional Linux support, consultancy, bug fixing, and training for additional fees.

User-Friendly

Linux is considered as most user friendly UNIX like operating systems. It makes it easy to install sound card, flash players, and other desktop goodies. However, Apple OS X is most popular UNIX operating system for desktop usage.

Security Firewall Software

Linux comes with open source netfilter/iptables based firewall tool to protect your server and desktop from the crackers and hackers. UNIX operating systems comes with its own firewall product (for example Solaris UNIX comes with ipfilter based firewall) or you need to purchase a 3rd party software such as Checkpoint UNIX firewall.

Backup and Recovery Software

UNIX and Linux comes with different set of tools for backing up data to tape and other backup media. However, both of them share some common tools such as tar, dump/restore, and cpio etc.

File Systems

- Linux by default supports and use ext3 or ext4 file systems.
- UNIX comes with various file systems such as jfs, gpfs (AIX), jfs, gpfs (HP-UX), jfs, gpfs (Solaris).

System Administration Tools

1. UNIX comes with its own tools such as SAM on HP-UX.
2. Suse Linux comes with Yast
3. Redhat Linux comes with its own gui tools called redhat-config-*

However, editing text config file and typing commands are most popular options for sys admin work under UNIX and Linux.

System Startup Scripts

Almost every version of UNIX and Linux comes with system initialization script but they are located in different directories:

1. HP-UX - /sbin/init.d
2. AIX - /etc/rc.d/init.d
3. Linux - /etc/init.d

End User Perspective

The differences are not that big for the average end user. They will use the same shell (e.g. bash or ksh) and other development tools such as Perl or Eclipse development tool.

System Administrator Perspective

Again, the differences are not that big for the system administrator. However, you may notice various differences while performing the following operations:

1. Software installation procedure
2. Hardware device names
3. Various admin commands or utilities
4. Software RAID devices and mirroring
5. Logical volume management
6. Package management
7. Patch management

UNIX Operating System Names

A few popular names:

1. HP-UX
2. IBM AIX
3. Sun Solairs
4. Mac OS X
5. **IRIX**

Linux Distribution (Operating System) Names

A few popular names:

1. Redhat Enterprise Linux
2. Fedora Linux
3. Debian Linux
4. Suse Enterprise Linux
5. Ubuntu Linux

Common Things Between Linux & UNIX

Both share many common applications such as:

1. GUI, file, and windows managers (KDE, Gnome)
2. Shells (ksh, csh, bash)
3. Various office applications such as OpenOffice.org
4. Development tools (perl, php, python, GNU c/c++ compilers)
5. Posix interface

FUNDAMENTAL DIFFERENCES BETWEEN LINUX AND WINDOWS

#1: Full access vs. no access

Having access to the source code is probably the single most significant difference between Linux and Windows. The fact that Linux belongs to the GNU Public License ensures that users (of all sorts) can access (and alter) the code to the very kernel that serves as the foundation of the Linux operating system. You want to peer at the Windows code? Good luck. Unless you are a member of a very select (and elite, to many) group, you will never lay eyes on code making up the Windows operating system.

You can look at this from both sides of the fence. Some say giving the public access to the code opens the operating system (and the software that runs on top of it) to malicious developers who will take advantage of any weakness they find. Others say that having full access to the code helps bring about faster improvements and bug fixes to keep those malicious developers from being able to bring the system down. I have, on occasion, dipped into the code of one Linux application or another, and when all was said and done, was happy with the results. Could I have done that with a closed-source Windows application? No.

#2: Licensing freedom vs. licensing restrictions

Along with access comes the difference between the licenses. I'm sure that every IT professional could go on and on about licensing of PC software. But let's just look at the key aspect of the licenses (without getting into legalese). With a Linux GPL-licensed operating system, you are free to modify that software and use and even republish or sell it (so long as you make the code available). Also, with the GPL, you can download a single copy of a Linux distribution (or application) and install it on as many machines as you like. With the Microsoft license, you can do none of the above. You are bound to the number of licenses you purchase, so if you purchase 10 licenses, you can legally install that operating system (or application) on only 10 machines.

#3: Online peer support vs. paid help-desk support

This is one issue where most companies turn their backs on Linux. But it's really not necessary. With Linux, you have the support of a huge community via forums, online search, and plenty of dedicated Web sites. And of course, if you feel the need, you can purchase support contracts from some of the bigger Linux companies (Red Hat and Novell for instance).

However, when you use the peer support inherent in Linux, you do fall prey to time. You could have an issue with something, send out e-mail to a mailing list or post on a forum, and within 10 minutes be flooded with suggestions. Or these suggestions could take hours of days to come in. It seems all up to chance sometimes. Still, generally speaking, most problems with Linux have been encountered and documented. So chances are good you'll find your solution fairly quickly.

On the other side of the coin is support for Windows. Yes, you can go the same route with Microsoft and depend upon your peers for solutions. There are just as many help sites/lists/forums for Windows as there are for Linux. And you can purchase support from Microsoft itself. Most corporate higher-ups easily fall victim to the safety net that having a support contract brings. But most higher-ups haven't had to depend up on said support contract. Of the various people I know who have used either a Linux paid support contract or a Microsoft paid support contract, I can't say one was more pleased than the other. This of course begs the question "Why do so many say that Microsoft support is superior to Linux paid support?"

#4: Full vs. partial hardware support

One issue that is slowly becoming nonexistent is hardware support. Years ago, if you wanted to install Linux on a machine you had to make sure you hand-picked each piece of hardware or your installation would not work 100 percent. I can remember, back in 1997-ish, trying to figure out why I couldn't get Caldera Linux or Red Hat Linux to see my modem. After much looking around, I found I was the proud owner of a Winmodem. So I had to go

out and purchase a US Robotics external modem because that was the one modem I *knew* would work. This is not so much the case now. You can grab a PC (or laptop) and most likely get one or more Linux distributions to install and work nearly 100 percent. But there are still some exceptions. For instance, hibernate/suspend remains a problem with many laptops, although it has come a long way.

With Windows, you know that most every piece of hardware will work with the operating system. Of course, there are times (and I have experienced this over and over) when you will wind up spending much of the day searching for the correct drivers for that piece of hardware you no longer have the install disk for. But you can go out and buy that 10-cent Ethernet card and know it'll work on your machine (so long as you have, or can find, the drivers). You also can rest assured that when you purchase that insanely powerful graphics card, you will probably be able to take full advantage of its power.

#5: Command line vs. no command line

No matter how far the Linux operating system has come and how amazing the desktop environment becomes, the command line will always be an invaluable tool for administration purposes. Nothing will ever replace my favorite text-based editor, ssh, and any given command-line tool. I can't imagine administering a Linux machine without the command line. But for the end user — not so much. You could use a Linux machine for years and never touch the command line. Same with Windows. You can still use the command line with Windows, but not nearly to the extent as with Linux. And Microsoft tends to obfuscate the command prompt from users. Without going to Run and entering cmd (or command, or whichever it is these days), the user won't even know the command-line tool exists. And if a user does get the Windows command line up and running, how useful is it really?

#6: Centralized vs. noncentralized application installation

The heading for this point might have thrown you for a loop. But let's think about this for a second. With Linux you have (with nearly every distribution) a centralized location where you can search for, add, or remove software. I'm talking about package management systems, such as Synaptic. With Synaptic, you can open up one tool, search for an application (or group of applications), and install that application without having to do any Web searching (or purchasing).

Windows has nothing like this. With Windows, you must know where to find the software you want to install, download the software (or put the CD into your machine), and run setup.exe or install.exe with a simple double-click. For many years, it was thought that installing applications on Windows was far easier than on Linux. And for many years, that thought was right on target. Not so much now. Installation under Linux is simple, painless, and centralized.

#7: Flexibility vs. rigidity

I always compare Linux (especially the desktop) and Windows to a room where the floor and ceiling are either movable or not. With Linux, you have a room where the floor and ceiling can be raised or lowered, at will, as high or low as you want to make them. With Windows, that floor and ceiling are immovable. You can't go further than Microsoft has deemed it necessary to go.

Take, for instance, the desktop. Unless you are willing to pay for and install a third-party application that can alter the desktop appearance, with Windows you are stuck with

what Microsoft has declared is the ideal desktop for you. With Linux, you can pretty much make your desktop look and feel exactly how you want/need. You can have as much or as little on your desktop as you want. From simple flat Fluxbox to a full-blown 3D Compiz experience, the Linux desktop is as flexible an environment as there is on a computer.

#8: Fanboys vs. corporate types

I wanted to add this because even though Linux has reached well beyond its school-project roots, Linux users tend to be soapbox-dwelling fanatics who are quick to spout off about why you should be choosing Linux over Windows. I am guilty of this on a daily basis (I try hard to recruit new fanboys/girls), and it's a badge I wear proudly. Of course, this is seen as less than professional by some. After all, why would something worthy of a corporate environment have or need cheerleaders? Shouldn't the software sell itself? Because of the open source nature of Linux, it has to make do without the help of the marketing budgets and deep pockets of Microsoft. With that comes the need for fans to help spread the word. And word of mouth is the best friend of Linux.

Some see the fanaticism as the same college-level hoorah that keeps Linux in the basements for LUG meetings and science projects. But I beg to differ. Another company, thanks to the phenomenon of a simple music player and phone, has fallen into the same fanboy fanaticism, and yet that company's image has not been besmirched because of that fanaticism. Windows does not have these same fans. Instead, Windows has a league of paper-certified administrators who believe the hype when they hear the misrepresented market share numbers reassuring them they will be employable until the end of time.

#9: Automated vs. nonautomated removable media

I remember the days of old when you had to mount your floppy to use it and unmount it to remove it. Well, those times are drawing to a close — but not completely. One issue that plagues new Linux users is how removable media is used. The idea of having to manually “mount” a CD drive to access the contents of a CD is completely foreign to new users. There is a reason this is the way it is. Because Linux has always been a multiuser platform, it was thought that forcing a user to mount a media to use it would keep the user's files from being overwritten by another user. Think about it: On a multiuser system, if everyone had instant access to a disk that had been inserted, what would stop them from deleting or overwriting a file you had just added to the media? Things have now evolved to the point where Linux subsystems are set up so that you can use a removable device in the same way you use them in Windows. But it's not the norm. And besides, who doesn't want to manually edit the */etc/fstab* file?

#10: Multilayered run levels vs. a single-layered run level

I couldn't figure out how best to title this point, so I went with a description. What I'm talking about is Linux' inherent ability to stop at different run levels. With this, you can work from either the command line (run level 3) or the GUI (run level 5). This can really save your socks when X Windows is fubared and you need to figure out the problem. You can do this by booting into run level 3, logging in as root, and finding/fixing the problem.

With Windows, you're lucky to get to a command line via safe mode — and then you may or may not have the tools you need to fix the problem. In Linux, even in run level 3, you can still get and install a tool to help you out (hello apt-get install APPLICATION via the command line). Having different run levels is helpful in another way. Say the machine in question is a

Web or mail server. You want to give it all the memory you have, so you don't want the machine to boot into run level 5. However, there are times when you do want the GUI for administrative purposes (even though you can fully administer a Linux server from the command line). Because you can run the *startx* command from the command line at run level 3, you can still start up X Windows and have your GUI as well. With Windows, you are stuck at the Graphical run level unless you hit a serious problem.

FILE HANDLING UTILITIES:

cat Command:

cat linux command concatenates files and print it on the standard output.

SYNTAX:

The Syntax is

cat [OPTIONS] [FILE]...

OPTIONS:

- A Show all.
- b Omits line numbers for blank space in the output.
- e A \$ character will be printed at the end of each line prior to a new line.
- E Displays a \$ (dollar sign) at the end of each line.
- n Line numbers for all the output lines.
- s If the output has multiple empty lines it replaces it with one empty line.
- T Displays the tab characters in the output.
- v Non-printing characters (with the exception of tabs, new-lines and form-feeds) are printed visibly.

EXAMPLE:

1. To Create a new file:

cat > file1.txt

This command creates a new file file1.txt. After typing into the file press control+d (^d) simultaneously to end the file.

2. To Append data into the file:

cat >> file1.txt

To append data into the same file use append operator >> to write into the file, else the file will be overwritten (i.e., all of its contents will be erased).

3. To display a file:

cat file1.txt

This command displays the data in the file.

4. To concatenate several files and display:

cat file1.txt file2.txt

The above cat command will concatenate the two files (file1.txt and file2.txt) and it will display the output in the screen. Some times the output may not fit the monitor screen. In such situation you can print those files in a new file or display the file using less command.

```
cat file1.txt file2.txt | less
```

5. To concatenate several files and to transfer the output to another file.

```
cat file1.txt file2.txt > file3.txt
```

In the above example the output is redirected to new file file3.txt. The cat command will create new file file3.txt and store the concatenated output into file3.txt.

rm Command:

rm linux command is used to remove/delete the file from the directory.

SYNTAX:

The Syntax is

```
s  rm [options..] [file | directory]
```

OPTIONS:

- f Remove all files in a directory without prompting the user.
- i Interactive. With this option, rm prompts for confirmation before removing any files.
- r (or) -R Recursively remove directories and subdirectories in the argument list. The directory will be emptied of files and removed. The user is normally prompted for removal of any write-protected files which the directory contains.

EXAMPLE:

1. To Remove / Delete a file:

```
rm file1.txt
```

Here rm command will remove/delete the file file1.txt.

2. To delete a directory tree:

```
rm -ir tmp
```

This rm command recursively removes the contents of all subdirectories of the tmp directory, prompting you regarding the removal of each file, and then removes the tmp directory itself.

3. To remove more files at once

```
rm file1.txt file2.txt
```

rm command removes file1.txt and file2.txt files at the same time.

cd Command:

cd command is used to change the directory.

SYNTAX:

The Syntax is

`cd [directory | ~ | . | ../ | -]`

OPTIONS:

- L Use the physical directory structure.
- P Forces symbolic links.

EXAMPLE:

1. `cd linux-command`
This command will take you to the sub-directory(linux-command) from its parent directory.
2. `cd ..`
This will change to the parent-directory from the current working directory/sub-directory.
3. `cd ~`
This command will move to the user's home directory which is "/home/username".

cp Command:

cp command copy files from one location to another. If the destination is an existing file, then the file is overwritten; if the destination is an existing directory, the file is copied into the directory (the directory is not overwritten).

SYNTAX:

The Syntax is

`cp [OPTIONS]... SOURCE DEST`
`cp [OPTIONS]... SOURCE... DIRECTORY`
`cp [OPTIONS]... --target-directory=DIRECTORY SOURCE...`

OPTIONS:

- a same as -dpR.
- backup[=CONTROL] make a backup of each existing destination file
- b like --backup but does not accept an argument.
- f if an existing destination file cannot be opened, remove it and try again.
- p same as --preserve=mode,ownership,timestamps.
- preserve the specified attributes (default: mode,ownership,timestamps) and security contexts, if possible
- preserve[=ATTR_LIST] additional attributes: links, all.
- no- don't preserve the specified attribute.
- preserve=ATTR_LIST
- parents append source path to DIRECTORY.

EXAMPLE:

1. Copy two files:

cp file1 file2

The above cp command copies the content of file1.php to file2.php.

2. To backup the copied file:

cp -b file1.php file2.php

Backup of file1.php will be created with '~' symbol as file2.php~.

3. Copy folder and subfolders:

cp -R scripts scripts1

The above cp command copy the folder and subfolders from scripts to scripts1.

ls Command:

ls command lists the files and directories under current working directory.

SYNTAX:

The Syntax is

ls [OPTIONS]... [FILE]

OPTIONS:

- l Lists all the files, directories and their mode, Number of links, owner of the file, file size, Modified date and time and filename.
- t Lists in order of last modification time.
- a Lists all entries including hidden files.
- d Lists directory files instead of contents.
- p Puts slash at the end of each directories.
- u List in order of last access time.
- i Display inode information.
- ltr List files order by date.
- lSr List files order by file size.

EXAMPLE:

1. Display root directory contents:

ls /

lists the contents of root directory.

2. Display hidden files and directories:

ls -a

lists all entries including hidden files and directories.

3. Display inode information:

ls -i

7373073 book.gif

7373074 clock.gif

7373082 globe.gif

7373078 pencil.gif

7373080 child.gif
7373081 email.gif
7373076 indigo.gif

The above command displays filename with inode value.

ln Command:

ln command is used to create link to a file (or) directory. It helps to provide soft link for desired files. Inode will be different for source and destination.

SYNTAX:

The Syntax is

ln [options] existingfile(or directory)name newfile(or directory)name

OPTIONS:

- f Link files without questioning the user, even if the mode of target forbids writing. This is the default if the standard input is not a terminal.
- n Does not overwrite existing files.
- s Used to create soft links.

EXAMPLE:

1. **ln -s file1.txt file2.txt**

Creates a symbolic link to 'file1.txt' with the name of 'file2.txt'. Here inode for 'file1.txt' and 'file2.txt' will be different.

2. **ln -s nimi nimi1**

Creates a symbolic link to 'nimi' with the name of 'nimi1'.

mkdir COMMAND:

mkdir command is used to create one or more directories.

SYNTAX:

The Syntax is

mkdir [options] directories

OPTIONS:

- m Set the access mode for the new directories.
- p Create intervening parent directories if they don't exist.
- v Print help message for each directory created.

EXAMPLE:

1. Create directory:

mkdir test

The above command is used to create the directory 'test'.

2. Create directory and set permissions:

mkdir -m 666 test

The above command is used to create the directory 'test' and set the read and write permission.

rmdir Command:

rmdir command is used to delete/remove a directory and its subdirectories.

SYNTAX:

The Syntax is

rmdir [options..] Directory

OPTIONS:

- p Allow users to remove the directory dirname and its parent directories which become empty.

EXAMPLE:

1. To delete/remove a directory

rmdir tmp

rmdir command will remove/delete the directory tmp if the directory is empty.

2. To delete a directory tree:

rm -ir tmp

This command recursively removes the contents of all subdirectories of the tmp directory, prompting you regarding the removal of each file, and then removes the tmp directory itself.

mv Command:

mv command which is short for move. It is used to move/rename file from one directory to another. mv command is different from cp command as it completely removes the file from the source and moves to the directory specified, where cp command just copies the content from one file to another.

SYNTAX:

The Syntax is

mv [-f] [-i] oldname newname

OPTIONS:

- f This will not prompt before overwriting (equivalent to --reply=yes). mv -f will move the file(s) without prompting even if it is writing over an existing target.
- i Prompts before overwriting another file.

EXAMPLE:

1. To Rename / Move a file:

mv file1.txt file2.txt

This command renames file1.txt as file2.txt

2. To move a directory

mv hscripts tmp

In the above line mv command moves all the files, directories and sub-directories from hscripts folder/directory to tmp directory if the tmp directory already exists. If there is no tmp directory it rename's the hscripts directory as tmp directory.

3. To Move multiple files/More files into another directory

mv file1.txt tmp/file2.txt newdir

This command moves the files file1.txt from the current directory and file2.txt from the tmp folder/directory to newdir.

diff Command:

diff command is used to find differences between two files.

SYNTAX:

The Syntax is

diff [options..] from-file to-file

OPTIONS:

- a Treat all files as text and compare them line-by-line.
- b Ignore changes in amount of white space.
- c Use the context output format.
- e Make output that is a valid ed script.
- H Use heuristics to speed handling of large files that have numerous scattered small changes.
- i Ignore changes in case; consider upper- and lower-case letters equivalent.
- n Prints in RCS-format, like -f except that each command specifies the number of lines affected.
- q Output RCS-format diffs; like -f except that each command specifies the number of lines affected.
- r When comparing directories, recursively compare any subdirectories found.
- s Report when two files are the same.
- w Ignore white space when comparing lines.
- y Use the side by side output format.

EXAMPLE:

Lets create two files file1.txt and file2.txt and let it have the following data.

Data in file1.txt	Data in file2.txt
HIOX TEST	HIOX TEST
hscripts.com	HSCRIPTS.com
with friend ship	with friend ship

hiox india

1. Compare files ignoring white space:

```
diff -w file1.txt file2.txt
```

This command will compare the file file1.txt with file2.txt ignoring white/blank space and it will produce the following output.

```
2c2
< hscripts.com
---
> HSCRIPTS.com
4d3
< Hioxindia.com
```

2. Compare the files side by side, ignoring white space:

```
diff -by file1.txt file2.txt
```

This command will compare the files ignoring white/blank space, It is easier to differentiate the files.

```
HIOX TEST      HIOX TEST
hscripts.com    | HSCRIPTS.com
with friend ship with friend ship
Hioxindia.com  <
```

The third line(with friend ship) in file2.txt has more blank spaces, but still the -b ignores the blank space and does not show changes in the particular line, -y printout the result side by side.

3. Compare the files ignoring case.

```
diff -iy file1.txt file2.txt
```

This command will compare the files ignoring case(upper-case and lower-case) and displays the following output.

```
HIOX TEST      HIOX TEST
hscripts.com    HSCRIPTS.com
```

About wc

Short for word count, wc displays a count of lines, words, and characters in a file.

Syntax

```
wc [-c | -m | -C ] [-l] [-w] [ file ... ]
```

-c	Count bytes.
-m	Count characters.
-C	Same as -m.
-l	Count lines.

-w Count words delimited by white space characters or new line characters. Delimiting characters are Extended Unix Code (EUC) characters from any code set defined by `iswspace()`

File Name of file to word count.

Examples

`wc myfile.txt` - Displays information about the file `myfile.txt`. Below is an example of the output.

```
5 13 57 myfile.txt
```

```
5                                     = Lines
```

```
13                                    = Words
```

57 = Characters

About split

Split a file into pieces.

Syntax

```
split [-linecount | -l linecount ] [ -a suffixlength ] [file [name] ]
```

```
split -b n [k | m] [ -a suffixlength ] [ file [name]]
```

`-linecount | -l` Number of lines in each piece. Defaults to 1000 lines.

`linecount`

`-a` Use `suffixlength` letters to form the suffix portion of the filenames of the split file. If `-a` is not specified, the default suffix length is 2. If the sum of the name operand and the `suffixlength` option-argument would create a filename exceeding `NAME_MAX` bytes, an error will result; `split` will exit with a diagnostic message and no files will be created.

`suffixlength`

`-b n` Split a file into pieces `n` bytes in size.

`-b n k` Split a file into pieces `n*1024` bytes in size.

`-b n m` Split a file into pieces `n*1048576` bytes in size.

File The path name of the ordinary file to be split. If no input file is given or file is `-`, the standard input will be used.

name The prefix to be used for each of the files resulting from the split operation. If no name argument is given, `x` will be used as the prefix of the output files. The combined length of the basename of prefix and `suffixlength` cannot exceed `NAME_MAX` bytes; see **OPTIONS**.

Examples

`split -b 22 newfile.txt new` - would split the file "newfile.txt" into three separate files called newaa, newab and newac each file the size of 22.

`split -l 300 file.txt new` - would split the file "newfile.txt" into files beginning with the name "new" each containing 300 lines of text each

About settime and touch

Change file access and modification time.

Syntax

touch [-a] [-c] [-m] [-r ref_file | -t time] file

settime [-f ref_file] file

- a Change the access time of file. Do not change the modification time unless -m is also specified.
- c Do not create a specified file if it does not exist. Do not write any diagnostic messages concerning this condition.
- m Change the modification time of file. Do not change the access time unless -a is also specified.
- r ref_file Use the corresponding times of the file named by ref_file instead of the current time.
- t time Use the specified time instead of the current time. time will be a decimal number of the form:
[[CC]YY]MMDDhhmm [.SS]
MM - The month of the year [01-12].
DD - The day of the month [01-31].
hh - The hour of the day [00-23].
mm - The minute of the hour [00-59].
CC - The first two digits of the year.
YY - The second two digits of the year.
SS - The second of the minute [00-61].
- f ref_file Use the corresponding times of the file named by ref_file instead of the current time.

File A path name of a file whose times are to be modified.

Examples

settime myfile.txt

Sets the file myfile.txt as the current time / date.

touch newfile.txt

Creates a file known as "newfile.txt", if the file does not already exist. If the file already exists the accessed / modification time is updated for the file newfile.txt

About comm

Select or reject lines common to two files.

Syntax

comm [-1] [-2] [-3] file1 file2

- 1 Suppress the output column of lines unique to file1.
 - 2 Suppress the output column of lines unique to file2.
 - 3 Suppress the output column of lines duplicated in file1 and file2.
- file1** Name of the first file to compare.

file2 Name of the second file to compare.

Examples

comm myfile1.txt myfile2.txt

The above example would compare the two files myfile1.txt and myfile2.txt.

SECURITY BY FILE PERMISSIONS

This lesson will cover the following commands:

- chmod - modify file access rights
- su - temporarily become the superuser
- chown - change file ownership
- chgrp - change a file's group ownership

File permissions

Linux uses the same permissions scheme as Linux. Each file and directory on your system is assigned access rights for the owner of the file, the members of a group of related users, and everybody else. Rights can be assigned to read a file, to write a file, and to execute a file (i.e., run the file as a program).

To see the permission settings for a file, we can use the `ls` command as follows:

```
[me@linuxbox me]$ ls -l some_file
```

```
-rw-rw-r-- 1 me me 1097374 Sep 26 18:48 some_file
```

We can determine a lot from examining the results of this command:

- The file "some_file" is owned by user "me"
- User "me" has the right to read and write this file
- The file is owned by the group "me"
- Members of the group "me" can also read and write this file
- Everybody else can read this file

Let's try another example. We will look at the `bash` program which is located in the `/bin` directory:

```
[me@linuxbox me]$ ls -l /bin/bash
```

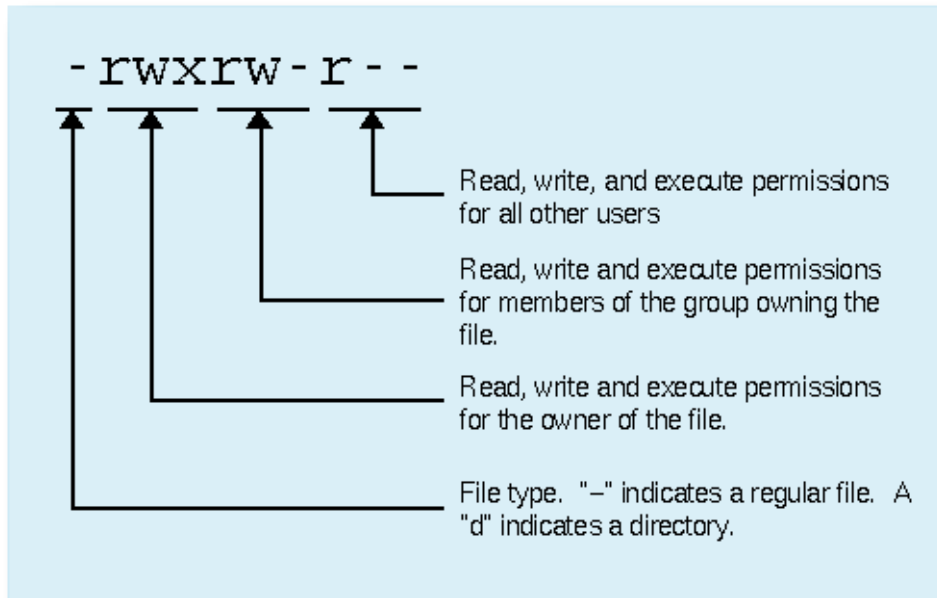
```
-rwxr-xr-x 1 root root 316848 Feb 27 2000 /bin/bash
```

Here we can see:

- The file `/bin/bash` is owned by user "root"
- The superuser has the right to read, write, and execute this file
- The file is owned by the group "root"
- Members of the group "root" can also read and execute this file

- Everybody else can read and execute this file

In the diagram below, we see how the first portion of the listing is interpreted. It consists of a character indicating the file type, followed by three sets of three characters that convey the reading, writing and execution permission for the owner, group, and everybody else.



chmod

The `chmod` command is used to change the permissions of a file or directory. To use it, you specify the desired permission settings and the file or files that you wish to modify. There are two ways to specify the permissions, but I am only going to teach one way.

It is easy to think of the permission settings as a series of bits (which is how the computer thinks about them). Here's how it works:

```

rwx rwx rwx = 111 111 111
rw- rw- rw- = 110 110 110
rwx --- --- = 111 000 000

```

and so on...

```

rwx = 111 in binary = 7
rw- = 110 in binary = 6
r-x = 101 in binary = 5
r-- = 100 in binary = 4

```

Now, if you represent each of the three sets of permissions (owner, group, and other) as a single digit, you have a pretty convenient way of expressing the possible permissions settings. For example, if we wanted to set `some_file` to have read and write permission for the owner, but wanted to keep the file private from others, we would:


```
[me@linuxbox me]$ chmod 600 some_file
```

Here is a table of numbers that covers all the common settings. The ones beginning with "7" are used with programs (since they enable execution) and the rest are for other kinds of files.

<i>Value</i>	<i>Meaning</i>
777	(<i>rw-rw-rw-</i>) No restrictions on permissions. Anybody may do anything. Generally not a desirable setting.
755	(<i>rw-r--r--</i>) The file's owner may read, write, and execute the file. All others may read and execute the file. This setting is common for programs that are used by all users.
700	(<i>rw-x-----</i>) The file's owner may read, write, and execute the file. Nobody else has any rights. This setting is useful for programs that only the owner may use and must be kept private from others.
666	(<i>rw-rw-rw-</i>) All users may read and write the file.
644	(<i>rw-r--r--</i>) The owner may read and write a file, while all others may only read the file. A common setting for data files that everybody may read, but only the owner may change.
600	(<i>rw-----</i>) The owner may read and write a file. All others have no rights. A common setting for data files that the owner wants to keep private.

Directory permissions

The `chmod` command can also be used to control the access permissions for directories. In most ways, the permissions scheme for directories works the same way as they do with files. However, the execution permission is used in a different way. It provides control for access to file listing and other things. Here are some useful settings for directories:

<i>Value</i>	<i>Meaning</i>
777	(<i>rw-rw-rw-</i>) No restrictions on permissions. Anybody may list files, create new files in the directory and delete files in the directory. Generally not a good setting.
755	(<i>rw-r--r--</i>) The directory owner has full access. All others may list the directory, but cannot create files nor delete them. This setting is common for directories that you wish to share with other users.
700	(<i>rw-x-----</i>) The directory owner has full access. Nobody else has any rights. This setting is useful for directories that only the owner may use and must be kept private from others.

Becoming the superuser for a short while

It is often useful to become the superuser to perform important system administration tasks, but as you have been warned (and not just by me!), you should not stay logged on as the superuser. In most distributions, there is a program that can give you temporary access to the superuser's privileges. This program is called `su` (short for substitute user) and can be used in those cases when you need to be the superuser for a small number of tasks. To become the superuser, simply type the `su` command. You will be prompted for the superuser's password:

```
[me@linuxbox me]$ su
Password:
[root@linuxbox me]#
```

After executing the `su` command, you have a new shell session as the superuser. To exit the superuser session, type `exit` and you will return to your previous session.

In some distributions, most notably Ubuntu, an alternate method is used. Rather than using `su`, these systems employ the `sudo` command instead. With `sudo`, one or more users are granted superuser privileges on an as needed basis. To execute a command as the superuser, the desired command is simply preceded with the `sudo` command. After the command is entered, the user is prompted for the user's password rather than the superuser's:

```
[me@linuxbox me]$ sudo some_command
Password:
[me@linuxbox me]$
```

Changing file ownership

You can change the owner of a file by using the `chown` command. Here's an example: Suppose I wanted to change the owner of `some_file` from "me" to "you". I could:

```
[me@linuxbox me]$ su
Password:
[root@linuxbox me]# chown you some_file
[root@linuxbox me]# exit
[me@linuxbox me]$
```

Notice that in order to change the owner of a file, you must be the superuser. To do this, our example employed the `su` command, then we executed `chown`, and finally we typed `exit` to return to our previous session.

`chown` works the same way on directories as it does on files.

Changing group ownership

The group ownership of a file or directory may be changed with `chgrp`. This command is used like this:

```
[me@linuxbox me]$ chgrp new_group some_file
```

In the example above, we changed the group ownership of `some_file` from its previous group to "new_group". You must be the owner of the file or directory to perform a `chgrp`.

chown Command:

chown command is used to change the owner / user of the file or directory. This is an admin command, root user only can change the owner of a file or directory.

SYNTAX:

The Syntax is

chown [options] newowner filename/directoryname

OPTIONS:

- R Change the permission on files that are in the subdirectories of the directory that you are currently in.
- c Change the permission for each file.
- f Prevents chown from displaying error messages when it is unable to change the ownership of a file.

EXAMPLE:

1. **chown hiox test.txt**
The owner of the 'test.txt' file is root, Change to new user hiox.
2. **chown -R hiox test**
The owner of the 'test' directory is root, With -R option the files and subdirectories user also gets changed.
3. **chown -c hiox calc.txt**
Here Change The Owner For The Specific 'Calc.Txt' File Only.

chmod Command:

chmod command allows you to alter / Change access rights to files and directories.

File Permission is given for users,group and others as,

	Read	Write	Execute
User	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Group	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Others	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Permission	<input type="text" value="000"/>		
Symbolic Mode	<input type="text" value=""/>		

SYNTAX:

The Syntax is

chmod [options] [MODE] FileName

File Permission

#	File Permission
0	none
1	execute only
2	write only
3	write and execute
4	read only
5	read and execute
6	read and write
7	set all permissions

OPTIONS:

- c Displays names of only those files whose permissions are being changed
- f Suppress most error messages
- R Change files and directories recursively
- v Output version information and exit.

EXAMPLE:

1. To view your files with what permission they are:
`ls -alt`
This command is used to view your files with what permission they are.
2. To make a file readable and writable by the group and others.
`chmod 066 file1.txt`
3. To allow everyone to read, write, and execute the file
`chmod 777 file1.txt`
`with friend ship | with friend ship`

chgrp Command:

chgrp command is used to change the group of the file or directory. This is an admin command. Root user only can change the group of the file or directory.

SYNTAX:

The Syntax is

`chgrp [options] newgroup filename/directoryname`

OPTIONS:

- R Change the permission on files that are in the subdirectories of the directory that you are currently in.

- c Change the permission for each file.
- f Force. Do not report errors.

Hioxindia.com <

EXAMPLE:

1. `chgrp hiox test.txt`
The group of 'test.txt' file is root, Change to newgroup hiox.
2. `chgrp -R hiox test`
The group of 'test' directory is root. With -R, the files and its subdirectories also changes to newgroup hiox.
3. `chgrp -c hiox calc.txt`
They above command is used to change the group for the specific file('calc.txt') only.

PROCESS UTILITIES:

ps COMMAND:

ps command is used to report the process status. ps is the short name for Process Status.

SYNTAX:

The Syntax is

`ps [options]`

OPTIONS:

- a List information about all processes most frequently requested: all those except process group leaders and processes not associated with a terminal..
- A or e List information for all processes.
- d List information about all processes except session leaders.
- e List information about every process now running.
- f Generates a full listing.
- j Print session ID and process group ID.
- l Generate a long listing.

EXAMPLE:

1. `ps`

Output:

```
PID TTY      TIME CMD
2540 pts/1    00:00:00 bash
2621 pts/1    00:00:00 ps
```

In the above example, typing ps alone would list the current running processes.

2. `ps -f`

Output:

```
UID      PID  PPID  C STIME TTY      TIME CMD
```

```
nirmala 2540 2536 0 15:31 pts/1 00:00:00 bash
```

```
nirmala 2639 2540 0 15:51 pts/1 00:00:00 ps -f
```

Displays full information about currently running processes.

kill COMMAND:

kill command is used to kill the background process.

SYNTAX:

The Syntax is

```
kill [-s] [-l] %pid
```

OPTIONS:

- s Specify the signal to send. The signal may be given as a signal name or number.
- l Write all values of signal supported by the implementation, if no operand is given.
- pid Process id or job id.
- 9 Force to kill a process.

EXAMPLE:

Step by Step process:

- Open a process music player.

```
xmms
```


press ctrl+z to stop the process.
- To know group id or job id of the background task.

```
jobs -l
```
- It will list the background jobs with its job id as,
•

```
xmms 3956
```



```
kmail 3467
```
- To kill a job or process.

```
kill 3956
```


kill command kills or terminates the background process xmms.

About nice

Invokes a command with an altered scheduling priority.

Syntax

```
nice [-increment | -n increment ] command [argument ... ]
```

-increment | - n increment increment must be in the range 1-19; if not specified, an increment of 10 is assumed. An increment greater than 19 is equivalent to 19.

The super-user may run commands with priority higher than normal by using a negative increment such as -10. A negative increment assigned by an unprivileged user is ignored.

command The name of a command that is to be invoked. If command names any of the

special built-in utilities, the results are undefined.

argument Any string to be supplied as an argument when invoking command.

Examples

nice +13 pico myfile.txt - runs the pico command on myfile.txt with an increment of +13.

About at

Schedules a command to be ran at a particular time, such as a print job late at night.

Syntax

at executes commands at a specified time.

atq lists the user's pending jobs, unless the user is the superuser; in that case, everybody's jobs are listed. The format of the output lines (one for each job) is: Job number, date, hour, job class.

atrm deletes jobs, identified by their job number.

batch executes commands when system load levels permit; in other words, when the load average drops below 1.5, or the value specified in the invocation of atrun.

at [-c | -k | -s] [-f filename] [-q queue name] [-m] -t time [date] [-l] [-r]

-c C shell. csh(1) is used to execute the at-job.

-k Korn shell. ksh(1) is used to execute the at-job.

-s Bourne shell. sh(1) is used to execute the at-job.

-f filename Specifies the file that contains the command to run.

-m Sends mail once the command has been run.

-t time Specifies at what time you want the command to be ran. Format hh:mm. am / pm indication can also follow the time otherwise a 24-hour clock is used. A timezone name of GMT, UCT or ZULU (case insensitive) can follow to specify that the time is in Coordinated Universal Time. Other timezones can be specified using the TZ environment variable. The below quick times can also be entered:

midnight - Indicates the time 12:00 am (00:00).

noon - Indicates the time 12:00 pm.

now - Indicates the current day and time. Invoking at - now will submit submit an at-job for potentially immediate execution.

date Specifies the date you wish it to be ran on. Format month, date, year. The following quick days can also be entered:

today - Indicates the current day.

tomorrow - Indicates the day following the current day.

-l Lists the commands that have been set to run.

-r Cancels the command that you have set in the past.

Examples

at -m 01:35 < atjob = Run the commands listed in the 'atjob' file at 1:35AM, in addition all output that is generated from job mail to the user running the task. When this command has been successfully entered you should receive a prompt similar to the below example.

commands will be executed using /bin/csh
job 1072250520.a at Wed Dec 24 00:22:00 2003

at -l = This command will list each of the scheduled jobs as seen below.

1072250520.a Wed Dec 24 00:22:00 2003

at -r 1072250520.a = Deletes the job just created.

or

atrm 23 = Deletes job 23.

If you wish to create a job that is repeated you could modify the file that executes the commands with another command that recreates the job or better yet use the [crontab command](#).

FILTERS:

more COMMAND:

more command is used to display text in the terminal screen. It allows only backward movement.

SYNTAX:

The Syntax is

more [options] filename

OPTIONS:

- c Clear screen before displaying.
- e Exit immediately after writing the last line of the last file in the argument list.
- n Specify how many lines are printed in the screen for a given file.
- +n Starts up the file from the given number.

EXAMPLE:

1. **more -c index.php**
Clears the screen before printing the file .
2. **more -3 index.php**
Prints first three lines of the given file. Press **Enter** to display the file line by line.

head COMMAND:

head command is used to display the first ten lines of a file, and also specifies how many lines to display.

SYNTAX:

The Syntax is

head [options] filename

OPTIONS:

- n To specify how many lines you want to display.
- n number The number option-argument must be a decimal integer whose sign affects the location in the file, measured in lines.
- c number The number option-argument must be a decimal integer whose sign affects the location in the file, measured in bytes.

EXAMPLE:

1. **head index.php**
This command prints the first 10 lines of 'index.php'.
2. **head -5 index.php**
The head command displays the first 5 lines of 'index.php'.
3. **head -c 5 index.php**
The above command displays the first 5 characters of 'index.php'.

tail COMMAND:

tail command is used to display the last or bottom part of the file. By default it displays last 10 lines of a file.

SYNTAX:

The Syntax is

tail [options] filename

OPTIONS:

- l To specify the units of lines.
- b To specify the units of blocks.
- n To specify how many lines you want to display.
- c number The number option-argument must be a decimal integer whose sign affects the location in the file, measured in bytes.
- n number The number option-argument must be a decimal integer whose sign affects the location in the file, measured in lines.

EXAMPLE:

1. **tail index.php**

It displays the last 10 lines of 'index.php'.

2. `tail -2 index.php`

It displays the last 2 lines of 'index.php'.

3. `tail -n 5 index.php`

It displays the last 5 lines of 'index.php'.

4. `tail -c 5 index.php`

It displays the last 5 characters of 'index.php'.

cut COMMAND:

cut command is used to cut out selected fields of each line of a file. The cut command uses delimiters to determine where to split fields.

SYNTAX:

The Syntax is

`cut [options]`

OPTIONS:

- c Specifies character positions.
- b Specifies byte positions.
- d flags Specifies the delimiters and fields.

EXAMPLE:

1. `cut -c1-3 text.txt`

Output:

Thi

Cut the first three letters from the above line.

2. `cut -d, -f1,2 text.txt`

Output:

This is, an example program

The above command is used to split the fields using delimiter and cut the first two fields.

paste COMMAND:

paste command is used to paste the content from one file to another file. It is also used to set column format for each line.

SYNTAX:

The Syntax is

`paste [options]`

OPTIONS:

- s Paste one file at a time instead of in parallel.
- d Reuse characters from LIST instead of TABs .

EXAMPLE:

1. `paste test.txt>test1.txt`

Paste the content from 'test.txt' file to 'test1.txt' file.

2. `ls | paste - - - -`

List all files and directories in four columns for each line.

sort COMMAND:

sort command is used to sort the lines in a text file.

SYNTAX:

The Syntax is

`sort [options] filename`

OPTIONS:

- r Sorts in reverse order.
- u If line is duplicated display only once.
- o filename Sends sorted output to a file.

EXAMPLE:

1. `sort test.txt`

Sorts the 'test.txt' file and prints result in the screen.

2. `sort -r test.txt`

Sorts the 'test.txt' file in reverse order and prints result in the screen.

About uniq

Report or filter out repeated lines in a file.

Syntax

`uniq [-c | -d | -u] [-f fields] [-s char] [-n] [+m] [input_file [output_file]]`

- c Precede each output line with a count of the number of times the line occurred in the input.
- d Suppress the writing of lines that are not repeated in the input.
- u Suppress the writing of lines that are repeated in the input.
- f fields Ignore the first fields fields on each input line when doing comparisons, where fields is a positive decimal integer. A field is the maximal string matched by the basic regular expression:
[[[:blank:]]*[^[:blank:]]*
If fields specifies more fields than appear on an input line, a null string will be used for comparison.
- s char Ignore the first chars characters when doing comparisons, where chars is a positive decimal integer. If specified in conjunction with the -f option, the

first chars characters after the first fields fields will be ignored. If chars specifies more characters than remain on an input line, a null string will be used for comparison.

-n Equivalent to **-f** fields with fields set to **n**.

+m Equivalent to **-s** chars with chars set to **m**.

input_file A path name of the input file. If **input_file** is not specified, or if the **input_file** is **-**, the standard input will be used.

output_file A path name of the output file. If **output_file** is not specified, the standard output will be used. The results are unspecified if the file named by **output_file** is the file named by **input_file**.

Examples

uniq myfile1.txt > myfile2.txt - Removes duplicate lines in the first file1.txt and outputs the results to the second file.

About tr

Translate characters.

Syntax

tr [-c] [-d] [-s] [string1] [string2]

-c Complement the set of characters specified by **string1**.

-d Delete all occurrences of input characters that are specified by **string1**.

-s Replace instances of repeated characters with a single character.

string1 First string or character to be changed.

string2 Second string or character to change the **string1**.

Examples

echo "12345678 9247" | tr 123456789 computerh - this example takes an echo response of '12345678 9247' and pipes it through the **tr** replacing the appropriate numbers with the letters. In this example it would return *computer hope*.

tr -cd '\11\12\40-\176' < myfile1 > myfile2 - this example would take the file **myfile1** and strip all non printable characters and take that results to **myfile2**.

General Commands:

date COMMAND:

date command prints the date and time.

SYNTAX:

The Syntax is

date [options] [+format] [date]

OPTIONS:

- a Slowly adjust the time by sss.fff seconds (fff represents fractions of a second). This adjustment can be positive or negative. Only system admin/super user can adjust the time.
- s Sets the time and date to the value specified in the datestring. The datestring may contain the month names, timezones, 'am', 'pm', etc.
- u Display (or set) the date in Greenwich Mean Time (GMT-universal time).

Format:

- %a Abbreviated weekday(Tue).
- %A Full weekday(Tuesday).
- %b Abbreviated month name(Jan).
- %B Full month name(January).
- %c Country-specific date and time format..
- %D Date in the format %m/%d/%y.
- %j Julian day of year (001-366).
- %n Insert a new line.
- %p String to indicate a.m. or p.m.
- %T Time in the format %H:%M:%S.
- %t Tab space.
- %V Week number in year (01-52); start week on Monday.

EXAMPLE:

1. date command
`date`
The above command will print **Wed Jul 23 10:52:34 IST 2008**
2. To use tab space:
`date +"Date is %D %t Time is %T"`
The above command will remove space and print as
Date is 07/23/08 Time is 10:52:34
3. To know the week number of the year,
`date -V`
The above command will print **30**
4. To set the date,
`date -s "10/08/2008 11:37:23"`

The above command will print **Wed Oct 08 11:37:23 IST 2008**

who COMMAND:

who command can list the names of users currently logged in, their terminal, the time they have been logged in, and the name of the host from which they have logged in.

SYNTAX:

The Syntax is

who [options] [file]

OPTIONS:

- am i** Print the username of the invoking user, The 'am' and 'i' must be space separated.
- b** Prints time of last system boot.
- d** print dead processes.
- H** Print column headings above the output.
- i** Include idle time as HOURS:MINUTES. An idle time of . indicates activity within the last minute.
- m** Same as who am i.
- q** Prints only the usernames and the user count/total no of users logged in.
- T,-w** Include user's message status in the output.

EXAMPLE:

1. **who -uH**

Output:

NAME	LINE	TIME	IDLE	PID	COMMENT
hiox	ttyp3	Jul 10 11:08	.	4578	

This sample output was produced at 11 a.m. The "." indicates activity within the last minute.

2. **who am i**

who am i command prints the user name.

echo COMMAND:

echo command prints the given input string to standard output.

SYNTAX:

The Syntax is

echo [options..] [string]

OPTIONS:

- n** do not output the trailing newline
- e** enable interpretation of the backslash-escaped characters listed below

-E disable interpretation of those sequences in STRINGS

Without -E, the following sequences are recognized and interpolated:

\\NNN	the character whose ASCII code is NNN (octal)
\\a	alert (BEL)
\\	backslash
\\b	backspace
\\c	suppress trailing newline
\\f	form feed
\\n	new line
\\r	carriage return
\\t	horizontal tab
\\v	vertical tab

EXAMPLE:

1. echo command

`echo "hscripts Hiox India"`

The above command will print as `hscripts Hiox India`

2. To use backspace:

`echo -e "hscripts \\bHiox \\bIndia"`

The above command will remove space and print as `hscriptsHioxIndia`

3. To use tab space in echo command

`echo -e "hscripts\\tHiox\\tIndia"`

The above command will print as `hscripts Hiox India`

passwd COMMAND:

passwd command is used to change your password.

SYNTAX:

The Syntax is

`passwd [options]`

OPTIONS:

- a Show password attributes for all entries.
- l Locks password entry for name.
- d Deletes password for name. The login name will not be prompted for password.
- f Force the user to change password at the next login by expiring the password for name.

EXAMPLE:

1. **passwd**

Entering just passwd would allow you to change the password. After entering passwd you will receive the following three prompts:

Current Password:

New Password:

Confirm New Password:

Each of these prompts must be entered correctly for the password to be successfully changed.

pwd COMMAND:

pwd - Print Working Directory. pwd command prints the full filename of the current working directory.

SYNTAX:

The Syntax is

pwd [options]

OPTIONS:

-P The pathname printed will not contain symbolic links.

-L The pathname printed may contain symbolic links.

EXAMPLE:

1. Displays the current working directory.

pwd

If you are working in home directory then, pwd command displays the current working directory as **/home**.

cal COMMAND:

cal command is used to display the calendar.

SYNTAX:

The Syntax is

cal [options] [month] [year]

OPTIONS:

-1 Displays single month as output.

-3 Displays prev/current/next month output.

-s Displays sunday as the first day of the week.

-m Displays Monday as the first day of the week.

-j Displays Julian dates (days one-based, numbered from January 1).

-y Displays a calendar for the current year.

EXAMPLE:

1. **cal**

Output:

```
September 2008
Su Mo Tu We Th Fr Sa
  1  2  3  4  5  6
  7  8  9 10 11 12 13
 14 15 16 17 18 19 20
 21 22 23 24 25 26 27
 28 29 30
```

cal command displays the current month calendar.

2. **cal -3 5 2008**

Output:

```
April 2008          May 2008          June 2008
Su Mo Tu We Th Fr Sa Su Mo Tu We Th Fr Sa Su Mo Tu We Th Fr Sa
  1  2  3  4  5          1  2  3  1  2  3  4  5  6  7
  6  7  8  9 10 11 12  4  5  6  7  8  9 10  8  9 10 11 12 13 14
 13 14 15 16 17 18 19 11 12 13 14 15 16 17 15 16 17 18 19 20 21
 20 21 22 23 24 25 26 18 19 20 21 22 23 24 22 23 24 25 26 27 28
 27 28 29 30          25 26 27 28 29 30 31 29 30
```

Here the cal command displays the calendar of April, May and June month of year 2008.

login Command

Signs into a new system.

Syntax

```
login [ -p ] [ -d device ] [-h hostname | terminal | -r hostname ] [ name [ environ ] ]
```

-p Used to pass environment variables to the login shell.

-d device login accepts a device option, device. device is taken to be the path name of the TTY port login is to operate on. The use of the device option can be expected to improve login performance, since login will not need to call ttyname. The -d option is available only to users whose UID and effective UID are root. Any other attempt to use -d will cause login to quietly exit.

-h hostname | terminal Used by in.telnetd to pass information about the remote host and terminal type.

-r hostname Used by in.rlogind to pass information about the remote host.

Examples

login computerhope.com - Would attempt to login to the computerhope domain.

uname command

Print name of current system.

Syntax

uname [-a] [-i] [-m] [-n] [-p] [-r] [-s] [-v] [-X] [-S systemname]

- a Print basic information currently available from the system.
- i Print the name of the hardware implementation (platform).
- m Print the machine hardware name (class). Use of this option is discouraged; use `uname -p` instead.
- n Print the nodename (the nodename is the name by which the system is known to a communications network).
- p Print the current host's ISA or processor type.
- r Print the operating system release level.
- s Print the name of the operating system. This is the default.
- v Print the operating system version.
- X Print expanded system information, one information element per line, as expected by SCO Unix. The displayed information includes:
- system name, node, release, version, machine, and number of CPUs.
 - BusType, Serial, and Users (set to "unknown" in Solaris)
 - OEM# and Origin# (set to 0 and 1, respectively)
- S The nodename may be changed by specifying a system name argument. The systemname system name argument is restricted to SYS_NMLN characters. SYS_NMLN is an implementation specific value defined in <sys/utsname.h>. Only the super-user is allowed this capability.

Examples

uname -arv

List the basic system information, OS release, and OS version as shown below.

SunOS hope 5.7 Generic_106541-08 sun4m sparc SUNW,SPARCstation-10

uname -p

Display the Linux platform.

Disk utilities

df - summarize disk block and file usage

df is used to report the number of disk blocks and inodes used and free for each file system. The output format and valid options are very specific to the OS and program version in use.

Syntax

df [options] [resource]

Common Options

-l local file systems only
(SVR4) **-k** report in
kilobytes (SVR4) **df**

Filesystem kbytes used avail capacity Mounted on

/dev/sd0a 20895 19224 0 102% /

/dev/sd0h 319055 131293 155857 46% /usr

/dev/sd1g 637726 348809 225145 61% /usr/local

du - report disk space in use

du reports the amount of disk space in use for the files or directories you specify.

Syntax

du [options] [directory or file]

Common Options

-a display disk usage for each file, not just
subdirectories **-s** display a summary total only

-k report in kilobytes (SVR4)

du

1 ./elm

1 ./Mail

1 ./News

20 ./uc

du -a uc

7 uc/unixgrep.txt

5 uc/editors.txt

1 uc/.emacs

1 uc/.exrc

4 uc/telnet.ftp

1 uc/uniq.tee.txt

20 uc

NETWORKING COMMANDS

Command/Syntax	What it will do
<i>finger</i> [options] <i>user</i> [@ <i>hostname</i>]	report information about users on local and remote machines
<i>ftp</i> [options] <i>host</i>	transfer file(s) using file transfer protocol
<i>rcp</i> [options] <i>hostname</i>	remotely copy files from this machine to another machine
<i>rlogin</i> [options] <i>hostname</i>	login remotely to another machine
<i>rsh</i> [options] <i>hostname</i>	remote shell to run on another machine
<i>telnet</i> [host [port]]	communicate with another host using telnet protocol

TELNET and **FTP** are Application Level Internet protocols. The TELNET and FTP protocol specifications have been implemented by many different sources, including The National Center for Supercomputer Applications (NCSA), and many other public domain and shareware sources **rlogin** is a remote login service that was at one time exclusive to Berkeley

4.3 BSD UNIX.

Essentially, it offers the same functionality as **telnet**, except that it passes to the remote computer information about the user's login environment. Machines can be configured to allow connections from trusted hosts without prompting for the users' passwordsA more secure version of this protocol is the Secure SHell, **SSH**, software written by Tatu Ylonen and available via <ftp://ftp.net.ohio-state.edu/pub/security/ssh>.

their commands—rsh (remotshell), rcp (remote copy), and rlogin (remote login)—were prevalent in the past, but because they

offer little security, they're generally discouraged in today's environments. rsh and rlogin are similar in functionality to telnet, and rcp is similar to ftp.

telnet [options] [remote_host [port_number]
] **tn3270** [options] [remote_host [port_number]] **ftp** [options] [remote_host]

Common

Options ftp

telnet Action

-d set debugging mode on

-d same as above

(SVR4only) **-i** turn off
interactive prompting

-n don't attempt auto-login on
connection **-v** verbose mode on

-l user connect with username, **user**, on the remote host
(SVR4 only) **-8** 8-bit data path (SVR4 only)

telnet

solaris or

telnet 192.168.1

```
telnet oscar.us.ohio-state.edu tn3270
ohstmvs.a.acs.ohio-state.edu ftp
magnus.acs.ohio-state.edu
```

finger - get information about users

finger displays the **.plan** file of a specific user, or reports who is logged into a specific machine. The user must allow general read permission on the **.plan** file.

Syntax

finger [options] [user[@hostname]]

Common Options

-l force long output format

-m match username only, not first or last names

-s force short output format

Examples

```
brigadier: condron [77]> finger workshop@nyssa
This is a sample .plan file for the nyssa id, workshop.
```

This id is being used this week by Frank Fiamingo, Linda DeBula, and Linda Condron, while we teach a pilot version of the new Unix workshop we developed for UTS.

Remote login

rlogin [-l username] remote_host

rsh [-l username] remote_host [command]

rcp [[user1]@host1:]original_filename [[user2]@host2:]new_filename

where the parts in brackets ([]) are optional. **rcp** does not prompt for passwords, so you must have permission to execute remote commands on the specified machines as the selected user on each machine.

Common Options

-l username connect as the user, **username**, on the remote host (*rlogin* & *rsh*)

Using ssh

ssh (Secure SHell) and telnet are two methods that enable you to log in to a remote system and run commands interactively;

command hostname

ssh darwin

or

ssh 192.168.1.58

The ping Utility

The ping command sends an echo request to a host available on the network. Using this command you can check if your remote host is responding well or not.

The ping command is useful for the following –

- Tracking and isolating hardware and software problems.
- Determining the status of the network and various foreign hosts.
- Testing, measuring, and managing networks.

Syntax

Following is the simple syntax to use **ping** command –

\$ping hostname or ip-address

Above command would start printing a response after every second. To come out of the command you can terminate it by pressing CNTRL + C keys.

Example

Following is the example to check the availability of a host available on the network –

\$ping google.com

PING google.com (74.125.67.100) 56(84) bytes of data.

64 bytes from 74.125.67.100: icmp_seq=1 ttl=54 time=39.4 ms

64 bytes from 74.125.67.100: icmp_seq=2 ttl=54 time=39.9 ms

64 bytes from 74.125.67.100: icmp_seq=3 ttl=54 time=39.3 ms

64 bytes from 74.125.67.100: icmp_seq=4 ttl=54 time=39.1 ms

64 bytes from 74.125.67.100: icmp_seq=5 ttl=54 time=38.8 ms

--- google.com ping statistics ---

22 packets transmitted, 22 received, 0% packet loss, time 21017ms

rtt min/avg/max/mdev = 38.867/39.334/39.900/0.396 ms

\$

If a host does not exist then it would behave something like this –

```
$ping giiggle.com
```

```
ping: unknown host giiggle.com
```

```
$
```

The ftp Utility

Here ftp stands for **F**ile **T**ransfer **P**rotocol. This utility helps you to upload and download your file from one computer to another computer.

The ftp utility has its own set of UNIX like commands which allow you to perform tasks such as –

- Connect and login to a remote host.
- Navigate directories.
- List directory contents
- Put and get files
- Transfer files as ascii, ebcdic or binary

Syntax

Following is the simple syntax to use **ping** command –

```
$ftp hostname or ip-address
```

Above command would prompt you for login ID and password. Once you are authenticated, you would have access on the home directory of the login account and you would be able to perform various commands.

Few of the useful commands are listed below –

Command	Description
put filename	Upload filename from local machine to remote machine.
get filename	Download filename from remote machine to local machine.
mput file list	Upload more than one files from local machine to remote machine.
mget file list	Download more than one files from remote machine to local machine.
prompt off	Turns prompt off, by default you would be prompted to upload or download movies using mput or mget commands.
prompt on	Turns prompt on.
Dir	List all the files available in the current directory of remote machine.
cd dirname	Change directory to dirname on remote machine.
lcd dirname	Change directory to dirname on local machine.
Quit	Logout from the current login.

It should be noted that all the files would be downloaded or uploaded to or from current directories. If you want to upload your files in a particular directory then first you change to that directory and then upload required files.

Example

Following is the example to show few commands –


```
$ftp amrood.com
Connected to amrood.com.
220 amrood.com FTP server (Ver 4.9 Thu Sep 2 20:35:07 CDT 2009)
Name (amrood.com:amrood): amrood
331 Password required for amrood.
Password:
230 User amrood logged in.
ftp> dir
200 PORT command successful.
150 Opening data connection for /bin/ls.
total 1464
drwxr-sr-x  3 amrood  group   1024 Mar 11 20:04 Mail
drwxr-sr-x  2 amrood  group   1536 Mar  3 18:07 Misc
drwxr-sr-x  5 amrood  group    512 Dec  7 10:59 OldStuff
drwxr-sr-x  2 amrood  group   1024 Mar 11 15:24 bin
drwxr-sr-x  5 amrood  group   3072 Mar 13 16:10 mpl
-rw-r--r--  1 amrood  group 209671 Mar 15 10:57 myfile.out
drwxr-sr-x  3 amrood  group    512 Jan  5 13:32 public
drwxr-sr-x  3 amrood  group    512 Feb 10 10:17 pvm3
226 Transfer complete.
ftp> cd mpl
250 CWD command successful.
ftp> dir
200 PORT command successful.
150 Opening data connection for /bin/ls.
total 7320
-rw-r--r--  1 amrood  group   1630 Aug  8 1994  dboard.f
-rw-r-----  1 amrood  group   4340 Jul 17 1994  vttest.c
-rwxr-xr-x  1 amrood  group 525574 Feb 15 11:52 wave_shift
-rw-r--r--  1 amrood  group   1648 Aug  5 1994  wide.list
-rwxr-xr-x  1 amrood  group   4019 Feb 14 16:26 fix.c
226 Transfer complete.
ftp> get wave_shift
200 PORT command successful.
150 Opening data connection for wave_shift (525574 bytes).
226 Transfer complete.
528454 bytes received in 1.296 seconds (398.1 Kbytes/s)
ftp> quit
221 Goodbye.
$
```

The telnet Utility

Many times you would be in need to connect to a remote Unix machine and work on that machine remotely. Telnet is a utility that allows a computer user at one site to make a connection, login and then conduct work on a computer at another site.

Once you are login using telnet, you can perform all the activities on your remotely connect machine. Here is example telnet session –

```
C:>telnet amrood.com
```

```
Trying...
```

```
Connected to amrood.com.
```

```
Escape character is '^['.
```

```
login: amrood
```

```
amrood's Password:
```

```
*****
```

```
*
```

```
*
```

```
*
```

```
*
```

```
* WELCOME TO AMROOD.COM *
```

```
*
```

```
*
```

```
*
```

```
*
```

```
*****
```

```
Last unsuccessful login: Fri Mar 3 12:01:09 IST 2009
```

```
Last login: Wed Mar 8 18:33:27 IST 2009 on pts/10
```

```
{ do your work }
```

```
$ logout
```

```
Connection closed.
```

```
C:>
```

The finger Utility

The finger command displays information about users on a given host. The host can be either local or remote.

Finger may be disabled on other systems for security reasons.

Following are the simple syntax to use finger command –

Check all the logged in users on local machine as follows –

```
$ finger
```

```
Login  Name    Tty    Idle Login Time  Office
amrood          pts/0      Jun 25 08:03 (62.61.164.115)
```

Get information about a specific user available on local machine –

```
$ finger amrood
```

Login: amrood Name: (null)
Directory: /home/amrood Shell: /bin/bash
On since Thu Jun 25 08:03 (MST) on pts/0 from 62.61.164.115
No mail.
No Plan.

Check all the logged in users on remote machine as follows –

\$ finger @avatar.com

Login	Name	Tty	Idle	Login Time	Office
amrood		pts/0		Jun 25 08:03 (62.61.164.115)	

Get information about a specific user available on remote machine –

\$ finger amrood@avatar.com

Login: amrood Name: (null)
Directory: /home/amrood Shell: /bin/bash
On since Thu Jun 25 08:03 (MST) on pts/0 from 62.61.164.115
No mail.
No Plan.

finding host/domain name and IP address - **hostname**

- test network connection – **ping**
- getting network configuration – **ifconfig**
- Network connections, routing tables, interface statistics – **netstat**
- query DNS lookup name – **nslookup**
- communicate with another hostname – **telnet**
- outing steps that packets take to get to network host – **traceroute**
- view user information – **finger**
- checking status of destination host - **telnet**

Computers are connected in a network to exchange information or resources each other. Two or more computer connected through network media called computer network. There are number of network devices or media are involved to form computer network. Computer loaded with Linux Operating System can also be a part of network whether it is small or large network by its multitasking and multiuser natures. Maintaining of system and network up and running is a task of System / Network Administrator's job. In this article we are going to review frequently used network configuration and troubleshoot commands in Linux.

Linux Network Configuration Commands

TEXT PROCESSING COMMANDS

sort

File sort utility, often used as a filter in a pipe. This command sorts a *text stream* or file forwards or backwards, or according to various keys or character positions. Using the -m option, it merges presorted input files. The *info page* lists its many capabilities and options.

tsort

Topological sort, reading in pairs of whitespace-separated strings and sorting according to input patterns. The original purpose of **tsort** was to sort a list of dependencies for an obsolete version of the *ld* linker in an «ancient» version of UNIX.

The results of a *tsort* will usually differ markedly from those of the standard **sort** command, above.

uniq

This filter removes duplicate lines from a sorted file. It is often seen in a pipe coupled with [sort](#).

```
cat list-1 list-2 list-3 | sort | uniq > final.list
# Concatenates the list files,
# sorts them,
# removes duplicate lines,
# and finally writes the result to an output file.
```

The useful -c option prefixes each line of the input file with its number of occurrences.

```
bash$ cat testfile
```

This line occurs only once.

This line occurs twice.

This line occurs twice.

This line occurs three times.

This line occurs three times.

This line occurs three times.

```
bash$ uniq -c testfile
```

```
1 This line occurs only once.
```

```
2 This line occurs twice.
```

```
3 This line occurs three times.
```

```
bash$ sort testfile | uniq -c | sort -nr
```

```
3 This line occurs three times.
```

```
2 This line occurs twice.
```

```
1 This line occurs only once.
```

The **sort INPUTFILE | uniq -c | sort -nr** command string produces a *frequency of occurrence* listing on the INPUTFILE file (the -nr options to **sort** cause a reverse numerical sort). This template finds use in analysis of log files and dictionary lists, and wherever the lexical structure of a document needs to be examined.

15.12. Word Frequency Analysis

```
&wf;
```

```
bash$ cat testfile
```

```
This line occurs only once.
```

```
This line occurs twice.
```

```
This line occurs twice.
```

```
This line occurs three times.
```

```
This line occurs three times.
```

```
This line occurs three times.
```

```
bash$ ./wf.sh testfile
```

```
6 this
```

```
6 occurs
```

```
6 line
```

```
3 times
```

```
3 three
```

```
2 twice
```

```
1 only
```

```
1 once
```

expand, unexpand

The **expand** filter converts tabs to spaces. It is often used in a [pipe](#).

The **unexpand** filter converts spaces to tabs. This reverses the effect of **expand**.

cut

A tool for extracting [fields](#) from files. It is similar to the **print \$N** command set in [awk](#), but more limited. It may be simpler to use *cut* in a script than *awk*. Particularly important are the -d (delimiter) and -f (field specifier) options.

Using **cut** to obtain a listing of the mounted filesystems:

```
cut -d ' ' -f1,2 /etc/mtab
```

Using **cut** to list the OS and kernel version:

```
uname -a | cut -d" " -f1,3,11,12
```

Using **cut** to extract message headers from an e-mail folder:

```
bash$ grep '^Subject:' read-messages | cut -c10-80
Re: Linux suitable for mission-critical apps?
MAKE MILLIONS WORKING AT HOME!!!
Spam complaint
Re: Spam complaint
```

Using **cut** to parse a file:

```
# List all the users in /etc/passwd.
```

```
FILENAME=/etc/passwd
```

```
for user in $(cut -d: -f1 $FILENAME)
do
    echo $user
done
```

```
# Thanks, Oleg Philon for suggesting this.
```

```
cut -d ' ' -f2,3 filename is equivalent to awk -F'[ ]' '{ print $2, $3 }' filename
```

Замечание

It is even possible to specify a linefeed as a delimiter. The trick is to actually embed a linefeed (**RETURN**) in the command sequence.

```
bash$ cut -d'  
' -f3,7,19 testfile  
This is line 3 of testfile.  
This is line 7 of testfile.  
This is line 19 of testfile.
```

paste

Tool for merging together different files into a single, multi-column file. In combination with [cut](#), useful for creating system log files.

join

Consider this a special-purpose cousin of **paste**. This powerful utility allows merging two files in a meaningful fashion, which essentially creates a simple version of a relational database.

The **join** command operates on exactly two files, but pastes together only those lines with a common tagged [field](#) (usually a numerical label), and writes the result to stdout. The files to be joined should be sorted according to the tagged field for the matchups to work properly.

File: 1.data

```
100 Shoes  
200 Laces  
300 Socks
```

File: 2.data

```
100 $40.00  
200 $1.00  
300 $2.00
```

```
bash$ join 1.data 2.data
```

File: 1.data 2.data

```
100 Shoes $40.00  
200 Laces $1.00  
300 Socks $2.00
```

The tagged field appears only once in the output.

head

lists the beginning of a file to stdout. The default is 10 lines, but a different number can be specified. The command has a number of interesting options.

Which files are scripts?

```
&scriptdetector;
```

Generating 10-digit random numbers

```
&rnd;
```

tail

lists the (tail) end of a file to stdout. The default is 10 lines, but this can be changed with the -n option. Commonly used to keep track of changes to a system logfile, using the -f option, which outputs lines appended to the file.

Using *tail* to monitor the system log

```
&ex12;
```

To list a specific line of a text file, [pipe](#) the output of **head** to **tail -n 1**. For example **head -n 8 database.txt | tail -n 1** lists the 8th line of the file database.txt.

To set a variable to a given block of a text file:

```
var=$(head -n $m $filename | tail -n $n)
```

filename = name of file

m = from beginning of file, number of lines to end of block

n = number of lines to set variable to (trim from end of block)

Newer implementations of **tail** deprecate the older **tail -\$LINES filename** usage. The standard **tail -n \$LINES filename** is correct.

A multi-purpose file search tool that uses [Regular Expressions](#). It was originally a command/filter in the venerable **ed** line editor: **g/re/p** -- *global - regular expression - print*.

grep pattern [file...]

Search the target file(s) for occurrences of *pattern*, where *pattern* may be literal text or a Regular Expression.

```
bash$ grep '[rst]ystem.$' osinfo.txt
```

The GPL governs the distribution of the Linux operating system.

If no target file(s) specified, **grep** works as a filter on stdout, as in a [pipe](#).

```
bash$ ps ax | grep clock
765 tty1    S    0:00 xclock
901 pts/1   S    0:00 grep clock
```

The **-i** option causes a case-insensitive search.

The **-w** option matches only whole words.

The **-l** option lists only the files in which matches were found, but not the matching lines.

The **-r** (recursive) option searches files in the current working directory and all subdirectories below it.

The **-n** option lists the matching lines, together with line numbers.

```
bash$ grep -n Linux osinfo.txt
```

2:This is a file containing information about Linux.

6:The GPL governs the distribution of the Linux operating system.

The **-v** (or **--invert-match**) option *filters out* matches.

```
grep pattern1 *.txt | grep -v pattern2
```

```
# Matches all lines in "*.txt" files containing "pattern1",
# but ***not*** "pattern2".
```

The **-c** (**--count**) option gives a numerical count of matches, rather than actually listing the matches.

```
grep -c txt *.sgml # (number of occurrences of "txt" in "*.sgml" files)
```

```
# grep -cz .
#      ^ dot
# means count (-c) zero-separated (-z) items matching "."
# that is, non-empty ones (containing at least 1 character).
#
printf 'a b\nc d\n\n\n\n\n\000\n\000e\000\000\nf' | grep -cz .    # 3
printf 'a b\nc d\n\n\n\n\n\000\n\000e\000\000\nf' | grep -cz '$'    # 5
printf 'a b\nc d\n\n\n\n\n\000\n\000e\000\000\nf' | grep -cz '^'    # 5
#
```

```
printf 'a b\nc d\n\n\n\n\n\000\n\000e\000\000\nf' | grep -c '$' # 9
# By default, newline chars (\n) separate items to match.
```

Note that the -z option is GNU "grep" specific.

Thanks, S.C.

The --color (or --colour) option marks the matching string in color (on the console or in an *xterm* window). Since *grep* prints out each entire line containing the matching pattern, this lets you see exactly *what* is being matched. See also the -o option, which shows only the matching portion of the line(s).

Printing out the *From* lines in stored e-mail messages

```
&fromsh;
```

When invoked with more than one target file given, **grep** specifies which file contains matches.

```
bash$ grep Linux osinfo.txt misc.txt
osinfo.txt:This is a file containing information about Linux.
osinfo.txt:The GPL governs the distribution of the Linux operating system.
misc.txt:The Linux operating system is steadily gaining in popularity.
```

To force **grep** to show the filename when searching only one target file, simply give /dev/null as the second file.

\$ **grep Linux osinfo.txt /dev/null**

```
osinfo.txt:This is a file containing information about Linux.
osinfo.txt:The GPL governs the distribution of the Linux operating system.
```

If there is a successful match, **grep** returns an [exit status](#) of 0, which makes it useful in a condition test in a script, especially in combination with the -q option to suppress output.

```
SUCCESS=0          # if grep lookup succeeds
word=Linux
filename=data.file

grep -q "$word" "$filename" # The "-q" option
                           #+ causes nothing to echo to stdout.
if [ $? -eq $SUCCESS ]
# if grep -q "$word" "$filename" can replace lines 5 - 7.
then
```

```
    echo "$word found in $filename"
else
    echo "$word not found in $filename"
fi
```

Emulating *grep* in a script

&grp;

How can **grep** search for two (or more) separate patterns? What if you want **grep** to display all lines in a file or files that contain both «pattern1» *and* «pattern2»?

One method is to [pipe](#) the result of **grep pattern1** to **grep pattern2**.

For example, given the following file:

```
# Filename: tstfile
```

```
This is a sample file.
This is an ordinary text file.
This file does not contain any unusual text.
This file is not unusual.
Here is some text.
```

Now, let's search this file for lines containing *both* «file» and «text» . . .

```
bash$ grep file tstfile
```

```
# Filename: tstfile
This is a sample file.
This is an ordinary text file.
This file does not contain any unusual text.
This file is not unusual.
```

```
bash$ grep file tstfile | grep text
```

```
This is an ordinary text file.
This file does not contain any unusual text.
```

Now, for an interesting recreational use of *grep* . . .

egrep -- *extended grep* -- is the same as **grep -E**. This uses a somewhat different, extended set of [Regular Expressions](#), which can make the search a bit more flexible. It also allows the boolean | (*or*) operator.

```
bash $ egrep 'matches|[Matches]' file.txt
```

Line 1 matches.

Line 3 Matches.

Line 4 contains matches, but also Matches

fgrep -- *fast grep* -- is the same as **grep -F**. It does a literal string search (no [Regular Expressions](#)), which generally speeds things up a bit.

On some Linux distros, **egrep** and **fgrep** are symbolic links to, or aliases for **grep**, but invoked with the -E and -F options, respectively.

&dictlookup;

agrep (*approximate grep*) extends the capabilities of **grep** to approximate matching. The search string may differ by a specified number of characters from the resulting matches. This utility is not part of the core Linux distribution.

To search compressed files, use **zgrep**, **zegrep**, or **zfgrep**. These also work on non-compressed files, though slower than plain **grep**, **egrep**, **fgrep**. They are handy for searching through a mixed set of files, some compressed, some not.

To search [bzipped](#) files, use **bzgrep**.

look

The command **look** works like **grep**, but does a lookup on a «dictionary,» a sorted word list. By default, **look** searches for a match in /usr/dict/words, but a different dictionary file may be specified.

Checking words in a list for validity

&lookup;

sed, awk

Scripting languages especially suited for parsing text files and command output. May be embedded singly or in combination in pipes and shell scripts.

[sed](#)

Non-interactive «stream editor», permits using many **ex** commands in [batch](#) mode. It finds many uses in shell scripts.

awk

Programmable file extractor and formatter, good for manipulating and/or extracting [fields](#) (columns) in structured text files. Its syntax is similar to C.

wc

wc gives a «word count» on a file or I/O stream:

```
bash $ wc /usr/share/doc/sed-4.1.2/README
```

```
13 70 447 README
```

```
[13 lines 70 words 447 characters]
```

wc -w gives only the word count.

wc -l gives only the line count.

wc -c gives only the byte count.

wc -m gives only the character count.

wc -L gives only the length of the longest line.

Using **wc** to count how many .txt files are in current working directory:

```
$ ls *.txt | wc -l
```

```
# Will work as long as none of the "*.txt" files
```

```
#+ have a linefeed embedded in their name.
```

```
# Alternative ways of doing this are:
```

```
# find . -maxdepth 1 -name \*.txt -print0 | grep -cz .
```

```
# (shopt -s nullglob; set -- *.txt; echo $#)
```

```
# Thanks, S.C.
```

Using **wc** to total up the size of all the files whose names begin with letters in the range d - h

```
bash$ wc [d-h]* | grep total | awk '{print $3}'
```

```
71832
```

Using **wc** to count the instances of the word «Linux» in the main source file for this book.

```
bash$ grep Linux abs-book.sgml | wc -l
```

```
50
```

Certain commands include some of the functionality of **wc** as options.

```
... | grep foo | wc -l
```

This frequently used construct can be more concisely rendered.

```
... | grep -c foo
# Just use the "-c" (or "--count") option of grep.
```

Thanks, S.C.

Tr command

character translation filter

[Must use quoting and/or brackets](#), as appropriate. Quotes prevent the shell from reinterpreting the special characters in **tr** command sequences. Brackets should be quoted to prevent expansion by the shell.

Either **tr "A-Z" "*" <filename** or **tr A-Z * <filename** changes all the uppercase letters in filename to asterisks (writes to stdout). On some systems this may not work, but **tr A-Z '["*"]'** will.

The **-d** option deletes a range of characters.

```
echo "abcdef"          # abcdef
echo "abcdef" | tr -d b-d # aef
```

```
tr -d 0-9 <filename
```

Deletes all digits from the file "filename".

The **--squeeze-repeats** (or **-s**) option deletes all but the first instance of a string of consecutive characters. This option is useful for removing excess [whitespace](#).

```
bash$ echo "XXXXX" | tr --squeeze-repeats 'X'
X
```

The **-c** «complement» option *inverts* the character set to match. With this option, **tr** acts only upon those characters *not* matching the specified set.

```
bash$ echo "acfdeb123" | tr -c b-d +
+c+d+b++++
```

Note that **tr** recognizes [POSIX character classes](#).

```
bash$ echo "abcd2ef1" | tr '[:alpha:]' -
----2--1
```

toupper: Transforms a file to all uppercase.

&ex49;

lowercase: Changes all filenames in working directory to lowercase.

&lowercase;

du: DOS to UNIX text file conversion.

&du;

rot13: ultra-weak encryption.

&rot13

Generating «Crypto-Quote» Puzzles

&cryptoquote;

tr variants

The **tr** utility has two historic variants. The BSD version does not use brackets (**tr a-z A-Z**), but the SysV one does (**tr '[a-z]' '[A-Z]'**). The GNU version of **tr** resembles the BSD one.

fold

A filter that wraps lines of input to a specified width. This is especially useful with the **-s** option, which breaks lines at word spaces

fmt

Simple-minded file formatter, used as a filter in a pipe to «wrap» long lines of text output.

15.26. Formatted file listing.

&ex50;

col

This deceptively named filter removes reverse line feeds from an input stream. It also attempts to replace whitespace with equivalent tabs. The chief use of **col** is in filtering the output from certain text processing utilities, such as **groff** and **tbl**.

column

Column formatter. This filter transforms list-type text output into a «pretty-printed» table by inserting tabs at appropriate places.

Using column to format a directory listing

&colm;

colrm

Column removal filter. This removes columns (characters) from a file and writes the file, lacking the range of specified columns, back to stdout. **colrm 2 4 <filename** removes the second through fourth characters from each line of the text file filename.

If the file contains tabs or nonprintable characters, this may cause unpredictable behavior. In such cases, consider using [expand](#) and **unexpand** in a pipe preceding **colrm**.

nl

Line numbering filter: **nl filename** lists filename to stdout, but inserts consecutive numbers at the beginning of each non-blank line. If filename omitted, operates on stdin. The output of **nl** is very similar to **cat -b**, since, by default **nl** does not list blank lines.

15.28. **nl**: A self-numbering script.

&lnum;

pr

Print formatting filter. This will paginate files (or stdout) into sections suitable for hard copy printing or viewing on screen. Various options permit row and column manipulation, joining lines, setting margins, numbering lines, adding page headers, and merging files, among other things. The **pr** command combines much of the functionality of **nl**, **paste**, **fold**, **column**, and **expand**.

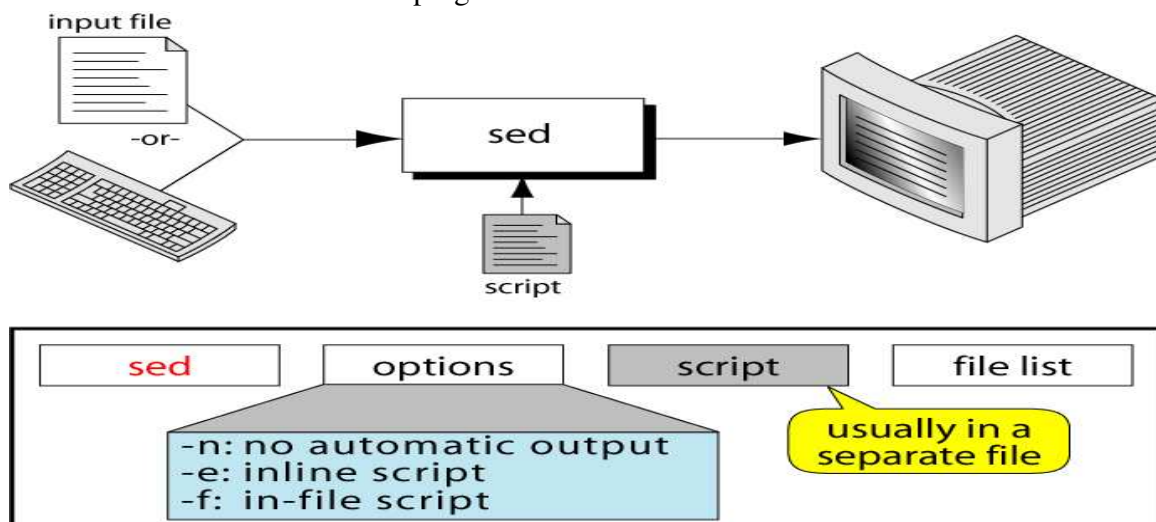
pr -o 5 --width=65 fileZZZ | more gives a nice paginated listing to screen of fileZZZ with margins set at 5 and 65.

A particularly useful option is **-d**, forcing double-spacing (same effect as **sed -G**).

SED:

What is sed?

- A non-interactive stream editor
- Interprets sed instructions and performs actions
- Use sed to:
 - Automatically perform edits on file(s)
 - Simplify doing the same edits on multiple files
 - Write conversion programs



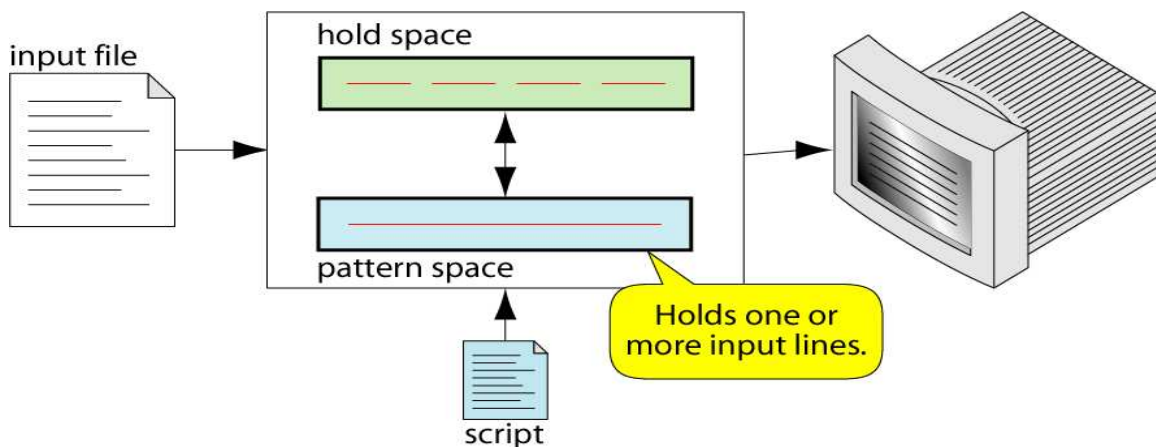
sed command syntax


```
$ sed -e 'address command' input_file
```

(a) Inline Script

```
$ sed -f script.sed input_file
```

(b) Script File

SED OPERATION**How Does sed Work?**

- sed reads line of input
 - line of input is copied into a temporary buffer called pattern space
 - editing commands are applied
 - subsequent commands are applied to line in the pattern space, not the original input line
 - once finished, line is sent to output
- (unless -n option was used)
 - line is removed from pattern space

- sed reads next line of input, until end of file

Note: input file is unchanged

SED INSTRUCTION FORMAT

- address determines which lines in the input file are to be processed by the command(s)
 - if no address is specified, then the command is applied to each input line
- ADDRESS TYPES:
 - Single-Line address

- Set-of-Lines address
- Range address
- Nested address

SINGLE-LINE ADDRESS

- Specifies only one line in the input file
 - special: dollar sign (\$) denotes last line of input file

Examples:

- show only line 3
sed -n -e '3 p' input-file
- show only last line
sed -n -e '\$ p' input-file
- substitute “endif” with “fi” on line 10
sed -e '10 s/endif/fi/' input-file

SET-OF-LINES ADDRESS

- use regular expression to match lines
 - written between two slashes
 - process only lines that match
 - may match several lines
 - lines may or may not be consecutives

Examples:

sed -e '/key/ s/more/other/' input-file
sed -n -e '/r..t/ p' input-file

RANGE ADDRESS

- Defines a set of consecutive lines

Format:

start-addr,end-addr (inclusive)

Examples:

10,50 line-number,line-number
10,/R.E/ line-number,/RegExp/
/R.E./,10 /RegExp/,line-number
/R.E./,/R.E/ /RegExp/,/RegExp/

Example: Range Address

% sed -n -e '/^BEGINS\$/,/^END\$/p' input-file

- Print lines between BEGIN and END, inclusive

BEGIN

Line 1 of input

Line 2 of input

Line3 of input

END

Line 4 of input

Line 5 of input

Nested Address

- Nested address contained within another address

Example:

print blank lines between line 20 and 30

```
20,30{
/^$/ p
}
```

Address with !

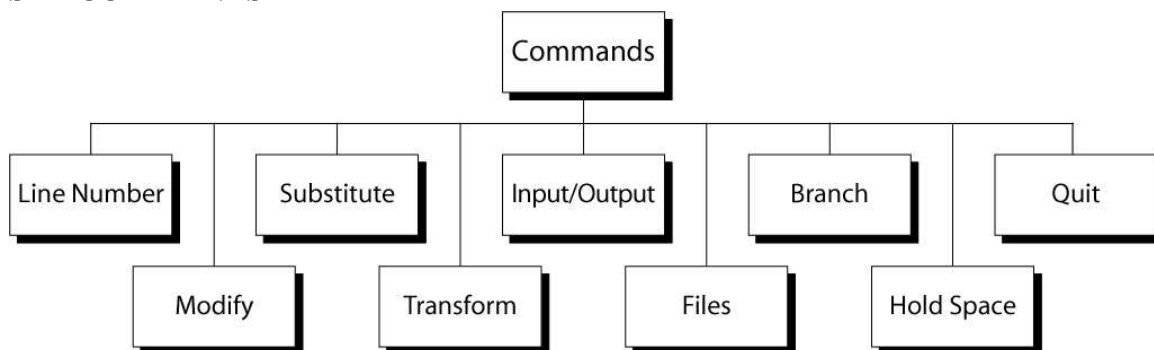
- address with an exclamation point (!):
instruction will be applied to all lines that do not match the address

Example:

print lines that do not contain “obsolete”

```
sed -e '/obsolete/!p' input-file
```

SED COMMANDS



Line Number

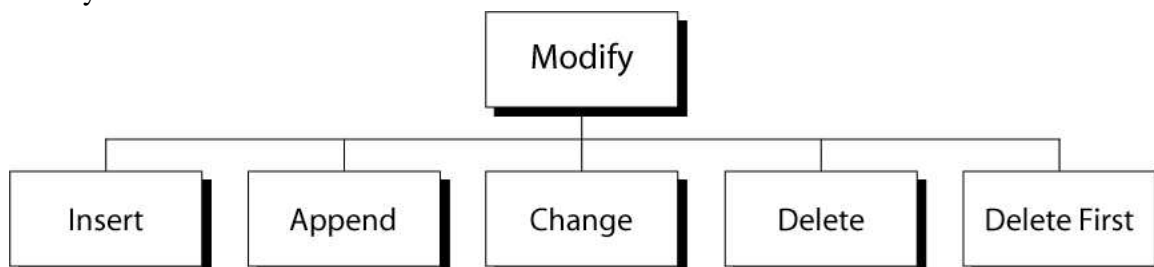
- line number command (=) writes the current line number before each matched/output line

Examples:

```
sed -e '/Two-thirds-time/= ' tuition.data
```

```
sed -e '/^[0-9][0-9]/=' inventory
```

modify commands



Insert Command: i

- adds one or more lines directly to the output before the address:

- inserted “text” never appears in sed’s pattern space
- cannot be used with a range address; can only be used with the single-line and set-of-lines address types

Syntax:

[address] i

text

Append Command: a

- adds one or more lines directly to the output after the address:
 - Similar to the insert command (i), append cannot be used with a range address.
 - Appended “text” does not appear in sed’s pattern space.

Syntax:

[address] a

text

Change Command: c

- replaces an entire matched line with new text
- accepts four address types:
 - single-line, set-of-line, range, and nested addresses.

Syntax:

[address1[,address2]] c

text

Delete Command: d

- deletes the entire pattern space
 - commands following the delete command are ignored since the deleted text is no longer in the pattern space

Syntax:

[address1[,address2]] d

Substitute Command (s)

Syntax:

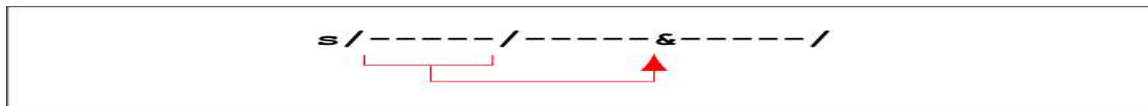
[addr1][,addr2] s/search/replace/[flags]

- replaces text selected by search string with replacement string
- search string can be regular expression
- flags:
 - global (g), i.e. replace all occurrences
 - specific substitution count (integer), default 1

Regular Expressions: use with sed

Metacharacter	Description/Matches...
.	Any one character, except new line
*	Zero or more of preceding character
^	A character at beginning of line
\$	A character at end of line
\char	Escape the meaning of <i>char</i> following it
[]	Any one of the enclosed characters
\(\)	Tags matched characters to be used later
x\{m\}	Repetition of character x, m times
\<	Beginning of word
\>	End of word

Substitution Back References



(a) Whole Pattern Substitution



(b) Numbered Buffer Substitution

Example: Replacement String &

\$ cat datafile

Charles Main 3.0 .98 3 34

Sharon Gray 5.3 .97 5 23

Patricia Hemenway 4.0 .7 4 17

TB Savage 4.4 .84 5 20

AM Main Jr. 5.1 .94 3 13

Margot Weber 4.5 .89 5 9

Ann Stephens 5.7 .94 5 13

\$ sed -e 's/[0-9][0-9]\$/&.5/' datafile

Charles Main 3.0 .98 3 34.5

Sharon Gray 5.3 .97 5 23.5

Patricia Hemenway 4.0 .7 4 17.5

TB Savage	4.4	.84	5	20.5
AM Main Jr.	5.1	.94	3	13.5
Margot Weber	4.5	.89	5	9
Ann Stephens	5.7	.94	5	13.5

Transform Command (y)

Syntax:

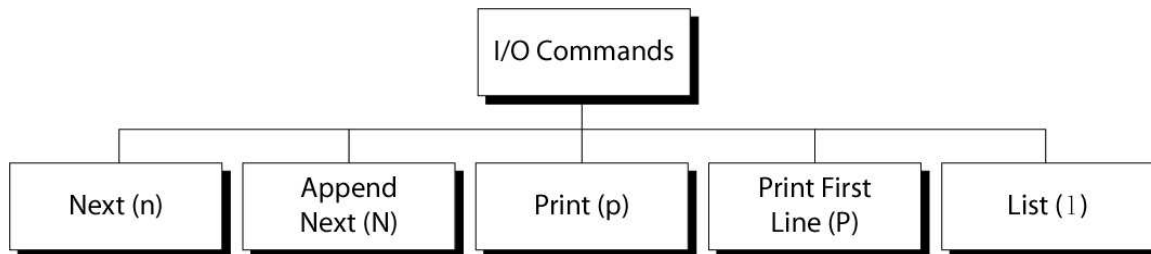
[addr1][,addr2]y/a/b/

- translates one character 'a' to another 'b'
- cannot use regular expression metacharacters
- cannot indicate a range of characters
- similar to “tr” command

Example:

\$ sed -e '1,10y/abcd/wxyz/' datafile

SED I/O COMMANDS



Input (next) Command: n and N

- Forces sed to read the next input line
 - Copies the contents of the pattern space to output
 - Deletes the current line in the pattern space
 - Refills it with the next input line
 - Continue processing
- N (uppercase) Command
 - adds the next input line to the current contents of the pattern space
 - useful when applying patterns to two or more lines at the same time

Output Command: p and P

- Print Command (p)
 - copies the entire contents of the pattern space to output
 - will print same line twice unless the option “-n” is used
- Print command: P
 - prints only the first line of the pattern space
 - prints the contents of the pattern space up to and including a new line character
 - any text following the first new line is not printed

List Command (l)

- The list command: l
 - shows special characters (e.g. tab, etc)

- The octal dump command (od -c) can be used to produce similar result

Hold Space

- temporary storage area
used to save the contents of the pattern space
- 4 commands that can be used to move text back and forth between the pattern space and the hold space:

h, H

g, G

File commands

- allows to read and write from/to file while processing standard input
- read: r command
- write: w command

Read File command

Syntax: **r filename**

- queue the contents of filename to be read and inserted into the output stream at the end of the current cycle, or when the next input line is read
 - if filename cannot be read, it is treated as if it were an empty file, without any error indication
- single address only

Write File command

Syntax: **w filename**

- Write the pattern space to filename
- The filename will be created (or truncated) before the first input line is read
- all w commands which refer to the same filename are output through the same FILE stream

Branch Command (b)

- Change the regular flow of the commands in the script file

Syntax: **[addr1][,addr2]b[label]**

- Branch (unconditionally) to 'label' or end of script
- If "label" is supplied, execution resumes at the line following :label; otherwise, control passes to the end of the script

- Branch label

:mylabel

Example: The quit (q) Command

Syntax: **[addr]q**

- Quit (exit sed) when addr is encountered.

Example: Display the first 50 lines and quit

% sed -e '50q' datafile

Same as:

% sed -n -e '1,50p' datafile

% head -50 datafile

AWK

WHAT IS AWK?

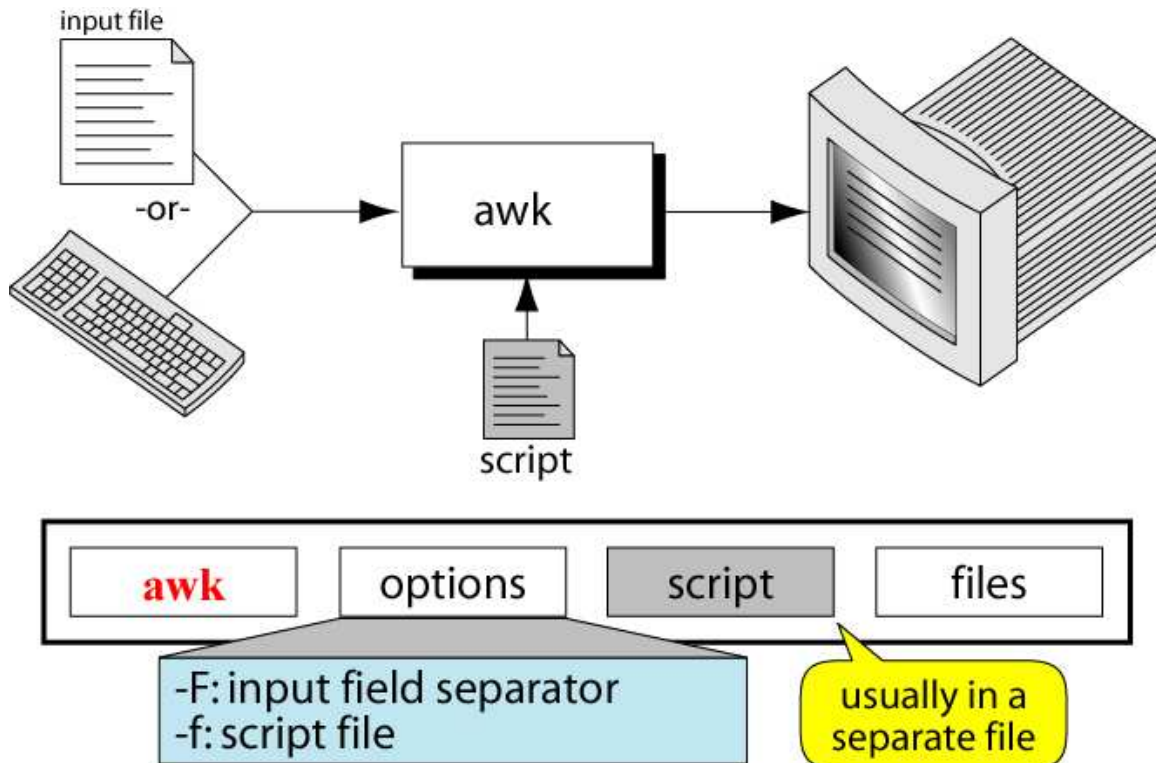
- created by: Aho, Weinberger, and Kernighan
- scripting language used for manipulating data and generating reports
- versions of awk
 - awk, nawk, mawk, pgawk, ...
 - GNU awk: gawk

What can you do with awk?

- awk operation:
 - scans a file line by line
 - splits each input line into fields
 - compares input line/fields to pattern
 - performs action(s) on matched lines
- Useful for:
 - transform data files
 - produce formatted reports
- Programming constructs:
 - format output lines
 - arithmetic and string operations
 - conditionals and loops

The Command:

awk



Basic awk Syntax

- **awk [options] 'script' file(s)**
- **awk [options] -f scriptfile file(s)**

Options:

- F to change input field separator
- f to name script file

Basic awk Program

- consists of patterns & actions:
 pattern {action}
 - if pattern is missing, action is applied to all lines
 - if action is missing, the matched line is printed
 - must have either pattern or action

Example:

awk 'for/' testfile

- prints all lines containing string "for" in testfile

BASIC TERMINOLOGY: INPUT FILE

- A field is a unit of data in a line
- Each field is separated from the other fields by the field separator
 - default field separator is whitespace
- A record is the collection of fields in a line

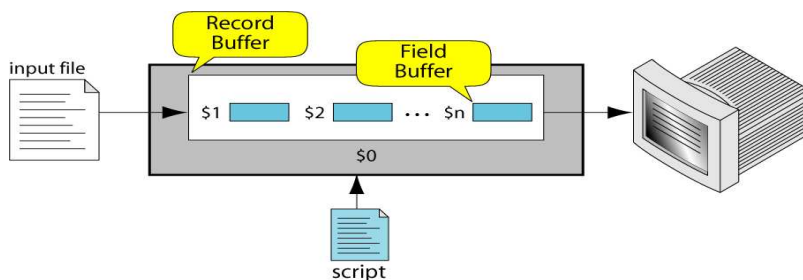
- A data file is made up of records

Example Input File

	Field 1 (First_Name)	Field 2 (Last_Name)	Field 3 (Pay_Rate)	Field 4 (Hours)
Record 2	Susan	White	6.00	23
	Mark	Eagle	6.25	40
Record 4	Tuan	Nguyen	7.89	44
	Dan	Black	7.23	40
	Amanda	Trapp	6.95	40
	Brian	Devaux	7.95	0
	Chris	Walljasper	6.89	32
	Mary	Lamb	8.22	40
Record 10	Jackie	Kammaoto	7.59	40
	Nicky	Barber	6.35	40

A file with 10 records, each with four fields

Buffers



- **awk supports two types of buffers:**
 - record and field
- field buffer:
 - one for each fields in the current record.
 - names: \$1, \$2, ...
- record buffer :
 - \$0 holds the entire record

Some System Variables

FS Field separator (default=whitespace)
 RS Record separator (default=\n)
 NF Number of fields in current record
 NR Number of the current record
 OFS Output field separator (default=space)
 ORS Output record separator (default=\n)
 FILENAME Current filename

Example: Records and Fields

% cat emps

Tom Jones 4424 5/12/66 543354

Mary Adams 5346 11/4/63 28765

Sally Chang 1654 7/22/54 650000

Billy Black 1683 9/23/44 336500

% awk '{print NR, \$0}' emps

1 Tom Jones 4424 5/12/66 543354

2 Mary Adams 5346 11/4/63 28765

3 Sally Chang 1654 7/22/54 650000

4 Billy Black 1683 9/23/44 336500

Example: Space as Field Separator

% cat emps

Tom Jones 4424 5/12/66 543354

Mary Adams 5346 11/4/63 28765

Sally Chang 1654 7/22/54 650000

Billy Black 1683 9/23/44 336500

% awk '{print NR, \$1, \$2, \$5}' emps

1 Tom Jones 543354

2 Mary Adams 28765

3 Sally Chang 650000

4 Billy Black 336500

Example: Colon as Field Separator

% cat em2

Tom Jones:4424:5/12/66:543354

Mary Adams:5346:11/4/63:28765

Sally Chang:1654:7/22/54:650000

Billy Black:1683:9/23/44:336500

% awk -F: '/Jones/{print \$1, \$2}' em2

Tom Jones 4424

AWK SCRIPTS

- awk scripts are divided into three major parts:



- comment lines start with #

awk Scripts

- BEGIN: pre-processing
 - performs processing that must be completed before the file processing starts (i.e., before awk starts reading records from the input file)
 - useful for initialization tasks such as to initialize variables and to create report headings
- BODY: Processing
 - contains main processing logic to be applied to input records
 - like a loop that processes input data one record at a time:
 - if a file contains 100 records, the body will be executed 100 times, one for each record
- END: post-processing
 - contains logic to be executed after all input data have been processed
 - logic such as printing report grand total should be performed in this part of the script

Pattern / Action Syntax

```
pattern {statement}
```

(a) One Statement Action

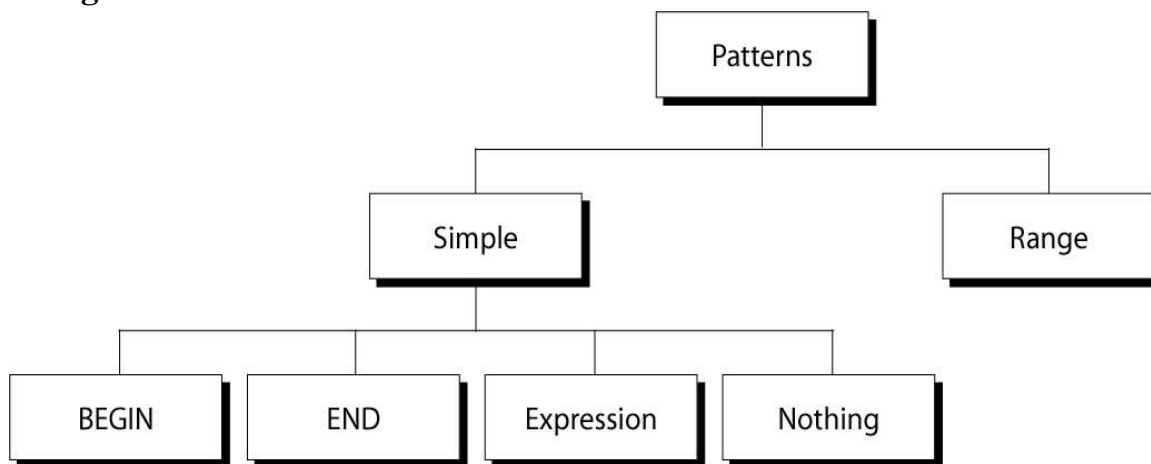
```
pattern {statement1; statement2; statement3}
```

(b) Multiple Statements Separated by Semicolons

```
pattern
{
    statement1
    statement2
    statement3
}
```

(c) Multiple Statements Separated by Newlines

Categories of Patterns



Expression Pattern types

- match
 - entire input record
 - regular expression enclosed by '/'s
 - explicit pattern-matching expressions
 - ~ (match), !~ (not match)
- expression operators
 - arithmetic
 - relational
 - logical

Example: match input record

```
% cat employees2
```

Tom Jones:4424:5/12/66:543354

Mary Adams:5346:11/4/63:28765

Sally Chang:1654:7/22/54:650000

Billy Black:1683:9/23/44:336500

% awk -F: '/00\$/' employees2

Sally Chang:1654:7/22/54:650000

Billy Black:1683:9/23/44:336500

Example: explicit match

% cat datafile

northwest NW Charles Main 3.0 .98 3 34

western WE Sharon Gray 5.3 .97 5 23

southwest SW Lewis Dalsass 2.7 .8 2 18

southern SO Suan Chin 5.1 .95 4 15

southeast SE Patricia Hemenway 4.0 .7 4 17

eastern EA TB Savage 4.4 .84 5 20

northeast NE AM Main 5.1 .94 3 13

north NO Margot Weber 4.5 .89 5 9

central CT Ann Stephens 5.7 .94 5 13

% awk '\$5 ~ /^[7-9]+/' datafile

southwest SW Lewis Dalsass 2.7 .8 2 18

central CT Ann Stephens 5.7 .94 5 13

Examples: matching with REs

% awk '\$2 !~ /E/{print \$1, \$2}' datafile

northwest NW

southwest SW

southern SO

north NO

central CT

% awk '/^[ns]/{print \$1}' datafile

northwest

southwest

southern

southeast

northeast

north

ARITHMETIC OPERATORS

Operator	Meaning	Example
+	Add	x + y
-	Subtract	x - y

*	Multiply	x * y
/	Divide	x / y
%	Modulus	x % y
^	Exponential	x ^ y

Example:

% awk '\$3 * \$4 > 500 {print \$0}' file

Relational Operators

Operator	Meaning	Example
<	Less than	x < y
<=	Less than or equal	x <= y
==	Equal to	x == y
!=	Not equal to	x != y
>	Greater than	x > y
>=	Greater than or equal to	x >= y
~	Matched by reg exp	x ~ /y/
!~	Not matched by req exp	x !~ /y/

Logical Operators

Operator	Meaning	Example
&&	Logical AND	a && b
	Logical OR	a b
!	NOT	! a

Examples:

% awk '(\$2 > 5) && (\$2 <= 15) {print \$0}' file

% awk '\$3 == 100 || \$4 > 50' file

RANGE PATTERNS

- Matches ranges of consecutive input lines

Syntax:

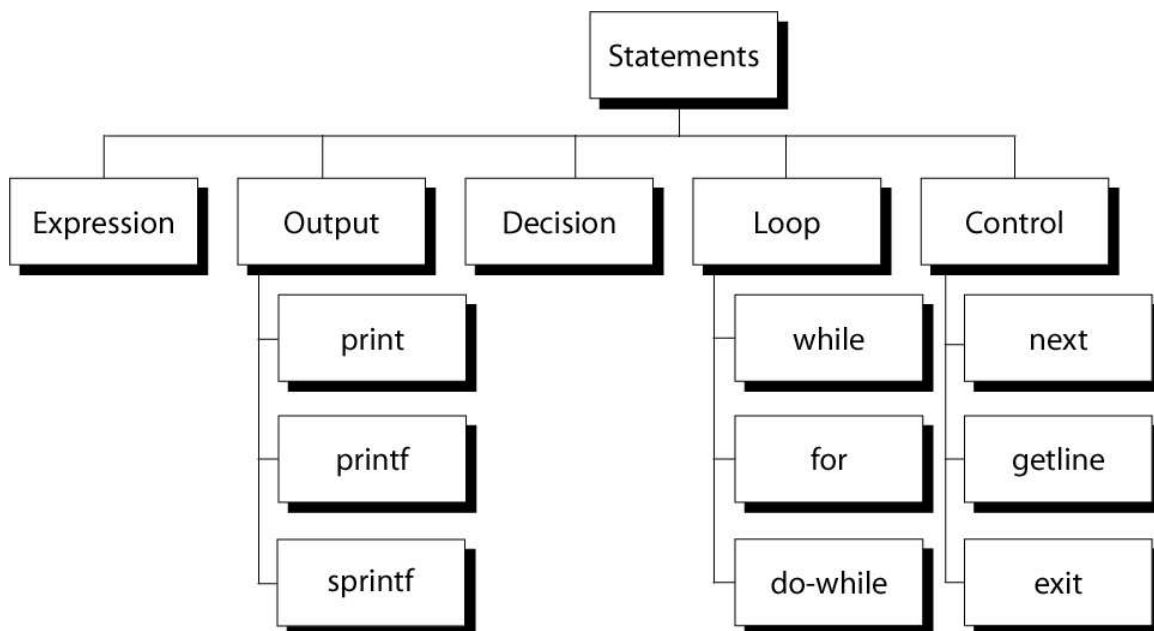
pattern1 , pattern2 {action}

- pattern can be any simple pattern
- **pattern1** turns action on
- **pattern2** turns action off

Range Pattern Example



AWK ACTIONS



AWK EXPRESSIONS

- Expression is evaluated and returns value
 - consists of any combination of numeric and string constants, variables, operators, functions, and regular expressions
- **Can involve variables**
 - As part of expression evaluation
 - As target of assignment

awk variables

- A user can define any number of variables within an awk script
- The variables can be numbers, strings, or arrays
- Variable names start with a letter, followed by letters, digits, and underscore
- Variables come into existence the first time they are referenced; therefore, they do not need to be declared before use
- All variables are initially created as strings and initialized to a null string ""

awk Variables

Format:

variable = expression

Examples:

```
% awk '$1 ~ /Tom/'      filename
    {wage = $3 * $4; print wage}
% awk '$4 == "CA"      {$4 = "California"; print $0}'    filename
```

awk assignment operators

=	assign result of right-hand-side expression to left-hand-side variable
++	Add 1 to variable
--	Subtract 1 from variable
+=	Assign result of addition
-=	Assign result of subtraction
*=	Assign result of multiplication
/=	Assign result of division
%=	Assign result of modulo
^=	Assign result of exponentiation

Awk example

- File: grades
john 85 92 78 94 88
andrea 89 90 75 90 86
jasper 84 88 80 92 84
- awk script: average
average five grades
{ total = \$2 + \$3 + \$4 + \$5 + \$6
avg = total / 5
print \$1, avg }
- Run as:
awk -f average grades

Output Statements

print

print easy and simple output

printf

print formatted (similar to C printf)

sprintf

format string (similar to C sprintf)

Function: print

- Writes to standard output
- Output is terminated by ORS
 - default ORS is newline
- If called with no parameter, it will print \$0
- Printed parameters are separated by OFS,
 - default OFS is blank
- Print control characters are allowed:
 - \n \f \a \t \ \ ...

print example

```
% awk '{print}' grades
```

```
john 85 92 78 94 88
```

```
andrea 89 90 75 90 86
```

```
% awk '{print $0}' grades
```

```
john 85 92 78 94 88
```

```
andrea 89 90 75 90 86
```

```
% awk '{print($0)}' grades
```

```
john 85 92 78 94 88
```

```
andrea 89 90 75 90 86
```

Redirecting print output

- Print output goes to standard output

unless redirected via:

```
> "file"
```

```
>> "file"
```

```
| "command"
```

- will open file or command only once
- subsequent redirections append to already open stream

print Example

```
% awk '{print $1, $2 > "file"}' grades
```

```
% cat file
```

```
john 85
```

```
andrea 89
```

```
jasper 84
```

```
% awk '{print $1,$2 | "sort"}' grades
```

```
andrea 89
```

```
jasper 84
```

```
john 85
```

```
% awk '{print $1,$2 | "sort -k 2"}' grades
```

```
jasper 84
```

```
john 85
```

```
andrea 89
```

```
% date
```

```
Wed Nov 19 14:40:07 CST 2008
```

```
% date |
```

```
awk '{print "Month: " $2 "\nYear: ", $6}'
```

```
Month: Nov
```

```
Year: 2008
```

printf: Formatting output

Syntax:

printf(format-string, var1, var2, ...)

- works like C printf
- each format specifier in “format-string” requires argument of matching type

Format specifiers

%d, %i decimal integer

%c single character

%s string of characters

%f floating point number

%o octal number

%x hexadecimal number

%e scientific floating point notation

%% the letter “%”

Format specifier examples

Given: $x = 'A'$, $y = 15$, $z = 2.3$, and $\$1 = \text{Bob Smith}$

Printf Format Specifier	What it Does
%c	<i>printf("The character is %c \n", x)</i> output: The character is A

%d	<i>printf("The boy is %d years old \n", y)</i> output: The boy is 15 years old
%s	<i>printf("My name is %s \n", \$1)</i> output: My name is Bob Smith
%f	<i>printf("z is %5.3f \n", z)</i> output: z is 2.300

Format specifier modifiers

- between “%” and letter

%10s

%7d

%10.4f

%-20s

- meaning:

- width of field, field is printed right justified
- precision: number of digits after decimal point
- “-” will left justify

sprintf: Formatting text

Syntax:

sprintf(format-string, var1, var2, ...)

- Works like printf, but does not produce output
- Instead it returns formatted string

Example:

```
{
    text = sprintf("1: %d – 2: %d", $1, $2)
    print text
}
```

AWK BUILTIN FUNCTIONS

tolower(string)

- returns a copy of string, with each upper-case character converted to lower-case. Nonalphabetic characters are left unchanged.

Example: tolower("MiXeD cAsE 123")

returns "mixed case 123"

toupper(string)

- returns a copy of string, with each lower-case character converted to upper-case.

awk Example: list of products

```
103:sway bar:49.99
101:propeller:104.99
104:fishing line:0.99
113:premium fish bait:1.00
106:cup holder:2.49
107:cooler:14.89
112:boat cover:120.00
109:transom:199.00
110:pulley:9.88
105:mirror:4.99
108:wheel:49.99
111:lock:31.00
102:trailer hitch:97.95
```

awk Example: output

Marine Parts R Us

Main catalog

Part-id	name	price
101	propeller	104.99
102	trailer hitch	97.95
103	sway bar	49.99
104	fishing line	0.99
105	mirror	4.99
106	cup holder	2.49
107	cooler	14.89
108	wheel	49.99
109	transom	199.00
110	pulley	9.88
111	lock	31.00
112	boat cover	120.00
113	premium fish bait	1.00

Catalog has 13 parts

awk Example: complete

```
BEGIN {
    FS= ":"
    print "Marine Parts R Us"
    print "Main catalog"
    print "Part-id\tname\t\t\t price"
```

```

    print "=====
}
{
    printf("%3d\t%-20s\t%6.2f\n", $1, $2, $3)
    count++
}
END {
    print "=====
    print "Catalog has " count " parts"
}

```

awk Array

- awk allows one-dimensional arrays to store strings or numbers
- index can be number or string
- array need not be declared
 - its size
 - its elements
- array elements are created when first used
 - initialized to 0 or ""

Arrays in awk

Syntax:

arrayName[index] = value

Examples:

list[1] = "one"


list[2] = "three"

list["other"] = "oh my !"


Illustration: Associative Arrays

- awk arrays can use string as index


Name	Age	Department	Sales
"Robert"	46	"19-24"	1,285.72
"George"	22	"81-70"	10,240.32
"Juan"	22	"41-10"	3,420.42
"Nhan"	19	"17-A1"	46,500.18
"Jonie"	34	"61-61"	1,114.41




Index



Data



Index



Data

Awk builtin split function

split(string, array, fieldsep)

- divides string into pieces separated by fieldsep, and stores the pieces in array
- if the fieldsep is omitted, the value of FS is used.

Example:

split("auto-da-fe", a, "-")

- sets the contents of the array a as follows:

a[1] = "auto"

a[2] = "da"

a[3] = "fe"

Example: process sales data

- input file:

Sales

1	clothing	3141
1	computers	9161
1	textbooks	21312
2	clothing	3252
2	computers	12321
2	supplies	2242
2	textbooks	15462

- output:

- summary of category sales

Illustration: process each input line

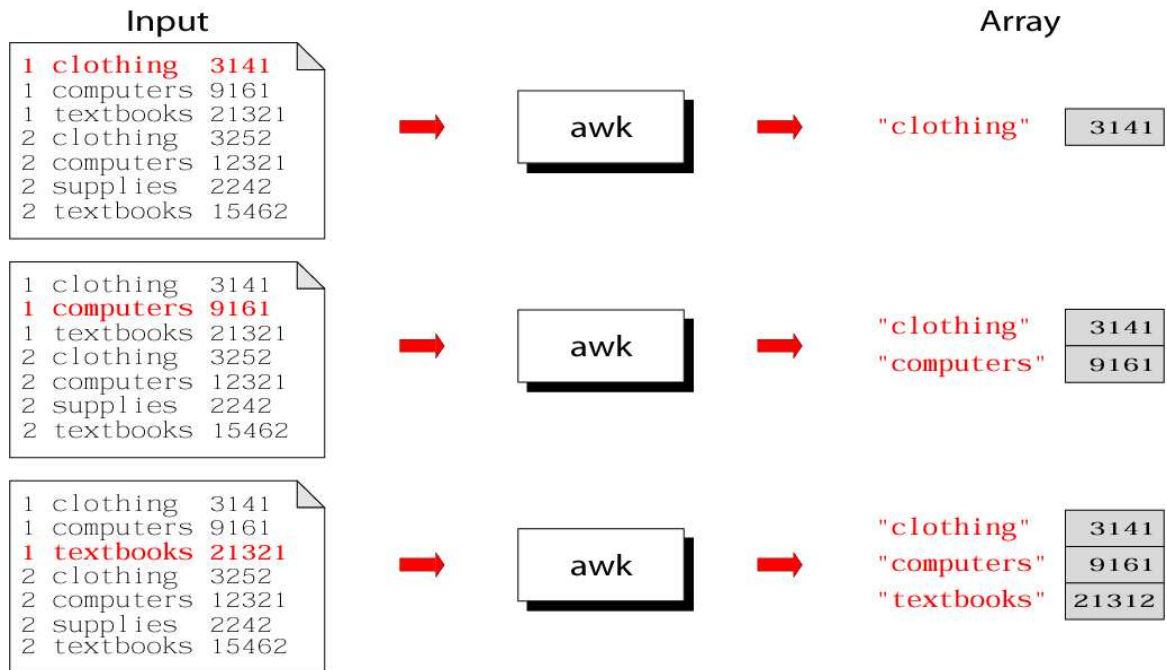
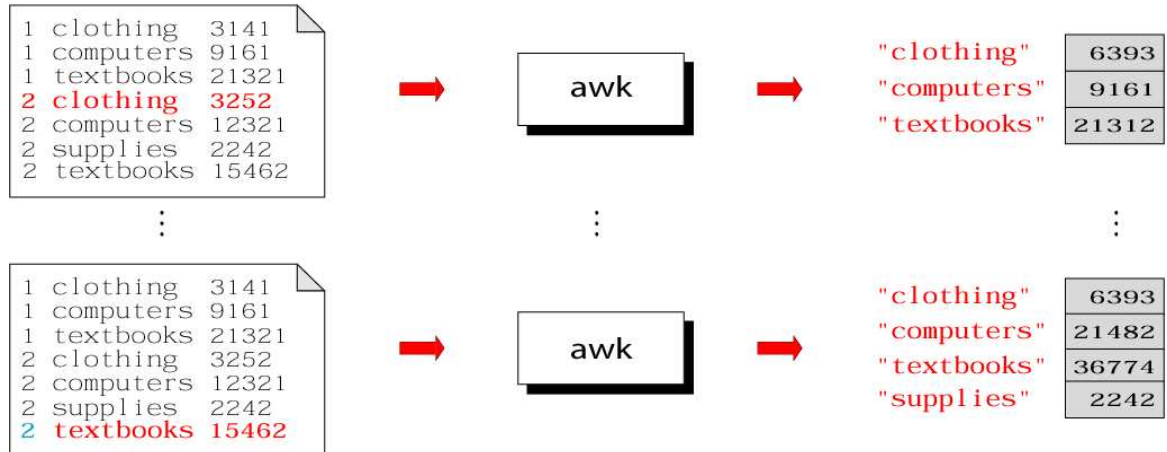
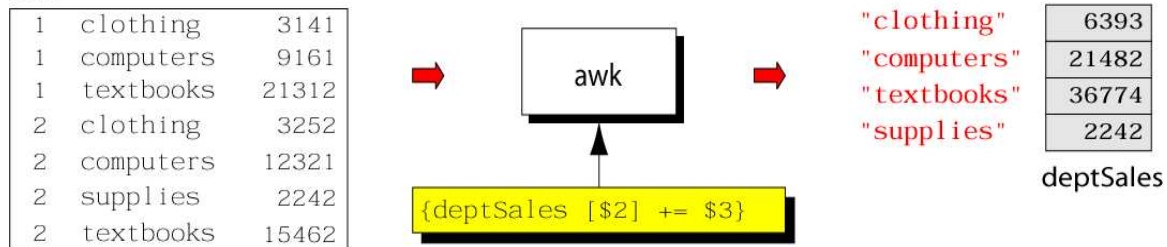


Illustration: process each input line



Summary: awk program

Sales



Example: complete program

```
% cat sales.awk
```

```
{
```



```
    deptSales[$2] += $3
}
END {
    for (x in deptSales)
        print x, deptSales[x]
}
% awk -f sales.awk sales
```

Awk control structures

- Conditional
 - if-else
- Repetition
 - for
 - with counter
 - with array index
 - while
 - do-while
 - also: break, continue

if Statement

Syntax:

```
if (conditional expression)
    statement-1
```

```
else
```

```
    statement-2
```

Example:

```
if ( NR < 3 )
    print $2
else
    print $3
```

for Loop

Syntax:

```
for (initialization; limit-test; update)
    statement
```

Example:

```
for (i = 1; i <= NR; i++)
{
    total += $i
    count++
}
```

for Loop for arrays

Syntax:

for (var in array)
 statement

Example:

```
for (x in deptSales)  
{  
    print x, deptSales[x]  
}
```

while Loop

Syntax:

while (logical expression)
 statement

Example:

```
i = 1  
while (i <= NF)  
{  
    print i, $i  
    i++  
}
```

do-while Loop

Syntax:

```
    do  
        statement  
    while (condition)
```

- statement is executed at least once, even if condition is false at the beginning

Example:

```
i = 1  
do {  
    print $0  
    i++  
} while (i <= 10)
```

loop control statements

- **break**

UNIT-II

UNIT-II

WORKING WITH THE BOURNE AGAIN SHELL(BASH)

WORKING WITH BOURNE SHELL

- The **Bourne shell**, or **sh**, was the default Unix shell of Unix Version 7. It was developed by Stephen Bourne, of AT&T Bell Laboratories.
- A **Unix shell**, also called "the command line", provides the traditional user interface for the Unix operating system and for Unix-like systems. Users direct the operation of the computer by entering command input as text for a shell to execute.
- There are many different shells in use. They are
 - Bourne shell (**sh**)
 - C shell (**csh**)
 - Korn shell (**ksh**)

Bourne Again shell (bash)

- When we issue a command the shell is the first agency to acquire the information. It accepts and interprets user requests. The shell examines & rebuilds the commands & leaves the execution work to kernel. The kernel handles the h/w on behalf of these commands & all processes in the system.
- The shell is generally sleeping. It wakes up when an input is keyed in at the prompt. This INPUT IS ACTUALLY INPUT TO THE PROGRAM THAT REPRESENTS THE SHELL.

SHELL RESPONSIBILITIES

- 1. Program Execution
- 2. Variable and Filename Substitution
- 3. I/O Redirection
- 4. Pipeline Hookup
- 5. Environment Control
- 6. Interpreted Programming Language

Program Execution:

- The shell is responsible for the execution of all programs that you request from your terminal.
- Each time you type in a line to the shell, the shell analyzes the line and then determines what to do.
- The line that is typed to the shell is known more formally as the command line. The shell scans this command line and determines the name of the program to be executed and what arguments to pass to the program.

Variable and Filename Substitution:

- Like any other programming language, the shell lets you assign values to variables. Whenever you specify one of these variables on the command line, preceded by a dollar sign, the shell substitutes the value assigned to the variable at that point.

I/O Redirection:

- It is the shell's responsibility to take care of input and output redirection on the command line. It scans the command line for the occurrence of the special redirection characters <, >, or >>.

Pipeline Hookup:

- Just as the shell scans the command line looking for redirection characters, it also looks for the pipe character |. For each such character that it finds, it connects the standard output from the command preceding the | to the standard input of the one following the |. It then initiates execution of both programs.

Environment Control:

- The shell provides certain commands that let you customize your environment. Your environment includes home directory, the characters that the shell displays to prompt you to type in a command, and a list of the directories to be searched whenever you request that a program be executed.

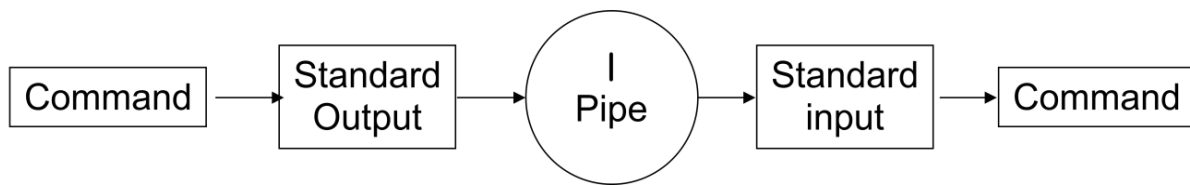
Interpreted Programming Language:

- The shell has its own built-in programming language. This language is interpreted, meaning that the shell analyzes each statement in the language one line at a time and then executes it. This differs from programming languages such as C and FORTRAN, in which the programming statements are typically compiled into a machine-executable form before they are executed.

- Programs developed in interpreted programming languages are typically easier to debug and modify than compiled ones. However, they usually take much longer to execute than their compiled equivalents.

PIPES

- Standard I/p & standard o/p constitute two separate streams that can be individually manipulated by the shell. The shell connects these streams so that one command takes I /p from other using **pipes**.



Who produces the list of users , to save this o/p in a file use

\$who > user.lst

To count the no. of lines in this user.lst use

\$wc -l <user.lst

- This method of using two commands in sequence has certain disadvantages.

- 1.The process is slow.
 - 2.An intermediate file is required that has to be removed after the command has completed its run.
 - 3.When handling large files, temporary files can build up easily &eat up disk space in no time.
- Instead of using two separate commands, the shell can use a special operator as the connector of two commands-the pipe(|).

\$who | wc -l

- Here who is said to be piped to wc.
- When a sequence of commands is combined together in this way a pipeline is said to be formed.
- To count no. of files in current directory

```
$ls | wc -l
```

- There's no restriction on the no. of commands u can use a pipeline.

REDIRECTION

- Many of the commands that we used sent their output to the terminal and also taking the input from the keyboard. These commands are designed that way to accept not only fixed sources and destinations. They are actually designed to use a character stream without knowing its source and destination.
- A stream is a sequence of bytes that many commands see as input and output. Unix treats these streams as files and a group of unix commands reads from and writes to these files.
- There are 3 streams or standard files. The shell sets up these 3 standard files and attaches them to user terminal at the time of logging in.
- Standard i/p ----default source is the keyboard.
- Standard o/p ----default source is the terminal.
- Standard error ----default source is the terminal.
- Instead of input coming from the keyboard and output and error going to the terminal, they can be **redirected** to come from or go to any file or some other device.

Standard o/p: It has 3 sources.

- The terminal, default source
- A file using redirection with >, >>
- Another program using a pipeline.
- Using the symbols >,>> u can redirect the o/p of a command to a file.

```
$who> newfile
```

- If the output file does not exist the shell creates it before executing the command. If it exists the shell overwrites it.
- \$who>> newfile

STANDARD I/P:

- The keyboard, default source
- A file using redirection with <

- Another program using a pipeline.
- \$wc < calc.lst or
- \$wc calc.lst or \$wc

STANDARD ERROR:

- When u enter an incorrect command or trying to open a non existing file, certain diagnostic messages show up on the screen. This is the standard error stream.
- Trying to cat a nonexistent file produces the error stream.

\$cat bar

Cat: cannot open bar :no such file or directory

- The standard error stream can also be redirected to a file.

\$cat bar 2> errorfile

Here 2 is the file descriptor for standard error file.

Each of the standard files has a number called a file descriptor, which is used for identification.

0—standard i/p

1---standard o/p

2---standard error

HERE DOCUMENTS

- There are occasions when the data of ur program reads is fixed & fairly limited.
- The shell uses << symbols to read data from the same file containing the script. This referred to as a here document, signifying that the data is here rather than in a separate file.
- Any command using standard i/p can also take i/p from a here document.
- This feature is useful when used with commands that don't accept a file name as argument.
- Example:

mail Juliet << MARK

Ur pgm for printing the invoices has been executed on `date`. Check the print queue

The updated file is known as \$fname

MARK

- The shell treats every line followed by three lines of data and a delimited by MARK as input to the command. Juliet at other end will only see the three lines of message text, the word MARK itself doesn't show up.
- Shell metacharacters
- The shell consists of large no. of metacharacters. These characters plays vital role in Unix programming.

Executing a shell script

- you can execute a shell script by invoking its filename.
- \$filename
- U can also use *sh* command which takes script name as argument.
- \$sh filename

TYPES OF METACHARACTERS:

- 1.File substitution
- 2.I/O redirection
- 3.Process execution
- 4.Quoting metacharacters
- 5.Positional parameters
- 6.Special characters

Filename substitution:

- These metacharacters are used to match the filenames in a directory.
- Metacharacter significance
- * matches any no. of characters
- ? matches a single character

- [ijk] matches a single character either i,j,k
- [!ijk] matches a single character that is not i,j,k

I/O redirection:

- These special characters specify from where to take i/p & where to send o/p.
- >- to send the o/p to a specific file
- < - to take i/p from specific location but not from keyboard.
- >>- to save the o/p in a particular file at the end of that file without overwriting it.
- <<- to take i/p from standard i/p file.

Process execution:

- ; -is used when u want to execute more then one command at \$ prompt.
- Eg:\$date; cat f1>f2
- () –used to group the commands.
- Eg:(date; cat f1) >f2
- & -used to execute the commands in background mode.
- Eg: \$ls &
- && -this is used when u want to execute the second command only if the first command executed successfully.
- Eg:\$grep Unix f1 && echo Unix found
- \$cc f1 && a.out
- || - used to execute the second command if first command fails.
- Eg:\$grep unix f1 || echo no unix

Quoting:

- \ (backslash)- negates the special property of the single character following it.
- Eg:\$echo \? * \?
- ??

- ‘ ‘(pair of single quotes)-negates the special properties of all enclosed characters.
- Eg:\$echo ‘send \$100 to whom?’
- “ “(pair of double quotes)-negates the special properties of all enclosed characters except \$,`,\.
- Eg:\$echo “today date is \$date” or
- \$echo “today date is `date` “

Positional parameters:

- \$0- gives the name of the command which is being executed.
- \$*-gives the list of arguments.
- \$#-gives no. of arguments.

Special parameters:

- \$\$- gives PID of the current shell.
- \$?-gives the exit status of the last executed command.
- \$!-gives the PID of last background process.
- \$- -gives the current setting of shell.

SHELL VARIABLES

- U can define & use variables both in the command line and shell scripts. These variables are called shell variables.
- No type declaration is necessary before u can use a shell variable.
- Variables provide the ability to store and manipulate the information with in the shell program. The variables are completely under the control of user.
- Variables in Unix are of two types.
 - 1.Environmental variables or system variables
 - 2.User defined variables

User-defined variables:

Generalized form:

variable=value.

Eg: \$x=10

\$echo \$x

10

To remove a variable use unset.

\$unset x

- All shell variables are initialized to null strings by default. To explicitly set null values use

x= or x=' ' or x=""

- To assign multiword strings to a variable use

\$msg='u have a mail'

Environment Variables

- They are initialized when the shell script starts and normally capitalized to distinguish them from user-defined variables in scripts
- To display all variables in the local shell and their values, type the **set** command
- The **unset** command removes the variable from the current shell and sub shell

Environment Variables

Description

\$HOME

Home directory

\$PATH

List of directories to search for commands

\$PS1

Command prompt

\$PS2

Secondary prompt

\$SHELL

Current login shell

\$0

Name of the shell script

\$#

No . of parameters passed

\$\$

Process ID of the shell script

Parameter Variables

Parameter Variable

\$1, \$2,The parameters given to the script

\$*-->A list of all the parameters separated by the first character of IFS

\$@ --- >A list of all the parameters that doesn't use the IFS environment variable

Shell commands

read:

- The read statement is a tool for taking input from the user i.e. making scripts interactive. It is used with o
Input supplied through the standard input is read into these variables.

`$read name`

What ever you u entered is stored in the variable name.

printf:

Printf is used to print formatted o/p.

`printf "format" arg1 arg2 ...`

Eg:

`$ printf "This is a number: %d\n" 10`

This is a number: 10

`$`

Printf supports conversion specification characters like `%d`, `%s`, `%x`, `%o`....

Exit status of a command:

- Every command returns a value after execution .
- This value is called the exit status or return value of a command.
- This value is said to be true if the command executes successfully and false if it fails.

There is special parameter used by the shell it is the `$?`. It stores the exit status of a command

exit:

- The exit statement is used to prematurely terminate a program.
- When this statement is encountered in a script, execution is halted and control is ----- returned to the calling program-in most cases the shell.
- U don't need to place exit at the end of every shell script because the shell knows -----when script execution is complete.

set:

- Set is used to produce the list of currently defined variables.

`$set`

- Set is used to assign values to the positional parameters.

`$set welcome to Unix`

The do-nothing(:)Command

- It is a null command.

- In some older shell scripts, colon was used at the start of a line to introduce a comment, but modern scripts use # now.

expr:

- The **expr** command evaluates its arguments as an expression:

- **\$ expr 8 + 6**
- **14**
- **\$ x=`expr 12 / 4`**
- **\$ echo \$x**
- **3**

export:

- There is a way to make the value of a variable known to a sub shell, and that's by exporting it with the export command. The format of this command is

export variables

where variables is the list of variable names that you want exported. For any sub shells that get executed from that point on, the value of the exported variables will be passed down to the sub shell.

eval:

- eval scans the command line twice before executing it. General form for eval is

eval command-line

Eg:

\$ cat last

eval echo \\$\$#

\$ last one two three four

four

\${n}

If u supply more than nine arguments to a program, u cannot access the tenth and greater arguments with \$10, \$11, and so on.

`${n}` must be used. So to directly access argument 10, you must write

`${10}`

Shift command:

The shift command allows u to effectively left shift your positional parameters. If u execute the command

Shift

What ever was previously stored inside \$2 will be assigned to \$1, whatever was previously stored in \$3 will be assigned to \$2, and so on. The old value of \$1 will be irretrievably lost.

File Conditions

-d file	True if the file is a directory
-e file	True if the file exists
-f file	True if the file is a regular file
-g file	True if set-group-id is set on file
-r file	True if the file is readable
-s file	True if the file has non-zero size
-u file	True if set-user-id is set on file
-w file	True if the file is writeable
-x file	True if the file is executable

Example

```
$ mkdir temp
$ if [ -f temp ]; then
> echo "temp is a directory"
> fi
```

Arithmetic Comparison

<i>ep1 -eq ep2</i>	True if <i>ep1 = ep2</i>
<i>ep1 -ne ep2</i>	True if <i>ep1 != ep2</i>
<i>ep1 -gt ep2</i>	True if <i>ep1 > ep2</i>
<i>ep1 -ge ep2</i>	True if <i>ep1 >= ep2</i>
<i>ep1 -lt ep2</i>	True if <i>ep1 < ep2</i>
<i>ep1 -le ep2</i>	True if <i>ep1 <= ep2</i>
<i>! ep</i>	True if <i>ep</i> is false

Example

```
$ x=5; y=7
$ if [ $x -lt $y ]; then
> echo "x is less than y"
> fi
```

BASIC SHELL PROGRAMMING

- A script is a file that contains shell commands ☐ data structure: variables
 - ☐ control structure: sequence, decision, loop ☐ Shebang line for bash
shell script:
 - **#!/bin/bash #!**
/bin/sh
 - ☐ to run:
 - make executable: **% chmod +x script** ☐ invoke via: **% ./script**
- ### BASH SHELL PROGRAMMING

- Input ☐ prompting user
- ☐ command line arguments ☐ Decision:
- ☐ if-then-else ☐ case
- ☐ Repetition
- Repetition
 - do-while, repeat-until
 - for
 - select

- Functions
- Traps

User input

- shell allows to prompt for user input

Syntax:

read varname [more vars]

- or

read -p "prompt" varname [more vars]

- words entered by user are assigned to

varname and **“more vars”**

- last variable gets rest of input line

User input example

```
#!/bin/sh
```

```
read -p "enter your name: " first last
```

```
echo "First name: $first"
```

```
echo "Last name: $last"
```

Special shell variables

Parameter	Meaning
\$0	Name of the current shell script
\$1-\$9	Positional parameters 1 through 9
\$#	The number of positional parameters
\$*	All positional parameters, “\$*” is one string

\$@	All positional parameters, “\$@” is a set of strings
\$?	Return status of most recently executed command
\$\$	Process id of current process

Examples: Command Line Arguments

% set tim bill ann fred

\$1 \$2 \$3 \$4

% echo \$*

tim bill ann fred

% echo \$#

4

% echo \$1

tim

% echo \$3 \$4

ann fred

The ‘set’ command can be used to assign values to positional parameters

bash control structures

- if-then-else
- case
- loops
 - for
 - while
 - until

select

if statement

if command

then

statements

fi

- statements are executed only if **command** succeeds, i.e. has return status "0"

test command

Syntax:

test expression

[expression]

- evaluates 'expression' and returns true or false

Example:

if test -w "\$1"

then

echo "file \$1 is write-able"

fi

The simple if statement

if [condition]; then

statements

fi

- executes the statements only if **condition** is true

The if-then-else statement

if [condition]; then

statements-1

else

statements-2

fi

- executes statements-1 if condition is true
- executes statements-2 if condition is false

The if...statement

if [condition]; then

statements

elif [condition]; then

statement

else

statements

fi

- The word **elif** stands for “else if”
- It is part of the if statement and cannot be used by itself

Relational Operators

Meaning	Numeric	String
Greater than	-gt	
Greater than or equal	-ge	
Less than	-lt	
Less than or equal	-le	
Equal	-eg	= or ==

Not equal	-ne	!=
str1 is less than str2		str1 < str2
str1 is greater str2		str1 > str2
String length is greater than zero		-n str
String length is zero		-z str

Logical operators

! not

&& and

|| or

Example: Using the ! Operator

```
#!/bin/bash
```

```
read -p "Enter years of work: " Years
```

```
if [ ! "$Years" -lt 20 ]; then
```

```
    echo "You can retire now."
```

```
else
```

```
    echo "You need 20+ years to retire"
```

```
fi
```

Example: Using the && Operator

```
#!/bin/bash

Bonus=500

read -p "Enter Status: " Status

read -p "Enter Shift: " Shift

if [[ "$Status" = "H" && "$Shift" = 3 ]]
then
    echo "shift $Shift gets \$$Bonus bonus"
else
    echo "only hourly workers in"
    echo "shift 3 get a bonus"
fi
```

Example: Using the || Operator

```
#!/bin/bash

read -p "Enter calls handled:" CHandle
read -p "Enter calls closed: " CClose

if [[ "$CHandle" -gt 150 || "$CClose" -gt 50 ]]
then
    echo "You are entitled to a bonus"
else
    echo "You get a bonus if the calls"
    echo "handled exceeds 150 or"
    echo "calls closed exceeds 50"
fi
```

File Testing

Meaning

-d file	True if 'file' is a directory
-f file	True if 'file' is an ord. file
-r file	True if 'file' is readable
-w file	True if 'file' is writable
-x file	True if 'file' is executable
-s file	True if length of 'file' is nonzero

Example: File Testing

```
#!/bin/bash
echo "Enter a filename: "
read filename
if [ ! -r "$filename" ]
then
    echo "File is not read-able"
exit 1
fi
```

Example: File Testing

```
#!/bin/bash
if [ $# -lt 1 ]; then
    echo "Usage: filetest filename"
    exit 1
fi
if [[ ! -f "$1" || ! -r "$1" || ! -w "$1" ]]
then
    echo "File $1 is not accessible"
```



```
exit 1
```

```
fi
```

Example: if... Statement

The following THREE *if*-conditions produce the same result

* DOUBLE SQUARE BRACKETS

```
read -p "Do you want to continue?" reply
```

```
if [[ $reply = "y" ]]; then
```

```
    echo "You entered " $reply
```

```
fi
```

* SINGLE SQUARE BRACKETS

```
read -p "Do you want to continue?" reply
```

```
if [ $reply = "y" ]; then
```

```
    echo "You entered " $reply
```

```
fi
```

* "TEST" COMMAND

```
read -p "Do you want to continue?" reply
```

```
if test $reply = "y"; then
```

```
    echo "You entered " $reply
```

```
fi
```

Example: if..elif... Statement

```
#!/bin/bash
```

```
read -p "Enter Income Amount: " Income
```

```
read -p "Enter Expenses Amount: " Expense
```

```
let Net=$Income-$Expense
```

```
if [ "$Net" -eq "0" ]; then
```

```
    echo "Income and Expenses are equal - breakeven."
elif [ "$Net" -gt "0" ]; then
    echo "Profit of: " $Net
else
    echo "Loss of: " $Net
fi
```

The case Statement

- use the case statement for a decision that is based on multiple choices

Syntax:

```
case word in
    pattern1) command-list1
        ;;
    pattern2) command-list2
        ;;
    patternN) command-listN
        ;;
esac
```

case pattern

- checked against word for match
- may also contain:
 - *
 - ?
 - [...]
 - [:class:]
- multiple patterns can be listed via:

|

Example 1: The case Statement

```
#!/bin/bash

echo "Enter Y to see all files including hidden files"

echo "Enter N to see all non-hidden files"

echo "Enter q to quit"

read -p "Enter your choice: " reply

case $reply in

    Y|YES) echo "Displaying all (really...) files"

        ls -a ;;

    N|NO)  echo "Display all non-hidden files..."

        ls ;;

    Q)    exit 0 ;;

    *)    echo "Invalid choice!"; exit 1 ;;

esac
```

Example 2: The case Statement

```
#!/bin/bash

ChildRate=3

AdultRate=10

SeniorRate=7

read -p "Enter your age: " age

case $age in

    [1-9]|[1][0-2]) # child, if age 12 and younger

        echo "your rate is" "$ChildRate.00" ;;

        # adult, if age is between 13 and 59 inclusive
```

```
[1][3-9][2-5][0-9))
```

```
echo "your rate is" "$"$AdultRate.00" ;;
```

```
[6-9][0-9))    # senior, if age is 60+
```

```
echo "your rate is" "$"$SeniorRate.00" ;;
```

```
esac
```

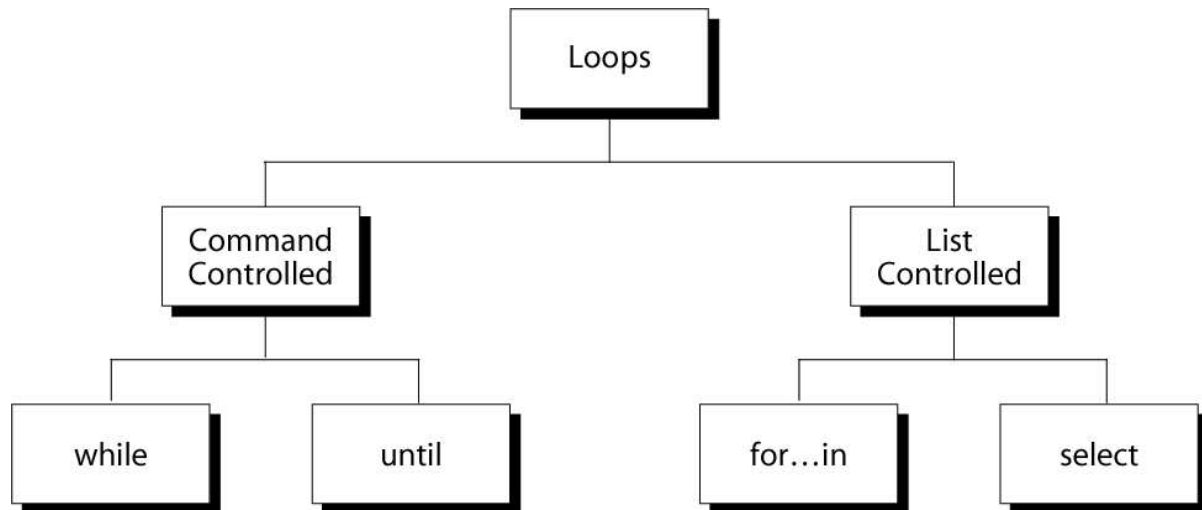
Bash programming: so far

- Data structure
 - Variables
 - Numeric variables
 - Arrays
- User input
- Control structures
 - if-then-else
 - case

Bash programming: still to come

- Control structures
 - Repetition
 - do-while, repeat-until
 - for
 - select
- Functions
- Trapping signals

Repetition Constructs



The while Loop

○ Purpose:

To execute commands in “command-list” as long as “expression” evaluates to true

Syntax:

```
while [ expression ]  
do  
    command-list  
done
```

Example: Using the while Loop

```
#!/bin/bash  
  
COUNTER=0  
  
while [ $COUNTER -lt 10 ]  
do  
    echo The counter is $COUNTER  
    let COUNTER=$COUNTER+1  
  
done
```

Example: Using the while Loop

```
#!/bin/bash

Cont="Y"

while [ $Cont = "Y" ]; do

    ps -A

    read -p "want to continue? (Y/N)" reply

    Cont=`echo $reply | tr [:lower:] [:upper:]`

done

echo "done"
```

Example: Using the while Loop

```
#!/bin/bash

# copies files from home- into the webserver- directory

# A new directory is created every hour

PICSDIR=/home/carol/pics

WEBDIR=/var/www/carol/webcam

while true; do

    DATE=`date +%Y%m%d`

    HOUR=`date +%H`

    mkdir $WEBDIR/"$DATE"

    while [ $HOUR -ne "00" ]; do

        DESTDIR=$WEBDIR/"$DATE"/"$HOUR"

        mkdir "$DESTDIR"

        mv $PICSDIR/*.jpg "$DESTDIR"/

        sleep 3600

    done

done
```

```
HOUR=`date +%H`
```

```
done
```

```
done
```

The until Loop

○ Purpose:

To execute commands in “command-list” as long as “expression” evaluates to false

Syntax:

```
until [ expression ]
```

```
do
```

```
    command-list
```

```
done
```

Example: Using the until Loop

```
#!/bin/bash
```

```
COUNTER=20
```

```
until [ $COUNTER -lt 10 ]
```

```
do
```

```
    echo $COUNTER
```

```
    let COUNTER-=1
```

```
done
```

Example: Using the until Loop

```
#!/bin/bash
```

```
Stop="N"
```

```
until [ $Stop = "Y" ]; do
```

```
    ps -A
```

```
    read -p "want to stop? (Y/N)" reply
```

```
Stop=`echo $reply | tr [:lower:] [:upper:]`  
done  
echo "done"
```

The for Loop

○ Purpose:

To execute commands as many times as the number of words in the “argument-list”

Syntax:

for variable in argument-list

do

commands

done

Example 1: The for Loop

```
#!/bin/bash  
for i in 7 9 2 3 4 5  
do  
    echo $i  
done
```

Example 2: Using the for Loop

```
#!/bin/bash  
# compute the average weekly temperature  
for num in 1 2 3 4 5 6 7  
do  
    read -p "Enter temp for day $num: " Temp
```



```
let TempTotal=$TempTotal+$Temp  
done  
let AvgTemp=$TempTotal/7  
echo "Average temperature: " $AvgTemp  
looping over arguments
```

- simplest form will iterate over all command line arguments:

```
#!/bin/bash  
  
for parm  
do  
  
echo $parm  
  
done
```

Select command

- Constructs simple menu from word list
- Allows user to enter a number instead of a word
- User enters sequence number corresponding to the word

Syntax:

```
select WORD in LIST  
do
```

RESPECTIVE-COMMANDS

```
done
```

- Loops until end of input, i.e. ^d (or ^c)

Select example

```
#!/bin/bash  
  
select var in alpha beta gamma  
do
```

echo \$var

done

- ☐ Prints:
- ☐) alpha
- ☐ 2) beta
- ☐ 3) gamma
- ☐ #? 2
- ☐ beta
- ☐ #? 4
- ☐ #? 1
- ☐ alpha

Select detail

- ☐ PS3 is select sub-prompt
- ☐ \$REPLY is user input (the number)

#!/bin/bash

PS3="select entry or ^D: "

select var in alpha beta

do

echo "\$REPLY = \$var"

done

Output:

select ...

1) alpha

2) beta

? 2

2 = beta

? 1

1 = alpha

#!/bin/bash

echo "script to make files private"

echo "Select file to protect:"

select FILENAME in *

do

 echo "You picked \$FILENAME (\$REPLY)"

 chmod go-rwx "\$FILENAME"

 echo "it is now private"

done

Output:

select ...

1) alpha

2) beta

? 2

2 = beta

? 1

1 = alpha

break and continue

- Interrupt for, while or until loop
- The break statement
 - transfer control to the statement AFTER the done statement

- terminate execution of the loop
- The continue statement
 - transfer control to the statement TO the done statement
 - skip the test statements for the current iteration
 - continues execution of the loop

The break command

while [condition]

do

cmd-1

break

cmd-n

done

echo "done"

The continue command

while [condition]

do

cmd-1

continue

cmd-n

done

echo "done"

Example:

```
for index in 1 2 3 4 5 6 7 8 9 10
```

```
do
```

```
    if [ $index -le 3 ]; then
```

```
        echo "continue"
```

```
        continue
```

```
    fi
```

```
    echo $index
```

```
    if [ $index -ge 8 ]; then
```

```
        echo "break"
```

```
        break
```

```
    fi
```

```
done
```

Bash shell programming

- Sequence
- Decision:
 - if-then-else
 - case
- Repetition
 - do-while, repeat-until
 - for
 - select
- Functions
- Traps

Shell Functions

- A shell function is similar to a shell script

- stores a series of commands for execution later
 - shell stores functions in memory
 - shell executes a shell function in the same shell that called it
- Where to define
 - In .profile
 - In your script
 - Or on the command line
 - Remove a function
 - Use unset built-in
 - must be defined before they can be referenced
 - usually placed at the beginning of the script

Syntax:

```
function-name () {  
  
    statements  
  
}
```

Example: function

```
#!/bin/bash
```

```
funky () {  
    # This is a simple function  
    echo "This is a funky function."  
    echo "Now exiting funky function."  
}
```

declaration must precede call:

```
funky
```

Example: function

```
#!/bin/bash
```

```
fun () { # A somewhat more complex function.
```

```
    JUST_A_SECOND=1
```

```
    let i=0
```

```
    REPEATS=30
```

```
    echo "And now the fun really begins."
```

```
    while [ $i -lt $REPEATS ]
```

```
    do
```

```
        echo "-----FUNCTIONS are fun----->"
```

```
        sleep $JUST_A_SECOND
```

```
        let i+=1
```

```
    done
```

```
}
```

```
fun
```

Function parameters

- Need not be declared
- Arguments provided via function call are accessible inside function as \$1, \$2, \$3, ...

\$# reflects number of parameters

\$0 still contains name of script
 (not name of function)

Example: function with parameter

```
#!/bin/sh
```

```
testfile() {
```

```
    if [ $# -gt 0 ]; then
```

```
if [[ -f $1 && -r $1 ]]; then
    echo $1 is a readable file
else
    echo $1 is not a readable file
fi
fi
}
testfile .
testfile funtest
```

Example: function with parameters

```
#!/bin/bash
checkfile() {
    for file
    do
        if [ -f "$file" ]; then
            echo "$file is a file"
        else
            if [ -d "$file" ]; then
                echo "$file is a directory"
            fi
        fi
    done
}
checkfile . funtest
```

Local Variables in Functions

- Variables defined within functions are global,
 - i.e. their values are known throughout the entire shell program
- keyword “local” inside a function definition makes referenced variables “local” to that function

Example: function

```
#!/bin/bash

global="pretty good variable"

foo () {

    local inside="not so good variable"

    echo $global

    echo $inside

    global="better variable"

}

echo $global

foo

echo $global

echo $inside
```

Handling signals

- Unix allows you to send a signal to any process
- -1 = hangup **kill -HUP 1234**
- -2 = interrupt with ^C **kill -2 1235**
- no argument = terminate **kill 1235**
- -9 = kill **kill -9 1236**
 - -9 cannot be blocked
- list your processes with

ps -u userid

Signals on Linux

% kill -l

Shell script examples

Example

```
#!/bin/sh
```

```
echo "Is it morning? (Answer yes or no)"
```

```
read timeofday
```

```
if [ $timeofday = "yes" ]; then
```

```
    echo "Good Morning"
```

```
else
```

```
    echo "Good afternoon"
```

```
fi
```

```
exit 0
```

elif - Doing further Checks

```
#!/bin/sh
```

```
echo "Is it morning? Please answer yes or no"
```

```
read timeofday
```

```
if [ $timeofday = "yes" ]; then
```

```
    echo "Good Morning"
```

```
elif [ $timeofday = "no" ]; then
```

```
    echo "Good afternoon"
```

```
else
```

```
    echo "Wrong answer! Enter yes or no"
```

```
    exit 1
```

fi

exit 0

elif - Doing further Checks

#!/bin/sh

echo "Is it morning? Please answer yes or no"

read timeofday

if [\$timeofday = "yes"]; then

 echo "Good Morning"

elif [\$timeofday = "no"]; then

 echo "Good afternoon"

else

 echo "Wrong answer! Enter yes or no"

 exit 1

fi

exit 0

elif - Doing further Checks

#!/bin/sh

echo "Is it morning? Please answer yes or no"

read timeofday

if [\$timeofday = "yes"]; then

 echo "Good Morning"

elif [\$timeofday = "no"]; then

 echo "Good afternoon"

else

 echo "Wrong answer! Enter yes or no"

```
        exit 1

fi

exit 0

case

case variable in

    pattern [ | pattern ] ...) statements;;

    pattern [ | pattern ] ...) statements;;

    ....

esac

#!/bin/sh

echo "Is it morning? Enter yes or no";read timeofday

case "$timeofday" in

    yes | y | Yes | YES) echo "Good Morning";;

    n* | N* )           echo "Good Afternoon";;

    * ) echo "Sorry, answer not recognized"

        echo "Please answer yes or no"

        exit 1;;

esac
```

^C is 2 - SIGINT

FILE API FUNCTIONS

under this concept we discuss the functions or methods of regular file. On each function we discuss the usage, syntax, arguments, argument values and return types

Open():used to open a regular file.

Syntax: int open(const char *pathname, int oflag,/* mode_t mode*/);

#include <sys/types.h>

```
#include <sys/stat.h>
```

```
#include <fcntl.h>
```

```
int open(const char *pathname, int oflag,/* mode_t mode*/);
```

open -oflag

- O_RDONLY open for reading only
- O_WRONLY open for writing only
- O_RDWR open for reading and writing
- O_APPEND append on each write –not atomic when using NFS
- O_CREAT create file if it does not exist
- O_TRUNC truncate size to 0
- O_EXCL error if create and file exists
- O_SYNC Any writes on the resulting file descriptor will block the calling process until the data has been physically written to the underlying hardware .

open -mode

- Specifies the permissions to use if a new file is created.
- This mode only applies to future accesses of the newly created file.

User: S_IRWXU, S_IRUSR, S_IWUSR, S_IXUSR

Group: S_IRWXG, S_IRGRP, S_IWGRP, S_IXGRP

Other: S_IRWXO, S_IROTH, S_IWOTH, S_IXOTH

- mode must be specified when O_CREAT is in the flags.

Identifying errors

- How can we tell if the call failed?
 - the system call returns a negative number
- How can we tell what was the error?
 - Using errno – a global variable set by the system call if an error has occurred.
 - Defined in errno.h

–Use str error to get a string describing the problem

–Use p error to print a string describing the problem

```
#include <errno.h>
```

```
int fd;
```

```
fd = open( FILE_NAME, O_RDONLY, 0644 );
```

```
if( fd < 0 ) {
```

```
printf( "Error opening file: %s\n", strerror( errno ) );
```

```
return -1;
```

```
}
```

open –possible errno values

- EEXIST–O_CREAT and O_EXCL were specified and the file exists.
- ENAMETOOLONG -A component of a pathname exceeded {NAME_MAX} characters, or an entire path name exceeded {PATH_MAX} characters.
- ENOENT -O_CREAT is not set and the named file does not exist.
- ENOTDIR -A component of the path prefix is not a directory.
- EROFS -The named file resides on a read-only file system, and write access was requested.
- ENOSPC -O_CREAT is specified, the file does not exist, and there is no space left on the file system containing the directory.
- EMFILE -The process has already reached its limit for open file descriptors.

create():used to create a regular file.

Syntax: int creat(const char *pathname, mode_t mode)

```
#include <sys/types.h>
```

```
#include <sys/stat.h>
```

```
#include <fcntl.h>
```

```
Int creat(const char *pathname, mode_t mode)
```

Equivalent to: open(pathname, O_WRONLY|O_CREAT|O_TRUNC, mode)

lseek:used to position the cursor at specified location.

Syntax: `off_t lseek(int fd, off_t offset, int whence);`

`#include <sys/types.h>`

`#include <unistd.h>`

`off_t lseek(int fd, off_t offset, int whence);`

- **fd**

- The file descriptor.

- It must be an open file descriptor.

- **offset**

- Repositions the offset of the file descriptor fd to the argument offset according to the directive whence.

- **Return value**

- The offset in the file after the seek

- If negative, errno is set.

lseek-whence

- **SEEK_SET** -The offset is set to offset bytes from the beginning of the file.

- **SEEK_CUR** -The offset is set to its current location plus offset bytes.

- `currpos= lseek(fd, 0, SEEK_CUR)`

- **SEEK_END** -The offset is set to the size of the file plus offset bytes.

- If we use **SEEK_END** and then write to the file, it extends the file size in kernel and the gap is filled with zeros.

lseek: Examples

- **Move to byte #16**

- `newpos= lseek(fd, 16, SEEK_SET);`

- **Move forward 4 bytes**

- `newpos= lseek(fd, 4, SEEK_CUR);`

- **Move to 8 bytes from the end**

- `newpos= lseek(fd, -8, SEEK_END);`

- Move backward 3 bytes

–lseek(fd, -3, SEEK_CUR);

lseek-errno

- lseek() will fail and the file pointer will remain unchanged if:

–EBADF - fd is not an open file descriptor.

–ESPIPE - fd is associated with a pipe, socket, or FIFO.

–EINVAL - Whence is not a proper value.

Read(): used to read a block of data from regular file.

Syntax: ssize_t read(int fd, void *buff, size_t nbytes)

#include <unistd.h>

ssize_t read(int fd, void *buff, size_t nbytes)

• Attempts to read nbytes of data from the object referenced by the descriptor fd into the buffer pointed to by buff.

• If successful, the number of bytes actually read is returned.

• If we are at end-of-file, zero is returned.

• Otherwise, -1 is returned and the global variable errno is set to indicate the error. read -errno

• EBADF - fd is not a valid file descriptor or it is not open for reading.

• EIO - An I/O error occurred while reading from the file system.

• EINTR The call was interrupted by a signal before any data was read

• EAGAIN - The file was marked for non-blocking I/O, and no data was ready to be read.

write(): used to write a block of data to the regular file.

Syntax: ssize_t write(int fd, const void *buff, size_t nbytes)

#include <unistd.h>

ssize_t write(int fd, const void *buff, size_t nbytes)

• Attempts to write nbytes of data to the object referenced by the descriptor fd from the buffer pointed to by buff.

- Upon successful completion, the number of bytes actually written is returned.

–The number can be smaller than nbytes, even zero

- Otherwise -1 is returned and errno is set.

- “A successful return from write() does not make any guarantee that data has been committed to disk.”

write -errno

- EBADF - fd is not a valid descriptor or it is not open for writing.
- EPIPE -An attempt is made to write to a pipe that is not open for reading by any process.
- EFBIG -An attempt was made to write a file that exceeds the maximum file size.
- EINVAL -fd is attached to an object which is unsuitable for writing (such as keyboards).
- ENOSPC -There is no free space remaining on the file system containing the file.
- EDQUOT -The user's quota of disk blocks on the file system containing the file has been exhausted.
- EIO -An I/O error occurred while writing to the file system.
- EAGAIN -The file was marked for non-blocking I/O, and no data could be written immediately.

Example

```
#include <stdio.h>
#include <sys/types.h>
#include <sys/stat.h>
#include <fcntl.h>
char buf1[] = "abcdefghij";
char buf2[] = "ABCDEFGHJI";
int main(void) {
    int fd;
    fd = creat("file.hole", S_IRUSR|S_IWUSR|IRGRP);
    if( fd< 0 ) {
        perror("createrror");
        exit(1);
    }
    if( write(fd, buf1, 10) != 10 ) {
        perror("buf1 write error");
        exit(1);
    }
    /* offset now = 10 */
    if( lseek(fd, 40, SEEK_SET) == -1 )
```

```
{
perror("lseek error");
exit(1);
}
/* offset now = 40 */
if(write(fd, buf2, 10) != 10)
{
perror("buf2 write error");
exit(1);
}
/* offset now = 50 */
exit(0);
}
```

Example –copying a file

```
#include <stdio.h>
#include <errno.h>
#include <stdlib.h>
#include <sys/types.h>
#include <sys/stat.h>
#include <fcntl.h>
enum {BUF_SIZE = 16};
int main(int argc, char* argv[])
{
int fdread, fdwrite;
unsigned int total_bytes = 0;
ssize_t nbytes_read, nbytes_write;
char buf[BUF_SIZE];
if (argc != 3) {
printf("Usage: %s source destination\n",
argv[0]);
exit(1);
}
fdread = open(argv[1], O_RDONLY);
if (fdread < 0) {
perror("Failed to open source file");
exit(1);
}
fdwrite = creat(argv[2], S_IRWXU);
if (fdwrite < 0) {
perror("Failed to open detination file");
exit(1);
}
do {
```

```
nbytes_read = read(fdread, buf, BUF_SIZE);
if (nbytes_read < 0) {
    perror("Failed to read from file");
    exit(1);
}
nbytes_write = write(fdwrite, buf, nbytes_read);
if (nbytes_write < 0) {
    perror("Failed to write to file");
    exit(1);
}
} while(nbytes_read > 0);
close(fdread);
close(fdwrite);
return 0;
}
```

dup2

#include <unistd.h>

Int dup2(int oldfd, int newfd);

- Duplicates an existing object descriptor and returns its value to the calling process.
- Causes the file descriptor newfd to refer to the same file as oldfd. The object referenced by the descriptor does not distinguish between oldfd and newfd in any way.
- If newfd refers to an open file, it is closed first
- Now newfd and oldfd file position pointer and flags.

dup2 -errno

- EBADF - oldfd isn't an open file descriptor, or newfd is out of the allowed range for file descriptors.
- EMFILE - Too many descriptors are active.
- Note: If a separate pointer to the file is desired, a different object reference to the file must be obtained by issuing an additional open() call.

Dup2 -comments

- **dup2()** is most often used to redirect standard input or output.

~> ls | grep "my"

- dup2(fd, 0) - whenever the program tries to read from standard input, it will read from fd.
- dup2(fd, 1) - whenever the program tries to write to standard output, it will write to fd.

- After arranging the redirections, the desired program is run using exec

fcntl: 1)used to change the access mode values

2)used to duplicate the file descriptor

Syntax: int fcntl(int fd, int cmd,)

#include <sys/types.h>

#include <unistd.h>

#include <fcntl.h>

intfcntl(intfd, intcmd, intarg)

- manipulate filedescriptors.
- cmd –the operation to perform
- arg –depends on the operation (not always required)

fcntl-cmd

- F_DUPFD -Returns a new descriptor as follows:

- Lowest numbered available descriptor greater than or equal to arg.
- New descriptor refers to the same object as fd.
- New descriptor shares the same file offset.
- Same access mode (read, write or read/write).

- This is different fromdup2 which uses exactly the descriptor specified.

- F_GETFL -Returns the current file status flags as set by open().

- Access mode can be extracted from AND'ing the return value

- return_value & O_ACCMODE

- F_SETFL Set descriptor status flags to arg.

- Sets the file status flags associated with fd.

- Only O_APPEND, O_NONBLOCK and O_ASYNC may be set.²⁵

fcntl-example 1

Linux Programming

```
#include <stdio.h>

#include <sys/types.h>

#include <fcntl.h>

intmain( intargc, char *argv[] ){

    intaccmode, val;

    if( argc!= 2 ) {

        fprintf( stderr, "usage: %s <descriptor#>", argv[0] );

        exit(1);

    }

    val = fcntl(atoi(argv[1]), F_GETFL, 0);

    if (val< 0 ) {

        perror( "fcntlerror for fd");

        exit( 1 );

    }

    accmode= val& O_ACCMODE;

    if( accmode == O_RDONLY )

        printf( "read only");

    else if(accmode == O_WRONLY )

        printf( "write only");

    else if( accmode == O_RDWR )

        printf( "read write");

    else {

        fprintf( stderr, "unkown access mode");

        exit(1);

    }

    if( val & O_APPEND )
```

Linux Programming

```
printf( ", append");  
  
if( val & O_NONBLOCK)  
  
printf(", nonblocking");  
  
if( val & O_SYNC )  
  
printf(", synchronous writes");  
  
putchar( '\n');  
  
exit(0);  
  
}
```

fcntl-example 2

```
#include <stdio.h>  
  
#include <sys/types.h>  
  
#include <fcntl.h>  
  
/* flags are file status flags to turn on */  
  
void set_fl( intfd, intflags ){  
  
    intval;  
  
    val = fcntl( fd, F_GETFL, 0 );  
  
    if (val< 0 ) {  
  
        perror( "fcntlF_GETFL error");  
  
        exit( 1 );  
  
    }  
  
    val|= flags;    /* turn on flags */  
  
    val = fcntl( fd, F_SETFL, val ) < 0  
  
    if( val< 0 ) {  
  
        perror( "fcntlF_SETFL error");  
  
        exit( 1 );  
  
    }  
  
}
```

```
}
```

Links():used to create links between two files.

Syntax: int link(constchar *existingpath, constchar *newpath)

(hard links and soft links)

```
#include <unistd.h>
```

```
Int link(constchar *existingpath, constchar *newpath)
```

```
int symlink(constchar *actualpath, constchar *newpath);
```

```
int unlink(constchar *pathname);
```

```
int remove(constchar *pathname);
```

```
int rename (const char *oldname, const char *newname);
```

link –make a hard link

```
int link(constchar *existingpath, const char *newpath)
```

- Makes a hard link to a file

- Atomically creates the specified directory entry (hard link) newpath with the attributes of the underlying object pointed at by existingpath.

- If the link is successful: the link count of the underlying object is incremented; newpath and existingpath share equal access and rights to the underlying object.

- If existingpath is removed, the file newpath is not deleted and the link count of the underlying object is decremented.

link() example

```
~>ls -l
```

```
total 8
```

```
-rwx-----1 jphb5804 Sep 25 15:44 mklink
```

```
-rw-----1 jphb98 Sep 25 15:43 mklink.c
```

```
-r-----1 jphb256 Sep 25 15:05 test
```

- Make a link in C:

Linux Programming

```
link("test","new_name")
```

- Make a link in the shell:

```
~> ln test new_name
```

```
~>ls-l
```

```
total 9
```

```
-rwx-----1 jphb5804 Sep 25 15:44 mklink
```

```
-rw-----1 jphb98 Sep 25 15:43 mklink.c
```

```
-r-----2 jphb256 Sep 25 15:05 new name
```

```
-r-----2 jphb256 Sep 25 15:05 test30
```

symlink –make a soft (symbolic) link

```
int symlink(constchar *actualpath, constchar *newpath);
```

- Makes a symbolic link to a file.
- To the normal user,a symbolic link behaves like a file,but the underlying mechanism is different.
- Creates a special type of file whose contents are the name of the target file
- The existence of the file is not affected by the existence of symbolic links to it.

link() example

```
~> ls -l
```

```
total 7
```

```
-rwx-----1 jphb5816 Sep 29 14:04 mklink
```

```
-rw-----1 jphb101 Sep 29 14:04 mklink.c
```

•Make a link in C:

```
symlink("test","new_name")
```

- Make a link in the shell:

```
~> ln -s test new_name
```

```
~> ls -l
```

```
total 8
```



```
-rwx-----1 jphb5816 Sep 29 14:04 mklink
```

```
-rw-----1 jphb101 Sep 29 14:04 mklink.c
```

```
lrwxrwxrwx1 jphb4 Sep 29 14:04 new_name -> test
```

Does anyone see a problem here?

Unlink(): used to remove the link.

Syntax: `int unlink(const char *pathname);`

- Removes the link (soft or hard) named by pathname from its directory
 - If a hard link, decrements the link count of the file which was referenced by the link.
 - If that decrement reduces the link count of the file to zero, and no process has the file open, then all resources associated with the file are reclaimed.
 - If one or more processes have the file open when the last link is removed, the link is removed, but the removal of the file is delayed until all references to it have been closed.
- `remove(const char *pathname);`
- Removes the file or directory specified by path.
 - If path specifies a directory, `remove(path)` is the equivalent of `rmdir(path)`. Otherwise, it is the equivalent of `unlink(path)`.

Rename `int rename(const char *oldname, const char *newname);`

- Causes the link named oldname to be renamed as newname.
- If the file newname exists, it is first removed.
 - The switch is done atomically
- Both oldname and newname must
 - be both directories or both non-directories
 - reside on the same file system
 - But they do not have to be on the same directory path
- If oldname is a symbolic link, the symbolic link is renamed, not the file or directory to which it points.

stat, fstat, lstat: used to retrieve the file attributes.

Syntax: `int stat(const char *pathname, struct stat *buf)`

intfstat(intfd, struct stat *buf)

Get information about a file

#include <sys/types.h>

#include <sys/stat.h>

intstat(constchar *pathname, struct stat *buf)

intfstat(intfd, struct stat *buf)

intlstat(constchar *pathname, struct stat *buf)

stat

int stat(constchar *pathname, struct stat *buf)

- Obtains information about the file pointed to by pathname.
- Read, write or execute permission of the named file is not required, but all directories listed in the path name leading to the file must be searchable.

fstat

int fstat(intfd, struct stat *buf)

- Obtains the same information about an open file known by the file descriptor fd.

Int lstat(constchar *pathname, struct stat *buf)

- like stat() except that if the named file is a symbolic link, lstat() returns information about the link, while stat() returns information about the file the link references.

struct stat

Struct stat {

mode_t mode; /* file type and mode (type & permissions) */

ino_t ino; /* inode's number */

dev_t dev; /* device number (file system) */

nlink_t nlink; /* number of links */

uid_t uid; /* user ID of owner */

gid_t gid; /* group ID */

off_t size; /* size in bytes */

```
time_tst_atime; /* last access */  
time_tst_mtime; /* last modified */  
time_tst_ctime; /* last file status change */  
long st_blksize; /* I/O block size */  
long st_blocks; /* number of blocks allocated */  
}
```

umask –user mask

```
#include <sys/types.h>  
#include <sys/stat.h>
```

```
mode_tumask(mode_tcmask)
```

- Theumaskcommand permission bits to block when auser creates directories and files
- The bits inthe umaskare turned off from the modeargument to open.
- Example: Ifthe umaskvalue is octal 022, the results in new files being created with permissions 0666 is 0666 &

~0022 = 0644 = rw-r--r--. 40

Chmod():used change the permissions.

Syntax: int chmod(constchar *pathname, mode_tmode)

```
#include <sys/types.h>  
#include <sys/stat.h>  
intchmod(constchar *pathname, mode_tmode)
```

- Sets the file permission bits of the file specified by the pathname pathname to mode.
- User mustbe file ownerto change mode

Chown():used to change the owner of the file.

Syntax: intchown(constchar *pathname,uid_towner,gid_tgroup);

```
#include <sys/types.h>  
#include <unistd.h>  
intchown(constchar *pathname,uid_towner,gid_tgroup);
```

- The owner ID and group ID of the file named by pathname is changed as specified by the arguments owner and group.
- The owner of a file may change the group.
- Changing the owner is usually allowed only to the superuser.

FILE LOCKS:

File locking is a mechanism that restricts access to a [computer file](#) by only allowing one [user](#) or [process](#) access at any specific time. Systems implement locking to prevent the classic *interceding update* scenario the following example illustrates the *interceding update problem*:

1. [Process](#) A reads a customer [record](#) from a file containing account information, including the customer's account balance and phone number.
2. Process B now reads the same record from the same file so it has its own copy.
3. Process A changes the account balance in its copy of the customer record and writes the record back to the file.
4. Process B—which still has the original *stale* value for the account balance in its copy of the customer record—updates the customer's phone number and writes the customer record back to the file.
5. Process B has now written its stale account-balance value to the file, causing the changes made by process A to be lost.

To over come the problem they introduced file locks.

1) Readlock: If a process applies read lock on data it prevents writing to the data by another process but allows another process to read, also called as shared lock.

2) Writelock: if a process applies write lock it prevents another process from read and write. This also called as exclusive lock.

There are two types of locking mechanisms: mandatory and advisory. Mandatory systems will actually prevent read()s and write()s to file.

Advisory Lock:

With an advisory lock system, processes can still read and write from a file while it's locked. Useless? Not quite, since there is a way for a process to check for the existence of a lock before a read or write. See, it's a kind of *cooperative* locking system. This is easily sufficient for almost all cases where file locking is necessary.

Mandatory Lock:

here are two types of (advisory!) locks: read locks and write locks (also referred to as shared locks and exclusive locks, respectively.) The way read locks work is that they don't interfere with other read locks. For instance, multiple processes can have a file locked for reading at the same. However, when a process has an write lock on a file, no other process can activate either a read or write lock until it is relinquished. One easy way to think of this is that there can be multiple readers simultaneously, but there can only be one writer at a time.

Setting a lock

The **fcntl()** function does just about everything on the planet, but we'll just use it for file locking. Setting the lock consists of filling out a struct flock (declared in *fcntl.h*) that describes the type of lock needed, **open()**ing the file with the matching mode, and calling **fcntl()** with the proper arguments, *comme ça*:

```
struct flock fl;int fd;

fl.l_type = F_WRLCK; /* F_RDLCK, F_WRLCK, F_UNLCK */
fl.l_whence = SEEK_SET; /* SEEK_SET, SEEK_CUR, SEEK_END */
fl.l_start = 0; /* Offset from l_whence */
fl.l_len = 0; /* length, 0 = to EOF */
fl.l_pid = getpid(); /* our PID */

fd = open("filename", O_WRONLY);

fcntl(fd, F_SETLKW, &fl); /* F_GETLK, F_SETLK, F_SETLKW */
```

What just happened? Let's start with the struct flock since the fields in it are used to *describe* the locking action taking place. Here are some field definitions:

<i>l_type</i>	This is where you signify the type of lock you want to set. It's either F_RDLCK, F_WRLCK, or F_UNLCK if you want to set a read lock, write lock, or clear the lock, respectively.
<i>l_whence</i>	This field determines where the <i>l_start</i> field starts from (it's like an offset for the offset). It can be either SEEK_SET, SEEK_CUR, or SEEK_END, for beginning of file, current file position, or end of file.
<i>l_start</i>	This is the starting offset in bytes of the lock, relative to <i>l_whence</i> .
<i>l_len</i>	This is the length of the lock region in bytes (which starts from <i>l_start</i> which is relative to <i>l_whence</i>).
<i>l_pid</i>	The process ID of the process dealing with the lock. Use getpid() to get this.

In our example, we told it make a lock of type F_WRLCK (a write lock), starting relative to SEEK_SET (the beginning of the file), offset 0, length 0 (a zero value means "lock to end-of-file"), with the PID set to **getpid()**.

The next step is to **open()** the file, since **flock()** needs a file descriptor of the file that's being locked. Note that when you open the file, you need to open it in the same *mode* as you have specified in the lock, as shown in the table, below. If you open the file in the wrong mode for a given lock type, **fcntl()** will return -1 and *errno* will be set to EBADF.

<u><i>l_type</i></u>	<u><i>mode</i></u>
F_RDLCK	O_RDONLY or O_RDWR
F_WRLCK	O_WRONLY or O_RDWR

Finally, the call to **fcntl()** actually sets, clears, or gets the lock. See, the second argument (the *cmd*) to **fcntl()** tells it what to do with the data passed to it in the struct flock. The following list summarizes what each **fcntl()** *cmd* does:

- F_SETLKW** This argument tells **fcntl()** to attempt to obtain the lock requested in the struct flock structure. If the lock cannot be obtained (since someone else has it locked already), **fcntl()** will wait (block) until the lock has cleared, then will set it itself. This is a very useful command. I use it all the time.
- F_SETLK** This function is almost identical to **F_SETLKW**. The only difference is that this one will not wait if it cannot obtain a lock. It will return immediately with -1. This function can be used to clear a lock by setting the *l_type* field in the struct flock to **F_UNLCK**.
- F_GETLK** If you want to only check to see if there is a lock, but don't want to set one, you can use this command. It looks through all the file locks until it finds one that conflicts with the lock you specified in the struct flock. It then copies the conflicting lock's information into the struct and returns it to you. If it can't find a conflicting lock, **fcntl()** returns the struct as you passed it, except it sets the *l_type* field to **F_UNLCK**.

In our above example, we call **fcntl()** with **F_SETLKW** as the argument, so it blocks until it can set the lock, then sets it and continues.

6.2. Clearing a lock

Whew! After all the locking stuff up there, it's time for something easy: unlocking! Actually, this is a piece of cake in comparison. I'll just reuse that first example and add the code to unlock it at the end:

```
struct flock fl;

int fd;

fl.l_type = F_WRLCK; /* F_RDLCK, F_WRLCK, F_UNLCK */
fl.l_whence = SEEK_SET; /* SEEK_SET, SEEK_CUR, SEEK_END */
fl.l_start = 0; /* Offset from l_whence */
fl.l_len = 0; /* length, 0 = to EOF */
```

```

fl.l_pid = getpid(); /* our PID */

fd = open("filename", O_WRONLY); /* get the file descriptor */
fcntl(fd, F_SETLK, &fl); /* set the lock, waiting if necessary */
.
.
.
fl.l_type = F_UNLCK; /* tell it to unlock the region */

fcntl(fd, F_SETLK, &fl); /* set the region to unlocked */

```

Now, I left the old locking code in there for high contrast, but you can tell that I just changed the *l_type* field to F_UNLCK (leaving the others completely unchanged!) and called **fcntl()** with F_SETLK as the command. Easy!

6.3. A demo program

Here, I will include a demo program, *lockdemo.c*, that waits for the user to hit return, then locks its own source, waits for another return, then unlocks it. By running this program in two (or more) windows, you can see how programs interact while waiting for locks.

Basically, usage is this: if you run **lockdemo** with no command line arguments, it tries to grab a write lock (F_WRLCK) on its source (*lockdemo.c*). If you start it with any command line arguments at all, it tries to get a read lock (F_RDLCK) on it.

```

#include <stdio.h>
#include <stdlib.h>
#include <errno.h>
#include <fcntl.h>
#include <unistd.h>

int main(int argc, char *argv[])
{
    /* l_type l_whence l_start l_len l_pid */
    struct flock fl = {F_WRLCK, SEEK_SET, 0, 0, 0};
    int fd;

    fl.l_pid = getpid();

    if (argc > 1)
        fl.l_type = F_RDLCK;

    if ((fd = open("lockdemo.c", O_RDWR)) == -1) {
        perror("open");
        exit(1);
    }

```

```
}

printf("Press <RETURN> to try to get lock: ");
getchar();
printf("Trying to get lock...");

if (fcntl(fd, F_SETLKW, &fl) == -1) {
    perror("fcntl");
    exit(1);
}

printf("got lock\n");
printf("Press <RETURN> to release lock: ");
getchar();

fl.l_type = F_UNLCK; /* set to unlock same region */

if (fcntl(fd, F_SETLK, &fl) == -1) {
    perror("fcntl");
    exit(1);
}

printf("Unlocked.\n");

close(fd);

return 0;
}
```

Directory file API:

mkdir :This creates a new directory with no initial contents (apart from `.` and `..`).

It returns -1 on failure

```
int mkdir(char *path, mode_t mode);
path:specifies where to create and provide name
Example: mkdir(char "\home\student\credir",mode_t S_IRONLY)
```

rmdir:Removing a directory will fail unless the directory is empty.

```
int rmdir(char *path);
```


opendir: Open the directory specified by the path.

```
DIR *opendir(char *path);
```

Closedir: Close the directory specified by directory file descriptor.

```
int closedir(DIR *dirp);
```

readdir: Read the directory specified by the directory file descriptor. If already create and open.

```
struct dirent *readdir(DIR *dirp);
```

Objective Questions:

1. UNIX uses ls to list files in a directory. The corresponding command in MS environment is:
 - a. If b. listdir c. dir
2. A file with extension .txt
 - a. Is a text file created using vi editor b. Is a text file created using a notepad
 - c. Is a text file created using word
3. In the windows environment file extension identifies the application that created it. If we remove the file extension can we still open the file?
 - a. Yes b. No
4. Which of the following files in the current directory are identified by the regular expression a?b*.
 - a. afile b. aab c. abb d. abc e. axbb f. abxy
5. For some file the access permissions are modified to 764. Which of the following interpretation are valid:
 - a. Every one can read, group can execute only and the owner can read and write.
 - b. Every one can read and write, but owner alone can execute.
 - c. Every one can read, group including owner can write, owner alone can

execute

6. The file's properties in Windows environment include which amongst the following: Operating Systems/File Systems and Management Multiple Choice Questions

- a. File owners' name b. File size c. The date of last modification d. Date of file creation
- e. The folder where it is located

7. Which of the following information is contained in inode structure

- a. The file size b. The name of the owner of the file
- c. The access permissions for the file d. All the dates of modification since the file's creation
- e. The number of symbolic links for this file

8. File which are linked have as many inodes as are the links.

- a. True b. False

9. Which directory under the root contains the information on devices

- a. /usr/bin b. /usr/sbin c. /usr/peripherals/dev d. /etc/dev

10. A contiguous allocation is the best allocation policy. (True / False)

11. An indexed allocation policy affords faster information retrieval than the chained allocation policy.

- a. True b. False

12. Absolute path names begin by identifying path from the root.

- a. True b. False

UNIT-IV

Processes Concepts:

A process is more than just a program. Especially in a [multi-user, multi-tasking operating system](#) such as Linux there is much more to consider. Each program has a set of data that it uses to do what it needs. Often, this data is not part of the program. For example, if you are using a text editor, the file you are editing is not part of the program on disk, but is part of the process in memory. If someone else were to be using the same editor, both of you would be using the same program. However, each of you would have a different process in memory. See the figure below to see how this looks graphically.

Kernel support for Process:

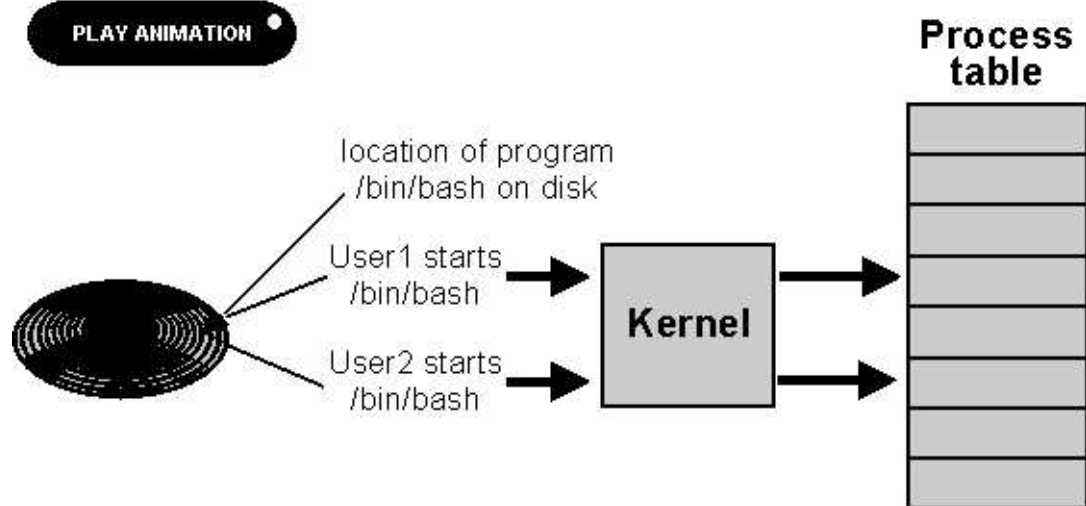
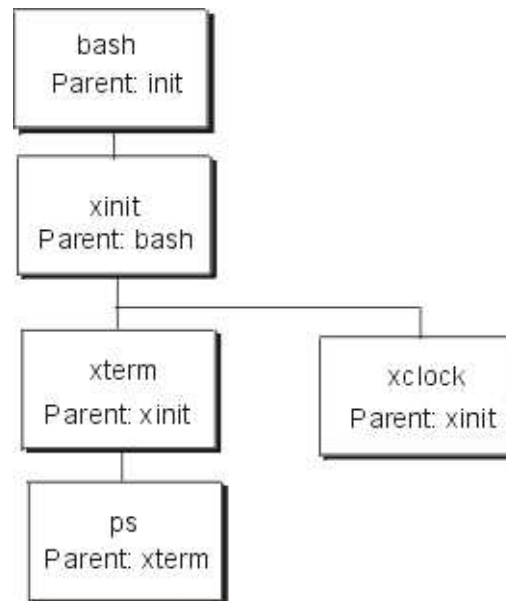


Image - Reading programs from the hard disk to create processes. (**interactive**)

Under Linux many different users can be on the system at the same time. In other words, they have processes that are in memory all at the same time. The system needs to keep track of what user is running what process, which [terminal](#) the process is running on, and what other resources the process has (such as open files). All of this is part of the process.

With the exception of the init process (PID 1) every process is the child of another process.

Another example we see in the next figure. When you login, you normally have a single process, which is your login shell(bash). If you start the X Windowing System, your shell starts another process, xinit. At this point, both your shell and xinit are running, but the shell is waiting for xinit to complete. Once X starts, you may want a terminal in which you can enter commands, so you start `xterm`.



Process API

Fork():

The fork() system call will spawn a new child process which is an identical process to the parent except that has a new system process ID. The process is copied in memory from the parent and a new process structure is assigned by the kernel. The return value of the function is which discriminates the two threads of execution. A zero is returned by the fork function in the child's process.

exit() vs _exit():

The C library function exit() calls the kernel system call _exit() internally. The kernel system call _exit() will cause the kernel to close descriptors, free memory, and perform the kernel terminating process clean-up. The C library function exit() call will flush I/O buffers and perform additional clean-up before calling _exit() internally. The function exit(status) causes the executable to return "status" as the return code for main(). When exit(status) is called by a child process, it allows the parent process to examine the terminating status of the child (if it terminates first). Without this call (or a call from main() to return()) and specifying the status argument, the process will not return a value.

```
#include <stdlib.h> #include <unistd.h>
```

```
void exit(int status); void _exit(int status);
```

vfork():

The Vfork() function is the same as fork() except that it does not make a copy of the address space. The memory is shared reducing the overhead of spawning a new process with a unique copy of all the memory. This is typically used when using fork() to exec() a process and terminate. The vfork() function also executes the child process first and resumes the parent process when the child terminates.

wait(): Blocks calling process until the child process terminates. If child process has already terminated, the wait() call returns immediately. If the calling process has multiple child processes, the function returns when one returns.

waitpid(): Options available to block calling process for a particular child process not the first one.

Kill():

This is the real reason to set up a process group. One may kill all the processes in the process group without having to keep track of how many processes have been forked and all of their process id's.

execl() and execlp():

The function call "execl()" initiates a new program in the same environment in which it is operating. An executable (with fully qualified path. i.e. /bin/lS) and arguments are passed to the function. Note that "arg0" is the command/file name to execute.

```
int execl(const char *path, const char *arg0, const char *arg1, const char *arg2, ... const char *argn, (char *) 0);
```

Where all function arguments are null terminated strings. The list of arguments is terminated by NULL.

The routine execlp() will perform the same purpose except that it will use environment variable PATH to determine which executable to process. Thus a fully qualified path name would not have to be used. The first argument to the function could instead be "ls". The function execlp() can also take the fully qualified name as it also resolves explicitly.

execv() and execvp():

This is the same as `execl()` except that the arguments are passed as null terminated array of pointers to char. The first element "`argv[0]`" is the command name.

```
int execv(const char *path, char *const argv[]);
```

The routine `execvp()` will perform the same purpose except that it will use environment variable `PATH` to determine which executable to process. Thus a fully qualified path name would not have to be used. The first argument to the function could instead be "`ls`". The function `execvp()` can also take the fully qualified name as it also resolves explicitly.

execve():

The function call "`execve()`" executes a process in an environment which it assigns.

Set the environment variables:

```
char *env[] = { "USER=user1", "PATH=/usr/bin:/bin:/opt/bin", (char *) 0 };
```

Zombie Process

On Linux operating systems, a zombie process or defunct process is a process that has completed execution but still has an entry in the process table, allowing the process that started it to read its exit status. In the term's colorful metaphor, the child process has died but has not yet been reaped.

When a process ends, all of the memory and resources associated with it are deallocated so they can be used by other processes. However, the process's entry in the process table remains. The parent is sent a `SIGCHLD` signal indicating that a child has died; the handler for this signal will typically execute the `wait` system call, which reads the exit status and removes the zombie. The zombie's process ID and entry in the process table can then be reused. However, if a parent ignores the `SIGCHLD`, the zombie will be left in the process table. In some situations this may be desirable, for example if the parent creates another child process it ensures that it will not be allocated the same process ID.

A zombie process is not the same as an orphan process. Orphan processes don't become zombie processes; instead, they are adopted by `init` (process ID 1), which waits on its children.

The term zombie process derives from the common definition of zombie—an undead person.

Zombies can be identified in the output from the Unix `PS` command by the presence of a "Z" in the `STAT`

column. Zombies that exist for more than a short period of time typically indicate a bug in the parent program. As with other leaks, the presence of a few zombies isn't worrisome in itself, but may indicate a problem that would grow serious under heavier loads.

To remove zombies from a system, the SIGCHLD signal can be sent to the parent manually, using the kill command. If the parent process still refuses to reap the zombie, the next step would be to remove the parent process. When a process loses its parent, init becomes its new parent. Init periodically executes the wait system call to reap any zombies with init as parent.

Orphan Process

An orphan process is a computer process whose parent process has finished or terminated.

A process can become orphaned during remote invocation when the client process crashes after making a request of the server.

Orphans waste server resources and can potentially leave a server in trouble. However there are several solutions to the orphan process problem:

1. Extermination is the most commonly used technique; in this case the orphan process is killed.
2. Reincarnation is a technique in which machines periodically try to locate the parents of any remote computations; at which point orphaned processes are killed.
3. Expiration is a technique where each process is allotted a certain amount of time to finish before being killed. If need be a process may "ask" for more time to finish before the allotted time expires.

A process can also be *orphaned* running on the same machine as its parent process. In a UNIX-like operating system any orphaned process will be immediately adopted by the special "init" system process. This operation is called re-parenting and occurs automatically. Even though technically the process has the "init" process as its parent, it is still called an orphan process since the process which originally created it no longer exists.

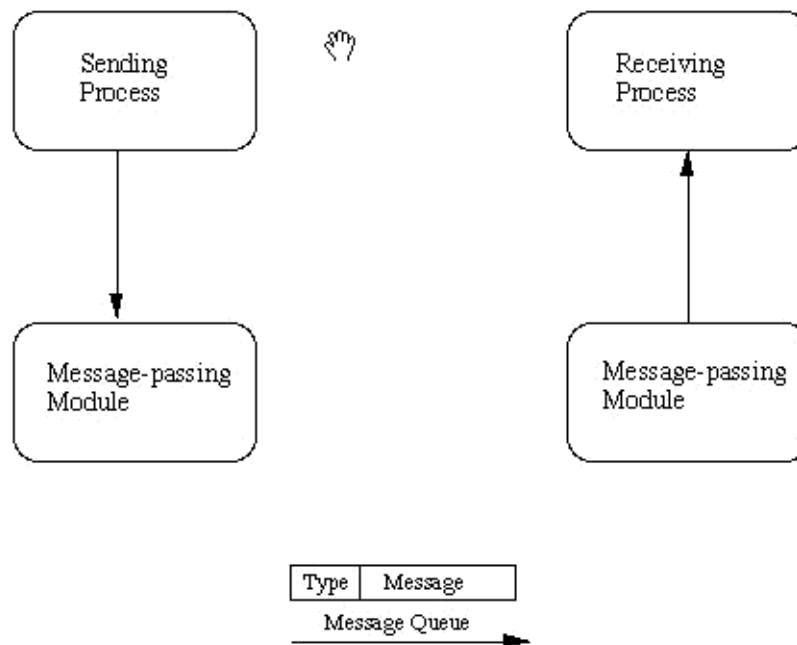
Inter Process Communication

Objective: how more than one process communicates with other processes and calling functions, kernel support

IPC:Message Queues: <sys/msg.h>

The basic idea of a *message queue* is a simple one.

Two (or more) processes can exchange information via access to a common system message queue. The *sending* process places via some (OS) message-passing module a message onto a queue which can be read by another process. Each message is given an identification or type so that processes can select the appropriate message. Process must share a common key in order to gain access to the queue in the first place (subject to other permissions -- see below).



Basic Message Passing IPC messaging lets processes send and receive messages, and queue messages for processing in an arbitrary order. Unlike the file byte-stream data flow of pipes, each IPC message has an explicit length. Messages can be assigned a specific type. Because of this, a server process can direct message traffic between clients on its queue by using the client process PID as the message type. For single-message transactions, multiple server processes can work in parallel on transactions sent to a shared message queue.

Before a process can send or receive a message, the queue must be initialized (through the `msgget` function see below) Operations to send and receive messages are performed by the `msgsnd()` and `msgrcv()` functions, respectively.

When a message is sent, its text is copied to the message queue. The `msgsnd()` and `msgrcv()` functions can be performed as either blocking or non-blocking operations. Non-blocking operations allow for asynchronous message transfer -- the process is not suspended as a result of sending or receiving a message. In blocking or synchronous message passing the sending process cannot continue until the message has been transferred or has even been acknowledged by a receiver. IPC signal and other mechanisms can be employed to implement such transfer. A blocked message operation remains suspended until one of the following three conditions occurs:

- The call succeeds.

- The process receives a signal.
- The queue is removed.

Initialising the Message Queue

The `msgget()` function initializes a new message queue:

```
int msgget(key_t key, int msgflg)
```

It can also return the message queue ID (`msqid`) of the queue corresponding to the `key` argument. The value passed as the `msgflg` argument must be an octal integer with settings for the queue's permissions and control flags.

The following code illustrates the `msgget()` function.

```
#include <sys/ipc.h>;
#include <sys/msg.h>;

...
key_t key; /* key to be passed to msgget() */
int msgflg /* msgflg to be passed to msgget() */
int msqid; /* return value from msgget() */

...
key = ...
msgflg = ...

if ((msqid = msgget(key, msgflg)) == &ndash;1)
{
    perror("msgget: msgget failed");
    exit(1);
} else
    (void) fprintf(stderr, &ldquo;msgget succeeded");
...
```

IPC Functions, Key Arguments, and Creation Flags: <sys/ipc.h>

Processes requesting access to an IPC facility must be able to identify it. To do this, functions that initialize or provide access to an IPC facility use a `key_t` key argument. (`key_t` is essentially an `int` type defined in `<sys/types.h>`)

The key is an arbitrary value or one that can be derived from a common seed at run time. One way is with `ftok()`, which converts a filename to a key value that is unique within the system. Functions that initialize or get access to messages (also semaphores or shared memory see later) return an ID number of type `int`. IPC functions that perform read, write, and control operations use this ID. If the key argument is specified as `IPC_PRIVATE`, the call initializes a new instance of an IPC facility that is private to the creating process. When the `IPC_CREAT` flag is supplied in the flags argument appropriate to the call, the function

tries to create the facility if it does not exist already. When called with both the IPC_CREAT and IPC_EXCL flags, the function fails if the facility already exists. This can be useful when more than one process might attempt to initialize the facility. One such case might involve several server processes having access to the same facility. If they all attempt to create the facility with IPC_EXCL in effect, only the first attempt succeeds. If neither of these flags is given and the facility already exists, the functions to get access simply return the ID of the facility. If IPC_CREAT is omitted and the facility is not already initialized, the calls fail. These control flags are combined, using logical (bitwise) OR, with the octal permission modes to form the flags argument. For example, the statement below initializes a new message queue if the queue does not exist.

```
msqid = msgget(ftok("/tmp",
key), (IPC_CREAT | IPC_EXCL | 0400));
```

The first argument evaluates to a key based on the string ("/tmp"). The second argument evaluates to the combined permissions and control flags.

Controlling message queues

The msgctl() function alters the permissions and other characteristics of a message queue. The owner or creator of a queue can change its ownership or permissions using msgctl(). Also, any process with permission to do so can use msgctl() for control operations.

The msgctl() function is prototypes as follows:

```
int msgctl(int msqid, int cmd, struct msqid_ds *buf )
```

The msqid argument must be the ID of an existing message queue. The cmd argument is one of:

IPC_STAT

-- Place information about the status of the queue in the data structure pointed to by buf. The process must have read permission for this call to succeed.

IPC_SET

-- Set the owner's user and group ID, the permissions, and the size (in number of bytes) of the message queue. A process must have the effective user ID of the owner, creator, or superuser for this call to succeed.

IPC_RMID

-- Remove the message queue specified by the msqid argument.

The following code illustrates the msgctl() function with all its various flags:

```
#include<sys/types.h>
```

```
#include <sys/ipc.h>
#include <sys/msg.h>
...
if (msgctl(msqid, IPC_STAT, &buf) == -1) {
    perror("msgctl: msgctl failed");
    exit(1);
}
...
if (msgctl(msqid, IPC_SET, &buf) == -1) {
    perror("msgctl: msgctl failed");
    exit(1);
}
...
```

Sending and Receiving Messages

The msgsnd() and msgrcv() functions send and receive messages, respectively:

```
int msgsnd(int msqid, const void *msgp, size_t msgsz,
           int msgflg);
```

```
int msgrcv(int msqid, void *msgp, size_t msgsz, long msgtyp,
           int msgflg);
```

The msqid argument **must** be the ID of an existing message queue. The msgp argument is a pointer to a structure that contains the type of the message and its text. The structure below is an example of what this user-defined buffer might look like:

```
struct mymsg {
    long    mtype; /* message type */
    char mtext[MSGSZ]; /* message text of length MSGSZ */
}
```

The msgsz argument specifies the length of the message in bytes.

The structure member msgtype is the received message's type as specified by the sending process.

The argument msgflg specifies the action to be taken if one or more of the following are true:

- The number of bytes already on the queue is equal to msg_qbytes.

- The total number of messages on all queues system-wide is equal to the system-imposed limit.

These actions are as follows:

- If (msgflg & IPC_NOWAIT) is non-zero, the message will not be sent and the calling process will return immediately.
- If (msgflg & IPC_NOWAIT) is 0, the calling process will suspend execution until one of the following occurs:
 - The condition responsible for the suspension no longer exists, in which case the message is sent.
 - The message queue identifier msqid is removed from the system; when this occurs, errno is set equal to EIDRM and -1 is returned.
 - The calling process receives a signal that is to be caught; in this case the message is not sent and the calling process resumes execution.

Upon successful completion, the following actions are taken with respect to the data structure associated with msqid:

- msg_qnum is incremented by 1.
- msg_lspid is set equal to the process ID of the calling process.
- msg_stime is set equal to the current time.

The following code illustrates msgsnd() and msgrcv():

```
#include <sys/types.h>

#include <sys/ipc.h>

#include <sys/msg.h>

...

int msgflg; /* message flags for the operation */

struct msgbuf *msgp; /* pointer to the message buffer */

int msgsz; /* message size */

long msgtyp; /* desired message type */

int msqid /* message queue ID to be used */

...
```

```
msgp = (struct msgbuf *)malloc((unsigned)(sizeof(struct msgbuf)
- sizeof msgp->mtext + maxmsgsz));
```

```
if (msgp == NULL) {
(void) fprintf(stderr, "msgop: %s %d byte messages.\n",
"could not allocate message buffer for", maxmsgsz);
exit(1);
```

```
...
```

```
msgsz = ...
```

```
msgflg = ...
```

```
if (msgsnd(msqid, msgp, msgsz, msgflg) == -1)
```

```
perror("msgop: msgsnd failed");
```

```
...
```

```
msgsz = ...
```

```
msgtyp = first_on_queue;
```

```
msgflg = ...
```

```
if (rtrn = msgrcv(msqid, msgp, msgsz, msgtyp, msgflg) == -1)
```

```
perror("msgop: msgrcv failed");
```

```
...
```

message_send.c -- creating and sending to a simple message queue

The full code listing for `message_send.c` is as follows:

```
#include <sys/types.h>
```

```
#include <sys/ipc.h>
#include <sys/msg.h>
#include <stdio.h>
#include <string.h>

#define MSGSZ 128

/*
 * Declare the message structure.
 */

typedef struct msgbuf {
    long mtype;
    char mtext[MSGSZ];
} message_buf;

main()
{
    int msqid;
    int msgflg = IPC_CREAT | 0666;
    key_t key;
    message_buf sbuf;
    size_t buf_length;

    /*
     * Get the message queue id for the
     * "name" 1234, which was created by
     * the server.
     */
    key = 1234;

    (void) fprintf(stderr, "\nmsgget: Calling msgget(%#lx,\n\n",
key, msgflg);

    if ((msqid = msgget(key, msgflg )) < 0) {
        perror("msgget");
        exit(1);
    }
    else
        (void) fprintf(stderr, "msgget: msgget succeeded: msqid = %d\n", msqid);

    /*
     * We'll send message type 1

```



```
    */

    sbuf.mtype = 1;

    (void) fprintf(stderr, "msgget: msgget succeeded: msqid = %d\n", msqid);

    (void) strcpy(sbuf.mtext, "Did you get this?");

    (void) fprintf(stderr, "msgget: msgget succeeded: msqid = %d\n", msqid);

    buf_length = strlen(sbuf.mtext) + 1 ;

    /*
     * Send a message.
     */
    if (msgsnd(msqid, &sbuf, buf_length, IPC_NOWAIT) < 0) {
        printf ("%d, %d, %s, %d\n", msqid, sbuf.mtype, sbuf.mtext, buf_length);
        perror("msgsnd");
        exit(1);
    }

    else
        printf("Message: \"%s\" Sent\n", sbuf.mtext);

    exit(0);
}
```

The essential points to note here are:

- The Message queue is created with a basic key and message flag msgflg = IPC_CREAT | 0666 -- create queue and make it read and appendable by all.
- A message of type (sbuf.mtype) 1 is sent to the queue with the message ``Did you get this?''

message_rec.c -- receiving the above message

The full code listing for message_send.c's companion process, message_rec.c is as follows:

```
#include <sys/types.h>
#include <sys/ipc.h>
#include <sys/msg.h>
#include <stdio.h>

#define MSGSZ 128
```

```
/*
 * Declare the message structure.
 */

typedef struct msgbuf {
    long  mtype;
    char  mtext[MSGSZ];
} message_buf;

main()
{
    int msqid;
    key_t key;
    message_buf rbuf;

    /*
     * Get the message queue id for the
     * "name" 1234, which was created by
     * the server.
     */
    key = 1234;

    if ((msqid = msgget(key, 0666)) < 0) {
        perror("msgget");
        exit(1);
    }

    /*
     * Receive an answer of message type 1.
     */
    if (msgrcv(msqid, &rbuf, MSGSZ, 1, 0) < 0) {
        perror("msgrcv");
        exit(1);
    }

    /*
     * Print the answer.
     */
    printf("%s\n", rbuf.mtext);
    exit(0);
}
```

The essential points to note here are:

- The Message queue is opened with `msgget` (message flag 0666) and the *same* key as `message_send.c`.
- A message of the *same* type 1 is received from the queue with the message ``Did you get this?" stored in `rbuf.mtext`.

UNIT-V

Inter Process Communication

Objective: How the two or more process communication done Semaphore

CONTENT OVERVIEW:

Semaphores are not used to exchange a large amount of data. Semaphores are used synchronization among processes. Other synchronization mechanisms include record locking and mutexes. Why necessary? Examples include: shared washroom, common rail segment, and common bank account.

Further comments:

- 1) The semaphore is stored in the kernel: Allows atomic operations on the semaphore. Processes are prevented from indirectly modifying the value.
- 2) A process acquires the semaphore if it has a value of zero. The value of the semaphore is then incremented to
 - 1). When a process releases the semaphore, the value of the semaphore is decremented.
 - 3) If the semaphore has non-zero value when a process tries to acquire it, that process blocks.
 - 4) In comments 2 and 3, the semaphore acts as a customer counter. In most cases, it is a resource counter.
 - 5) When a process waits for a semaphore, the kernel puts the process “to sleep” until the semaphore is available. This is better (more efficient) than busy waiting such as TEST&SET.
 - 6) The kernel maintains information on each semaphore internally, using a data structure `struct semid_ds` that keeps track of permission, number of semaphores, etc.
 - 7) Apparently, a semaphore in Unix is not a single binary value, but a set of nonnegative integer values
`semid ---> returned by semget`

Kernel data structures for a semaphore set of 2 members

```
sem_perms
structure
sem_base
sem_nsems
sem_otime
struct semid_ds
sem_ctime
semval [0]:semaphore value, nonnegative
```

sempid [0]:pid of last successful operation
semzcnt [0]:# of processes awaiting semval=0
semmcnt [0]:# of processes awaiting semval
semval [1]
sempid [1]
semzcnt [1]
semmcnt [1]
of semaphores
time of last semop
time of last changes
8) There are 3 (logical) types of semaphores:

Binary semaphore – have a value of 0 or 1. Similar to a mutex lock. 0 means locked; 1 means unlocked.
semaphore – has a value ≥ 0 . Used for counting resources, like the producer-consumer

example. Note that value =0 is similar to a lock (resource not available). Set of counting semaphores – one or more semaphores, each of which is a counting semaphore.

9) There are 2 basic operations performed with semaphores:

Wait – waits until the semaphore is > 0 , then decrements it.

Post – increments the semaphore, which wakes waiting processes.

Suppose you know:

concurrent process, critical region, shared resource, deadlock, mutual exclusion, primitive, atomic operation.

semaphore set is created using :

int semget(key_t key, int nsems, int semflag); -- returns int semid;

the id of the semaphore set; -1 on error.

nsems — — — — # of semaphores, use multiple semaphores for multiple resources.

semflag — — — — Same as msgflag, sets permission and creation options. See

Operations on a semaphore are performed using:

int semop(int semid, struct sembuf *opsptr, unsigned int nops)

semid —value returned by semget.

nops — # of operations to perform, or the number of elements in the opsptr array.

opsptr — points to an array of one or more operations. Each operation is defined as:

```
struct sembuf { ushort sem_num; /* semaphore #, numbered from 0, 1, 2 ... */  
                short sem_op; /* semaphore operation */  
                short sem_flg; /*operations flags, such as 0, IPC_NOWAIT for nonblocking call,  
or SEM_UNDO to have the semaphore automatically released when the  
process is terminated prematurely.*/  
};
```

sem_op = 0 – wait until the semaphore is 0. IPC_NOWAIT causes an error if semval≠0.

sem_op > 0 – increment the semaphore value: semval + sem_op, (acquire)

sem_op < 0 – wait until the semaphore value≥|sem_op| and

Decrement the semaphore value: semval - |sem_op|, (release)

More notes:

As a customer counter, a semaphore is acquired doing the first two operations in one call; a semaphore is released using the third operation. See the following program example.

Blocking calls end when the request is satisfied, the semaphore set is deleted, or a signal is received.

Keep in mind: all operations in one semop() must be finished atomically by the kernel. Either all or none of operations will be done.

Control operations are performed using:

int semctl(int semid, int semnum, int cmd, union semun arg); — Return value depends on cmd, -1 on error.

```
union semun { int          val; /* used for SETVAL only */  
              struct semid_ds *buff /* used for IPC_STAT and IPC_SET */  
              ushort      *array /* used for GETALL and SETALL*/  
            } arg;
```

Which field is used in the union depends on the cmd.

cmd --- IPC_RMID to remove a semaphore set. Union semun arg is not used in this case.

GETVAL /SETVAL to fetch/set a specific value. semnum can specify a member of the semaphore set.

GETALL/SETALL to fetch/set all values of the semaphore set.

A semaphore set with one semaphore would be initialized using:

```
union semun arg;
```

```
arg.val =1;
```

```
semctl(semid, 0, SETVAL, arg);
```

Example: How to write lock/unlock (somewhat like P/V operations)

```
#include <sys/types.h>
#include <sys/ipc.h>
#include <sys/sem.h>
#define SEMKEY 123456L /* key value for semget() */
#define PERMS 0666
static struct sembuf op_lock[2]= { 0, 0, 0, /* wait for sem #0 to become 0 */
0, 1, SEM_UNDO /* then increment sem #0 by 1 */ };
static struct sembuf op_unlock[1]= { 0, -1, (IPC_NOWAIT | SEM_UNDO)
/* decrement sem #0 by 1 (sets it to 0) */ };
int semid = -1; /* semaphore id. Only the first time will create a semaphore.*/
my_lock( )
{ if (semid <0) {
if ( ( semid=semget(SEMKEY, 1, IPC_CREAT | PERMS )) < 0 ) printf("semget error");
if (semop(semid, &op_lock[0], 2) < 0) printf("semop lock error");
}

my_unlock( )
{
if (semop(semid, &op_unlock[0], 1) < 0) printf("semop unlock error");
}
```

Questions: how to rewrite the above program to make the semaphore as a resource counter? What if the resource allows 3 or more processes to use at the same time?

Solutions:

```
static struct sembuf op_lock[1]= { 0, -1, SEM_UNDO, };
static struct sembuf op_unlock[1]= { 0, 1, (IPC_NOWAIT | SEM_UNDO) }
Don't forget to set the initial value of the semaphore as 1 or 3.
```

Shared Memory

Using a pipe or a message queue requires multiple exchanges of data through the kernel. Shared memory can be used to bypass the kernel for faster processing.

The kernel maintains information about each shared memory segment, including permission, size, access time, etc

in struct `shmid_ds` .

struct `shmid_ds` looks like struct `semid_ds` or as struct `msqid_ds` .

A share memory segment is created using:

```
int shmget(key_t key, int size, int shmflag);
```

size --- size of the shared memory segment in bytes.

shmflag --- same as for `msgget()` and `semget()`, see Lecture 4's Comments 1.

Return value --- `shmid`, the shared memory identifier, -1 on error.

Attach to the shared memory segment using:

```
char *shmat(int shmid, char *shmaddr, int shmflag)
```

shmid --- return value of `shmget`, that is, the id of the created shared memory.

shmaddr--- 0: let the kernel select the address.

shmflag--- `SHM_RDONLY` for read_only access.

returns the starting address of the shared memory, and thus we can read/write on the shared memory after getting its starting address.

Detach the shared memory segment using:

```
char *shmdt(char *shmaddr)
```

shmaddr --- the return value of `shmat()`, that is, the starting address of the shared memory.

returns -1 on failure.

To remove a shared memory segment:

```
int shmctl(int shmid, int cmd, shmid_ds *buf);
```

cmd--- `IPC_RMID` to delete, e.g., `shmctl(shmid, IPC_RMID, 0)` .

Difference between Process and Threads

Process

- An executing instance of a program is called a process.
- Some operating systems use the term 'task' to refer to a program that is being executed.
- A process is always stored in the main memory also termed as the primary memory or random access memory.
- Therefore, a process is termed as an active entity. It disappears if the machine is rebooted.
- Several process may be associated with a same program.
- On a multiprocessor system, multiple processes can be executed in parallel.
- On a uni-processor system, though true parallelism is not achieved, a process scheduling algorithm is applied and the processor is scheduled to execute each process one at a time yielding an illusion of concurrency.
- **Example:** Executing multiple instances of the 'Calculator' program. Each of the instances are termed as a process.

Thread

- A thread is a subset of the process.
- It is termed as a 'lightweight process', since it is similar to a real process but executes within the context of a process and shares the same resources allotted to the process by the kernel (See kquest.co.cc/2010/03/operating-system for more info on the term 'kernel').
- Usually, a process has only one thread of control – one set of machine instructions executing at a time.
- A process may also be made up of multiple threads of execution that execute instructions concurrently.
- Multiple threads of control can exploit the true parallelism possible on multiprocessor systems.
- On a uni-processor system, a thread scheduling algorithm is applied and the processor is scheduled to run each thread one at a time.
- All the threads running within a process share the same address space, file descriptor, stack and other process related attributes.
- Since the threads of a process share the same memory, synchronizing the access to the shared data withing the process gains unprecedented importance.

Linux Threads and Uses

To invoke threads a data structure is utilized.

Thread Data Types

- pthread_t
- pthread_mutex_t - Mutex
- pthread_cond_t - Condition variable
- pthread_key_t - Access key for thread data.
- pthread_attr_t - Thread attributes
- pthread_mutexattr_t - Mutex attributes
- pthread_condattr_t - Condition variable attributes
- pthread_once_t - One time initialization

Thread Structure and Thread Functions

1. pthread_t
2. int pthread_create (pthread_t *thread, const pthread_attr_t *attr, void *(*start)(void *), void *arg); - The thread identifier which is needed to do anything with the thread is the value returned in the first argument, *thread . The third argument is the address of the thread routine to run.
3. pthread_t pthread_self (void);
4. int pthread_detach (pthread_t thread); - Allows the system resources for the thread to be released when the thread exits.
5. int pthread_join (pthread_t thread, void **value_ptr); - Blocks until the thread specified terminates. It will optionally store the return value of the terminated thread.
6. int pthread_exit (void *value_ptr);
7. int pthread_equal (pthread_t thr1, pthread_t thr2); - Returns 0 value if the threads are not equal and non-zero if they are the same thread.
8. pthread_t pthread_self (void); - Allows a thread to get its own identifier.

Thread States

1. ready - Ready to run in the system scheduler.
2. blocked - Waiting for a mutex or resource.
3. running - Running by the system scheduler
4. terminated - The thread has normally exited or has called Pthread_exit to exit. Its resources have not been freed and will be freed if it is detached or joined.

Thread Identification

Just as a process is identified through a process ID, a thread is identified by a thread ID. But interestingly, the similarity between the two ends here.

- A process ID is unique across the system where as a thread ID is unique only in context of a single process.

- A process ID is an integer value but the thread ID is not necessarily an integer value. It could well be a structure
- A process ID can be printed very easily while a thread ID is not easy to print.

The above points give an idea about the difference between a process ID and thread ID.

Thread ID is represented by the type 'pthread_t'. As we already discussed that in most of the cases this type is a structure, so there has to be a function that can compare two thread IDs.

```
#include <pthread.h>
int pthread_equal(pthread_t tid1, pthread_t tid2);
```

So as you can see that the above function takes two thread IDs and returns nonzero value if both the thread IDs are equal or else it returns zero.

Another case may arise when a thread would want to know its own thread ID. For this case the following function provides the desired service.

```
#include <pthread.h>
pthread_t pthread_self(void);
```

So we see that the function 'pthread_self()' is used by a thread for printing its own thread ID.

Now, one would ask about the case where the above two function would be required. Suppose there is a case where a link list contains data for different threads. Every node in the list contains a thread ID and the corresponding data. Now whenever a thread tries to fetch its data from linked list, it first gets its own ID by calling 'pthread_self()' and then it calls the 'pthread_equal()' on every node to see if the node contains data for it or not.

An example of the generic case discussed above would be the one in which a master thread gets the jobs to be processed and then it pushes them into a link list. Now individual worker threads parse the linked list and extract the job assigned to them.

Thread Creation

Normally when a program starts up and becomes a process, it starts with a default thread. So we can say that every process has at least one thread of control. A process can create extra threads using the following function :

```
#include <pthread.h>
int pthread_create(pthread_t *restrict tidp, const pthread_attr_t *restrict attr, void
*(*start_rtn)(void), void *restrict arg)
```

The above function requires four arguments, lets first discuss a bit on them :

- The first argument is a pthread_t type address. Once the function is called successfully, the variable whose address is passed as first argument will hold the thread ID of the newly created thread.
- The second argument may contain certain attributes which we want the new thread to contain. It could be priority etc.
- The third argument is a function pointer. This is something to keep in mind that each thread starts with a function and that function's address is passed here as the third argument so that the kernel knows which function to start the thread from.
- As the function (whose address is passed in the third argument above) may accept some arguments also so we can pass these arguments in form of a pointer to a void type. Now, why a void type was chosen? This was because if a function accepts more than one argument then this pointer could be a pointer to a structure that may contain these arguments.

Thread Example

Following is the example code where we tried to use all the three functions discussed above.

```
#include<stdio.h>
#include<string.h>
#include<pthread.h>
#include<stdlib.h>
#include<unistd.h>

pthread_t tid[2];

void* doSomething(void *arg)
{
    unsigned long i = 0;
    pthread_t id = pthread_self();

    if(pthread_equal(id,tid[0]))
    {
        printf("\n First thread processing\n");
    }
    else
    {
        printf("\n Second thread processing\n");
    }

    for(i=0; i<(0xFFFFFFFF);i++);

    return NULL;
}

int main(void)
{
    int i = 0;
```

```
int err;

while(i < 2)
{
    err = pthread_create(&(tid[i]), NULL, &doSomething, NULL);
    if (err != 0)
        printf("\ncan't create thread :[%s]", strerror(err));
    else
        printf("\n Thread created successfully\n");

    i++;
}

sleep(5);
return 0;
}
```

So what this code does is :

- It uses the pthread_create() function to create two threads
- The starting function for both the threads is kept same.
- Inside the function 'doSomething()', the thread uses pthread_self() and pthread_equal() functions to identify whether the executing thread is the first one or the second one as created.
- Also, Inside the same function 'doSomething()' a for loop is run so as to simulate some time consuming work.

output :

```
$ ./threads
Thread created successfully
First thread processing
Thread created successfully
Second thread processing
```

Heavyweight vs Lightweight Processes

As we have seen above, Xinu processes all execute in the same address space, and do not incur the overhead of switching to the kernel address space during context switching. Such processes are called **lightweight processes (lwps)**, since they have little associated state and share memory with each other and the process manager, making context switches, process creation, and interprocess communication relatively inexpensive. These processes are to contrasted with Unix-like **heavyweight processes (hwps)**, which run in separate address spaces and switch to the kernel address space on context switches. Lightweight and heavyweight processes are complementary concepts in that one can run multiple lightweight processes inside a heavyweight

process. In fact, your assignments will be doing exactly this, creating Xinu lwps within a Unix hwp.

Thread Attributes:

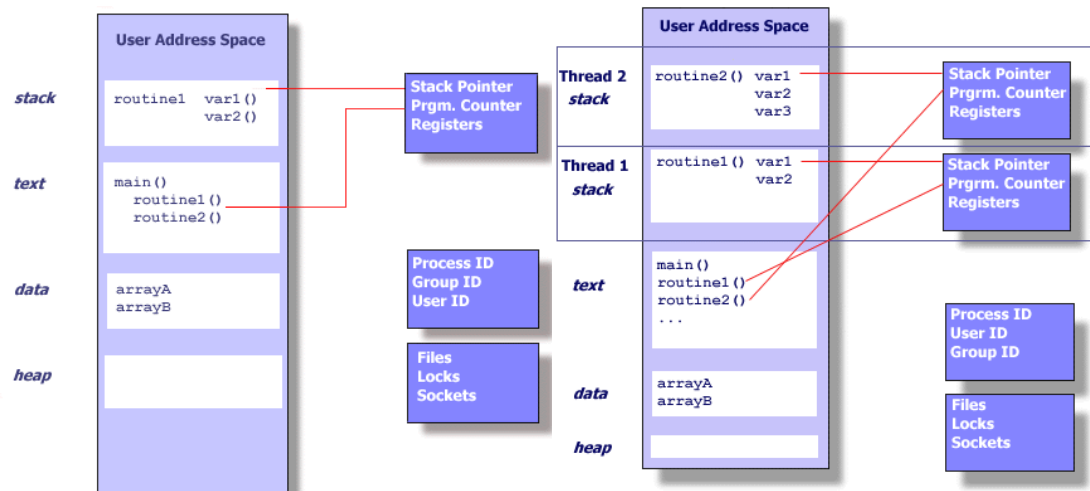
- By default, a thread is created with certain attributes. Some of these attributes can be changed by the programmer via the thread attribute object.
- `pthread_attr_init` and `pthread_attr_destroy` are used to initialize/destroy the thread attribute object.
- Other routines are then used to query/set specific attributes in the thread attribute object.

Attributes include:

- Detached or joinable state
- Scheduling inheritance
- Scheduling policy
- Scheduling parameters
- Scheduling contention scope
- Stack size
- Stack address
- Stack guard (overflow) size

POSIX Thread:

- Process ID, process group ID, user ID, and group ID
- Environment
- Working directory.
- Program instructions
- Registers
- Stack
- Heap
- File descriptors
- Signal actions
- Shared libraries
- Inter-process communication tools (such as message queues, pipes, semaphores, or shared memory).



LINUX PROCESS

THREADS WITHIN A LINUX PROCESS

- Threads use and exist within these process resources, yet are able to be scheduled by the operating system and run as independent entities largely because they duplicate only the bare essential resources that enable them to exist as executable code.
- This independent flow of control is accomplished because a thread maintains its own:
 - Stack pointer
 - Registers
 - Scheduling properties (such as policy or priority)
 - Set of pending and blocked signals
 - Thread specific data.
- So, in summary, in the UNIX environment a thread:
 - Exists within a process and uses the process resources
 - Has its own independent flow of control as long as its parent process exists and the OS supports it
 - Duplicates only the essential resources it needs to be independently schedulable
 - May share the process resources with other threads that act equally independently (and dependently)
 - Dies if the parent process dies - or something similar
 - Is "lightweight" because most of the overhead has already been accomplished through the creation of its process.
- Because threads within the same process share resources:
 - Changes made by one thread to shared system resources (such as closing a file) will be seen by all other threads.
 - Two pointers having the same value point to the same data.
 - Reading and writing to the same memory locations is possible, and therefore requires

The Pthreads API

- The original Pthreads API was defined in the ANSI/IEEE POSIX 1003.1 - 1995 standard. The POSIX standard has continued to evolve and undergo revisions, including the Pthreads specification.
- Copies of the standard can be purchased from IEEE or downloaded for free from other sites online.
- The subroutines which comprise the Pthreads API can be informally grouped into four major groups:
 1. **Thread management:** Routines that work directly on threads - creating, detaching, joining, etc. They also include functions to set/query thread attributes (joinable, scheduling etc.)
 2. **Mutexes:** Routines that deal with synchronization, called a "mutex", which is an abbreviation for "mutual exclusion". Mutex functions provide for creating, destroying, locking and unlocking mutexes. These are supplemented by mutex attribute functions that set or modify attributes associated with mutexes.
 3. **Condition variables:** Routines that address communications between threads that share a mutex. Based upon programmer specified conditions. This group includes functions to create, destroy, wait and signal based upon specified variable values. Functions to set/query condition variable attributes are also included.
 4. **Synchronization:** Routines that manage read/write locks and barriers.
- Naming conventions: All identifiers in the threads library begin with **pthread_**. Some examples are shown below.

Routine Prefix	Functional Group
pthread_	Threads themselves and miscellaneous subroutines
pthread_attr_	Thread attributes objects
pthread_mutex_	Mutexes
pthread_mutexattr_	Mutex attributes objects.
pthread_cond_	Condition variables
pthread_condattr_	Condition attributes objects
pthread_key_	Thread-specific data keys
pthread_rwlock_	Read/write locks

pthread_barrier_	Synchronization barriers
-------------------------	--------------------------

- The concept of opaque objects pervades the design of the API. The basic calls work to create or modify opaque objects - the opaque objects can be modified by calls to attribute functions, which deal with opaque attributes.
- The Pthreads API contains around 100 subroutines. This tutorial will focus on a subset of these - specifically, those which are most likely to be immediately useful to the beginning Pthreads programmer.
- For portability, the pthread.h header file should be included in each source file using the Pthreads library.
- The current POSIX standard is defined only for the C language. Fortran programmers can use wrappers around C function calls. Some Fortran compilers (like IBM AIX Fortran) may provide a Fortran pthreads API.
- A number of excellent books about Pthreads are available. Several of these are listed in the [References](#) section of this tutorial.

Thread Management

Creating and Terminating Threads

► Routines:

[pthread_create](#) (thread,attr,start_routine,arg)

[pthread_exit](#) (status)

[pthread_cancel](#) (thread)

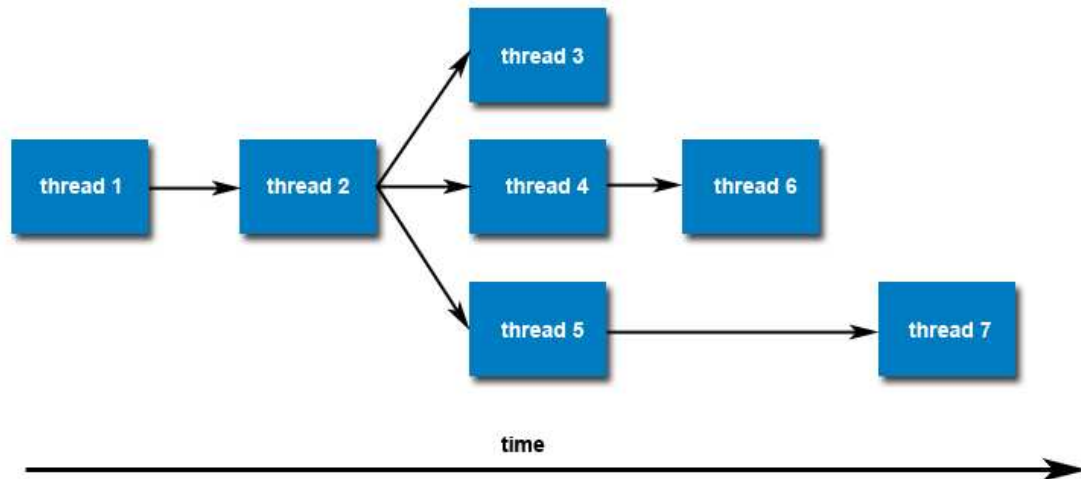
[pthread_attr_init](#) (attr)

[pthread_attr_destroy](#) (attr)

► Creating Threads:

- Initially, your main() program comprises a single, default thread. All other threads must be explicitly created by the programmer.
- pthread_create creates a new thread and makes it executable. This routine can be called any number of times from anywhere within your code.
- pthread_create arguments:
 - thread: An opaque, unique identifier for the new thread returned by the subroutine.
 - attr: An opaque attribute object that may be used to set thread attributes. You can specify a thread attributes object, or NULL for the default values.
 - start_routine: the C routine that the thread will execute once it is created.

- `arg`: A single argument that may be passed to *start_routine*. It must be passed by reference as a pointer cast of type `void`. `NULL` may be used if no argument is to be passed.
- The maximum number of threads that may be created by a process is implementation dependent.
- Once created, threads are peers, and may create other threads. There is no implied hierarchy or dependency between threads.



▶ Thread Attributes:

- By default, a thread is created with certain attributes. Some of these attributes can be changed by the programmer via the thread attribute object.
- `pthread_attr_init` and `pthread_attr_destroy` are used to initialize/destroy the thread attribute object.
- Other routines are then used to query/set specific attributes in the thread attribute object.

Attributes include:

- Detached or joinable state
 - Scheduling inheritance
 - Scheduling policy
 - Scheduling parameters
 - Scheduling contention scope
 - Stack size
 - Stack address
 - Stack guard (overflow) size
- Some of these attributes will be discussed later.

▶ Thread Binding and Scheduling:

- The Pthreads API provides several routines that may be used to specify how threads are scheduled for execution. For example, threads can be scheduled to run FIFO (first-in first-out), RR (round-robin) or OTHER (operating system determines). It also provides the ability to set a thread's scheduling priority value.

- These topics are not covered here, however a good overview of "how things work" under Linux can be found in the [sched_setscheduler](#) man page.
- The Pthreads API does not provide routines for binding threads to specific cpus/cores. However, local implementations may include this functionality - such as providing the non-standard [pthread_setaffinity_np](#) routine. Note that "_np" in the name stands for "non-portable".
- Also, the local operating system may provide a way to do this. For example, Linux provides the [sched_setaffinity](#) routine.

▶ Terminating Threads & pthread_exit():

- There are several ways in which a thread may be terminated:
 - The thread returns normally from its starting routine. It's work is done.
 - The thread makes a call to the pthread_exit subroutine - whether its work is done or not.
 - The thread is canceled by another thread via the pthread_cancel routine.
 - The entire process is terminated due to making a call to either the exec() or exit()
 - If main() finishes first, without calling pthread_exit explicitly itself
- The pthread_exit() routine allows the programmer to specify an optional termination *status* parameter. This optional parameter is typically returned to threads "joining" the terminated thread (covered later).
- In subroutines that execute to completion normally, you can often dispense with calling pthread_exit() - unless, of course, you want to pass the optional status code back.
- Cleanup: the pthread_exit() routine does not close files; any files opened inside the thread will remain open after the thread is terminated.
- **Discussion on calling pthread_exit() from main():**
 - There is a definite problem if main() finishes before the threads it spawned if you don't call pthread_exit() explicitly. All of the threads it created will terminate because main() is done and no longer exists to support the threads.
 - By having main() explicitly call pthread_exit() as the last thing it does, main() will block and be kept alive to support the threads it created until they are done.

Example: Pthread Creation and Termination

```
#include <pthread.h>
#include <stdio.h>
#define NUM_THREADS 5

void *PrintHello(void *threadid)
{
    long tid;
    tid = (long)threadid;
    printf("Hello World! It's me, thread #%ld!\n", tid);
    pthread_exit(NULL);
}

int main (int argc, char *argv[])
{
    pthread_t threads[NUM_THREADS];
```

```

int rc;
long t;
for(t=0; t<NUM_THREADS; t++){
    printf("In main: creating thread %ld\n", t);
    rc = pthread_create(&threads[t], NULL, PrintHello, (void *)t);
    if (rc){
        printf("ERROR; return code from pthread_create() is %d\n", rc);
        exit(-1);
    }
}

/* Last thing that main() should do */
pthread_exit(NULL);
}

```

Thread Synchronization with Semaphores with Mutexes

Introduction

Semaphore is a synchronization technique where we can control number of threads to access a resource. In lock/Mutex, only one thread can access resources at a time. But Semaphore allows multiple threads to access the same resource at a time. We can limit the number of threads that can access the same resources. In this article, I have shown three different ways to access resources.

1. No Synchronization
2. Synchronization with Monitor
3. Synchronization with Semaphore

1. No Synchronization

With no synchronization, all threads run simultaneously and execute the same piece of code simultaneously. There is no restriction on how many threads can access it. Following is the code:

```

private void btnNoSync_Click(object sender, EventArgs e)
{
    listBox1.Items.Add("== No Synchronization =====");
    int TotalThread = 5;
    Thread[] Threads = new Thread[TotalThread];
    for (int i = 0; i < TotalThread; i++)
    {
        Threads[i] = new Thread(new ThreadStart(AccessCode));
        Threads[i].IsBackground = true;
        Threads[i].Start();
    }
}

```

```

    }
}
public void AccessCode()
{
    listBox1.BeginInvoke(new ParameterizedThreadStart(UpdateUI), new object[]
        { "Thread ID : " + Thread.CurrentThread.ManagedThreadId.ToString() + " : Entered" }
        );
    Thread.Sleep(500);
    listBox1.BeginInvoke(new ParameterizedThreadStart(UpdateUI), new object[]
        { "Thread ID : " + Thread.CurrentThread.ManagedThreadId.ToString() + " : Exit" }
        );
}

// Following code is used to update UI
public void UpdateUI(object objOutput)
{
    listBox1.Items.Add(objOutput.ToString());
}

```

2. Synchronization with Monitor

Synchronization with monitor class, only one thread can access the same resource at a time. Thread is run simultaneously but it can access the block of code one at a time. There is a restriction on thread so that only a single thread can access a particular code block.

```

private void buttonMonitor_Click(object sender, EventArgs e)
{
    listBox1.Items.Add("== Using Monitor =====");
    int TotalThread = 5;
    Thread[] Threads = new Thread[TotalThread];
    for (int i = 0; i < TotalThread; i++)
    {
        Threads[i] = new Thread(new ThreadStart(AccessCodeWithMonitor));
        Threads[i].IsBackground = true;
        Threads[i].Start();
    }
}
private void AccessCodeWithMonitor()
{
    Monitor.Enter(this);
    try
    {
        listBox1.BeginInvoke(new ParameterizedThreadStart(UpdateUI), new object[]
            { "Thread ID : " +
                Thread.CurrentThread.ManagedThreadId.ToString() + " : Entered" });
        Thread.Sleep(500);
    }
    finally
    {
        Monitor.Exit(this);
    }
}

```

```

        listBox1.BeginInvoke(new ParameterizedThreadStart(UpdateUI), new object[]
        { "Thread ID : " +
          Thread.CurrentThread.ManagedThreadId.ToString() + " : Exit" });
    }
    finally
    {
        Monitor.Exit(this);
    }
}
private void UpdateUI(object objOutput)
{
    listBox1.Items.Add(objOutput.ToString());
}

```

3. Synchronization with Semaphore

In synchronization with semaphore class, we can allow more than one thread to access the same block of code. Actually, we can specify how many threads can access the same block of code at the same time.

```

private void btnSemaphore_Click(object sender, EventArgs e)
{
    listBox1.Items.Add("== Using Semaphore =====");
    int TotalThread = 5;
    int SemaphoreCount = 3;
    Thread[] Threads = new Thread[TotalThread];
    Semaphore Sema = new Semaphore(SemaphoreCount, SemaphoreCount);
    for (int i = 0; i < TotalThread; i++)
    {
        Threads[i] = new Thread(new ParameterizedThreadStart
                                (AccessCodewithSemaphore));
        Threads[i].IsBackground = true;
        Threads[i].Start(Sema);
    }
}
public void AccessCodewithSemaphore(object objSemaphore)
{
    bool IsComplete = false;
    Semaphore l_SemaPhore = (Semaphore)objSemaphore;
    while (!IsComplete)
    {
        if (l_SemaPhore.WaitOne(200, false))
        {
            try
            {
                listBox1.BeginInvoke(new ParameterizedThreadStart(UpdateUI),

```

```

                                new object[]
        { "Thread ID : " +
          Thread.CurrentThread.ManagedThreadId.ToString() + " : Entered" });
        Thread.Sleep(500);
    }
    finally
    {
        l_SemaPhore.Release();
        listBox1.BeginInvoke(new ParameterizedThreadStart(UpdateUI),
                                new object[]
                                { "Thread ID : " +
                                  Thread.CurrentThread.ManagedThreadId.ToString() + " : Exit" });
        IsComplete = true;
    }
}
else
{
    listBox1.BeginInvoke(new ParameterizedThreadStart(UpdateUI),
                            new object[]
                            { "Thread ID :"+Thread.CurrentThread.ManagedThreadId.ToString() +
                              ": Waiting To enter" });
}
}
}
public void UpdateUI(object objOutput)
{
    listBox1.Items.Add(objOutput.ToString());
}

```

Socket Programming

Objective: This Unit gives introduction about network programming and discusses about socket creation in connection oriented and connection less network.

CONTENT OVERVIEW:

Socket creation introduction:

Sockets allow communication between two different processes on the same or different machines. To be more precise, it's a way to talk to other computers using standard Unix file descriptors. In Unix, every I/O actions are done by writing or reading to a file descriptor. A file descriptor is just an integer associated with an open file and it can be a network connection, a text file, a terminal, or something else.

To a programmer a socket looks and behaves much like a low level file descriptor. This is because commands such as read() and write() work with sockets in the same way they do with files and pipes. The differences between sockets and normal file descriptors occurs in the creation of a socket and through a variety of special operations to control a socket.

Sockets were first introduced in 2.1BSD and subsequently refined into their current form with 4.2BSD. The sockets feature is now available with most current UNIX system releases.

Where is Socket used?

A Unix Socket is used in a client server application frameworks. A server is a process which does some function on request from a client. Most of the application level protocols like FTP, SMTP and POP3 make use of Sockets to establish connection between client and server and then for exchanging data.

Socket Types:

There are four types of sockets available to the users. The first two are most commonly used and last two are rarely used.

Processes are presumed to communicate only between sockets of the same type but there is no restriction that prevents communication between sockets of different types.

- **Stream Sockets:** Delivery in a networked environment is guaranteed. If you send through the stream socket three items "A,B,C", they will arrive in the same order - "A,B,C". These sockets use TCP (Transmission Control Protocol) for data transmission. If delivery is impossible, the sender receives an error indicator. Data records do not have any boundaries.
- **Datagram Sockets:** Delivery in a networked environment is not guaranteed. They're connectionless because you don't need to have an open connection as in Stream Sockets - you build a packet with the destination information and send it out. They use UDP (User Datagram Protocol).
- **Raw Sockets:** provides users access to the underlying communication protocols which support socket abstractions. These sockets are normally datagram oriented, though their exact characteristics are dependent on the interface provided by the protocol. Raw sockets are not intended for the general user; they have been provided mainly for those interested in developing new communication protocols, or for gaining access to some of the more esoteric facilities of an existing protocol.
- **Sequenced Packet Sockets:** They are similar to a stream socket, with the exception that record boundaries are preserved. This interface is provided only as part of the Network Systems (NS) socket abstraction, and is very important in most serious NS applications. Sequenced-packet sockets allow the user to manipulate the Sequence Packet Protocol (SPP) or Internet Datagram Protocol (IDP) headers on a packet or a group of packets either by writing a prototype header along with whatever data is to be sent, or by specifying a default header to be used with all outgoing data, and allows the user to receive the headers on incoming packets.

Most of the Net Applications use the Client Server architecture. These terms refer to the two processes or two applications which will be communicating with each other to exchange some information. One of the two processes acts as a client process and another process acts as a server.

Client Process:

This is the process which typically makes a request for information. After getting the response this process may terminate or may do some other processing.

For example: Internet Browser works as a client application which sends a request to Web Server to get one HTML web page.

Server Process:

This is the process which takes a request from the clients. After getting a request from the client, this process will do required processing and will gather requested information and will send it to the requestor client. Once done, it becomes ready to serve another client. Server process are always alert and ready to serve incoming requests.

For example: Web Server keeps waiting for requests from Internet Browsers and as soon as it gets any request from a browser, it picks up a requested HTML page and sends it back to that Browser.

Notice that the client needs to know of the existence and the address of the server, but the server does not need to know the address or even the existence of the client prior to the connection being established. Once a connection is established, both sides can send and receive information.

2-tier and 3-tier architectures:

There are two types of client server architectures:

- 2-tier architectures: In this architecture, client directly interact with the server. This type of architecture may have some security holes and performance problems. Internet Explorer and Web Server works on two tier architecture. Here security problems are resolved using Secure Socket Layer(SSL).
- 3-tier architectures: In this architecture, one more software sits in between client and server. This middle software is called middleware. Middleware are used to perform all the security checks and load balancing in case of heavy load. A middleware takes all requests from the client and after doing required authentication it passes that request to the server. Then server does required processing and sends response back to the middleware and finally middleware passes this response back to the client. If you want to implement a 3-tier architecture then you can keep any middle ware like Web Logic or WebSphere software in between your Web Server and Web Browsers.

Types of Server:

There are two types of servers you can have:

- **Iterative Server:** This is the simplest form of server where a server process serves one client and after completing first request then it takes request from another client. Meanwhile another client keeps waiting.
- **Concurrent Servers:** This type of server runs multiple concurrent processes to serve many request at a time. Because one process may take longer and another client can not wait for so long. The simplest way to write a concurrent server under Unix is to *fork* a child process to handle each client separately.

How to make client:

The system calls for establishing a connection are somewhat different for the client and the server, but both involve the basic construct of a socket. The two processes each establish their own sockets.

The steps involved in establishing a socket on the client side are as follows:

1. Create a socket with the *socket()* system call.
2. Connect the socket to the address of the server using the *connect()* system call.
3. Send and receive data. There are a number of ways to do this, but the simplest is to use the *read()* and *write()* system calls.

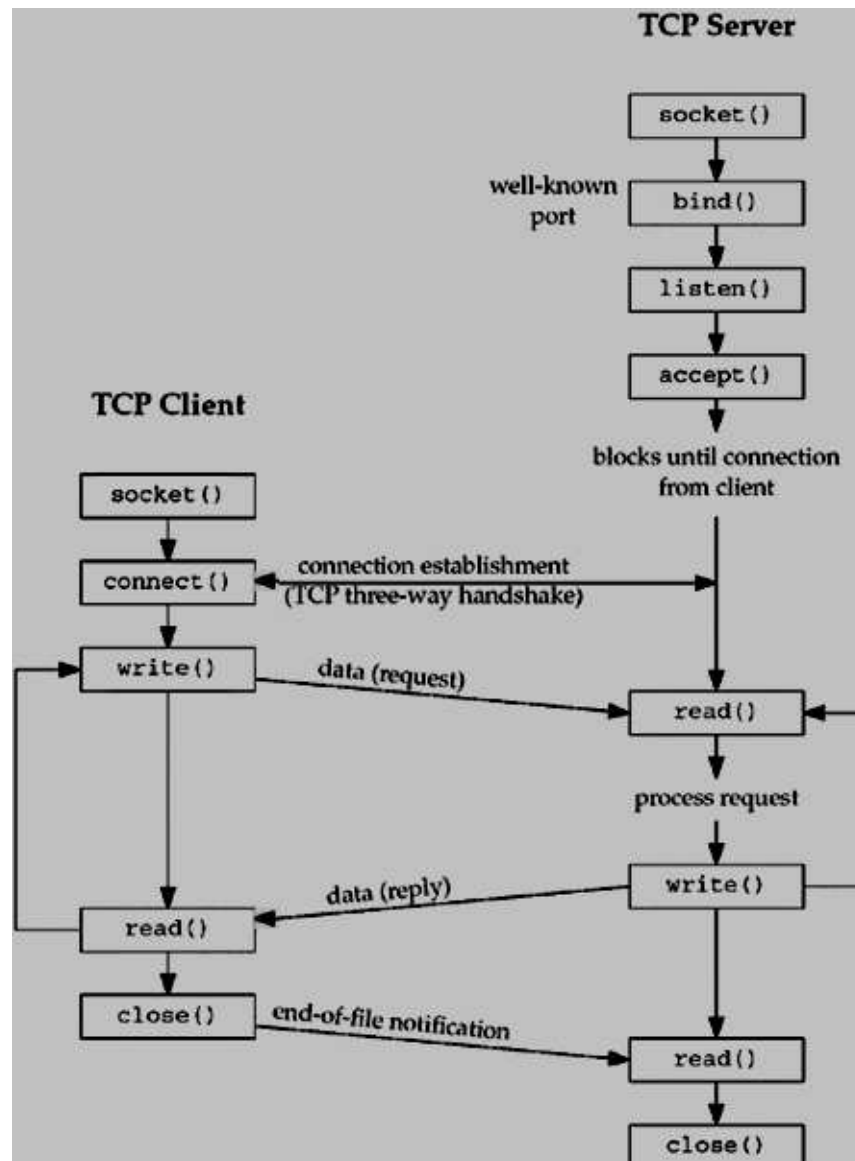
How to make a server:

The steps involved in establishing a socket on the server side are as follows:

1. Create a socket with the *socket()* system call.
2. Bind the socket to an address using the *bind()* system call. For a server socket on the Internet, an address consists of a port number on the host machine.
3. Listen for connections with the *listen()* system call.
4. Accept a connection with the *accept()* system call. This call typically blocks until a client connects with the server.
5. Send and receive data using the *read()* and *write()* system calls.

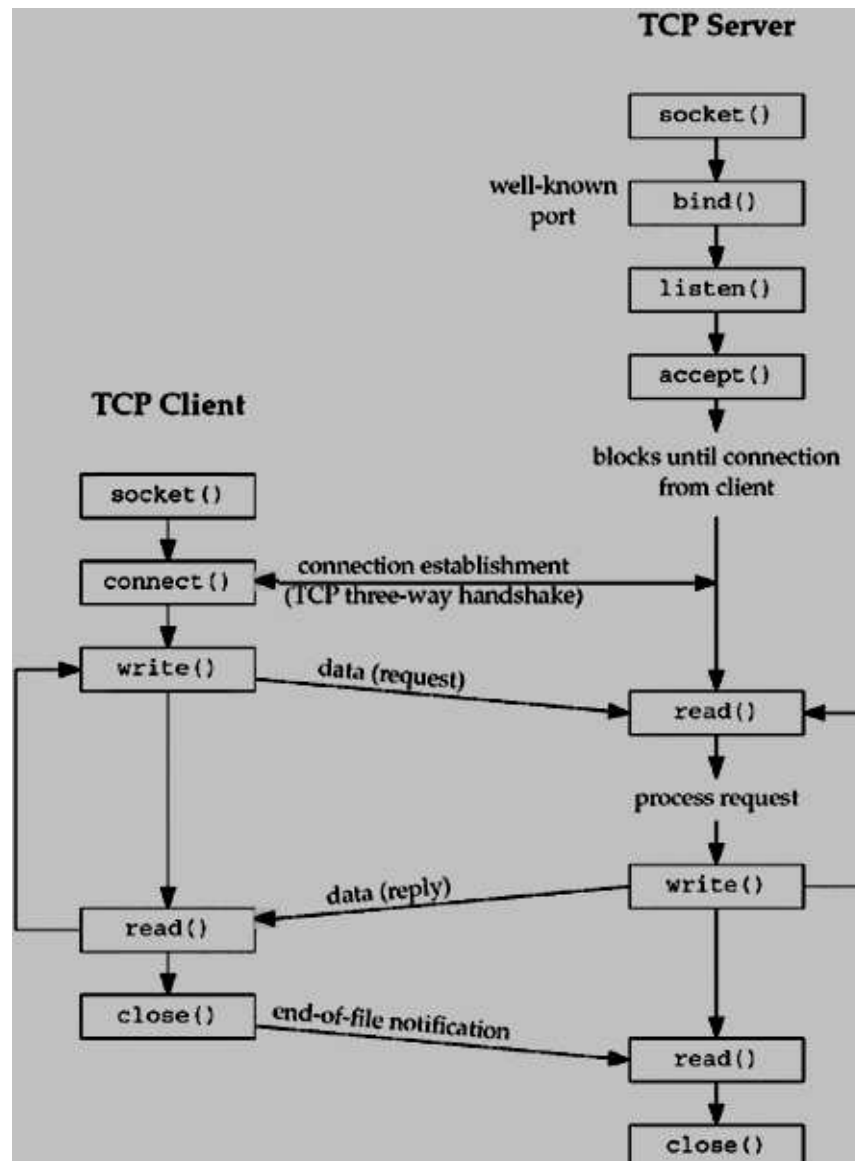
Client and Server Interaction:

Following is the diagram showing complete Client and Server interaction:



This tutorial describes the core socket functions required to write a complete TCP client and server.

Following is the diagram showing complete Client and Server interaction:



The *socket* Function:

To perform network I/O, the first thing a process must do is call the socket function, specifying the type of communication protocol desired and protocol family etc.

```
#include <sys/types.h>
```

```
#include <sys/socket.h>
```

```
int socket (int family, int type, int protocol);
```

This call gives you a socket descriptor that you can use in later system calls or it gives you -1 on error.

Parameters:

family: specifies the protocol family and is one of the constants shown below:

Family	Description
AF_INET	IPv4 protocols
AF_INET6	IPv6 protocols
AF_LOCAL	Unix domain protocols
AF_ROUTE	Routing Sockets
AF_KEY	Ket socket

This tutorial does not talk about other protocols except IPv4.

type: specifies kind of socket you want. It can take one of the following values:

Type	Description
SOCK_STREAM	Stream socket
SOCK_DGRAM	Datagram socket
SOCK_SEQPACKET	Sequenced packet socket
SOCK_RAW	Raw socket

protocol: argument should be set to the specific protocol type given below or 0 to select the system's default for the given combination of family and type:

Protocol	Description
IPPROTO_TCP	TCP transport protocol

IPPROTO_UDP	UDP transport protocol
IPPROTO_SCTP	SCTP transport protocol

The *connect* Function:

The *connect* function is used by a TCP client to establish a connection with a TCP server.

```
#include <sys/types.h>

#include <sys/socket.h>

int connect(int sockfd, struct sockaddr *serv_addr, int addrlen);
```

This call returns 0 if it successfully connects to the server otherwise it gives you -1 on error.

Parameters:

- sockfd: is a socket descriptor returned by the socket function.
- serv_addr is a pointer to struct sockaddr that contains destination IP address and port.
- addrlen set it to sizeof(struct sockaddr).

The *bind* Function:

The *bind* function assigns a local protocol address to a socket. With the Internet protocols, the protocol address is the combination of either a 32-bit IPv4 address or a 128-bit IPv6 address, along with a 16-bit TCP or UDP port number. This function is called by TCP server only.

```
#include <sys/types.h>

#include <sys/socket.h>

int bind(int sockfd, struct sockaddr *my_addr, int addrlen);
```

This call returns 0 if it successfully binds to the address otherwise it gives you -1 on error.

Parameters:

- sockfd: is a socket descriptor returned by the socket function.

- `my_addr` is a pointer to struct `sockaddr` that contains local IP address and port.
- `addrlen` set it to `sizeof(struct sockaddr)`.

You can put your IP address and your port automatically:

A 0 value for port number means system will choose a random port and `INADDR_ANY` value for IP address means server's IP address will be assigned automatically.

```
server.sin_port = 0;

server.sin_addr.s_addr = INADDR_ANY;
```

NOTE: As described in Ports and Services tutorials, all ports below 1024 are reserved. So you can set a port above 1024 and below 65535 unless the ones being used by other programs.

The *listen* Function:

The *listen* function is called only by a TCP server and it performs two actions:

- The *listen* function converts an unconnected socket into a passive socket, indicating that the kernel should accept incoming connection requests directed to this socket.
- The second argument to this function specifies the maximum number of connections the kernel should queue for this socket.

```
#include <sys/types.h>

#include <sys/socket.h>

int listen(int sockfd,int backlog);
```

This call returns 0 on success otherwise it gives you -1 on error.

Parameters:

- `sockfd`: is a socket descriptor returned by the `socket` function.
- `backlog` is the number of allowed connections.

The *accept* Function:

The *accept* function is called by a TCP server to return the next completed connection from the front of the completed connection queue. If the completed connection queue is empty, the process is put to sleep.

- The `listen` function converts an unconnected socket into a passive socket, indicating that the kernel should accept incoming connection requests directed to this socket.
- The second argument to this function specifies the maximum number of connections the kernel should queue for this socket.

```
#include <sys/types.h>

#include <sys/socket.h>

int accept (int sockfd, struct sockaddr *cliaddr, socklen_t *addrlen);
```

This call returns non negative descriptor on success otherwise it gives you -1 on error. The returned descriptor is assumed to be a client socket descriptor and all read write operations will be done on this descriptor to communicate with the client.

Parameters:

- `sockfd`: is a socket descriptor returned by the `socket` function.
- `cliaddr` is a pointer to struct `sockaddr` that contains client IP address and port.
- `addrlen` set it to `sizeof(struct sockaddr)`.

The *send* Function:

The *send* function is used to send data over stream sockets or `CONNECTED` datagram sockets. If you want to send data over `UNCONNECTED` datagram sockets you must use `sendto()` function.

You can use *write()* system call to send the data. This call is explained in helper functions tutorial.

```
int send(int sockfd, const void *msg, int len, int flags);
```

This call returns the number of bytes sent out otherwise it will return -1 on error.

Parameters:

- `sockfd`: is a socket descriptor returned by the `socket` function.
- `msg` is a pointer to the data you want to send.
- `len` is the length of the data you want to send (in bytes).
- `flags` is set to 0.

The *recv* Function:

The *recv* function is used to receive data over stream sockets or `CONNECTED` datagram sockets. If you want to receive data over `UNCONNECTED` datagram sockets you must use `recvfrom()`.

You can use *read()* system call to read the data. This call is explained in helper functions tutorial.

```
int recv(int sockfd, void *buf, int len, unsigned int flags);
```

This call returns the number of bytes read into the buffer otherwise it will return -1 on error.

Parameters:

- sockfd: is a socket descriptor returned by the socket function.
- buf is the buffer to read the information into.
- len is the maximum length of the buffer.
- flags is set to 0.

The *sendto* Function:

The *sendto* function is used to send data over UNCONNECTED datagram sockets. Put simply, when you use socket type as SOCK_DGRAM

```
int sendto(int sockfd, const void *msg, int len, unsigned int flags,  
           const struct sockaddr *to, int tolen);
```

This call returns the number of bytes sent otherwise it will return -1 on error.

Parameters:

- sockfd: is a socket descriptor returned by the socket function.
- msg is a pointer to the data you want to send.
- len is the length of the data you want to send (in bytes).
- flags is set to 0.
- to is a pointer to struct sockaddr for the host where data has to be sent.
- tolen is set it to sizeof(struct sockaddr).

The *recvfrom* Function:

The *recvfrom* function is used to receive data from UNCONNECTED datagram sockets. Put simply, when you use socket type as SOCK_DGRAM

```
int recvfrom(int sockfd, void *buf, int len, unsigned int flags  
            struct sockaddr *from, int *fromlen);
```

This call returns the number of bytes read into the buffer otherwise it will return -1 on error.

Parameters:

- sockfd: is a socket descriptor returned by the socket function.
- buf is the buffer to read the information into.
- len is the maximum length of the buffer.
- flags is set to 0.
- from is a pointer to struct sockaddr for the host where data has to be read.
- fromlen is set it to sizeof(struct sockaddr).

The *close* Function:

The *close* function is used to close the communication between client and server.

```
int close( int sockfd );
```

This call returns 0 on success otherwise it will return -1 on error.

Parameters:

- sockfd: is a socket descriptor returned by the socket function.

The *shutdown* Function:

The *shutdown* function is used to gracefully close the communication between client and server. This function gives more control in comparison of *close* function.

```
int shutdown(int sockfd, int how);
```

This call returns 0 on success otherwise it will return -1 on error.

Parameters:

- sockfd: is a socket descriptor returned by the socket function.
- how: put one of the numbers:
 - 0 indicates receives disallowed,
 - 1 indicates that sends disallowed and
 - 2 indicates that sends and receives disallowed. When how is set to 2, it's the same thing as close().

The *select* Function:

The *select* function indicates which of the specified file descriptors is ready for reading, ready for writing, or has an error condition pending.

When an application calls *recv* or *recvfrom* it is blocked until data arrives for that socket. An application could be doing other useful processing while the incoming data stream is empty. Another situation is when an application receives data from multiple sockets.

Calling *recv* or *recvfrom* on a socket that has no data in its input queue prevents immediate reception of data from other sockets. The *select* function call solves this problem by allowing the program to poll all the socket handles to see if they are available for non-blocking reading and writing operations.

```
int select(int nfd, fd_set *readfds, fd_set *writefds,
          fd_set *errorfds, struct timeval *timeout);
```

This call returns 0 on success otherwise it will return -1 on error.

Parameters:

- *nfd*: specifies the range of file descriptors to be tested. The *select()* function tests file descriptors in the range of 0 to *nfd*-1
- *readfds*: points to an object of type *fd_set* that on input specifies the file descriptors to be checked for being ready to read, and on output indicates which file descriptors are ready to read. Can be NULL to indicate an empty set.
- *writefds*: points to an object of type *fd_set* that on input specifies the file descriptors to be checked for being ready to write, and on output indicates which file descriptors are ready to write. Can be NULL to indicate an empty set.
- *exceptfds*: points to an object of type *fd_set* that on input specifies the file descriptors to be checked for error conditions pending, and on output indicates which file descriptors have error conditions pending. Can be NULL to indicate an empty set.
- *timeout*: points to a *timeval* struct that specifies how long the *select* call should poll the descriptors for an available I/O operation. If the *timeout* value is 0, then *select* will return immediately. If the *timeout* argument is NULL, then *select* will block until at least one file/socket handle is ready for an available I/O operation. Otherwise *select* will return after the amount of time in the *timeout* has elapsed OR when at least one file/socket descriptor is ready for an I/O operation.

The return value from *select* is the number of handles specified in the file descriptor sets that are ready for I/O. If the time limit specified by the *timeout* field is reached, *select* returns 0. The following macros exist for manipulating a file descriptor set:

- *FD_CLR*(*fd*, &*fdset*): Clears the bit for the file descriptor *fd* in the file descriptor set *fdset*
- *FD_ISSET*(*fd*, &*fdset*): Returns a non-zero value if the bit for the file descriptor *fd* is set in the file descriptor set pointed to by *fdset*, and 0 otherwise.
- *FD_SET*(*fd*, &*fdset*): Sets the bit for the file descriptor *fd* in the file descriptor set *fdset*.
- *FD_ZERO*(&*fdset*): Initializes the file descriptor set *fdset* to have zero bits for all file descriptors.

The behavior of these macros is undefined if the *fd* argument is less than 0 or greater than or equal to *FD_SETSIZE*.

Example:

```
fd_set fds;

struct timeval tv;

/* do socket initialization etc.

tv.tv_sec = 1;

tv.tv_usec = 500000;

/* tv now represents 1.5 seconds */

FD_ZERO(&fds);

/* adds sock to the file descriptor set */

FD_SET(sock, &fds);

/* wait 1.5 seconds for any data to be read

   from any single socket */

select(sock+1, &fds, NULL, NULL, &tv);

if (FD_ISSET(sock, &fds))

{

    recvfrom(s, buffer, buffer_len, 0, &sa, &sa_len);

    /* do something */

}

else

{

    /* do something else */

}
```

There are various structures which are used in Unix Socket Programming to hold information about the address and port and other information. Most socket functions require a pointer to a socket address structure as an argument. Structures defined in this tutorial are related to Internet Protocol Family.

The first structure is struct *sockaddr* that holds socket information:

```
struct sockaddr{  
    unsigned short  sa_family;  
    char            sa_data[14];  
};
```

This is a generic socket address structure which will be passed in most of the socket function calls. Here is the description of the member fields:

Attribute	Values	Description
sa_family	AF_INET AF_UNIX AF_NS AF_IMPLINK	This represents an address family. In most of the Internet based applications we use AF_INET.
sa_data	Protocol Specific Address	The content of the 14 bytes of protocol specific address are interpreted according to the type of address. For the Internet family we will use port number IP address which is represented by <i>sockaddr_in</i> structure defined below.

Second structure that helps you to reference to the socket's elements is as follows:

```
struct sockaddr_in {  
    short int      sin_family;  
    unsigned short int  sin_port;  
    struct in_addr  sin_addr;  
    unsigned char   sin_zero[8];  
};
```

Here is the description of the member fields:

Attribute	Values	Description
sa_family	AF_INET AF_UNIX AF_NS AF_IMPLINK	This represents an address family. In most of the Internet based applications we use AF_INET.
sin_port	Service Port	A 16 bit port number in Network Byte Order.
sin_addr	IP Address	A 32 bit IP address in Network Byte Order.
sin_zero	Not Used	You just set this value to NULL as this is not being used.

The next structure is used only in the above structure as a structure field and holds 32 bit netid/hostid.

```
struct in_addr {
    unsigned long s_addr;
};
```

Here is the description of the member fields:

Attribute	Values	Description
s_addr	service port	A 32 bit IP address in Network Byte Order.

There is one more important structure. This structure is used to keep information related to host.

```
struct hostent
{
    char *h_name;
    char **h_aliases;
    int h_addrtype;
    int h_length;
```

```
char **h_addr_list

#define h_addr h_addr_list[0]

};
```

Here is the description of the member fields:

Attribute	Values	Description
h_name	ti.com etc	This is official name of the host. For example tutorialspoint.com, google.com etc.
h_aliases	TI	This will hold a list of host name aliases.
h_addrtype	AF_INET	This contains the address family and in case of Internet based application it will always be AF_INET
h_length	4	This will hold the length of IP address which is 4 for Internet Address.
h_addr_list	in_addr	For the Internet addresses the array of pointers h_addr_list[0], h_addr_list[1] and so on are points to structure in_addr.

NOTE: h_addr is defined as h_addr_list[0] to keep backward compatibility.

Following structure is used to keep information related to service and associated ports.

```
struct servent
{
    char *s_name;
    char **s_aliases;
    int s_port;
    char *s_proto;
};
```

Here is the description of the member fields:

Attribute	Values	Description
s_name	http	This is official name of the service. For example SMTP, FTP POP3 etc.
s_aliases	ALIAS	This will hold list of service aliases. Most of the time this will be set to NULL.
s_port	80	This will have associated port number. For example for HTTP this will be 80.
s_proto	TCP UDP	This will be set to the protocol used. Internet services are provided using either TCP or UDP.

Additional Topics

1)Pipes:

```
#include <unistd.h>
#include <stdlib.h>
#include <stdio.h>
int main()
{
    FILE *write_fp;
    char buffer[BUFSIZ + 1];
    sprintf(buffer, "Once upon a time, there was ...\n");
    write_fp = popen("od -c", "w");
    if (write_fp != NULL){
        fwrite( buffer , sizeof(char), strlen(buffer), write_fp);
        pclose(write_fp);
        exit(EXIT_SUCCESS);
    }
    exit(EXIT_FAILURE);
}
```

Reading Output From an External Program:

```
#include <unistd.h>
#include <stdlib.h>
#include <stdio.h>
#include <string.h>
int main()
{
    FILE *read_fp;
    char buffer[BUFSIZ + 1];
    int chars_read;
    memset(buffer, '\0', sizeof(buffer));
    read_fp = popen("uname -a", "r");
    if (read_fp != NULL){
        chars_read = fread(buffer, sizeof(char), BUFSIZ, read_fp);
        if (chars_read > 0){
            printf("Output was:\n%s\n", buffer);
        }
        pclose(read_fp);
    }
}
```

```
        exit(EXIT_SUCCESS);
    }
    exit(EXIT_FAILURE);
```

2)Creating a Named Pipe:

```
#include <unistd.h>
#include <stdlib.h>
#include <stdio.h>
#include <sys/types.h>
#include <sys/stat.h>
Int main()
{
    int res = mkfifo("/tmp/my_fifo",0777);
    if (res == 0) printf("FIFO created \n");
    exit (EXIT_SUCCESS);
}
```

3)Inter-process Communication with FIFOs:

```
#include <unistd.h>
#include <stdlib.h>
#include <stdio.h>
#include <string.h>
#include <fcntl.h>
#include <limits.h>
#include <sys/types.h>
#include <sys/stat.h>
#define FIFO_NAME "/tmp/my_file"
#define BUFFER_SIZE PIPE_BUF
#define TEN_MEG (1024*1024*10)
Int main()
{
    int pipe_fd;
    int res;
    int open_mode = O_WRONLY;
    int bytes_sent = 0;
    char buffer[BUFFER_SIZE + 1];
    if (access(FIFO_NAME, F_OK) == -1){
        res = mkfifo(FIFO_NAME, 0777);
        if (res != 0){
            fprintf(stderr, "Could not create fifo %s\n", FIFO_NAME);
        }
    }
}
```

```
        exit(EXIT_FAILURE)
    }
}
printf("Process %d opening FIFO O_WRONLY\n",getpid());
pipe_fd = open(FIFO_NAME, open_mode);
printf("Process %d result %d\n", getpid(),pipe_fd);
}
```