# W3UI: Minimum Spanning Trees Part 2

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## Recap

MST Basics



#### **Outcomes**

Design and development of Prim's Algorithm.



Development of Prim's Algorithm

• When A is empty, the simplest cut is just a vertex in S, say r, and rest of the vertices in V-S. The minimum cost crossing edge is an edge (r,v) such that  $w(r,v) \leq w(r,x) \ \forall x \in Adj(r) \ in \ V-S$ 

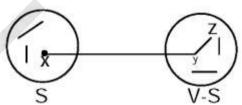
We now update

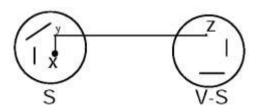
$$A = A \cup \{r, v\} = \emptyset \cup \{r, v\} = \{(r, v)\}$$

How do we update the current cut to respect the updated A? Simple idea – move v to S!

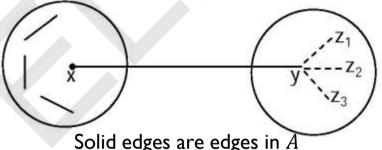
- This move will convert the crossing edge (r, v) to an internal edge with respect to the new cut and thus the new cut would be a cut respecting current A.
- Notice that the set of crossing edges has become bigger and it is a bit more complex to find the minimum weight crossing the edge now.
- Moreover, there is a minor issue in simply moving the vertex v from V-S to S

- Suppose current minimum weight crossing edge is (x, y). The edges of A are shown in the picture. If we move y to s, the edge (y, z) in A becomes a crossing edge and hence the new cut is NOT a respecting cut!
- Thus, while moving y may make (x, y) an internal edge, it may make some other edge of A a crossing one!
- Thus, simply moving y when (x, y) is a minimum weight crossing edge may not work!





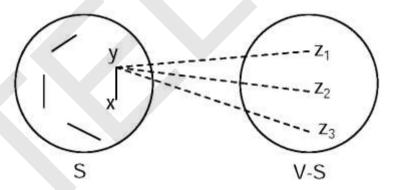
- Fortunately, there is a simple fix to this problem. We maintain all edges of A as internal to S and NO EDGE of A is in V-S.
- In this case, there is no problem in moving any vertex from V S to S.
- Now, moving y to S makes (x, y)
  internal to s and new crossing edges
  are NOT in A. Hence, new cut respects
  A and has all edges of A internal to S.



dotted edges are NOT in A.

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 The new crossing edges created by moving y to S are NOT in A.
 The new cut respects A and has all edges of A internal to S.



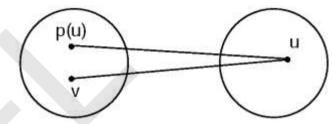
- The first cut, namely  $(\{r\}, V \{r\})$  has the property that all edges of A are internal to  $\{r\}$  because  $A = \emptyset$ !
- Thus, we may identify the minimum weight crossing edge (u, v) where  $u \in S, v \in V S$  and simply move v from V S to S.
- Now we turn our attention to finding minimum weight crossing edge.
- In the absence of any other information, minimum weight crossing edge may be identified only by checking all crossing edges and this could take O(m) time. Since we perform (n-1) iteration, the complexity of such a naïve algorithm will be O(nm).

- Suppose we maintain for every vertex v in V S a minimum weight crossing edge (v, v'). Then the overall minimum weight crossing edge can be identified by looking at only edges of the type (v, v') for  $v \in V S$ .
- Thus, by examining only |V S| edges we identify the minimum weight crossing edge.
   Since |V S| ≤ (n 1) this is a significant improvement over O(m) naïve approach.
- Let us introduce some notations to present our approach in a cleaner way.

- For every vertex v in V S, let (v, v') be a minimum weight crossing edge, incident on v. Here  $v' \in S$ . That is  $w(v, v') \leq w(v, u)$  for all crossing edge (v, u) incident on v.
- We call (v') as a partner of v in S and denote it by p(v). The weight of the crossing edge (v, p(v)) is denoted by c(v). Thus, for each vertex v, we maintain p(v) and c(v) and now, it is easy to determine the minimum weight crossing edge.
- In fact, let v be a vertex in V S such that  $c(v) \le c(u) \forall u \in V S$ .

- Then, clearly, (v, p(v')) is the minimum weight crossing edge. Hence, we add (v, p(v)) to A and move v to S.
- After moving v to S, the values p(u) and c(u) must be updated for  $u \in V S$ .
- The entity p(u) needs an update only if  $(v, u) \in E$  and w(v, u) < w(u, p(u)).
- p(u) is updated to v.

#### High level Pseudocode



$$A = \emptyset, S = \{r\},\$$

$$V - S = V - \{r\}$$
For all  $v \in V$ ,
$$p(v) = \text{NULL}$$

$$c(v) = \infty$$
For each  $u \in V - S$ 
If  $(n, u) \in E$ 

$$p(u) = r;$$

$$c(u) = w(r, u)$$

While  $S \neq V$ Find a vertex v in V - Swith minimum c(v) value Move v to S and add (v, p(v)) to AUpdate c(u) and p(u)values for all  $u \in V - S$ 

#### Pseudocode in more detail

```
Prim (G = (V, E, w))
represented by p().
A = \{(v, p(v)) | v \in V - r\}
S = \{r\} \\(S, V - S is the
current cut)
 p(v) = \text{NULL } \forall v \in V
  c(v) = \infty \quad \forall \ v \in V
```

#### Pseudocode in more detail (contd)

```
For all u \in V - S

If (u,r) \in E

p(u) = r

c(u) = w(u,r)

while (V - S \text{ is non-empty})

I) Find v \in V - S \ni

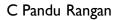
C(V) \leq C(u) \forall u \in V - S

2) Move v \text{ to } S
```

3) For each 
$$u \in V - S$$
 if  $((u, v) \in E \text{ and } w(u, v) <$ 

#### **W3U2**

Krushkal's Algorithm.



# Thank You