

# **celltrack**

## **A 2D cell tracking algorithm**

### **v0.3 beta**

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## **1 What is celltrack?**

celltrack is software that finds continuous cells in 2D fields and tracks them in time. The basic concept is inspired by Moseley et al. (2013). celltrack consists of several parts which are explained in detail in the following sections.

### **1.1 Definitions**

The following two definitions are in accordance with Moseley et al. (2013).

#### **Cell**

A cell is a continuous area of grid points which exceed a certain threshold. Two grid points are adjacent if their coordinates differ either in one x or one y step. Diagonal adjacency is not allowed.

#### **Track**

A track is a time series of cells. Two cells are members of the same track if they (partly) overlap. The difference in time steps where the two cells occur is  $(\pm)1$ . This means that a track can not have more than one cell at each time step. A track is initiated by one of the following circumstances:

- a cell has no overlap with cells from the previous time step
- a cell overlaps with more than one cell from the previous time step
- in both cases the cell must not overlap with more than one cell in the next time step

Cells which have overlaps with only one cell from the previous and next time step need to be distinguished further:

- if the backward link cell splits, a new track is splitting from an existing one

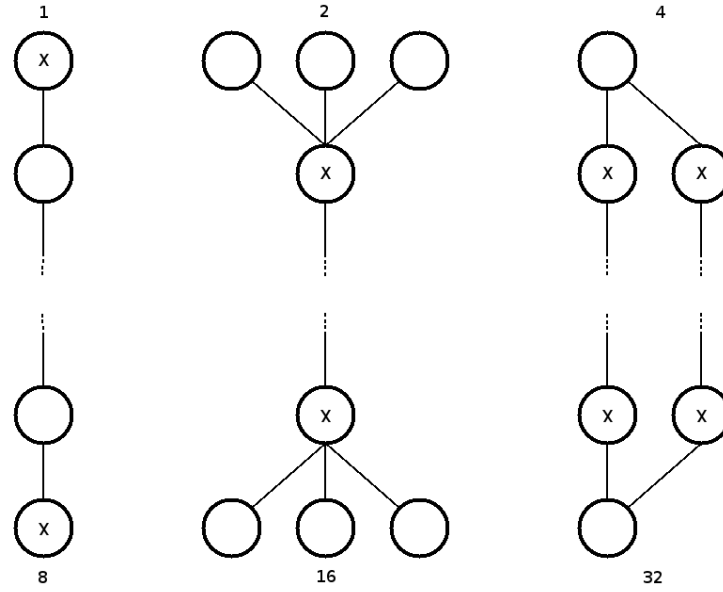


Figure 1: The track taxonomy. There are 6 types of track initiation/termination. In total 9 combinations are possible. Adding up the numbers for initiation and termination a unique track type can be described. A X marks the responsible cell/beginning/end of track.

- if not, this cell is in the interior of a track.

A cell with the following properties ends a track:

- there is no overlap with cells in the next time step
- merges with other cells in the next time step
- splits into several cells in the next time step

The structure of these 6 different ways of initiating and terminating a track are illustrated in figure 1. Each possibility has a number. Adding up the numbers for track initiation and termination type a unique identifier for the track type can be derived. A track with type 33 for example has a beginning and ending of 1 and 32.

## 1.2 The clustering algorithm

This part does the cell detection. Therefore celltrack iterates the two spatial dimensions to find continuous areas and assign an unique (integer) ID to them. The following decisions are made for each grid point with a value that exceeds the threshold:

- no adjacent grid point which already has an ID: assign new ID
- one adjacent grid point which already has an ID: use this ID
- two or more adjacent grid points which already have IDs:
  - these grid points have the same ID: use this ID

- different IDs: use the lowest ID for all grid points

This step is repeated for all time steps. At the end of this step all detected cells have unique IDs. This procedure leads to the fact that a cell A with a higher (lower) ID than cell B occurs at the same time step or later (earlier).

### 1.3 The linking

In this part all cells are checked for forward and backward links (one time step) with other cells. The results are stored in a logical matrix which has the size  $n * n$ , where  $n$  is the number of detected cells. Each row/column belongs to a particular cell ID. For an example, see figure 2: If the linking matrix is true at row 4 and column 7, the cell with ID 4 has a forward link with cell 7.

The logical link matrix makes it easy to check for overlaps between two cells any time later.

### 1.4 The tracking algorithm

Using the logical link matrix the tracking part is quite simple. For each row (which corresponds to a specific ID) the algorithm checks whether there are cells with higher and lower IDs that are linked i.e. the link matrix is TRUE at this address. Counting these the number of forward and backwards links in time can be determined. Based on this information and using the link matrix it is known which IDs initiate a track according to the definition given above. If an ID is at the beginning of a track the algorithm changes to the corresponding row in the link matrix and searches for forward links to cells in the next time step. If there is such a connection the algorithm changes to the corresponding row. This step is repeated until the conditions for terminating a track are met. Figure

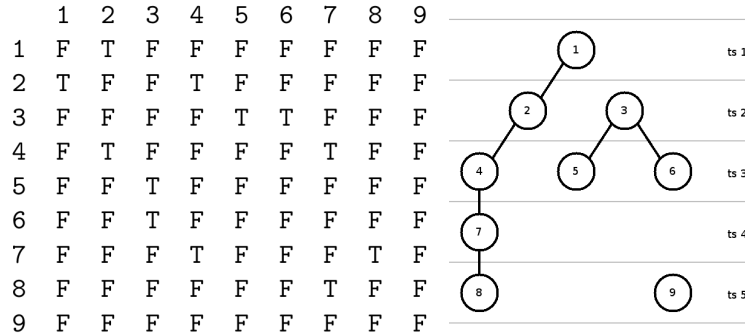


Figure 2: Example logical link matrix with IDs and the corresponding linking tree.

2 shows a basic example of a link matrix. Based on this one can easily generate the corresponding topology tree which shows all cells and their relationships.

## 1.5 Advection correction

celltrack features an iterative advection correction algorithm. Again, this follows Moseley et al. (2013). If switched on, celltrack will calculate a velocity field on a coarse grained grid using the differences in coordinates of the weighted centers of mass between linked cells. Only cells with exactly one forward/backward link are used. Depending on the chosen number of iterations this routine writes the velocity fields decomposed into x and y direction as well as the sample sizes into numbered NetCDF files. The file with the highest number i.e. the latest velocity fields are then used in the final linking process. For the advection of cells the displacement is calculated and rounded to grid points.

## 1.6 Periodic boundary conditions

Sometimes, it is useful to consider the boundaries of a domain as periodic, for instance, if one wants to apply celltrack to model output. For this reason, celltrack features periodic boundary conditions. If switched on, celltrack recognizes if a cell crosses the boundaries during the cell detection and advection correction. This means, that cells of the same track can exit the domain on one side and re-enter on the other side. Without this option a new track is initiated at each boundary crossing.

## 1.7 Meta tracks and mainstream detection

With increasing length and/or cell sizes it becomes more and more likely that a track ends with a split or merge into/with other track(s). Although, celltrack puts those snippets into the same category as type 9 tracks one could argue that a combination of such related segments forms a complete track as well. For this reason the term *meta track* is introduced. Essentially, a meta track is a group of tracks of different types which are connected directly or through a chain of an arbitrary number of tracks. Figure 3 shows an example for a fairly large meta track. It consists of 52 tracks and has a lifetime of 88 minutes. Apart from a dominant *mainstream* there are lots of small tributaries and tracks that split away. It is obvious that they have small cell sizes as well as intensities.

To separate the dominant mainstream from dead ends and tributaries, celltrack uses a metaheuristic approach called ant colony optimization (ACO) (Dorigo, 1992). Dorigo and Stützle (2004) give an overview about theory and application of different ACO methods. ACO is inspired by the behavior of foraging ants which are able to find a minimum cost (length) path from a nest to a source of nurture through indirect communication. This is accomplished by modifications of the environment in form of pheromone deposit. To apply this principle to the problem of mainstream detection in meta tracks we have to rise our point of view to a more abstract level. As well as the possible paths from a nest to a source of nurture, a meta track can be seen as a network consisting of nodes and arcs. Using this notation a meta track can be translated into a construction graph where tracks are arcs and the connections between tracks are the nodes.

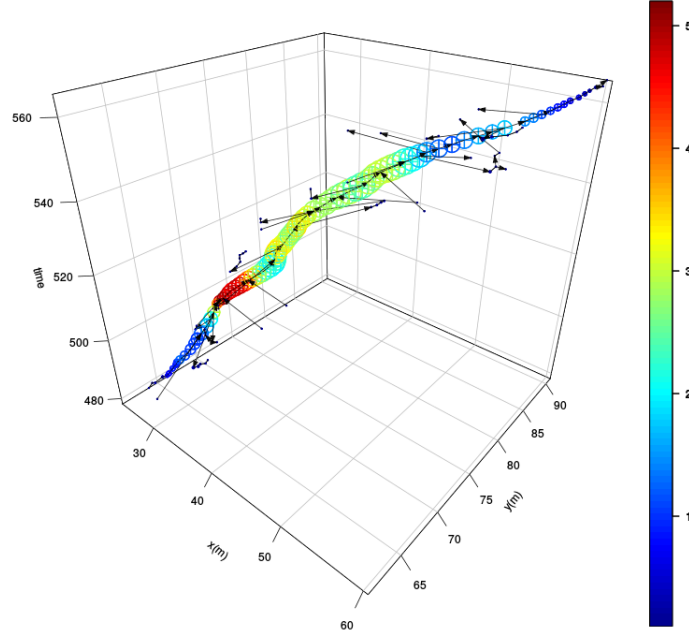


Figure 3: A rather abstract visualization of a meta track. Colors indicate the peak rainfall intensity of a cell (mm/10min). The Size of the symbols is proportional to cell area. The arrows show the direction of a connection between two tracks. The time axis is in minutes.

A very simple example for a construction graph of a meta track is depicted in figure 4a. It is clearly visible that there are three possible paths following the tracks

1. tr1 - tr2
2. tr1 - tr3 - tr4
3. tr1 - tr3 - tr5.

To rank these candidate solutions by quality, celltrack calculates the average cost of a path by  $\overline{C} = \frac{1}{m} \sum_{k=1}^m c_{ij}^k$  where  $m$  is the number of nodes in this path and  $c_{ij}^k = \frac{1}{2}(|\frac{A_i - A_j}{A_i}| + |\frac{P_i - P_j}{P_i}|)$ .  $A$  and  $P$  are area and peak intensity of the last cell of track  $i$  and first cell of track  $j$  at the  $k$ -th node of this path. Concerning the example in figure 4a it is fairly easy to compute the cost for all paths and detect the one with the lowest cost value as mainstream. But, switching to the example in figure 4b makes things more complicated. Although only two tracks were added to the construction graph, the number of candidate solutions increases from three to eight. But still, it is feasible to compute all solutions and chose the best. However, the assumption is that the number of possible solutions increases exponentially with the complexity of a meta track. Thus, it is necessary to introduce an optimization algorithm. ACO algorithms can reduce the

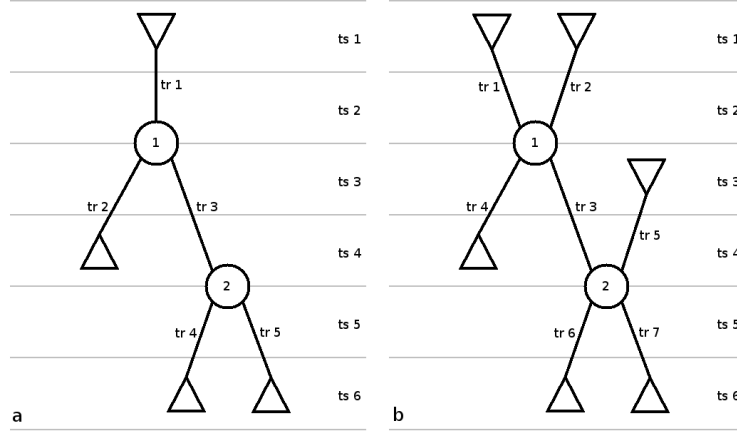


Figure 4: Two simple examples for a construction graph of a meta track. Circles represent nodes; lines show tracks. Triangles denote points of initiation and termination.

computation time to find a reasonable solution to combinatorial problems. celltrack incorporates a simplified version of an ACO algorithm (Dorigo and Stützle, 2004) to find a mainstream of a meta track at low computational cost. It consists of the following steps

1. initialize the pheromone values of all arcs by  $\tau_{ij} = m/\overline{C^{nn}}$ , where  $\overline{C^{nn}}$  is the cost value of a nearest-neighbor path of length  $m$  (number of nodes) starting at a random initiation point
2. a certain number of artificial ants construct candidate solutions
3. compute cost values for each ants path
4. lower pheromone trails of all arcs using  $\Delta \tau_{ij}^k = (1 - \rho)\tau_{ij}^k$
5. increase pheromone trails by  $\Delta \tau_{ij}^k = 1/\overline{C}$  for each ants path
6. After repeating steps 2 to 5 certain times, construct a nearest-neighbor path using the inverse pheromone values as a distance measure starting at the initiation point with the highest pheromone value.

Step 2 needs further explanation; this is how an ant constructs a solution:

1. randomly select an initiation/termination point
2. walk to the next node
3. calculate the probabilities for all possible choices at this node with  $p_{ij} = \frac{\tau_{ij}^\alpha \eta_{ij}^\beta}{\sum_{l \in N_i} \tau_{il}^\alpha \eta_{il}^\beta}$  with  $j \in N_i$ .  $N_i$  is the group of possible tracks to follow when coming from track  $i$ ,  $\eta_{ij} = 1/c_{ij}$  is the heuristic value.  $\alpha$  and  $\beta$  determine the influence of the pheromone and heuristic value. Decide with these probabilities using a random number.
4. repeat steps 2 and 3 until a termination/initiation point is reached.

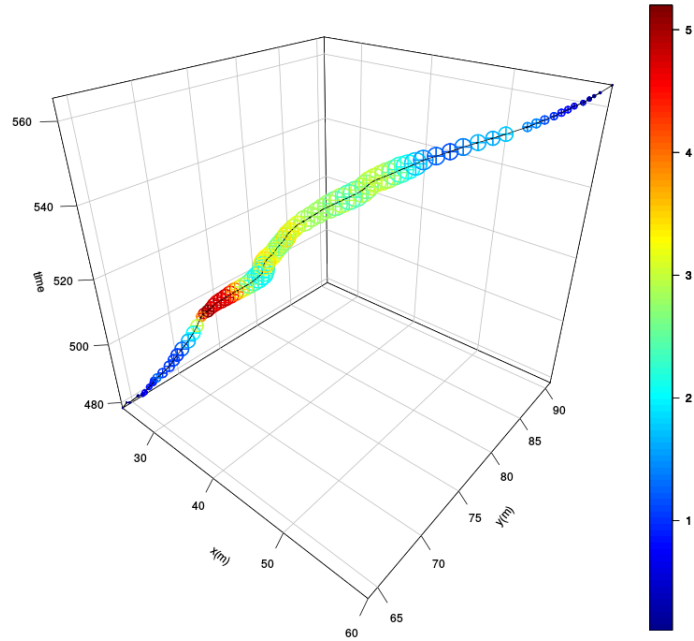


Figure 5: The mainstream of the meta track shown in figure 3; as detected by the ACO algorithm.

The reason for randomly switching between a forward and backward in time direction is that only randomly starting at initiation points leads to equal probabilities because this decision is not based on the formula of step 3.

Figure 5 shows the mainstream of the meta track which was previously depicted in figure 3.

### 1.8 The other stuff

Apart from clustering and tracking there are also routines that calculate cell and track statistics. For this purpose, celltrack uses values from the input file. For detailed descriptions see section 5.

## 2 Building from source

### 2.1 Dependencies

The following dependencies are mandatory to compile and run celltrack:

- cdi
- all dependencies of cdi, e.g. netCDF, grib\_api

## 2.2 configure and make

This project uses cmake to configure and create the Makefiles. The following commands should do the job (run within the celltrack directory):

```
mkdir build
cd build
cmake ..
make
```

If you want to install the celltrack binary run

```
make install
```

Remember that this most likely requires root privileges. For a custom path run cmake with

```
cmake .. -DCMAKE_INSTALL_PREFIX=/custom/path
```

## 2.3 Troubleshooting

### 2.3.1 cdi includes or library not found

If the cdi include and library are not in your environment variables you can run cmake with the following options to specify the paths explicitly

```
cmake .. -DCDI_INCLUDE=/path/to/include/dir/ \
        -DCDI_LIB=/path/to/lib/dir/libcdi.so
```

or change the values of the according keys in CMakeCache.txt in the build directory.

Another way to tell cmake where to find the cdi include and library is setting the environment variables `CDI_INCLUDE_PATH` and `CDI_LIB_PATH`. In bash this can be done running

```
export CDI_INCLUDE_PATH=/path/to/include/dir/
export CDI_LIB_PATH=/path/to/lib/dir/
```

then run

```
cmake ..
make
```

To run celltrack, the path to the cdi library must be in the environment, for example using the `LD_LIBRARY_PATH` variable on Linux platforms.

### 2.3.2 Problems with finding gfortran

As this project needs GNU gfortran to compile, the gfortran executable must be detected by cmake. Otherwise cmake reports an error and aborts the configuration process. If this happens and you are sure that gfortran is installed you can force the fortran compiler with

```
cmake -DCMAKE_Fortran_COMPILER=gfortran ..
```



### 3 Usage

```
celltrack -i <filename> -var <int> -lev <int> -thres <float> \
          -nants <int> -nruns <int> -rho <float> \
          -rseed <int> -lout [-h -v]

celltrack -i <filename> -var <int> -lev <int> -thres <float> \
          -nants <int> -nruns <int> -rho <float> \
          -rseed <int> -lout \
          -advcor -nadviter <int> -cx <int> -cy <int> -tstep <int> [-h -v]
```

#### Example

```
celltrack -i surfprec.nc -var 0 -lev 0 -thres 0.5
```

#### Options

- i            Input file name.
- var        NetCDF/grib variable ID. Default is 0.
- lev        NetCDF/grib level ID. Default is 0 i.e. the first variable.
- thres      All grid points with values greater than this are considered.  
Default is 0.
- nants      Number of ants/agents for mainstream detection. Default value  
is the number of nodes of a meta track.
- maxnants   The maximum number of ants/agents for mainstream detec-  
tion. Setting this value can be useful to avoid long computation  
times for large meta track. Nevertheless it may decrease the  
validity of the detected mainstream.
- nruns      Number of iterations for mainstream detection. Default is 300.
- rho        Pheromone evaporation rate. Default is 0.5.
- rseed      If set, the random number generator will use this seed.
- lout       Write the truncated logical links matrix to file cell\_links.txt.
- advcor     If set, celltrack performs an advection correction.
- nadviter   The number of iterations for advection correction. Default is  
6.
- maxv       The maximum allowed velocity of cells. This prevents that  
errors in the advection correction (unrealistically high veloci-  
ties) are taken into account. Default is 50 distance units/per  
second.
- nometa     Completely switch off all meta track routines.
- perbound   Switch on periodic boundary conditions.

-cx	The divisor for the coarse graining of the grid (velocity fields, advection correction); x direction. A number of 2 would lead to a doubled grid spacing.
-cy	Same as -cx but for y direction.
-tstep	The time step of the input file in seconds. Mandatory for advection correction!
-tracknc	Output of tracks to netCDF.
-metanc	Output of meta tracks to netCDF.
-minarea	The minimum area of a cluster in grid points. Smaller clusters will be omitted. Default is 0.
-v	Verbose output to stdout. Use with caution! Massively slows down code execution!
-h	Show help.

## 4 Input

celltrack should be able to read all file formats which are supported by cdi. However, currently only netCDF has been tested. celltrack expects 3D data:

1. x axis
2. y axis
3. time axis

## 5 Output

### 5.1 The cells files

#### 5.1.1 cells.nc

This is the first file celltrack will create. It has the same structure as the input file and contains the unique cell IDs. The default file format is netCDF.

#### 5.1.2 cell\_stats.txt

This file contains some simple statistics about the detected cells. The columns are:

clID	The cell ID.
tsclID	The time step this cell occurs.
clarea	The area in unit grid points.
clmassX	The x coordinate of the center of mass.

clcmassY    The y coordinate of the center of mass.  
 welcmassX   The x coordinate of the weighted center of mass.  
 welcmassY   The y coordinate of the weighted center of mass.  
 peakVal     The maximum value.  
 avVal        The average value.  
 touchb      TRUE if the cell touches the boundaries.

### 5.1.3 cell\_advstats\_percentiles.txt

This file contains percentiles of the key variable within each cell. The columns are:

clID         The cell ID.  
 MIN          The minimum value.  
 0.XX         Percentile of probability 0.XX.  
 MAX          The maximum value

## 5.2 The links files

### 5.2.1 cell\_links.txt

This file is rather intended for development and debugging. It contains the truncated logical link matrix. The rows represent cells which are the same like in cell\_stats.txt. Because the matrix is truncated each row contains only cells which appear at the same, one before and after time step of the corresponding row/cell. The first column mentions the point of truncation. The second column names the first ID in each row. With this information the complete  $n*n$  matrix can be reconstructed. The third column contains the column number of each row/cell in the link matrix.

### 5.2.2 links\_stats.txt

This file contains the number of forward and backward links for each cell. The columns are:

clID    The cell ID.  
 nbw    The number of backward links.  
 nfw    The number of forward links.

## 5.3 The tracks files

### 5.3.1 tracks.nc

This file is similar to cells.nc but with track IDs instead of cell IDs. The default file format is netCDF.

### 5.3.2 tracks\_all.txt / tracks\_clean.txt

These files list tracks with an object-oriented structure. The first line of each block (beginning with ###) gives important information about the track. The first integer is the track ID. Then, a logical value tells if the track is free of any boundary contact. The last number is the track type as explained in figure 1. Following the header, all cells of a track are listed. The only column is:

clID        The cell ID.

The difference between the two files is that tracks\_clean.txt only lists tracks which are of type 9 and do not touch the boundaries during their lifetime.

### 5.3.3 tracks\_all\_stats.txt / tracks\_clean\_stats.txt

The structure of this files is the same as in tracks\_all.txt / tracks\_clean.txt. However, there are some additional columns:

clID        The cell ID.

tsclID     The time step this cell occurs.

clarea     The area in unit grid points.

clcmassX   The x coordinate of the center of mass.

clcmassY   The y coordinate of the center of mass.

wclcmassX   The x coordinate of the weighted center of mass.

wclcmassY   The y coordinate of the weighted center of mass.

peakVal    The maximum value.

avVal      The average value.

nobound    TRUE if all cells of this track are free of boundary contact.

The difference between the two files is that tracks\_clean\_stats.txt only lists tracks which are of type 9 and do not touch the boundaries during their lifetime.

### 5.3.4 tracks\_all\_summary.txt / tracks\_clean\_summary.txt

The summary files contain overall statistics for all tracks/tracks of type 9 which do not touch the boundaries. The columns represent:

trackID    The cell ID.

trType     The track type according to figure 1.

peakVal    The maximum value.

pValtime   The time step of the maximum value.

avVal      The average value.

start      The time step at which a track starts.

dur        The duration/life time.

## 5.4 The meta tracks files

### 5.4.1 meta.nc

This file is similar to tracks.nc but with meta track IDs instead of track IDs. The default file format is netCDF.

### 5.4.2 meta\_mainstream.nc

This file is similar to meta.nc but contains only the mainstream of each meta track. The default file format is netCDF.

### 5.4.3 meta\_all.txt

This file lists meta tracks with an object-oriented structure. The first line of each block (beginning with ###) gives important information about the meta track. The first integer is the meta track ID. Then, a logical value tells if the track is free of boundary contact. Following the header, all tracks of a meta track are listed. The only column is:

trackID    The track ID.

### 5.4.4 meta\_summary.txt

This file contains summary statistics about the different track types in a meta track. The columns are

metaID    The ID of the meta track a mainstream belongs to.

dur        The duration of the meta track in time steps (from first cell to last cell).

nXX        The number of tracks of a certain type (e.g. n10 for track type 10)

### 5.4.5 meta\_mainstream.txt

Similar to the previous file this one lists all tracks of a meta track that are part of the mainstream. The logical value in the header of each block indicates whether the mainstream is free of boundary contact. The columns are the same as in tracks\_all\_summary.txt / tracks\_clean\_summary.txt.

### 5.4.6 meta\_stats.txt

Same as the previous file but including all tracks of a meta track.

### 5.4.7 meta\_con.txt / meta\_con\_pher.txt

These files contain information about the connections between tracks within a meta track. The header of a block is the same as in meta\_stats.txt. The columns of meta\_con.txt are:

trackID1   First track of a pair of connected tracks.

trackID2   Second track of a pair of connected tracks.

In meta\_con\_pher.txt there are two additional columns:

pher	The final pheromone value of this connection.
dist	The distance between the connecting cells of the two tracks. See section 1.7.

#### **5.4.8 meta\_mainstream\_summary.txt**

This file contains some summary statistics about the mainstream. The columns are:

metaID	The ID of the meta track a mainstream belongs to.
dur	The duration of the mainstream in time steps.
MetaValSum	The total sum of the key variable (used for cell detection) for the whole meta track.
MstrValSum	The total sum of the key variable (used for cell detection) for the mainstream.
valFrac	The fraction of the key variable included in the mainstream (compared to the whole meta track).

## References

- Dorigo, M. (1992). *Optimization, Learning and Natural Algorithms (in Italian)*. PhD thesis, Dipartimento di Elettronica, Politecnico di Milano, Milan, Italy.
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- Moseley, C., Berg, P., and Haerter, J. O. (2013). Probing the precipitation life cycle by iterative rain cell tracking. *Journal of Geophysical Research: Atmospheres*, 118(24):13,361–13,370.