Lecture 3 Algorithms & Data Structures

Goldsmiths Computing

October 15, 2018

Outline

Introduction

Dynamic arrays

Big-O notation

The Random-Access Machine

Analysis of vector operations



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Analysis of vector operations

Lecture

- Pseudocode
 - · loops:
 - · for, forall, while, until
 - · break, continue
 - general loop
 - · function calls
 - · pre- and post-conditions

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- Pseudocode
 - · loops:
 - · for, forall, while, until
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 - general loop
 - · function calls
 - · pre- and post-conditions
- · Data structure building blocks
 - pairs

Lecture

- Pseudocode
 - · loops:
 - · for, forall, while, until
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 - general loop
 - · function calls
 - · pre- and post-conditions
- · Data structure building blocks
 - pairs
 - · vectors (one-dimensional arrays)



Lab

- pseudocode for real algorithms
 - 1. reading, trying
 - 2. inferring, proving
- working with the lab environment
 - 1. makefiles, test libraries
 - 2. online submission system

VLE activities

Programming language choice

- · 126 have made their choice; thank you!
 - · contact me directly if you need to change
 - · contact me directly (with an apology!) if you haven't made your choice

Pairs and vectors quiz

Statistics so far:

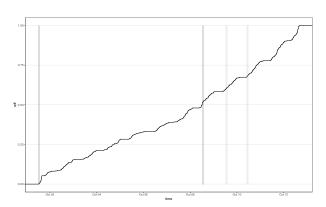
- 177 attempts: average mark 5.68
- 86 students: average mark 6.60
 - 17 under 4.00, 45 over 6.99, 24 at 10.00

Quiz closes at 16:00 on Friday 19th October

- · no extensions
- grade is
 - 0 (for no attempt)
 - $30 + 70 \times (\text{score}/10)^2$

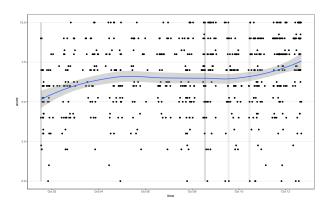
Pseudocode quiz

- 650 attempts: average mark 6.53
- 137 students: average mark 8.50
 - 2 under 4.00, 118 above 6.99, 49 at 10



Pseudocode quiz

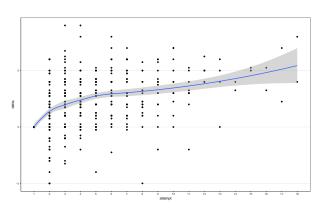
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Pseudocode quiz

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Motivation

- constant-time access of (fixed) arrays
- extensibility of linked lists
- Java: ArrayList, C++ std::vector

We can solve any problem [in Computer Science] by introducing an extra level of indirection. – David J. Wheeler

Definition

A dynamic array is a finite sequential collection of data. (removal of "fixed-size" from the definition of vector)

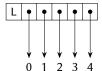
Operations

length return the current size of the dynamic array select[k] return the k^{th} element of the dynamic array store![o,k] set the k^{th} element of the array to o

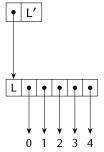
Operations

```
length return the current size of the dynamic array select[k] return the k<sup>th</sup> element of the dynamic array store![o,k] set the k<sup>th</sup> element of the array to o push![o] increase the length of the dynamic array by 1, and set the endmost element to o pop! return the endmost element, decreasing the size of the dynamic array by 1
```

Implementation



Implementation





Push!

```
Require: A :: dynamic array function PUSH!(A,k)

if LENGTH(LEFT(A)) = RIGHT(A) then

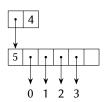
EXTEND(A)

end if

A[RIGHT(A)] \leftarrow k

RIGHT(A) \leftarrow RIGHT(A) + 1

end function
```



Push!

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Require: A :: dynamic array function PUSH!(A,k)

if LENGTH(LEFT(A)) = RIGHT(A) then

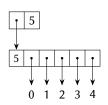
EXTEND(A)

end if

A[RIGHT(A)] \leftarrow k

RIGHT(A) \leftarrow RIGHT(A) + 1

end function
```



```
Require: A :: dynamic array
function EXTEND(A)

newL ← NEWLENGTH(RIGHT(A))

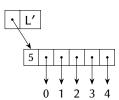
new ← new Vector(newL)

for 0 ≤ i < LENGTH(A) do

new[i] ← LEFT(A)[i]

end for

LEFT(A) ← new
end function
```



```
Require: A :: dynamic array
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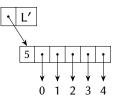
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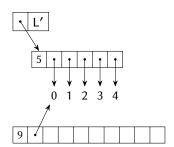
for 0 \le i < Length(A) \ do

new[i] \leftarrow Left(A)[i]

end for

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end function
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```
Require: A :: dynamic array
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newL ← NEWLENGTH(RIGHT(A))

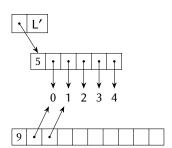
new ← new Vector(newL)

for 0 ≤ i < LENGTH(A) do

new[i] ← LEFT(A)[i]

end for

LEFT(A) ← new
end function
```



```
Require: A :: dynamic array
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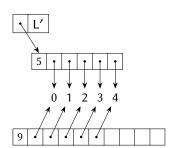
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end function
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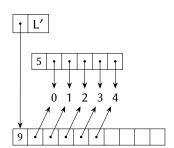
new ← new Vector(newL)

for 0 ≤ i < LENGTH(A) do

new[i] ← LEFT(A)[i]

end for

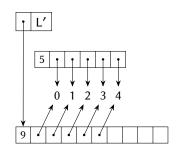
LEFT(A) ← new
end function
```



```
Require: A :: dynamic array
  function EXTEND(A)
      newL \leftarrow newLength(Right(A))
      new ← new Vector(newL)
      for 0 \le i < LENGTH(A) do
          new[i] \leftarrow LEFT(A)[i]
      end for
      LEFT(A) \leftarrow new
  end function
```

What should NEWLENGTH(n) be?

- return n + C (e.g. n + 10)?
- return C × n (e.g. 2 × n)?
- return n^C (e.g. n²)?



Complexity analysis

length, select, store!

- each is a pointer read (to get the storage array) and a $\Theta(1)$ array operation

$$\Rightarrow \Theta(1)$$

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Usual case:

- · increment length
- · store value in storage array

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Complexity analysis

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• each is a pointer read (to get the storage array) and a $\Theta(1)$ array operation

$$\Rightarrow \Theta(1)$$

push!

Usual case:

- · increment length
- store value in storage array

$$\Rightarrow \Theta(1)$$

When extending storage array:

- · as above plus...
- · ... copy existing contents to new array

$$\Rightarrow \Theta(N)$$



Work

- 1. Reading
 - · CLRS, section 17.4
- 2. Implement a dynamic array using a pair and an array (as shown in these slides). What will you do with the storage array when implementing POP!?

Outline

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Dynamic arrays

Big-O notation

The Random-Access Machine

Analysis of vector operations

Motivation

- · compare functions in terms of their growth
 - including functions describing algorithm steps
- ignore irrelevant details:
 - lower-order terms
 - · constant factors
- · basis for informal engineering designs
 - · how big will my data grow?
 - · will my existing solution still work adequately at scale?

$$f(x) = O(g(x)) \text{ or } f(x) \in O(g(x))$$

Informally:

• f(x) grows no faster than g(x)

Heuristically:

• as $x \to \infty$, f(x) is bounded above by some constant times g(x)

Formally:

$$\exists (C \in \mathbb{R}^+) : \exists (x_0 \in \mathbb{R}) : \forall (x > x_0) : f(x) < Cg(x)$$

Examples:

•
$$x^2 - 3x + 6 = O(x^2)$$
 (e.g. choose $x_0 = 1, C = 5$)

•
$$x^2 - 3x + 6 = O(x^4 + 3)$$
 (e.g. choose $x_0 = 1, C = 2$)

•
$$x + 2x \log(x) + 3(\log(x))^2 = O(x \log(x))$$
 (e.g. choose $x_0 = 20$, $C = 3$)

$$f(x) = \Omega(g(x)) \text{ or } f(x) \in \Omega(g(x))$$

Informally:

• f(x) grows no slower than g(x)

Heuristically:

• as $x \to \infty$, f(x) is bounded below by some constant times g(x)

Formally:

$$\exists (C \in \mathbb{R}^+) : \exists (x_0 \in \mathbb{R}) : \forall (x > x_0) : f(x) > Cg(x)$$

Examples:

•
$$x^2 - 3x + 6 = \Omega(x^2)$$
 (e.g. choose $x_0 = 3$, $C = \frac{1}{2}$)

•
$$x^2 - 3x + 6 = \Omega(x)$$
 (e.g. choose $x_0 = 3$, $C = 1$)

•
$$x + 2x \log(x) + 3(\log(x))^2 = \Omega(\log(x)^2)$$
 (e.g. choose $x_0 = 1$, $C = 1$)

$$f(x) = \Theta(g(x)) \text{ or } f(x) \in \Theta(g(x))$$

Informally:

• f(x) grows like g(x)

Heuristically:

• as $x \to \infty$, f(x) is bounded above and below by constants times g(x)

Formally:

$$\exists (C_1, C_2 \in \mathbb{R}^+) : \exists (x_0 \in \mathbb{R}) : \forall (x > x_0) : C_1 g(x) < f(x) < C_2 g(x)$$

Examples:

•
$$x^2 - 3x + 6 = \Theta(x^2)$$
 (e.g. choose $x_0 = 3$, $C_1 = \frac{1}{2}$, $C_2 = 5$)

$$f(x) = o(g(x)) \text{ or } f(x) \in o(g(x))$$

Informally:

• f(x) grows much slower than g(x)

Heuristically:

• as
$$x \to \infty$$
, $\frac{f(x)}{g(x)} \to 0$

Formally:

$$\forall (\varepsilon \in \mathbb{R}^+) : \exists (x_0 \in \mathbb{R}) : \forall (x > x_0) : f(x) < \varepsilon g(x)$$

Common complexity classes

```
\begin{array}{ll} \Theta(1) & \text{slowest growth} \\ \Theta(\log(n)) & \\ \Theta((\log(n))^{1+c}) & \\ \Theta(n^c) & \\ \Theta(n) & \\ \Theta(n\log(n)) & \\ \Theta(n^{1+c}) & \\ \Theta((1+c)^n) & \\ \Theta(n!) & \\ \Theta(n^n) & \text{fastest growth} \end{array}
```

for 0 < c < 1



Work

- 1. Reading
 - · CLRS, chapter 3
 - · DPV, section 0.3
- 2. Problems from CLRS:
 - 1-1 Comparison of running times
 - 3-2 Relative asymptotic growths
 - 3-3 Ordering by asymptotic growth rates
- 3. Exercises from DPV: 0.1, 0.2
- 4. do the big-O quiz on the VLE



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Motivation

- · model for real computers
- · simple enough to reason about

- · an unbounded amount of memory
 - · addressable by integers
 - · each memory access takes a constant time step

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 - each memory access takes a constant time step
- · a program made up of simple instructions
 - · executed one-at-a-time
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- · program combinations using functions, loops, conditionals
 - · the combination itself takes a constant time step

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 - each memory access takes a constant time step
- · a program made up of simple instructions
 - · executed one-at-a-time
 - · each simple instruction takes a constant time step
- · program combinations using functions, loops, conditionals
 - · the combination itself takes a constant time step
 - · the result of combination takes longer

Time and Space

Running time

The number of constant time steps taken

- · memory access
- · simple instructions executed
- · combinations executed

Time and Space

Running time

The number of constant time steps taken

- memory access
- · simple instructions executed
- · combinations executed

Space used

The number of memory locations used

• in addition to the space used by the input: "additional space used"



```
1: function Exercise1(v)
        a \leftarrow 0; b \leftarrow 0
 2:
        for 0 \le i < LENGTH(v) do
 3:
             if v[i] > b then
4:
                 if v[i] > a then
5:
                      b ← a
6:
                      a \leftarrow v[i]
 7:
                 else
8:
                      b \leftarrow v[i]
9:
                 end if
10:
             end if
11:
        end for
12:
        return b
13:
14: end function
```

```
1: function Exercise1(v)
         a \leftarrow 0; b \leftarrow 0
 2:
                                                                                           ⊳ 2
         for 0 \le i < LENGTH(v) do
 3:
             if v[i] > b then
4:
                  if v[i] > a then
5:
                       b \leftarrow a
6:
                       a \leftarrow v[i]
 7:
                  else
8:
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9:
                  end if
10:
             end if
11:
         end for
12:
         return b
13:
                                                                                           ⊳ 1
14: end function
```

```
1: function Exercise1(v)
         a \leftarrow 0; b \leftarrow 0
 2:
                                                                                           ⊳ 2
        for 0 \le i < LENGTH(v) do
                                                                           \triangleright n = LENGTH(v)
 3:
             if v[i] > b then
4:
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                                                                                                 ⊳ 2
         for 0 \le i < LENGTH(v) do
 3:
                                                                                \triangleright n = LENGTH(v)
              if v[i] > b then
 4:
                                                                                                 ⊳ n
                   if v[i] > a then
                                                                                         \triangleright up to n
 5:
                        b \leftarrow a
 6:
                        a \leftarrow v[i]
 7:
                   else
 8:
                        b \leftarrow v[i]
 9:
                   end if
10:
              end if
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                                                                                       ⊳ up to n
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              end if
11:
         end for
12:
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                                                                                               ⊳ 1
14: end function
```

The point of all of this

In software design and implementation, we often want to:

- · minimize the time the program takes to run
- minimize the resources (e.g. memory, disk space) the program consumes

The Random-Access Machine model

- simple enough to compute answers, at least approximately
- · realistic enough to be a guide to real computers

Work

- 1. Reading
 - · CLRS, section 2.2
- 2. Exercises from CLRS: 2.2-1, 2.2-4

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Motivation

- understand consequence of data structure design decisions
- simple example of random-access model and big-O notation

Implementation details

Here we are thinking as the data structure implementor, not the data structure user

- · look "behind the curtain" of the data structure
- implementing operations, so we can't use them!

Implementation details

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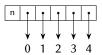
- look "behind the curtain" of the data structure
- implementing operations, so we can't use them!

Primitives:

- MREF(n) $\Rightarrow \mathbb{Z}$
- ALLOC(n) $\Rightarrow \mathbb{Z}$ (and allocates memory)
- arithmetic

Constructor

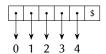
length-data



function VNEW(n) $V \leftarrow ALLOC(n+1)$ $MREF(V) \leftarrow n$ return Vend function

$$\Rightarrow \Theta(1)$$

sentinel

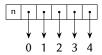


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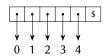
length-data



$$\begin{array}{ll} \textbf{function} \ \mathsf{VNEW}(n) \\ & \mathsf{V} \leftarrow \mathsf{ALLOC}(n+1) & \rhd \ 2 \\ & \mathsf{MREF}(\mathsf{V}) \leftarrow \mathsf{n} & \rhd \ 1 \\ & \mathbf{return} \ \mathsf{V} & \rhd \ 1 \\ & \mathbf{end} \ \mathbf{function} \end{array}$$

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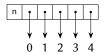


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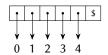
length-data



 $\begin{array}{ll} \textbf{function VNEW(n)} \\ v \leftarrow \texttt{ALLOC(n+1)} & \rhd 2 \\ \texttt{MREF}(v) \leftarrow n & \rhd 1 \\ \textbf{return } v & \rhd 1 \\ \textbf{end function} \end{array}$

 $\Rightarrow \Theta(1)$

sentinel



function VNEW(n)

$$v \leftarrow \text{alloc}(n+1)$$

▷ 2▷ 2

 $MREF(v+n) \leftarrow \$$ return v

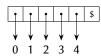
⊳ 1

end function

 $\Rightarrow \Theta(1)$

Dereference

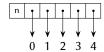
sentinel



function [](v,i)
return MREF(v+i)
end function

$$\Rightarrow \Theta(1)$$

length-data



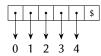
function [](v,i)
 return MREF(v+i+1)
end function

$$\Rightarrow \Theta(1)$$

Dereference

⊳ 2

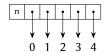
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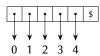


function [](v,i)
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end function

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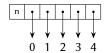
sentinel



 $\begin{array}{ccc} \textbf{function} & [](v,i) \\ & \textbf{return} & \texttt{MREF}(v+i) \\ & \texttt{end function} \end{array} \hspace{0.5cm} \triangleright 2$

 $\Rightarrow \Theta(1)$

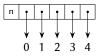
length-data



function [](v,i)
return MREF(v+i+1) ▷ 3
end function

$$\Rightarrow \Theta(1)$$

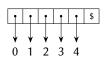
length-data



function LENGTH(v) return MREF(v) end function

$$\Rightarrow \Theta(1)$$

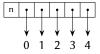
sentinel



function LENGTH(v)
$$| \leftarrow 0$$
 while MREF(v+l) $\neq \$$ do
$$| \leftarrow l+1$$
 end while return $|$ end function

$$\Rightarrow \Theta(n)$$

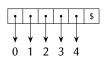
length-data



function LENGTH(v)
return MREF(v) ▷ 1
end function

$$\Rightarrow \Theta(1)$$

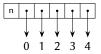
sentinel



$$\label{eq:function} \begin{split} &\text{function Length}(v) \\ &| \longleftarrow 0 \\ &\text{while MREF}(v+l) \neq \$ \text{ do} \\ &| \longleftarrow l+1 \\ &\text{end while} \\ &\text{return } l \\ &\text{end function} \end{split}$$

$$\Rightarrow \Theta(n)$$

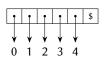
length-data

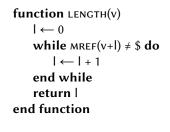


function LENGTH(v)
return MREF(v) ▷ 1
end function

$$\Rightarrow \Theta(1)$$

sentinel

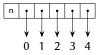




$$\Rightarrow \Theta(n)$$

⊳ 1

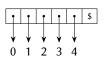
length-data



function LENGTH(v)
return MREF(v) ▷ 1
end function

$$\Rightarrow \Theta(1)$$

sentinel



 $\Rightarrow \Theta(n)$

Activities over the next week

- 1. Finish pairs and vectors quiz (16:00 Friday 20th October)
- 2. Start big-O quiz
- 3. Labsheet 03: dynamic arrays
- 4. Reading and problems
- 5. Answer and ask questions on the forum