

# Working Smarter

## Actionable, Effortless Compliance Services

We provide a stand up best-in-class, affordable, and efficient compliance program targeted for growth companies particularly in the health care space. Our “Working Smarter” compliance model is different than others and is defined by three key attributes:

### Proactive



Our service model is designed to provide leaders early notice of risk areas before they become loss events. To do this, we design a system of notifications and reports that will alert you to issues as they develop.

### Practical & Efficient



A growth company often doesn't need a full suite of compliance professionals and tools. We use your existing tools (Microsoft, Google, etc.) and assign you an experienced legal technologist to monitor your program. This system is affordable and customizable to fit your organization.

### Helpful Experts



At Working Smarter we believe most compliance events come from lack of information. Our approach is not to judge or police the workforce, but rather to help provide the right information at the right time. Our model is designed by experts leveraging years of experience.

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## How This Works

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### Implementation

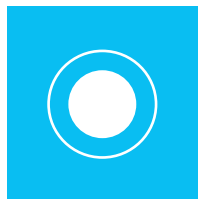


To start, we will do a series of interviews to define your need, understand your risks, and elicit your preferences.

After these interviews, we will help you build the key elements of a compliance program, including a system of custom software tools and reports.

The information will be stored securely on your system and confidential.

### Monitoring



After implementation, we provide an experienced professional to monitor your system and alert you of events and trends.

### Event Response



If you are to experience a significant event that requires legal support, we have a panel of independent and affordable counsel to assist you.

# Working Smarter

## Healthcare Compliance Services

### Implementation Fee:

A one-time implementation fee is charged to initiate the services, perform a risk assessment, and create the basic structures and key content. The fee is variable depending on the level of need, but for most clients it is \$15,000.

### Monitoring:

- Pricing is variable depending on the size of your company and your risk profile. Two client examples as a guide:
- Small company: low risk \$25,000/yr
- Mid-size companies: (200 employees, high risk)

### Additional Services: À La Carte

À la carte services and pricing are available upon request.

## Core Services

### 1.+ 2. Structure & Compliance Officer

- We will help you identify a compliance officer and provide them training and support. As an add on, we can help you design committees or other structures.



### 3. Policies

- We will provide you a suite of template policies.
- We can help you build an online policy system if desired.



### 4. Training

- We will provide you template content for an annual workforce compliance training.
- If interested, we can help you record and deliver the training to your workforce.



### 5. Response to Reports

- We will build a system to notify you of events and protocols for how to respond.



### 6. Monitoring and Auditing

- We will help develop monthly event reports.
- We will provide assistance in developing other monthly reports where needed.



### 7. Disciplinary Action

- We will assist with establishing and implementing disciplinary procedures.



# Clinical Risk Management Program

In addition to our compliance services, we have a similar clinical risk management service that allows your workforce to report issues and concerns to your clinical leadership. This is an essential element of malpractice prevention.

**The risk management services include the basic elements of a clinical risk program:**

## Lines of communication

- Reporting system
- Communication with counsel
- Communication with leadership

## Policies

- Sample policies
- Customizable policy templates

## Response to Reports

- Responding and investigation
- Support for staff during and after incidents
- Bring resources, research, and project management skills to solve problems

## Training

- Training and development around top known risk areas

## Monitoring and Auditing

- Identify trends and action plans with leadership

## Just Culture

- Supporting leadership/human resources as necessary

**Additional add-on services available to purchase outside the core package:**

### On-site risk assessments



### Root cause analysis after an incident for process improvement



### Grievance management



### On-site committee and/or board meeting attendance

