

# EE 505 HW 1

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January 14, 2023

## Problem 1.8

We are given a model for a generator

$$\begin{aligned} M\ddot{\delta} &= P - D\dot{\delta} - \eta_1 E_q \sin \delta \\ \tau \dot{E}_q &= -\eta_2 E_q + \eta_3 \cos \delta + E_{FD} \end{aligned}$$

a) Let  $x_1 = \delta$ ,  $x_2 = \dot{\delta}$ , and  $x_3 = E_q$ . The state equation becomes

$$f(x) = \begin{bmatrix} x_2 \\ \frac{1}{M}(\eta_1 x_3 \sin x_1 - Dx_2 + P) \\ \frac{1}{\tau}(\eta_3 \cos x_1 - \eta_2 x_3 + E_{FD}) \end{bmatrix}$$

b) The equilibrium points occur when  $f(x) = 0$ . Therefore,  $x_2 = 0$ , while  $x_1$  and  $x_3$  obey

$$\begin{aligned} \eta_1 x_3 \sin x_1 + P &= 0 \\ \eta_3 \cos x_1 - \eta_2 x_3 + E_{FD} &= 0 \end{aligned}$$

Writing  $x_3 = \frac{\eta_3}{\eta_2} \cos x_1 + \frac{1}{\eta_2} E_{FD}$  and substituting, we see that  $x_1$  obeys

$$\frac{\eta_1}{\eta_2} (\eta_3 \cos x_1 + E_{FD}) \sin x_1 - P = 0$$

which is periodic with  $T = 2\pi$ . Using the given values of the constants, we can plot the equation above to find when  $x_1$  is zero, as shown in Fig. 1. Using the `fzero` command, we see that

$$x_1 = 0.4067 + 2\pi n \text{ or } x_1 = 1.6398 + 2\pi n$$

Under these conditions,

$$x_3 = 1.0301 \text{ or } x_3 = 0.4085$$

Therefore, the equilibrium points are

$$\begin{aligned} x &= (0.4067 + 2\pi n, 0, 1.0301) \text{ or} \\ x &= (1.6398 + 2\pi n, 0, 0.4085) \end{aligned}$$

c) When  $\dot{E}_q = 0$ , the system is described with

$$M\ddot{\delta} + D\dot{\delta} + \eta_1 E_q \sin \delta = P$$

The equation of motion for a damped pendulum is given as

$$\ddot{y} + \frac{k}{m}\dot{y} + \frac{g}{\ell} \sin y = \frac{1}{ml^2}T$$

It is clear that both equations can be written in the form

$$\ddot{x} + b\dot{x} + c \sin x = F$$

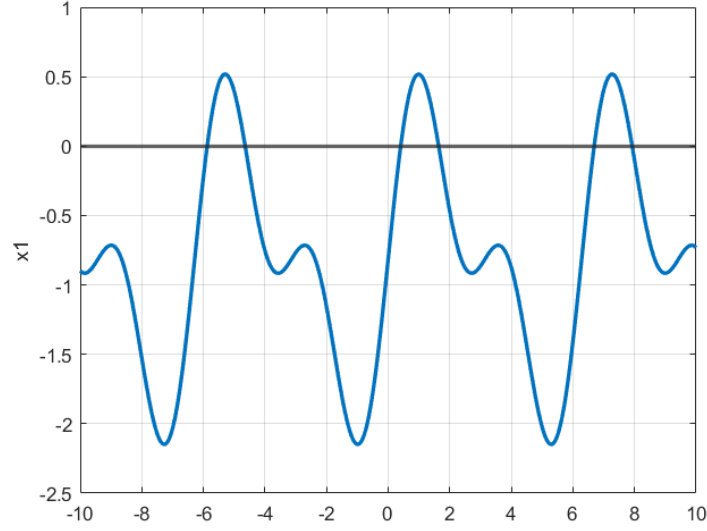


Figure 1: Zeros of  $x_1$

## Problem 1.9

a) Using the state variables  $\phi_L$  and  $v_C$ , we find an expression for  $f(t, x)$ . From KCL, we see that

$$\begin{aligned} i_S(t) &= i_R + i_C + i_L = \frac{1}{R}v_C + C\dot{v}_C + I_0 \sin k\phi_L \\ \Rightarrow \dot{v}_C &= -\frac{1}{RC}v_C - \frac{I_0}{C} \sin k\phi_L + \frac{1}{C}i_S(t) \end{aligned}$$

Since the inductor and capacitor are in parallel,

$$\begin{aligned} v_C &= v_L = L \frac{di_L}{dt} = L \frac{d}{dt}(\sin k\phi_L) = kL\dot{\phi}_L \cos k\phi_L \\ \Rightarrow \dot{\phi}_L &= \frac{v_C}{kL \cos k\phi_L} \end{aligned}$$

Using  $x_1 = \phi_L$  and  $x_2 = v_C$ , we have

$$f(t, x) = \left[ \frac{x_2}{kL \cos kx_1}, -\frac{I_0}{C} \sin kx_1 - \frac{1}{RC}x_2 + \frac{1}{C}i_S(t) \right]^T$$

b) It is easier to obtain an expression for  $f(t, x)$  using the state variables  $i_L$  and  $v_C$ . The state equations become

$$\dot{v}_C = -\frac{1}{RC}v_C - \frac{1}{C}i_L + \frac{1}{C}i_S(t)$$

and

$$\frac{di_L}{dt} = \frac{1}{L}v_C$$

## Problem 1.10

a) When  $i_L = L\phi_L + \mu\phi_L^3$ , the first state equation is simply

$$\dot{v}_C = -\frac{1}{RC}v_C - \frac{1}{C}(L\phi_L + \mu\phi_L^3) + \frac{1}{C}i_S(t)$$

The second state equation is

$$\begin{aligned} v_C &= L \frac{di_L}{dt} = L \frac{d}{dt}(L\phi_L + \mu\phi_L^3) = L(L\dot{\phi}_L + 3\mu\phi_L^2\dot{\phi}_L) = \dot{\phi}_L(L^2 + 3\mu L\phi_L^2) \\ \Rightarrow \dot{\phi}_L &= \frac{v_C}{L^2 + 3\mu L\phi_L^2} \end{aligned}$$

b) When  $i_S(t) = 0$ , the equilibrium points are found by setting  $\dot{v}_C = \dot{\phi}_L = 0$ . From the second state equation, it is clear that equilibrium is reached only when  $v_C = 0$ . This implies

$$\phi_L(L + \mu\phi_L^2) = 0$$

Since  $\mu$  and  $L$  are positive constants and  $\phi_L$  is real, this expression holds only when  $\phi_L = 0$ . Therefore, the equilibrium point is located at the origin:

$$(\phi_L, v_C) = (0, 0)$$

## Problem 1.11

a) Show that  $\dot{z} = Az + B \sin e$  and  $\dot{e} = -Cz$ . From the block diagram, the following relationships hold:

$$e = \theta_i - \theta_o, \quad u = \sin e, \quad \theta_o = \int_{-\infty}^t y \, dt$$

Since  $G(s)$  represents the stable system  $\{A, B, C\}$  and  $z$  is the realization of  $\{A, B, C\}$ ,

$$\begin{aligned} \dot{z} &= Az + Bu \\ y &= Cz \end{aligned}$$

Substituting for  $u$ ,

$$\dot{z} = Az + B \sin e$$

Taking a derivative of  $e$ ,

$$\dot{e} = -\dot{\theta}_o = -y \Rightarrow \dot{e} = -Cz$$

b) The equilibrium points are found by setting  $\dot{z} = \dot{e} = 0$ . Further,  $A, B, C$  are constant coefficient matrices. From  $\dot{e} = 0 \Rightarrow Cz = 0$ , we see that  $z \in \mathcal{N}(C)$ . ( $z$  is in the null space of  $C$ ). Next, we have

$$0 = Az + B \sin e$$

Rearranging and multiplying on the left by  $A^{-1}$ , we see that

$$z = -A^{-1}B \sin e$$

Now multiply on the left by  $C$ . We have

$$Cz = 0 = -CA^{-1}B \sin e$$

We know that  $G(s) = C(sI - A)^{-1}B$ , and  $G(0) = -CA^{-1}B \neq 0$ . Therefore,  $\dot{z} = 0$  only when  $\sin e = 0$ . This occurs at  $e = k\pi$ , with  $k \in \mathcal{Z}$ . ( $k$  is any integer.)

c) Show that with  $G(s) = 1/(\tau s + 1)$  the closed-loop model coincides with the model of a pendulum. We can represent this transfer function in state space with  $A = -\frac{1}{\tau}$ ,  $B = \frac{1}{\tau}$ , and  $C = 1$ . Proof:

$$G(s) = C(sI - A)^{-1}B = \frac{1/\tau}{s + 1/\tau} = \frac{1}{\tau s + 1}$$

Plugging into the state space model with  $y = z$ , we have

$$\dot{y} = -\frac{1}{\tau}y + \frac{1}{\tau} \sin e$$

From  $e = \theta_i - \int y dt$ , we have  $\dot{e} = -y$  and  $\ddot{e} = -\dot{y}$ . Substituting for  $e$  and simplifying, we have

$$\ddot{e} + \frac{1}{\tau}\dot{e} + \frac{1}{\tau} \sin e = 0$$

The equation of motion for an unforced damped pendulum is given as

$$\ddot{y} + \frac{k}{m}\dot{y} + \frac{g}{\ell} \sin y = 0$$

It is clear that both equations can be written in the form

$$\ddot{x} + b\dot{x} + c \sin x = 0$$

## Problem 1.12

The spring is described by the equation

$$m\ddot{y} = F_s + F_d = c_0y + c_1\dot{y} + c_2\dot{y}|\dot{y}|$$

Letting  $x_1 = y$  and  $x_2 = \dot{y}$ , the state equation is

$$f(x) = \begin{bmatrix} x_2 \\ c_0x_1 + c_1x_2 + c_2x_2|x_2| \end{bmatrix}$$

This can be written without the absolute values as

$$f(x) = \begin{bmatrix} x_2 \\ c_0x_1 + c_1x_2 + \text{sgn}(x_2)c_2x_2^2 \end{bmatrix}$$

## Problem 1.13

a) The equation of motion for the mass is given by

$$\begin{aligned} m\ddot{y} &= F_{k1} + F_{k2} + F_{drag} + F_{friction} \\ &= -k_1y - k_2y - b\dot{y} + h(v_0 - \dot{y}) \end{aligned}$$

Note that the  $h(\cdot)$  term is positive because when  $\dot{y} < v_0$ , the friction force is to the right (positive  $y$  direction). When  $\dot{y} > v_0$ , the friction force is to the left.

b) When  $|\dot{y}| \ll v_0$ ,  $h(v) = h(v_0) - \dot{y}h'(v_0)$ . The equation of motion becomes

$$\begin{aligned} m\ddot{y} &= -k_1y - k_2y - b\dot{y} + h(v_0) - \dot{y}h'(v_0) \\ &= -(k_1 + k_2)y - (b + h'(v_0))\dot{y} + h(v_0) \end{aligned}$$

c) The negative friction will occur when  $b + h'(v_0) < 0$ . For small  $b$ , this condition is met when  $h'(v_0) < 0$  and  $\dot{y} > 0$ . From section 1.2.3, we see this condition will occur when Coulomb and Linear Friction is present along with the Stribeck effect.

## Problem 1.14

The  $y$  be the distance traveled along the inclined road to the left. The equation of motion for the vehicle is

$$\begin{aligned} M\ddot{y} &= F_\mu + F_v + F_d + F_g + F \\ &= -\mu Mg \cos \theta - b\dot{y} - c\dot{y}^2 - Mg \sin \theta + F \end{aligned}$$

Let  $x_1 = y$  and  $x_2 = \dot{y}$ . The state model with input  $F$  and disturbance  $\theta$  is

$$\dot{x} = f(x, \theta, F) = \begin{bmatrix} x_2 \\ -bx_2 - cx_2^2 - \mu Mg \cos \theta - Mg \sin \theta + F \end{bmatrix}$$

## Problem 1.15

a) Carrying out the indicated differentiation, we have

$$\begin{aligned} H &= m\ddot{y} + mL(\ddot{\theta} \cos \theta - \dot{\theta}^2 \sin \theta) \\ V &= mg - mL(\ddot{\theta} \sin \theta + \dot{\theta}^2 \cos \theta) \end{aligned}$$

Now we multiply  $H$  by  $L \cos \theta$  and multiply  $V$  by  $L \sin \theta$  and plug into the moment equation. We simplify using the familiar identity  $\sin^2 x + \cos^2 x = 1$ .

$$\begin{aligned} I\ddot{\theta} &= mgL \sin \theta - mL^2(\ddot{\theta} \sin^2 \theta + \dot{\theta}^2 \sin \theta \cos \theta) - mL^2(\ddot{\theta} \cos^2 \theta - \dot{\theta}^2 \sin \theta \cos \theta) - mL\ddot{y} \cos \theta \\ &= mgL \sin \theta - mL^2\ddot{\theta} - mL\ddot{y} \cos \theta \end{aligned}$$

Substituting  $H$  into the equation of motion for the cart immediately gives

$$M\ddot{y} = F - m(\ddot{y} + L\ddot{\theta} \cos \theta - L\dot{\theta}^2 \sin \theta) - k\dot{y}$$

b) Now we can solve for  $\ddot{\theta}$  and  $\ddot{y}$ . First, let us define Eqs. (1) and (2) as

$$I\ddot{\theta} = mgL \sin \theta - mL^2\ddot{\theta} - mL\ddot{y} \cos \theta \quad (1)$$

$$M\ddot{y} = F - m(\ddot{y} + L\ddot{\theta} \cos \theta - L\dot{\theta}^2 \sin \theta) - k\dot{y} \quad (2)$$

To solve for  $\ddot{\theta}$ , we use the elimination method with  $(m+M)(Eq.1) + (-mL \cos \theta)(Eq.2)$  and obtain

$$\ddot{\theta}[(m+M)(I+mL^2) - m^2L^2 \cos^2 \theta] = (m+M)mgL \sin \theta - mL \cos \theta(F + mL\dot{\theta}^2 \sin \theta - k\dot{y})$$

To solve for  $\ddot{y}$ , we use  $(-mL \cos \theta)(Eq.1) + (I+mL^2)(Eq.2)$  and obtain

$$\ddot{y}[(m+M)(I+mL^2) - m^2L^2 \cos^2 \theta] = (-mL \cos \theta)mgL \sin \theta + (I+mL^2)(F + mL\dot{\theta}^2 \sin \theta - k\dot{y})$$

Recognizing the common factors, we can write this as

$$\begin{bmatrix} \ddot{\theta} \\ \ddot{y} \end{bmatrix} = \frac{1}{\Delta(\theta)} \begin{bmatrix} m+M & -mL \cos \theta \\ -mL \cos \theta & I+mL^2 \end{bmatrix} \begin{bmatrix} mgL \sin \theta \\ F + mL\dot{\theta}^2 \sin \theta - k\dot{y} \end{bmatrix}$$

where  $\Delta(\theta)$  is the scalar quantity

$$\Delta(\theta) = (m+M)(I+mL^2) - m^2L^2 \cos^2 \theta$$

Because  $0 \leq \cos^2 \theta \leq 1$ ,

$$\Delta(\theta) \geq (I+mL^2)M + mI > 0$$

c) With  $x_1 = \theta$ ,  $x_2 = \dot{\theta}$ ,  $x_3 = y$ , and  $x_4 = \dot{y}$ , the state equation is

$$\dot{x} = f(t, x) = \begin{bmatrix} \overset{x_2}{\frac{1}{\Delta(x_1)}((m+M)mgL \sin x_1 - mL \cos x_1(F(t) + mLx_2^2 \sin x_1 - kx_4))} \\ \overset{x_4}{\frac{1}{\Delta(x_1)}((-mL \cos x_1)mgL \sin x_1 + (I + mL^2)(F(t) + mLx_2^2 \sin x_1 - kx_4))} \end{bmatrix}$$

where

$$\Delta(x_1) = (m+M)(I + mL^2) - m^2L^2 \cos^2 x_1$$

## Problem 1.16

a) Carrying out the differentiation gives

$$\begin{aligned} F_x &= m\ddot{x}_c + mL(\ddot{\theta} \cos \theta - \dot{\theta}^2 \sin \theta) \\ F_y &= mL(\ddot{\theta} \sin \theta + \dot{\theta}^2 \cos \theta) \end{aligned}$$

Plugging into the torque equation and simplifying using the same technique as Problem 1.15 gives

$$\begin{aligned} (I + mL^2)\ddot{\theta} &= u - mL \cos \theta \ddot{x}_c \\ \Rightarrow (I + mL^2)\ddot{\theta} + mL \cos \theta \ddot{x}_c &= u \end{aligned}$$

Plugging into the equation of motion for the platform gives

$$\begin{aligned} (m+M)\ddot{x}_c &= -mL(\ddot{\theta} \cos \theta - \dot{\theta}^2 \sin \theta) - kx_c \\ \Rightarrow (mL \cos \theta)\ddot{\theta} + (m+M)\ddot{x}_c &= mL\dot{\theta}^2 \sin \theta - kx_c \end{aligned}$$

We can rewrite the ( $\Rightarrow$ ) equations in the form

$$D(\theta) \begin{bmatrix} \ddot{\theta} \\ \ddot{x}_c \end{bmatrix} = \begin{bmatrix} u \\ mL\dot{\theta}^2 \sin \theta - kx_c \end{bmatrix}$$

where

$$D(\theta) = \begin{bmatrix} I + mL^2 & mL \cos \theta \\ mL \cos \theta & m + M \end{bmatrix}$$

b) Multiplying each side by  $D^{-1}(\theta)$ , we see that

$$\begin{bmatrix} \ddot{\theta} \\ \ddot{x}_c \end{bmatrix} = \frac{1}{\Delta D(\theta)} \begin{bmatrix} m+M & -mL \cos \theta \\ -mL \cos \theta & I + mL^2 \end{bmatrix} \begin{bmatrix} u \\ mL\dot{\theta}^2 \sin \theta - kx_c \end{bmatrix}$$

where

$$\Delta D(\theta) = (I + mL^2)(m+M) - m^2L^2 \cos^2 \theta \geq (I + mL^2)M + mI > 0$$

c) With  $x_1 = \theta$ ,  $x_2 = \dot{\theta}$ ,  $x_3 = x_c$ , and  $x_4 = \dot{x}_c$ , the state equation is

$$\dot{x} = f(t, x) = \begin{bmatrix} \overset{x_2}{\frac{1}{\Delta D(x_1)}((m+M)u(t) - mL \cos x_1(mLx_2^2 - kx_3))} \\ \overset{x_4}{\frac{1}{\Delta D(x_1)}((-mL \cos x_1)u(t) + (I + mL^2)(mLx_2^2 - kx_3))} \end{bmatrix}$$

where

$$\Delta D(x_1) = (I + mL^2)(m+M) - m^2L^2 \cos^2 x_1$$

d) The equilibrium points occur when  $f(x) = 0$  and  $u(t) = 0$ . This forces  $x_2 = x_4 = 0$ . The state equation reduces to

$$\begin{aligned} 0 &= kx_3 \cos x_1 \\ 0 &= kx_3 \end{aligned}$$

so equilibrium points occur on the line  $x = [x_1, 0, 0, 0]^T$ , with  $x_1 \in \mathbb{R}$ .

## Problem 1.17

a) Choose  $x_1 = i_f$ ,  $x_2 = i_a$ ,  $x_3 = \omega$ ,  $u_1 = v_f$ , and  $u_2 = v_a$ . Then the state equation is

$$\dot{x} = f(t, x) = [f_1(t, x), f_2(t, x), f_3(x)]^T$$

where

$$\begin{aligned} f_1(t, x) &= -\frac{R_f}{L_f}x_1 + \frac{1}{L_f}u_1(t) \\ f_2(t, x) &= -\frac{c_1}{L_a}x_1x_3 - \frac{R_a}{L_a}x_2 + u_2(t) \\ f_3(x) &= \frac{c_2}{J}x_1x_2 - \frac{c_3}{J}x_3 \end{aligned}$$

b) When  $v_a$  is held constant, the state equation is

$$\dot{x} = f(t, x) = [f_1(t, x), f_2(x), f_3(x)]^T$$

where

$$\begin{aligned} f_1(t, x) &= -\frac{R_f}{L_f}x_1 + \frac{1}{L_f}u_1(t) \\ f_2(x) &= -\frac{c_1}{L_a}x_1x_3 - \frac{R_a}{L_a}x_2 + v_a \\ f_3(x) &= \frac{c_2}{J}x_1x_2 - \frac{c_3}{J}x_3 \end{aligned}$$

c) When  $v_f$  is held constant, the state equation is

$$\dot{x} = f(t, x) = [f_1(x), f_2(t, x), f_3(x)]^T$$

where

$$\begin{aligned} f_1(x) &= -\frac{R_f}{L_f}x_1 + \frac{1}{L_f}v_f \\ f_2(t, x) &= -\frac{c_1}{L_a}x_1x_3 - \frac{R_a}{L_a}x_2 + u_2(t) \\ f_3(x) &= \frac{c_2}{J}x_1x_2 - \frac{c_3}{J}x_3 \end{aligned}$$

Notice that  $\dot{x}_1 = f_1(x)$  does not depend on any other state variables or control inputs, so we can

obtain a closed form expression for  $x_1$  (WLOG let  $t_0=0$ ).

$$\begin{aligned}\dot{x}_1 &= -\frac{R_f}{L_f}x_1 + \frac{1}{L_f}v_f \\ sX_1(s) &= -\frac{R_f}{L_f}X_1(s) + \frac{v_f}{L_f}\frac{1}{s} \\ X_1(s) &= \frac{v_f}{L_f}\frac{1}{s(s + R_f/L_f)} \\ &= \frac{v_f}{R_f}\left(\frac{1}{s} - \frac{1}{s + R_f/L_f}\right) \\ \Rightarrow x_1(t) &= \frac{v_f}{R_f}(1 - e^{-(R_f/L_f)t})\end{aligned}$$

This can be substituted into the other state equations to obtain a 2nd order state equation

$$\dot{x} = f(t, x) = [f_1(t, x), f_2(x)]^T$$

where

$$\begin{aligned}f_1(t, x) &= -\frac{c_1}{L_a}\frac{v_f}{R_f}(1 - e^{-(R_f/L_f)t})x_3 - \frac{R_a}{L_a}x_2 + u_2(t) \\ f_2(x) &= \frac{c_2}{J}\frac{v_f}{R_f}(1 - e^{-(R_f/L_f)t})x_2 - \frac{c_3}{J}x_3\end{aligned}$$

d) Substituting  $v = v_a = v_f + R_x i_f$  into the original state equation and letting  $u(t) = v(t)$ , the state equation is

$$\dot{x} = f(t, x) = [f_1(t, x), f_2(t, x), f_3(x)]^T$$

where

$$\begin{aligned}f_1(t, x) &= -\frac{R_f + R_x}{L_f}x_1 + \frac{1}{L_f}u(t) \\ f_2(t, x) &= -\frac{c_1}{L_a}x_1x_3 - \frac{R_a}{L_a}x_2 + u(t) \\ f_3(x) &= \frac{c_2}{J}x_1x_2 - \frac{c_3}{J}x_3\end{aligned}$$

## Problem 1.18

a) The two equations that govern the behavior of the magnetic levitation system are

$$\begin{aligned}m\ddot{y} &= -k\dot{y} + mg - \frac{L_0 i^2}{2a(1 + y/a)^2} \\ v &= \frac{d}{dt}\left(L_1 i + \frac{L_0 i}{1 + y/a}\right) - Ri\end{aligned}$$

Expanding the second equation and solving for  $\frac{di}{dt}$  gives

$$\frac{di}{dt} = \frac{1}{L(y)}\left(v + Ri + \frac{L_0 i \dot{y}}{(1 + y/a)^2}\right)$$

where  $L(y)$  is defined as

$$L(y) = L_1 + \frac{L_0}{1 + y/a}$$



Now let  $x_1 = y$ ,  $x_2 = \dot{y}$ ,  $x_3 = i$ , and  $u = v$ . The state equation is  $\dot{x} = f(t, x) = [f_1(x), f_2(x), f_3(t, x)]^T$  where

$$\begin{aligned} f_1(x) &= x_2 \\ f_2(x) &= -\frac{k}{m}x_2 + g - \frac{L_0x_3^2}{2ma(1+x_1/a)^2} \\ f_3(t, x) &= \frac{1}{L(x)} \left( Rx_3 + \frac{L_0x_3x_2}{(1+x_1/a)^2} + u(t) \right) \end{aligned}$$

and  $L(x) = L(y)|_{y=x_1}$ .

b) When the ball is stationary at  $x_1 = r$ ,  $f_1(x) = f_2(x) = f_3(t, x) = 0$ . That implies  $x_2 = 0$ . Solving the remaining state equations for  $x_3$  and  $u(t)$  give

$$\begin{aligned} x_3 = I_{ss} &= \frac{1}{1+r/a} \sqrt{\frac{2gma}{L_0}} \\ u(t) = V_{ss} &= -RI_{ss} = -\frac{R}{1+r/a} \sqrt{\frac{2gma}{L_0}} \end{aligned}$$