

6.3 Space Discretization - Avoidance Grid

Operation Space: The *Operation Space* is a space where UAS can effectively surveilance its surroundings.

The *Discrete Situation Evaluation* is bounded to *UAS specific position* and *orientation* in fixed time t_i . To enable *deterministic evaluation* the *operation space* needs to be segmented into *finite set* of space portions. The *finite operation space segmentation* is usually done by *Grid* segmentation, which distributes space into portions with solid boundary.

The *Main Sensor* is *LiDAR* (problems ??-??). The *effective occupancy computation* [1] is given by clustering *LiDAR* field of vision into *polar coordinates grid*.

The *point* scanned by *LiDAR*, where *UAS position* is center of *local coordinate frame* and *UAS heading* is defining the *main axes* is given as:

$$point = [distance, horizontal^\circ, vertical^\circ].$$

Note. For polar/euclidean transformations and local/global coordinate frames refer to background theory (sec. ??).

The *right side* of UAS $horizontal^\circ \in]-\pi, 0[$, the *left side* of UAS $horizontal^\circ \in [0, \pi]$, the *down side* of UAS $vertical^\circ \in]-\pi, 0[$, the *top side* of UAS $vertical^\circ \in [0, \pi]$

LiDAR Reading Space Segmentation: The *polar space* can be separated into cells, which bounds the portion the space, similar to *euclidean* space grid. The *reason* for this segmentation is *LiDAR* reading density¹. The *polar space portions* state can be assessed directly, the *polar* \rightarrow *euclidean* coordinate frame transformation is not time-effective. The *polar space* assessment of *Lidar Data* has minimal complexity and it is cost effective. [2].

Definition 1. *Space partition - cell* The cell is a portion of space in UAS local polar coordinate frame, given by:

1. Distance Range - bounded by $distance_{start} < distance_{end}$ in \mathbb{R}^+ .
2. Horizontal Range - bounded by $horizontal_{start}^\circ < horizontal_{end}^\circ \in]-\pi, \pi]$.
3. Vertical Range - bounded by $vertical_{start}^\circ < vertical_{end}^\circ \in]-\pi, \pi]$.

¹Example rotary LiDAR Velodyne VL-16 specs: https://www.cadden.fr/wp-content/uploads/2017/02/Velodyne_VLP-16-Puck.pdf

The bounded space for cell is defined as:

$BoundedSpace(cell) = \dots$

$$\left\{ \begin{array}{l} point \in \mathbb{R}^3 \text{ where :} \\ \left(\begin{array}{l} cell.distance_{start} < \quad point.distance \leq \quad cell.distance_{end}, \\ cell.horizontal^{\circ}_{start} < \quad point.horizontal^{\circ} \leq \quad cell.horizontal^{\circ}_{end}, \\ cell.vertical^{\circ}_{start} < \quad point.vertical^{\circ} \leq \quad cell.vertical^{\circ}_{end} \end{array} \right) \end{array} \right\} \quad (6.1)$$

For one LiDAR Scan the hits set is given as set of all points which lands in bounded cell space:

$$LidarHits(cell) = \{point \in LidarScan : point \in BoundedSpace(cell)\} \quad (6.2)$$

The passing hits for cell are hits which are going through the cell (passing), but it lands in distance greater than $cell.distance_{end}$, defined as:

$PassingHits(cell) = \dots$

$$\left\{ \begin{array}{l} point \in LidarScan \text{ where :} \\ \left(\begin{array}{l} cell.distance_{end} < \quad point.distance \\ cell.horizontal^{\circ}_{start} < \quad point.horizontal^{\circ} \leq \quad cell.horizontal^{\circ}_{end}, \\ cell.vertical^{\circ}_{start} < \quad point.vertical^{\circ} \leq \quad cell.vertical^{\circ}_{end} \end{array} \right) \end{array} \right\} \quad (6.3)$$

Note. The cells with same distance range form layers. The greater the distance from coordinate frame origin the greater volume of the cell.

Effective Operation Space - Avoidance Grid: Let start with example, the UAS (fig. 6.1).

The full LiDAR Swipe (cyan and red lines) of UAS (blue plane) has shape of conical cylinder. Under ideal circumstances the LiDAR swipe would have ball shape, but in real cases the craft body portion where LiDAR is mounted is unused.

The frontal portion (red line) is a set of cells where UAS can make maneuver. The red portion size is determined by [3]:

1. *Sensors ranges* - the union of *effective sensor ranges* defines the maximal *effective space boundary*, because there is no reason to asses situation over *effective sensor range*.
2. *Information sources impact* - there is no real impact on *effective space boundary*.
3. *UAS maneuverability* - the *Reach Set* (sec. ??) gives optimal *effective space boundary*, because there is no need to assess the situation out of *reachable space* or its

vicinity.

4. Computation power - the *Reach Set Evaluation* and *Intersection* algorithms are *scaling* with *effective space boundary*.
5. Airworthiness requirements - the *regulations* can impose some minimal requirements on *effective space boundary*.

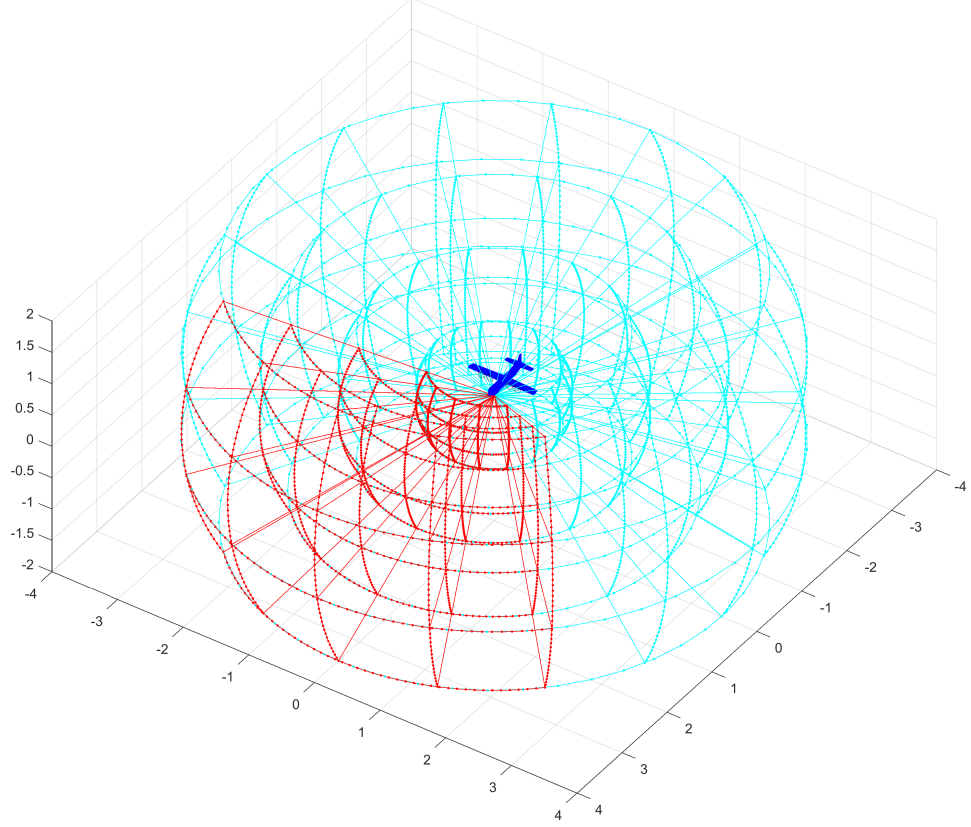


Figure 6.1: Example: The *LiDAR* reading segmentation - cells.

Definition 2. *Avoidance Grid* The effective space boundary (fig. 6.1 red lines) given by a portion of space in UAS local polar coordinate frame, bounded by:

1. Distance Range - bounded by $distance_{start} < distance_{end}$ in \mathbb{R}^+ .
2. Horizontal Range - bounded by $horizontal_{start}^\circ < horizontal_{end}^\circ \in]-\pi, \pi]$.
3. Vertical Range - bounded by $vertical_{start}^\circ < vertical_{end}^\circ \in]-\pi, \pi]$.

Separated into layers depending on the distance and layer count:

$$\begin{aligned} layer_{start}^i &= (i - 1) \times \frac{distance_{end} - distance_{start}}{layerCount} \\ layer_{end}^i &= i \times \frac{distance_{end} - distance_{start}}{layerCount} \end{aligned} ; \quad i \in 1 \dots I \quad (6.4)$$

Layer horizontal/vertical separations defined by horizontal/vertically cell count:

$$\begin{aligned} horizontal_{start}^j &= (j-1) \times \frac{horizontal_{end}^{\circ} - horizontal_{start}^{\circ}}{horizontalCount} \\ horizontal_{end}^j &= j \times \frac{horizontal_{end}^{\circ} - horizontal_{start}^{\circ}}{horizontalCount} \end{aligned} ; \quad j \in 1 \dots J \quad (6.5)$$

$$\begin{aligned} vertical_{start}^k &= (k-1) \times \frac{vertical_{end}^{\circ} - vertical_{start}^{\circ}}{verticalCount} \\ vertical_{end}^k &= k \times \frac{vertical_{end}^{\circ} - vertical_{start}^{\circ}}{verticalCount} \end{aligned} ; \quad k \in 1 \dots K \quad (6.6)$$

Then $cell_{i,j,k}$ given by (def. 1) is member cell of Avoidance Grid for boundaries:

1. Cell Distance Range (eq. 6.4) depending on layer index i .
2. Cell Horizontal Range (eq. 6.5) depending on horizontal index j .
3. Cell Vertical Range (eq. 6.6) depending on horizontal index k .

The example of Avoidance Grid Cells is given in (fig. 6.1 red boundary).

The Avoidance Grid is then given as set of cells:

$$AvoidanceGrid = \bigcup cell_{i,j,k} \forall i \in 1 \dots I, j \in 1 \dots J, k \in 1 \dots K \quad (6.7)$$

Trajectory Intersection: The trajectory intersection with Avoidance Grid is solved in context of Reach Set Approximation (def. ??).

Note. The trajectory intersection function does not have an impact on Reach Set Approximation, because its done prior the flight.

Grid Scaling: For Sensor Field there is effective sensor boundary given as set:

$$Boundary(Sensor \in SensorField) = \{points \in \mathbb{R}^3 : \text{where reliable}\} \quad (6.8)$$

The Boundary for sensor fields is then given as union of all singe sensor boundaries:

$$Boundary(SensorField) = \bigcap_{\forall Sensors} Boundary(Sensor \in SensorField) \quad (6.9)$$

Depending on boundary properties it can be projected into maximal avoidance grid boundary values:

$$\begin{aligned} &\max(distanceRange) \\ Boundary(SensorField) &\rightarrow AvoidanceGrid : \max(horizontalRange) \\ &\max(verticalRange) \end{aligned} \quad (6.10)$$

Our approach taken worst LiDAR performance into account [4] and following parameters for avoidance grid were calculated:

1. distance range $[0m, 10m]$,
2. horizontal range $[-180^\circ, 180^\circ]$,
3. vertical range $[-30^\circ, 30^\circ]$.

The *count of layers* is derived from *average distance traveled by one movement application*:

$$layerCount = \frac{|distanceRange|}{avg. \ length(movement \in MovementSet)} \quad (6.11)$$

The *layer length* is based on *our movement set* (tab. ??, ??) the average movement length is 1 m, therefore the *layer count* is 10.

The *efficient boundary* is given by *Reach Set*. Estimate reach set coverage space using *ellipsoidal toolbox* [5] up to given *sensor field* maximal distance:

$$Boundary(ReachSet) = Ellipsoid(UASSystem, distance) \quad (6.12)$$

The values for *Reach Set Boundary* with distance 10 m was following:

1. distance range $[0m, 10m]$,
2. horizontal range $[-45^\circ, 45^\circ]$,
3. vertical range $[-45^\circ, 45^\circ]$,

The *Avoidance Grid* boundary is given as *intersection* of all boundaries:

$$Boundary(AvoidanceGrid) = Boundary(ReachSet) \cap Boundary(SensorField) \quad (6.13)$$

The values for *Avoidance Grid Boundary* for our UAS system (sec. ??) following:

1. distance range $[0m, 10m]$,
2. horizontal range $[-45^\circ, 45^\circ]$,
3. vertical range $[-45^\circ, 45^\circ]$,
4. layer count 10, layer distance 1m.

The *horizontal cell count* and *vertical cell count* was estimated by *rule of thumb* to have value 7 and 5.

Cell in Avoidance Grid Properties: For each cell $\vec{p} \in \mathbb{R}^3$ in the there are properties to be checked:

1. *Is there visibility to the cell ?* - how good is an observation of the cell by Sensor Field.
2. *Is there threat present ?* - how sure the data fusion is that there is eminent threat in the cell.
3. *Is the cell reachable ?* - if there is any trajectory which can get UAS to that cell without too much threat along the way.

The answers to these questions will be given later (tab. ??).

Bibliography

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- [2] Sandeep Gupta, Holger Weinacker, and Barbara Koch. Comparative analysis of clustering-based approaches for 3-d single tree detection using airborne fullwave lidar data. *Remote Sensing*, 2(4):968–989, 2010.
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- [4] Roberto Sabatini, Alessandro Gardi, and Mark A Richardson. Lidar obstacle warning and avoidance system for unmanned aircraft. *International Journal of Mechanical, Aerospace, Industrial and Mechatronics Engineering*, 8(4):702–713, 2014.
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