Chapter 7

Simulations

The chapter presents the set of simulations developed according to a test plan (sec. 7.1). Test configuration (sec. ??) targets at exercising and evaluating proposed framework. The test cases are grouped in following sections:

- 1. Non-cooperative test cases (sec. ??).
- 2. Cooperative test cases (sec. ??).
- 3. Test cases conclusion (sec. ??).
- 4. Reach set approximation performance tests (sec. ??).

7.1 Test Plan

The Avoidance requirements are given in (sec. ??), namely:

- 1. Safety Margin Enforcement (sec. ??) keep UAS safe depending on situation.
- 2. Path Tracking (sec. ??) track mission given by set of waypoints in the manner of Energy Efficiency (sec. ??).

These are given as nominal behaviour (sec. ??), further enhanced by rule-based behaviour (sec. ??).

The *Navigation requirements*, out of this scope, are given in (sec. ??). These are satisfied by *Mission Control Run* (sec. ??).

7.1.1 Testing approach

The purpose of this section is to show complex scenarios, not unit testing of framework functionality. The focus is on border line cases for typical situations in expected environment. The mode switch between Navigation and Emergency Avoidance.

The *Tests* are designed to focus on particular functionality in specific *operational environment* with main *obstacle/weather/intruder feature* with environment induced *constraints*. There is also *UTM* factor and *Navigation penalty*.

Operational Environment is classified according to:

- 1. Operation space important for Low Altitude Operations, the difficulty of Avoidance Maneuvers is proportionally increasing with Obstacle density. There are following main categories
 - a. Rural environment the relief and man-made structures are sparsely spread around the operation space, the UAS is operating on very low altitude (≤ 50 feet).
 - b. *Urban environment* the concentration of the man made structures is much higher and they are more incorporated info land relief pattern, the UAS is operating on *very low altitude*.
 - c. Open air the concentration of ground structures is very low, the concentration of cooperative and non-cooperative intruders is increased, the UAS is operating in altitude ranging from 50 feet to space border. This bring us to:
- 2. Airspace category when Operation Space pattern is categorized as Open air and depending on altitude above mean sea level. The UTM is designed authority for controlled airspace in current F/G class airspace.
 - a. Controlled Open air where authority is present. The cases when Authority is not enforced due the UTM malfunction, C2 link loss or other cause are not considered.
 - b. Non-Controlled Open air operation space where is no central arbiter to determine or enforce traffic attendants behaviour.

Static obstacles: Static obstacles with various features detectable by main LiDAR sensor. The main purpose is to show avoidance capabilities combined with heavy restrictions imposed by soft and hard constraints. The original purpose of our approach was to provide robust framework for static obstacle avoidance. Three tests with increasing obstacle density and navigation complexity are delivered.

Operational space constraints depends mainly on operational environment. The standard set of constraints were taken into account for our test cases:

- 1. Rural, Urban environment (low altitude) are: geo-fencing zones, ground (hard constraints), non-controlled airspace altitudes (soft constraints).
- 2. Non-controlled airspace constraints (open air) are: geo-fencing zones (hard constraints), restricted airspace (hard constraint), weather (soft/hard constraint), controlled airspace (hard constraint), very low altitude border (soft constraints).

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3. Controlled airspace constraints (open air) are: restricted airspace (hard constraint), weather (soft/hard constraint), non-controlled airspace boundary (hard constraints), UTM Directives (hard constraints).

Air Traffic Attendants:

- 1. Non-cooperative UAS (Intruder) there are some intruders with some degree of authority, size and severity. There were three test cases for non-cooperative intrudes. Non-cooperative Intruders can be categorized as follow based on behaviour:
 - a. Chaotic intruders usually have tendency to behave unpredictable, for example bird or UAS in distress, for this type of intruders Maneuver Uncertainty Intersection Model is used (sec. ??).
 - b. *Harmonic* intruder usually follow long straight paths, for example UAS converging to waypoint, for this type of intruder *Body Volume Intersection Model* is used. (sec. ??).

Cooperative UAS (Intruder) - there are cooperative intruders which are obeying authority (UTM) or follow common consensus. The work focus on UTM authority implementation in four test cases. These test cases are reflecting the traffic management situations essential for successful UTM collision management

Weather impose soft and hard space constraint, which can be moving or static. The soft constraint avoidance is covered by hard constraint avoidance. The static constrained area is covered by static obstacle avoidance capability due the Data fusion procedure [1]. The only case which is not covered is Moving constrained area, small constraints can be covered by intruder models. The ideal candidate is storm, because it covers quite large area, the clouds are constantly moving and severity is changing with time.

UTM: The *UAS Traffic Management* service should be implemented in *controlled airspace* by 2035. It is necessary to study impact of UTM services on the *Detect and Avoid* systems like ours.

The most basic service is *Identity provider* which should be implemented by 2020.

Then there is *location services*, which are necessary for coordinated collision avoidance, these were implemented in our solution up to necessary level for *Rules Of the Air* implementation.

Mission tracking is service tracking deviations from declared mission plan and actual execution. This statistics were used in all tests to track deviations from reference trajectory.

Directives for Traffic management and Collision prevention are implemented as functional life cycle of Position notification (sec. ??), Collision Case (sec. ??) for UTM. The directive handling is implemented as Rule engine (sec. ??) on UAS side.

Navigation: Navigation algorithm is depending on *Navigation mode*. UAS is usually in *Navigation mode* most of the time, despite this fact, UAS was forced into *Emergency Avoidance Mode* most of time in test cases. The navigation complexity have been divined into following categories:

- 1. Open space UAS has visibility to goal waypoint most of the time, there are no traps.
- 2. *Hidden waypoint* UAS does not have visibility to goal waypoint, most of the time, there irregular traps sometime.
- 3. *Maze solving* UAS line of sight for goal waypoint is hindered by multiple obstacles, there are irregular traps often.
- 4. Rule following UAS navigation capabilities are constrained by rule enforcement.

7.1.2 Test Cases Summary

Test cases are summarized in (tab. 7.1).

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$Test\ Case \\ Name$	Operational Environment	Air Traffic Attendants	We ather	UTM	Navigation	Scenario
Building Avoidance	Non-controlled (Rural) $4 \times buildings$	-	-	-	Open space	Fly mission around four buildings
Slalom	Non-controlled (Rural) $14 \times buildings$	-	-	-	Hidden waypoint	Navigate to hidden waypoint
Maze	Non-controlled (Urban) $30 \times buildings$	-	-	-	Maze structure	Solve maze with multiple curves
Storm	Non-controlled (Rural) $0 \times buildings$	-	Storm	-	Open Space	Avoid approaching storm
Emergency Converging	Non-controlled (Open air)	Non-cooperative UAS (1x)	-	-	Open Space	Converging situation resolution w. o. UTM
Emergency Head on	Non-controlled (Open air)	Non-cooperative UAS (1x)	-	-	Open Space	Head on situation resolution w. o. UTM
Emergency Multiple	Non-controlled (Open air)	Non-cooperative UAS (3x)	-	-	Open Space	Multi collision case resolution w. o. UTM
Rule-based Converging	Controlled (Open air)	Cooperative UAS(1x)	-	Full	Follow Rules	Converging situation resolution with UTM
Rule-based Head on	Controlled (Open air)	Cooperative UAS(1x)	-	Full	Follow Rules	Head on situation resolution with UTM
Rule-based Multiple	Controlled (Open air)	Cooperative UAS(3x)	-	Full	Follow Rules	Multi collision case resolution with UTM
Rule-based Overtake	Controlled (Open air)	Cooperative UAS (1x)	-	Full	Follow Rules	Overtake by UAS different speed ratio

Table 7.1: Test Cases Summary.

7.1.3 Performance Evaluation

Evaluation method: Test cases were evaluated according to performance requirements defined in (sec. ??). The method was tracking critical parameter for Safety (sec. ??) (primary) and Trajectory Tracking (sec. ??) (secondary) including Energy Efficiency (sec. ??).

Safety Margin Performance Evaluation: The safety of UAS is main concern of DAA system. The common concept of safety margin is evaluated.

The *threat* is multidimensional, there are often multiple *static obstacles*, *intruders* or *weather constraints*. To reduce the multidimensional threats to one dimensional value *crash distance* concept is used:

crashDistance(t) = distance(UAScenter(t), threat)

where selection criterion is:

$$\min \left\{ \begin{pmatrix} distance(UAScenter(t), threat) - \dots \\ \dots - threat.SafetyMargin \\ : \forall threat \in KnownWorld(t) \end{pmatrix} \right\}$$
(7.1)

The *crash distance* (eq. 7.1) for given time is evaluated as shortest distance between UAS center and threat. The threat origins from known world (sec. ??). The *threat* have safety margin. The distance to safety margin is used as prioritization criterion in our test cases (tab. 7.1).

The safety margin evolution over time (eq. 7.2) is calculated similar to crash distance. The most dangerous threat is selected based on distance to safety margin criterion. The value of safety margin property is then used.

safetyMarqin(t) = threat.SafetyMarqin

where selection criterion is:

$$\min \left\{ \begin{pmatrix} distance(UAScenter(t), threat) - \dots \\ \dots - threat.SafetyMargin \\ : \forall threat \in KnownWorld(t) \end{pmatrix} \right\}$$
(7.2)

The distance to safety margin (eq. 7.3) is calculated as a difference between crash distance (eq. 7.1) and safety margin (eq. 7.2). The acceptance criteria for safety is distance to safety margin ≥ 0 .

$$distanceToSafetyMargin(t) = crashDistance(t) - safetyMargin(t) \ge 0$$
 (7.3)

Note. On Signed Distance: The most works are using unsigned distance. This work considers the signed distance with following intervals:

- 1. + (away from margin).
- 2. 0 (touching margin with UAS edge).
- 3. (inside margin crash/collision/broken boundary).

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Distance to Safety Margin peaks are measured:

1. Minimal distance to safety margin indicates if acceptance criterion (eq. 7.3 is met).

2. Maximal distance to safety margin indicates the future minimal detection range. All scenarios were considered as borderline cases.

Trajectory Tracking Evaluation is secondary priority after safety, following parameters were checked:

- 1. Waypoint reach the Mission (??) is considered as successfully completed if and only if ∀ waypoints are reached and in given order (check output of ??). Moreover if there is multiple UAS, each must met condition.
- 2. Acceptable deviation for tracking problem (eq. ??) is a trajectory which in addition to basic obstacle problem (sec. ??) keeps deviation from reference trajectory under certain threshold (eq. ??).

Trajectory tracking deviation threshold (eq. 7.4) is defined as double of maximal distance between goal waypoint and previous waypoint.

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trackingDeviationTreshold = 2 \times distance(goalWaypoint, previousWaypoint) (7.4)
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Note. If goal waypoint is first in mission, the UAS initial condition is considered as a previous waypoint.

Computation Load: There is theoretical definition of intersection models for static obstacles and constraints (sec. ??), moving obstacles and constraints (sec. ??), avoidance run (sec. ??), mission control (sec. ??) computation complexity.

The practical application requires to measure *computation load* in constrained environment. Let say that *avoidance framework* is running on stand alone embedded computer with 1.2 Ghz processor and 1GB of dedicated RAM. This is simulated by *virtual machine*.

The simulations were executed in Matlab/Simulink environment¹ using: UTM^2 , Navigation loop ³, $Avoidance\ grid^4$ and $Reach\ set^5$ implementations.

The decision frame length is set to 1s which gives computation load (eq. 7.5). The computation load represents the portion of previous decision frame used to current decision frame calculation.

¹Prototype framework implementation: https://github.com/logomo/Feature-based-ACAS/

²UTM class: .../UavTraficManagement/UTMControl.m

³Navigation Loop main class: .../MissionControl/MissionControl.m

⁴Avoidance Grid class: .../AvoidanceGrid/AvoidanceGrid.m

⁵Reach set tree class: .../AvoidanceGrid/PredictorNode.m

$$computationLoad = \frac{computationTime(frame)}{decisionFrameDuration} \times 100, \quad [\%; s, s]$$
 (7.5)

Note. computation load is depending on actual situation, when the UAS is in navigation mode it should be low, when the UAS is in clustered environment it should be high.

Matlab implementation is quite ineffective, the Python/C++ implementation can give better results.

For *computational feasibility* there is *implicit* acceptance criterion (eq. 7.6): the computation of feasible path for *this time-frame* must end in *previous time-frame*.

$$\forall time \in Mission: computationLoad < 100\%$$
 (7.6)

Bibliography

[1] Alojz Gomola, Pavel Klang, and Jan Ludvik. Probabilistic approach in data fusion for obstacle avoidance framework based on reach sets. In *Internal publication collection*, pages 1–93. Honeywell, 2017.