6.3 Space Discretization - Avoidance Grid

Operation Space: The *Operation Space* is a space where UAS can effectively surveillance its surroundings.

The Discrete Situation Evaluation is bounded to UAS specific position and orientation in fixed time t_i . To enable deterministic evaluation the operation space needs to be segmented into finite set of space portions. The finite operation space segmentation is usually done by Grid segmentation, which distributes space into portions with solid boundary.

The Main Sensor is LiDAR (problems ??.-??.). The effective occupancy computation [1] is given by clustering LiDAR field of vision into polar coordinates grid.

The point scanned by LiDAR, where UAS position is center of local coordinate frame and UAS heading is defining the main axes is given as:

$$point = [distance, horizontal^{\circ}, vertical^{\circ}].$$

Note. For polar/euclidean transformations and local/global coordinate frames refer to background theory (sec. ??).

The right side of UAS horizontal° $]-\pi,0[$, the left side of UAS horizontal° $\in [0,\pi]$, the down side of UAS vertical° $]-\pi,0[$, the top side of UAS vertical° $\in [0,\pi]$

LiDAR Reading Space Segmentation: The *polar space* can be separated into cells, which bounds the portion the space, similar to *euclidean* space grid. The *reason* for this segmentation is LiDAR reading density¹. The *polar space portions* state can be assessed directly, the $polar \rightarrow euclidean$ coordinate frame transformation is not time-effective. The polar space assessment of Lidar Data has minimal complexity and it is cost effective. [2].

Definition 1. Space partition - cell The cell is a portion of space in UAS local polar coordinate frame, given by:

- 1. Distance Range bounded by $distance_{start} < distance_{end}$ in \mathbb{R}^+ .
- 2. Horizontal Range bounded by $horizontal_{start}^{\circ} < horizontal_{start}^{\circ} \in]-\pi,\pi].$
- 3. Vertical Range bounded by $vertical_{start}^{\circ} < vertical_{start}^{\circ} \in]-\pi,\pi]$.

The bounded space for cell is defined as:

 $^{^1\}mathrm{Example\ rotary\ LiDAR\ Velodyne\ VL-16\ specs:}\ https://www.cadden.fr/wp-content/uploads/2017/02/Velodyne_VLP-16-Puck.pdf$

 $BoundedSpace(cell) = \dots$

$$\left\{ \begin{array}{l} point \in \mathbb{R}^{3} \ where: \\ \left(\begin{array}{l} cell.distance_{start} < & point.distance \leq & cell.distance_{end}, \\ cell.horizontal_{start}^{\circ} < & point.horizontal^{\circ} \leq & cell.horizontal_{end}^{\circ}, \\ cell.vertical_{start}^{\circ} < & point.vertical^{\circ} \leq & cell.vertical_{end}^{\circ} \end{array} \right) \right\} (6.1)$$

For one LiDAR Scan the hits set is given as set of all points which lands in bounded cell space:

$$LidarHits(cell) = \{point \in LidarScan : point \in BoundedSpace(cell)\}\$$
 (6.2)

The passing hits for cell are hits which are going trough the cell (passing), but it lands in distance greater than cell.distance_{end}, defined as:

 $PassingHits(cell) = \dots$

$$\begin{cases}
point \in LidarScan \ where: \\
cell.distance_{end} < point.distance \\
cell.horizontal_{start}^{\circ} < point.horizontal^{\circ} \leq cell.horizontal_{end}^{\circ}, \\
cell.vertical_{start}^{\circ} < point.vertical^{\circ} \leq cell.vertical_{end}^{\circ},
\end{cases}$$
(6.3)

Note. The cells with same distance range form layers. The greater the distance from coordinate frame origin the greater volume of the cell.

Effective Operation Space - Avoidance Grid: Let start with example, the UAS (fig. 6.1).

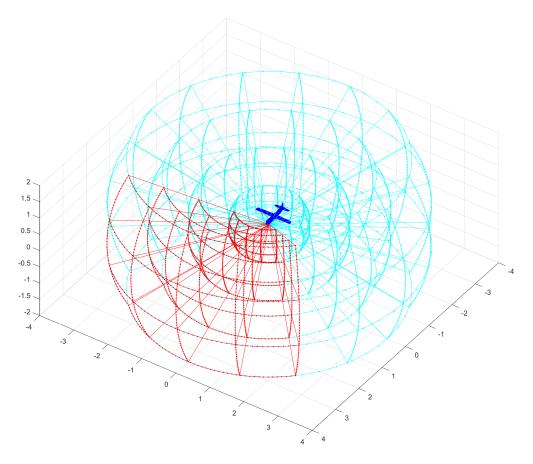


Figure 6.1: Example: The *LiDAR* reading segmentation - cells.

The full LiDAR Swipe (cyan and red lines) of UAS (blue plane) has shape of conical cylinder. Under ideal circumstances the LiDAR swipe would have ball shape, but in real cases the craft body portion where LiDAR is mounted is unused.

The frontal portion (red line) is a set of cells where *UAS* can make maneuver. The red portion size is determined by [3]:

- 1. Sensors ranges the union of effective sensor ranges defines the maximal effective space boundary, because there is no reason to assess ituation over effective sensor range.
- 2. Information sources impact there is no real impact on effective space boundary.
- 3. UAS maneuverability the Reach Set (sec. ??) gives optimal effective space boundary, because there is no need to assess the situation out of reachable space or its vicinity.
- 4. Computation power the Reach Set Evaluation and Intersection algorithms are scaling with effective space boundary.
- 5. Airworthiness requirements the *regulations* can impose some minimal requirements on *effective space boundary*.

Definition 2. Avoidance Grid The effective space boundary (fig. 6.1 red lines) given by a portion of space in UAS local polar coordinate frame, bounded by:

- 1. Distance Range bounded by $distance_{start} < distance_{end}$ in \mathbb{R}^+ .
- 2. Horizontal Range bounded by $horizontal_{start}^{\circ} < horizontal_{start}^{\circ} \in]-\pi,\pi].$
- 3. Vertical Range bounded by $vertical_{start}^{\circ} < vertical_{start}^{\circ} \in]-\pi,\pi]$.

Separated into layers depending on the distance and layer count:

$$layer_{start}^{i} = (i-1) \times \frac{distance_{end} - distance_{start}}{layerCount}; \quad i \in 1...I$$

$$layer_{end}^{i} = i \times \frac{distance_{end} - distance_{start}}{layerCount}; \quad i \in 1...I$$

$$(6.4)$$

Layer horizontal/vertical separations separations defined by horizontal/vertically cell count:

$$\begin{aligned} &horizontal_{start}^{j} = (j-1) \times \frac{horizontal_{end}^{\circ} - horizontal_{start}^{\circ}}{horizontalCount} \\ &horizontal_{end}^{j} = j \times \frac{horizontal_{end}^{\circ} - horizontal_{start}^{\circ}}{horizontalCount} \end{aligned}; \quad j \in 1 \dots J \end{aligned} \tag{6.5}$$

$$vertical_{start}^{k} = (k-1) \times \frac{vertical_{end}^{\circ} - vertical_{start}^{\circ}}{verticalCount}; \quad k \in 1...K$$

$$vertical_{end}^{k} = k \times \frac{vertical_{end}^{\circ} - vertical_{start}^{\circ}}{verticalCount}$$

$$(6.6)$$

Then $cell_{i,j,k}$ given by (def. 1) is member cell of Avoidance Grid for boundaries:

- 1. Cell Distance Range (eq. 6.4) depending on layer index i.
- 2. Cell Horizontal Range (eq. 6.5) depending on horizontal index j.
- 3. Cell Vertical Range (eq. 6.6) depending on horizontal index k.

The example of Avoidance Grid Cells is given in (fig. 6.1 red boundary).

The Avoidance Grid is then given as set of cells:

$$AvoidanceGrid = \bigcup cell_{i,j,k} \forall i \in 1 \dots I, j \in 1 \dots J, k \in 1 \dots K$$
 (6.7)

Trajectory Intersection: The trajectory intersection with Avoidance Grid is solved in context of Reach Set Approximation (def. ??).

Note. The trajectory intersection function does not have an impact on Reach Set Approximation, because its done prior the flight.

Grid Scaling: For Sensor Field there is effective sensor boundary given as set:

$$Boundary(Sensor \in SensorField) = \{points \in \mathbb{R}^3 : \text{where reliable}\}$$
 (6.8)

The Boundary for sensor fields is then given as union of all singe sensor boundaries:

$$Boundary(SensorField) = \bigcap_{\forall Sensors} Boundary(Sensor \in SensorField)$$
 (6.9)

Depending on boundary properties it can be projected into maximal avoidance grid boundary values:

$$\max(distanceRange)$$

$$Boundary(SensorField) \rightarrow AvoidanceGrid : \max(horizontalRange)$$

$$\max(verticalRange)$$

$$(6.10)$$

Our approach taken worst LiDAR performance into account [4] and following parameters for avoidance grid were calculated:

- 1. distance range [0m, 10m],
- 2. horizontal range $]-180^{\circ}, 180^{\circ}],$
- 3. vertical range $[-30^{\circ}, 30^{\circ}]$.

The count of layers is derived from average distance traveled by one movement application:

$$layerCount = \frac{|distanceRange|}{\text{avg.} length(movement \in MovementSet)}$$
(6.11)

The *layer length* is based on *our movement set* (tab. ??, ??) the average movement length is 1 m, therefore the *layer count* is 10.

The efficient boundary is given by Reach Set. Estimate reach set coverage space using ellipsoidal toolbox [5] up to given sensor field maximal distance:

$$Boundary(ReachSet) = Ellipsoid(UASSystem, distance)$$
 (6.12)

The values for *Reach Set Boundary* with distance 10 m was following:

- 1. distance range [0m, 10m],
- 2. horizontal range $[-45^{\circ}, 45^{\circ}]$,
- 3. vertical range $[-45^{\circ}, 45^{\circ}]$,

The Avoidance Grid boundary is given as intersection of all boundaries:

$$Boundary(AvoidanceGrid) = Boundary(ReachSet) \cap Boundary(SensorField) \quad (6.13)$$

The values for Avoidance Grid Boundary for our UAS system (sec. ??) following:

- 1. distance range [0m, 10m],
- 2. horizontal range $[-45^{\circ}, 45^{\circ}]$,
- 3. vertical range $[-45^{\circ}, 45^{\circ}]$,
- 4. layer count 10, layer distance 1m.

The horizontal cell count and vertical cell count was estimated by rule of thumb to have value 7 and 5.

Cell in Avoidance Grid Properties: For each cell $\vec{p} \in \mathbb{R}^3$ in the there are properties to be checked:

- 1. Is there visibility to the cell? how good is an observation of the cell by Sensor Field.
- 2. Is there threat present? how sure the data fusion is that there is eminent threat in the cell.
- 3. Is the cell reachable? if there is any trajectory which can get UAS to that cell without too much threat along the way.

The answers to these questions will be given later (tab. ??).

Bibliography

- [1] Florian Homm, Nico Kaempchen, Jeff Ota, and Darius Burschka. Efficient occupancy grid computation on the gpu with lidar and radar for road boundary detection. In *Intelligent Vehicles Symposium (IV)*, 2010 IEEE, pages 1006–1013. IEEE, 2010.
- [2] Sandeep Gupta, Holger Weinacker, and Barbara Koch. Comparative analysis of clustering-based approaches for 3-d single tree detection using airborne fullwave lidar data. *Remote Sensing*, 2(4):968–989, 2010.
- [3] Osmar R Zaïane and Chi-Hoon Lee. Clustering spatial data when facing physical constraints. In *Data Mining*, 2002. ICDM 2003. Proceedings. 2002 IEEE International Conference on, pages 737–740. IEEE, 2002.
- [4] Roberto Sabatini, Alessandro Gardi, and Mark A Richardson. Lidar obstacle warning and avoidance system for unmanned aircraft. *International Journal of Mechanical, Aerospace, Industrial and Mechatronics Engineering*, 8(4):702–713, 2014.
- [5] Alex A Kurzhanskiy and Pravin Varaiya. Ellipsoidal toolbox (et). In *Decision and Control*, 2006 45th IEEE Conference on, pages 1498–1503. IEEE, 2006.