7.3 Non-cooperative test cases

The main goal of this section is to show operative capabilities for non-cooperative avoidance mode in emergency and solo situations.

Test avoidance capabilities against static obstacles, non-cooperative intruders, moving hard constraints are covered.

Coverage of the *soft constraints*, *map obstacles* and *detected obstacles* are implicitly covered due the properties of *safety* and *body* margins (tab. ??).

- 1. Building Avoidance (sec. 7.3.1) covers static obstacles explicitly and map obstacles, hard constraints, ground avoidance implicitly.
- 2. Slalom (sec. 7.3.2) covers open space navigation capabilities, showing the determinism of the avoidance loop run, in addition to building avoidance.
- 3. Maze (sec. 7.3.3) covers closed space navigation capabilities, showing the higher level navigation properties of primitive right-side 2D maze solver. The main point is to show possibility to enrich the Navigation loop algorithm (fig. ??).
- 4. Storm (sec. 7.3.4) covers hard moving constraints avoidance explicitly and hard static constraints, soft static constraints, soft moving constraints implicitly.
- 5. Emergency converging scenario (sec. 7.3.5) covers non cooperative intruder with right of the way avoidance capability.
- 6. Emergency head on scenario covers (sec. 7.3.6) non cooperative intruder without right of the way avoidance capability
- 7. Emergency mixed scenario (sec. 7.3.7) covers multiple intruders with/without right of the way avoidance capability.

7.3.1 Building avoidance

Scenario: The *UAS* is flying the mission given by (tab. 7.1) in the *open space environment*. There exists a map of obstacles with defined *safety and body margins*. Reference trajectory (direct interconnection of waypoints) is going trough partially known space with some charted obstacles.

Po	sition		Waypoi		
[x, y, z]	$[\theta, \varpi, \psi]$	$\mathcal{W}\mathcal{P}_1$ $\mathcal{W}\mathcal{P}_2$ $\mathcal{W}\mathcal{P}_3$ \mathcal{W}			
$[0,0,0]^T$	$[0^{\circ}, 0^{\circ}, 0^{\circ}]^T$	$[100, 0, 0]^T$	$[100, 100, 0]^T$	$[0, 100, 0]^T$	$[0,0,0]^T$

Table 7.1: Mission setup for *Building avoidance* scenario.

Obstacle set: Obstacles are discovered during a flight by *UAS LiDAR sensor*, the set of obstacle is defined in (tab. 7.2).

Obstacle			Body Margin			 Safety Margin
id	position	type	min.	max.	avg.	Salety Margin
1	$[50, 0, 0]^T$	polygonal	14	20	16	5
2	$[100, 50, 0]^T$	hospital	12	18	14	7
3	$[50, 100, 0]^T$	unusual	10	20	15	8
4	$[0, 50, 0]^T$	square	18	20	19	4

Table 7.2: Obstacle set for Building avoidance scenario.

Main Goal: Show static obstacle avoidance capability in open space environment, using LiDAR scanning and obstacle map as the information sources.

Acceptance criteria:

- 1. Proper algorithm mode switch:
 - a Avoidance mode is active when the UAS is in close proximity of obstacle (distance (obstacleCenter, UASPosition) < 20m).
 - b Navigation mode is active when the UAS is further away from any obstacle (UAS is actively converging to goal waypoint);
- 2. Minimal safety margin distance $\geq 0m$
- 3. Reach each waypoint (tab. 7.1) in given order.

Testing Setup: The *standard test setup* defined in (tab. ??, ??, ??, ??, ??) is used with following parameter override:

1. Avoidance grid - type - ACAS-like with enabled Horizontal maneuvers

Note. Enforced safety margin does not exceed the avoidance grid range (10 m). The concept of Static obstacle avoidance is in detail discussed in the progress report [1].

Simulation Run: Notable moments from the *simulation run* (fig. 7.1) are following:

- 1. 1^{st} building avoidance. (fig. 7.1a) UAS avoids the building from left side, because overall trajectory cost is cheaper. The first building is convex obstacle.
- 2. 2nd building avoidance. (fig. 7.1b) UAS avoids the building from right side, while avoiding active non convex portion of the building.
- 3. 3^{rd} building avoidance. (fig. 7.1c) UAS avoids the building from right side, missing both traps from it.
- 4. 4th building avoidance. (fig. 7.1d) UAS avoids the building from right side. This building is also convex obstacle.

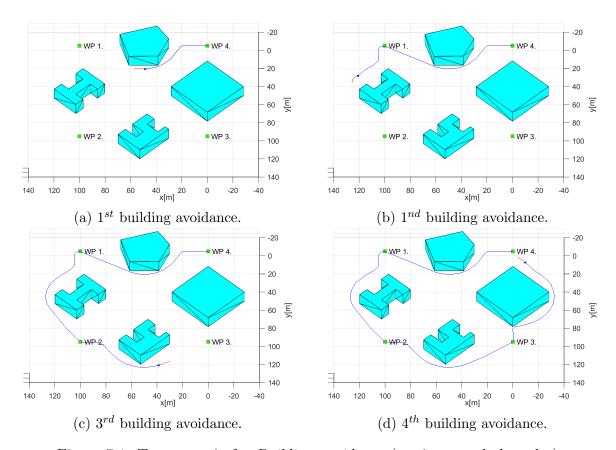


Figure 7.1: Test scenario for *Building avoidance* (static ground obstacles).

Distance to Body/Safety Margin Evolution: The distance of *UAS* center to nearest obstacle (blue) does not broke a *safety margin* (of closest obstacle (yellow) nor *body margin* of closest obstacle (red) as it can be seen in (fig. 7.2). Acceptance condition for algorithm mode switch can be shown by UAS active avoidance of obstacles.

Note. The body and safety margins are changing depending on UAS position and orientation, is changing reflecting (tab. 7.2) margins.

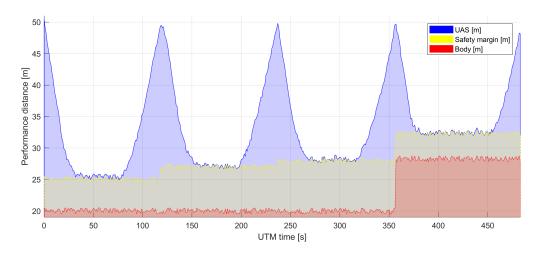


Figure 7.2: Distance to body/safety margin evolution for Building avoidance scenario.

Distance to Body/Safety Margin Peaks: Minimal distance to safety margin is 0.69 m. The minimal distance to obstacle body is 4.69 m which is more than sufficient for tested UAS type. Safety margin acceptance criteria have been achieved, because minimal distance is greater than zero. The minimal body margin distance is 4.69 m for obstacle no. 4 (tab. 7.2).

Parameter	UAS 1	
Distance to Safety Margin	min	0.69
Distance to Salety Margin	max	24.98
Distance to Body Margin	min	4.69
Distance to body Margin	max	29.98

Table 7.3: Distance to Body/Satety Margin Peaks for Building avoidance scenario.

Path Tracking Performance: Reference path (green dashed line) is given as direct interconnection between waypoints (green numbered square). The real trajectory (blue solid line) is split into its XYZ components. *All mission waypoints* (fig. 7.3) have been reached in given order. There are some deviations on X - Y horizontal axes, while the UAS was in the *avoidance mode*.

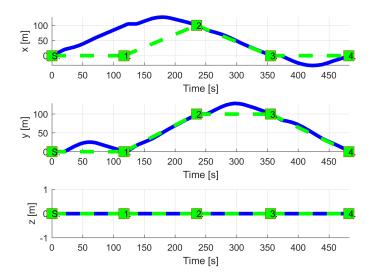


Figure 7.3: Building avoidance path tracking.

Path Tracking Deviations: Deviations (tab. 7.4) from reference trajectory are in expected ranges considering mission plan (tab. 7.1) and obstacle properties (tab. 7.2).

Danana	UAS 1				
Param.	\mathcal{WP}_1	WP	\mathcal{WP}_3	\mathcal{WP}_4	
$\max x $	104	86	5.34	32.52	
$\max y $	25.39	6.59	28.2	4.55	
$\max z $	0	0	0	0	
$\max dist.$	107.05	86.2	28.7	32.84	

Table 7.4: Path tracking for properties *Building avoidance*.

Computation Load: The *computation load* for *scenario* (fig.7.4) shows used time (y-axis) over decision frame (x-axis).

There is slight increase in *computation time* when UAS is in *Emergency Avoidance Mode*.

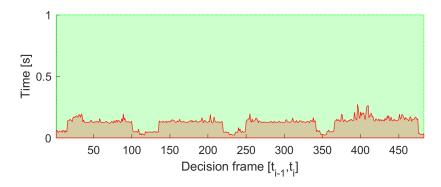


Figure 7.4: Computation time for *Building avoidance* scenario.

7.3.2 Slalom

Scenario: The UAS is flying the mission given by (tab. 7.5) in the open-space environment. A Operational space is more clustered than in case of $Building\ Avoidance$ (sec. 7.3.1). There map of notable buildings with defined $safety\ and\ body\ margins$ imposing additional flight constraints. The UAS is flying through partially known space with some charted obstacles.

The goal waypoint is hidden behind the sensors line of sight. There is multiple cost equivalent trajectories to reach the goal.

Po	\mathcal{WP}_1	
[x, y, z]	77.5	
$[25, 5, 0]^T$	$[0^{\circ}, 0^{\circ}, 90^{\circ}]^T$	$[35, 75, 0]^T$

Table 7.5: Mission setup for *Slalom* scenario.

Obstacle set: Obstacles are discovered during a flight by *UAS LiDAR Sensor*. The set of obstacles is defined in (tab. 7.6) Some obstacles does not have *Line of Sight* during a flight, which causes additional constraints during *avoidance trajectory selection* process.

Obstacle		Body Margin			Safety Margin
position	type	min.	max.	avg.	Safety Margin
multiple (4)	hospital	[0.5, 1]	[2.2, 3.1]	[1.5, 3]	[1, 3]
multiple (7)	unusual	[0.3, 1]	[2.3, 3.5]	[2, 3]	[1,4]
multiple (3)	square	[3, 4]	[4, 5]	[4, 5]	$\boxed{[1,4]}$

Table 7.6: Obstacle set for Slalom scenario.

Main goal: Show static obstacle avoidance in clustered environment with shorter decision frames due the obstacle density. Show hidden waypoint navigation capability and Behind Line of Sight impact on decision making.

Acceptance Criteria are given as follow:

- 1. Hidden waypoint reach the UAS will safely reach goal waypoint.
- 2. Minimal safety margin distance ≥ 0 .
- 3. Hindered space is accounted into decision making (BLOS impact).

Testing setup: The *standard test setup* defined in (tab. ??. ??. ??. ??. ??) is used with following parameter override:

1. Avoidance grid - type - ACAS-like with enabled Horizontal maneuvers

Note. The vertical separation was disabled, because UAS will just increase its altitude to reach goal waypoint.

Simulation run: Notable moments from this *simulation run* (fig. 7.5) are following:

- 1. Open space obstacle (fig. 7.5a) avoidance of open space obstacle, while tracking hidden waypoint. This is standard navigation procedure, the middle building in front of goal waypoint is hidden by building in front of UAS.
- 2. Hidden waypoint navigation is shown in three stages start (fig. 7.5b), middle (fig. 7.5c), and end phase (fig. 7.5d). The hidden goal waypoint has been reached and first acceptance criteria was fullfilled. The Decision points of navigational loop are placed in very high density around this area. The avoided building had following traps which were avoided:

- a. Trap (fig. 7.5b) on the left side of UAS was avoided, because there was no turning point inside of space.
- b. Trap (fig. 7.5c) on the left side of UAS was avoided, because it was not wide enough to be considered as trajectory space.

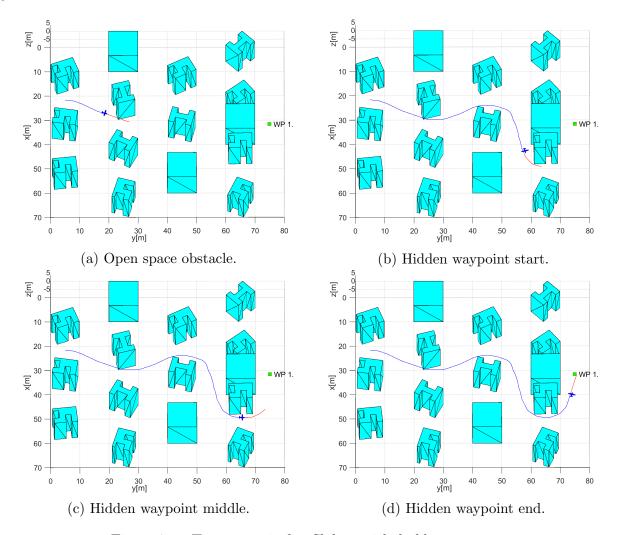


Figure 7.5: Test scenario for *Slalom* with *hidden waypoint*.

Distance to Body/Safety Margin Evolution: The *UAS* (blue fill) does not break a safety margin (yellow fill) nor body margin (red fill) as you can seen in (fig. 7.6). Hindered space is accounted into decision making, because the distance to closest obstacle will never breach safety margin (yellow fill). If it was not, the UAS will break safety or body margin.

Body and Safety margin are changing values depending on the nearest obstacle and mutual position of obstacle and UAS. The ranges of body and safety margins are reflected in (tab. 7.6).

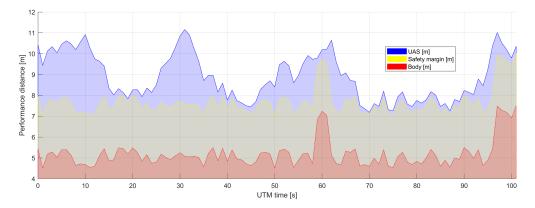


Figure 7.6: Distance to body/safety margin evolution for *Slalom scenario*.

Distance to Body/Safety Margin Peaks: The UAS distance to boundary of safety and body margin is given in (tab. 7.7). The minimal distance of UAS border (blue line) to safety margin boundary (yellow line fig. 7.6) is 0.0856 m which can be considered as marginal 0. The minimal body margin distance is 2.5856 m which is reflects safety margin 2.5 m at that moment. The condition $safetyMarginDistance \geq 0$ holds.

The difference between minimal and maximal safety margin distance is $\sim 3~m$ which indicates that mission environment is tightly packed with obstacles.

Parameter	UAS 1	
Distance to Safety Margin	min	0.0856
Distance to Safety Margin	max	3.7391
Distance to Body Margin	min	2.5856
Distance to body Margin	max	6.2391

Table 7.7: Distance to body/safety margin peaks for *Slalom scenario*.

Path tracking performance: Path tracking is given in (fig. 7.7). The line between Starting position (green square, marked S) and goal waypoint (green square marked 1) is reference trajectory (green dashed line). The flown trajectory (blue solid line) is showing evolution over mission time (Time [s]) in global coordinate frame split into three axes (x[m], y[m], z[m]). The UAS was all time in *Emergency Avoidance Mode* due the vicinity of dangerous obstacles.

The UAS reached final navigation waypoint, which fulfills acceptance criteria. The UAS has taken a significant detour (x[m] evolution) due to hidden waypoint.

The test has been run multiple times to check if Right-Up preference for avoidance is always selected. $Small\ noise\ (0.5-1m)$ was added to obstacle positions. The algorithm always chose similar deterministic path. The higher noise levels were not possible due the obstacle original size (tab. 7.6).

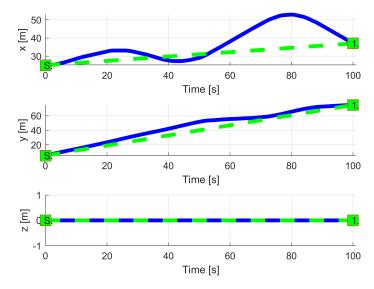


Figure 7.7: Slalom path tracking.

Path Tracking Deviations: Deviations given in (tab. 7.8) from reference trajectory (fig. 7.7) are in expected ranges considering mission plan (tab. 7.5) and obstacle properties (7.6).

Param.	UAS 1
1 aranı.	\mathcal{WP}_1
$\max x $	17.90
$\max y $	12.41
$\max z $	0
$\max dist.$	20.06

Table 7.8: Path tracking properties for *Slalom* scenario.

Computation Load: The *computation load* for *scenario* (fig.7.8) shows used time (y-axis) over decision frame (x-axis).

The UAS is moving over *semi-clustered* environment the *computation load* is almost constant.

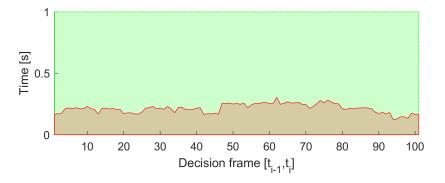


Figure 7.8: Computation time for *Slalom* scenario.

7.3.3 Maze

Scenario: The UAS is flying a mission given by (tab. 7.9) in *closed space* constrained by ground from bottom, airspace constraint from top and building from sides. The maneuverable space is *maze-like* with *hidden goal waypoint*.

There exists a Obstacle map with defined safety and body margins. Reference trajectories (direct interconnection of initial position and goal waypoint) is going trough partially known space with some charted obstacles.

$$\begin{array}{c|c} \text{Position} & & & \\ \hline [x,y,z] & [\theta,\varpi,\psi] & & \\ \hline [15,15,0]^T & [0^\circ,0^\circ,0^\circ]^T & [15,75,0]^T \\ \end{array}$$

Table 7.9: Mission setup for *Maze* scenario.

Obstacle set: Obstacles are discovered during a flight by UAS LiDAR sensor. The Obstacle set is defined in (tab. 7.10). the obstacles are placed in virtual grid with cell size $10 \times 10m$. There are following obstacles:

- 1. $5 \times$ Hospital building H-shaped, with two open traps, with minimal body margin in range 0.5 1m, with maximal body margin in range 2.2 3.1m and variable safety margin in range 1 3m.
- 2. $12 \times Unusual \ trap \ building$ square shaped building with two traps on neighbouring side, with minimal body margin in range 0.3-1m, with maximal body margin in range 2.3-3.5m and variable $safety \ margin$ in range 1-4m.
- 3. $6 \times Square \ building$ square shaped building with minimal body margin in range 3-4m, with maximal body margin in range 4-5m and variable $safety \ margin$ in range 1-4m.
- 4. $7 \times U$ -shaped Trap thin walled U shaped trap designed to catch incoming flying objects, with minimal body margin in range 2 4m, maximal body margin in range 3 5m and various safety margin in range 1 2m.

The purpose of these *Obstacles* except *Square building* type is to create false positive path diversions. These diversions are designed to take *UAS* into unsolvable situation. *Avoidance* of traps is possible due *Reach set properties*, because many scenarios for avoidance can be evaluated at once.

Obstac	Body Margin			Safety Margin	
position	type	min.	max.	avg.	Salety Margin
multiple (5)	hospital	[0.5, 1]	[2.2, 3.1]	[1.5, 3]	[1, 3]
multiple (12)	unusual	[0.3, 1]	[2.3, 3.5]	[2, 3]	[1,4]
multiple (6)	square	[3, 4]	[4, 5]	[4,5]	[1,4]
multiple (7)	trap	[2, 4]	[3, 5]	[2, 4]	[1,2]

Table 7.10: Obstacle set for Maze scenario.

Main Goal: Demonstrate static obstacle avoidance in closed space navigation. Focus on determinism of avoidance run. Demonstrate the possibilities of primitives right-hand maze solver incorporated into Navigation-loop.

Acceptance Criteria:

- 1. Do not break top/bottom boundaries the UAS Z coordinate should not leave range −5 to 5m. The boundary break occurs when there is no feasible horizontal path and UAS needs to climb up to resolve situation.
- 2. Minimal safety margin distance $\geq 0m$.
- 3. Reach hidden goal waypoint by solving simple maze (tab. 7.9).

Testing Setup: The *standard test setup* defined in (tab. ??, ??, ??, ??, ??) is used with following parameter override:

1. Avoidance grid - type - ACAS-like with enabled Horizontal maneuvers

Simulation Run: Notable moments from the simulation run (fig. 7.9) are following:

- 1. The Maze consist from heavy constrained turns: 1^{st} turn (fig. 7.9a), 2^{nd} turn (fig. 7.9b), and 3^{rd} turn (fig. 7.9c). The hidden waypoint reach is given by (fig. 7.9d).
- 2. UAS is constantly in *Emergency Avoidance mode*, because there is always a presence of obstacle,
- 3. Navigation path is located in slim corridor with width only 3-6 meters. Mutual distance of obstacles is 20 meters and combined margins takes 14-17 meters.
- 4. *Maze scenario* was very close to urban environment in terms of obstacle density and computation complexity.

- 5. Avoidance run computation complexity scaled linearly with count of active obstacles in Field of View.
- 6. Hidden Goal Waypoint have been reached as shown in (fig. 7.9d). This satisfy reach hidden waypoint acceptance criterion.

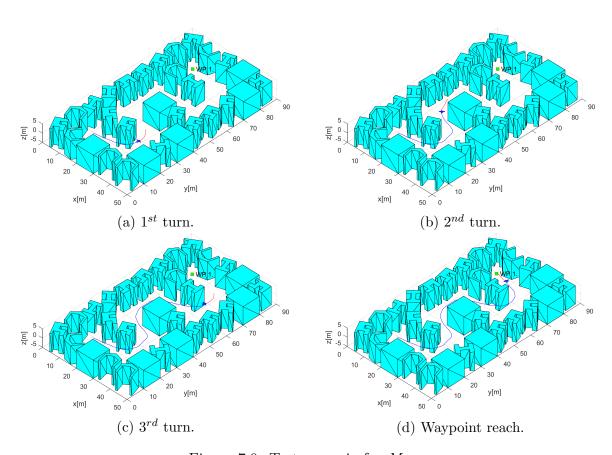


Figure 7.9: Test scenario for *Maze*.

Distance to Body/Safety Margin Evolution: The evolution of body and safety margin over time (x-axis, sec) given in meters distance (y-axis, m) is given in (fig. 7.10).

The *UAS* center distance to nearest obstacle (blue line) does not break any *Safety Margin* (yellow line) of closest obstacle. *Body Margin* of closest obstacle (red line) has not been break, because it always lies below of *Safety Margin* (yellow).

For UTM time period 37 to 68 sec there is a margin spike due avoidance of bloated Rectangle buildings (fig. 7.9b) during 2^{nd} turn. The acceptance criterion for Safety Margin is satisfied.

Note. The body and safety margin are changing depending on UAS position and orientation. The changes are reflected in (tab. 7.11).

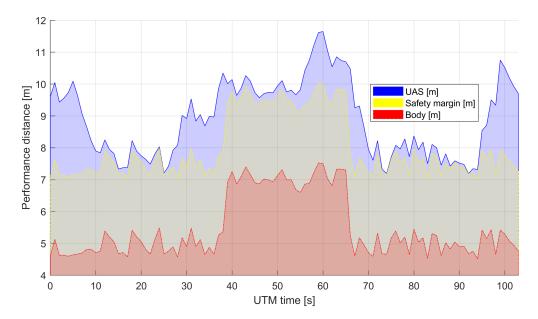


Figure 7.10: Distance to body/safety margin evolution for *Maze scenario*.

Distance to Body/Safety Margin Peaks: The minimal and maximal values for *UAS* distance to safety margin based on performance (fig. 7.10) is summarized in (tab. 7.11).

The minimal distance to safety margin is 0.0131m which can be taken as $\sim 0m$ due the numerical error. The maximal distance to safety margin is 2.9513m which is $5 \times UAS$ radius. The safety margin distance is $\leq 3m$ which means the scenario is tightly packed with obstacles. The UAS never left Emergency Avoidance Mode because condition: $safetyMarginDistance \geq avoidanceGridLength$ was never satisfied.

The minimal body distance is 5.0131m, while the maximal body distance is 8.7117m. The difference between minimal and maximal body distance is $\sim 4m$ which also indicates scenario packed with obstacles.

Parameter	UAS 1	
Distance to Safety Margin	min	0.0131
Distance to Safety Wargin	max	2.9513
Distance to Body Margin	min	5.0131
Distance to body Margin	max	8.7117

Table 7.11: Distance to body/safety margin peaks for *Maze scenario*.

Path Tracking Performance: Reference path (green dashed) line is given as direct interconnection of *initial position* (green square with S marker) and *hidden waypoint* (green square with 1 marker). The *UTM Reference Time* is given on x-axis. The evolution of real trajectory (blue solid line) for each axis is given as follow:

1. X-axis path tracking - reflects the maneuvering in the curves of the maze.

- 2. Y-axis path tracking shows horizontal progress to the hidden goal waypoint. The expected linear tracking is not achievement due the manuevering delays on X-axis.
- 3. Z-axis path tracking shows perfect linear tracking of reference trajectory. The altitude acceptance criterion: $-5m \le altitude \le 5m$ have been fulfilled.

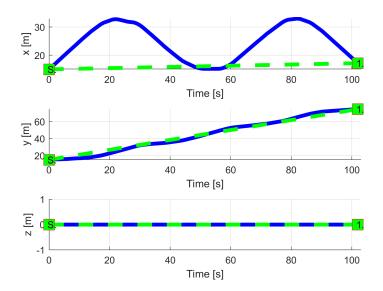


Figure 7.11: *Maze* path tracking.

Path Tracking Deviations: Deviations (tab. 7.12) from reference trajectory are in expected ranges considering mission plan (tab. 7.9) and obstacle properties (tab. 7.10).

Param.	$\begin{array}{ c c c }\hline \text{UAS 1}\\\hline \mathcal{WP}_1\end{array}$
$\max x $	27.32
$\max y $	2.41
$\max z $	0
$\max dist.$	28.06

Table 7.12: Path tracking properties for *Maze* scenario.

Computation Load: The computation load for scenario (fig.7.12) shows used time (y-axis) over decision frame (x-axis).

The UAS is constantly in *Emergency Avoidance Mode*, the *operational environment* is clustered with obstacles. This causes very high computation load.

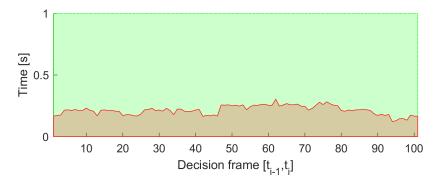


Figure 7.12: Computation time for *Maze* scenario.

7.3.4 Storm

Scenario: Small UAS is flying in open space in uncontrolled airspace (≤ 500 feet AGL (Above Ground Level)). A Weather Service notices UAS about Dangerous Weather zone (virtual constraint s. ??) which is moving in UAS direction. The UAS is executing mission given by (tab. 7.13).

Po	142TD	
[x, y, z]	VV J 1	
$[0,0,0]^T$	$[0^{\circ}, 0^{\circ}, 90^{\circ}]^T$	$[0, 60, 0]^T$

Table 7.13: Mission setup for *Storm* scenario.

Constraints: The *storm* is modeled as a *virtual constraint* with parameters given in (tab. 7.14). A constraint is modeled as a *convex polygon* for *horizontal boundary* and altitude for the *vertical boundary*.

The Storm is moving trough an operational region with linear velocity $0.5ms^{-1}$. The storms center was first detected at decision frame 0 at position $[0, 50, 0]^T$.

Constraint			Body Margin			Safety Margin	
i. position	velocity	type	min.	max.	avg.	Safety Margin	
$[0, 50, 0]^T$	[0, -0.5, 0]	polygon	9	10	9.5	5	

Table 7.14: Constraint set for Storm scenario.

Assumption: Every avoidable moving constraint is usually slower than an Approaching UAS, or its radius is smaller than turning radius of an Approaching UAS.

Note. Manned aviation receives permit to operate in a controlled airspace only if it has capability outmaneuver every known threat in requested airspace.

The Constrained space portion is usually very large, therefore in majority of cases the assumption uasSpeed >> constraintSpeed holds.

Main Goal: Show dynamic moving constraint avoidance capability in an *uncontrolled* airspace.

Acceptance criteria:

- 1. Hard constraint avoidance the UAS must not cross the body margin: $distance(stormCenter, UAS) \ge bodyMargin.$
- 2. Soft constraint avoidance the UAS can not cross the safety margin to get into close proximity of Storms surrounding area: distance(stormCenter, UAS) \geq safetyMargin.

Testing setup: The *standard test setup* defined in (tab. ??, ??, ??, ??, ??) is used with following parameter override:

1. Avoidance grid - type - ACAS-like with enabled Horizontal maneuvers.

Simulation run: Notable moments from a simulation run (fig. 7.13) are following:

- 1. Detection (fig. 7.13a) the Storm (magenta polygon) is detected prior the engagement (retrieved from associated weather service). The UAS (blue) stays in Navigation mode. Trajectories in Navigation grid are constrained by rule Enforce safety margin (tab. ??). The Planned trajectory (red) changes to avoid Storm.
- 2. Avoidance start (fig. 7.13b) when optimal avoidance distance is reached by UAS, the navigation reach set is constrained, forcing UAS to perform evasive maneuver.
- 3. Avoidance end (fig. 7.13c) navigation space is no longer constrained when the minimal safe distance/heading is achieved.
- 4. Waypoint reached (fig. 7.13d) standard waypoint navigation procedure was used in this case.

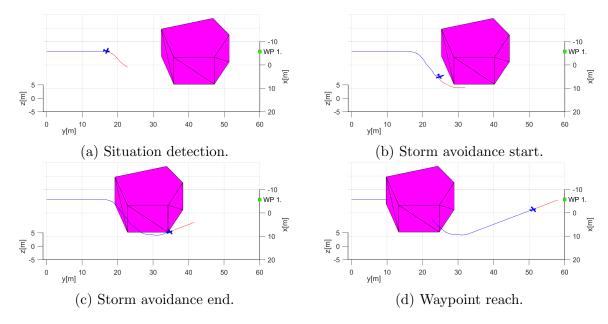


Figure 7.13: Test scenario for *Storm* (Dynamic hard constraint).

Distance to Body/Safety Margin Evolution: The body margin (red line) and safety margin (yellow line) and UAS distance to storm center (blue line) evolution over UTM time (x-axis) are given in (fig. 7.14) The body and safety margin was changing according to mutual position of the storm and the UAS (see tab. 7.14).

The acceptance criteria for the *hard constraint avoidance* and *soft constraint avoidance* have been fulfilled.

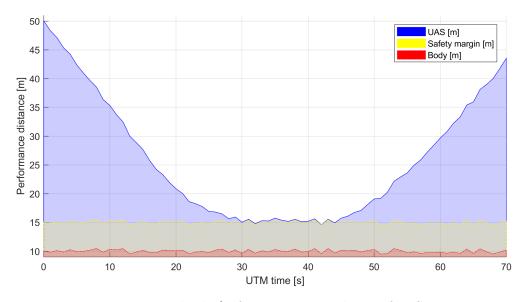


Figure 7.14: Distance to body/safety margin evolution for *Storm scenario*.

Distance to Body/Safety Margin Peaks: A hard constraint of body margin was not breached, because the distance(UAS(t), stormBody(t)) was all time greater than θ . Thus the

UAS stayed well clear from Storm. The summary (tab. 7.15) shows that the minimal body margin distance was 5.0335 m, which proves avoidance of hard constraint.

A soft constraint represented as safety margin (protective coating around storm body) was not breached, because the distance(UAS(t), stormBody(t)) - safetyMarginm(t) was all time greater than θ . The summary (tab. 7.15) show that the minimal safety margin distance was 0.0355 m, which proves avoidance of soft constraints.

Parameter	UAS 1	
Distance to Safety Margin	min	0.0355
Distance to Safety Margin	max	34.9934
Distance to Body Margin	min	5.0355
Distance to Body Margin	max	39.9934

Table 7.15: Distance to body/safety margin peaks for *Storm scenario*.

Path Tracking Performance: The path tracking (blue solid line) of reference trajectory (green dashed line) between starting waypoint (green square marked "S") and final waypoint (green square marked "1") is portrayed in (fig. 7.15). The UAS executes horizontal right-side avoidance of the Storm as is preferred.

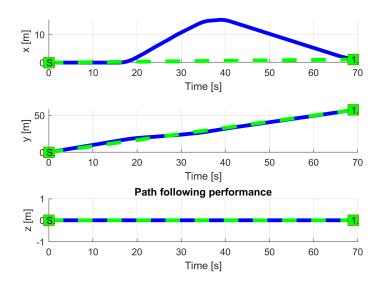


Figure 7.15: Storm path tracking.

Path Tracking Deviations: Deviations (tab. 7.16) are in expected ranges considering the mission plan (tab. 7.13) and body and safety margins (tab. 7.14).

Param.	$\begin{array}{ c c } \hline \text{UAS 1} \\ \hline \mathcal{WP}_1 \\ \hline \end{array}$
$\max x $	15.26
$\max y $	1.32
$\max z $	0
$\max dist.$	15.76

Table 7.16: Path tracking properties for *Storm* scenario.

Computation Load: The computation load for scenario (fig.7.16) shows used time (y-axis) over decision frame (x-axis).

The *computation time* is low, it only increases slightly during avoidance maneuver.

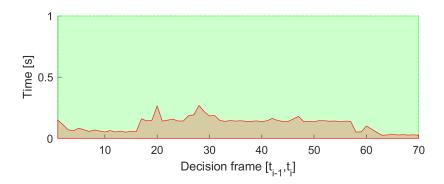


Figure 7.16: Computation time for *Maze* scenario.

7.3.5 Emergency Converging

Scenario: Two UAS are flying in an uncontrolled airspace (altitude ≤ 500 ft. Above the Ground Level) with missions defined in (tab. 7.17). Both UAS are in the Navigation mode with active ADSB-In/Out, receiving position notification from each other. Cruising altitude is sufficient for horizontal separation (50-100 ft. Above the Ground Level). Horizontal separation is preferred separation type for both UAS.

UAS	Po	\mathcal{WP}_1	
UAS	[x, y, z]	$[\theta, \varpi, \psi]$	VV J 1
1	$[0, 20, 0]^T$	$[0^{\circ}, 0^{\circ}, 0^{\circ}]^T$	$[40, 20, 0]^T$
2	$[20, 0, 0]^T$	$[0^{\circ}, 0^{\circ}, 90^{\circ}]^T$	$[20, 40, 0]^T$

Table 7.17: Mission setup for *Emergency converging* scenario.

Note. Collision point is expected at $\mathcal{C} = [20, 20, 0]^T$. The angle of approach is 90° which classifies situation as Converging maneuver (fig. ??).

Main Goal: Show two non-cooperative UAS avoidance capability for Converging maneuver scenario in uncontrolled airspace.

Acceptance criteria:

- 1. Proper mode invocation when an intruder intersects the UAS with Right of the Way navigation grid, both UAS will swith into Emergency Avoidance Mode.
- 2. Minimal safety margin distance $\geq 0m$.
- 3. Each UAS will reach own goal waypoint (tab. 7.17).

Testing setup: The *standard test setup* for each UAS defined in (tab ??, ??, ??, ??, ??) is used with following without parameter override.

Intruder intersection model has been chosen depending on UAS (tab. 7.18). Each UAS is equipped with ADS-B In/Out sensor obtaining/distributing following information:

- 1. Position in operational section coordinate frame.
- 2. Velocity vector representation in given coordinate frame.
- 3. Class size class body radius based on UAS propulsion and size.
- 4. Safety margin set set of safety margins for different collision cases.

Avoidance parameters for Emergency converging scenario are given in (tab. 7.18). Each UAS has same speed set to $1ms^{-1}$. Second UAS has the Right of The Way.

 $Safety\ margin$ is considered as sum of both participants $near\ miss\ margins$. In this case default safety margin is considered as 1.2 m.

	UAS	Parameters			Margins		Separation
_	UAS	velocity	intruder model	ROW	body	safety	Separation
	1	1	body + spread	false	0.3	0.6	horizontal
_	2	1	body + spread	true	0.3	0.6	horizontal

Table 7.18: Avoidance parameters for *Emergency converging* scenario.

Note. Both UAS are using body (sec. ??) and spread (sec ??) intersection models, reflecting both body volume and maneuverability of intruder. Both UAS have preferred separation mode as horizontal, typical for planes.

Simulation Run: Notable moments from the simulation run (fig. 7.17) are following

- 1. Detection (fig. 7.17a) Intruder (UAS2 cyan) is approaching (UAS 1 blue) from right side, Intruder (UAS2 cyan) has the right of the way, because $70^{\circ} \leq angleOfApproach < 130^{\circ}$. Intruder intersection model (for UAS 2) is created and propagated in avoidance grid (for UAS 1).
- 2. Start Converging (fig. 7.17b) when UAS 2 (cyan) parametric intruder intersection model disables trajectories, converging maneuver for UAS 1 (blue) starts.
- 3. Near miss case (fig. 7.17c) UAS 1 (blue) to UAS 2 (cyan) closest distance. The safety margin for near miss has not been breached. The safety margin for well clear in uncontrolled airspace is invalid.
- 4. Waypoint reached (fig. 7.17d) the intruder intersection model for UAS 2 (cyan) is removed from UAS 1 (blue) avoidance grid after converging maneuver competition, standard navigation procedure is applied afterwards.
- 5. Note that UAS 2 (cyan) has Right of the way in (tab. 7.18).
- 6. Note that UAS 1 (blue) used only horizontal separation (priority) in (fig. 7.19a).

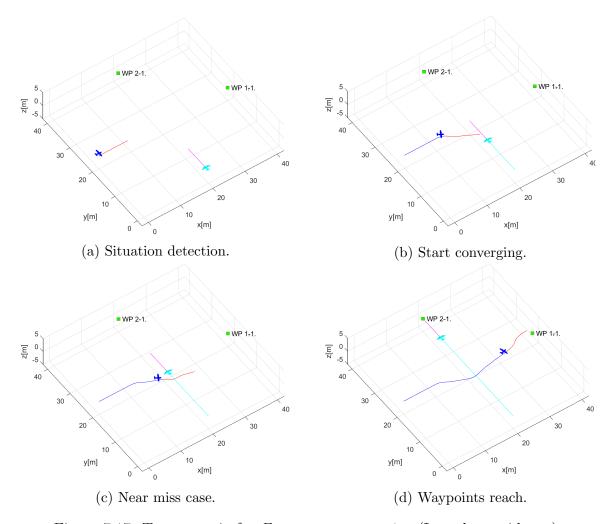


Figure 7.17: Test scenario for *Emergency converging* (Intruder avoidance).

Distance to Safety Margin Evolution: There is need to compare mutual distance between both UAS (y-axis [m]) and its evolution over UTM time (x-axis [s]). The mutual distance of $UAS \ 1$ to $UAS \ 2$ is given by blue line. The Safety margin value is denoted by red line at constant value of 1.2 m.

The *Proper avoidance Invocation* is shown when UAS systems are getting closer to each other and they enter (Emergency Avoidance Mode) to provide *active separation*. The *Mutual distance evolution* (blue line) does not cross *safety margin* (red line).

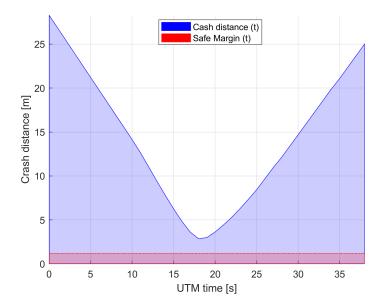


Figure 7.18: Distance to safety margin evolution for emergency converging scenario.

Distance to Safety Margin Peaks: Minimal and Maximal mutual distance to safety margin is summarized in (tab. 7.19). The closest to collision are UAS systems when the distance to safety margin is 1.6676m.

The minimal distance to safety margin ≥ 0 which means that the safety acceptance criterion is fullfilled.

UAS:	1-2	
Distance to Safety Margin	min	1.6676
Distance to Safety Margin	max	27.0843

Table 7.19: Distance to safety margin peaks for emergency converging scenario.

Path Tracking Performance: All waypoints (green numbered squares) for both UAS have been reached (fig. 7.19). Reference trajectories (green dashed lines), between initial position (green square marked S) and goal waypoint (green square marked 1) are split into three XYZ values with respective figures. The tracked value is on y-axis [m] and time on x-axis [s]. The blue lines represents real parameter evolution over time.

Following observations can be made from path tracking (fig. 7.19) and preferred separations (tab. 7.18):

- 1. UAS 1 (fig. 7.19a) is using horizontal separation (y-axis). The UAS diverges from reference trajectory to minimal necessary time.
- 2. UAS 2 (fig. 7.19b) has the right of the way and is not using any active avoidance mechanism.

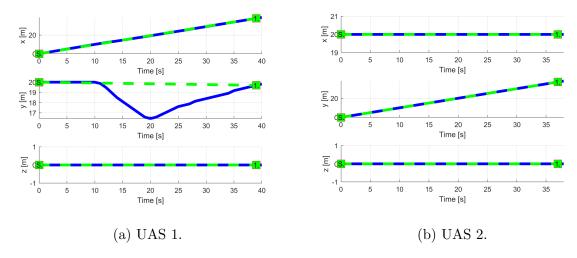


Figure 7.19: Trajectory tracking for Emergency converging test case.

Path Following Deviations: Deviations (tab. 7.20) are in expected ranges considering the mission plans (tab. 7.17) and separation safety margin (tab. 7.18).

Param.	UAS 1	UAS 2
i aram.	\mathcal{WP}_1	\mathcal{WP}_1
$\max x $	0	0
$\max y $	3.25	0
$\max z $	0	0
$\max dist.$	3.25	0

Table 7.20: Path tracking properties for *Emergency converging* scenario.

Computation Load: The computation load for scenario (fig.7.20) shows used time (y-axis) over decision frame (x-axis).

The *computation time* is increased only for UAS 1 during avoidance period. The UAS 2 remains unaffected because it has a right of the way.

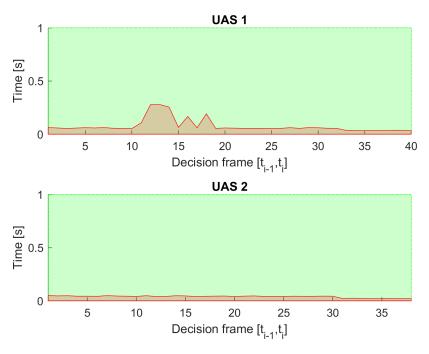


Figure 7.20: Computation time for *Emergency converging* scenario.

7.3.6 Emergency Head-On

Scenario: Two UAS systems are flying in an uncontrolled airspace (altitude ≤ 500 ft. Above the Ground Level) with missions defined in (tab. 7.21). Both UAS are in the Navigation mode with active ADSB-In/Out, receiving position notifications from each other. Cruising altitude is sufficient for horizontal separation (50-100 ft. Above Ground Level). Horizontal separation is preferred mode for both UAS.

UAS	Po	\mathcal{WP}_1	
UAS	[x, y, z]	$[heta,arpi,\psi]$	77 7 1
1	$[0, 20, 0]^T$	$[0^{\circ},0^{\circ},0^{\circ}]^T$	$[40, 20, 0]^T$
2	$[40, 20, 0]^T$	$[0^{\circ}, 0^{\circ}, 180^{\circ}]^T$	$[0, 20, 0]^T$

Table 7.21: Mission setup for *Emergency head on* scenario.

Note. Collision point is expected at $\mathcal{C} = [20, 20, 0]^T$. The angle of approach is 180° which classifies situation as Head on maneuver (fig. ??).

Main Goal: Show two non-cooperative UAS avoidance for Head-on approach scenario in uncontrolled airspace.

Acceptance criteria:

- 1. Proper mode invocation when an intruder intersects the opposing UAS Navigation grid, bot intruder and UAS will switch to Emergency Avoidance Mode. None of the UAS have Right Of the Way.
- 2. Minimal Safety Margin distance $\geq 0m$. That means the mutual distance of both UAS centers does not go below given safety margin.
- 3. Both UAS will reach own goal waypoint (tab. 7.21).

Testing setup: The *standard test setup* for each UAS defined in (tab ??, ??, ??, ??, ??) is used with following without parameter override.

Intruder intersection model has been chosen depending on UAS (tab. 7.22). Each UAS is equipped with ADS-B In/Out sensor obtaining/distributing following information:

- 1. Position in operational section coordinate frame.
- 2. Velocity vector representation in given coordinate frame.
- 3. Class size class body radius based on UAS propulsion and size.
- 4. Safety margin set set of safety margins for different collision cases.

Avoidance parameters for Emergency head-on scenario are given in (tab. 7.22). Each UAS has same speed set to $1ms^{-1}$. None of them have the Right of The Way.

Safety margin is considered as sum of both participants near miss margins. In this case default safety margin is considered as 1.2 m.

UAS		Parameters			rgins	Separation
	velocity	intruder model	ROW	body	safety	Separation
1		body (timed)	false	0.3	0.6	horizontal
2	1	body (timed)	false	0.3	0.6	horizontal

Table 7.22: Avoidance parameters for *Emergency head on scenario*.

Note. Both UAS are using body (sec. ??) intersection model, reflecting both body volume along expected trajectory. Both UAS have preference for *horizontal* separation mode, typical for planes.

Simulation Run: Notable moments from the simulation run (fig. 7.21) are following:

1. Situation detection (fig. 7.17a) - UAS 1 (blue) is approaching UAS 2 (cyan) with $130^{\circ} \leq angleOfApproach \leq 180^{\circ}$, this is considered head on approach. Head on

approach give the right of the way neither to UAS 1 nor UAS 2. Intruder intersection model for opposite UAS is created in respective avoidance grids. Head on emergency avoidance starts independently in each UAS without intruders coordination. First avoidance maneuver is invoked when the intruder intersection model constraints any trajectory in the avoidance grid. When this happens Navigation mode switch to the Emergency avoidance mode.

- 2. Before near miss (fig. 7.21b) both UAS are in emergency avoidance mode, sticking to right side avoidance maneuver.
- 3. Near miss case (fig. 7.21c) UAS 1 to UAS 2 closest distance. The safety margin for near miss has not been breached. The safety margin for well clear in uncontrolled airspace is invalid. Both UAS are using also Horizontal separation to avoid each other, Emergency avoidance mode is switched to the Navigation mode when risk of an aerial clash is voided.
- 4. After near miss (fig. 7.21d) both UAS are tracking back to respective waypoint, correcting altitude (Z-axis in (fig. 7.23)) first.
- 5. Note Collision point was expected at $\mathcal{C} = [20, 20, 0]^T$
- 6. Note Both UAS used horizontal (primary), vertical (secondary) separation (fig 7.23).
- 7. Note *Both UAS* decision times were *synchronized*, this is not an assumption, but it shows critical performance. Usually safety margin is bloated for (eq.??).

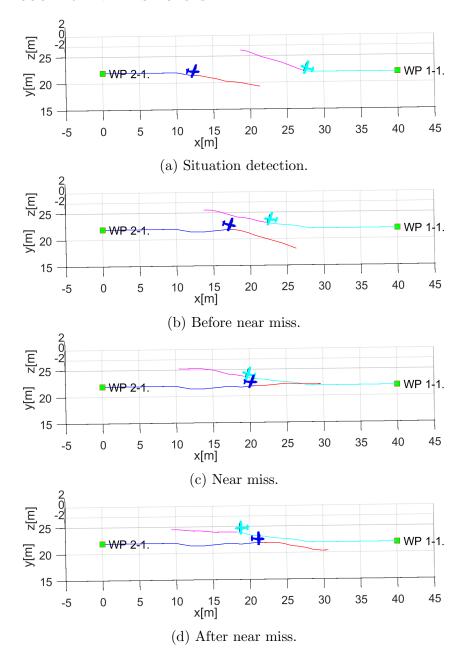


Figure 7.21: Test scenario for *Emergency head on approach* (Intruder avoidance).

Distance to Safety Margin Evolution: There is need to compare mutual distance between both UAS (y-axis [m]) and its evolution over synchronized *UTM time* (x-axis [s].) The mutual distance between bodies of UAS 1, UAS 2 (blue line) compared to Safety Margin (red line) is given in (fig. 7.22). The Safety Margin value was constant for all time at value 1.2 m which is double of Near Miss Margin for UAS 1 UAS 2.

The proper *Avoidance Invocation* is shown when *UAS* systems are getting closer to each other and they starts their *separation phase* (Emergency Avoidance Mode switch). The mutual distance (blue line) does not cross *safety margin* (red line).

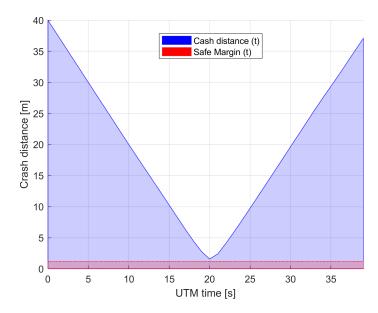


Figure 7.22: Distance to safety margin evolution for emergency head on scenario.

Distance to Safety Margin Peaks: Minimal and Maximal mutual distance to safety margin is summarized in (tab. 7.23). The closest to collision are UAS systems when *distance* to safety margin is 0.3824m.

The minimal distance to safety margin ≥ 0 which means that the safety acceptance criterion is full filled.

UAS:	1-2	
Distance to Sefety Marsin	min	0.3824
Distance to Safety Margin	max	38.8000

Table 7.23: Distance to safety margin peaks for *Emergency head on scenario*.

Path Tracking Performance All waypoints (green numbered squares) for both UAS have been reached (fig. 7.23). Reference trajectories (green dashed lines), between initial position (green square marked S) and goal waypoint (green square marked 1) are split into three XYZ values with respective figures. The tracked value is on y-axis [m] and time on x-axis [s]. The blue lines represents real parameter evolution over time.

Following observations can be made from path tracking (fig.7.23) and preferred separations (tab. 7.22):

- 1. UAS 1 (fig. 7.23a) is using horizontal separation going to the right (y-axis) and a little bit up (z-axis).
- 2. UAS 2 (fig. 7.23b) is using horizontal separation going to the right (left in GCS, y-axis) and little bit up (z-axis).

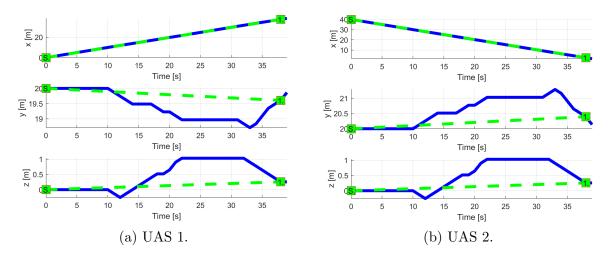


Figure 7.23: Trajectory tracking for Emergency head on test case.

Path Following Deviations: Deviations (tab. 7.24) are in expected ranges considering the mission plans (tab. 7.21) and separation safety margins (tab. 7.22).

Param.	UAS 1	UAS 2
1 aram.	\mathcal{WP}_1	\mathcal{WP}_1
$\max x $	0.05	0.06
$\max y $	1.37	1.48
$\max z $	1.03	1.05
$\max dist.$	1.39	1.52

Table 7.24: Path tracking properties for *Emergency head on scenario*.

Computation Load: The computation load for scenario (fig.7.20) shows used time (y-axis) over decision frame (x-axis).

The *computation time* is increased only during *avoidance phase*. The *load* is symetric for both UAS systems.

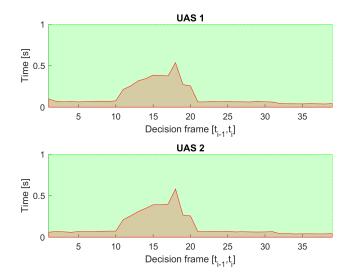


Figure 7.24: Computation time for *Emergency head on scenario*.

7.3.7 Emergency Mixed Head-On with Converging

Scenario: Four UAS are flying in an uncontrolled airspace (altitude ≤ 500 ft. Above the Ground Level) missions defined in (tab. 7.25). All UAS are in the Navigation mode with active ADS-B In, receiving position notifications from each other. Cruising altitude is sufficient for horizontal separation (50-100 ft. Above the Ground Level).

UAS	Po	\mathcal{WP}_1		
UAS	$[x,y,z]$ $[\theta,\varpi,\psi]$] VVJ1	
1	$[0, 20, 0]^T$	$[0^{\circ}, 0^{\circ}, 0^{\circ}]^T$	$[45, 20, 0]^T$	
2	$[40, 20, 0]^T$	$[0^{\circ}, 0^{\circ}, 180^{\circ}]^T$	$[-5, 20, 0]^T$	
3	$[20, 0, 0]^T$	$[0^{\circ}, 0^{\circ}, 90^{\circ}]^{T}$	$[20, 45, 0]^T$	
4	$[20, 40, 0]^T$	$[0^{\circ}, 0^{\circ}, -90^{\circ}]^T$	$[45, 20, 0]^T$	

Table 7.25: Mission setup for *Emergency mixed* scenario.

Note. Collision point is expected at $\mathcal{C} = [20, 20, 0]^T$

Main Goal: Show multiple non-cooperative intruders avoidance capability in uncontrolled airspace.

Acceptance criteria:

1. Proper avoidance mode invocation - when an intruder intersection model impact the Avoidance Grid, UAS system will switch to an Emergency avoidance mode.

- 2. Minimal safety margin distance $\geq 0m$.
- 3. Each *UAS* will reach own goal waypoint (tab. 7.25).

Testing setup: The *standard test setup* for each UAS defined in (tab ??, ??, ??, ??, ??) is used with following without parameter override.

Intruder intersection model has been chosen depending on UAS (tab. 7.26). Each UAS is equipped with ADS-B In/Out sensor obtaining/distributing following information:

- 1. Position in operational section coordinate frame.
- 2. Velocity vector representation in given coordinate frame.
- 3. Class size class body radius based on UAS propulsion and size.
- 4. Safety margin set set of safety margins for different collision cases.

Avoidance parameters for Emergency mixed scenario are given in (tab. 7.26). Each UAS has different intruder model and separation combination. Each UAS has same speed set to $1ms^{-1}$. None of UAS has the Right of The Way.

Safety margin is considered as sum of both participants near miss margins. In this case default safety margin is considered as 1.2 m.

UAS	Parameters			Margins		Congretion
	velocity	intruder model	ROW	body	safety	Separation
1	1	body + spread	false	0.3	0.6	horizontal
2	1	body (timed)	false	0.3	0.6	vertical
3	1	body (timed)	false	0.3	0.6	horizontal
2	1	body + spread	false	0.3	0.6	vertical

Table 7.26: Avoidance parameters for *Emergency mixed* scenario.

Note. Each UAS use different intruder intersection models and primary separations (defined in tab. 7.26). UAS reactions are based on primary Separation mode, intruders intersection models this is reflected on major axial deviations in (fig. 7.27) and summarized in path tracking deviation (tab. 7.28).

Simulation Run: Notable moments from the simulation run (fig. 7.25) are following:

1. Situation detection (fig. 7.25a) - UAS 1 (blue) is detecting UAS 2 (cyan), UAS 3 (green), and UAS 4 (black) as possible intruders. There are multiple converging and

head on approaches depending on mutual positions (UAS and angle of approach). There exist at least one converging case where each UAS has Right of the way. Each UAS creates intruder intersection models depending on the intruder configuration (tab. 7.26). Each UAS enters into the Emergency avoidance mode independently, when at least one trajectory is constrained in the avoidance grid.

- 2. Before near miss (fig. 7.25b) all UAS are in emergency avoidance mode, using various separation modes and intruder intersection models. Each UAS is performing its own avoidance maneuver, constantly checking other intruders. If same separation and same intruder model was used, there will be virtual roundabout.
- 3. After near miss (fig. 7.25c) all UAS avoided each other which is covered in safety margin performance (fig. 7.26) and (tab. 7.27).
- 4. Situation resolution (fig. 7.25d) all UAS returns to Navigation mode correcting altitude first and continuing to assigned waypoints.

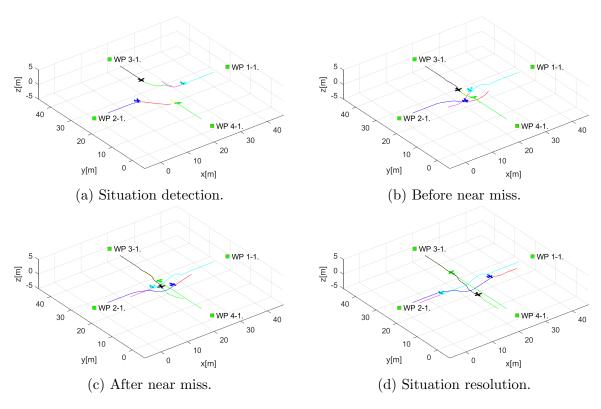


Figure 7.25: Test scenario for *Emergency mixed* situation with self-separation mode.

Distance to Safety Margin Evolution: There is need to compare mutual distance between each UAS. The graph (fig. 7.26) shows six figures for each *UAS systems* mutual distance (blue line) in this scenario. The *Safety Margin* (red line) (1.2 m) was not breached for any pair (case).

The *Proper avoidance invocation* is shown when UAS systems are getting closer to each other and then they start separation phase (Emergency avoidance mode).

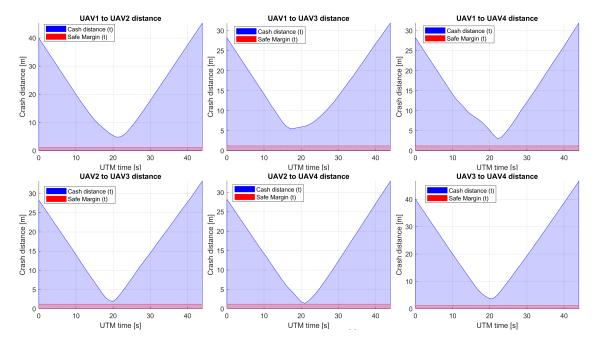


Figure 7.26: Distance to safety margin evolution for emergency mixed scenario.

Distance to Safety Margin Peaks: Minimal and Maximal mutual distance to safety margin is summarized in (tab. 7.27). There is no detected breach for any combination.

The closest to collision is UAS pair 2-4 with mutual safety margin only 0.2019 m. On the other side is UAS pair 1-3 with mutual safety margin 4.3721 m.

The minimal distance to safety margin ≥ 0 which means that the safety condition is fulfilled.

UAS:	Distance to Safety Margin					
UAS.	min	max	breach			
1-2	3.6231	44.0831	false			
1-3	4.3721	30.7300	false			
1-4	1.8959	30.7331	false			
2-3	0.7331	32.0266	false			
2-4	0.2019	31.7282	false			
3-4	2.5171	45.4257	false			

Table 7.27: Distance to safety margin peaks for emergency mixed scenario.

Path Tracking Performance: All waypoints (Green numbered squares) for all UAS have been reached (fig. 7.27). Reference trajectories (green dashed line) have been tracked by

UAS real path (blue solid line) almost all time.

Following observations can be made from *path tracking* (fig. 7.27) and *preferred separations* (tab. 7.26):

- 1. UAS 1 (fig. 7.27a) is using horizontal separation (y axis right) having preferred horizontal separation.
- 2. UAS 2 (fig. 7.27b) is using vertical separation (z axis up-down), having preferred vertical separation.
- 3. UAS 3 (fig. 7.27d) is using horizontal/vertical separation (x right, z down), having preferred horizontal separation. This UAS has used other than preferred separation type.
- 4. UAS 4 (fig. 7.27c) is using horizontal separation (x-axis right/left), having preferred vertical separation. This UAS has used opposite separation type, than preferred.

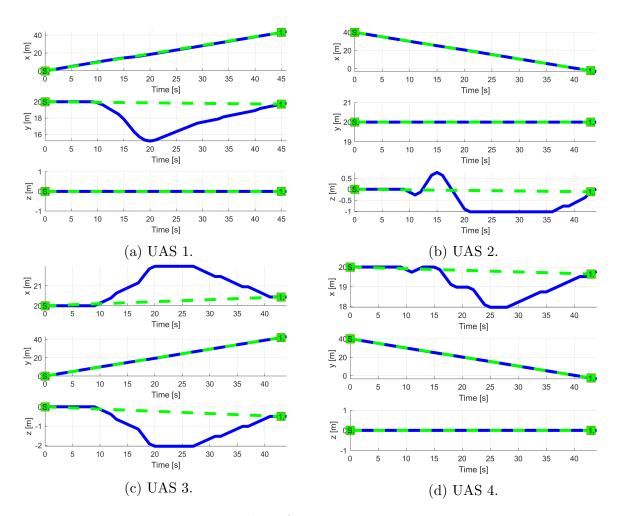


Figure 7.27: Trajectory tracking for *Emergency mixed* situation test case.

Path Tracking Deviations: Deviations (tab. 7.28) are in expected ranges considering the mission plans (tab. 7.25) and separation safety margins (tab. 7.26).

Param.	UAS 1	UAS 2	UAS 3	UAS 4
raram.	$\mathcal{W}\mathcal{P}_1$	\mathcal{WP}_1	\mathcal{WP}_1	\mathcal{WP}_1
$\max x $	0	0	1.98	2.05
$\max y $	4.84	0	0	0
$\max z $	0	1.23	2.43	0
$\max dist.$	4.84	1.23	3.45	2.05

Table 7.28: Path tracking properties for *Emergency mixed* scenario.

Computation Load: The computation load for scenario (fig.7.28) shows used time (y-axis) over decision frame (x-axis).

The *computation time* increases during periods of *active avoidance*. The *shortest* period of avoidance has UAS 1 and the longest period of avoidance has UAS 4.

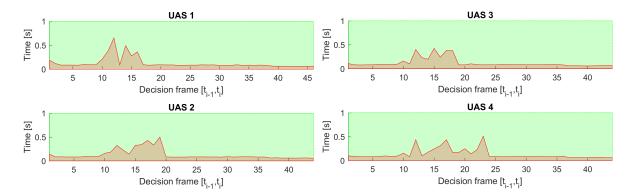


Figure 7.28: Computation time for *Emergency multiple* scenario.

Bibliography

[1] Alojz Gomola, Pavel Klang, and Jan Ludvik. Probabilistic approach in data fusion for obstacle avoidance framework based on reach sets. In *Internal publication collection*, pages 1–93. Honeywell, 2017.