

COL106

Data Structures and Algorithms

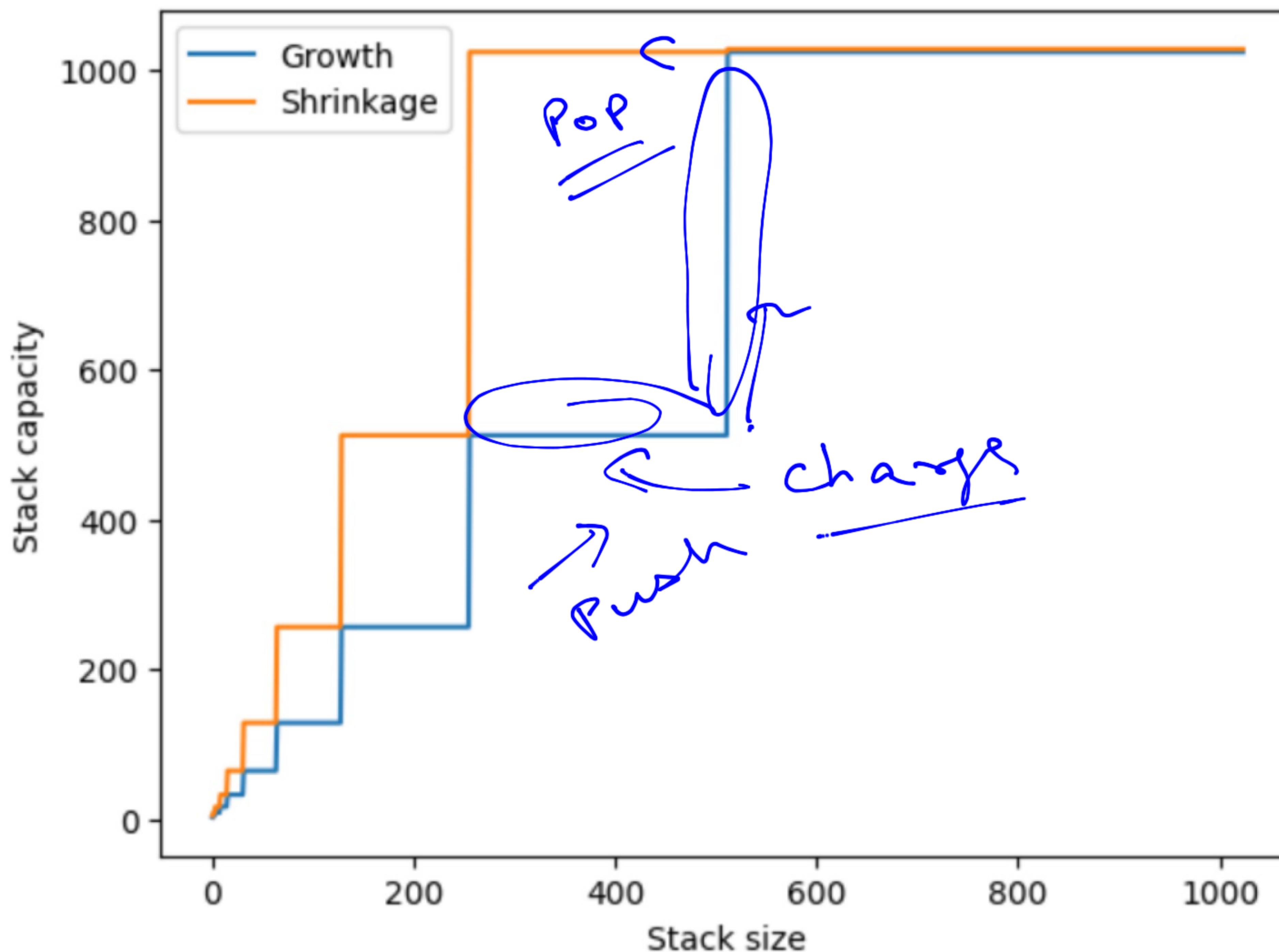
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 - Indicating what is permitted and what is not
- Read the honor code carefully, ask in case of doubts
- Violation of Honor code will lead to
 - D grade
 - Disciplinary committee (DISCO)
 - Any other penalty deemed fit by the instructors

Amortized Analysis

Dynamic Stack with Hysteresis



Amortized Analysis: Some Hints

- Consider n stack operations
- Some operations take $O(1)$ and some $O(n)$ time
- How to find total worst-case running-time?
- For operations that take more time
 - Pretend as if they take $O(1)$ time
 - The balance time is “charged” to other operations done in the past or future
- For all the operations add time and charge

Dictionaries

What are Dictionaries

- Abstract Data Type (ADT)
- Contains **elements** that can be quickly accessed using **keys**
- Example: Student records at IIT Delhi
 - Entry number
 - Student name
 - Hostel name
 - Room number
 - Permanent address
 - Gradesheet
 - Other relevant information

Student Records at IIT Delhi

- Entry number
- Student name
- Hostel name
- Room number
- Permanent address
- Gradesheet
- Other relevant information
- Records may be located using the entry number which may be used as a key

A Telephone Directory

- Telephone number
- Name of the person
- Address
- Occupation
- Income
- Alternate number
- Aadhar number
- Billing details
- Plan details

True Caller Directory

True Caller Directory

- Telephone number
- List of address book entries
 - Telephone number where the address book was present
 - Name according to the address book
 - Other information about the contact in the address book
- Representative name
 - Obtained after combining all the address book entries
- True caller functions
 1. Send address book entries from mobile phones to a centralized repository
 2. Synthesis all the names from multiple address books
 3. Quickly find representative name from telephone number

What is the Next Big Idea?

Operations on the Dictionaries

- Constructor/destructor
- size()
- isEmpty()
- elements()
- **find(key)** –
- **insert(e)**
- **remove(key)**
- **findAll(key)**
- **removeAll(key)**

Dictionary ADT

```
class Element {  
public:  
    type1 key;  
    type2 val;  
};  
  
class Dictionary {  
public:  
    Dictionary(int sz); // Create an initial dictionary of size sz  
    ~Dictionary(); —  
    int size(); // Returns the number of elements in the Dictionary  
    Element * elements(); // Returns elements of the dictionary
```

Dictionary ADT

```
class Dictionary {  
public:  
    Dictionary(int sz); // Create an initial dictionary of size sz  
    ~Dictionary();  
    int size(); // Returns the number of elements in the Dictionary  
    Element * elements(); // Returns elements of the dictionary  
  
    void insert(Element *e); // Insert into the dictionary  
    Element * find(type1 key); // Finds an element in the  
    // dictionary corresponding to the key  
    void remove(type1 key); // Deletes an element in the  
    // dictionary corresponding to the key  
};
```

Implementing Dictionaries

- Arrays unsorted
- Arrays sorted
- Linked lists
- Hash tables
- Binary trees
- Red-black trees
- AVL trees
- B trees

Implementation of Dictionary

- Using arrays (unsorted)

Dictionaries using Arrays (unsorted)

```
class uArrayDictionary: public Dictionary {  
private:  
    Element * e;   
    int capacity, count;  
public:  
    uArrayDictionary(int sz):Dictionary(sz) {  
        capacity = sz;  
        count = 0; // Elements are stored in e from 0 to count-1  
        e = new Element[sz];  
    };  
    ~uArrayDictionary() {  
        capacity = 0;  
        count = 0;  
        delete e;  
    };
```

Dictionaries using Arrays (unsorted)

```
void insert(Element *ein) {
    e[count++] = *ein; // Insert the new element at the end
    // Error checking needed here when count == capacity
}
Element *find(type1 key) {
    int i;
    for (i = 0; i < count; i++)
        if (e[i].key == key) return &e[i]; // Key found
    return 0; // Key not found
}
```

Dictionaries using Arrays (unsorted)

```
void *remove(type1 key) {
    int i, j;
    for (i = 0; i < count; i++)
        if (e[i].key == key) { // Key found
            // Now delete the element e[i] and shift all the
            // elements after e[i] to one position before
            for (j = i+1; i < count; j++) {
                e[j-1] = e[j];
            }
            count--; // Decrease the count of elements
        }
    // End of function. Key not found
}
```

Dictionaries using Arrays (unsorted)

- Assume n insert/remove/find operations already performed
- Time complexity for the next operation
 - Insert: $O(1)$
 - Find: $O(n)$
 - Remove: $O(n)$

Implementation of Dictionary

- Using arrays (sorted)

Dictionary Using Sorted Arrays

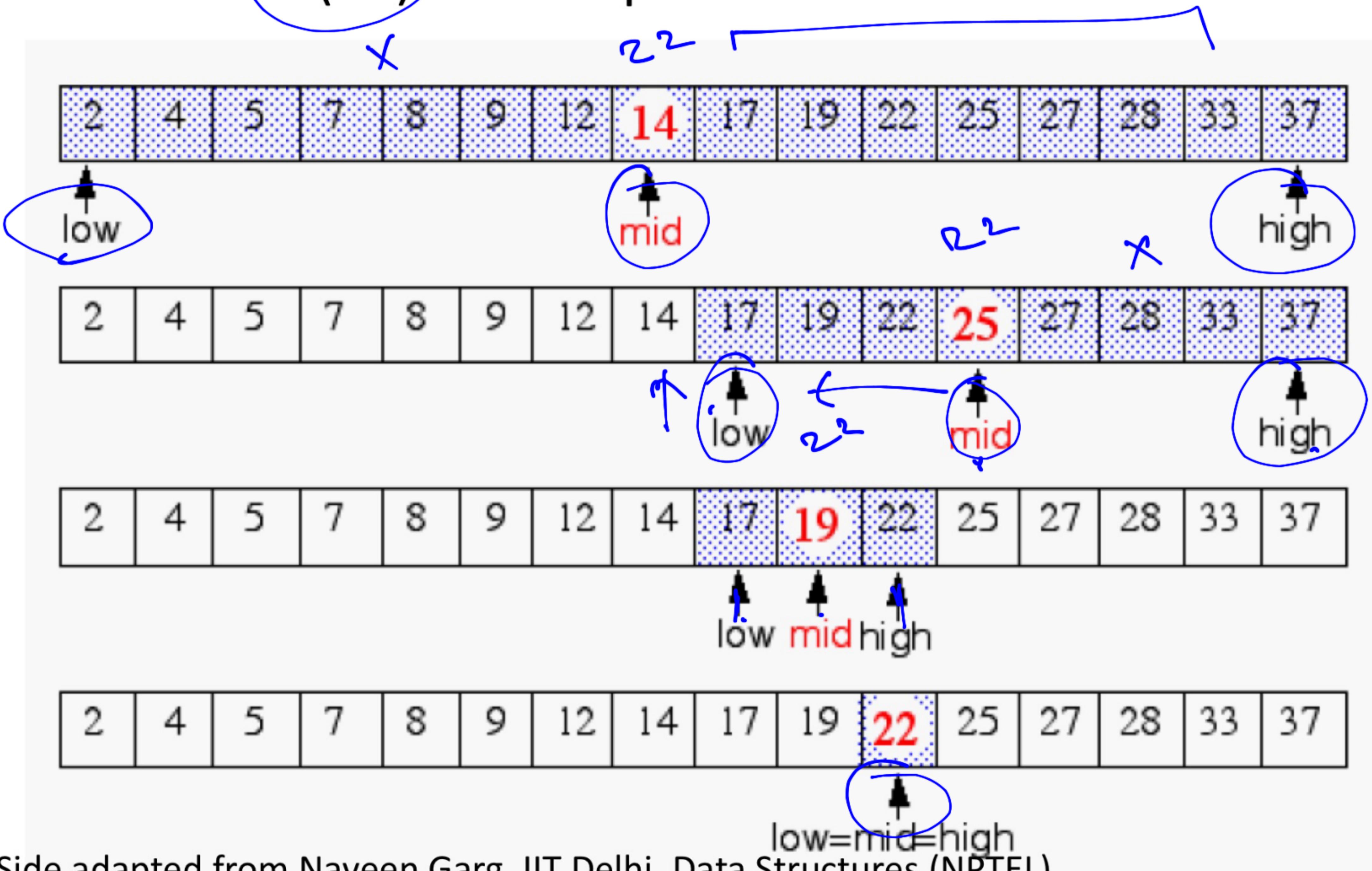
```
class sArrayDictionary: public Dictionary {
private:
    Element * e;
    int capacity, count;
public:
    sArrayDictionary(int sz):Dictionary(sz) {
        capacity = sz;
        count = 0;      // Elements are stored in e from 0
        e = new Element[sz];
    };
    ~sArrayDictionary() {
        capacity = 0;
        count = 0;
        delete e;
    };
}
```

Dictionary Using Sorted Arrays

- How to find an element?
- Use binary search
- Divide and conquer algorithm
 - Divide complex problem into smaller simpler sub-problems
 - Solve smaller subproblems
 - Combine solutions of the smaller sub-problems
 - Arrive at a solution to the complex big problem

Binary Search in a Sorted Array

Assume $\text{find}(22)$ is to be performed



Search in a Sorted Array: Iterative

```
Element *find(type1 key) { // Use binary search to find
    // Since all the elements are sorted
    int lo = 0, hi = count-1, mid = (lo + hi)/2;
    while (lo <= hi) {
        if (e[mid].key == key) // Key found
            return &e[mid];
        else if (e[mid].key < key)
            low = mid + 1;
        else // Invariant e[mid].key > key now
            hi = mid - 1;
        mid = (lo + hi) / 2;
    }
    return 0; // Key not found
}
```

Search in a Sorted Array: Recursive

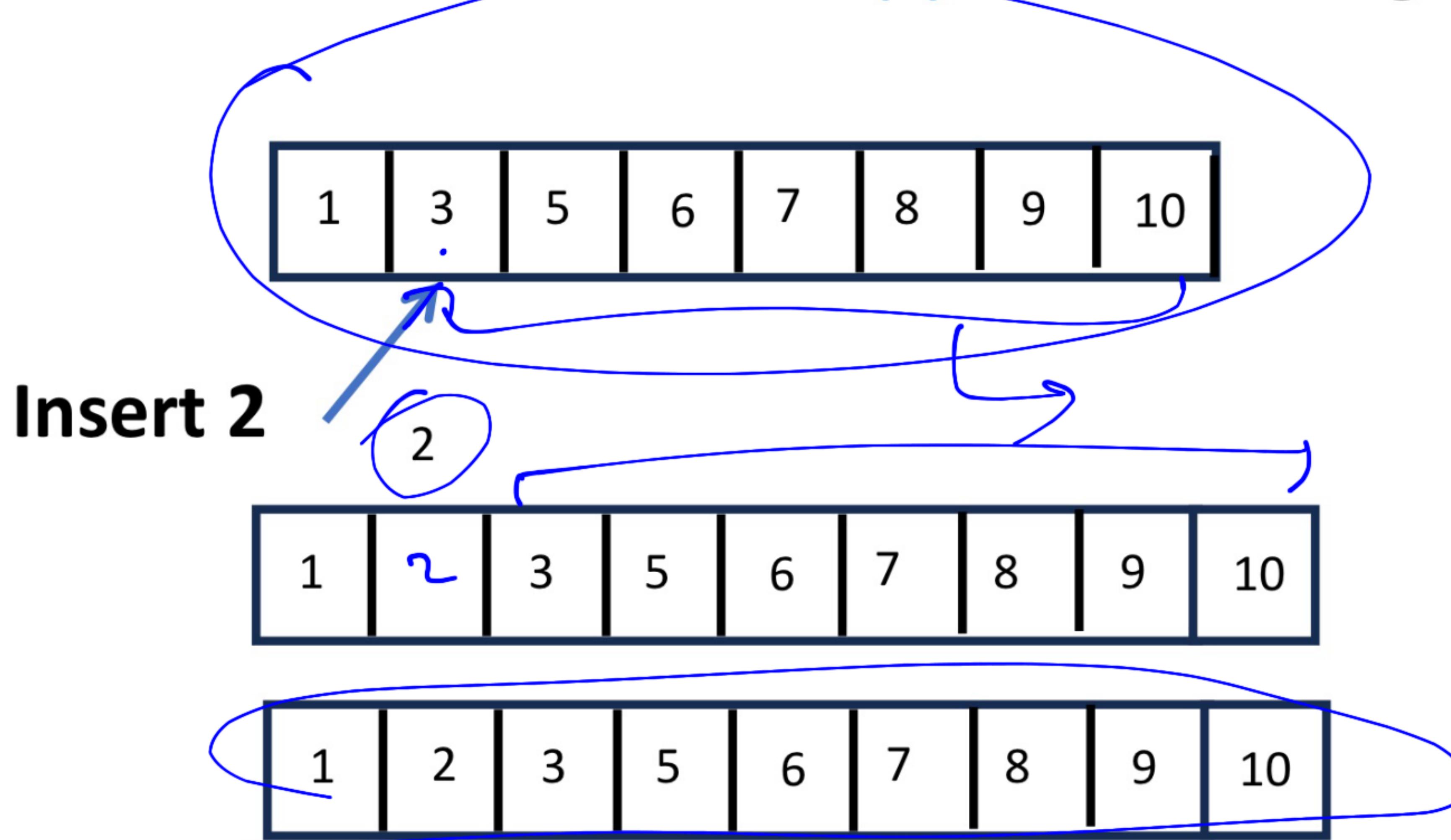
```
Element * find_recursive(element *e, type1 key, int lo, int hi) {  
    int mid;  
    if (lo > hi) return 0;  
    mid = (low + hi) / 2;  
    if (e[mid].key == key) return &e[mid];  
    if (e[mid].key < key)  
        return find_recursive(e, key, mid+1, hi);  
    else  
        return find_recursive(e, key, lo, mid-1);  
}
```

Dictionary Using Sorted Arrays

- How to insert an element?

Sorted Arrays: Insertion

- Use **binary search** to find location to be inserted
- Insert the element and **copy** rest to the right



Dictionaries using Sorted Arrays: Insertion

```
void insert(Element *ein) { // First perform binary search
    int lo = 0, hi = count-1, mid;
    int j;
    Element *tmp;
    while (lo <= hi) {
        mid = (lo + hi) / 2;
        if (e[mid].key == key) // Key found
            break;
        else if (e[mid].key < key)
            low = mid + 1;
        else // Invariant e[mid].key > key now
            hi = mid - 1;
    }
    // Possible cases: (i) lo <= hi ==> e[mid].key == key.
    // So insert after e[mid]
    // (ii) lo > hi ==> e[hi].key < key. Insert after e[hi]
```

Dictionaries using Sorted Arrays: Insertion

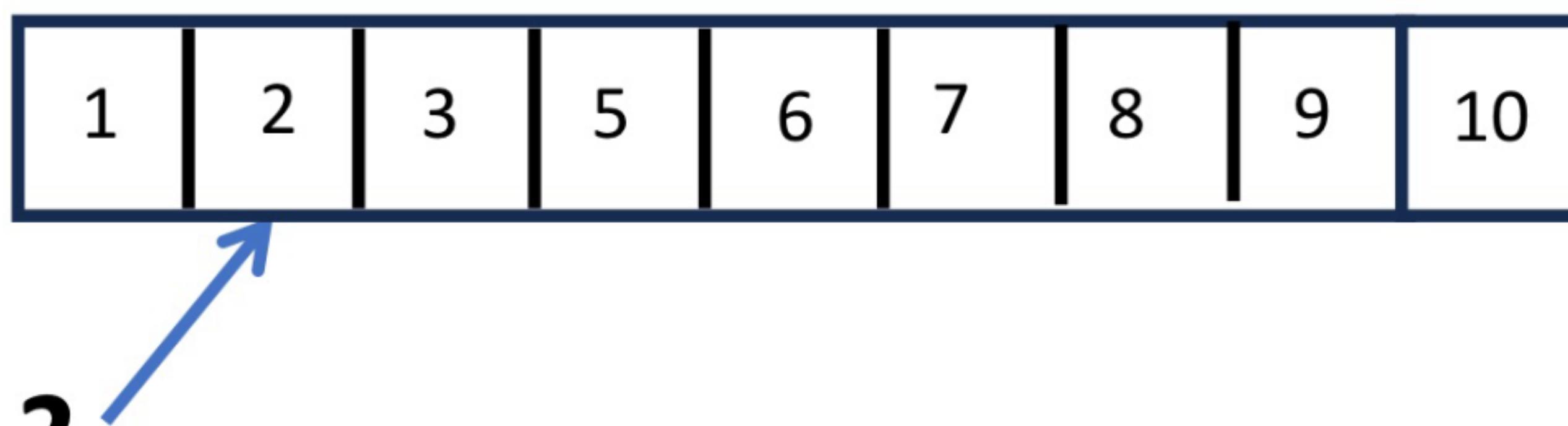
```
// Possible cases: (i) lo <= hi ==> e[mid].key == key.  
// So insert after e[mid]  
// (ii) lo > hi ==> e[hi].key < key. Insert after e[hi]  
if (lo <= hi) j = mid + 1;  
else j = hi + 1;  
while (j <= count) {  
    tmp = e[j];  
    e[j] = ein;  
    ein = tmp;  
    j++;  
}  
count++;
```

Dictionary Using Sorted Arrays

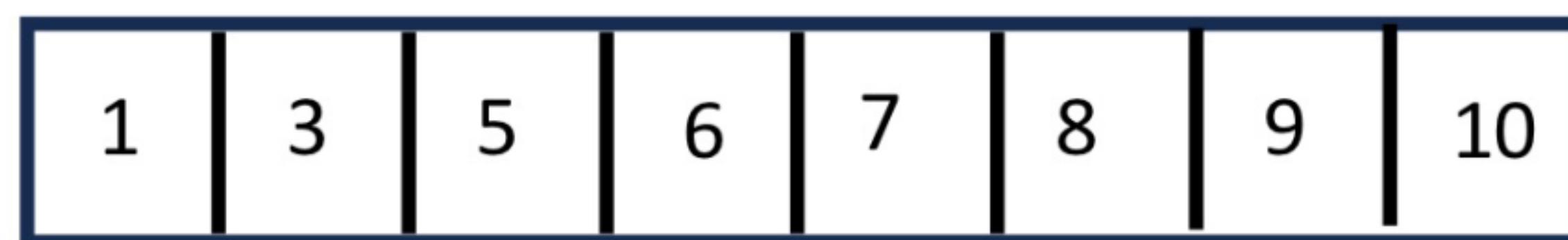
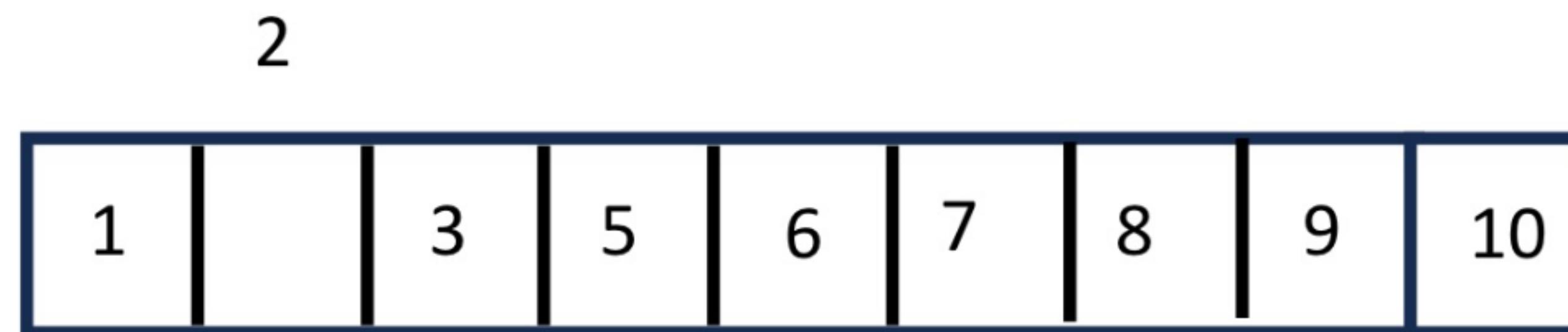
- How to remove an element?

Sorted Arrays: Removal

- Use binary search to **find** location to be removed
- Remove the element and **copy** rest to the left



Remove 2



Dictionaries using Sorted Arrays: Removal

```
void *remove(type1 key) {
    Element *efound;
    int i, j;
    efound = find(key);
    if (efound == 0) // Key not found. Nothing to do.
        return;      // TBD error reporting. Raise exception
    i = efound - e; // i is now index into e where key was found
    for (j = i+1; i < count; j++) {
        e[j-1] = e[j];
    }
    count--; // Decrease the count of elements
}
```

Dictionary Using Sorted Arrays

- Assume n insert/remove/find operations
- Time complexity for the next operation
- Insert: $O(\log(n)) + O(n) = \underline{\underline{O(n)}}$
- Find: $\underline{\underline{O(\log(n))}}$
- Remove: $O(\log(n)) + O(n) = \underline{\underline{O(n)}}$

Implementation of Dictionary

- Using **doubly linked lists** (unsorted)
- **Insert**: InsertAfter(header) or InsertBefore(trailer)
- **Find(key)**: Scan through the DLL to locate a note matching the key
- **Remove**
 - node=Find(key)
 - DLLDelete(node)

Dictionary using Unsorted DLL

- Assume n operations (insert, remove, find)
- Time complexity for the next operation
 - Insert: $O(1)$
 - Find: $O(n)$
 - Remove: $O(n)$

Dictionary using Sorted DLL

- Time complexity of the following operations?
- Insert(element): $O(n)$
- Find(key): $O(n)$
- Remove(key): $O(n)$

Dictionary using Sorted DLL

- **Insert(element)**: Scan through the elements till you find the right position and then insert
- **Find(key)**: Scan through the elements till you find the key
- **Remove(key)**: Scan through the elements till you find the key
- Where to scan from? Does it really matter?
 - Header
 - Trailer
- $T(N)$ is the worst-case time for any input of size N

Dictionary using Sorted DLL

- Insert() best and worst cases
 - Scan from header worst case
 - Scan from header best case
 - Scan from trailer worst case
 - Scan from trailer best case

Dictionaries using Skip Lists

- **Homework:** What will be the expected worst case time complexity for the following operations if you were to use skip lists to implement dictionaries?
 - Insert
 - Find
 - Remove

Dictionary using a Hash Table

- What will be the expected worst case time complexity for the following operations if you were to use hash table to implement dictionaries?
- Insert
- Find
- Remove

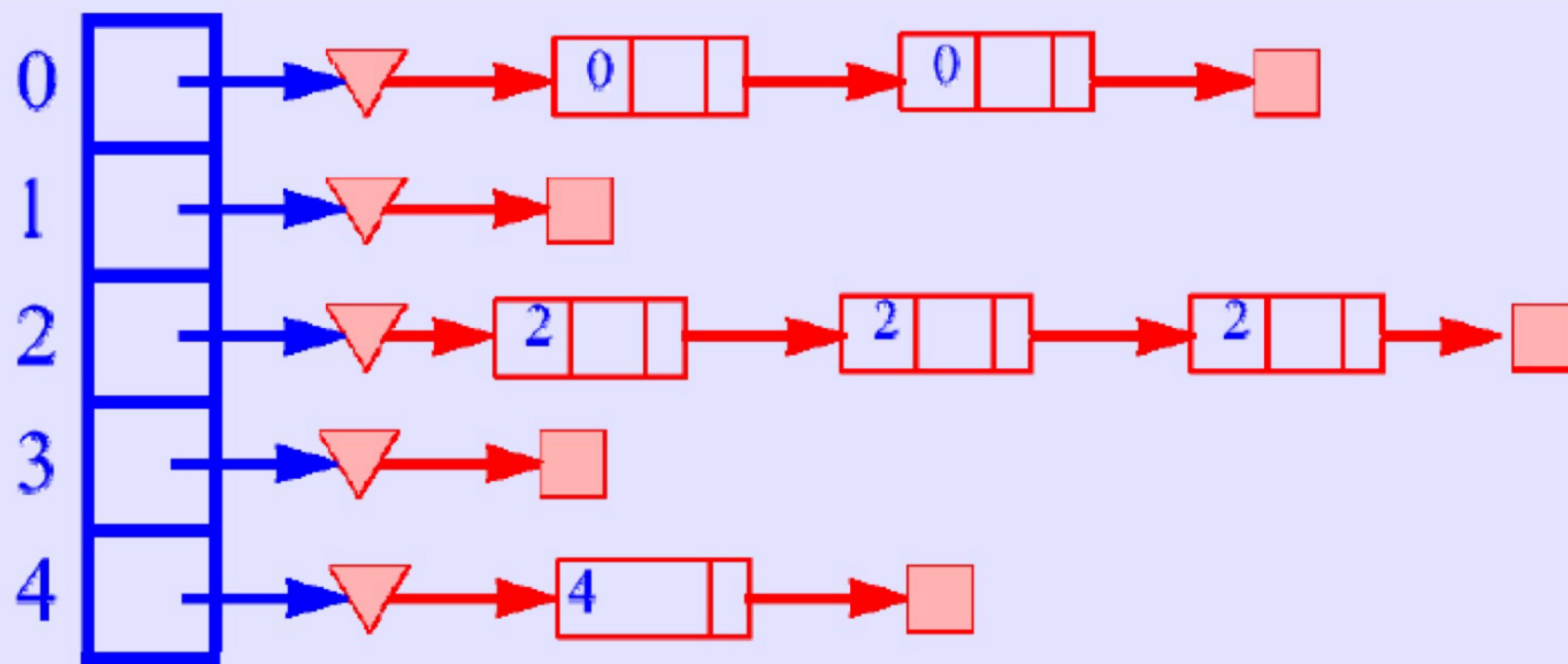
$h(\text{key}) : 0 \dots m-1$

Hash function

Hash table of size $\underline{\underline{m}}$

Analysis of Hash Table using Chaining

- Number of elements in the Dictionary: n
- Size of Hash table: m
- Store the elements using a linked list in case of collision



Linear Probing

$h(\text{key})$
Insert (key)

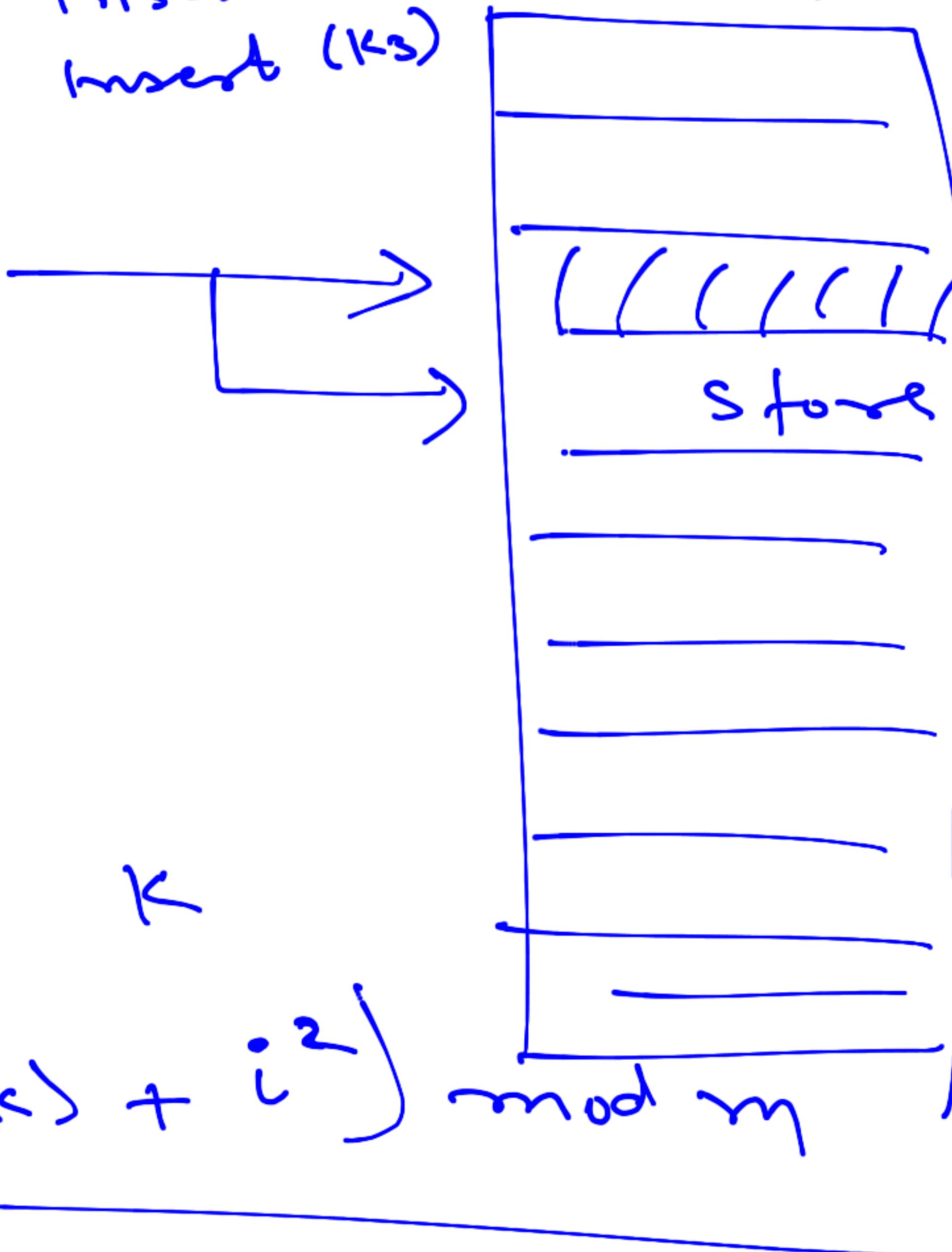
$h(k)$

ith probe for K

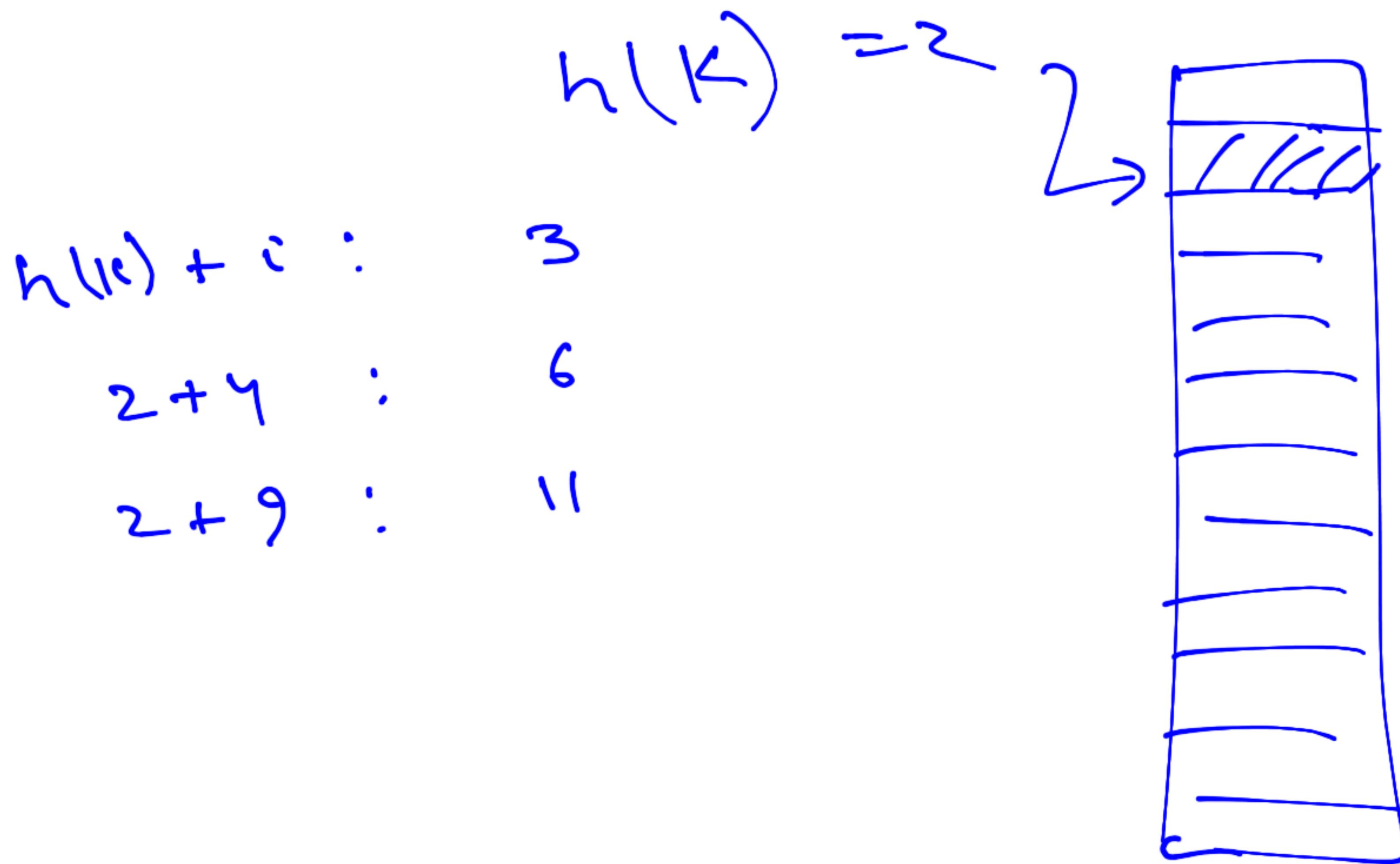
Look at $(h(k) + i^2) \bmod m$

Insert(k_1) -
Insert(k_2)
Insert(k_3)

$$h(k_1) = 10 \quad h(k_3) = 10$$
$$h(k_2) = 10 \quad \underline{\hspace{10cm}}$$



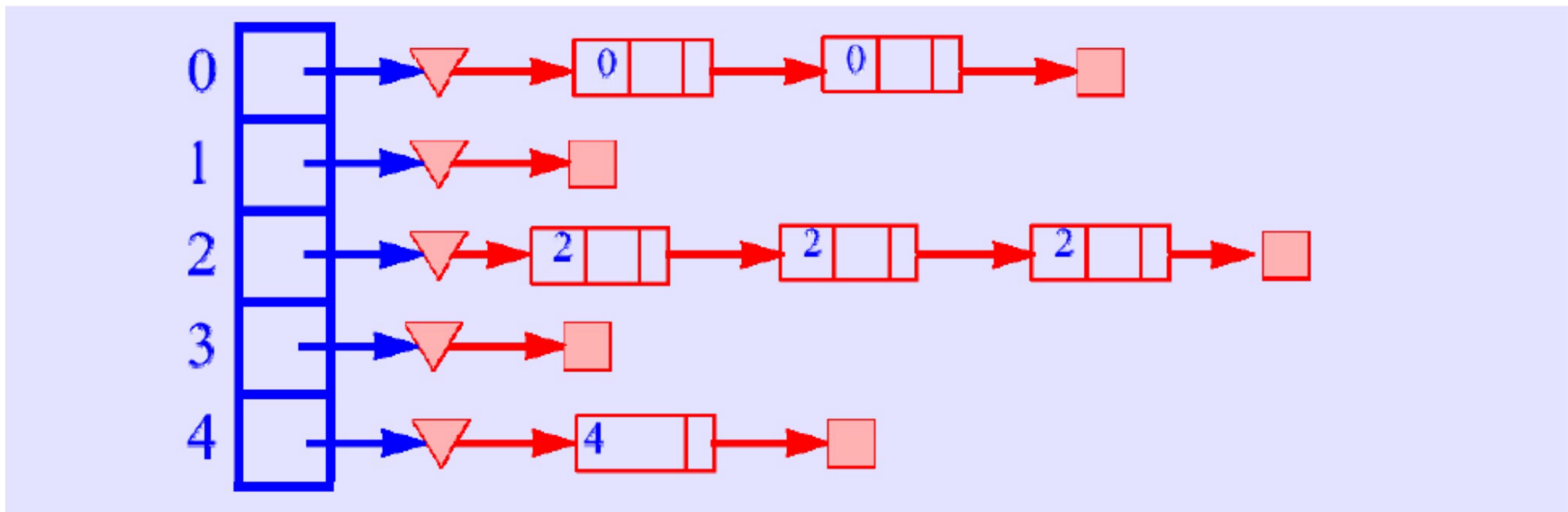
10 occupied



$h(k) + ai + b$
 choose a, b coprime to m 

Analysis of Hash Table using Chaining

- Number of elements in the Dictionary: n
- Size of Hash table: m
- Average length of list: $\alpha = n/m$



Hash Table using Chaining

- Successful search time
 - $O(1)$ for computing hash
 - $\alpha/2$ for searching in the list (on average)
 - Total time is $O(1+\alpha/2)$
- Unsuccessful search time
 - $O(1)$ for computing hash
 - α for searching in the list (on average)
 - Total time: $O(1+\alpha)$
- Insert time
 - $O(1)$ for computing hash
 - $O(1)$ for inserting in the list
- Time for all operations is $O(1)$ if $m = c n$

Dictionary using a Hash Table

- Homework: What will be the expected worst case time complexity for the following operations if you were to use hash table ([chaining](#), [linear probing](#), [quadratic probing](#), [double hashing](#)) to implement dictionaries?
- Insert
- Find
- Remove

Universal Hashing

A set Σ of hash functions
is universal if for all

$$\Pr_{\substack{h \in \Sigma \\ m}} [h(k_1) = h(k_2)] \leq \frac{1}{m}$$

inputs k_1 k_2

m: size of hash table