# HONGKANG LI

+1(518) 961-2812  $\diamond$  Philadelphia, PA

lihk@seas.upenn.edu ♦ Google scholar ♦ Homepage

#### **EDUCATION**

Rensselaer Polytechnic Institute, Troy, NY, United States August 2019 - December 2024

P.h.D., Electrical, Computer, and Systems Engineering

GPA: 4.0/4.0

Advisor: Prof. Meng Wang

University of Science and Technology of China, Hefei, China August 2015 - June 2019

Bachelor of Engineering

GPA: 3.73/4.3

# RESEARCH INTEREST

**Deep Learning Theory:** deep learning theory, generalization analysis of (graph) neural networks, theoretical mechanism of large language models.

Efficient AI: data-efficient learning and inference.

Trustworthy Machine Learning: model explanation, fairness.

#### PROFESSIONAL EXPERIENCE

### Postdoctoral Researcher

June 2025 -

University of Pennsylvania Supervisor: Prof. Rene Vidal

Postdoctoral Researcher

January 2025 - May 2025

Rensselaer Polytechnic Institute Supervisor: Prof. Meng Wang

Research Intern

May 2023 - August 2023

IBM Research

Supervisor: Dr. Songtao Lu, Dr. Hui Wan, Dr. Xiaodong Cui

# Graduate Research Assistant

August 2019 - December 2024

Rensselaer Polytechnic Institute Supervisor: Prof. Meng Wang

# **PUBLICATIONS**

### **PREPRINT**

**H. Li,** S. Lu, X. Cui, P.-Y. Chen, M. Wang, "Understanding Mamba in In-Context Learning with Outliers: A Theoretical Generalization Analysis." *In submission.* 

J. Sun, S. Zhang, **H. Li**, M. Wang, "Theoretical Guarantees and Training Dynamics of Contrastive Learning: How Misaligned Data Influence Feature Purity." *In submission*.

<sup>\*</sup> denotes equal contribution

Y. Zhang\*, **H. Li\***, Y. Yao\*, A. Chen, S. Zhang, P.-Y. Chen, M. Wang, S. Liu, "Visual Prompting Reimagined: The Power of Activation Prompts." *In submission*.

#### CONFERENCE

- **H. Li,** Y. Zhang, S. Zhang, M. Wang, S. Liu, P.-Y. Chen. "When is Task Vector Provably Effective for Model Editing? A Generalization Analysis of Nonlinear Transformers," Accepted by International Conference on Learning Representations (ICLR) as an Oral Presentation (acceptance rate = 1.8%), 2025.
- **H. Li,** M. Wang, S. Lu, X. Cui, and P.-Y. Chen. "Training Nonlinear Transformers for Chain-of-Thought Inference: A Theoretical Generalization Analysis" *Accepted by International Conference on Learning Representations (ICLR)*(acceptance rate = 32.1%), 2025.
- **H. Li,** M. Wang, S. Lu, X. Cui, and P.-Y. Chen. "How Do Nonlinear Transformers Learn and Generalize in In-Context Learning?" Accepted by International Conference on Machine Learning (ICML)(acceptance rate = 27.5%), 2024.
- **H. Li,** M. Wang, T. Ma, S. Liu, Z. Zhang, P.-Y. Chen, "What Improves the Generalization of Graph Transformer? A Theoretical Dive into Self-attention and Positional Encoding." Accepted by International Conference on Machine Learning (ICML)(acceptance rate = 27.5%), 2024.
- **H. Li,** M. Wang, S. Liu, P.-Y. Chen, "A theoretical understanding of shallow vision transformers: Learning, generalization, and sample complexity." *Accepted by International Conference on Learning Representations (ICLR)*(acceptance rate = 32.0%), 2023.
- **H. Li,** M. Wang, S. Liu, P.-Y. Chen, and J. Xiong. "Generalization guarantee of training graph convolutional networks with graph topology sampling." *Accepted by International Conference on Machine Learning (ICML)*(acceptance rate = 21.9%), 2022.
- **H. Li,** S. Zhang, and M. Wang. "Learning and generalization of one-hidden-layer neural networks, going beyond standard gaussian data." Accepted by 2022 56th Annual Conference on Information Sciences and Systems (CISS). IEEE
- Y. Luo, **H. Li**, Q. Liu, L. Shi, X.-M. Wu. "Node Identifiers: Compact, Discrete Representations for Efficient Graph Learning," *Accepted by International Conference on Learning Representations* (ICLR)(acceptance rate = 32.1%), 2025.
- Y. Luo, **H. Li**, L. Shi, X. Wu, "Enhancing Graph Transformers with Hierarchical Distance Structural Encoding." *Accepted by Annual Conference on Neural Information Processing Systems* (Neurips) 2024.
- S. Zhang, **H. Li**, Meng Wang, Miao Liu, Pin-Yu Chen, Songtao Lu, Sijia Liu, Keerthiram Murugesan, Subhajit Chaudhury. "On the Convergence and Sample Complexity Analysis of Deep Q-Networks with -Greedy Exploration." *Accepted by Annual Conference on Neural Information Processing Systems (Neurips)* (acceptance rate = 26.1%), 2023.
- H. Wan, **H. Li**, Songtao Lu, Xiaodong Cui, Marina Danilevsky. "How Can Personalized Context Help? Exploring Joint Retrieval of Passage and Personalized Context." *Accepted by IEEE International Conference on Acoustics, Speech and Signal Processing (ICASSP), 2023.*

# **JOURNAL**

**H. Li,** S. Zhang, M. Wang, Y. Zhang, P.-Y. Chen, S. Liu, "Theoretical characterization of neural network generalization group imbalance." Accepted by IEEE Journal of Selected Topics in Signal

Processing, 2024.

J. Sun, **H. Li**, M. Wang, "Theoretical Learning Performance of Graph Networks: the Impact of Jumping Connections and Layer-wise Sparsification." *Accepted by Transactions on Machine Learning Research*, 2025.

# WORKSHOP

**H. Li,** M. Wang, S. Zhang, S. Liu, P.-Y. Chen, "Learning on transformers is provable low-rank and sparse: A one-layer analysis." *Accepted by 2024 IEEE 13rd Sensor Array and Multichannel Signal Processing Workshop (SAM)*.

# **TALKS**

• NJIT DS Seminar October 2024

Theoretical Foundations of In-Context Learning and Chain-of-Thought with Trained Transformers

• IBM-RPI FCRC Seminar Series February 2024 Theoretical and Algorithmic Foundations of In-Context Learning Using Properly Trained Transformer Models

# PRESS COVERAGE

 $\bullet$  IBM Blog \$ July 2024 IBM and RPI researchers demystify in-context learning in large language models

# **SERVICES**

• Reviewer of ICML 2022-2025; Neurips 2022-2025; ICLR 2024-2025; CVPR 2024-2025; AAAI 2025; AISTATS 2024-2025; UAI 2023; ICASSP 2023-2024; TMLR 2023-2025; IEEE Transactions on Artificial Intelligence 2024; IEEE Transactions on Signal Processing 2024.

Mentor of research of three ECSE undergraduate students of RPI.

# HONORS AND AWARDS

• MLCommons ML and Systems Rising Star Award	May 2025
• ICLR 2025 Notable Reviewer	May 2025
• IEEE SAM Travel Grant,	July 2024
• Founders Award of Excellence, Rensselaer Polytechnic Institute	October 2023
• Belsky Award, School of Engineering, Rensselaer Polytechnic Institute	January 2023
• Zenghua Scholarship, Top 5% of USTC	September 2018
• Outstanding Student Scholarship, USTC	2016 - 2017