

# Chapter 1

8/7/25

## Introduction

- Entity:- Entity is real world object which has property, which are well defined.
- It can be of concrete existence or abstract existence.
- Physical presence  
Ex:- student
- No physical presence  
Ex:- Account(Bank)

RDBMS:- Relational database management system.

Here Data will be managed in tables. i.e., store, retrieve data from tables.

Database is collecting data in organised manner.

DBMS = DB + software to manage DB.  
manage consists of elementary operations like add, delete, update.

## \* File Processing System (FPS) Vs DBMS

FPS & DBMS will work same but DBMS will do it easily, efficiently.

### 1. Redundancy (Repetition) :-

FPS (multiple files) - waste of memory, time.  
DBMS (single file in one table structure) - no redundancy.

DBMS (single file in one table structure) - removed by normalization

### 2. Consistency :- (update clashes)

FPS - Due to inconsistent data ambiguity occurs.  
Inconsistency is due to redundant data.

DBMS - consistency due to no redundancy.

### 3. Data Access / security :-

Enforcing / Implementing security is more simpler by DBMS than FPS

DBMS has 2 commands under Data to grant / revoke permission.

Data control language

grant      revoke  
command    command

in SQL

#### 4. Constraints (Restrictions/Limitations):-

Constraints can be modified in only 1 line command in DBMS but in FPS we have to code.

#### \* Schema :- (No. of cols, Names)

Schema is a structure (In RDBMS - table structure)

Instances :- values stored in the table. (Data populated at particular point of time).

→ Schema can be changed but it's less prone compared to instances.

#### \* Levels of Abstractions.

View schema	View Level	→ Based on user's perspective they can access only subset of the data.
logical schema	Logical level	→ Relationship b/w the data is stored (referring to table structure)
Physical Schema	Physical level	→ aspects of memory / data structure i.e., how data is stored in secondary storage

IMP

\* Data Independence (DI) changes made in one schema without making changes in other schema

(a) Physical Data Independence (PDI):-

Where changes are done to physical schema without making explicit change in logical schema.

When memory is added to physical schema there will be no explicit change in logical schema but implicitly the storage will be more in logical schema.

2000

500 students

$x$  units of  
memory

2015

2500 students

$x+y$  units of  
memory.

Logical Data Independence (LDI):-  
 When changes are made to logical schema without making explicit change in view schema. Implicitly changes will appear in view schema.

SNO	Reg	Name	M1	M2	Total	Address
1	A	A	10	20	35	aaa

↑ M1 + M2 + E

newly added data  
 M1 + M2 is changed to  
 M1 + M2 + 5

Reg ID
Name:- A
M1:- 10 M2:- 20
Total:- 35
Add :- aaa

- \* LogWriter (LGWR) → Pass the control architecture (i.e., who accessed data).
- \* DBWriter - Fetch data from buffer to hard disk & vice versa
- \* Buffer
- \* Instances (control pass)
- Buffer → LGWR → Logfile
- Buffer → DBWR → Datafile (fetch data)
- Schema → DDL  
DML
- 5. Atomicity - Inherent property in DBMS.  
 due to LGWR, DBWR.

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DDL - Data Definition Language (deals with schema)  
DML - Data Manipulation Language.  
(deals with instances)

## 1. CREATE - DDL

Syntax:- → Not case sensitive.

CREATE TABLE table name (col1 datatype(size),  
keyword col2 datatype(size), ...);

Ex:-

CREATE TABLE stud (Reg char(10) PRIMARY KEY,  
name varchar(20), Sem number(1));

char(5)  
↓  
fixed length  
any -  
space  
will be there

varchar(5)  
↓  
variable length  
a n u x x  
space will  
be removed.

## 2. INSERT - DML - DML

Syntax:-

values should be in single quote  
for numbers it is not necessary

a) INSERT INTO tablename (col1, col2, ...) VALUES ('value1', 'value2', ...);

b) INSERT INTO tablename VALUES ('value1', 'value2');  
case sensitive.

Ex:-

INSERT INTO stud VALUES ('007', 'Francis', 3);

## 3. SELECT - DML but in specific DQL (basically retrieval command)

Syntax:-

SELECT col1, col2, ... FROM tablename WHERE condition;  
seperator optional

Ex:-

SELECT name, sem FROM stud WHERE Reg = '007';  
WHERE NOT branch = 'CSE' for not  
SELECT name FROM some WHERE branch = 'CSE' AND  
Gender = 'F' AND age >= 17 AND age <= 20;

UPDATE  
Syntax :-

UPDATE tablename SET col = 'new value' WHERE condition;

Ex :-  
UPDATE Stud SET PhNo = 111 WHERE Reg = '007';

\* commands are not case sensitive but values present in table are case sensitive.

5. ALTER -

Syntax :-

ALTER TABLE tablename add colname datatype(size);

Ex :-

ALTER TABLE customer add Address varchar(20);

6. DELETE -

Syntax :-

DELETE FROM tablename where condition;

\* REFERENCES → used to refer primary key of another table f.k.

Stud(Reg, Name, Sem) m rows

Club(Cno, cname) → to make reg f.k here.

CREATE TABLE Club (Cno char(5) Primary Key,  
cname varchar(10),  
Reg char(5) REFERENCES Stud);

Stud X Club → get entire table combining & associating  
Both ↓

↓ m × n rows

It won't give right row, we have to give condition to make spurious correct

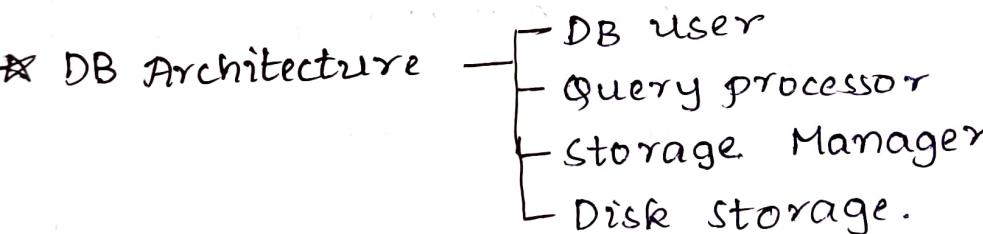
tuple ←

	reg	Name	Sem	Cno	cname	Reg
	✓ 1	A	3	C1	HR	1
	X 1	A	3	C2	HR	3
	X 2	B	3	C1	HR	1
	X 2	B	3	C2	HR	3
	X 3	C	5	C1	HR	1
	X 3	C	5	C2	HR	3

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## \* DB Language - SQL

1. Data Definition Language (DDL) :- Commands are associated with schema not dealing with the instances at all.  
Ex:- CREATE, ALTER, DROP.
2. Data Manipulation Language (DML) :- commands associated with instances (data).  
Ex:- INSERT, UPDATE, DELETE
3. Data Query Language (DQL) :- command to retrieve  
Ex:- SELECT
4. Data Control Language (DCL) :- command to control Permissions (over access to data)  
Ex:- GRANT, REVOKE
5. Transaction Control Language (TCL) :- COMMIT, ROLL BACK  
Commit - Save (CTRL+S)  
Roll back - Undo (CTRL+Z)



## I. DB User

- 1) Naive User - uses DB application using user interface.
- 2) Application programmer - Software Developers
- 3) Sophisticated Users - Any special queries can be asked by them
- 4) Specialized Users - Analyses the data and make the recommendation system. (historical data)

- 5) DataBase Administration (DBA)
- 1) Schema Definition
  - 2) Schema Modification
  - 3) Constraints
  - 4) Free Disk Space
  - 5) Back Up
- DBA is responsible for this.
- II) Query Processor (Process query sent by user)

- DDL Interpreter - updates Data Dictionary which has meta data (data about data which is going to be stored) (name, datatype, size)
- DML Compiler
  - Query optimization (based on resources)
  - Translator (into machine language)
- Query Evaluation Engine (QEE) - Execution

- III) Storage Manager
- Authorization & Integrity Manager (For constraints checking)
  - File manager (ensure there is disk space & smooth running of application)
  - Buffer manager (Temp storage, smooth movement of data from main memory  $\rightarrow$  secondary storage)
  - Transaction manager - Ensures atomicity in DBMS
- Query optimization - query gets converted to multiple mathematical model.

LRU - Least recently used algorithm thrown out of buffer

#### Disk Storage

- Data File - All instances, log file, ctrl information are stored.
- Indices - Helps in Locate the data.

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## Chapter-2

### Entity Relationship Model (ER Model)

- Relationship is association b/w entities.
  - Relation is table/entity
  - All the columns are attributes (properties to be stored in table)
  - Row is also known as Record/Tuple
- \* Notations



Entity



Attribute/

Single valued attribute/  
Simple attribute/  
Atomic attribute/  
Stored attribute



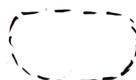
Relationship.



Multivalued  
attribute



composite  
attribute



Derived  
attribute



weak  
entity



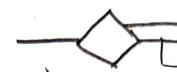
Discriminator



Identifying  
relationship



Primary Key



Total participation



Partial participation



Generalization/  
Extended ER feature



Specialization

## Types of Attributes

Single valued & Multi valued Attribute

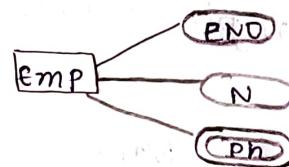
single valued :- A property at any instance having a single attribute.

Multi valued :- A property having multiple values attributes.

Ex:- EMP

eno	N	Ph
e1	A	101
e2	B	102 103, 104
e3	C	

→ ER MODEL



But one box couldn't have multiple values either it can have one value or a null value

I.

eno	N	P1	P2	P3
e1	A	101	Null	Null
e2	B	102	103	104
e3	C	Null	Null	Null

This is not an efficient solution as it has many null values.

II.

eno	N	Ph
e1	A	101
e2	B	102
e2	B	103
e2	B	104
e3	C	null

Here redundancy occurred & primary key can't be taken as eno, N

III.

eno	N
e1	A
e2	B
e3	C

eno → Primary key

eno	Ph
e1	101
e2	102
e2	103
e2	104

eno → foreign key (Here duplicates are allowed)

eno connects both attributes as a primary key.

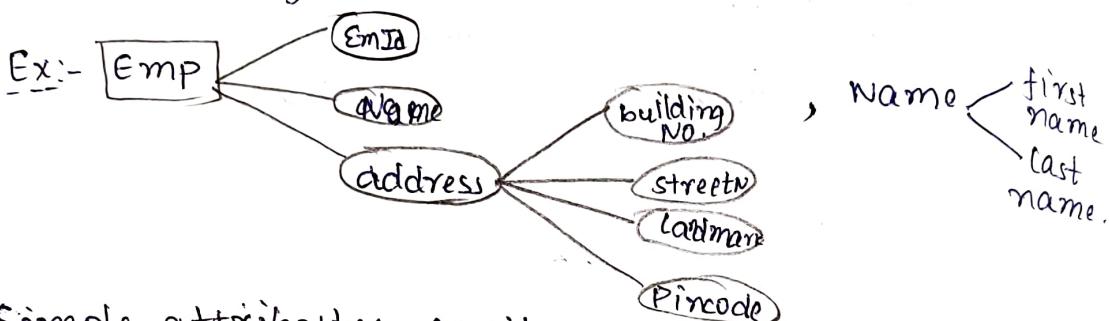
Foreign key is Primary key of another table. we can't add e4 to T2 because it violates referential integrity.

## 2. Stored & Derived Attribute.

Stored :- Ex:- D.O.B, Marks, Height-weight, Date of joining  
 Derived :- Ex:- Age, percentage, BMI, Experience  
 ↳ Infer values from stored → never mapped in a relational model

## 3. Simple & Composite Attribute

→ Composite attributes can be broken down into several simple attributes.

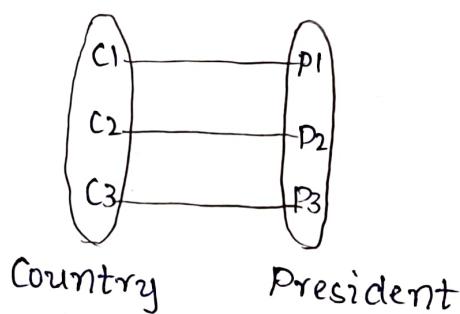


- Simple attributes can't be broken down further.
- Composite attributes are not mapped simple attributes which have been broken down from composite are mapped.

## Mapping Cardinalities/Cardinality Ratio

### 1) One to One $\Rightarrow (1 : 1)$

Ex:-



$C \rightarrow$  country name, country code, population.  
In one row.

→ One country has 1 president

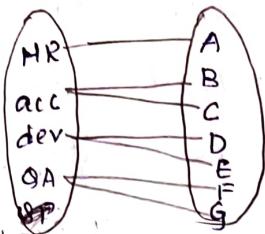
← 1 president governs only 1 country } 1:1



$\Rightarrow$  ER diagram representation

One to Many / Many to One  $\Rightarrow (1:m/m:1)$

Ex:-



Department      Staff

$\rightarrow$  One department has many staff  $\Rightarrow 1:m$

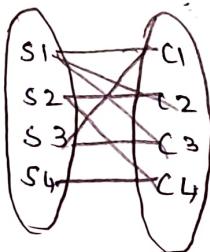
$\leftarrow$  One staff can belong to  $\begin{cases} \text{1 department} \Rightarrow 1:1 \\ 1:m \end{cases}$

ER diag:-



Many to Many  $\Rightarrow (m:m/m:n)$

Ex:-

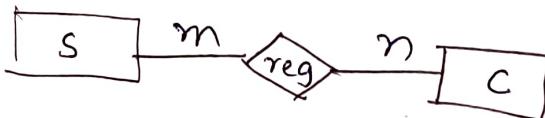


Student      Courses

$\rightarrow$  1 student can register to many courses  $\Rightarrow 1:m$

$\leftarrow$  1 course can be registered by many students  $\Rightarrow m:1$

ER diag:-



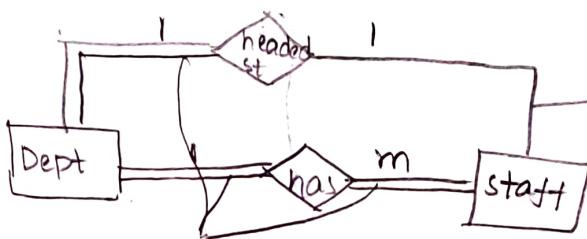
$m:m = m:n$

$\rightarrow$  Ratio of no. of instances of 1 entity associating with no. of instances of other entity is called mapping cardinality.

★ Participation constraints.

It depicts if all the instances present in the table are participating in the relationship or not.

Ex:-



$\rightarrow$  Partial participation

Total participation

Case study-1: A university has multiple departments each of which has multiple staff, every department is headed by an employee, department offers multiple courses which are registered by students and every staff teaches atleast 1 course. Draw E.R. diagram.

### E.R. diagram:-

Noun-phrase approach  $\Rightarrow$  nouns present in scenario usually form entity

#### Nouns

University (because it is only 1)

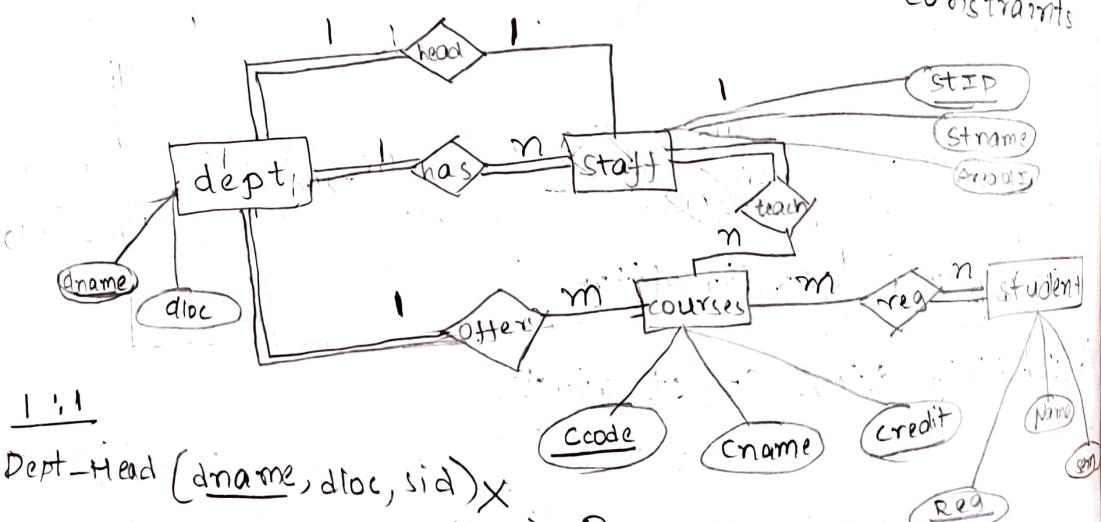
Dept ✓ — dname, dloc → sid - ALTER

Staff ✓ — stid, sname, Aoi → ADD

Employee

Course ✓ — Ccode, cname, cr

Student ✓ — Reg, name, sem



5. Dept-Head (dname, dloc, sid) X

6. same as ② X ALTER in ①

1:n

7. same as ① X

8. Staff (stid, sname, Aoi, dname)

9. Same as ① X

10. Course (Ccode, cname, cr, dname)

11. Same as ② X

12. Course (Ccode, cname, cr, sid, dname)

m:m

13. Same as ③ X

14. Same as ④ X

15. St-CO [reg code]

Total - 5 tables

Weak Entity :- Entity doesn't have or can't have a primary key and depending on other entity's primary key.

Ex:- stud (weak entity)

Roll	Name
07	John
07	m
07	m
05	John
03	m

Campus (strong entity)

code	cname
A	arimta puri
B	BL
C	CB

↓ Discriminator.

To uniquely identify rows in weak Entity =

Discriminator of W.E + P.K of the S.E.

→ Discriminator is an attribute in weak entity which will be added to a P.K of S.E to identify a unique row in W.E.

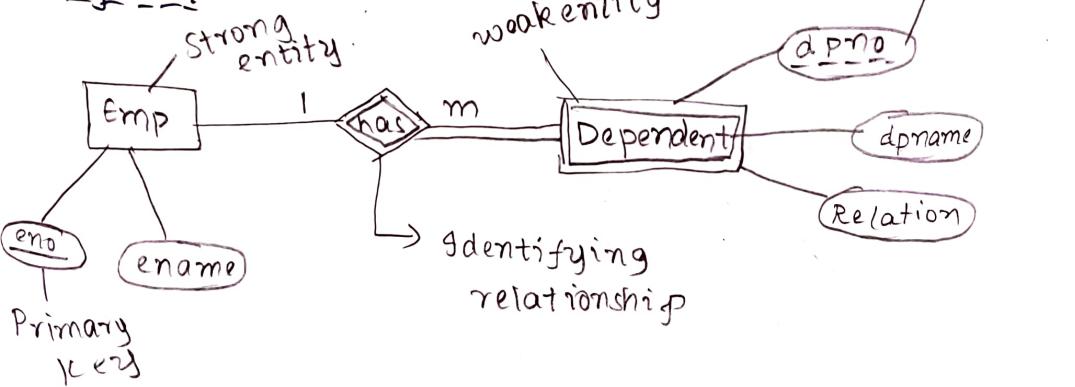
Ex:- Emp

eno	ename
e1	A
e2	B
e3	A
e4	D

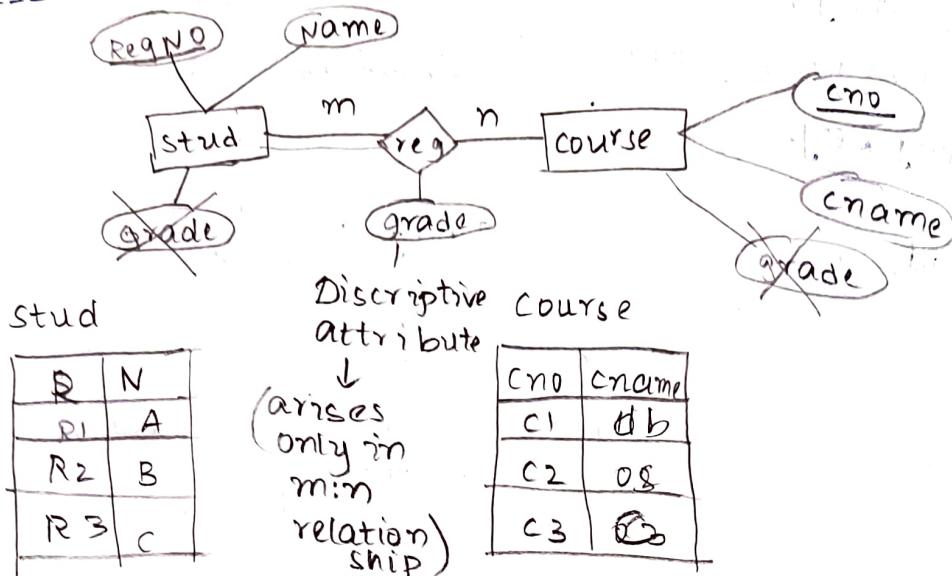
Dependent

DNo	DName	Rel	eno
d1	da	F	e1
d2	db	M	e1
d1	da	S	e2
d1	dc	S	e3

ER diagram:-



Ex:-

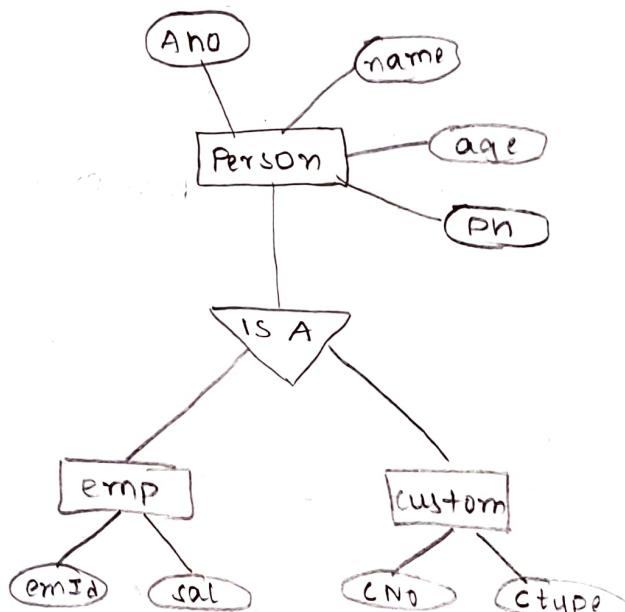


Descriptive attribute - min 3 tables are required

S - C - if mva is present we will get extra table.

R	cno	Grade
R1	C1	A
R2	C1	B
R3	C3	A+
R1	C2	O

## ★ Extended ER features



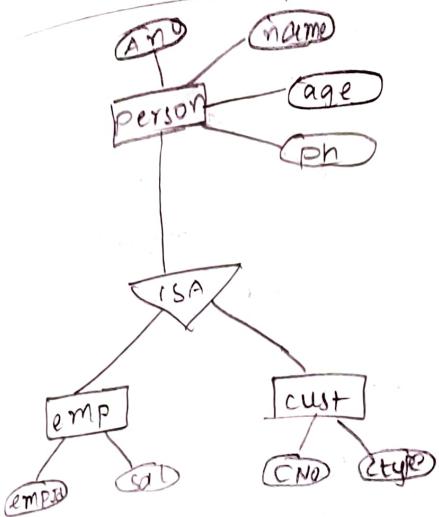
Top down approach

Specialization

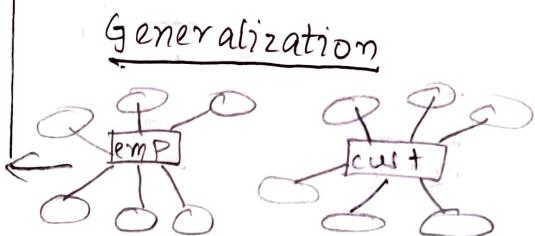
From person we are deriving emp & customer

→ In table there will be only 2 i.e., emp & customer

EMP is a type of person  
CUSTOMER is a type of person

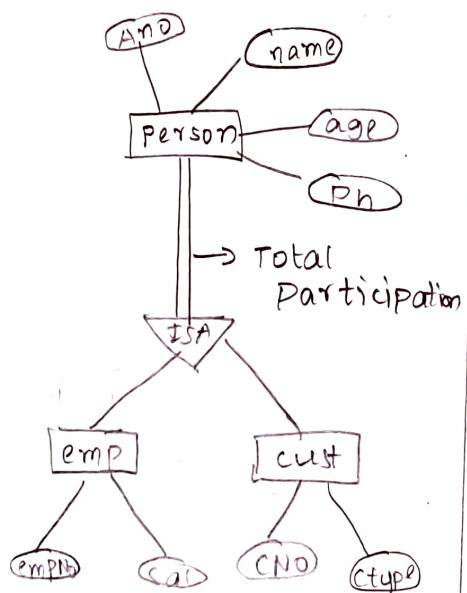


↑ Bottom up approach.  
From emp & cust with all attributes we take common attributes and make a new entity for it



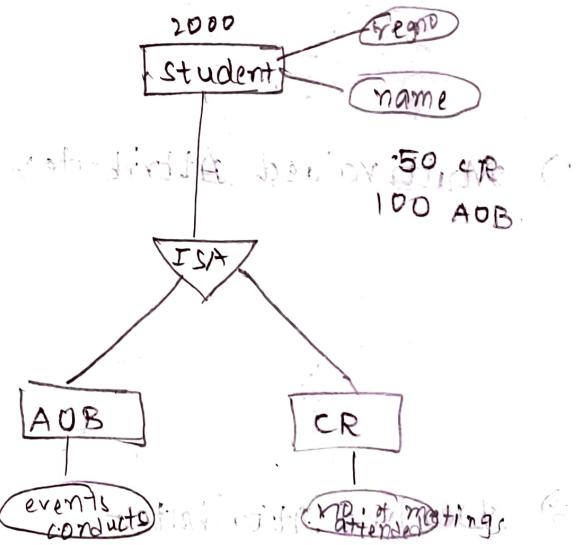
I type inheritance of objects      II type of inheritance.

- 1) Partial
- 2) Total



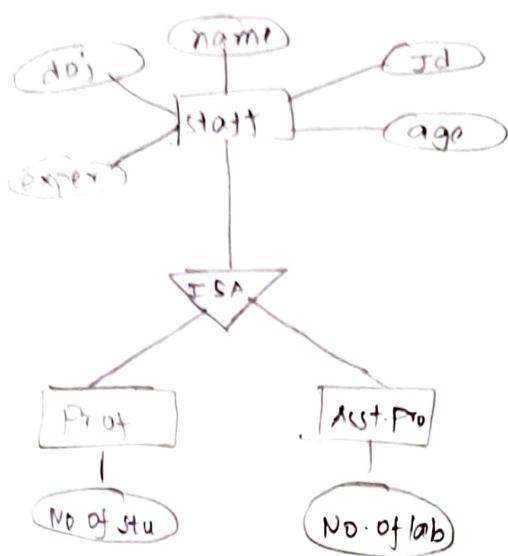
If a person is both employee & customer then the person data will be there in 2 tables i.e.) Overlapping.

- 1) Overlapping & Disjoint
- 2) User defined & Condition based.



If CR's can't be AOB then both are disjoint.  
Every student can't be AOB/CR  
so, Partial participation.

When there is no predefined norm/rule, the user makes the decision like choosing CR so, it is user defined.

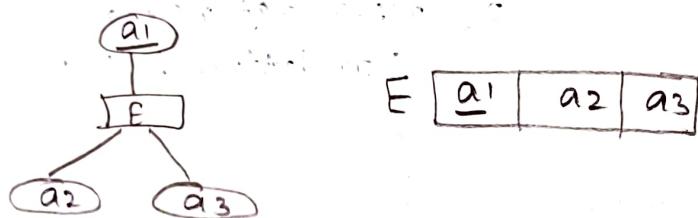


Here set of rules are defined like based on certain conditions to become prof like exper if they are satisfying then they are asst pro

This is Condition base ER feature.

## ★ Algorithm to map ER Model to Relational Model.

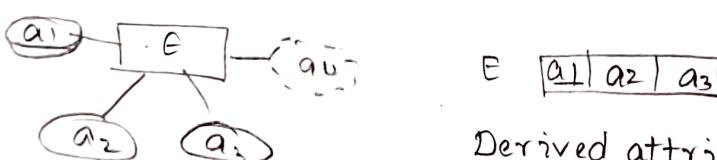
### 1) Strong Entity:-



### 2) Multivalued Attribute:-

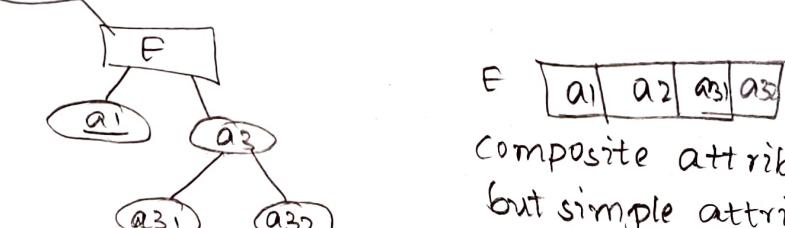


### 3) Derived Attribute:-



Derived attributes are not mapped

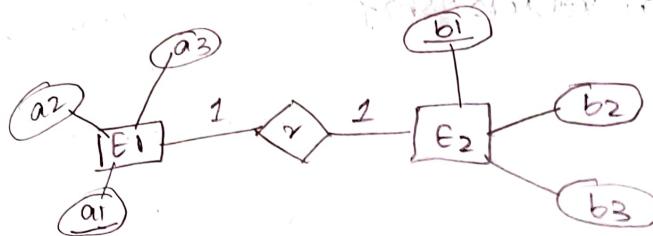
### 4) Composite Attribute:-



Composite attributes are not mapped but simple attributes are mapped.

### 5) Mapping 1:1 relationship

i)



E1	<table border="1"> <tr> <td>a1</td><td>a2</td><td>a3</td></tr> </table>	a1	a2	a3
a1	a2	a3		

(or)

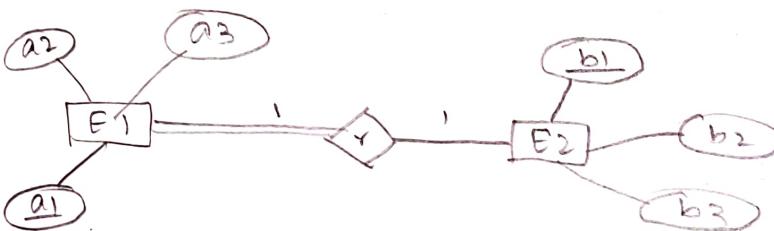
E1	<table border="1"> <tr> <td>a1</td><td>a2</td><td>a3</td><td>b1</td></tr> </table>	a1	a2	a3	b1	$\rightarrow F.K$
a1	a2	a3	b1			

E2	<table border="1"> <tr> <td>b1</td><td>b2</td><td>b3</td><td>a1</td></tr> </table>	b1	b2	b3	a1	$\rightarrow F.K$
b1	b2	b3	a1			

E2	<table border="1"> <tr> <td>b1</td><td>b2</td><td>b3</td></tr> </table>	b1	b2	b3
b1	b2	b3		

When the cardinality is same and participation constraint is also same then either of the entities can have a foreign key.

ii)



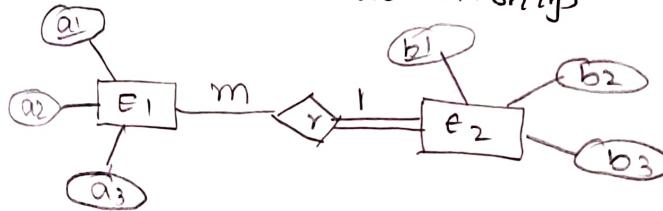
E1	<table border="1"> <tr> <td>a1</td><td>a2</td><td>a3</td><td>b1</td></tr> </table>	a1	a2	a3	b1	$\rightarrow F.K$
a1	a2	a3	b1			

E2	<table border="1"> <tr> <td>b1</td><td>b2</td><td>b3</td></tr> </table>	b1	b2	b3
b1	b2	b3		

When the cardinality is same & one of the entity involve in total and other in partial participation then the one which involves in total can have foreign key.

### 6) Mapping 1:m relationship

i)



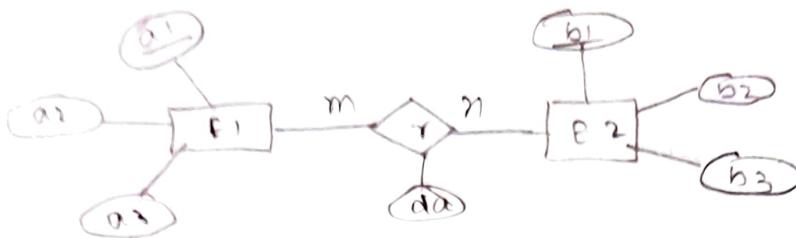
E1	<table border="1"> <tr> <td>a1</td><td>a2</td><td>a3</td><td>b1</td></tr> </table>	a1	a2	a3	b1	$\rightarrow F.K$
a1	a2	a3	b1			

E2	<table border="1"> <tr> <td>b1</td><td>b2</td><td>b3</td></tr> </table>	b1	b2	b3
b1	b2	b3		

When cardinality is 1:m even if the entity which has m can only have f.k irrespective of

participation constraints.

### 7) Mapping m:n relationship

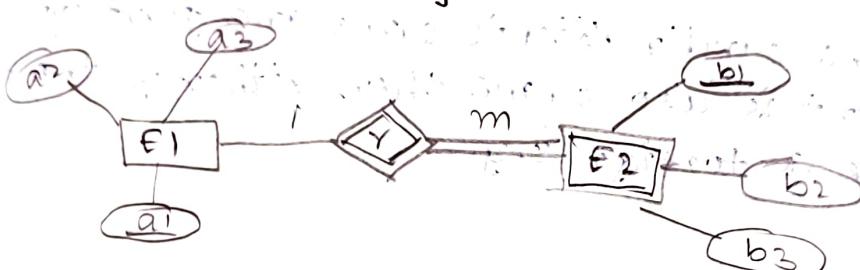


E1 [a<sub>1</sub> | a<sub>2</sub> | a<sub>3</sub>]

E2 [b<sub>1</sub> | b<sub>2</sub> | b<sub>3</sub>]

E1-E2 [a<sub>1</sub> | b<sub>1</sub> | da]

### 8) Mapping weak Entity

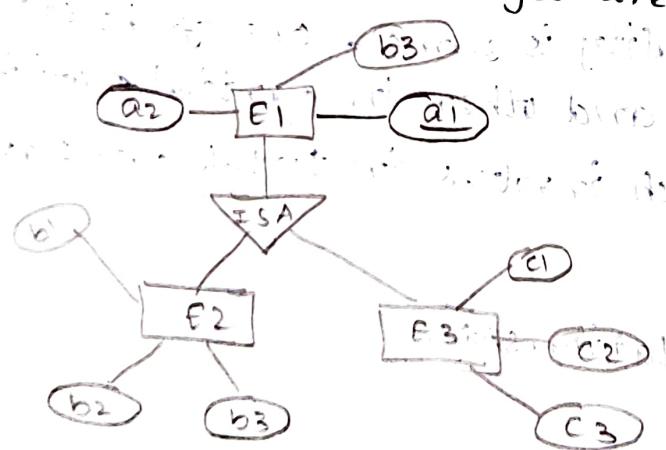


E1 [a<sub>1</sub> | a<sub>2</sub> | a<sub>3</sub>]

W-E [a<sub>1</sub> | b<sub>1</sub> | b<sub>2</sub> | b<sub>3</sub>]

b<sub>1</sub> : discriminator  
a<sub>1</sub> : foreign key  
(a<sub>1</sub>, b<sub>1</sub>) : primary key

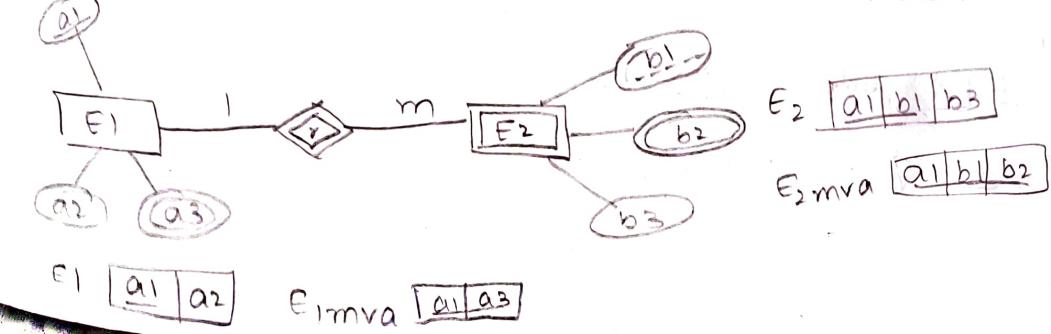
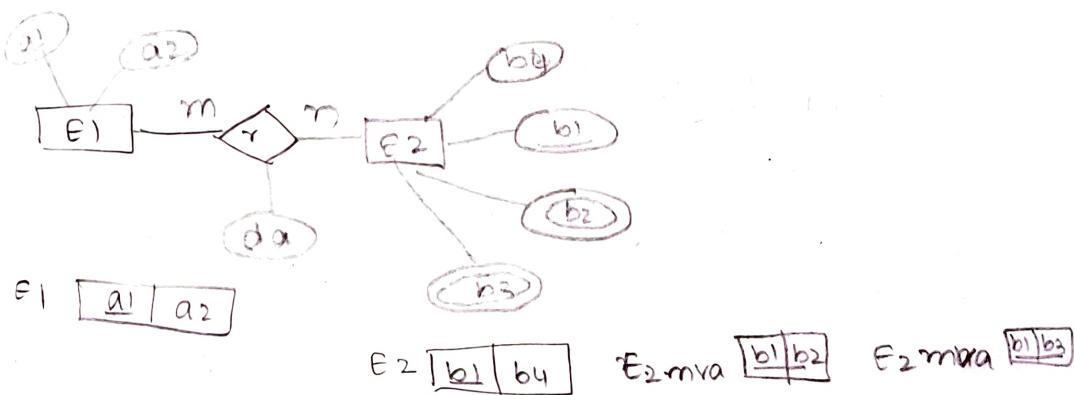
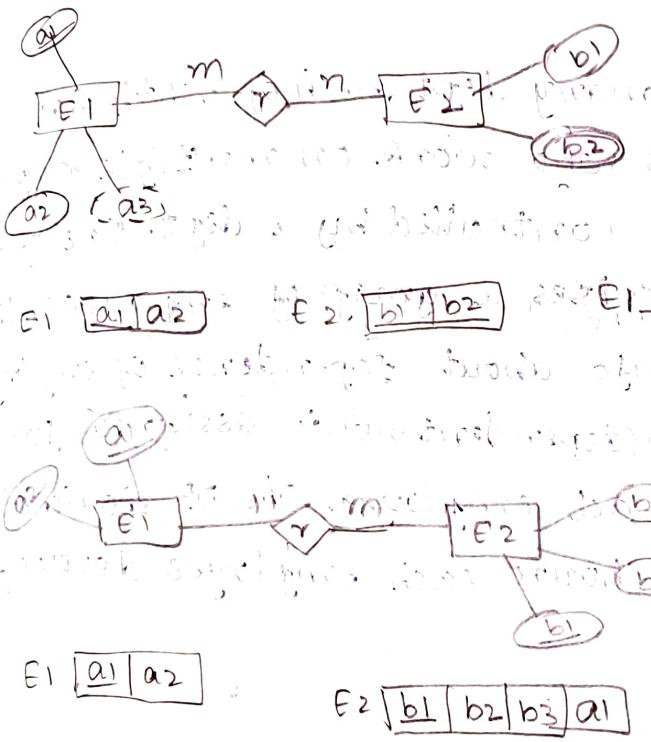
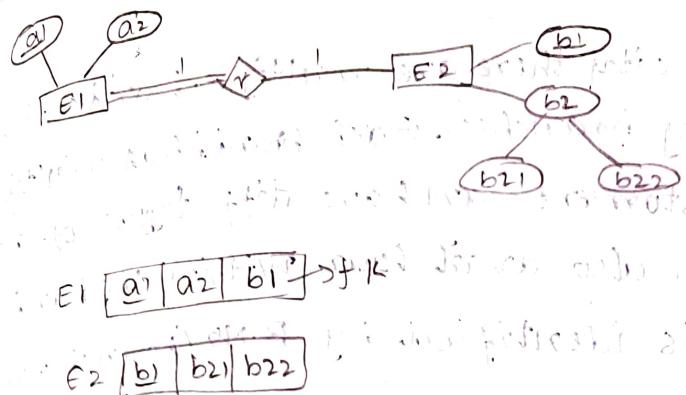
### 9) Mapping Extended ER feature



Based on condition primary key changes.

E2 [a<sub>1</sub> | a<sub>2</sub> | a<sub>3</sub> | b<sub>1</sub> | b<sub>2</sub> | b<sub>3</sub>]

E3 [a<sub>1</sub> | a<sub>2</sub> | a<sub>3</sub> | c<sub>1</sub> | c<sub>2</sub> | c<sub>3</sub>]



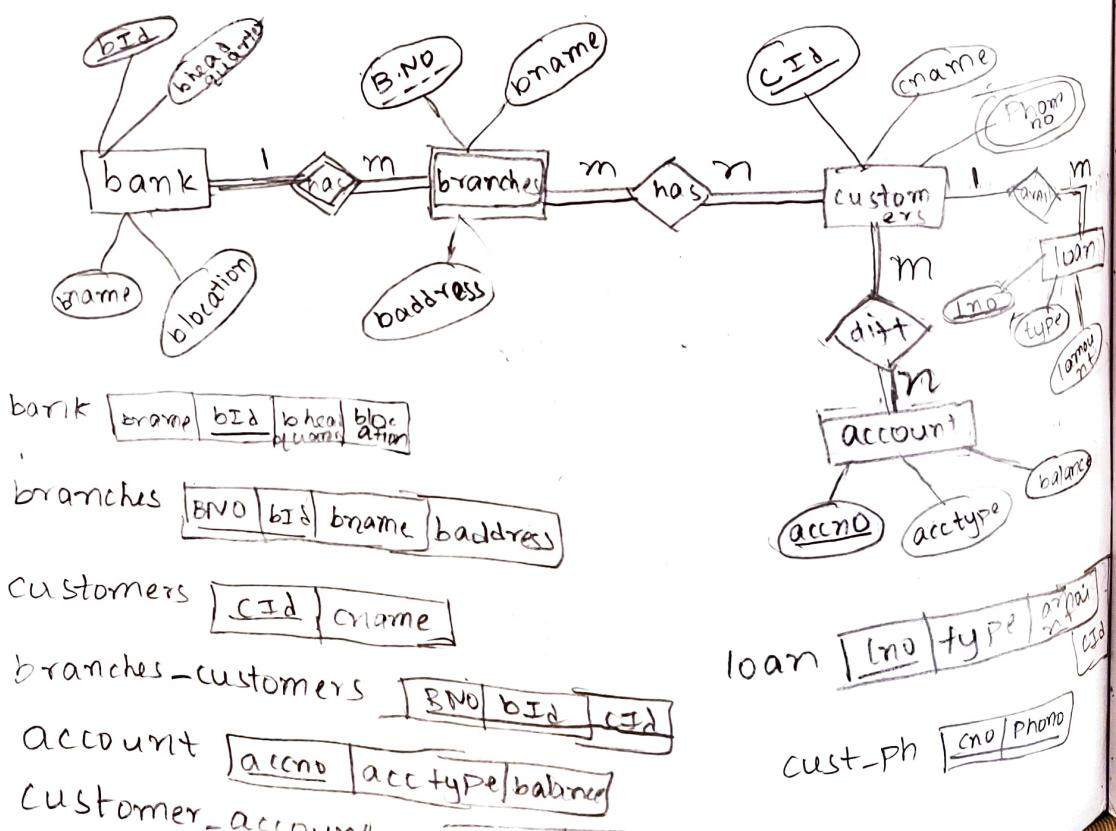
### Case-study-2:-

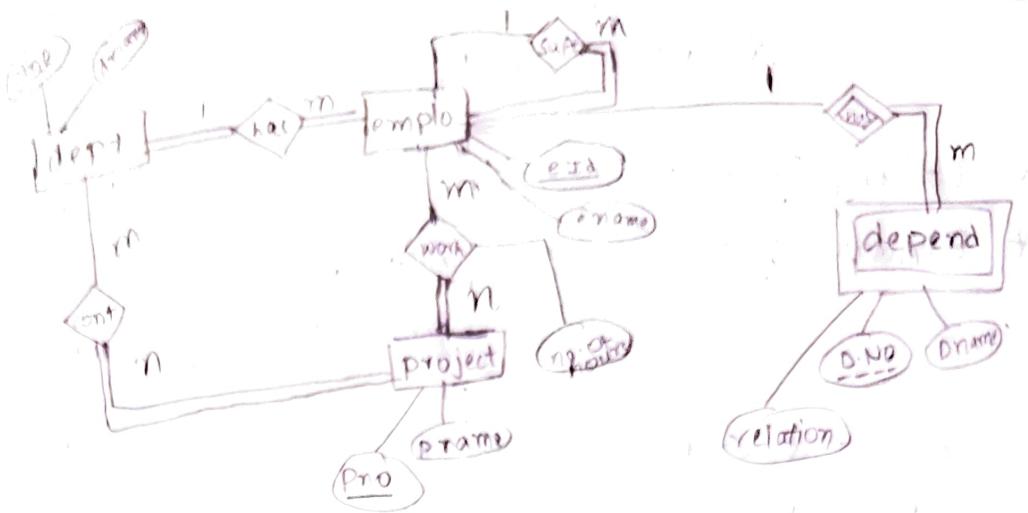
Assume in a city there are multiple banks. Each bank has many branches. Each branch has multiple customers. Customers can have diff types of accounts. Customers can also avail loan. Within the bank each branch is identified by B.No (branch no).

### Case-study-3:-

A company has many dept each of which has many employees who work on multiple projects. Each project is controlled by a dept. One of the employees supervises a group of employees. The DB also stores info about dependence of employees. A unique D.No(dependent no) is assigned for dependence of each employee. The DB should also record the no.of hours each employee devotes for each project.

2.





dept [dno] dname

project - employee

employee [eid] ename [dno] <sup>sup</sup>essno.

[eid] pno [no.of hours]

project [pno] pname

ALTER 'add

dept-project [pno] dno

dependent [DNO] eid [Dname] relation

19/8/25

## Chapter-3

## Relational Algebra

- \* Unary Operators: Select ( $\sigma$ ), project ( $\Pi$ ), Rename( $\rho$ )
- \* Binary Operators: Union ( $\cup$ ), Intersect ( $\cap$ ), crossproduct ( $\times$ ) / cartesian product
- In SQL query select does column filtering & where clause does row filtering. — Spurious tuple
- \* Select ( $\sigma$ )

Syntax:-

$$\sigma_{\text{condition}}(\text{Relation}) \Rightarrow \text{Row filtering}$$

Ex:- Select \* FROM Stud WHERE Dept = "CSE";

$$\sigma_{\text{Dept}=\text{CSE}}(\text{Stud})$$

- \* Project ( $\Pi$ )  $\Rightarrow$  column filtering

Syntax:- condition can't be applied with  $\Pi$ .

$$\Pi_{\text{condition}} \quad \Pi_{(\text{col}_1, \text{col}_2)}(\text{Relation})$$

Ex:-  $\Pi_{(\text{name}, \text{sem})}(\text{Stud})$

Ex:- Stud (Reg, Name, sem)  
Club (Cno, Cname, Reg)

Select Stud.Reg, Cname From Club, Stud where  
Stud.Reg = Club.Reg;

$\Pi_{\text{Stud.Reg}, \text{Cname}} \left( \sigma_{\text{Stud.Reg} = \text{Club.Reg}} (\text{Club} \times \text{Stud}) \right)$

10 R	10 R	100 R
2C	20C	20C

$\sigma_{\text{stud.Reg} = \text{club.Reg}} (\Pi_{\text{stud.Reg}, \text{cname}} (\text{club} \times \text{stud}))$

will not be there.

	100R	100R
	2C	2C
stud.Reg	1	1
club.Reg	1	1
cname	1	1

logical operators.

AND - ^

OR - v

NOT - ~ (or) != (or) < >

select Name from club,stud where stud.Reg=club.Reg  
and dept='cse';

$\Pi_{\text{stud.Reg}, \text{cname}} (\sigma_{\text{stud.Reg} = \text{club.reg} \wedge \text{dept} = 'cse'} (\text{club} \times \text{stud}))$

for

$\Pi_{\text{stud.Reg}, \text{cname}} (\sigma_{\text{stud.Reg} = \text{club.reg}} (\text{club} \times \text{stud}))$

(or)

↳ cross/cartesian product

$\Pi_{\text{stud.Reg}, \text{cname}} (\text{club} \bowtie \text{stud})$

equates common attributes

natural join

↳

2. eliminates spurious tuples,  
1. cross product,  
3. eliminate duplicate columns.

stud (Reg, name, ifno, addr)

faculty (fno, fname, addr)

stud  $\bowtie$  faculty  $\Rightarrow$  stud.fno = faculty.fno  $\wedge$  (if it is not the  
stud.addr = faculty.addr (one which is required))

stud  $\bowtie$  faculty

stud.fno = faculty.fno

↳ equi join (overrides characteristics of natural join)

dept (dno,dname)  $\pi_{j+1} (pno, pname, dno)$   
 emp (eno,ename,sal,gender,dno)  
 $\Delta$  pjt (eno,dno,dpname,r/t);  
 works-on (eno,pno)

1. Retrieve the details of all the employees.
2. Name and salary of all the employees.
3. " " " " " female employees.
4. Name of all male employees of CSE & female employees of ECE.
5. Pnames of Projects controlled by CSE.
6. Names of female emp working on a project controlled by CSE.

$\Pi$  - emp

2.  $\Pi_{ename, sal} (emp)$

3.  $\Pi_{ename, sal} (\sigma_{gender = "F"} (emp))$

4.  $\Pi_{ename} (\sigma_{dept.dno = emp.dno} \wedge ((gender = "M" \wedge dept = "cse") \vee (gender = "F" \wedge dept = "ece")) (dept \times emp))$

5.  $\Pi_{pname} (\sigma_{dept.dno = pjt.dno \wedge (dname = "cse") (dept \times pjt)})$

$\Pi_{pname} (\sigma_{dname = "cse"} (dept \Delta pjt))$

6.  $\Pi_{ename} (\sigma_{emp.eno = works_on.eno} \wedge (dept.dno = pjt.dno) \wedge (pjt.pno = works_on.pno) \wedge gender = "F" \wedge dname = "cse") (emp \times dept \times pjt \times works\_on)$

8/5/25

## Chapter-4

## Functional Dependency &amp; Normalization.

**Functional Dependency:** For one value of LHS we have 1 value of RHS. <sup>Kind of constraints keep it in a table</sup>

Determinant  
 $LHS \rightarrow RHS \rightarrow \text{Dependent}$

LHS determines RHS

RHS is dependent on LHS

for example

eno	ename	dno	city	state
1	A	A1	BLR	KAR
2	B	B1	MSR	KAR
3	C	A1	HYD	TEL
4	D	B1	CHE	TAMIL
5	E	C1	MUM	MADA

$eno \rightarrow ename$  ✓  
 $eno \rightarrow dno$  ✓  
 $state \rightarrow city$  ✗  
 $city \rightarrow state$   
 $Reg \rightarrow state$   
 $Reg \rightarrow city$  ✓  
 $dno \rightarrow ename$  ✗

Closure of Functional Dependency ( $F^+$ )

\* Armstrong Axiom / inference Rule. <sup>To identify all possible dependencies present in the table</sup>

i) Reflexivity Rule:

If  $X \subseteq Y$  then  $Y \rightarrow X$

ii) Union Rule:

If  $A \rightarrow B$  &  $A \rightarrow C$  then  $A \rightarrow BC$

iii) Decomposition Rule:

If  $X \rightarrow YZ$  then  $X \rightarrow Y$  and  $X \rightarrow Z$

iv) Associativity Rule:

If  $X \rightarrow Y$  and  $Y \rightarrow Z$  then  $X \rightarrow Z$

5) Pseudo Transitivity Rule:  
If  $x \rightarrow y$  and  $wy \rightarrow z$  then  $xw \rightarrow z$

6) Augmentation Rule:  
If  $x \rightarrow y$  then  $xA \rightarrow YA$

\* Problem 1:

Given  $R(A, B, C, D, E)$

$$F = \{A \rightarrow B, A \rightarrow C, CD \rightarrow E\}$$

Find closure of  $F$  (or) Find  $(F^+)$ .

$$A \rightarrow B \& A \rightarrow C \Rightarrow A \rightarrow BC \text{ (union)}$$

$$A \rightarrow C \& CD \rightarrow E \Rightarrow AD \rightarrow E \text{ (Pseudo Transitivity)}$$

$$F^+ = \{F\} \cup \{A \rightarrow BC, AD \rightarrow E\}$$

\* Problem 2:

Given  $R(P, Q, S, T, U)$

$$F = \{Q \rightarrow ST, T \rightarrow U, Q \rightarrow P, U \rightarrow S, PT \rightarrow Q\}$$

Find  $F^+$ .

$$T \rightarrow U \& U \rightarrow S \Rightarrow T \rightarrow S \text{ (Associativity)}$$

$$Q \rightarrow ST \Rightarrow Q \rightarrow S \& Q \rightarrow T \text{ (Decomposition)}$$

\* Super key:- Set of all attributes which helps us uniquely identify the instances in the tables/records of table.

\* Candidate key:- Minimal super key.

\* Secondary key:- The candidate key which is not a primary key.

\* It is not mandatory to have secondary key.

R	N	E	S
R1	A	AI	3
R2	B	BI	7
R3	A	CI	3
R4	C	DI	3

R,  
E

C.I.K  
RE  
RN  
RS  
EN  
ES

RNE  
RNS  
ENS  
RES  
R, NES

super keys

Closure of an Attribute.

Problem:

Given R(A B C D)

Find i) Closure of A (or) Find  $A^+$ . ii)  $(AC)^+$  (iii)  $D^+$   
where F = { $A \rightarrow B$ ,  $B \rightarrow D$ ,  $BD \rightarrow C$ ,  $C \rightarrow D$ }

i) Find  $A^+$ )

$$A^+ = A$$

Consider  $A \rightarrow B$  |  $B \rightarrow D$  |  $BD \rightarrow C$  |  $\therefore A^+ = ABCD = R$   
 $A \subseteq A^+$  |  $B \subseteq A^+$  |  $(BD) \subseteq A^+$   
 $A^+ = ABD$  |  $A^+ = ABDC$  |  $\because A$  is a key attribute.

ii) Find  $(AC)^+$

$$(AC)^+ = AC$$

Consider  $A \rightarrow B$  |  $C \rightarrow D$  |  $\therefore (AC)^+ = ABCD = R$   
 $A \subseteq AC$  ✓ |  $C \subseteq ACB$  ✓ |  $\therefore AC$  is a key attribute.  
 $(AC)^+ = ACB$  |  $(AC)^+ = ACBD$

Here A is Primary key & candidate key.

A, AC are super keys.

iii) Find  $D^+$  |  $D^+ = D$

Here anything is not a subset of D so, D is not a key attribute.

Problem-2 :

Given R(A B C D E)  $A^+ = ABCDE = R$

F = { $A \rightarrow B$ ,  $A \rightarrow C$ ,  $CD \rightarrow E$ ,  $B \rightarrow D$ ,  $E \rightarrow A$ }. Find P.K.

→ when 1 attribute is giving R then for ex  $A^+ = R$   
 $(AB)^+ = (AC)^+ = (AD)^+ = (AE)^+ = R$ .

\* Problem-3:-

Given  $R(ABCDEF)$

$F = \{A \rightarrow B, AC \rightarrow D, BD \rightarrow E\}$ . Find P.K.

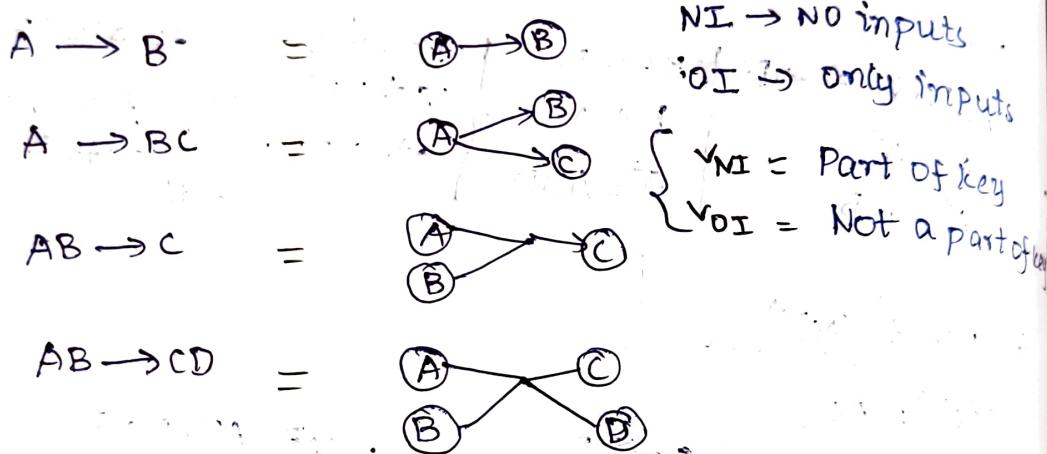
$$A^+ = AB \quad D^+ = D$$

$$B^+ = B \quad E^+ = E$$

$$C^+ = C \quad (AC)^+ = ACDDBE = R$$

∴  $(AC)^+$  is a primary key.

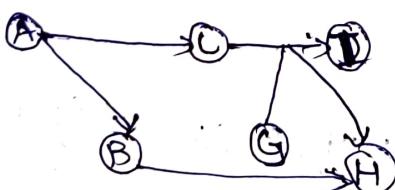
\* Dependency Graph:- (Directed graph)



\* Problem-1:-

$R(ABCDEFGHI)$

$F = \{A \rightarrow BC, CG \rightarrow HI, B \rightarrow H\}$ . Find candidate keys.



$$\nabla_{NI} = \{A, G\}$$

$$\nabla_{OI} = \{I, H\}$$

$$A^+ = ABCDH \neq R \quad \nabla_{NI}$$

$$G^+ = GH \neq R$$

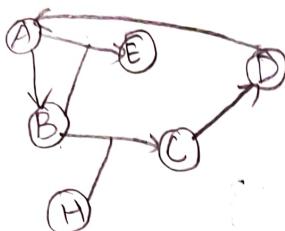
$$(AG)^+ = AGBCDHI = R \quad \therefore (AG)^+ \text{ is a key attribute}$$

\* Problem-2 :-

$R(A B C D E H)$

$F = \{A \rightarrow B, AB \rightarrow E, BH \rightarrow C, C \rightarrow D, D \rightarrow A\}$

Find candidate key?



$$VNI = \{H\}$$

$$V_OI = \{E\}$$

$$CK = \{AH, BH, CH, DH\}$$

$$CH^+ = CHDABE = R$$

$$DH^+ = DHABEC = R$$

$$H^+ = H \neq R$$

$$AH^+ = AHBCD = R$$

$$BH^+ = BMCDAE = R$$

No need to check for EH bcz  $V_OI$  has E (from above)

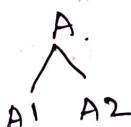
$A B H^+$  is a super key but not a candidate key.

\* Decomposition

↓  
Breaking a table  
(into smaller tables)

Loseless (mandatory)

Dependency Preserving



$A1 \bowtie A2 = A$  (loseless)

$A1 \bowtie A2 \neq A$  (lossy)

$ABC$  ( $A \xrightarrow{①} B, B \xrightarrow{②} C, A \rightarrow D$ )

$\downarrow$   
if only if it is not  
these both preserved (Bad decomposition)  
are there  
then it is good  
Deco

But DP is not mandatory so, we concentrate on  
Loseless

\* Problem-1 :-  $R(A B C D)$

$AB \rightarrow C, C \rightarrow A, C \rightarrow D$

$D = \{AB, ACD\}$ . check if D is lossy) loseless Decomposition

$$R_1 = AB$$

$$R_2 = ACD$$

$$R_1 \cap R_2 = A$$

$(A^+) = A \Rightarrow$  Not a superkey of  $R_1$  &  $R_2$

$\therefore$  Lossy Decomposition

- \* Problem-2:- Given  $R(ABCDEF)$

$$D = \{ABC, ACDE\}$$

$$F = \{A \rightarrow BC, CD \rightarrow E, B \rightarrow D, E \rightarrow A\}$$

$$R_1 = ABC \quad R_2 = ALDE$$

$$R_1 \cap R_2 = AC$$

$$(AC)^+ = ACB = \text{super key of } R$$

(we can stop here bcz  $ACB = R$ , is a superkey of  $R$ )

$\therefore$  Loseless.

- \* Problem-3:- Given  $R(ABCDEFG)$

$$F = \{AB \rightarrow C, AC \rightarrow B, AD \rightarrow E, BC \rightarrow A, B \rightarrow D, E \rightarrow F\}$$

$D = \{ABC, ACDE, ADG\}$ : check if  $D$  is lossy/

$$R_1 = ABC \quad R_2 = ACDE \quad R_3 = ADG$$

$$R_1 \cap R_2 = AC$$

$$(AC)^+ = ACBDE = \text{superkey of } R \rightarrow \text{Loseless}$$

$$R_{12} = \{ABCDEF\}$$

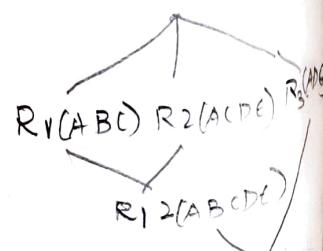
$$R(ABCDEFG)$$

$$R_{12} \cap R_3 = AD$$

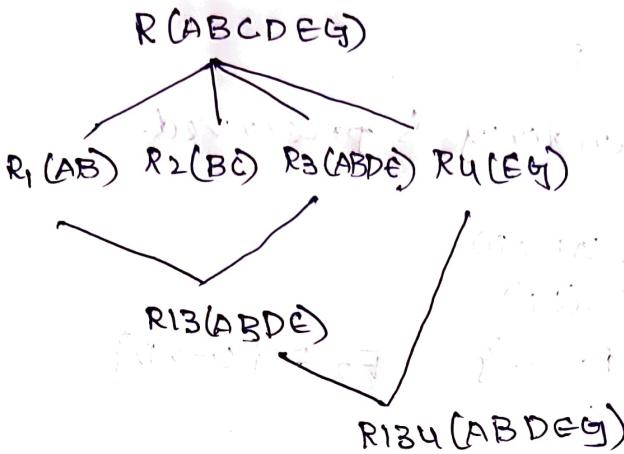
$$(AD)^+ = ADEG = \text{superkey of } R_3$$

$\therefore$  Loseless

$\therefore$  The Decomposition  $R_1, R_2, R_3$  is Loseless



\* Problem-4:- Given  $R(ABCDEG)$   
 $F = \{AB \rightarrow C, AC \rightarrow B, AD \rightarrow E, B \rightarrow D, BC \rightarrow A, E \rightarrow G\}$   
 $D = \{AB, BC, ABDE, EG\}$



$R1 \cap R3 = AB$   
 $(AB)^+ = ABCDE \rightarrow$  super key of  $R1 \Rightarrow$  Lossless  
 $R13 \notin ABDE$

$$R13 \cap R4 = E$$

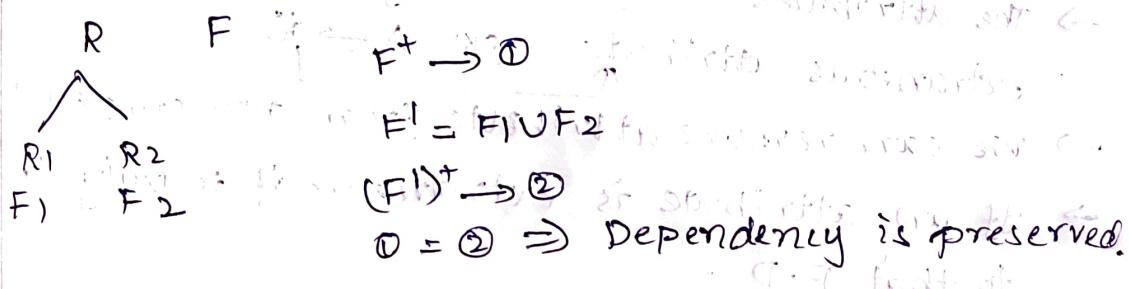
$(E)^+ = EG \rightarrow$  super key of  $R4 \Rightarrow$  Lossless

$$R134 \notin ABCDEG$$

$$R134 \cap R2 = B$$

$(B)^+ = BD \rightarrow$  Not a superkey

$\therefore$  The decomposition  $R1, R2, R3, R4$  is lossy.



\* Problem-1:-  $R(ABCD)$   
 $F = \{A \rightarrow B, A \rightarrow C, C \rightarrow D\}$   
 $R1 \notin ABC$ ,  $R2 \subset C, D\}$

$$F_1 = \{A \rightarrow B, A \rightarrow C\}$$

$$F_2 = \{C \rightarrow D\}$$

$$F^+ = F_1 \cup F_2 = \{A \rightarrow B, A \rightarrow C, C \rightarrow D\} = F$$

$$\text{Then } (F^+)^+ = F^+$$

$\therefore$  The dependency is preserved.

\* Problem-2:-  $R(ABCD)$

$$R_1(ABC) \quad R_2(CD)$$

$$F_1 = \{A \rightarrow B, B \rightarrow C\} \quad F_2 = \{C \rightarrow D\}$$

$$F^+ = F_1 \cup F_2 = \{A \rightarrow B, B \rightarrow C, C \rightarrow D\}$$

$$(F^+)^+ = \{A \rightarrow BC, A \rightarrow D\}$$

$$(F^+)^+ \neq (F^+)$$

$\therefore$  Dependency is not preserved.

\* Canonical Cover/Minimal Cover ( $F_c$ )

$$F_c \subseteq F \subseteq F^+$$

- The attributes which are being removed are extraneous attribute. ex:  $A \rightarrow \cancel{B}C$
- we can remove attribute from non-simple F.D.
- If an attribute is extraneous it is only limited to that F.D.

\* When extraneous attribute is in LHS  
Let LHS  $\rightarrow$  RHS with F

1. Assume LHS has extraneous attribute & assume ext att in it

$(LHS - ext)^+$  using F

if  $(LHS - ext)^+$  using F includes RHS then our assumption is correct & ext can be removed.

ext:  $AB \rightarrow C$

Assume B is ext

$(AB - B)^+ = A^+$  using F

if  $A^+ \Rightarrow C$  then B is ext.

\* Problem-1:-

$F = \{AB \rightarrow CD, A \rightarrow E, E \rightarrow C, B \rightarrow E, BE \rightarrow D\}$

Find  $F_C$  (consider ext in LHS).

$AB \rightarrow CD$

i) Assume A is extraneous.

$B^+ = BECD$  which includes RHS = CD.

∴ Our assumption is correct.

$F_C = \{B \rightarrow CD, A \rightarrow E, E \rightarrow C, B \rightarrow E, BE \rightarrow D\}$

ii) Assume B is extraneous.

$A^+ = AEC$  doesn't include CD

∴ Our assumption is False.

\* Problem-2:-

$F = \{A \rightarrow D, AD \rightarrow C, D \rightarrow AC, D \rightarrow E\}$ . Find  $F_C$

Remove ext from  $AD \rightarrow C$

i) Assume A is ext

$D^+ = ACE$  includes C

So, A is ext.

$F_C = \{A \rightarrow D, D \rightarrow C, D \rightarrow AC, D \rightarrow E\}$

ii) Assume D is ext

$A^+ = ADC \in E$  includes C

so, D is also ext.  $F_C = \{A \rightarrow D, A \rightarrow C, D \rightarrow AC, D \rightarrow E\}$

\* Problem-3:- consider  $AD \rightarrow C$  (same as Prob-2)

Let A be ext attr LHS.

$D^+ = DAC$  (assumption is true)

$F = \{A \rightarrow D, D \not\rightarrow C, D \rightarrow AC, D \rightarrow E\}$

$\therefore F_C = \{A \rightarrow D, D \rightarrow ACE\}$

$D \rightarrow C \times$   
 $D \rightarrow AC = D \rightarrow A$   
 It is redundant

→ LHS have to be unique in  $F_C$

→ No redundant F.D should be in  $F_C$

→ Remove derivable F.D's.

\* When extraneous attribute is in RHS

LHS  $\rightarrow$  RHS

1. Find  $F'$  where  $F' = F$  without assumed ext attr
2. Find  $(LHS)^+$  under  $F'$
3. check if ② includes assumed ext attribute
4. If ③ is true then assumption of the attribute being extraneous is correct.