



Hochschule  
Bonn-Rhein-Sieg  
University of Applied Sciences



# Out-of-Distribution Detection in 3D Semantic Segmentation

Master Thesis

September 02, 2022

Lokesh Veeramacheneni

*Advisors*

Prof. Dr. Paul G Plöger, Prof. Dr. Matias Valdenegro Toro, Prof. Dr. Sebastian Houben

# 1. Introduction

## 2. Methodology

## 3. Experiments & Results

## 4. Conclusion



# Out-of-Distribution detection

- An ideal trustworthy visual recognition system
  - Produce accurate predictions on known examples
  - Detect and reject unknown examples
- Deep Neural Networks (DNNs) are trained based on closed world assumption
- closed world assumption - test data is assumed to be drawn from same distribution as training data which is called In-Distribution (ID)
- When deployed in real world (open world scenario) the test samples can be Out-of-Distribution (OOD) i.e. the test samples can be,
  - from different class
  - from different domain

# Out-of-Distribution detection

- A real world example for OOD object is described in Figure 1
- Tesla autonomous driving system detects the moon as the yellow traffic light
- These faulty predictions might result in output of the autonomous driving system being catastrophic



Figure 1: Caption

# Importance of OOD detection

- Figure 2 depicts the pipeline of modules in Apollo driving platform.
- Prediction and motion planning module are dependent on perception module.
- A misdetection of an OOD sample will propagate the error to motion planning and affects the total vehicle control and this might lead to unfortunate consequence

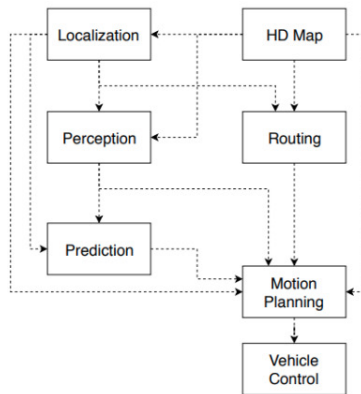


Figure 2: Caption

# 3D Light Detection And Ranging (LiDAR)

- Uses pulsed lasers to find the range to the objects
- Unlike images, LiDAR is insusceptible to illumination and provide rich 3D information.
- Figure 3 depicts the sample point cloud with LiDAR is placed in round white circle found at the center of point cloud
- Typically, features of each point in point cloud include
  - spatial features (XYZ)
  - Color (RGB)
  - Intensity



Figure 3: Caption

# 3D Semantic Segmentation

- An important task in computer vision because of its use in scene understanding
- Further helps in navigation and planning of robots
- Objective - Assign each point in the point cloud a specific class

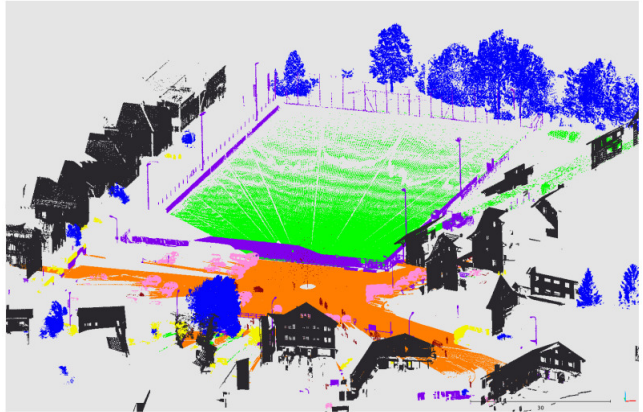


Figure 4: Caption

# Thesis objective

- OOD detection in the 3D semantic segmentation setting
- Create a benchmark datasets for OOD detection among existing 3D LiDAR datasets. We define OOD data based on two categories
  - if the point is from different class than training data
  - if the point has inferior quality
- We also study whether uncertainty estimation is a practical approach for OOD detection in 3D domain



1. Introduction

2. Methodology

3. Experiments & Results

4. Conclusion



# Ingredients

- Datasets
- 3D Semantic Segmentation model
- Uncertainty methods
- OOD score methods

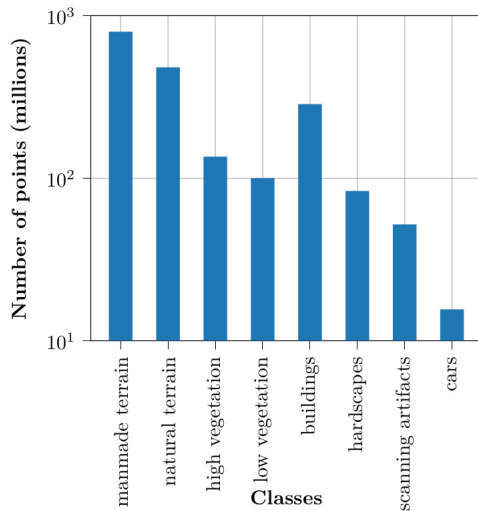
# 3D LiDAR datasets

acquisition mode	dataset	frames	total points (in million)	classes	scene type
static	Oakland[60]	17	1.6	44	outdoor
	Paris-lille-3D[71]	3	143	50	outdoor
	Paris-rue-Madame[74]	2	20	17	outdoor
	S3DIS[5]	5	215	12	indoor
	ScanObjectNN[85]	-	-	15	indoor
	Semantic3D[31]	30	4009	8	outdoor
	TerraMobilita/IQmulus[88]	10	12	15	outdoor
	TUM City Campus[26]	631	41	8	outdoor
	DALES[90]	40 (tiles)	492	8	outdoor
sequential	A2D2[27]	41277	1238	38	outdoor
	AIO Drive[96]	100	-	23	outdoor
	KITTI-360[100]	100K	18000	19	outdoor
	nuScenes-lidarseg[12]	40000	1400	32	outdoor
	PandaSet[99]	16000	1844	37	outdoor
	SemanticKITTI[7]	43552	4549	28	outdoor
	SemanticPOSS[62]	2988	216	14	outdoor
	Sydney Urban[19]	631	-	26	outdoor
	Toronto-3D[79]	4	78.3	8	outdoor
synthetic	SynthCity[30]	75000	367.9	9	outdoor

Figure 5: caption

# Semantic3D

- Huge 3D point cloud benchmark classification static dataset with 4 million points
- Scenes are taken in european streets around church, stations and fields
- Point features include XYZ, RGB and Intensity values.
- It has 8 classes with distribution of points represented in Figure6
- cite states that the scanning artefacts, hardscapes and cars are the most challenging classes



# Semantic3D



Figure 7: caption

- Indoor dataset with scans from various buildings
- Dataset include scans of personal offices, restrooms, open spaces, lobbies and hallways
- It has 12 classes, further subdivided into two types
  - structural elements
  - everyday items
- One of the most evaluated datasets for indoor semantic segmentation



Figure 8: caption

# OOD Benchmark datasets

ID dataset	OOD dataset	OOD detection difficulty	Summary
Semantic 3D	S3DIS	Easy	1. No class overlap 2. Less structural similarity 3. Different domain(outdoor-vs-indoor)
	Semantic3D without color	Hard	1. Same structural properties 2. Difference in RGB values 3. Same domain as ID dataset 4. Same classes

Figure 9: caption

# Ingredients

- Datasets - Semantic3D, S3DIS & Semantic3D w/o colour
- 3D Semantic Segmentation model
- Uncertainty methods
- OOD score methods



# RandLA-Net

- Lightweight, efficient computation, memory usage and inputs 3D point cloud directly
- Random point sampling and local feature aggregation module are most important modules
- Local feature aggregation module is subdivided into local spatial encoding, attentive pooling and dilated residual block
- Encoder-Decoder style architecture as depicted in Figure 11

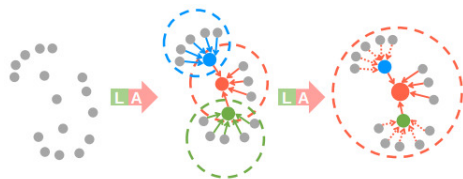


Figure 10: caption

# RandLA-Net

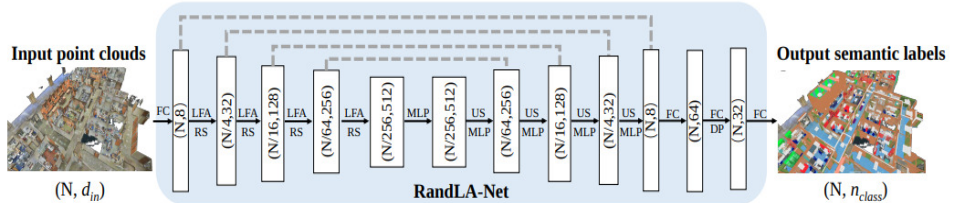
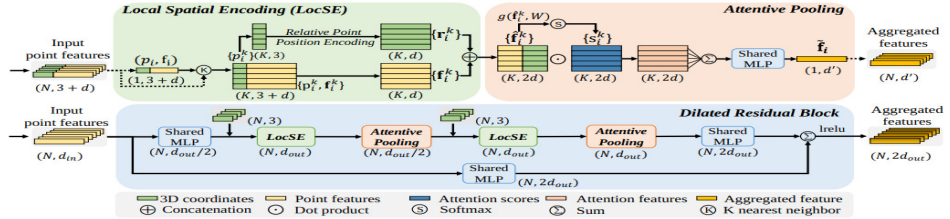


Figure 11: caption

# Ingredients

- ~~Datasets~~ - Semantic3D, S3DIS & Semantic3D w/o colour
- ~~3D Semantic Segmentation model~~ - RandLA-Net
- Uncertainty methods
- OOD score methods

# Deep Ensembles

- Ensemble learning technique - train  $N$  randomly initialized models with same data
- Resulting  $N$  predictions are then averaged
- Performance boosting along with uncertainty value for a prediction
- Requires more computation power

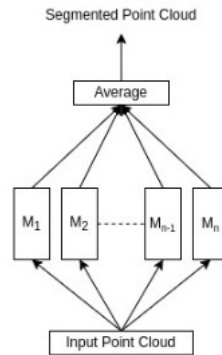


Figure 12: caption

# Flipout

- Introduced as a method to decorrelate gradients in a mini batch of examples
- Add independent weight perturbations sampled from a distribution
- The output of Flipout versioned neuron is
- Train single instance of Flipout versioned network and then perform multiple forward passes for same input

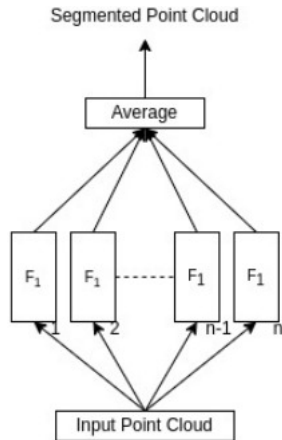


Figure 13: caption

# Ingredients

- ~~Datasets~~ - Semantic3D, S3DIS & Semantic3D w/o colour
- ~~3D Semantic Segmentation model~~ - RandLA-Net
- ~~Uncertainty methods~~ - Deep Ensembles & Flipout
- OOD score methods

# OOD Score calculation

- We use the following two methods to generate the OOD scores.
- Maximum Softmax Probability
  -
- Entropy
  -

# Ingredients

- ~~Datasets~~ - Semantic3D, S3DIS & Semantic3D w/o colour
- ~~3D Semantic Segmentation model~~ - RandLA-Net
- ~~Uncertainty methods~~ - Deep Ensembles & Flipout
- ~~OOD score methods~~ - Maximum Softmax Probability & Entropy



1. Introduction

2. Methodology

3. Experiments & Results

4. Conclusion



1. Introduction

2. Methodology

3. Experiments & Results

4. Conclusion



# Conclusion



# Lessons Learned

Learning's during the duration of the thesis are



1. Training and evaluation of 3D DNNs are time consuming and resource intensive.
2. Finding the proper prior for Flipout layers is hard and currently we use brute force to find the best fitting prior.
3. OOD benchmarking require in depth analysis of datasets like studying the structural similarties in the datasets and also color spectrum.
4. LiDAR datasets have large memory requirements especially for the preprocessing and metric computation.
5. Getting 100% OOD detection performance is not possible with the post-hoc methods used as some points in the ID dataset also have low probability scores.

# Future Work

This thesis can be extended in the following ways.

1. This thesis is limited to only point based models, this can be extended to graph and projection based models.
2. The datasets involved are only static datasets and this thesis study can be further extended to other type of datasets such as synthetic and sequential datasets.
3. Since this thesis utilizes post-hoc threshold methods for OOD detection. Other methods such as Mahalanobis distance based OOD detection [1] or MetaSeg [2] can be added as an extension to this thesis.

# References

-  [Kimin Lee, Kibok Lee, Honglak Lee, and Jinwoo Shin.](#)  
A simple unified framework for detecting out-of-distribution samples and adversarial attacks.  
*Advances in neural information processing systems*, 31, 2018.
-  [Philipp Oberdiek, Matthias Rottmann, and Gernot A. Fink.](#)  
Detection and retrieval of out-of-distribution objects in semantic segmentation.  
In *IEEE/CVF Conference on Computer Vision and Pattern Recognition, CVPR Workshops*, pages 1331–1340. Computer Vision Foundation / IEEE, 2020.

# What is OOD Detection?

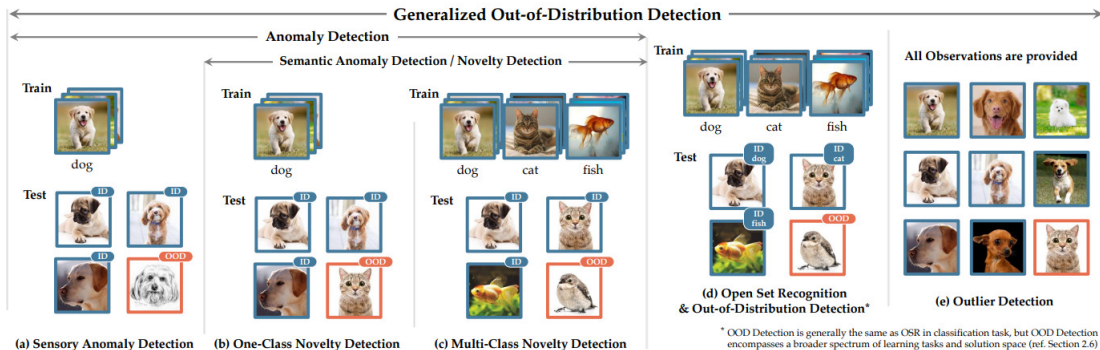


Figure 14: Generalized Out-of-Distribution Detection: A Survey