# TTIC 31230, Fundamentals of Deep Learning

David McAllester, Winter 2020

Machine Translation and Attention

#### Machine Translation

$$w_1, \dots, w_{T_{\text{in}}} \Rightarrow \tilde{w}_1, \dots, \tilde{w}_{T_{\text{out}}}$$

Translation is a **sequence to sequence** (seq2seq) task.

Sequence to Sequence Learning with Neural Networks, Sutskever, Vinyals and Le, NIPS 2014, arXiv Sept 10, 2014.

We describe a simplification of the paper.

#### Machine Translation

We define a model

$$P_{\Phi}\left(\tilde{w}_{1},\ldots,\tilde{w}_{T_{\mathrm{out}}}\mid w_{1},\ldots,w_{T_{\mathrm{in}}}\right)$$

$$\Phi^* = \underset{\Phi}{\operatorname{argmin}} E_{\operatorname{Pop}} - \ln P_{\Phi} \left( \tilde{w}_1, \dots, \tilde{w}_{T_{\operatorname{out}}} \mid w_1, \dots, w_{T_{\operatorname{in}}} \right)$$

$$= \underset{\Phi}{\operatorname{argmin}} E_{\langle x, y \rangle \sim \operatorname{Pop}} - \ln P_{\Phi}(y | x)$$

## A Simple RNN Translation Model

The final state of a right-to-left RNN,  $h_{\rm in}[1,J]$ , is viewed as a "thought vector" representation of the input sentence.

We use the input thought vector  $\overleftarrow{h}_{\rm in}[1,J]$  as the initial hidden state a left-to-right RNN language model generating the output sentence.

Taking a the thought vector at the beginning of the input sentence facilitates getting a good start in left-to-right modeling of the output.

### Machine Translation Decoding

We can sample a translation

$$w_t \sim P(w_t \mid \overleftarrow{h}_{\text{in}}[1, J], \ w_1, \dots, w_{t-1})$$

or we can do greedy decoding

$$w_t = \underset{w_t}{\operatorname{argmax}} P(w_t \mid \overleftarrow{h}_{\text{in}}[1, J], \ w_1, \dots, w_{t-1})$$

or we might try maximize total probability.

$$w_1, \dots, w_{T_{\text{out}}} = \underset{w_1, \dots, w_{T_{\text{out}}}}{\operatorname{argmax}} P_{\Phi} \left( w_1, \dots, w_{T_{\text{out}}} \mid \overleftarrow{h}_{\text{in}}[1, J] \right)$$

## Greedy Decoding vs. Beam Search

We would like

$$W_{\text{out}}[T_{\text{out}}]^* = \underset{W_{\text{out}}[T_{\text{out}}]}{\operatorname{argmax}} P_{\Phi}(W_{\text{out}}[T_{\text{out}}] \mid W_{\text{in}}[T_{\text{in}}])$$

But a greedy algorithm may do well

$$w_t = \underset{w_t}{\operatorname{argmax}} P_{\Phi}(w_t \mid W_{\text{in}}[T_{\text{in}}], w_1, \dots, w_{t-1})$$

But these are not the same.

## Example

"Those apples are good" vs. "Apples are good"

$$P_{\Phi}(\text{Apples are Good }) > P_{\Phi}(\text{Those apples are good })$$

$$P_{\Phi}(\text{Those}|\varepsilon) > P_{\Phi}(\text{Apples}|\varepsilon)$$

#### Beam Search

At each time step we maintain a list the K best words and their associated hidden vectors.

This can be used to produce a list of k "best" decodings which can then be compared to select the most likely one.

Neural Machine Translation by Jointly Learning to Align and Translate Dzmitry Bahdanau, Kyunghyun Cho, Yoshua Bengio, ICLR 2015 (arXiv Sept. 1, 2014)

We describe a simplification of the paper.

## Representing Sentences by Vector Sequences

We first run a bidirectional RNN on the input sentence to get a sequence  $h_{\text{in}}$  [ $T_{\text{in}}$ , J] of hidden vectors  $h_{\text{in}}$  [ $t_{\text{in}}$ , J] for  $1 \le t_{\text{in}} \le T_{\text{in}}$ .

We then define an autoregressive conditional output language model

$$P_{\Phi}(w_1,\ldots,w_{T_{\mathrm{out}}}\mid\stackrel{\leftrightarrow}{h}[T_{\mathrm{in}},J])$$

$$\vec{h}_{\text{out}}[0, J] = \overleftarrow{h}_{\text{in}}[1, J/2]; \vec{h}_{\text{in}}[T_{\text{in}}, J/2]$$

$$P(w_{t_{\text{out}}} \mid w_0, \dots, w_{t-1}) = \underset{w_{t_{\text{out}}}}{\operatorname{softmax}} \ e[w_{t_{\text{out}}}, J] \ \overrightarrow{h}_{\text{out}}[t_{\text{out}} - 1, J]$$

We first define the probability distribution over the next word  $w_{t_{\text{out}}}$  using the previous hidden state  $\vec{h}_{\text{out}}[t_{\text{out}}-1, J]$ .

$$\vec{h}_{\text{out}}[0, J] = \overleftarrow{h}_{\text{in}}[1, J/2]; \vec{h}_{\text{in}}[T_{\text{in}}, J/2]$$

$$P(w_{t_{\text{out}}} \mid w_0, \dots, w_{t-1}) = \underset{w_{t_{\text{out}}}}{\operatorname{softmax}} \ e[w_{t_{\text{out}}}, J] \ \vec{h}_{\text{out}}[t_{\text{out}} - 1, J]$$

The computation of the hidden state  $\vec{h}_{\text{out}}[t_{\text{out}}, J]$  involves the selected  $w_{t_{\text{out}}}$  and an alignment of  $t_{\text{out}}$  with input times.

$$P(w_{t_{\text{out}}} \mid w_0, \dots, w_{t-1}) = \underset{w_{t_{\text{out}}}}{\operatorname{softmax}} \ e[w_{t_{\text{out}}}, J] \ \overrightarrow{h}_{\text{out}}[t_{\text{out}} - 1, J]$$

$$\alpha[t_{\text{out}}, t_{\text{in}}] = \underset{t_{\text{in}}}{\operatorname{softmax}} \ e[w_{t_{\text{out}}}, J] \ \overrightarrow{h}_{\text{in}} \ [t_{\text{in}}, J]$$

 $\alpha[t_{\text{out}}, T_{\text{in}}]$  is a convex weighting (a probability distribution) over  $T_{\text{in}}$ .

 $\alpha[t_{\text{out}}, T_{\text{in}}]$  defines a "soft alignment" between  $w_{t_{\text{out}}}$  and the input words.

$$\alpha[t_{\text{out}}, t_{\text{in}}] = \underset{t_{\text{in}}}{\text{softmax}} \ e[w_{t_{\text{out}}}, J] \stackrel{\leftrightarrow}{h}_{\text{in}} [t_{\text{in}}, J]$$

 $\alpha[t_{\rm out}, T_{\rm in}]$  is called "an attention" over  $T_{\rm in}$ .

$$\alpha[t_{\text{out}}, t_{\text{in}}] = \underset{t_{\text{in}}}{\text{softmax}} \ e[w_{t_{\text{out}}}, J] \stackrel{\leftrightarrow}{h}_{\text{in}} [t_{\text{in}}, J]$$
$$\tilde{h}_{\text{in}}[t_{\text{out}}, J] = \alpha[t_{\text{out}}, T_{\text{in}}] \stackrel{\leftrightarrow}{h}_{\text{in}} [T_{\text{in}}, J]$$

 $\tilde{h}_{\rm in}[t_{\rm out},J]$  is a convex combination of the input hidden states aligned with  $t_{\rm out}$ .

$$\alpha[t_{\text{out}}, t_{\text{in}}] = \underset{t_{\text{in}}}{\text{softmax}} \ e[w_{t_{\text{out}}}, J] \stackrel{\leftrightarrow}{h}_{\text{in}} [t_{\text{in}}, J]$$

$$\tilde{h}_{\text{in}}[t_{\text{out}}, J] = \alpha[t_{\text{out}}, T_{\text{in}}] \stackrel{\leftrightarrow}{h}_{\text{in}} [T_{\text{in}}, J]$$

$$\vec{h}_{\text{out}}[t_{\text{out}}, J] = \text{CELL}(\vec{h}_{\text{out}}[t-1, J], \ \tilde{h}_{\text{in}}[t_{\text{out}}, J], \ e[w_{t_{\text{out}}-1}, I])$$

## Attention in Image Captioning

We can treat image captioning as translating an image into a caption.

In translation with attention involves an attention over the input aligning output words with positions in the input.

For each output word we get an attention over the image positions.

# Attention in Image Captioning



A woman is throwing a frisbee in a park.



A little <u>girl</u> sitting on a bed with a teddy bear.

 $\mathrm{Xu}$ et al. ICML 2015

# $\mathbf{END}$